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# Metadata for the OASIS Security Assertion Markup Language (SAML)

# V2.0 – Errata Composite

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#### **Abstract:**

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SAML profiles require agreements between system entities regarding identifiers, binding support and endpoints, certificates and keys, and so forth. A metadata specification is useful for describing this information in a standardized way. The SAML V2.0 Metadata document defines an extensible metadata format for SAML system entities, organized by roles that reflect SAML profiles. Such roles include that of Identity Provider, Service Provider, Affiliation, Attribute Authority, Attribute Consumer, and Policy Decision Point. This document, known as an "errata composite", combines corrections to reported errata with the original specification text. By design, the corrections are limited to clarifications of ambiguous or conflicting specification text. This document shows deletions from the original specification as struck-through text, and additions as colored underlined text. The "[Enn]" designations embedded in the text refer to particular errata and their dispositions.

#### Status:

This errata composite document is a **working draft** based on the original OASIS Standard document that had been produced by the Security Services Technical Committee and approved by the OASIS membership on 1 March 2005. While the errata corrections appearing here are non-normative, they reflect changes specified by the Approved Errata document (currently at Working Draft revision 02), which is on an OASIS standardization track. In case of any discrepancy between this document and the Approved Errata, the latter has precedence. See also the Errata Working Document (currently at revision 39), which provides background on the changes specified here.

This document includes corrections for errata E7, E33, E34, E37, E41, and E62, E66, E68, E69, E74, E76, and E77.

Committee members should submit comments and potential errata to the security-services@lists.oasis-open.org list. Others should submit them by following the instructions at http://www.oasis-open.org/committees/comments/form.php?wg\_abbrev=security.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights web page for the Security Services TC (http://www.oasis-

open.org/committees/security/ipr.php).

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# 1 Introduction

- SAML profiles require agreements between system entities regarding identifiers, binding support and 172
- endpoints, certificates and keys, and so forth. A metadata specification is useful for describing this
- information in a standardized way. This specification defines an extensible metadata format for SAML 174
- system entities, organized by roles that reflect SAML profiles. Such roles include that of SSO Identity 175
- Provider, SSO Service Provider, Affiliation, Attribute Authority, Attribute Requester, and Policy Decision 176
- Point. 177

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- 178 [E77]A variety of extension points are also included to allow for the use of SAML metadata in non-SAML
- specifications, profiles, and deployments, and such use is encouraged. 179
- This specification further defines profiles for the dynamic exchange of metadata among system entities. 180
- which may be useful in some deployments. 181
- The SAML conformance document [SAMLConform] lists all of the specifications that comprise SAML 182
- V2.0. 183

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#### 1.1 Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD 185 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as 186 described in IETF RFC 2119 [RFC2119]. 187

Listings of productions or other normative code appear like this. Example code listings appear like this.

Note: Notes like this are sometimes used to highlight non-normative commentary.

192 Conventional XML namespace prefixes are used throughout this specification to stand for their respective namespaces as follows, whether or not a namespace declaration is present in the example:

Prefix	XML Namespace	Comments
saml:	urn:oasis:names:tc:SAML:2.0:assertion	This is the SAML V2.0 assertion namespace [SAMLCore]. The prefix is generally elided in mentions of SAML assertion-related elements in text.
samlp:	urn:oasis:names:tc:SAML:2.0:protocol	This is the SAML V2.0 protocol namespace [SAMLCore]. The prefix is generally elided in mentions of XML protocol-related elements in text.
md:	urn:oasis:names:tc:SAML:2.0:metadata	This is the SAML V2.0 metadata namespace, defined in a schema [SAMLMeta-xsd].
ds:	http://www.w3.org/2000/09/xmldsig#	This is the XML Signature namespace [XMLSig].
xenc:	http://www.w3.org/2001/04/xmlenc#	This is the XML Encryption namespace [XMLEnc].
xs:	http://www.w3.org/2001/XMLSchema	This namespace is defined in the W3C XML Schema specification [Schema1]. In schema listings, this is the default namespace and no prefix is shown. For clarity, the prefix is generally shown in specification text when XML Schema-related constructs are mentioned.

# 2 Metadata for SAML V2.0

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SAML metadata is organized around an extensible collection of roles representing common combinations of SAML [E77](and potentially non-SAML) protocols and profiles supported by system entities. Each role is described by an element derived from the extensible base type of RoleDescriptor. Such descriptors are in turn collected into the <EntityDescriptor> container element, the primary unit of SAML metadata. An entity might alternatively represent an affiliation of other entities, such as an affiliation of service providers. The <AffiliationDescriptor> is provided for this purpose.

- Such descriptors may in turn be aggregated into nested groups using the <EntitiesDescriptor> element.
- A variety of security mechanisms for establishing the trustworthiness of metadata can be supported, particularly with the ability to individually sign most of the elements defined in this specification.
- Note that when elements with a parent/child relationship contain common attributes, such as caching or expiration information, the parent element takes precedence (see also Section 4.3.1).

Note: As a general matter, SAML metadata is not to be taken as an authoritative statement about the capabilities or options of a given system entity. That is, while it should be accurate, it need not be exhaustive. The omission of a particular option does not imply that it is or is not unsupported, merely that it is not claimed. As an example, a SAML attribute authority might support any number of attributes not named in an <a href="AttributeAuthorityDescriptor">AttributeAuthorityDescriptor</a>. Omissions might reflect privacy or any number of other considerations. Conversely, indicating support for a given attribute does not imply that a given requester can or will receive it.

# 2.1 Namespaces

SAML Metadata uses the following namespace (defined in a schema [SAMLMeta-xsd]):

```
urn:oasis:names:tc:SAML:2.0:metadata
```

218 This specification uses the namespace prefix md: to refer to the namespace above.

The following schema fragment illustrates the use of namespaces in SAML metadata documents:

```
220
         <schema
221
              targetNamespace="urn:oasis:names:tc:SAML:2.0:metadata"
222
             xmlns:md="urn:oasis:names:tc:SAML:2.0:metadata"
223
             xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
             xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
224
             xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
225
226
             xmlns="http://www.w3.org/2001/XMLSchema"
             elementFormDefault="unqualified"
227
228
             attributeFormDefault="unqualified"
             blockDefault="substitution"
229
             version="2.0">
230
231
             <import namespace="http://www.w3.org/2000/09/xmldsig#"</pre>
232
                  schemaLocation="http://www.w3.org/TR/2002/REC-xmldsig-core-
233
         20020212/xmldsig-core-schema.xsd"/>
234
             <import namespace="http://www.w3.org/2001/04/xmlenc#"</pre>
235
                  schemaLocation="http://www.w3.org/TR/2002/REC-xmlenc-core-
         20021210/xenc-schema.xsd"/>
236
237
             <import namespace="urn:oasis:names:tc:SAML:2.0:assertion"</pre>
238
                  schemaLocation="saml-schema-assertion-2.0.xsd"/>
239
              <import namespace="http://www.w3.org/XML/1998/namespace"</pre>
240
                  schemaLocation="http://www.w3.org/2001/xml.xsd"/>
241
              <annotation>
```

```
242
                  <documentation>
243
                      Document identifier: saml-schema-metadata-2.0
244
                      Location: http://docs.oasis-open.org/security/saml/v2.0/
245
                      Revision history:
246
                        V2.0 (March, 2005):
247
                          Schema for SAML metadata, first published in SAML 2.0.
248
249
              </annotation>
250
         </schema>
251
```

# 2.2 Common Types

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- 253 The SAML V2.0 Metadata specification defines several types as described in the following subsections.
- 254 These types are used in defining SAML V2.0 Metadata elements and attributes.

# 2.2.1 Simple Type entityIDType

- The simple type **entityIDType** restricts the XML schema data type **anyURI** to a maximum length of 1024 characters. **entityIDType** is used as a unique identifier for SAML entities. See also Section 8.3.6 of [SAMLCore]. An identifier of this type MUST be unique across all entities that interact within a given deployment. The use of a URI and holding to the rule that a single URI MUST NOT refer to different
- 260 entities satisfies this requirement.
- The following schema fragment defines the **entityIDType** simple type:

# 2.2.2 Complex Type EndpointType

- The complex type **EndpointType** describes a <a href="E77">[E77]SAML</a> protocol binding endpoint at which an <a href="SAML[E77">SAML[E77]</a> entity can be sent protocol messages. Various protocol or profile-specific metadata elements
- are bound to this type. It consists of the following attributes:
- 271 Binding [Required]
- A required attribute that specifies the SAML[E77] binding supported by the endpoint. Each binding is assigned a URI to identify it.
- 274 Location [Required]
- A required URI attribute that specifies the location of the endpoint. The allowable syntax of this URI depends on the protocol binding.
- 277 ResponseLocation [Optional]
  - Optionally specifies a different location to which response messages sent as part of the protocol or profile should be sent. The allowable syntax of this URI depends on the protocol binding.
- The ResponseLocation attribute is used to enable different endpoints to be specified for receiving request and response messages associated with a protocol or profile, not as a means of load-balancing or redundancy (multiple elements of this type can be included for this purpose). When a role contains an element of this type pertaining to a protocol or profile for which only a single type of message (request or response) is applicable, then the ResponseLocation attribute is unused. [E41]If the
- 285 ResponseLocation attribute is omitted, any response messages associated with a protocol or profile
- 286 may be assumed to be handled at the URI indicated by the Location attribute.

In most contexts, elements of this type appear in unbounded sequences in the schema. This is to permit a protocol or profile to be offered by an entity at multiple endpoints, usually with different protocol bindings, allowing the metadata consumer to choose an appropriate endpoint for its needs. Multiple endpoints might also offer "client-side" load-balancing or failover, particular in the case of a synchronous protocol binding.

This element also permits the use of arbitrary elements and attributes defined in a non-SAML namespace.
Any such content MUST be namespace-qualified.

The following schema fragment defines the **EndpointType** complex type:

```
294
           <complexType name="EndpointType">
295
               <sequence>
                   <any namespace="##other" processContents="lax" minOccurs="0"</pre>
296
           maxOccurs="unbounded"/>
297
298
               </sequence>
               <attribute name="Binding" type="anyURI" use="required"/>
299
               <attribute name="Location" type="anyURI" use="required"/>
300
               <attribute name="ResponseLocation" type="anyURI" use="optional"/>
<anyAttribute namespace="##other" processContents="lax"/>
301
302
303
           </complexType>
```

# 2.2.3 Complex Type IndexedEndpointType

The complex type **IndexedEndpointType** extends **EndpointType** with a pair of attributes to permit the indexing of otherwise identical endpoints so that they can be referenced by protocol messages. It consists of the following additional attributes:

index [Required]

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A required attribute that assigns a unique integer value to the endpoint so that it can be referenced in a protocol message. The index value need only be unique within a collection of like elements contained within the same parent element (i.e., they need not be unique across the entire instance).

313 isDefault [Optional]

An optional boolean attribute used to designate the default endpoint among an indexed set. If omitted, the value is assumed to be false.

In any such sequence of [E37]likeindexed endpoints based on this typethat share a common element name and namespace (i.e. all instances of <md:AssertionConsumerService> within a role), the default endpoint is the first such endpoint with the isDefault attribute set to true. If no such endpoints exist, the default endpoint is the first such endpoint without the isDefault attribute set to false. If no such endpoints exist, the default endpoint is the first element in the sequence.

The following schema fragment defines the **IndexedEndpointType** complex type:

```
322
         <complexType name="IndexedEndpointType">
323
             <complexContent>
324
                <extension base="md:EndpointType">
325
                    <attribute name="index" type="unsignedShort" use="required"/>
                    <attribute name="isDefault" type="boolean" use="optional"/>
326
327
                </extension>
328
             </complexContent>
329
         </complexType>
```

# 2.2.4 Complex Type localizedNameType

The **localizedNameType** complex type extends a string-valued element with a standard XML language attribute. The following schema fragment defines the **localizedNameType** complex type:

```
336
                    <attribute ref="xml:lang" use="required"/>
337
                 </extension>
338
             </simpleContent>
339
          </complexType>
```

# 2.2.5 Complex Type localizedURIType

- 341 The **localizedURIType** complex type extends a URI-valued element with a standard XML language 342 attribute.
- The following schema fragment defines the **localizedURIType** complex type: 343

```
344
         <complexType name="localizedURIType">
345
             <simpleContent>
                 <extension base="anyURI">
346
                    <attribute ref="xml:lang" use="required"/>
347
348
349
             </simpleContent>
350
          </complexType>
```

#### 2.3 Root Elements 351

- 352 A SAML metadata instance describes either a single entity or multiple entities. In the former case, the root
- 353 element MUST be <EntityDescriptor>. In the latter case, the root element MUST be
- 354 <EntitiesDescriptor>.

# 2.3.1 Element < Entities Descriptor >

- The <EntitiesDescriptor> element contains the metadata for an optionally named group of SAML-356
- [E77] entities. Its EntitiesDescriptorType complex type contains a sequence of <EntityDescriptor> 357
- elements, <EntitiesDescriptor> elements, or both: 358
- 359 ID [Optional]

340

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- A document-unique identifier for the element, typically used as a reference point when signing. 360
- validUntil [Optional] 361
- 362 Optional attribute indicates the expiration time of the metadata contained in the element and any contained elements. 363
- cacheDuration [Optional] 364
- Optional attribute indicates the maximum length of time a consumer should cache the metadata 365 contained in the element and any contained elements. 366
- Name [Optional] 367
- A string name that identifies a group of SAML [E77] entities in the context of some deployment. 368
- 369 <ds:Signature>[Optional]
- An XML signature that authenticates the containing element and its contents, as described in 370 371 Section 3.
- <Extensions>[Optional] 372
- 373 This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined 374 namespace.

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```
376 <EntitiesDescriptor> or <EntityDescriptor> [One or More]
```

Contains the metadata for one or more SAML[E77] entities, or a nested group of additional metadata.

When used as the root element of a metadata instance, this element MUST contain either a validUntil or cacheDuration attribute. It is RECOMMENDED that only the root element of a metadata instance contain either attribute.

[E76]When not used as the root element of a metadata instance, a validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root element, but never a longer one; the smaller value takes precedence.

The following schema fragment defines the <EntitiesDescriptor> element and its EntitiesDescriptorType complex type:

```
<element name="EntitiesDescriptor" type="md:EntitiesDescriptorType"/>
387
388
         <complexType name="EntitiesDescriptorType">
389
             <sequence>
390
                <element ref="ds:Signature" minOccurs="0"/>
391
                <element ref="md:Extensions" minOccurs="0"/>
392
                <choice minOccurs="1" maxOccurs="unbounded">
                   <element ref="md:EntityDescriptor"/>
393
                   <element ref="md:EntitiesDescriptor"/>
394
395
                </choice>
396
            </sequence>
            <attribute name="validUntil" type="dateTime" use="optional"/>
397
            <attribute name="cacheDuration" type="duration" use="optional"/>
398
            <attribute name="ID" type="ID" use="optional"/>
399
400
            <attribute name="Name" type="string" use="optional"/>
401
         </complexType>
402
         <element name="Extensions" type="md:ExtensionsType"/>
403
         <complexType final="#all" name="ExtensionsType">
404
             <sequence>
405
                <any namespace="##other" processContents="lax" maxOccurs="unbounded"/>
406
             </sequence>
407
         </complexType>
```

# 2.3.2 Element < Entity Descriptor >

The <EntityDescriptor> element specifies metadata for a single SAML[E77] entity. A single entity may act in many different roles in the support of multiple profiles. This specification directly supports the following concrete roles as well as the abstract <RoleDescriptor> element for extensibility (see subsequent sections for more details):

- SSO Identity Provider
- SSO Service Provider
- Authentication Authority
- 416 Attribute Authority
- Policy Decision Point
- 418Affiliation

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419 Its **EntityDescriptorType** complex type consists of the following elements and attributes:

```
420 entityID [Required]
```

Specifies the unique identifier of the <u>SAML[E77]</u> entity whose metadata is described by the element's contents.

423 ID [Optional]

A document-unique identifier for the element, typically used as a reference point when signing.

```
validUntil [Optional]
425
             Optional attribute indicates the expiration time of the metadata contained in the element and any
426
             contained elements.
427
      cacheDuration [Optional]
428
             Optional attribute indicates the maximum length of time a consumer should cache the metadata
429
             contained in the element and any contained elements.
430
      <ds:Signature>[Optional]
431
             An XML signature that authenticates the containing element and its contents, as described in
432
             Section 3.
433
      <Extensions> [Optional]
434
             This contains optional metadata extensions that are agreed upon between a metadata publisher
435
             and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined
436
             namespace.
437
      <RoleDescriptor>, <IDPSSODescriptor>, <SPSSODescriptor>,
438
      <AuthnAuthorityDescriptor>, <AttributeAuthorityDescriptor>, <PDPDescriptor> [One
439
     or Morel
440
     OR
441
     <a href="#"><AffiliationDescriptor> [Required]</a>
442
             The primary content of the element is either a sequence of one or more role descriptor elements.
443
             or a specialized descriptor that defines an affiliation.
444
      <Organization> [Optional]
445
             Optional element identifying the organization responsible for the SAML[E77] entity described by
446
             the element.
447
     <ContactPerson> [Zero or More]
448
             Optional sequence of elements identifying various kinds of contact personnel.
449
      <AdditionalMetadataLocation> [Zero or More]
450
             Optional sequence of namespace-qualified locations where additional metadata exists for the
451
             SAML[E77] entity. This may include metadata in alternate formats or describing adherence to
452
             other non-SAML specifications.
453
     Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.
454
455
     When used as the root element of a metadata instance, this element MUST contain either a validUntil
456
     or cacheDuration attribute. It is RECOMMENDED that only the root element of a metadata instance
     contain either attribute.
457
     [E76]When not used as the root element of a metadata instance, a validUntil or cacheDuration
458
     attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root
459
     element, but never a longer one; the smaller value takes precedence.
460
     It is RECOMMENDED that if multiple role descriptor elements of the same type appear, that they do not
461
     share overlapping protocol Support Enumeration values. Selecting from among multiple role
462
     descriptor elements of the same type that do share a protocol Support Enumeration value is
463
     undefined within this specification, but MAY be defined by metadata profiles, possibly through the use of
464
     other distinguishing extension attributes.
465
      The following schema fragment defines the <EntityDescriptor> element and its
466
     EntityDescriptorType complex type:
467
```

```
468
          <element name="EntityDescriptor" type="md:EntityDescriptorType"/>
469
          <complexType name="EntityDescriptorType">
470
              <sequence>
471
                  <element ref="ds:Signature" minOccurs="0"/>
                  <element ref="md:Extensions" minOccurs="0"/>
472
473
                  <choice>
474
                      <choice maxOccurs="unbounded">
                          <element ref="md:RoleDescriptor"/>
475
                          <element ref="md:IDPSSODescriptor"/>
476
                         <element ref="md:SPSSODescriptor"/>
477
                         <element ref="md:AuthnAuthorityDescriptor"/>
478
                          <element ref="md:AttributeAuthorityDescriptor"/>
479
                          <element ref="md:PDPDescriptor"/>
480
481
                      </chaice>
482
                      <element ref="md:AffiliationDescriptor"/>
483
                  </choice>
                  <element ref="md:Organization" minOccurs="0"/>
<element ref="md:ContactPerson" minOccurs="0" maxOccurs="unbounded"/>
484
485
486
                  <element ref="md:AdditionalMetadataLocation" minOccurs="0"</pre>
487
          maxOccurs="unbounded"/>
488
              <attribute name="entityID" type="md:entityIDType" use="required"/>
489
              <attribute name="validUntil" type="dateTime" use="optional"/>
<attribute name="cacheDuration" type="duration" use="optional"/>
490
491
              <attribute name="ID" type="ID" use="optional"/>
492
493
              <anyAttribute namespace="##other" processContents="lax"/>
494
          </complexType>
```

#### 2.3.2.1 Element < Organization >

The <organization> element specifies basic information about an organization responsible for an SAML[E77] entity or role. The use of this element is always optional. Its content is informative in nature and does not directly map to any core SAML elements or attributes. Its OrganizationType complex type consists of the following elements:

500 <Extensions>[Optional]

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513 514 This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extensions MUST NOT include global (non-namespace-qualified) elements or elements qualified by a SAML-defined namespace within this element.

<OrganizationName> [One or More]

One or more language-qualified names that may or may not be suitable for human consumption.

506 <OrganizationDisplayName> [One or More]

One or more language-qualified names that are suitable for human consumption.

508 <OrganizationURL> [One or More]

One or more language-qualified URIs that specify a location to which to direct a user for additional information. Note that the language qualifier refers to the content of the material at the specified location.

Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.

The following schema fragment defines the <Organization> element and its OrganizationType complex type:

#### 2.3.2.2 Element <ContactPerson>

The <ContactPerson> element specifies basic contact information about a person responsible in some capacity for an SAML[E77] entity or role. The use of this element is always optional. Its content is informative in nature and does not directly map to any core SAML elements or attributes. Its ContactType complex type consists of the following elements and attributes:

533 contactType [Required]

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553

Specifies the type of contact using the **ContactTypeType** enumeration. The possible values are technical, support, administrative, billing, **and** other.

536 <Extensions> [Optional]

This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined namespace.

540 <Company> [Optional]

Optional string element that specifies the name of the company for the contact person.

542 <GivenName> [Optional]

Optional string element that specifies the given (first) name of the contact person.

544 <SurName> [Optional]

Optional string element that specifies the surname of the contact person.

546 <EmailAddress> [Zero or More]

Zero or more elements containing mailto: URIs representing e-mail addresses belonging to the contact person.

549 <TelephoneNumber> [Zero or More]

Zero or more string elements specifying a telephone number of the contact person.

Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.

The following schema fragment defines the <ContactPerson> element and its ContactType complex type:

```
<element name="ContactPerson" type="md:ContactType"/>
554
555
         <complexType name="ContactType">
556
             <sequence>
                <element ref="md:Extensions" minOccurs="0"/>
557
558
                <element ref="md:Company" minOccurs="0"/>
559
                <element ref="md:GivenName" minOccurs="0"/>
560
                <element ref="md:SurName" minOccurs="0"/>
                <element ref="md:EmailAddress" minOccurs="0" maxOccurs="unbounded"/>
561
562
                <element ref="md:TelephoneNumber" minOccurs="0" maxOccurs="unbounded"/>
563
            <attribute name="contactType" type="md:ContactTypeType" use="required"/>
564
             <anyAttribute namespace="##other" processContents="lax"/>
565
566
         </complexType>
567
         <element name="Company" type="string"/>
```

```
568
         <element name="GivenName" type="string"/>
         <element name="SurName" type="string"/>
569
         <element name="EmailAddress" type="anyURI"/>
570
571
         <element name="TelephoneNumber" type="string"/>
         <simpleType name="ContactTypeType">
572
573
             <restriction base="string">
574
                <enumeration value="technical"/>
                <enumeration value="support"/>
575
                <enumeration value="administrative"/>
576
                <enumeration value="billing"/>
577
                <enumeration value="other"/>
578
             </restriction>
579
580
         </simpleType>
```

#### 2.3.2.3 Element <AdditionalMetadataLocation>

The <AdditionalMetadataLocation> element is a namespace-qualified URI that specifies where additional XML-based metadata may exist for an SAML[E77] entity. Its

AdditionalMetadataLocationType complex type extends the anyURI type with a namespace attribute (also of type anyURI). This required attribute MUST contain the XML namespace of the root element of the instance document found at the specified location.

The following schema fragment defines the <AdditionalMetadataLocation> element and its AdditionalMetadataLocationType complex type:

```
<element name="AdditionalMetadataLocation"</pre>
589
590
         type="md:AdditionalMetadataLocationType"/>
591
          <complexType name="AdditionalMetadataLocationType">
592
             <simpleContent>
                 <extension base="anyURI">
593
594
                    <attribute name="namespace" type="anyURI" use="required"/>
595
                 </extension>
             </simpleContent>
596
597
          </complexType>
```

# 2.4 Role Descriptor Elements

The elements in this section make up the bulk of the operational support component of the metadata.

Each element (save for the abstract one) defines a specific collection of operational behaviors in support

of SAML profiles defined in [SAMLProf].

# 2.4.1 Element <RoleDescriptor>

603 The <RoleDescriptor> element is an abstract extension point that contains common descriptive

604 information intended to provide processing commonality across different roles. New roles can be defined

by extending its abstract RoleDescriptorType complex type, which contains the following elements and

606 attributes:

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607 ID [Optional]

A document-unique identifier for the element, typically used as a reference point when signing.

609 validUntil [Optional]

Optional attribute indicates the expiration time of the metadata contained in the element and any contained elements.

612 cacheDuration [Optional]

Optional attribute indicates the maximum length of time a consumer should cache the metadata contained in the element and any contained elements.

```
615 protocolSupportEnumeration [Required]
616 A whitespace-delimited set of URIs that
617 role element. For SAML V2.0 entities, 1
```

A whitespace-delimited set of URIs that identify the set of protocol specifications supported by the role element. For SAML V2.0 entities, this set MUST include the SAML protocol namespace URI, urn:oasis:names:to:SAML:2.0:protocol. Note that future SAML specifications might share the same namespace URI, but SHOULD provide alternate "protocol support" identifiers to ensure discrimination when necessary.

621 errorURL [Optional]

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Optional URI attribute that specifies a location to direct a user for problem resolution and additional support related to this role.

624 <ds:Signature>[Optional]

An XML signature that authenticates the containing element and its contents, as described in Section 3.

627 <Extensions> [Optional]

This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined namespace.

631 <KeyDescriptor> [Zero or More]

Optional sequence of elements that provides information about the cryptographic keys that the entity uses when acting in this role.

634 <Organization> [Optional]

Optional element specifies the organization associated with this role. Identical to the element used within the <EntityDescriptor> element.

637 <ContactPerson> [Zero or More]

Optional sequence of elements specifying contacts associated with this role. Identical to the element used within the <EntityDescriptor> element.

640 Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.

[E76]A validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root element, but never a longer one; the smaller value takes precedence.

The following schema fragment defines the <RoleDescriptor> element and its RoleDescriptorType complex type:

```
<element name="RoleDescriptor" type="md:RoleDescriptorType"/>
646
647
         <complexType name="RoleDescriptorType" abstract="true">
648
             <sequence>
                <element ref="ds:Signature" minOccurs="0"/>
649
                <element ref="md:Extensions" minOccurs="0"/>
650
                <element ref="md:KeyDescriptor" minOccurs="0" maxOccurs="unbounded"/>
651
652
                <element ref="md:Organization" minOccurs="0"/>
                <element ref="md:ContactPerson" minOccurs="0" maxOccurs="unbounded"/>
653
654
             </sequence>
            <attribute name="ID" type="ID" use="optional"/>
655
656
            <attribute name="validUntil" type="dateTime" use="optional"/>
            <attribute name="cacheDuration" type="duration" use="optional"/>
657
658
             <attribute name="protocolSupportEnumeration" type="md:anyURIListType"</pre>
659
         use="required"/>
             <attribute name="errorURL" type="anyURI" use="optional"/>
660
             <anyAttribute namespace="##other" processContents="lax"/>
661
662
         </complexType>
663
         <simpleType name="anyURIListType">
```

### 2.4.1.1 Element <KeyDescriptor>

The <KeyDescriptor> element provides information about the cryptographic key(s) that an entity uses to sign data or receive encrypted keys, along with additional cryptographic details. Its **KeyDescriptorType** complex type consists of the following elements and attributes:

670 use [Optional]

666

671

672

674

675

677

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679

Optional attribute specifying the purpose of the key being described. Values are drawn from the **KeyTypes** enumeration, and consist of the values encryption and signing.

673 <ds:KeyInfo> [Required]

Optional element that directly or indirectly identifies a key. See [XMLSig] for additional details on the use of this element.

676 <EncryptionMethod> [Zero or More]

Optional element specifying an algorithm and algorithm-specific settings supported by the entity. The exact content varies based on the algorithm supported. See [XMLEnc] for the definition of this element's **xenc:EncryptionMethodType** complex type.

[E62]A use value of "signing" means that the contained key information is applicable to both signing and TLS/SSL operations performed by the entity when acting in the enclosing role.

- A use value of "encryption" means that the contained key information is suitable for use in wrapping encryption keys for use by the entity when acting in the enclosing role.
- 684 If the use attribute is omitted, then the contained key information is applicable to both of the above uses.
- [E68]The inclusion of multiple <KeyDescriptor> elements with the same use attribute (or no such attribute) indicates that any of the included keys may be used by the containing role or affiliation. A relying party SHOULD allow for the use of any of the included keys. When possible the signing or encrypting party SHOULD indicate as specifically as possible which key it used to enable more efficient processing.
- [E69]The <ds:KeyInfo> element is a highly generic and extensible means of communicating key
  material. This specification takes no position on the allowable or suggested content of this element, nor on
  its meaning to a relying party. As a concrete example, no implications of including an X.509 certificate by
  value or reference are to be assumed. Its validity period, extensions, revocation status, and other relevant
  content may or may not be enforced, at the discretion of the relying party. The details of such processing,
  and their security implications, are out of scope; they may, however, be addressed by other SAML profiles.
- The following schema fragment defines the <KeyDescriptor> element and its **KeyDescriptorType** complex type:

```
697
         <element name="KeyDescriptor" type="md:KeyDescriptorType"/>
698
          <complexType name="KeyDescriptorType">
699
              <sequence>
700
                  <element ref="ds:KevInfo"/>
701
                  <element ref="md:EncryptionMethod" minOccurs="0"</pre>
702
         maxOccurs="unbounded"/>
703
              </sequence>
              <attribute name="use" type="md:KeyTypes" use="optional"/>
704
705
         </complexType>
         <simpleType name="KeyTypes">
706
707
              <restriction base="string">
708
                  <enumeration value="encryption"/>
709
                  <enumeration value="signing"/>
710
              </restriction>
711
         </simpleType>
          <element name="EncryptionMethod" type="xenc:EncryptionMethodType"/>
712
```

# 2.4.2 Complex Type SSODescriptorType

- The **SSODescriptorType** abstract type is a common base type for the concrete types 714
- SPSSODescriptorType and IDPSSODescriptorType, described in subsequent sections. It extends 715
- RoleDescriptorType with elements reflecting profiles common to both identity providers and service 716
  - providers that support SSO, and contains the following additional elements:
- <ArtifactResolutionService> [Zero or More] 718

719 Zero or more elements of type IndexedEndpointType that describe indexed endpoints that support the Artifact Resolution profile defined in [SAMLProf]. The ResponseLocation attribute 720

MUST be omitted. 721

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<SingleLogoutService> [Zero or More] 722

> Zero or more elements of type **EndpointType** that describe endpoints that support the Single Logout profiles defined in [SAMLProf].

<ManageNameIDService> [Zero or More] 725

> Zero or more elements of type **EndpointType** that describe endpoints that support the Name Identifier Management profiles defined in [SAMLProf].

<NameIDFormat> [Zero or More] 728

> Zero or more elements of type anyURI that enumerate the name identifier formats supported by this system entity acting in this role. See Section 8.3 of [SAMLCore] for some possible values for this element.

The following schema fragment defines the **SSODescriptorType** complex type:

```
733
         <complexType name="SSODescriptorType" abstract="true">
734
             <complexContent>
735
                 <extension base="md:RoleDescriptorType">
736
                    <sequence>
737
                        <element ref="md:ArtifactResolutionService" minOccurs="0"</pre>
738
         maxOccurs="unbounded"/>
                       <element ref="md:SingleLogoutService" minOccurs="0"</pre>
739
740
         maxOccurs="unbounded"/>
741
                        <element ref="md:ManageNameIDService" minOccurs="0"</pre>
742
         maxOccurs="unbounded"/>
743
                       <element ref="md:NameIDFormat" minOccurs="0"</pre>
744
         maxOccurs="unbounded"/>
745
                    </sequence>
746
                </extension>
747
             </complexContent>
748
         </complexType>
749
         <element name="ArtifactResolutionService" type="md:IndexedEndpointType"/>
         <element name="SingleLogoutService" type="md:EndpointType"/>
750
751
         <element name="ManageNameIDService" type="md:EndpointType"/>
         <element name="NameIDFormat" type="anyURI"/>
752
```

# 2.4.3 Element <IDPSSODescriptor>

- The <IDPSSODescriptor> element extends SSODescriptorType with content reflecting profiles 754 755 specific to identity providers supporting SSO. Its **IDPSSODescriptorType** complex type contains the following additional elements and attributes: 756

WantAuthnRequestsSigned [Optional]

Optional attribute that indicates a requirement for the <samlp:AuthnRequest> messages 758 received by this identity provider to be signed. If omitted, the value is assumed to be false. 759

```
760 <SingleSignOnService> [One or More]
```

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One or more elements of type **EndpointType** that describe endpoints that support the profiles of the Authentication Request protocol defined in [SAMLProf]. All identity providers support at least one such endpoint, by definition. The ResponseLocation attribute MUST be omitted.

```
764 <NameIDMappingService> [Zero or More]
```

Zero or more elements of type **EndpointType** that describe endpoints that support the Name Identifier Mapping profile defined in [SAMLProf]. The ResponseLocation attribute MUST be omitted.

```
<AssertionIDRequestService> [Zero or More]
```

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

```
772 <AttributeProfile> [Zero or More]
```

Zero or more elements of type **anyURI** that enumerate the attribute profiles supported by this identity provider. See [SAMLProf] for some possible values for this element.

Zero or more elements that identify the SAML attributes supported by the identity provider. Specific values MAY optionally be included, indicating that only certain values permitted by the attribute's definition are supported. In this context, "support" for an attribute means that the identity provider has the capability to include it when delivering assertions during single sign-on.

[E7]The WantAuthnRequestsSigned attribute is intended to indicate to service providers whether or not they can expect an unsigned <AuthnRequest> message to be accepted by the identity provider. The identity provider is not obligated to reject unsigned requests nor is a service provider obligated to sign its requests, although it might reasonably expect an unsigned request will be rejected. In some cases, a service provider may not even know which identity provider will ultimately receive and respond to its requests, so the use of this attribute in such a case cannot be strictly defined.

Furthermore, note that the specific method of signing that would be expected is binding dependent. The HTTP Redirect binding (see [SAMLBind]) requires that the signature be applied to the URL-encoded value rather than placed within the XML message, while other bindings generally permit the signature to be within the message in the usual fashion.

The following schema fragment defines the <IDPSSODescriptor> element and its IDPSSODescriptorType complex type:

```
<element name="IDPSSODescriptor" type="md:IDPSSODescriptorType"/>
792
793
          <complexType name="IDPSSODescriptorType">
794
             <complexContent>
795
                 <extension base="md:SSODescriptorType">
796
                     <sequence>
                        <element ref="md:SingleSignOnService" maxOccurs="unbounded"/>
797
                        <element ref="md:NameIDMappingService" minOccurs="0"</pre>
798
799
          maxOccurs="unbounded"/>
800
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
          maxOccurs="unbounded"/>
801
802
                        <element ref="md:AttributeProfile" minOccurs="0"</pre>
803
          maxOccurs="unbounded"/>
804
                        <element ref="saml:Attribute" minOccurs="0"</pre>
805
          maxOccurs="unbounded"/>
806
                    </sequence>
807
                    <attribute name="WantAuthnRequestsSigned" type="boolean"</pre>
808
          use="optional"/>
809
                 </extension>
810
             </complexContent>
          </complexType>
811
```

# 2.4.4 Element <SPSSODescriptor>

The <SPSSODescriptor> element extends SSODescriptorType with content reflecting profiles specific to service providers. Its SPSSODescriptorType complex type contains the following additional elements and attributes:

820 AuthnRequestsSigned [Optional]

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Optional attribute that indicates whether the <code><samlp:AuthnRequest></code> messages sent by this service provider will be signed. If omitted, the value is assumed to be <code>false.[E7]A</code> value of <code>false</code> (or omission of this attribute) does not imply that the service provider will never sign its requests or that a signed request should be considered an error. However, an identity provider that receives an unsigned <code><samlp:AuthnRequest></code> message from a service provider whose metadata contains this attribute with a value of true MUST return a SAML error response and MUST NOT fulfill the request.

#### WantAssertionsSigned [Optional]

Optional attribute that indicates a requirement for the <saml:Assertion> elements received by this service provider to be signed. If omitted, the value is assumed to be false. This requirement is in addition to any requirement for signing derived from the use of a particular profile/binding combination. [E7]Note that an enclosing signature at the SAML binding or protocol layer does not suffice to meet this requirement, for example signing a <samlp:Response> containing the assertion(s) or a TLS connection.

#### 835 <AssertionConsumerService> [One or More]

One or more elements that describe indexed endpoints that support the profiles of the Authentication Request protocol defined in [SAMLProf]. All service providers support at least one such endpoint, by definition.

<AttributeConsumingService> [Zero or More]

Zero or more elements that describe an application or service provided by the service provider that requires or desires the use of SAML attributes.

At most one <a href="AttributeConsumingService">AttributeConsumingService</a> element can have the attribute isDefault set to true. It is permissible for none of the included elements to contain an isDefault attribute set to true.

The following schema fragment defines the SPSSODescriptor> element and its
SPSSODescriptorType complex type:

```
<element name="SPSSODescriptor" type="md:SPSSODescriptorType"/>
846
847
          <complexType name="SPSSODescriptorType">
848
             <complexContent>
849
                 <extension base="md:SSODescriptorType">
850
                    <sequence>
851
                        <element ref="md:AssertionConsumerService"</pre>
852
          maxOccurs="unbounded"/>
853
                        <element ref="md:AttributeConsumingService" minOccurs="0"</pre>
854
          maxOccurs="unbounded"/>
855
                    </sequence>
                    <attribute name="AuthnRequestsSigned" type="boolean"
856
857
          use="optional"/>
                    <attribute name="WantAssertionsSigned" type="boolean"</pre>
858
859
          use="optional"/>
860
                 </extension>
861
             </complexContent>
862
          </complexType>
```

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#### 2.4.4.1 Element < AttributeConsumingService>

The <a href="Text-attributeConsumingService">The <a href="Text-attributeConsumingService">The <a href="Text-attributeConsumingService">The ConsumingService</a> element defines a particular service offered by the service provider in terms of the attributes the service requires or desires. Its **AttributeConsumingServiceType**complex type contains the following elements and attributes:

868 index [Required]

A required attribute that assigns a unique integer value to the element so that it can be referenced in a protocol message.

871 isDefault [Optional]

Identifies the default service supported by the service provider. Useful if the specific service is not otherwise indicated by application context. If omitted, the value is assumed to be false.

874 <ServiceName> [One or More]

One or more language-qualified names for the service.

876 <ServiceDescription> [Zero or More]

Zero or more language-qualified strings that describe the service.

878 < RequestedAttribute > [One or More]

One or more elements specifying attributes required or desired by this service.

The following schema fragment defines the <a href="https://document.org/lemont-style-2-">AttributeRequestingService</a> element and its AttributeRequestingServiceType complex type:

```
<element name="AttributeConsumingService"</pre>
882
883
         type="md:AttributeConsumingServiceType"/>
884
         <complexType name="AttributeConsumingServiceType">
             <sequence>
885
                <element ref="md:ServiceName" maxOccurs="unbounded"/>
886
887
                <element ref="md:ServiceDescription" minOccurs="0"</pre>
888
         maxOccurs="unbounded"/>
889
                <element ref="md:RequestedAttribute" maxOccurs="unbounded"/>
890
             <attribute name="index" type="unsignedShort" use="required"/>
891
892
             <attribute name="isDefault" type="boolean" use="optional"/>
893
         </complexType>
894
         <element name="ServiceName" type="md:localizedNameType"/>
895
         <element name="ServiceDescription" type="md:localizedNameType"/>
```

#### 2.4.4.1.1 [E34] Element < Requested Attribute>

The <RequestedAttribute> element specifies a service provider's interest in a specific SAML attribute, optionally including specific values. Its RequestedAttributeType complex type extends the saml:AttributeType with the following attribute:

900 isRequired [Optional]

Optional XML attribute indicates if the service requires the corresponding SAML attribute in order to function at all (as opposed to merely finding an attribute useful or desirable).

903 If specific <saml:AttributeValue> elements are included, then only matching values are relevant to 904 the service. See [SAMLCore] for more information on attribute value matching.

The following schema fragment defines the <RequestedAttribute> element and its

906 RequestedAttributeType complex type:

```
907
         <element name="RequestedAttribute" type="md:RequestedAttributeType"/>
908
         <complexType name="RequestedAttributeType">
909
             <complexContent>
910
                <extension base="saml:AttributeType">
911
                    <attribute name="isRequired" type="boolean" use="optional"/>
912
                </extension>
913
             </complexContent>
914
         </complexType>
```

# 2.4.5 Element < AuthnAuthorityDescriptor>

The <AuthnAuthorityDescriptor> element extends RoleDescriptorType with content reflecting profiles specific to authentication authorities, SAML authorities that respond to <samlp:AuthnQuery> messages. Its AuthnAuthorityDescriptorType complex type contains the following additional element:

919 <AuthnQueryService> [One or More]

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954 955 One or more elements of type **EndpointType** that describe endpoints that support the profile of the Authentication Query protocol defined in [SAMLProf]. All authentication authorities support at least one such endpoint, by definition.

923 <AssertionIDRequestService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

<NameIDFormat> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

The following schema fragment defines the <AuthnAuthorityDescriptor> element and its AuthnAuthorityDescriptorType complex type:

```
<element name="AuthnAuthorityDescriptor"</pre>
932
933
          type="md:AuthnAuthorityDescriptorType"/>
934
          <complexType name="AuthnAuthorityDescriptorType">
935
             <complexContent>
936
                 <extension base="md:RoleDescriptorType">
937
938
                        <element ref="md:AuthnQueryService" maxOccurs="unbounded"/>
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
939
940
         maxOccurs="unbounded"/>
941
                        <element ref="md:NameIDFormat" minOccurs="0"</pre>
942
         maxOccurs="unbounded"/>
943
                    </sequence>
944
                 </extension>
945
             </complexContent>
946
          </complexType>
947
         <element name="AuthnQueryService" type="md:EndpointType"/>
```

# 2.4.6 Element < PDPDescriptor>

The <PDPDescriptor> element extends RoleDescriptorType with content reflecting profiles specific to policy decision points, SAML authorities that respond to <samlp:AuthzDecisionQuery> messages. Its PDPDescriptorType complex type contains the following additional element:

952 <AuthzService> [One or More]

One or more elements of type **EndpointType** that describe endpoints that support the profile of the Authorization Decision Query protocol defined in [SAMLProf]. All policy decision points support at least one such endpoint, by definition.

```
956 <AssertionIDRequestService> [Zero or More]
```

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

960 <NameIDFormat> [Zero or More]

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1001 1002 Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

The following schema fragment defines the <PDPDescriptor> element and its PDPDescriptorType complex type:

```
<element name="PDPDescriptor" type="md:PDPDescriptorType"/>
965
966
          <complexType name="PDPDescriptorType">
967
             <complexContent>
968
                <extension base="md:RoleDescriptorType">
969
                    <sequence>
                        <element ref="md:AuthzService" maxOccurs="unbounded"/>
970
971
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
972
         maxOccurs="unbounded"/>
                        <element ref="md:NameIDFormat" minOccurs="0"</pre>
973
         maxOccurs="unbounded"/>
974
975
                    </sequence>
976
                </extension>
977
             </complexContent>
         </complexType>
978
979
         <element name="AuthzService" type="md:EndpointType"/>
```

# 2.4.7 Element < Attribute Authority Descriptor >

reflecting profiles specific to attribute authorities, SAML authorities that respond to

983 <samlp: AttributeQuery> messages. Its AttributeAuthorityDescriptorType complex type contains 984 the following additional elements:

985 <AttributeService> [One or More]

One or more elements of type **EndpointType** that describe endpoints that support the profile of the Attribute Query protocol defined in [SAMLProf]. All attribute authorities support at least one such endpoint, by definition.

<AssertionIDRequestService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

<NameIDFormat> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

996 <AttributeProfile> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the attribute profiles supported by this authority. See [SAMLProf] for some possible values for this element.

999 <saml:Attribute> [Zero or More]

Zero or more elements that identify the SAML attributes supported by the authority. Specific values MAY optionally be included, indicating that only certain values permitted by the attribute's definition are supported.

The following schema fragment defines the <a href="https://document.com/">AttributeAuthorityDescriptor> element and its
AttributeAuthorityDescriptorType complex type:

```
<element name="AttributeAuthorityDescriptor"</pre>
1005
          type="md:AttributeAuthorityDescriptorType"/>
1006
          <complexType name="AttributeAuthorityDescriptorType">
1007
1008
              <complexContent>
1009
                  <extension base="md:RoleDescriptorType">
1010
                     <sequence>
                         <element ref="md:AttributeService" maxOccurs="unbounded"/>
1011
1012
                         <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
1013
          maxOccurs="unbounded"/>
                         <element ref="md:NameIDFormat" minOccurs="0"</pre>
1014
          maxOccurs="unbounded"/>
1015
1016
                         <element ref="md:AttributeProfile" minOccurs="0"</pre>
1017
          maxOccurs="unbounded"/>
                         <element ref="saml:Attribute" minOccurs="0"</pre>
1018
          maxOccurs="unbounded"/>
1019
1020
                     </sequence>
1021
                  </extension>
1022
              </complexContent>
1023
          </complexType>
1024
          <element name="AttributeService" type="md:EndpointType"/>
```

# 2.5 Element < Affiliation Descriptor >

The <affiliationDescriptor> element is an alternative to the sequence of role descriptors described in Section 2.4 that is used when an <EntityDescriptor> describes an affiliation of

SAML[E77] entities (typically service providers) rather than a single entity. The

1029 <AffiliationDescriptor> element provides a summary of the individual entities that make up the
1030 affiliation along with general information about the affiliation itself. Its AffiliationDescriptorType complex
1031 type contains the following elements and attributes:

1032 affiliationOwnerID [Required]

Specifies the unique identifier of the entity responsible for the affiliation. The owner is NOT presumed to be a member of the affiliation; if it is a member, its identifier MUST also appear in an <a href="mailto:AffiliateMember">AffiliateMember</a>> element.

1036 ID [Optional]

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A document-unique identifier for the element, typically used as a reference point when signing.

1038 validUntil [Optional]

Optional attribute indicates the expiration time of the metadata contained in the element and any contained elements.

1041 cacheDuration [Optional]

Optional attribute indicates the maximum length of time a consumer should cache the metadata contained in the element and any contained elements.

1044 <ds:Signature>[Optional]

An XML signature that authenticates the containing element and its contents, as described in Section 3.

1047 <Extensions> [Optional]

This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined namespace.

```
1051 <AffiliateMember> [One or More]
```

One or more elements enumerating the members of the affiliation by specifying each member's unique identifier. See also Section 8.3.6 of [SAMLCore].

```
1054 <KeyDescriptor> [Zero or More]
```

1052

1053

1055

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1081

1082 1083 Optional sequence of elements that provides information about the cryptographic keys that the affiliation uses as a whole, as distinct from keys used by individual members of the affiliation, which are published in the metadata for those entities.

1058 Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.

[E76]A validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root element, but never a longer one; the smaller value takes precedence.

The following schema fragment defines the <affiliationDescriptor> element and its AffiliationDescriptorType complex type:

```
<element name="AffiliationDescriptor" type="md:AffiliationDescriptorType"/>
1064
1065
          <complexType name="AffiliationDescriptorType">
1066
             <sequence>
1067
                 <element ref="ds:Signature" minOccurs="0"/>
                 <element ref="md:Extensions" minOccurs="0"/>
1068
                 <element ref="md:AffiliateMember" maxOccurs="unbounded"/>
1069
1070
                 <element ref="md:KeyDescriptor" minOccurs="0" maxOccurs="unbounded"/>
1071
             </sequence>
1072
             <attribute name="affiliationOwnerID" type="md:entityIDType"</pre>
1073
          use="required"/>
             <attribute name="validUntil" type="dateTime" use="optional"/>
1074
1075
             <attribute name="cacheDuration" type="duration" use="optional"/>
             <attribute name="ID" type="ID" use="optional"/>
1076
1077
             <anyAttribute namespace="##other" processContents="lax"/>
1078
          </complexType>
          <element name="AffiliateMember" type="md:entityIDType"/>
1079
```

# 2.6 Examples

The following is an example of metadata for a SAML system entity acting as an identity provider and an attribute authority. A signature is shown as a placeholder, without the actual content.

```
1084
           <EntityDescriptor xmlns="urn:oasis:names:tc:SAML:2.0:metadata"</pre>
1085
              xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
              xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1086
1087
              entityID="https://IdentityProvider.com/SAML">
1088
              <ds:Signature>...</ds:Signature>
1089
               <IDPSSODescriptor WantAuthnRequestsSigned="true"</pre>
1090
                  protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1091
                    <KeyDescriptor use="signing">
1092
                        <ds:KeyInfo>
1093
                            <ds:KeyName>IdentityProvider.com SSO Key</ds:KeyName>
1094
                        </ds:KevInfo>
1095
                   </KeyDescriptor>
                   <ArtifactResolutionService isDefault="true" index="0"</pre>
1096
1097
                      Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1098
                      Location="https://IdentityProvider.com/SAML/Artifact"/>
                   <SingleLogoutService
1099
                      Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1100
                      Location="https://IdentityProvider.com/SAML/SLO/SOAP"/>
1101
1102
                   <SingleLogoutService
                      Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
1103
                     Location="https://IdentityProvider.com/SAML/SLO/Browser"
ResponseLocation="https://IdentityProvider.com/SAML/SLO/Response"/>
1104
1105
1106
                   <NameIDFormat>
1107
                      urn:oasis:names:tc:SAML:1.1:nameid-format:X509SubjectName
```

```
1108
                   </NameIDFormat>
1109
                   <NameIDFormat>
1110
                     urn:oasis:names:tc:SAML:2.0:nameid-format:persistent
1111
                   </NameIDFormat>
                   <NameIDFormat>
1112
1113
                     urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1114
                   </NameIDFormat>
1115
                   <SingleSignOnService
1116
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
1117
                     Location="https://IdentityProvider.com/SAML/SSO/Browser"/>
1118
                   <SingleSignOnService
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-POST"
1119
1120
                     Location="https://IdentityProvider.com/SAML/SSO/Browser"/>
1121
                   <saml:Attribute</pre>
1122
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1123
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.6"
                     FriendlyName="eduPersonPrincipalName">
1124
1125
                   </saml:Attribute>
1126
                   <saml:Attribute</pre>
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1127
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.1"
1128
                     FriendlyName="eduPersonAffiliation">
1129
1130
                       <saml:AttributeValue>member</saml:AttributeValue>
1131
                       <saml:AttributeValue>student</saml:AttributeValue>
1132
                       <saml:AttributeValue>faculty</saml:AttributeValue>
1133
                       <saml:AttributeValue>employee</saml:AttributeValue>
                       <saml:AttributeValue>staff</saml:AttributeValue>
1134
1135
                   </saml:Attribute>
1136
              </IDPSSODescriptor>
1137
              <AttributeAuthorityDescriptor</pre>
                 protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1138
1139
                   <KeyDescriptor use="signing">
                       <ds:KeyInfo>
1140
1141
                           <ds:KeyName>IdentityProvider.com AA Key</ds:KeyName>
1142
                       </ds:KeyInfo>
1143
                   </KeyDescriptor>
1144
                   <AttributeService
1145
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
                     Location="https://IdentityProvider.com/SAML/AA/SOAP"/>
1146
1147
                   <AssertionIDRequestService</pre>
1148
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:URI"
1149
                     Location="https://IdentityProvider.com/SAML/AA/URI"/>
1150
                   <NameIDFormat>
1151
                     urn:oasis:names:tc:SAML:1.1:nameid-format:X509SubjectName
1152
                   </NameIDFormat>
1153
                   <NameIDFormat>
1154
                     urn:oasis:names:tc:SAML:2.0:nameid-format:persistent
1155
                   </NameIDFormat>
1156
                   <NameIDFormat>
1157
                     urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1158
                   </NameIDFormat>
1159
                   <saml:Attribute</pre>
1160
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.6"
1161
                     FriendlyName="eduPersonPrincipalName">
1162
1163
                   </saml:Attribute>
1164
                   <saml:Attribute</pre>
1165
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1166
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.1"
                     FriendlyName="eduPersonAffiliation">
1167
                       <saml:AttributeValue>member</saml:AttributeValue>
1168
1169
                       <saml:AttributeValue>student</saml:AttributeValue>
1170
                       <saml:AttributeValue>faculty</saml:AttributeValue>
1171
                       <saml:AttributeValue>employee</saml:AttributeValue>
1172
                       <saml:AttributeValue>staff</saml:AttributeValue>
1173
                   </saml:Attribute>
1174
              </AttributeAuthorityDescriptor>
```

```
1175
              <Organization>
1176
                   <OrganizationName xml:lang="en">Identity Providers R
1177
          US</OrganizationName>
1178
                   <OrganizationDisplayName xml:lang="en">
                     Identity Providers R US, a Division of Lerxst Corp.
1179
1180
                   </OrganizationDisplayName>
1181
                   <OrganizationURL
1182
          xml:lang="en">https://IdentityProvider.com</OrganizationURL>
1183
              </Organization>
          </EntityDescriptor>
1184
1185
```

The following is an example of metadata for a SAML system entity acting as a service provider. A signature is shown as a placeholder, without the actual content. For illustrative purposes, the service is one that does not require users to uniquely identify themselves, but rather authorizes access on the basis of a role-like attribute.

```
1191
          <EntityDescriptor xmlns="urn:oasis:names:tc:SAML:2.0:metadata"</pre>
1192
              xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
1193
             xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
             entityID="https://ServiceProvider.com/SAML">
1194
1195
              <ds:Signature>...</ds:Signature>
1196
              <SPSSODescriptor AuthnRequestsSigned="true"</pre>
1197
                 protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1198
                   <KeyDescriptor use="signing">
1199
                       <ds:KeyInfo>
1200
                           <ds:KeyName>ServiceProvider.com SSO Key</ds:KeyName>
1201
                       </ds:KeyInfo>
1202
                  </KeyDescriptor>
1203
                   <KeyDescriptor use="encryption">
1204
                       <ds:KeyInfo>
1205
                           <ds:KeyName>ServiceProvider.com Encrypt Key</ds:KeyName>
1206
1207
                       <EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-</pre>
1208
          1 5"/>
1209
                  </KeyDescriptor>
                  <SingleLogoutService
1210
1211
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
                     Location="https://ServiceProvider.com/SAML/SLO/SOAP"/>
1212
1213
                  <SingleLogoutService
1214
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
                     Location="https://ServiceProvider.com/SAML/SLO/Browser"
1215
1216
                     ResponseLocation="https://ServiceProvider.com/SAML/SLO/Response"/>
1217
                  <NameIDFormat>
                     urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1218
1219
                   </NameIDFormat>
1220
                  <AssertionConsumerService isDefault="true" index="0"</pre>
                    Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Artifact"
1221
                     Location="https://ServiceProvider.com/SAML/SSO/Artifact"/>
1222
1223
                  <AssertionConsumerService index="1"</pre>
1224
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-POST"
1225
                     Location="https://ServiceProvider.com/SAML/SSO/POST"/>
                  <AttributeConsumingService index="0">
1226
                       <ServiceName xml:lang="en">Academic Journals R US</ServiceName>
1227
1228
                       <RequestedAttribute
1229
                        NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1230
                        Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.7"
1231
                        FriendlyName="eduPersonEntitlement">
1232
                           <saml:AttributeValue>
1233
                           https://ServiceProvider.com/entitlements/123456789
1234
                           </saml:AttributeValue>
1235
                       </RequestedAttribute>
1236
                  </AttributeConsumingService>
1237
              </SPSSODescriptor>
1238
              <Organization>
1239
                   <OrganizationName xml:lang="en">Academic Journals R
1240
          US</OrganizationName>
1241
                  <OrganizationDisplayName xml:lang="en">
```

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1187

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1189 1190

1242	Academic Journals R US, a Division of Dirk Corp.		
1243			
1244	<organizationurl< th=""></organizationurl<>		
1245	xml:lang="en">https://ServiceProvider.com		
1246			
1247			

# 3 Signature Processing

- Various elements in a metadata instance can be digitally signed (as indicated by the element's inclusion of a <ds:Signature> element), with the following benefits:
- Metadata integrity

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- Authentication of the metadata by a trusted signer
- A digital signature is not always required, for example if the relying party obtains the information directly
- from the publishing entity directly (with no intermediaries) through a secure channel, with the entity having
- authenticated to the relying party by some means other than a digital signature.
- 1256 Many different techniques are available for "direct" authentication and secure channel establishment
- between two parties. The list includes TLS/SSL, HMAC, password-based mechanisms, etc. In addition,
- the applicable security requirements depend on the communicating applications.
- Additionally, elements can inherit signatures on enclosing parent elements that are themselves signed.
- 1260 In the absence of such context, it is RECOMMENDED that at least the root element of a metadata
- instance be signed.

# 3.1 XML Signature Profile

- 1263 The XML Signature specification [XMLSig] calls out a general XML syntax for signing data with flexibility
- and many choices. This section details the constraints on these facilities so that metadata processors do
- not have to deal with the full generality of XML Signature processing. This usage makes specific use of
- the **xs:ID**-typed attributes optionally present on the elements to which signatures can apply. These
- attributes are collectively referred to in this section as the identifier attributes.

# 3.1.1 Signing Formats and Algorithms

- 1269 XML Signature has three ways of relating a signature to a document: enveloping, enveloped, and
- 1270 detached.
- 1271 SAML metadata MUST use enveloped signatures when signing the elements defined in this specification.
- 1272 SAML processors SHOULD support the use of RSA signing and verification for public key operations in
- 1273 accordance with the algorithm identified by http://www.w3.org/2000/09/xmldsig#rsa-sha1.

#### **3.1.2 References**

- Signed metadata elements MUST supply a value for the identifier attribute on the signed element. The
- element may or may not be the root element of the actual XML document containing the signed metadata
- 1277 element.

1283

- 1278 Signatures MUST contain a single <ds:Reference> containing a URI reference to the identifier attribute
- value of the metadata element being signed. For example, if the identifier attribute value is "foo", then the
- 1280 URI attribute in the <ds:Reference> element MUST be "#foo".
- 1281 As a consequence, a metadata element's signature MUST apply to the content of the signed element and
- 1282 any child elements it contains.

#### 3.1.3 Canonicalization Method

1284 SAML implementations SHOULD use Exclusive Canonicalization, with or without comments, both in the

- 1285 <ds:CanonicalizationMethod> element of <ds:SignedInfo>, and as a <ds:Transform>
- 1286 algorithm. Use of Exclusive Canonicalization ensures that signatures created over SAML metadata
- embedded in an XML context can be verified independent of that context.

#### 3.1.4 Transforms

- Signatures in SAML metadata SHOULD NOT contain transforms other than the enveloped signature
- transform (with the identifier http://www.w3.org/2000/09/xmldsig#enveloped-signature) or the exclusive
- 1291 canonicalization transforms (with the identifier http://www.w3.org/2001/10/xml-exc-c14n# or
- http://www.w3.org/2001/10/xml-exc-c14n#WithComments).
- 1293 Verifiers of signatures MAY reject signatures that contain other transform algorithms as invalid. If they do
- not, verifiers MUST ensure that no content of the signed metadata element is excluded from the
- 1295 signature. This can be accomplished by establishing out-of-band agreement as to what transforms are
- 1296 acceptable, or by applying the transforms manually to the content and reverifying the result as consisting
- of the same SAML metadata.

#### 3.1.5 KeyInfo

- 1299 XML Signature [XMLSig] defines usage of the <ds:KeyInfo> element. SAML does not require the
- use of <ds: KeyInfo> nor does it impose any restrictions on its use. Therefore, <ds: KeyInfo> MAY
- 1301 be absent.

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# 4 Metadata Publication and Resolution

- 1303 Two mechanisms are provided for an entity to publish (and for a consumer to resolve the location of)
- metadata documents: via a "well-known-location" by directly dereferencing the entity's unique identifier (a
- 1305 URI variously referred to as an entityID or providerID), or indirectly by publishing the location of metadata
- in the DNS. Other out-of-band mechanisms are of course also permitted. A consumer that supports both
- approaches defined in this document MUST attempt resolution via DNS before using the "well-known-
- 1308 location" mechanism.

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- When retrieval requires network transport of the document, the transport SHOULD be protected with
- mechanisms providing server authentication and integrity protection. For example, HTTP-based resolution
- SHOULD be protected with TLS/SSL [RFC2246] as amended by [RFC3546].
- Various mechanisms are described in this section to aid in establishing trust in the accuracy and
- 1313 legitimacy of metadata, including use of XML signatures, SSL/TLS server authentication, and DNS
- signatures. Regardless of the mechanism(s) used, relying parties SHOULD have some means by which to
- establish trust in metadata information before relying on it.

#### 4.1 Publication and Resolution via Well-Known Location

1317 The following sections describe publication and resolution of metadata by means of a well-known location.

#### 4.1.1 Publication

- 1319 Entities MAY publish their metadata documents at a well known location by placing the document at the
- location denoted by its unique identifier, which MUST be in the form of a URL (rather than a URN). See
- 1321 Section 8.3.6 of [SAMLCore] for more information about such identifiers. It is STRONGLY
- 1322 RECOMMENDED that https URLs be used for this purpose. An indirection mechanism supported by the
- 1323 URL scheme (such as an HTTP 1.1 302 redirect) MAY be used if the document is not placed directly at
- the location. If the publishing protocol permits MIME-based identification of content types, the content type
- of the metadata instance MUST be application/samlmetadata+xml.
- 1326 The XML document provided at the well-known location MUST describe the metadata only for the entity
- 1327 represented by the unique identifier (that is, the root element MUST be an <EntityDescriptor> with
- an entityID matching the location). If other entities need to be described, the
- 1329 <AdditionalMetadataLocation> element MUST be used. Thus the <EntitiesDescriptor>
- element MUST NOT be used in documents published using this mechanism, since a group of entities are
- not defined by such an identifier.

#### 4.1.2 Resolution

- 1333 If an entity's unique identifier is a URL, metadata consumers MAY attempt to resolve an entity's unique
- identifier directly, in a scheme-specific manner, by dereferencing the identifier.

# 4.2 Publishing and Resolution via DNS

- To improve the accessibility of metadata documents and provide additional indirection between an entity's
- unique identifier and the location of metadata, entities MAY publish their metadata document locations in a
- zone of their corresponding DNS [RFC1034]. The entity's unique identifier (a URI) is used as the input to
- the process. Since URIs are flexible identifiers, location publication methods and the resolution process
- 1340 are determined by the URI's scheme and fully-qualified name. URI locations for metadata are

- subsequently be derived through queries of the NAPTR Resource Record (RR) as defined in [RFC2915]
- 1342 and [RFC3403].

1347

- 1343 It is RECOMMENDED that entities publish their resource records in signed zone files using [RFC2535]
- 1344 [E66][RFC4035] such that relying parties may establish the validity of the published location and authority
- of the zone, and integrity of the DNS response. If DNS zone signatures are present, relying parties MUST
- 1346 properly validate the signature.

#### 4.2.1 Publication

- 1348 This specification makes use of the NAPTR resource record described in [RFC2915] and [RFC3403].
- 1349 Familiarity with these documents is encouraged.
- 1350 Dynamic Delegation Discovery System (DDDS) [RFC3401]is a general purpose system for the retrieval of
- information based on an application-specific input string and the application of well known rules to
- transform that string until a terminal condition is reached requiring a look-up into an application-specific
- defined database or resolution of a URL based on the rules defined by the application. DDDS defines a
- specific type of DNS Resource Record, NAPTR records, for the storage of information in the DNS
- necessary to apply DDDS rules.
- 1356 Entities MAY publish separate URLs when multiple metadata documents need to be distributed, or when
- different metadata documents are required due to multiple trust relationships that require separate keying
- material, or when service interfaces require separate metadata declarations. This may be accomplished
- 1359 through the use of the optional <Additional MetadataLocation > element, or through the regexp
- facility and multiple service definition fields in the NAPTR resource record itself.
- 1361 If the publishing protocol permits MIME-based identification of content types, the content type of the
- metadata instance MUST be application/samlmetadata+xml.
- 1363 If the entity's unique identifier is a URN, publication of the corresponding metadata location proceeds as
- specified in [RFC3404]. Otherwise, the resolution of the metadata location proceeds as specified below.
- The following is the application-specific profile of DDDS for SAML metadata resolution.

#### 1366 4.2.1.1 First Well Known Rule

- 1367 The "first well-known-rule" for processing SAML metadata resolution is to parse the entity's unique
- identifier and extract the fully-qualified domain name (subexpression 3) as described in Section 4.2.3.1.

#### 1369 **4.2.1.2 The Order Field**

- 1370 The order field indicates the order for processing each NAPTR resource record returned. Publishers MAY
- provide multiple NAPTR resource records which MUST be processed by the resolver application in the
- order indicated by this field.

#### 4.2.1.3 The Preference Field

- For terminal NAPTR resource records, the publisher expresses the preferred order of use to the resolving
- application. The resolving application MAY ignore this order, in cases where the service field value does
- not meet the resolver's requirements (e.g.: the resource record returns a protocol the application does not
- 1377 support).

1373

#### 4.2.1.4 The Flag Field

- 1379 SAML metadata resolution twice makes use of the "U" flag, which is terminal, and the null value (implying
- additional resource records are to be processed). The "U" flag indicates that the output of the rule is a
- 1381 URI

1378

1382

#### 4.2.1.5 The Service Field

The SAML-specific service field, as described in the following BNF, declares the modes by which instance document(s) shall be made available:

```
1385 servicefield = 1("PID2U" / "NID2U") "+" proto [*(":" class) *(":" servicetype)]
1386 proto = 1("https" / "uddi")
1387 class = 1[ "entity" / "entitygroup" )
1388 servicetype = 1(si / "spsso" / "idpsso" / "authn" / "authnauth" / "pdp" / "attrauth" /
1389 alphanum )
1390 si = "si" [":" alphanum] [":endpoint"]
1391 alphanum = 1*32(ALPHA / DIGIT)
```

#### 1392 where:

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- servicefield PID2U resolves an entity's unique identifier to metadata URL.
- servicefield NID2U resolves a principal's <NameID> into a metadata URL.
- proto describes the retrieval protocol (https or uddi). In the case of UDDI, the URL will be an http(s) URL referencing a WSDL document.
  - class identifies whether the referenced metadata document describes a single entity, or multiple.
     In the latter case, the referenced document MUST contain the entity defined by the original unique identifier as a member of a group of entities within the document itself such as an <AffiliationDescriptor> or <EntitiesDescriptor>.
  - servicetype allows an entity to publish metadata for distinct roles and services as separate
    documents. Resolvers who encounter multiple servicetype declarations will dereference the
    appropriate URI, depending on which service is required for an operation (e.g.: an entity operating
    both as an identity provider and a service provider can publish metadata for each role at different
    locations). The authn service type represents a <SingleSignOnService> endpoint.
  - si (with optional endpoint component) allows the publisher to either directly publish the metadata for a service instance, or by articulating a SOAP endpoint (using endpoint).

#### 1408 For example:

- PID2U+https:entity-represents the entity's complete metadata document available via the https protocol
- PID2U+uddi:entity:si:foo-represents the WSDL document location that describes a service instance "foo"
- PID2U+https:entitygroup:idpsso-represents the metadata for a group of entities acting as SSO identity providers, of which the original entity is a member.
- NID2U+https:idp-represents the metadata for the SSO identity provider of a principal

#### 4.2.1.6 The Regex and Replacement Fields

The expected output after processing the input string through the regex MUST be a valid https URL or UDDI node (WSDL document) address.

# 4.2.2 NAPTR Examples

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#### 4.2.2.1 Entity Metadata NAPTR Examples

Entities publish metadata URLs in the following manner:

```
$ORIGIN provider.biz
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          ;; order pref f service regexp or replacement
1425
          IN NAPTR 100 10 "U" PID2U+https:entity
1426
1427
             "!^.*$!https://host.provider.biz/some/directory/trust.xml!" ""
          IN NAPTR 110 10 "U" PID2U+https: entity:trust
1428
             "!^.*!https://foo.provider.biz:1443/mdtrust.xml!" ""
1429
1430
          IN NAPTR 125 10 "U" PID2U+https:"
          IN NAPTR 110 10 "U" PID2U+uddi:entity
1431
             "!^.*$!https://this.uddi.node.provider.biz/libmd.wsdl" ""
1432
```

#### 4.2.2.2 Name Identifier Examples

A principal's employer example.int operates an identity provider which may be used by an office supply company to authenticate authorized buyers. The supplier takes a users' email address

buyer@example.int as input to the resolution process, and parses the email address to extract the FQDN (example.int). The employer publishes the following NAPTR record in the example.int DNS:

```
$ORIGIN example.int
IN NAPTR 100 10 "U" NID2U+https:authn
    "!^([^@]+)@(.*)$!https://serv.example.int:8000/cgi-bin/getmd?\1!" ""
IN NAPTR 100 10 "U" NID2U+https:idp
    "!^([^@]+)@(.*)$!https://auth.example.int/app/auth?\1" ""
```

#### 4.2.3 Resolution

When resolving metadata for an entity via the DNS, the unique identifier of the entity is used as the initial input into the resolution process, rather than as an actual location Proceed as follows:

- If the unique identifier is a URN, proceed with the resolution steps as defined in [RFC3404].
- Otherwise, parse the identifier to obtain the fully-qualified domain name.
- Query the DNS for NAPTR resource records of the domain iteratively until a terminal resource record is returned.
  - Identify which resource record to use based on the service fields, then order fields, then preference fields of the result set.
  - Obtain the document(s) at the provided location(s) as required by the application.

# 4.2.3.1 Parsing the Unique Identifier

To initiate the resolution of the location of the metadata information, it will be necessary in some cases to decompose the entity's unique identifier (expressed as a URI) into one or more atomic elements.

The following regular expression should be used when initiating the decomposition process:

Subexpression 3 MUST result in a Fully-Qualified Domain Name (FQDN), which will be the basis for retrieving metadata locations from this zone.

#### 4.2.3.2 Obtaining Metadata via the DNS

- 1465 Upon completion of the parsing of the identifier, the application then performs a DNS query for the resulting
- domain (subexpression 5) for NAPTR resource records; it should expect 1 or more responses.
- Applications MAY exclude from the result set any service definitions that do not concern the present
- 1468 request operations.

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- 1469 Resolving applications MUST subsequently order the result set according to the order field, and MAY
- order the result set based on the preference set. Resolvers are NOT REQUIRED to follow the ordering of
- the preferences field. The resulting NAPTR resource record(s) are operated on iteratively (based on the
- order flag) until a terminal NAPTR resource record is reached.
- 1473 The result will be a well-formed, absolute URL, which is then used to retrieve the metadata document.

# 4.2.4 Metadata Location Caching

- 1475 Location caching MUST NOT exceed the TTL of the DNS zone from which the location was derived.
- 1476 Resolvers MUST obtain a fresh copy of the metadata location upon reaching the expiration of the TTL of
- 1477 the zone.
- Publishers of metadata documents should carefully consider the TTL of the zone when making changes
- to metadata document locations. Should such a location change occur, a publisher MUST either keep the
- document at both the old and new location until all conforming resolvers are certain to have the updated
- location (e.g.: time of zone change + TTL), or provide an HTTP Redirect [RFC2616] response at the old
- location specifying the new location.

# 4.3 Post-Processing of Metadata

The following sections describe the post-processing of metadata.

# 4.3.1 Metadata Instance Caching

- 1486 Document caching MUST NOT exceed the validUntil or cacheDuration attribute of the subject
- element(s). If metadata elements have parent elements which contain caching policies, the parent
- 1488 element takes precedence.
- To properly process the cacheDuration attribute, consumers MUST retain the date and time when the
- 1490 document was retrieved.
- When a document or element has expired, the consumer MUST retrieve a fresh copy, which may require
- a refresh of the document location(s). Consumers SHOULD process document cache processing
- according to [RFC2616] Section 13, and MAY request the Last-Modified date and time from the HTTP
- 1494 server. Publishers SHOULD ensure acceptable cache processing as described in [RFC2616] (Section
- 1495 10.3.5 304 Not Modified).

# 4.3.2 Handling of HTTPS Redirects

- Publishers MAY issue an HTTP Redirect (301 Moved Permanently, 302 or 307 Temporary Redirect)
- 1498 [RFC2616], and user agents MUST follow the specified URL in the Redirect response. Redirects
- 1499 SHOULD be of the same protocol as the initial request.

#### 4.3.3 Processing of XML Signatures and General Trust Processing

- Metadata processing provides several mechanisms for trust negotiation for both the metadata itself and for the trust ascribed to the entity described by such metadata:
  - Trust derived from the signature of the DNS zone from which the metadata location URL was

- resolved, ensuring accuracy of the metadata document location(s)
- Trust derived from signature processing of the metadata document itself, ensuring the integrity of the XML document
- Trust derived from the SSL/TLS server authentication of the metadata location URL, ensuring the identity of the publisher of the metadata
- 1509 Post-processing of the metadata document MUST include signature processing at the XML-document
- 1510 level and MAY include one of the other two processes. Specifically, the relying party MAY choose to trust
- any of the cited authorities in the resolution and parsing process. Publishers of metadata MUST employ a
- document-integrity mechanism and MAY employ any of the other two processing profiles to establish trust
- in the metadata document, governed by implementation policies.

# 4.3.3.1 Processing Signed DNS Zones

- 1515 | Verification of DNS zone signature SHOULD be processed, if present, as described in [E66][RFC2535]
- 1516 [RFC4035].

#### **4.3.3.2 Processing Signed Documents and Fragments**

- 1518 Published metadata documents SHOULD be signed, as described in Section 3, either by a certificate
- issued to the subject of the document, or by another trusted party. Publishers MAY consider signatures of
- other parties as a means of trust conveyance.
- Metadata consumers MUST validate signatures, when present, on the metadata document as described
- by Section 3.

# 4.3.3.3 Processing Server Authentication during Metadata Retrieval via TLS/SSL

- 1524 It is STRONGLY RECOMMENDED that publishers implement TLS/SSL URLs; therefore, consumers
- SHOULD consider the trust inherited from the issuer of the TLS/SSL certificate. Publication URLs may not
- always be located in the domain of the subject of the metadata document; therefore, consumers SHOULD
- NOT presume certificates whose subject is the entity in question, as it may be hosted by another trusted
- 1528 party.
- As the basis of this trust may not be available against a cached document, other mechanisms SHOULD
- be used under such circumstances.

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# **Appendix A.Registration of MIME media type** application/samlmetadata+xml

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1588	Introduction		
1589 1590 1591	This document defines a MIME media type application/samlmetadata+xml for use with the XML serialization of Security Assertion Markup Language metadata.		
1592 1593 1594 1595 1596	SAML is a work product of the OASIS Security Services Technical Committee [SSTC]. The SAML specifications define XML-based constructs with which one may make, and convey, security assertions. Using SAML, one can assert that an authentication event pertaining to some subject has occurred and convey said assertion to a relying party, for example.		
1597 1598 1599 1600 1601	SAML profiles require agreements between system entities regarding identifiers, binding support, endpoints, certificates, keys, and so forth. Such information is treated as metadata by SAML v2.0. [SAMLv2Meta] specifies this metadata, as well as specifying metadata publication and resolution mechanisms. If the publishing protocol permits MIME-based identification of content types, then use of the application/samlmetadata+xml MIME media type is required.		
1602	MIME media type name		
1603	application		
1604	MIME subtype name		
1605	samlmetadata+xml		
1606	Required parameters		
1607	None		
1608	Optional parameters		
1609	charset		
1610	Same as charset parameter of application/xml [RFC3023].		
1611	Encoding considerations		
1612	Same as for application/xml [RFC3023].		
1613	Security considerations		
1614 1615 1616	Per their specification, samlmetadata+xml typed objects do not contain executable content. However, these objects are XML-based [XML], and thus they have all of the general security considerations presented in Section10 of [RFC3023].		
1617 1618	SAML metadata [SAMLv2Meta] contains information whose integrity and authenticity is important – identity provider and service provider public keys and endpoint addresses, for example.		
1619 1620 1621	To counter potential issues, the publisher may sign samlmetadata+xml typed objects. Any such signature should be verified by the recipient of the data - both as a valid signature, and as being the signature of the publisher.		
1622 1623	Additionally, various of the publication protocols, e.g. HTTP-over-TLS/SSL, offer means for ensuring the authenticity of the publishing party and for protecting the metadata in transit.		

[SAMLv2Meta] also defines prescriptive metadata caching directives, as well as guidance on handling HTTPS redirects, trust processing, server authentication, and related items.

For a more detailed discussion of SAML v2.0 metadata and its security considerations, please see [SAMLv2Meta]. For a discussion of overall SAML v2.0 security considerations and specific security-related design features, please refer to the SAML v2.0 specifications listed in the below bibliography. The specifications containing security-specific information are explicitly listed.

# Interoperability considerations

SAML v2.0 metadata explicitly supports identifying the protocols and versions supported by the identified entities. For example, an identity provider entity can be denoted as supporting SAML v2.0 [SAMLv2.0], SAML v1.1 [SAMLv1.1], Liberty ID-FF 1.2 [LAPFF], or even other protocols if they are unambiguously identifiable via URI [RFC2396]. This protocol support information is conveyed via the protocol Support Enumeration attribute of metadata objects of the RoleDescriptorType.

# **Published specification**

1638 [SAMLv2Meta] explicitly specifies use of the application/samlmetadata+xml MIME media type.

# Applications which use this media type

Potentially any application implementing SAML v2.0, as well as those applications implementing specifications based on SAML, e.g. those available from the Liberty Alliance [LAP].

#### **Additional information**

# Magic number(s)

In general, the same as for application/xml [RFC3023]. In particular, the XML root element of the returned object will have a namespace-qualified name with:

- a local name of: EntityDescriptor, or

AffiliationDescriptor, or

EntitiesDescriptor

- a namespace URI of: urn:oasis:names:tc:SAML:2.0:metadata

(the SAMLv2.0 metadata namespace)

# File extension(s)

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# **Macintosh File Type Code(s)**

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#### Person & email address to contact for further information

This registration is made on behalf of the OASIS Security Services Technical Committee (SSTC) Please refer to the SSTC website for current information on committee chairperson(s) and their contact addresses: http://www.oasis-open.org/committees/security/. Committee members should submit comments and potential errata to the securityservices@lists.oasis-open.org list. Others should submit them by filling out the web form located at http://www.oasis-open.org/committees/comments/form.php?wg\_abbrev=security.

Additionally, the SAML developer community email distribution list, saml-dev@lists.oasisopen.org, may be employed to discuss usage of the application/samlmetadata+xml MIME
media type. The "saml-dev" mailing list is publicly archived here: http://lists.oasisopen.org/archives/saml-dev/. To post to the "saml-dev" mailing list, one must subscribe to it. To
subscribe, send a message with the single word "subscribe" in the message body, to: saml-devrequest@lists.oasis-open.org.

# Intended usage

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# **Author/Change controller**

The SAML specification sets are a work product of the OASIS Security Services Technical Committee (SSTC). OASIS and the SSTC have change control over the SAML specification sets.

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