# Conformance Requirements for the OASIS Security Assertion Markup Language (SAML) V2.0 – Errata

# Composite

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#### **Abstract:**

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98 99 The SAML V2.0 Conformance specification provides the technical requirements for SAML V2.0 conformance and specifies the entire set of documents comprising SAML V2.0. This document, known as an "errata composite", combines corrections to reported errata with the original specification text. By design, the corrections are limited to clarifications of ambiguous or conflicting specification text. This document shows deletions from the original specification as struck-through text, and additions as colored underlined text. The "[Enn]" designations embedded in the text refer to particular errata and their dispositions.

#### Status:

This errata composite document is a **working draft** based on the original OASIS Standard document that had been produced by the Security Services Technical Committee and approved by the OASIS membership on 1 March 2005. While the errata corrections appearing here are non-normative, they reflect changes specified by the Approved Errata document (currently at Working Draft revision 02), which is on an OASIS standardization track. In case of any discrepancy between this document and the Approved Errata, the latter has precedence. See also the Errata Working Document (currently at revision 39), which provides background on the changes specified here.

This document includes corrections for errata E11, E25, E28, E29, E42, and E50, and E74.

Committee members should submit comments and potential errata to the security-services@lists.oasis-open.org list. Others should submit them by following the instructions at <a href="http://www.oasis-open.org/committees/comments/form.php?wg">http://www.oasis-open.org/committees/comments/form.php?wg</a> abbrev=security.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights web page for the Security Services TC (http://www.oasis-open.org/committees/security/ipr.php).

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## 1 Introduction

- 122 This normative specification describes features that are mandatory and optional for implementations
- 123 claiming conformance to SAML V2.0 and also specifies the entire set of documents comprising SAML
- 124 V2.0.

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### 1.1 Overview and Specification of SAML V2.0

- 126 The SAML V2.0 standard consists of the following documents:
  - This specification: Conformance Requirements for the OASIS Security Assertion Markup Language (SAML) V2.0
  - Assertions and Protocols for the OASIS Security Assertion Markup Language (SAML) V2.0 [SAMLCore]
  - SAML assertions schema [SAMLAssn-xsd]
    - SAML protocols schema [SAMLProt-xsd]
    - Bindings for the OASIS Security Assertion Markup Language (SAML) V2.0 [SAMLBind]
    - Profiles for the OASIS Security Assertion Markup Language (SAML) V2.0 [SAMLProf]
- SAML ECP profile schema [SAMLECP-xsd]
  - SAML X.500/LDAP attribute profile schema [SAMLX500-xsd]
  - SAML DCE PAC attribute profile schema [SAMLDCE-xsd]
  - SAML XACML attribute profile schema [SAMLXAC-xsd]
  - Metadata for the OASIS Security Assertion Markup Language (SAML) V2.0 [SAMLMeta]
  - SAML metadata schema [SAMLMeta-xsd]
- Authentication Context for the OASIS Security Assertion Markup Language (SAML) V2.0
   [SAMLAuthnCxt]
  - SAML authentication context schema [SAMLAC-xsd]
    - SAML authentication context schema types [SAMLACTyp-xsd]
    - SAML context class schema for Internet Protocol [SAMLAC-IP]
  - SAML context class schema for Internet Protocol Password [SAMLAC-IPP]
    - SAML context class schema for Kerberos [SAMLAC-Kerb]
      - SAML context class schema for Mobile One Factor Unregistered [SAMLAC-MOFU]
- SAML context class schema for Mobile Two Factor Unregistered [SAMLAC-MTFU]
  - SAML context class schema for Mobile One Factor Contract [SAMLAC-MOFC]
  - SAML context class schema for Mobile Two Factor Contract [SAMLAC-MTFC]
  - SAML context class schema for Password [SAMLAC-Pass]
  - SAML context class schema for Password Protected Transport [SAMLAC-PPT]
  - SAML context class schema for Previous Session [SAMLAC-Prev]
    - SAML context class schema for Public Key X.509 [SAMLAC-X509]
    - SAML context class schema for Public Key PGP [SAMLAC-PGP]
- SAML context class schema for Public Key SPKI [SAMLAC-SPKI]
- SAML context class schema for Public Key XML Signature [SAMLAC-XSig]
  - SAML context class schema for Smartcard [SAMLAC-Smart]
- SAML context class schema for Smartcard PKI [SAMLAC-SmPKI]
- SAML context class schema for Software PKI [SAMLAC-SwPKI]

- SAML context class schema for Telephony [SAMLAC-Tele]
  - SAML context class schema for Telephony ("Nomadic") [SAMLAC-TNom]
    - SAML context class schema for Telephony (Personalized) [SAMLAC-TPers]
    - SAML context class schema for Telephony (Authenticated) [SAMLAC-TAuthn]
    - SAML context class schema for Secure Remote Password [SAMLAC-SRP]
- SAML context class schema for SSL/TLS Certificate-Based Client Authentication [SAMLAC-SSL]
  - SAML context class schema for Time Sync Token [SAMLAC-TST]
- Security and Privacy Considerations for the OASIS Security Assertion Markup Language (SAML)
   V2.0 [SAMLSec]
  - Glossary for the OASIS Security Assertion Markup Language (SAML) V2.0 [SAMLGloss]
- 173 The term "SAML V2.0" or "SAML2" is often used informally to refer to the standard specified by the above
- documents, or subsets thereof. However, the SAML V2.0 standard should be formally identified in other
- documents by a normative reference to this document.
- 176 Additional non-normative documents, such as a Technical Overview [SAMLTechOvw], are available to
- 177 provide assistance to developers and others in understanding SAML. These documents are available at
- the SAML website, http://www.oasis-open.org/committees/security.
- SAML V2.0 defines a number of named profiles. Each profile (other than attribute profiles) describes
- details of selected SAML message flows and can also be viewed as indivisible functionality that could be
- implemented by a software component. Implementation of a profile involves use of a binding for each
- message exchange included in the profile. A binding can be viewed as a specific implementation
- technique for achieving a message exchange.
- Section 2 of this document enumerates all of the different profiles defined by [SAMLProfiles]. For each
- profile, the relevant SAML V2.0 message flows are listed, and for each message flow the set of possible
- bindings is also described. The combination of profile, message exchange and a selected binding is
- 187 termed a SAML V2.0 feature.

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- 188 Section 3 describes the conformance matrix for SAML V2.0. A number of different operational modes or
- roles are identified. The conformance matrix describes describes the feature set that must be
- implemented by each operational mode.

#### 1.2 Notation

- The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted in this
- specification and all of the SAML V2.0 specifications as described in IETF RFC 2119 [RFC 2119]:
- 195
- 196 ...they MUST only be used where it is actually required for interoperation or to limit behavior 197 which has potential for causing harm (e.g., limiting retransmissions)...
- 198 These keywords are thus capitalized when used to unambiguously specify requirements over protocol and
- application features and behavior that affect the interoperability and security of implementations. When
- these words are not capitalized, they are meant in their natural-language sense.

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# 2 SAML V2.0 Profiles and Possible Implementations

The following table enumerates all of the profiles defined by the SAML profiles specification [SAMLProf]. For each profile, the message protocol flows (defined in the assertions and protocols specification [SAMLCore]) found within the profile are also described. For each message flow, a list of relevant bindings (defined in the bindings specification [SAMLBind]) is given in the final column.

**Table 1: Possible Implementations** 

Profile	Message Flows	Binding
Web SSO	<authnrequest> from SP to IdP</authnrequest>	HTTP redirect
		HTTP POST
		HTTP artifact
	IdP <response> to SP</response>	HTTP POST
		HTTP artifact
Enhanced Client/Proxy	ECP to SP, SP to ECP to IdP	PAOS
SSO	IdP to ECP to SP, SP to ECP	PAOS
Identity Provider	Cookie setter	HTTP
Discovery	Cookie getter	НТТР
Single Logout	<logoutrequest></logoutrequest>	HTTP redirect
		HTTP POST
		HTTP artifact
		SOAP
	<logoutresponse></logoutresponse>	HTTP redirect
		HTTP POST
		HTTP artifact
		SOAP
Name Identifier	<managenameidrequest></managenameidrequest>	HTTP redirect
Management		HTTP POST
		HTTP artifact
		SOAP
	<managenameidresponse></managenameidresponse>	HTTP redirect
		SOAP
[E28]Artifact Resolution	<artifactresolve>, <artifactresponse></artifactresponse></artifactresolve>	SOAP
Authentication Query	< <del>AuthNQuery&gt;, <response></response></del>	SOAP

Profile	Message Flows	Binding
Attribute Query	<a href="https://www.esponse-">AttributeQuery&gt;, <response-< a=""></response-<></a>	SOAP
Authorization Decision- Query	<a href="mailto:&lt;/a&gt;&lt;a href=" mailto:authzdecisionquery"="">AuthZDecisionQuery</a> , <a href="mailto:AuthZDecisionQuery" mailto:<a="">AuthZDecisionQuery</a> , <a href="mailto:AuthZDecisionQuery" mailto:<a="">AuthZDecisionQuery</a> , <a href="mailto:&lt;a&lt;/td&gt;&lt;td&gt;SOAP&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;Assertion Query/Request&lt;/td&gt;&lt;td&gt;Artifact resolution: &lt;artifactResolve&gt;, &lt;artifactResponse&gt; Authentication query: &lt;authnQuery&gt;,&lt;/td&gt;&lt;td&gt;SOAP&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;&lt;pre&gt;Attribute query: &lt;AttributeQuery&gt;, &lt;Response&gt;&lt;/pre&gt;&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;&lt;/td&gt;&lt;td&gt;Authorization decision query: &lt;a href=" mailto:<a="" mailto:authzdecisionquery"=""><a href="mailto:AuthzDecisionQuery"><a href="mailto:AuthzDecisionQuery"></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a>	
Request for Assertion by Identifier	<assertionidrequest>, <response></response></assertionidrequest>	SOAP
Name Identifier Mapping	<pre><nameidmappingrequest>, <nameidmappingresponse></nameidmappingresponse></nameidmappingrequest></pre>	SOAP
[E28]SAML URI binding	GET, HTTP Response	HTTP
UUID attribute profile		
DCE PAC attribute profile		
X.500 attribute profile		
XACML attribute profile		
[E28]Metadata	Consumption	
	Exchange	

## 207 3 Conformance

208 This section describes the technical conformance requirements for SAML V2.0.

#### 3.1 Operational Modes

- This document uses the phrase "operational mode" to describe a role that a software component can play in conforming to SAML. The operational modes are as follows:
- IdP Identity Provider

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- IdP Lite Identity Provider Lite
- SP Service Provider
- SP Lite Service Provider Lite
- ECP Enhanced Client/Proxy
- SAML Attribute Authority
- SAML Authorization Decision Authority
- SAML Authentication Authority
- SAML Requester

#### 3.2 Feature Matrix

- 222 The following matrices identify unique sets of conformance requirements by means of a triple taken from
- Table 1 with the form: profile, message(s), binding The message component is not always included when
- 224 it is obvious from context.

**Table 2: Feature Matrix** 

Feature	IdP	IdP Lite	SP	SP Lite	ECP
Web SSO, <authnrequest>, HTTP redirect</authnrequest>	MUST	MUST	MUST	MUST	N/A
Web SSO, <response>, HTTP POST</response>	MUST	MUST	MUST	MUST	N/A
Web SSO, <response>, HTTP artifact</response>	MUST	MUST	MUST	MUST	N/A
Artifact Resolution, SOAP	MUST	MUST	MUST	MUST	N/A
Enhanced Client/Proxy SSO, PAOS	MUST	MUST	MUST	MUST	MUST
Name Identifier Management [E11](IdP-initiated), HTTP redirect (IdP-initiated)	MUST	MUST NOT	MUST	MUST NOT	N/A
Name Identifier Management (IdP- initiated), SOAP (IdP-initiated)	MUST	MUST NOT	OPTIONAL	MUST NOT	N/A
Name Identifier Management (SP-initiated), HTTP redirect-	MUST	MUST NOT	MUST	MUST NOT	N/A
Name Identifier Management (SP-initiated), SOAP (SP-initiated)	MUST	MUST NOT	OPTIONAL	MUST NOT	N/A
Single Logout (IdP-initiated) <u>. —</u> HTTP redirect	MUST	MUST	MUST	MUST	N/A
Single Logout (IdP-initiated) <u>.</u> — SOAP	MUST	OPTIONAL	MUST	OPTIONAL	N/A
Single Logout (SP-initiated).— HTTP redirect	MUST	MUST	MUST	MUST	N/A
Single Logout (SP-initiated).—	MUST	OPTIONAL	MUST	OPTIONAL	N/A
Identity Provider Discovery (cookie)	MUST	MUST	OPTIONAL	OPTIONAL	N/A
E29]Request for Assertion by Identifier	OPTIONAL	N/A	N/A	N/A	N/A
SAML URI Binding	OPTIONAL	N/A	N/A	N/A	N/A
[E25]Metadata Structures	<u>OPTIONAL</u>	<u>OPTIONAL</u>	<u>OPTIONAL</u>	<u>OPTIONAL</u>	N/A
Metadata Interoperation	OPTIONAL	OPTIONAL	OPTIONAL	OPTIONAL	N/A

227 228 229 The following table summarizes operational modes that extend the IdP or SP modes defined above. These are to be understood as a combination of an IdP or SP mode from the table above with the

corresponding extended feature set below.

Table 3: Extended IdP, SP

Feature	IdP Extended	SP Extended
Identity Provider proxy (Section 3.4.1.5 [SAMLCore])	MUST	MUST
Name identifier mapping, SOAP	MUST	MUST

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The following table summarizes conformance requirements for SAML authorities and requesters .

**Table 4: SAML Authority and Requester Matrix** 

Feature	SAML Authentication Authority	SAML Attribute Authority	SAML Authorization Decision Authority	SAML Requester
Authentication Query, SOAP	MUST	[ <u>E42</u> ] <del>OPTIONA</del> <u>LN/A</u>	OPTIONALN/A	OPTIONAL
Attribute Query, SOAP	OPTIONALN/A	MUST	OPTIONALN/A	OPTIONAL
Authorization Decision Query, SOAP	OPTIONALN/A	OPTIONAL N/A	MUST	OPTIONAL
Request for Assertion by Identifier, SOAP	MUST	MUST	MUST	OPTIONAL
SAML URI Binding	MUST	MUST	MUST	OPTIONAL
[E25]Metadata Structures	OPTIONAL	<u>OPTIONAL</u>	<u>OPTIONAL</u>	<u>OPTIONAL</u>
Metadata Interoperation	OPTIONAL	<u>OPTIONAL</u>	<u>OPTIONAL</u>	<u>OPTIONAL</u>

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## 3.3 Implementation of SAML-Defined Identifiers

All relevant operational modes MUST implement the following SAML-defined identifiers:

- All Attribute Name Format identifiers defined in Section 8.2 of [SAMLCore]
- All Name Identifier Format identifiers defined in Section 8.3 of [SAMLCore]

Conforming SAML implementations MUST permit the use of all identifier constants described in Sections 8.2 and 8.3 when producing and consuming SAML messages. SAML message producers MUST be able to create messages and SAML message consumers MUST be able to process messages with any of the constants defined in these sections.

Sections 8.3.7 (persistent name identifiers) and 8.3.8 (transient name identifiers) define normative processing rules for the producer of such identifiers. All normative processing rules in Sections 8.3.7 and 8.3.8 MUST be supported by conforming implementations. The remaining identifiers in Sections 8.2 and 8.3 specify no normative processing rules. Hence, generation and consumption of these identifiers is meaningful only when the generating and consuming parties have externally-defined agreement on the semantic interpretation of the identifiers.

**Note:** In this context, "process" means that the implementation must successfully parse and handle the identifier without failing or returning an error. How the implementation

- deals with the identifier once it is processed at this level is out of scope for this specification.

  A SAML implementation may provide the facilities described above through direct implementation support for the identifiers or through the use of supported programming
- implementation support for the identifiers or through the use of supported programming interfaces. Interfaces provided for this purpose must allow the SAML implementation to be programmatically extended to handle all identifiers in Sections 8.2 and 8.3 that are not natively handled by the implementation.

#### 257 3.4 Implementation of Encrypted Elements

- All relevant operational modes MUST be able to process or generate the following encrypted elements in any context where they are required to process or generate the corresponding unencrypted elements,
- 260 namely <saml:NameID>, <saml:Assertion>, or <saml:Attribute>:

### 264 3.5 Security Models for SOAP and URI Bindings

- The following security models are mandatory to implement for all profiles implemented using the SOAP binding as well as for the SAML URI binding. SAML authorities and requesters MUST implement the following authentication methods:
- No client or server authentication.

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- HTTP basic authentication [RFC 2617] with and without SSL 3.0 or TLS 1.0 (see Section 3 below).
   The SAML requester MUST preemptively send the authorization header with the initial request.
- HTTP over SSL 3.0 or TLS 1.0 server authentication with server-side certificate.
- HTTP over SSL 3.0 or TLS 1.0 mutual authentication with both server-side and a client-side certificate.
- 274 If a SAML authority uses SSL 3.0 or TLS 1.0, it MUST use a server-side certificate.

## 275 3.6 [E25]Metadata Structures

- Implementations claiming conformance to SAML V2.0 may declare each operational mode's conformance
   to SAML V2.0 Metadata [SAMLMeta] through election of the Metadata Structures option.
- 278 With respect to each operational mode, such conformance entails the following:
  - Implementing SAML metadata according to the extensible SAML V2.0 Metadata format in all cases
    where an interoperating peer has the option, as stated in SAML V2.0 specifications, of depending on
    the existence of SAML V2.0 Metadata. Electing the Metadata Structures option has the effect of
    requiring that such metadata be available to the interoperating peer. The Metadata Interoperation
    feature, described below, provides a means of satisfying this requirement.
  - Referencing, consuming, and adhering to the SAML metadata, according to [SAMLMeta], of an interoperating peer when the known metadata relevant to that peer and the particular operation, and the current exchange, has expired or is no longer valid in cache, provided the metadata is available and is not prohibited by policy or the particular operation and that specific exchange.

## 3.7 Metadata Interoperation

- Election of the Metadata Interoperation option requires the implementation to offer, in addition to any other mechanism, the well-known location publication and resolution mechanism described in the SAML
- 291 metadata specification [SAMLMeta].

# 4 XML Digital Signature and XML Encryption

- SAML V2.0 uses XML Signature [XMLSig] to implement XML signing and encryption functionality for 293 integrity, and source authentication. SAML V2.0 uses XML Encryption [XMLEnc] to implement 294 confidentiality, including encrypted identifiers, encrypted assertions, and encrypted attributes. [E50]The 295 algorithms listed below as being required for SAML V2.0 conformance are based on the mandated 296 algorithms in the W3C recommendations for XML Signature and for XML Encryption, but modified by the 297 SSTC to ensure interoperability of conformant SAML implementations. While the SAML-defined set of 298 algorithms is a minimal set for conformance, additional algorithms supported by XML Signature and XML 299
- Encryption MAY be used. Note, however, that the use of non-mandated algorithms may introduce 300
- interoperability issues if those algorithms are not widely implemented. As additional algorithms become 301
- mandated for use in XML Signature and XML Encryption, the set required for SAML conformance may be 302
- extended. 303

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## 4.1 XML Signature Algorithms

- XML Signature mandates use of the following algorithms in Section 6.1; therefore they MUST be 305 implemented by compliant SAML V2.0 implementations: 306
- Digest: SHA1 307
- MAC: HMAC-SHA1 308
- XML Canonicalization: CanonicalXML (Without comments), 309
- Transform: Enveloped Signature 310
- In addition, to enable interoperability, the following MUST be implemented by compliant SAML V2.0 311 implementations: 312
- Signature: RSAwithSHA1 (recommended in XML Signature but needed for 313 interoperability) 314
- Although XML Signature mandates the DSAwithSHA1 signature algorithm, it is not required by SAML 315 V2.0, but is RECOMMENDED. 316

#### 4.2 XML Encryption Algorithms 317

- XML Encryption mandates use of the following algorithms in Sections 5.2.1 and 5.2.2; therefore they 318 MUST be implemented by compliant SAML V2.0 implementations: 319
- Block Encryption: TRIPLE DES, AES-128, AES-256. 320
- Key Transport: RSA-v1.5, RSA-OAEP 321

## 5 Use of SSL 3.0 or TLS 1.0

- In any SAML V2.0 use of SSL 3.0 [SSL3] or TLS 1.0 [RFC 2246], servers MUST authenticate to clients
- using a X.509 v3 certificate. The client MUST establish server identity based on contents of the certificate
- (typically through examination of the certificate's subject DN field). [E50]The set of algorithms required for
- 326 SAML V2.0 conformance is equivalent to that defined in SAML V1.0 and SAML V1.1. These mandated
- 327 algorithms were chosen by the SSTC because of their wide implementation support in the industry. While
- 328 the algorithms defined below are the minimal set for SAML conformance, additional algorithms supported
- 329 by SSL 3.0 and TLS 1.0 MAY be used.

#### 5.1 SAML SOAP and URI Binding

- 331 TLS-capable implementations MUST implement the TLS RSA WITH 3DES EDE CBC SHA cipher
- suite and MAY implement the TLS\_RSA\_AES\_128\_CBC\_SHA cipher suite [AES].
- FIPS TLS-capable implementations MUST implement the corresponding
- 334 TLS\_RSA\_FIPS\_WITH\_3DES\_EDE\_CBC\_SHA cipher suite and MAY implement the corresponding
- TLS\_RSA\_FIPS\_AES\_128\_CBC\_SHA cipher suite [AES].
- 336 SSL-capable implementations MUST implement the SSL\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA cipher
- 337 suite.

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- 338 FIPS SSL-capable implementations MUST implement the FIPS cipher suite corresponding to the SSL
- 339 SSL RSA WITH 3DES EDE CBC SHA cipher suite.

#### 340 5.2 Web SSO Profiles of SAML

- 341 SSL-capable implementations of the Web SSO profile of SAML MUST implement the
- 342 SSL\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA cipher suite. TLS-capable implementations MUST implement
- 343 the TLS RSA WITH 3DES EDE CBC SHA cipher suite.

# 6 References

345	[AES]	FIPS-197, Advanced Encryption Standard (AES). See http://www.nist.gov/.
346 347	[RFC 2119]	S. Bradner. Key words for use in RFCs to Indicate Requirement Levels. IETF RFC 2119, March 1997. See http://www.ietf.org/rfc/rfc2119.txt.
348 349	[RFC 2246]	T. Dierks et al. <i>The TLS Protocol Version 1.0</i> . IETF RFC 2246, January 1999. See http://www.ietf.org/rfc/rfc2246.txt.
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