

# Syntax Analysis

Dr. Nguyen Hua Phung  
nhphung@hcmut.edu.vn

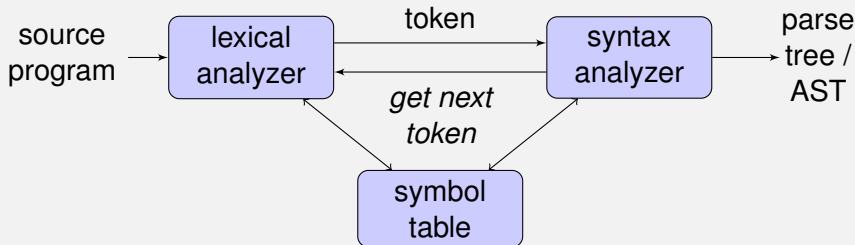
HCMC University of Technology, Viet Nam

09, 2015

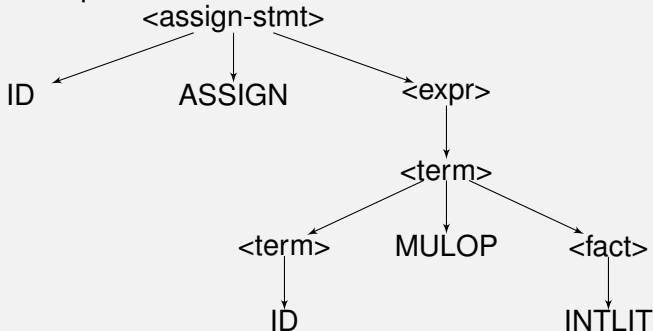
- 1 Introduction to Syntax Analysis
- 2 Context-free grammar
- 3 Write a grammar
- 4 Some issues

## Roles

- read the sequence of tokens
- produce as output a parse tree or abstract syntax tree (AST)
- give error messages when detecting **syntax errors**



- Source program:  $a = b * 4$
- Lexer output (parser input): ID ASSIGN ID MULOP INTLIT
- Parser output:



Can we use regular expression to express the following language?

$$L = \{a^n b^n \mid n > 0\}$$

## Example

In programming languages, there are some **symmetric structure**

- (((...))) the number of ( must be equal to that of )
- **repeat ... repeat ... until ... until**

More generally, programming languages have many recursive structures

## Example

$\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{expr} \rangle$

$\langle \text{stmt} \rangle ::= \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt} \rangle \text{ else } \langle \text{stmt} \rangle$

Regular expressions cannot describe this kind of structure

## We need

- A mean to describe this kind of language
- A method to detect if a sequence of tokens is valid or invalid regarding to this kind of language

A context-free grammar (CFG) consists of

- A set of terminals  $T$
- A set of non-terminals  $N$
- A start symbol  $S \in N$
- A set of productions  $P$

A production  $p \in P$  is in the form:  $X \rightarrow \alpha$

where  $X \in N$  and  $\alpha$  is a sequence of symbols in  $T$  and/or  $N$

CFG for simple integer expressions consists of:

- Set of terminals (tokens): {ADDOP, MULOP, INTLIT, LB, RB}
- Set of non-terminals: {<exp>}
- Start symbol: <exp>
- Set of productions:
  - <exp>  $\rightarrow$  <exp> ADDOP <exp>
  - <exp>  $\rightarrow$  <exp> MULOP <exp>
  - <exp>  $\rightarrow$  INTLIT
  - <exp>  $\rightarrow$  LB <exp> RB



CFG for simple integer expressions consists of:

- Set of terminals (tokens): {ADDOP, MULOP, INTLIT, LB, RB}
- Set of non-terminals: {<exp>}
- Start symbol: <exp>
- Set of productions:

$$\begin{array}{lcl} \langle \text{exp} \rangle & \rightarrow & \langle \text{exp} \rangle \text{ ADDOP } \langle \text{exp} \rangle \\ & | & \langle \text{exp} \rangle \text{ MULOP } \langle \text{exp} \rangle \\ & | & \text{INTLIT} \\ & | & \text{LB } \langle \text{exp} \rangle \text{ RB} \end{array}$$

How to know the language which a CFG describe?

## Language Generation

- 1 Start with the string containing only the start symbol
- 2 Replace any non-terminal symbol  $X$  in the string with the right-hand side of some production  $X \rightarrow \alpha$
- 3 Repeat (2) until there are no non-terminals in the string

## Grammar

<exp>	→	<exp> ADDOP <exp>	(1)
		<exp> MULOP <exp>	(2)
		LB <exp> RB	(3)
		INTLIT	(4)

## Derivation

<exp>	$\xRightarrow{(2)}$	<exp> MULOP <exp>
	$\xRightarrow{(4)}$	INTLIT MULOP <exp>
	$\xRightarrow{(1)}$	INTLIT MULOP <exp> ADDOP <exp>
	$\xRightarrow{(4)}$	INTLIT MULOP INTLIT ADDOP <exp>
	$\xRightarrow{(4)}$	INTLIT MULOP INTLIT ADDOP INTLIT

Let  $G$  be a context-free grammar with start symbol  $S$ . The language  $L(G)$  generated from  $G$  is:

$$\{ a_1 a_2 \dots a_n \mid a_i \in T \text{ and } S \xRightarrow{+} a_1 a_2 \dots a_n \}$$

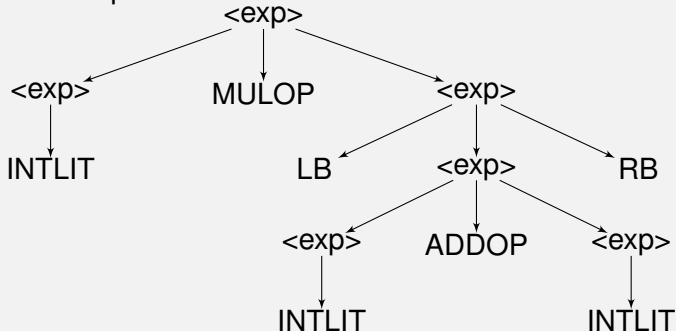
## CFG is a good mean to describe PL, but:

- Not only check if a string is valid but also its parse tree
- how to handle gracefully error

## Parse Tree

- Start symbol as the parse tree's root
- For a production  $X \rightarrow Y_1 Y_2 \dots Y_n$ , add children  $Y_1 Y_2 \dots Y_n$  to node  $X$

- Source:  $12 * (4 + 5)$
- Parser input: INTLIT MULOP LB INTLIT ADDOP INTLIT RB
- Parser output:

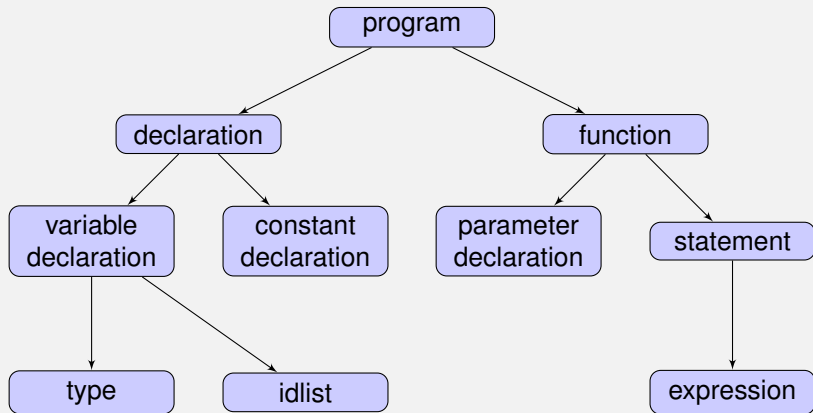


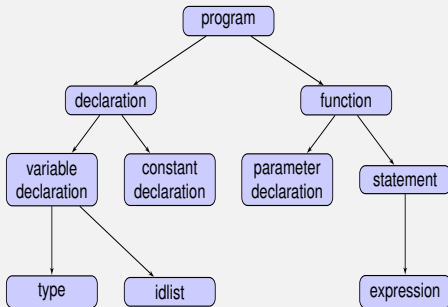
- Based on the language specification
- Try to find out the **hierarchy structure** of a language
- Focus on the **order of syntax units** instead of their meaning or other constraints
- Use **recursion** to describe something occurring **many times**
- Use **recursion** to describe **nested structures**

Each language has its own specification which sometimes differs from common sense

- **Smalltalk** has the same precedence for all binary operators  
 $a + b * c \equiv ((a + b) * c)$
- **C** specifies  $>$  ,  $<$  ,  $>=$  ,  $<=$  higher priority than  $==$ ,  $!=$  while **Pascal** lets these operators same priority







program	→	...
declaration	→	...
variable_decl	→	...
const_decl	→	...
type_decl	→	...
idlist	→	...
function	→	...
para_decl	→	...
stmt	→	...
exp	→	...

- CFG just helps to describe the order of tokens
- CFG cannot be used to describe type constraints
- Some other kinds of constraints such as scope, name resolution,... cannot be solved by CFG

## 2.1 Constant Declaration:

Each constant declaration has the form:

*<identifier> = <expression> ;*

The *<expression>* will be discussed later in the Expression section. Note that the expression in a constant declaration must be evaluated statically, so it cannot include a variable or a method invocation.

For example:

*My1stCons = 1 + 5;*

*MyndCons = 2 \* My1stCons;*

Some language structures may contain unlimited list of elements:

- A variable declaration may have a list of identifiers  
**a, c10, b: integer;**
- A function declaration may have a list of parameter  
function foo(**a:integer; b:real**)
- A block may have many statements inside  
begin

```
c := 1;  
m := 10;
```

end

Use **recursion** to describe <many> based on <one>

<many>  $\rightarrow$  <one> [<separator>] <many>  
| <non recursive case>

- <separator>: symbol is used to separate elements in a list  
a, c10, d: integer  
function foo(a:integer;b:real)
- <non recursive case>: the minimum number of elements in the list
  - <one> if there is at least one element or there is a <separator> between elements in the list
  - $\epsilon$  if the list may be empty and there is no <separator> between elements in the list

For example,

listid  $\rightarrow$  ID COMMA listid  
| ID

In programming languages, there are many nested structures:

- There may be a function declaration in a function declaration
- A statement may appear inside another statement.
- A block may appear inside another block
- An expression may be an operand of another expression
- ...

Use **recursion** to describe a nested structures, for example

```
<stmt>  →  IF <exp> THEN <stmt> ELSE <stmt>  
          |  WHILE <exp> DO <stmt>  
          |  ...
```

## EBNF

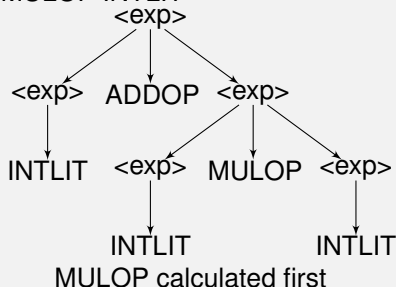
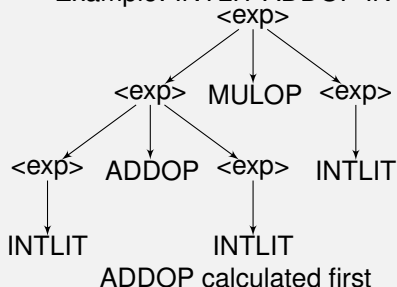
- allow to use operators in **regular expression** in RHS
- higher expressiveness
- often supported by top-down parsing generators

BNF	EBNF (RHS)	ANTLR
$\langle \text{exp} \rangle \rightarrow \langle \text{exp} \rangle '+' \langle \text{term} \rangle$   $\langle \text{exp} \rangle '-' \langle \text{term} \rangle$   $\langle \text{term} \rangle$	$\langle \text{term} \rangle (('+' '-') \langle \text{term} \rangle)^*$	exp: term (('+' '-') term)*;
$\langle \text{else} \rangle \rightarrow \text{ELSE } \langle \text{stmt} \rangle$   $\epsilon$	$(\text{ELSE } \langle \text{stmt} \rangle)?$	else: ("else" stmt)?;
$\langle \text{idlist} \rangle \rightarrow \text{ID } ',' \text{idlist}$   ID	$\text{ID } (',' \text{ID})^*$	idlist: ID ("," ID)* ;



- When more than one parse tree can be found for a string of tokens, the grammar is *ambiguous*
- Ambiguity makes the meaning of some programs ill-defined

Example: INTLIT ADDOP INTLIT MULOP INTLIT



- Rewrite the grammar unambiguously
- In some grammar tools, there are disambiguating declarations

```
<exp>  →  <exp> ADDOP <exp> | <exp> MULOP <exp>
        |  INTLIT
        |  LB <exp> RB
```

- The recursive term <exp> appeared in **both sides** of ADDOP and MULOP is the source of ambiguity.
- Removing one recursive term in right hand side of productions can disambiguates the grammar.

```
<exp>  →  <exp> ADDOP <term> | <exp> MULOP <term>
        |  <term>
<term> →  INTLIT
        |  LB <exp> RB
```

- A binary operator  $o$  is left-associated (or right-associated) when the left (or right) operator  $o$  in expression  $x o x o x$  is calculated first.

For example,

$$9 - 5 - 2 \Rightarrow 6 \Rightarrow 2$$

- The operator side where the recursive term appears will determine the association of the operator

For example,

- if ADDOP is **left-associated**, the grammar should be  
 $\langle \text{exp} \rangle \rightarrow \langle \text{exp} \rangle \text{ ADDOP } \langle \text{term} \rangle$
- if ADDOP is **right-associated**, the grammar should be  
 $\langle \text{exp} \rangle \rightarrow \langle \text{term} \rangle \text{ ADDOP } \langle \text{exp} \rangle$

- To prioritize operators, the left hand sides (lhs) of the rules where these operators appear should be different
- if operator  $o_1$  has higher priority than operator  $o_2$ ,  $o_1$ 's lhs is generated by  $o_2$ 's lhs

For example,

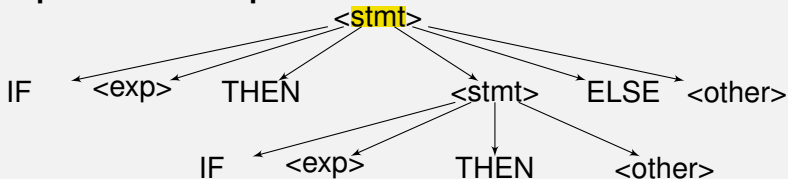
$\langle \text{exp} \rangle$	$\rightarrow$	$\langle \text{exp} \rangle$ ADDOP $\langle \text{term} \rangle$
		$\langle \text{term} \rangle$
$\langle \text{term} \rangle$	$\rightarrow$	$\langle \text{term} \rangle$ MULOP $\langle \text{factor} \rangle$
		$\langle \text{factor} \rangle$
$\langle \text{factor} \rangle$	$\rightarrow$	INTLIT
		LB $\langle \text{exp} \rangle$ RB

# If Statement

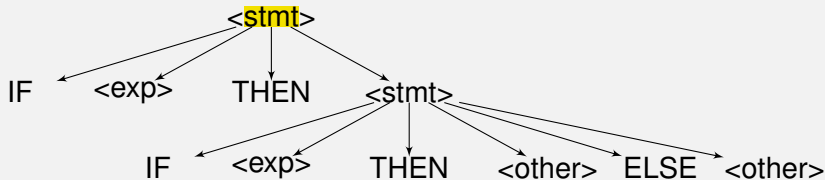
$\langle \text{stmt} \rangle \rightarrow \text{IF } \langle \text{exp} \rangle \text{ THEN } \langle \text{stmt} \rangle \text{ ELSE } \langle \text{stmt} \rangle$   
                  |  
                   $\text{IF } \langle \text{exp} \rangle \text{ THEN } \langle \text{stmt} \rangle$   
                  |  
                   $\langle \text{other} \rangle$

Draw parse tree for input

**IF  $\langle \text{exp} \rangle$  THEN IF  $\langle \text{exp} \rangle$  THEN  $\langle \text{other} \rangle$  ELSE  $\langle \text{other} \rangle$**



or



## Natural if-statement grammar

```
<stmt>  →  IF <exp> THEN <stmt> ELSE <stmt>
          |  IF <exp> THEN <stmt>
          |  <other>
```

## Unambiguous if-statement grammar

```
<stmt>   →  <matchStmt>
          |  <unmatchStmt>
<matchStmt> →  IF <exp> THEN <matchStmt>
                  ELSE <matchStmt>
          |  <other>
<unmatchStmt> →  IF <exp> THEN <stmt>
                  IF <exp> THEN <matchStmt>
                  ELSE <unmatchStmt>
```

- Some grammar tools has declarations to disambiguous grammar
- Write grammar in natural format and use declarations to disambiguous it

For example, ANTLR has

- use option `<assoc=left>`, `<assoc=right>` for left- and right-associativity, respectively.
- the order of these declarations makes the order of operator precedence

```
main    :  expr EOL
        ;
expr    :
        LB expr RB
        |  ADDOP expr                // highest
        |  expr MULOP expr           //default: left-associ
        |  expr ADDOP expr
        |  <assoc=right> expr '=' expr // lowest, right-associ
        |  INT
        |  ID
        ;
```



- Context-free grammar: only one non-terminal symbol on the LHS
- Some issues when writing a CFG
  - ambiguous - dis-ambiguous
  - operator association
  - operator precedence