

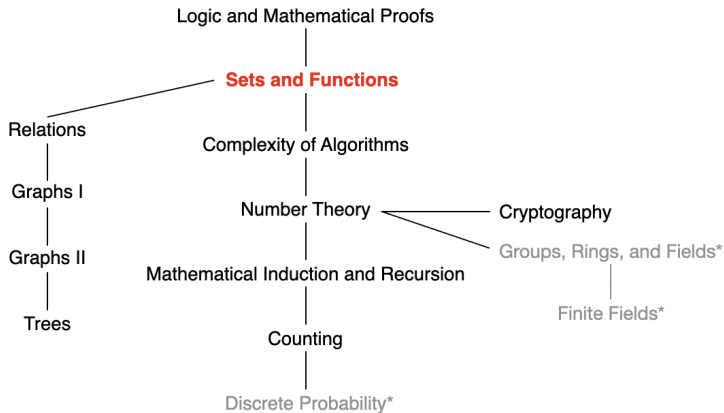
Discrete Mathematics for Computer Science

Lecture 5: Set and Function

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This Lecture



Set and Functions: set, set operations, functions,
sequences and summation, cardinality of sets



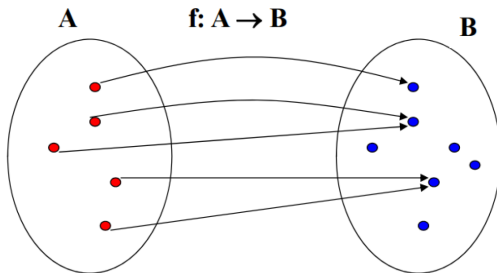
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Function

Let A and B be two sets. A **function** from A to B , denoted by $f : A \rightarrow B$, is an assignment of **exactly one** element of B to **each** element of A .

- We write $f(a) = b$ if b is the unique element of B assigned by the function f to the element a of A .



One-to-One and Onto Functions

- **One-to-one function**

- ▶ never assign the same value to two different domain elements.

- **Onto function**

- ▶ every member of the codomain is the image of some element of the domain.

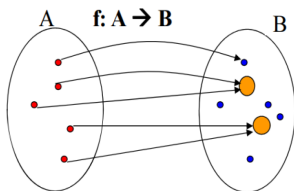
- **One-to-one correspondence**

- ▶ One-to-one and onto

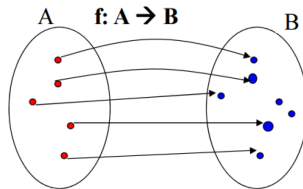
One-to-One (Injective) Function

A function f is called **one-to-one** or **injective** if and only if $f(x) = f(y)$ implies $x = y$ for all x, y in the domain of f . Also called an **injection**.

Alternatively: A function is one-to-one if and only if $x \neq y$ implies $f(x) \neq f(y)$. (contrapositive!)



Not injective

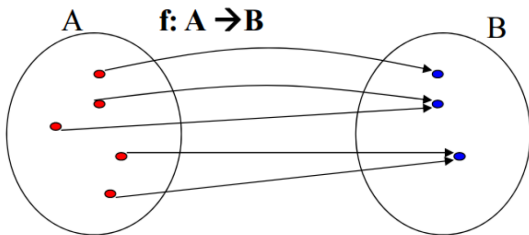


Injective function

Onto (Surjective) Function

A function f is called **onto** or **surjective** if and only if for every $b \in B$ there is an element $a \in A$ such that $f(a) = b$. Also called a **surjection**.

Alternatively: A function is onto if and only if all codomain elements are covered, i.e., $f(A) = B$.



Onto (Surjective) Function: Example

Example 1:

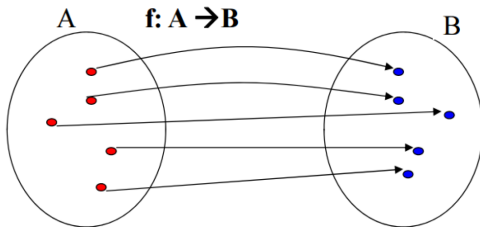
Let f be the function from $\{a, b, c, d\}$ to $\{1, 2, 3\}$ defined by $f(a) = 3$, $f(b) = 2$, $f(c) = 1$, and $f(d) = 3$. Is f an onto function? **Yes.**

What if the codomain were $\{1, 2, 3, 4\}$? **No.**

Example 2: Is the function $f(x) = x^2$ from the set of integers to the set of integers onto? **No**, as there is no integer x with $x^2 = -1$.

One-to-One Correspondence (Bijective Function)

A function f is called **one-to-one correspondence** or **bijective**, if and only if it is **both** one-to-one and onto. Also called **bijection**.



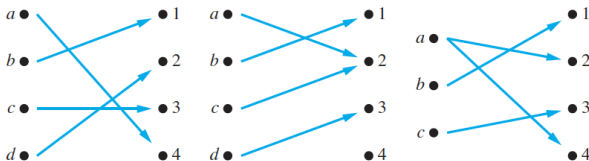
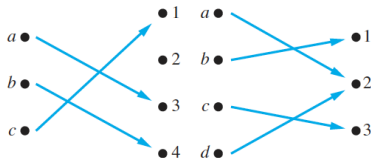
One-to-One Correspondence: Example

Example 1:

Let f be the function from $\{a, b, c, d\}$ to $\{1, 2, 3, 4\}$ with $f(a) = 4$, $f(b) = 2$, $f(c) = 1$, and $f(d) = 3$. Is f a one-to-one correspondence? **Yes.**

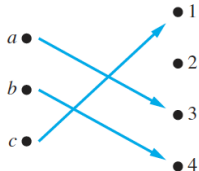
Example 2: Consider an identity function on A , i.e., $\iota : A \rightarrow A$, where $\iota_A(x) = x$. Is this function a one-to-one correspondence? **Yes.**

Are These Functions Injective, Surjective, Bijective?

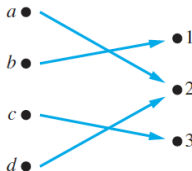


Are These Functions Injective, Surjective, Bijective?

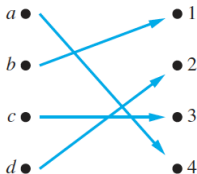
(a) One-to-one,
not onto



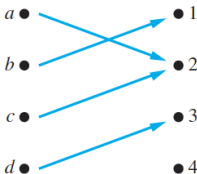
(b) Onto,
not one-to-one



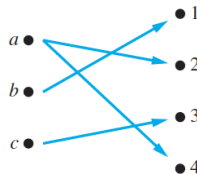
(c) One-to-one,
and onto



(d) Neither one-to-one
nor onto



(e) Not a function



Proof for One-to-One and Onto

To show that f is <i>injective</i>	Show that if $f(x) = f(y)$ for all $x, y \in A$, then $x = y$
To show that f is not <i>injective</i>	Find specific elements $x, y \in A$ such that $x \neq y$ and $f(x) = f(y)$
To show that f is <i>surjective</i>	Consider an arbitrary element $y \in B$ and find an element $x \in A$ such that $f(x) = y$
To show that f is not <i>surjective</i>	Find a specific element $y \in B$ such that $f(x) \neq y$ for all $x \in A$

Example

$f : \mathbf{Z} \rightarrow \mathbf{Z}$, where $f(x) = x + 1$. Is f injective? Surjective? Bijective?

Proof:

- Injective (one-to-one function): If $f(x) = f(x')$ for any arbitrary x and x' , then $x = x'$.
- Surjective (onto function): For every integer $y \in \mathbf{Z}$, there exists an integer $x \in \mathbf{Z}$ such that $f(x) = y$.
- Bijective (one-to-one correspondence): injective and surjective

\mathbf{Z} is integers

not natural number



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One-to-One and Onto

Prove that “for a function $f : A \rightarrow B$ with $|A| = |B| = n$, f is one-to-one if and only if f is onto.”

Proof: Since $|A| = n$, let $\{x_1, x_2, \dots, x_n\}$ be elements of A .

- If f is one-to-one, then f is onto (direct proof): Suppose that f is one-to-one. According to the definition of one-to-one function, $f(x_i) \neq f(x_j)$ for any $i \neq j$. Thus, $|f(A)| = |\{f(x_1), \dots, f(x_n)\}| = n$. Since $|B| = n$ and $f(A) \subseteq B$, we have $f(A) = B$.
- If f is onto, then f is one-to-one (contradiction): Suppose that f is onto. Suppose that f is not one-to-one. Thus, $f(x_i) = f(x_j)$ for some $i \neq j$. Then, $|\{f(x_1), \dots, f(x_n)\}| \leq n - 1$. Note that $|f(A)| = |B| = n$, which leads to a contradiction.

Use $f(A)$ denote a set

One-to-One and Onto

Consider an **infinite** set A and a function from A to A . Consider the statement “For any arbitrary $f : A \rightarrow A$, f is one-to-one **if and only if** f is onto”. Is this statement true?

Proof (Counterexample): Consider the following $f : \mathbf{Z} \rightarrow \mathbf{Z}$, where $f(x) = 2x$. f is one-to-one but not onto:

- $f(1) = 2$
- $f(2) = 4$
- $f(3) = 6$
- ...

We can prove that 3 has no preimage.

Two Functions on Real Numbers

Let f_1 and f_2 be functions from A to \mathbf{R} . Then $f_1 + f_2$ and $f_1 f_2$ are also functions from A to \mathbf{R} defined for all $x \in A$ by

$$(f_1 + f_2)(x) = f_1(x) + f_2(x)$$

$$(f_1 f_2)(x) = f_1(x) f_2(x)$$

Example:

$$f_1 = x - 1 \text{ and } f_2 = x^3 + 1$$

Then

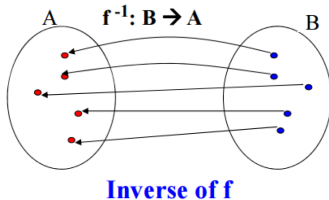
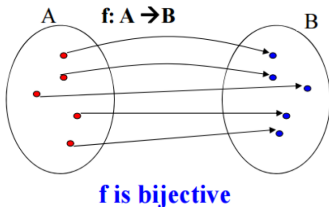
$$\begin{aligned}(f_1 + f_2)(x) &= x^3 + x \\ (f_1 f_2)(x) &= x^4 - x^3 + x - 1\end{aligned}$$



Inverse Functions

Let f be a **one-to-one correspondence (bijection)** from the set A to the set B . The **inverse function** of f is the function that assigns to an element b belonging to B the unique element a in A such that $f(a) = b$.

The inverse function of f is denoted by f^{-1} .
Hence, $f^{-1}(b) = a$ when $f(a) = b$.



A bijection is called **invertible**.



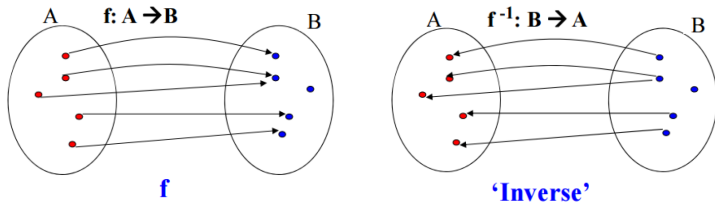
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Inverse Functions

If f is **not a one-to-one correspondence (bijection)**, it is impossible to define the inverse function of f . Why?

Assume f is not one-to-one (injective):

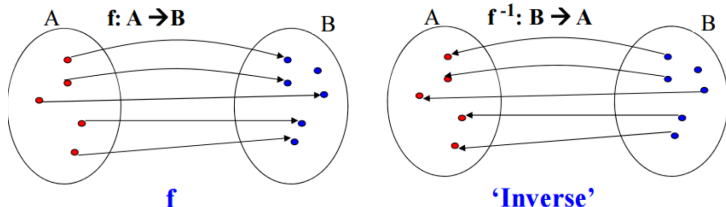


The inverse is **not a function**: one element of B is mapped to **two different** elements of A .

Inverse Functions

If is **not a one-to-one correspondence (bijection)**, it is impossible to define the inverse function of f . Why?

Assume f is not onto (surjective):



The inverse is not a function: one element of B is **not assigned** an element of A .

Proof for Inverse Function

1 Prove function f is a bijection: injective, surjective

To show that f is <i>injective</i>	Show that if $f(x) = f(y)$ for all $x, y \in A$, then $x = y$
To show that f is not <i>injective</i>	Find specific elements $x, y \in A$ such that $x \neq y$ and $f(x) = f(y)$
To show that f is <i>surjective</i>	Consider an arbitrary element $y \in B$ and find an element $x \in A$ such that $f(x) = y$
To show that f is not <i>surjective</i>	Find a specific element $y \in B$ such that $f(x) \neq y$ for all $x \in A$

2 If f is a bijection, then it is invertible

3 Determine the inverse function



Inverse Functions: Example 1

$f : \mathbf{Z} \rightarrow \mathbf{Z}$, where $f(x) = x + 1$. Is f invertible? If yes, then what is the inverse function f^{-1} ?

Proof: f is invertible, as it is a bijection (one-to-one correspondence):

- **Injective** (one-to-one function): If $f(x) = f(x')$ for any arbitrary x and x' , then $x = x'$.
- **Surjective** (onto): For every integer $y \in \mathbf{Z}$, there exists an integer $x = y - 1$ such that $f(x) = y$.

use def

To reverse the function, suppose that y is the image of x , so that $y = x + 1$. Then, $x = y - 1$. This means that $y - 1$ is the unique element of \mathbf{Z} that is sent to y by f . Consequently, $f^{-1}(y) = y - 1$.

Inverse Functions: Example 2

Let f be the function from \mathbf{R} to \mathbf{R} with $f(x) = x^2$. Is f invertible?

Proof: No, f is not invertible. This is because f is not injective, as $f(-2) = f(2)$.

What if we restrict function $f(x) = x^2$ to a function from the set of all nonnegative real numbers to the set of all nonnegative real numbers?

Proof: It is invertible, as it is a bijection:

- **Injective:** Consider x and x' . If $f(x) = f(x')$ (i.e., $x^2 = (x')^2$), then we have $x^2 - (x')^2 = (x + x')(x - x') = 0$. Since we consider the set of all nonnegative real numbers, we must have $x = x'$.
- **Surjective:** Consider an arbitrary nonnegative real number y . There exists a nonnegative real number $x = \sqrt{y}$ such that $f(x) = y$.

To reverse the function, suppose that y is the image of x , so that $y = x^2$. Then, $x = \sqrt{y}$. Consequently, $f^{-1}(y) = \sqrt{y}$.



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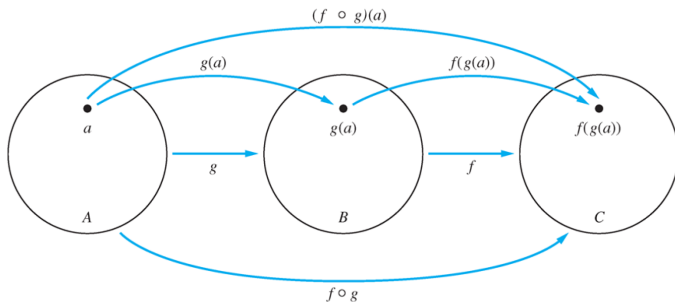
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Summary of Function

- Function $f : A \rightarrow B$: an assignment of **exactly one** element of B to **each** element of A
- Domain, codomain, image, preimage, range
- One-to-one function
 - ▶ also called an injection or injective function
- Onto function
 - ▶ also called a surjection or surjective function
- One-to-one correspondence
 - ▶ one-to-one and onto
 - ▶ also called a bijection or bijective function
- Inverse function
 - ▶ One-to-one correspondence

Composition of Functions

Let f be a function from B to C and let g be a function from A to B . The **composition** of the functions f and g , denoted by $f \circ g$, is defined by $(f \circ g)(x) = f(g(x))$.



Composition of Functions

■ Example 1:

Let $A = \{1, 2, 3\}$ and $B = \{a, b, c, d\}$.

$$g : A \rightarrow A \qquad f : A \rightarrow B$$

$$1 \mapsto 3 \qquad 1 \mapsto b$$

$$2 \mapsto 1 \qquad 2 \mapsto a$$

$$3 \mapsto 2 \qquad 3 \mapsto d$$

What is $f \circ g$?

$$f \circ g : A \rightarrow B$$

$$1 \mapsto d$$

$$2 \mapsto b$$

$$3 \mapsto a$$

Composition of Functions

■ Example 2:

Let $f : \mathbf{Z} \rightarrow \mathbf{Z}$ and $g : \mathbf{Z} \rightarrow \mathbf{Z}$, where $f(x) = 2x$ and $g(x) = x^2$.

What are $g \circ f$ and $f \circ g$?

$$g \circ f : \mathbf{Z} \rightarrow \mathbf{Z} \quad g \circ f = 4x^2$$

$$f \circ g : \mathbf{Z} \rightarrow \mathbf{Z} \quad f \circ g = 2x^2$$

Note: In general, the order of composition **matters**.

Composition of Functions

- Suppose that f is a bijection from A to B . Then $f \circ f^{-1} = I_B$ and $f^{-1} \circ f = I_A$, Since

$$(f^{-1} \circ f)(a) = f^{-1}(f(a)) = f^{-1}(b) = a$$

$$(f \circ f^{-1})(b) = f(f^{-1}(b)) = f(a) = b,$$

where I_A, I_B denote the *identity functions* on the sets A and B , respectively.

Note: Identity function is sometimes denoted by $\iota_A(\cdot)$:

$$\iota_A(x) = x$$

Floor and Ceiling Functions

- The **floor function** assigns a real number x the **largest integer that is $\leq x$** , denoted by $\lfloor x \rfloor$. E.g., $\lfloor 3.5 \rfloor = 3$.
- The **ceiling function** assigns a real number x the **smallest integer that is $\geq x$** , denoted by $\lceil x \rceil$. E.g., $\lceil 3.5 \rceil = 4$.

$$(1a) \lfloor x \rfloor = n \text{ if and only if } n \leq x < n + 1$$

$$(1b) \lceil x \rceil = n \text{ if and only if } n - 1 < x \leq n$$

$$(1c) \lfloor x \rfloor = n \text{ if and only if } x - 1 < n \leq x$$

$$(1d) \lceil x \rceil = n \text{ if and only if } x \leq n < x + 1$$

$$(2) x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$$

$$(3a) \lfloor -x \rfloor = -\lceil x \rceil$$

$$(3b) \lceil -x \rceil = -\lfloor x \rfloor$$

$$(4a) \lfloor x + n \rfloor = \lfloor x \rfloor + n$$

$$(4b) \lceil x + n \rceil = \lceil x \rceil + n$$

Note: n is an integer, x is a real number



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Floor and Ceiling Functions: Example 1

Only the ADDITION of integer can be move out

Prove that if x is a real number, then $\lfloor 2x \rfloor = \lfloor x \rfloor + \lfloor x + \frac{1}{2} \rfloor$.

Proof: Let $x = n + \epsilon$, where n is an integer and $0 \leq \epsilon < 1$.

- $0 \leq \epsilon < \frac{1}{2}$: In this case, $2x = 2n + 2\epsilon$. Since $0 \leq 2\epsilon < 1$, we have $\lfloor 2x \rfloor = 2n$. Similarly, $x + \frac{1}{2} = n + \frac{1}{2} + \epsilon$. Since $0 \leq \frac{1}{2} + \epsilon < 1$, we have $\lfloor x + \frac{1}{2} \rfloor = n$. Thus, $\lfloor 2x \rfloor = 2n$, and $\lfloor x \rfloor + \lfloor x + \frac{1}{2} \rfloor = 2n$.
- $\frac{1}{2} \leq \epsilon < 1$: In this case, $2x = 2n + 2\epsilon = (2n + 1) + (2\epsilon - 1)$. Since $0 \leq 2\epsilon - 1 < 1$, we have $\lfloor 2x \rfloor = 2n + 1$

domain

divide into 2 cases.



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Floor and Ceiling Functions: Example 2

Prove or disprove that $\lceil x + y \rceil = \lceil x \rceil + \lceil y \rceil$ for all real numbers x and y .

Proof: This statement is false. Consider a counterexample $x = \frac{1}{2}$ and $\frac{1}{2}$. We can find that $\lceil x + y \rceil = 1$, but $\lceil x \rceil + \lceil y \rceil = 2$.

Factorial Function

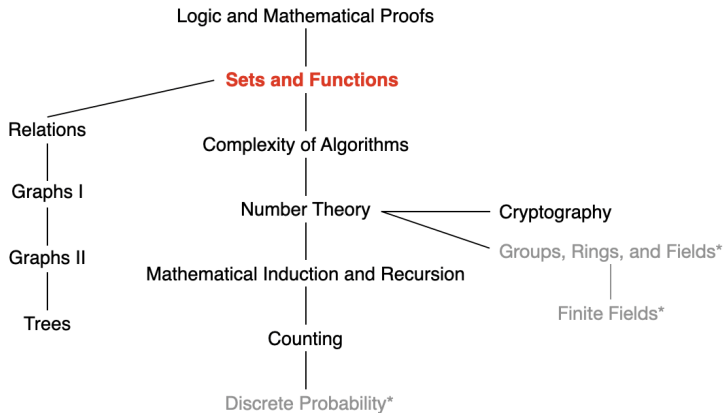
The **factorial function** $f : \mathbf{N} \rightarrow \mathbf{Z}^+$ is the product of the first n positive integers when n is a nonnegative integer, denoted by $f(n) = n!$.

Summary of Function

- Function $f : A \rightarrow B$: an assignment of **exactly one** element of B to **each** element of A
- One-to-one function
- Onto function
- One-to-one correspondence: one-to-one function and onto
- Inverse function
- Floor function, ceiling function, factorial function



This Lecture



Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets



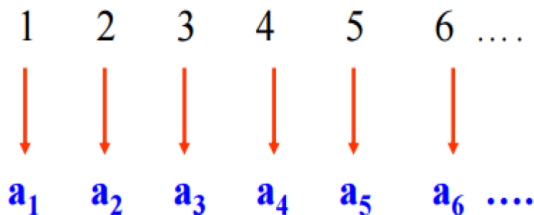
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Sequences

A **sequence** is a **function** from a subset of the set of integers (typically the set $\{0, 1, 2, \dots\}$ or $\{1, 2, 3, \dots\}$) to a set S .

We use the notation a_n to denote the image of the integer n . $\{a_n\}$ represents the ordered list $\{a_1, a_2, a_3, \dots\}$



Sequences

Examples:

- $a_n = n^2$, where $n = 1, 2, 3, \dots$
- $a_n = (-1)^n$, where $n = 1, 2, 3, \dots$
- $a_n = 2^n$, where $n = 1, 2, 3, \dots$

Geometric Progression

A **geometric progression** is a sequence of the form

$$a, ar, ar^2, \dots, ar^n, \dots$$

where the **initial term** a and the **common ratio** r are real numbers.

Example: $a_n = 3 \times (\frac{1}{2})^n$, where $n = 0, 1, 2, 3, \dots$

Arithmetic Progression

An **arithmetic progression** is a sequence of the form

$$a, a + d, a + 2d, a + 3d, \dots, a + nd, \dots$$

where the **initial term** a and **common difference** d are real numbers.

Example: $a_n = -1 + 4n$, where $n = 0, 1, 2, 3, \dots$

Recursively Defined Sequences

1 Providing explicit formulas, e.g., $a_n = -1 + 4n$, where $n = 0, 1, 2, 3, \dots$

2 **Recursively Defined Sequences:** provide

- one or more **initial terms**
- a **rule** for determining **subsequent terms** from those that precede them.

The n -th element of the sequence $\{a_n\}$ is defined recursively in terms of the **previous elements** of the sequence and the **initial elements** of the sequence.

Examples:

- $a_0 = 1$, $a_n = a_{n-1} + 2$ for $n = 1, 2, 3, \dots$
- $f_0 = 0$, $f_1 = 1$, $f_n = f_{n-1} + f_{n-2}$ for $n = 2, 3, 4, \dots$ (Fibonacci sequence)

Summations

The summation of the terms of a sequence is

$$\sum_{j=m}^n a_j = a_m + a_{m+1} + \dots + a_n$$

- j : the index of summation; the choice of the letter is arbitrary
- m : the lower limit of the summation
- n : the upper limit of the summation

$$\sum_{j=1}^n (ax_j + by_j) = a \sum_{j=1}^n x_j + b \sum_{j=1}^n y_j$$

$$\sum_{i=1}^m \sum_{j=1}^n a_i b_j = \sum_{i=1}^m a_i \sum_{j=1}^n b_j$$



Summations

The sum of the first n terms of the arithmetic progression:

$$S_n = \sum_{j=0}^n (a + jd) = (n+1)a + d \sum_{j=0}^n j = (n+1)a + d \frac{n(n+1)}{2}$$

The sum of the first n terms of the geometric progression:

- $r \neq 1$

$$S_n = \sum_{j=0}^n (ar^j) = a \sum_{j=0}^n r^j = \frac{ar^{n+1} - a}{r - 1}$$

- $r = 1$

$$S_n = \sum_{j=0}^n (ar^j) = (n+1)a$$



Summations: Example

■ Examples:

$$\diamond S = \sum_{j=1}^5 (2 + 3j) \quad 55$$

$$\diamond S = \sum_{j=3}^5 (2 + 3j) \quad 42$$

$$\diamond S = \sum_{i=1}^4 \sum_{j=1}^2 (2i - j) \quad 28$$

$$\diamond S = \sum_{j=0}^3 2(5)^j \quad 312$$

$$\diamond S = \sum_{i=1}^4 \sum_{j=1}^3 ij \quad 60$$

Infinite Series

Infinite geometric series can be computed in the closed form for $|x| < 1$.

$$\sum_{k=0}^{\infty} x^k = \lim_{n \rightarrow \infty} \sum_{k=0}^n x^k = \lim_{n \rightarrow \infty} \frac{x^{n+1} - 1}{x - 1} = \frac{1}{1 - x}$$

$$\sum_{k=0}^{\infty} kx^{k-1} = \frac{1}{(1 - x)^2}$$

Some Useful Summation Formulas

$$\sum_{k=0}^n ar^k \quad (r \neq 0)$$

$$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$$

$$\sum_{k=1}^n k$$

$$\frac{n(n+1)}{2}$$

$$\sum_{k=1}^n k^2$$

$$\frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=1}^n k^3$$

$$\frac{n^2(n+1)^2}{4}$$

$$\sum_{k=0}^{\infty} x^k, |x| < 1$$

$$\frac{1}{1-x}$$

$$\sum_{k=1}^{\infty} kx^{k-1}, |x| < 1$$

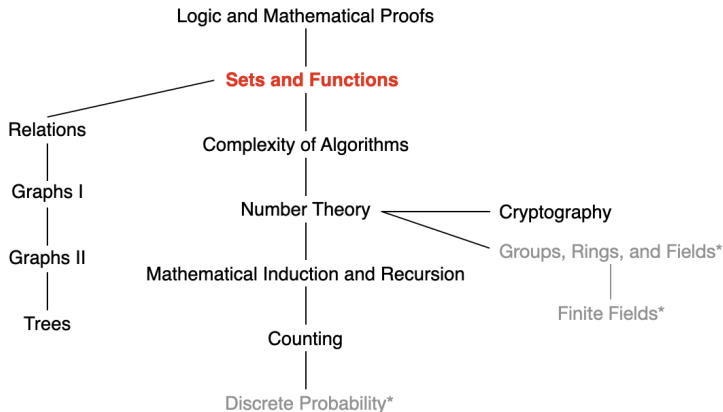
$$\frac{1}{(1-x)^2}$$



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Set and Functions: set, set operations, functions, sequences and summation, cardinality of sets



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Cardinality of Sets

Recall: the cardinality of a finite set is defined by the number of the elements in the set.

The sets A and B have the **same cardinality** if there is a **one-to-one correspondence** between elements in A and B .

If there is a **one-to-one function** from A to B , the cardinality of A is **less than or equal to** the cardinality of B , denoted by $|A| \leq |B|$.

Moreover, when $|A| \leq |B|$ and A and B have different cardinalities, we say that the cardinality of A is less than the cardinality of B , denoted by $|A| < |B|$.

Countable Sets

A set that is either **finite** or has the **same cardinality as the set of positive integers \mathbb{Z}^+** is called **countable**. A set that is not countable is called uncountable.

Why are these called countable?

The elements of the set can be **enumerated** and listed.

Hilbert's Paradox: Grand Hotel

The Grand Hotel has countably infinite number of rooms, each occupied by a guest. We can always accommodate a new guest at this hotel.

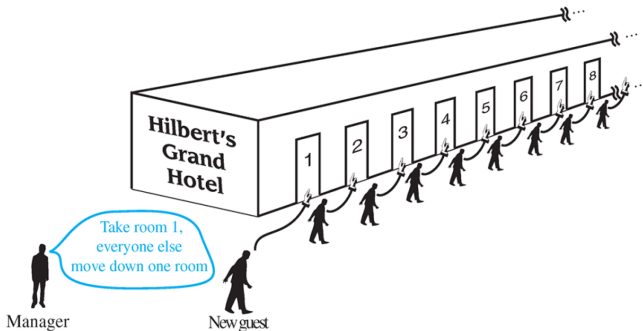


FIGURE 2 A New Guest Arrives at Hilbert's Grand Hotel.

Finitely many room: "All rooms are occupied" is equivalent to "no new guests can be accommodated".

Infinitely many room: This equivalence no longer holds.

Countable Sets: Example 1

The set of odd positive integers: $A = \{1, 3, 5, 7, \dots\}$. Is it countable?

Proof: Using the definition: If there is a one-to-one correspondence from the set of positive integers \mathbf{Z}^+ to this set A ?

Consider the function

$$f(n) = 2n - 1$$

- One-to-one: Suppose $f(n) = f(m)$. Then, $2n - 1 = 2m - 1$, which leads to $n = m$.
- Onto: For any arbitrary element in $t \in A$, we have an $n = (t + 1)/2 \in \mathbf{Z}^+$ such that $f(n) = t$.

Countable Sets: Example 2

Theorem: The set of integers \mathbb{Z} is countable.

Proof: We can list the set of integers into a sequence:

$$0, 1, -1, 2, -2, 3, -3, \dots$$

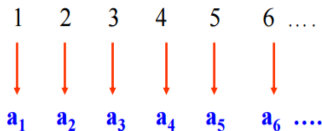
Thus, it is countable.

Theorem: An infinite set is countable **if and only if** it is possible to list the elements of the set in a sequence (indexed by the positive integers):

- Each element appears once:
- All elements are listed

Why?

A **sequence** is a **function** from a subset of the set of integers to a set S .



Countable Sets: Example 2

Theorem: The set of integers \mathbf{Z} is countable.

Proof: We can list the set of integers into a sequence:

$$0, 1, -1, 2, -2, 3, -3, \dots$$

Thus, it is countable.

Alternatively, show there is a one-to-one correspondence from \mathbf{Z}^+ to \mathbf{Z} :

- when n is even: $f(n) = n/2$
- when n is odd: $f(n) = -(n-1)/2$

Thus, it is countable.

Do \mathbf{Z}^+ and \mathbf{Z} have the same cardinality? **Yes**, because there is a one-to-one correspondence between \mathbf{Z}^+ and \mathbf{Z} .

Hilbert's Paradox: Grand Hotel

Countable Sets: Example 3

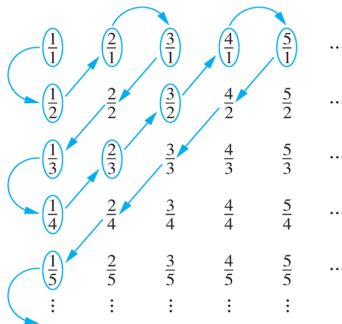
Theorem: The set of positive rational numbers is countable.

Hint: prove by showing that the set of positive rational numbers **can be listed in a sequence**: specifying the **initial term** and **rule**

Solution:

Constructing the list: first list p/q with $p + q = 2$, next list p/q with $p + q = 3$, and so on.

$1, 1/2, 2, 3, 1/3, 1/4, 2/3, \dots$



Countable Sets: Example 4

Theorem: The set of finite strings S over a finite alphabet A is countably infinite. (Assume an alphabetical ordering of symbols in A)

For example, let $A = \{ 'a', 'b', 'c' \}$. Then, set $S = \{ '', 'a', 'b', 'c', 'ab' \dots, 'aaaaa', \dots \}$

Solution:

We show that the strings can be listed in a sequence. First list

- (i) all the strings of length 0 in alphabetical order.
- (ii) then all the strings of length 1 in lexicographic order.
- (iii) and so on.

This implies a bijection from \mathbb{Z}^+ to S .

Countable Sets: Example 5

The set of all Java programs is countable.

Solution:

Let S be the set of strings constructed from the characters which may appear in a Java program. Use the ordering from the previous example. Take each string in turn

- feed the string into a Java compiler
- if the compiler says YES, this is a syntactically correct Java program, we add this program to the list
- we move on to the next string

In this way, we construct a bijection from \mathbb{Z}^+ to the set of Java programs.

Countable Sets: Example 6

Theorem: Any subset of a countable set is countable.

Proof: Consider a countable set A and its subset $B \subseteq A$.

- A is a **finite set**: $|B| \leq |A| < \infty$. Thus, $|B|$ is a finite set and hence countable.
- A is **not a finite set**: Since A is countable, the elements of A can be listed in a sequence. By removing the elements in the list that are not in B , we can obtain a list for B . Thus, B is countable

Theorem: If A and B are countable sets, then $A \cup B$ is also countable.

Uncountable Sets: Example 1

A set that is not countable is called uncountable.

Theorem: The set of real numbers \mathbf{R} is uncountable.

Proof by Contradiction: Suppose \mathbf{R} is countable. Then, the interval from 0 to 1 is countable. This implies that the elements of this set can be listed as r_1, r_2, r_3, \dots , where

- $r_1 = 0.d_{11}d_{12}d_{13}d_{14}$
- $r_2 = 0.d_{21}d_{22}d_{23}d_{24}$
- $r_3 = 0.d_{31}d_{32}d_{33}d_{34}$

where all $d_{ij} \in \{0, 1, 2, \dots, 9\}$.



Uncountable Sets: Example 1

A set that is not countable is called uncountable.

Theorem: The set of real numbers \mathbf{R} is uncountable.

Proof by Contradiction:

We want to show that not all real numbers in the interval between 0 and 1 are in this list. Form a new number called $r = 0.d_1d_2d_3d_4$, where $d_i = 2$ if $d_{ii} \neq 2$, and $d_i = 3$ if $d_{ii} = 2$.

Example: suppose $r_1 = 0.75243\dots$	$d_1 = 2$
$r_2 = 0.524310\dots$	$d_2 = 3$
$r_3 = 0.131257\dots$	$d_3 = 2$
$r_4 = 0.9363633\dots$	$d_4 = 2$
\dots	\dots
$r_t = 0.23222222\dots$	$d_t = 3$

r and r_i differ in the i -th decimal place for all i .
This leads to a contradiction.

Uncountable Sets: Example 2

Theorem: The set $\mathcal{P}(\mathbb{N})$ is uncountable.

Uncountable Sets: Example 2

Theorem: The set $\mathcal{P}(\mathbb{N})$ is uncountable.

Proof by contradiction:

Assume that $\mathcal{P}(\mathbb{N})$ is countable. This implies that the elements of this set can be listed as S_0, S_1, S_2, \dots , where $S_i \subseteq \mathbb{N}$, and each S_i can be represented uniquely by the bit string $b_{i0}b_{i1}b_{i2}\dots$, where $b_{ij} = 1$ if $j \in S_i$ and $b_{ij} = 0$ if $j \notin S_i$

$$- S_0 = b_{00}b_{01}b_{02}b_{03}\dots$$

$$- S_1 = b_{10}b_{11}b_{12}b_{13}\dots$$

$$- S_2 = b_{20}b_{21}b_{22}b_{23}\dots$$

$$\vdots$$

all $b_{ij} \in \{0, 1\}$.

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Form a new set called $R = b_0b_1b_2b_3\dots$, where $b_i = 0$ if $b_{ii} = 1$, and $b_i = 1$ if $b_{ii} = 0$. R is different from each set in the list. Each bit string is unique, and R and S_i differ in the i -th bit for all i .



Schroder-Bernstein Theorem

Theorem: If A and B are sets with $|A| \leq |B|$ and $|B| \leq |A|$, then $|A| = |B|$.

In other words, if there are one-to-one functions f from A to B and g from B to A , then there is a one-to-one correspondence between A and B , and hence $|A| = |B|$.

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Example: Show that $|(0, 1)| = |(0, 1]|$

$$f(x) = x, g(x) = x/2$$

Computable vs Uncomputable

Definition: We say that a function is **computable** if there is a computer program in some programming language that finds the values of this function. If a function is not computable, we say it is **uncomputable**.

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- The set of all programs is countable.
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Computable vs Uncomputable

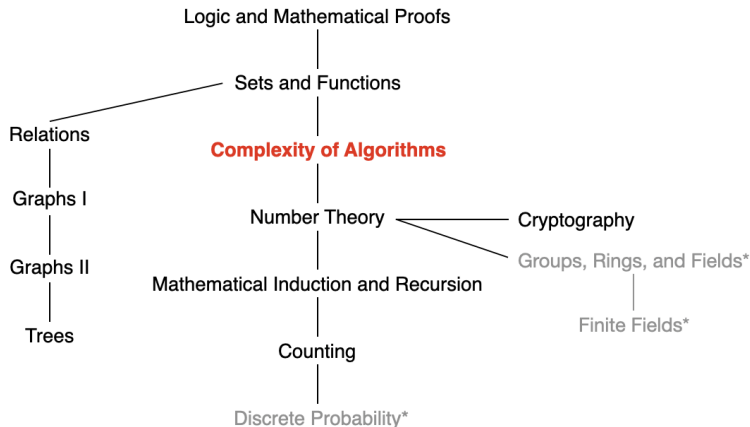
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Cantor's theorem: If S is a set, then $|S| < |P(S)|$.

This Lecture



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