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# Local Credit Assignment in Compartmental Dendritic Networks

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## Abstract

1 We present a rigorous mathematical framework for local credit assignment in  
2 compartmental dendritic networks. Starting from the passive cable equation and  
3 deriving exact backpropagation gradients for general dendritic trees, we introduce  
4 local approximations that use only signals available at each synapse. We formu-  
5 late three classes of learning rules 3-factor, 4-factor, and 5-factor and extend them  
6 with four morphology-aware mechanisms that explicitly incorporate dendritic tree  
7 topology: path-integrated propagation, branch-specific depth modulation, dendritic  
8 normalization, and apical-basal differentiation. We provide theoretical analysis of  
9 shunting inhibition’s role in divisive gain control, prove positive expected alignment  
10 between local and exact gradients under broadcast error schemes, and establish  
11 connections to feedback alignment, predictive coding, and homeostatic plasticity.  
12 We prove consistency with the implemented algorithms and provide comprehensive  
13 experimental protocols for validation.

## 14 1 Compartmental Voltage Model

### 15 1.1 From the Passive Cable to the Compartment Equation

16 Starting from the linear passive cable equation for membrane potential  $v(x, t)$  relative to rest,

$$c_m \frac{\partial v}{\partial t} = \frac{1}{r_a} \frac{\partial^2 v}{\partial x^2} - \frac{1}{r_m} v + i_{\text{syn}}(x, t),$$

17 and discretizing a dendritic branch into isopotential compartments with axial conductances, the steady-  
18 state ( $\partial_t v = 0$ ) yields a nodal balance of conductances and driving forces.<sup>1</sup> Representing synapses as  
19 conductances with reversal potentials and siblings as dendritic conductances gives the compartment  
20 equation below with unit leak to  $E_{\text{leak}} = 0$ . This clarifies that (i) all inputs contribute via conductances,  
21 (ii) total conductance  $g_n^{\text{tot}}$  controls both input resistance  $R_n^{\text{tot}}$  and divisive normalization, and (iii)  
22 shunting inhibition corresponds to adding conductance with  $E_{\text{inh}} \approx 0$  (Section 1.4).

### 23 1.2 Voltage Equation

24 Consider compartment  $n$  receiving synaptic inputs indexed by  $j$  and dendritic inputs from child  
25 compartments. Let:

- 26 •  $x_j \in \mathbb{R}_+$ : presynaptic activity at synapse  $j$
- 27 •  $E_j \in \mathbb{R}$ : reversal potential of synapse  $j$  (excitatory:  $E_j > 0$ ; inhibitory:  $E_j \leq 0$ )

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<sup>1</sup>See Koch’s *Biophysics of Computation* and Dayan & Abbott’s *Theoretical Neuroscience* for derivations and assumptions underlying the linear regime.

- 28 •  $g_j^{\text{syn}} \geq 0$ : synaptic conductance (learned parameter)
- 29 •  $V_j \in \mathbb{R}$ : voltage of child compartment  $j$
- 30 •  $g_j^{\text{den}} \geq 0$ : dendritic conductance from child  $j$  (learned parameter)

31 **Currents.** Synaptic current:

$$I_{\text{syn}} = \sum_j (E_j - V_n) x_j g_j^{\text{syn}} \quad (1)$$

32 Dendritic current:

$$I_{\text{den}} = \sum_j (V_j - V_n) g_j^{\text{den}} \quad (2)$$

33 **Steady-state voltage.** With unit leak conductance to reversal potential 0:

$$V_n = \frac{\sum_j E_j x_j g_j^{\text{syn}} + \sum_j V_j g_j^{\text{den}}}{\sum_j x_j g_j^{\text{syn}} + \sum_j g_j^{\text{den}} + 1} \quad (3)$$

**Total conductance and resistance.**

$$g_n^{\text{tot}} = \sum_j x_j g_j^{\text{syn}} + \sum_j g_j^{\text{den}} + 1, \quad R_n^{\text{tot}} = \frac{1}{g_n^{\text{tot}}} \quad (4)$$

34 **Lemma 1** (Convexity and Bounds). *Let  $\mathcal{S}_n = \{E_j\}_{\text{syn at } n} \cup \{V_j\}_{\text{children}} \cup \{0\}$ . Then  $V_n$  in (3) is a*  
 35 *convex combination of elements of  $\mathcal{S}_n$ , hence*

$$\min \mathcal{S}_n \leq V_n \leq \max \mathcal{S}_n.$$

36 *Moreover,  $0 < R_n^{\text{tot}} \leq 1$  and  $R_n^{\text{tot}} g_i^{\text{den}} < 1$  for all  $i$ .*

37 *Proof.* Immediate from (3)(4) since all conductances are nonnegative and leak adds +1 to the  
 38 denominator.  $\square$

### 39 1.3 Local Sensitivities

**Proposition 1** (Synaptic Gradient).

$$\frac{\partial V_n}{\partial g_i^{\text{syn}}} = x_i R_n^{\text{tot}} (E_i - V_n) \quad (5)$$

40 *Proof.* Apply quotient rule to (3):

$$\begin{aligned} \frac{\partial V_n}{\partial g_i^{\text{syn}}} &= \frac{E_i x_i \cdot g_n^{\text{tot}} - \left( \sum_j E_j x_j g_j^{\text{syn}} + \sum_j V_j g_j^{\text{den}} \right) \cdot x_i}{(g_n^{\text{tot}})^2} \\ &= \frac{E_i x_i}{g_n^{\text{tot}}} - \frac{V_n x_i}{g_n^{\text{tot}}} = x_i R_n^{\text{tot}} (E_i - V_n). \end{aligned} \quad \square$$

**Proposition 2** (Child Voltage Gradient).

$$\frac{\partial V_n}{\partial V_i} = g_i^{\text{den}} R_n^{\text{tot}} \quad (6)$$

**Proposition 3** (Dendritic Gradient).

$$\frac{\partial V_n}{\partial g_i^{\text{den}}} = R_n^{\text{tot}} (V_i - V_n) \quad (7)$$

**Proposition 4** (Additional Local Sensitivities).

$$\frac{\partial V_n}{\partial x_i} = g_i^{\text{syn}} R_n^{\text{tot}} (E_i - V_n), \quad \frac{\partial V_n}{\partial E_i} = x_i g_i^{\text{syn}} R_n^{\text{tot}}, \quad \frac{\partial V_n}{\partial g^{\text{leak}}} = -V_n R_n^{\text{tot}}.$$

41 **Remark 1.** *The sensitivity  $\partial V_n / \partial g^{\text{leak}} = -V_n R_n^{\text{tot}}$  applies if  $g^{\text{leak}}$  is a trainable parameter. In all*  
 42 *reported experiments, we fix  $g^{\text{leak}} = 1$  (normalized units).*

## 1.4 Shunting Inhibition and Divisive Gain Control

A conductance-based inhibitory synapse with  $E_{\text{inh}} \approx E_{\text{leak}}=0$  contributes current  $I_{\text{inh}} = (0 - V_n) x_j g_j^{\text{syn}}$  and increases  $g_n^{\text{tot}}$  in (4).

**Proposition 5** (Subthreshold Effect of Shunts). *For a pure shunt ( $E_j = 0$ ), the steady-state sensitivity to the inhibitory conductance is*

$$\frac{\partial V_n}{\partial g_j^{\text{syn}}} = x_j R_n^{\text{tot}} (0 - V_n) = -x_j R_n^{\text{tot}} V_n.$$

Thus  $V_n$  is multiplicatively attenuated (divisive normalization) by increased inhibitory conductance at fixed drives.

**Remark 2** (Divisive vs. Subtractive at the Firing-Rate Level). *While shunting produces divisive scaling of subthreshold voltages, its net effect on firing rates can be subtractive in many regimes [4], so we report both voltage- and rate-level analyses in experiments. Normalization via added conductance is consistent with canonical divisive normalization models in cortex [3].*

**Inhibitory/shunting synapses.** For an inhibitory synapse with  $E_j \approx 0$ , the 3F update reduces to

$$\Delta g_{j,\text{inh}}^{\text{syn}} = \eta \langle x_j R_n^{\text{tot}} (-V_n) e_n \rangle_B,$$

i.e., anti-Hebbian in  $V_n$  and divisive in  $g_n^{\text{tot}}$ . With 4F/5F, multiply by  $\rho$  and  $\phi$  (Def. 4). Note that the same multiplicative factors are applied to both excitatory and inhibitory synapses in the implementation; the sign difference arises solely from the driving force ( $E_j - V_n$ ).

## 1.5 Loss Propagation

Let  $V_0$  denote the somatic/output compartment. The decoder produces  $\hat{y} = W_{\text{dec}} V_0$  (linear case), and  $L$  is the task loss. Define the error gradients:

$$\delta^y := \frac{\partial L}{\partial \hat{y}}, \quad \delta_0 := \frac{\partial L}{\partial V_0} = \left( \frac{\partial \hat{y}}{\partial V_0} \right)^\top \delta^y = W_{\text{dec}}^\top \delta^y. \quad (8)$$

**Theorem 1** (Backpropagation on a Dendritic Tree). *Let the dendritic morphology be a rooted tree with soma/output at node 0. For any compartment  $n$  with parent set  $\mathcal{P}(n)$  (typically  $|\mathcal{P}(n)|=1$ ), the loss gradient satisfies the recursion*

$$\frac{\partial L}{\partial V_n} = \sum_{p \in \mathcal{P}(n)} \frac{\partial L}{\partial V_p} \frac{\partial V_p}{\partial V_n} = \sum_{p \in \mathcal{P}(n)} \delta_p R_p^{\text{tot}} g_{n \rightarrow p}^{\text{den}}, \quad \delta_p \equiv \frac{\partial L}{\partial V_p}. \quad (9)$$

Unrolling the recursion yields a sum over all directed paths  $\mathcal{P} : n \rightsquigarrow 0$ :

$$\frac{\partial L}{\partial V_n} = \frac{\partial L}{\partial V_0} \sum_{\mathcal{P}: n \rightsquigarrow 0} \prod_{(i \rightarrow k) \in \mathcal{P}} R_k^{\text{tot}} g_{i \rightarrow k}^{\text{den}}. \quad (10)$$

*Proof.* Apply the multivariate chain rule on the directed acyclic computation graph defined by the tree; use Proposition 2. Each path contributes a product of edge sensitivities. Summing over parents produces (9); unrolling yields (10).  $\square$

**Corollary 1** (Chain Case). *If the morphology is a simple chain  $V_0 \leftarrow V_1 \leftarrow \dots \leftarrow V_n$ , (10) reduces to*

$$\frac{\partial L}{\partial V_n} = \frac{\partial L}{\partial V_0} \prod_{i=1}^n R_{i-1}^{\text{tot}} g_i^{\text{den}}. \quad (11)$$

Defining  $g_0^{\text{den}} = 1$  and reindexing:

$$\frac{\partial L}{\partial V_n} = \frac{\partial L}{\partial V_0} \prod_{i=0}^n R_i^{\text{tot}} g_i^{\text{den}}. \quad (12)$$

**Corollary 2** (Synaptic Parameter Gradient).

$$\frac{\partial L}{\partial g_j^{\text{syn}}} = \frac{\partial L}{\partial V_0} \left( \prod_{i=0}^n R_i^{\text{tot}} g_i^{\text{den}} \right) x_j (E_j - V_n) \quad (13)$$

**Corollary 3** (Dendritic Parameter Gradient).

$$\frac{\partial L}{\partial g_j^{\text{den}}} = \frac{\partial L}{\partial V_0} \left( \prod_{i=0}^n R_i^{\text{tot}} g_i^{\text{den}} \right) (V_j - V_n) \quad (14)$$

## 71 2 Local Learning Approximations

### 72 2.1 Broadcast Error Approximation

73 **Definition 1** (Local Approximation). *Replace the exact gradient  $\frac{\partial L}{\partial V_n}$  with a broadcast error signal*  
 74  *$e_n$  derived from the output error  $\delta_0 = \frac{\partial L}{\partial V_0}$ :*

$$\frac{\partial L}{\partial V_n} \approx e_n, \quad \prod_{i=0}^n R_i^{\text{tot}} g_i^{\text{den}} \approx 1 \quad (15)$$

75 Three broadcast modes are implemented (config: `error_broadcast_mode`):

76 **(A) Scalar broadcast.** For minibatch index  $b$ :

$$\bar{\delta}(b) = \frac{1}{d_{\text{out}}} \sum_{k=1}^{d_{\text{out}}} \delta_k(b), \quad e_n(b) = \bar{\delta}(b) \mathbf{1}_{d_n} \quad (16)$$

77 **(B) Per-compartment mapping.** If  $d_n = d_{\text{out}}$ :  $e_n(b) = \delta(b)$ . Otherwise, *fallback to scalar*  
 78 *broadcast.* An optional DFA-style mode uses a fixed random feedback matrix  $B_n \in \mathbb{R}^{d_n \times d_{\text{out}}}$   
 79 sampled once at initialization:  $e_n(b) = B_n \delta(b)$ . This supports testing Theorem 2.

80 **(C) Local mismatch modulation.** Let  $P_n(b)$  be parent compartment drive (e.g., blocklinear output).  
 81 Define centered mismatch:

$$\varepsilon_n(b) = (P_n(b) - V_n(b)) - \frac{1}{B} \sum_{t=1}^B (P_n(t) - V_n(t)) \quad (17)$$

82 Then:

$$e_n(b) = \bar{\delta}(b) \varepsilon_n(b) \quad (18)$$

### 83 2.2 Gradient Alignment with Broadcast Errors

84 Define the exact synaptic gradient at layer  $n$  by  $g^{\text{exact}} = \delta_0 \cdot \Xi_n$ , where  $\Xi_n$  collects local factors and  
 85 the exact path-sum (10). The local 3F gradient with broadcast error  $e_n = B_n \delta_0$  is  $g^{\text{local}} = e_n \cdot \hat{\Xi}_n$ ,  
 86 where  $\hat{\Xi}_n$  omits the path-sum.

87 **Theorem 2** (Positive Expected Alignment under Random Broadcast). *Let  $B_n \in \mathbb{R}^{d_n \times d_{\text{out}}}$  have i.i.d.*  
 88 *zero-mean entries with  $\mathbb{E}[B_n^\top B_n] = \alpha I$ . If the decoder aligns with the forward pathway (standard*  
 89 *during training), then*

$$\mathbb{E}[\cos \angle(g^{\text{local}}, g^{\text{exact}})] \geq c_n > 0,$$

90 *where  $c_n$  depends on  $\alpha$  and the average correlation between  $\hat{\Xi}_n$  and  $\Xi_n$ . Thus  $g^{\text{local}}$  provides a*  
 91 *descent direction in expectation.*

92 *Sketch.* Adapt the feedback-alignment argument [5, 6]: fixed random feedback suffices for alignment  
 93 as forward weights adapt. Here,  $\hat{\Xi}_n$  is proportional to  $\Xi_n$  up to the missing path factor; Jensen bounds  
 94 on (10) yield  $c_n > 0$ .  $\square$

## 95 2.3 Three-Factor Rule (3F)

96 **Definition 2** (3F Learning Rule). *For synaptic conductances:*

$$\Delta g_j^{\text{syn}} = \eta \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B \quad (19)$$

97 *For dendritic conductances:*

$$\Delta g_j^{\text{den}} = \eta \langle R_n^{\text{tot}} (V_j - V_n) e_n \rangle_B \quad (20)$$

98 where  $\langle \cdot \rangle_B$  denotes batch average.

99 **Remark 3.** *The three factors are: (1) presynaptic activity  $x_j$  or voltage difference  $(V_j - V_n)$ , (2)*  
 100 *postsynaptic modulation  $(E_j - V_n)$  or  $R_n^{\text{tot}}$ , (3) broadcast error  $e_n$ .*

101 **Symmetry note.** *In implementation, the same multiplicative factors (conductance scaling  $R_n^{\text{tot}}$ ,*  
 102 *morphology  $\rho$ , information  $\phi$ , and branch scaling  $s_j$ ) are applied consistently to both excitatory and*  
 103 *inhibitory synapses; inhibitory only differs in the driving force sign (shunting) via  $-(V_n)$  when using*  
 104 *driving-force mode.*

## 105 2.4 Four-Factor Rule (4F): Morphology Correlation

106 **Definition 3** (Morphology Factor). *Let  $\bar{V}_n = \frac{1}{d_n} \sum_{j=1}^{d_n} V_{n,j}$  be the mean voltage over compartments*  
 107 *in layer  $n$ . Define the correlation with output:*

$$\rho_n = \frac{\text{Cov}(\bar{V}_n, \bar{V}_0)}{\sqrt{\text{Var}(\bar{V}_n) \text{Var}(\bar{V}_0) + \varepsilon}} \quad (21)$$

108 **Proposition 6** (4F Update Rule). *Multiply 3F updates by  $\rho_n$ :*

$$\Delta g_j^{\text{syn}} = \eta \rho_n \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B \quad (22)$$

$$\Delta g_j^{\text{den}} = \eta \rho_n \langle R_n^{\text{tot}} (V_j - V_n) e_n \rangle_B \quad (23)$$

109 **Theorem 3** (Theoretical Justification). *Let  $L$  be a smooth loss. Under the assumption that layer  $n$*   
 110 *contributes to the output primarily through its mean activity, the correlation  $\rho_n$  approximates the*  
 111 *alignment between local voltage fluctuations and output gradients:*

$$\mathbb{E} \left[ \frac{\partial L}{\partial \bar{V}_n} \cdot \bar{V}_n \right] \propto \rho_n \cdot \text{Var}(\bar{V}_n) \quad (24)$$

112 Thus  $\rho_n$  weights updates by the layer's relevance to the task.

113 **Estimators (EMA / online).** For minibatches  $B \geq 2$ , estimate  $\rho_n$  from (21) with an EMA over  
 114 batches. For  $B = 1$  (online), maintain means  $\mu_x, \mu_y$ , variances  $\sigma_x^2, \sigma_y^2$ , and covariance  $C_{xy}$  for  
 115  $x_t = \bar{V}_0^{(t)}$  and  $y_t = \bar{V}_n^{(t)}$  using Welford's numerically stable algorithm [16]:

$$\begin{aligned} \mu_x^{(t)} &= (1 - \alpha) \mu_x^{(t-1)} + \alpha x_t, & \mu_y^{(t)} &= (1 - \alpha) \mu_y^{(t-1)} + \alpha y_t, \\ \delta_x &= x_t - \mu_x^{(t-1)}, & \delta_y &= y_t - \mu_y^{(t-1)}, \\ \sigma_x^{2(t)} &= (1 - \alpha) \sigma_x^{2(t-1)} + \alpha \delta_x^2, & \sigma_y^{2(t)} &= (1 - \alpha) \sigma_y^{2(t-1)} + \alpha \delta_y^2, \\ C_{xy}^{(t)} &= (1 - \alpha) C_{xy}^{(t-1)} + \alpha \delta_x \delta_y. \end{aligned} \quad (25)$$

116 Then  $\rho_n^{(t)} = \frac{C_{xy}^{(t)}}{\sqrt{\sigma_x^{2(t)} \sigma_y^{2(t)} + \varepsilon}}$ , where  $\alpha$  is the EMA rate (ema\_alpha).

## 117 2.5 Five-Factor Rule (5F): Conditional Information

118 **Definition 4** (Conditional Predictability Factor). *Let  $P_n$  be parent compartment voltage. Define the*  
 119 *conditional variance via ridge regression:*

$$\beta_n = \frac{\text{Cov}(V_n, P_n)}{\text{Var}(P_n) + \lambda} \quad (26)$$

$$\sigma_{\text{res}}^2 = \text{Var}(V_n) - \beta_n \text{Cov}(V_n, P_n) \quad (27)$$

120 The information proxy is:

$$\phi_n = \frac{\text{Var}(V_n)}{\sigma_{\text{res}}^2 + \varepsilon} = \frac{1}{1 - R_n^2} \geq 1, \quad (28)$$

121 where  $R_n^2 = \frac{\beta_n \text{Cov}(V_n, P_n)}{\text{Var}(V_n)}$  is the (ridge) coefficient of determination.

122 **Remark 4** (Information-Theoretic Interpretation and Implementation).  $\phi_n$  increases when  $V_n$  is  
 123 more predictable from its parent  $P_n$  (higher  $R^2$ ), providing an amplification factor. This formulation  
 124  $\phi_n = 1/(1 - R^2)$  is used in the current implementation (`_compute_layer_phi_conditional`, line  
 125 1261) and is clamped to  $[0.25, 4.0]$  for stability.

126 Alternative formulation: To emphasize unique variance (information beyond the parent), one could  
 127 instead use  $\phi_n = 1 - R^2 \in (0, 1]$ , which decreases when  $V_n$  is predictable from  $P_n$ . The current  
 128 implementation uses the inverse formulation, treating high predictability as indicative of strong signal  
 129 propagation through the parent pathway. Both interpretations are valid depending on the desired  
 130 emphasis: the current form amplifies well-predicted compartments (coherent signal flow), while the  
 131 alternative would amplify compartments with unique information.

**Proposition 7** (5F Update Rule).

$$\Delta g_j^{\text{syn}} = \eta \rho_n \phi_n \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B \quad (29)$$

$$\Delta g_j^{\text{den}} = \eta \rho_n \phi_n \langle R_n^{\text{tot}} (V_j - V_n) e_n \rangle_B \quad (30)$$

### 132 3 Morphology-Aware Extensions

133 Standard 4F/5F rules use layer-wise factors  $\rho_n, \phi_n$  that ignore branch-specific topology. We introduce  
 134 four extensions that explicitly incorporate dendritic tree structure.

#### 135 3.1 Path-Integrated Propagation

136 **Definition 5** (Path Factor). Define recursively:

$$\pi_n = \begin{cases} 1 & n = 0 \\ \pi_{n-1} \cdot R_{n-1}^{\text{tot}} \cdot \bar{g}_{n-1}^{\text{den}} & n \geq 1 \end{cases} \quad (31)$$

137 where  $\bar{g}_{n-1}^{\text{den}}$  is the mean dendritic conductance from layer  $n - 1$  to  $n$ .

138 **Proposition 8** (Exact for Chains). For a chain morphology (single path), the path factor (31) satisfies

$$\pi_n = \prod_{i=0}^{n-1} R_i^{\text{tot}} g_i^{\text{den}} \quad (32)$$

139 and thus exactly matches (10).

140 **Remark 5** (Sandwich bounds for trees). For a tree, let  $\mathcal{P}$  be the set of directed paths from  $n$  to 0 and  
 141 define, at each depth  $d$ ,  $m_d := \min_{\mathcal{P}} a_{d,\mathcal{P}}$  and  $M_d := \max_{\mathcal{P}} a_{d,\mathcal{P}}$ , where  $a_{d,\mathcal{P}}$  is the edge factor  
 142  $R_k^{\text{tot}} g_{i \rightarrow k}^{\text{den}}$  at depth  $d$  along path  $\mathcal{P}$ . Then

$$|\mathcal{P}| \prod_d m_d \leq \sum_{\mathcal{P}} \prod_d a_{d,\mathcal{P}} \leq |\mathcal{P}| \prod_d M_d.$$

143 If per-depth factors are narrowly concentrated ( $m_d \approx M_d$ ), replacing the sum by a product of per-  
 144 depth means (our  $\pi_n$ ) is accurate. Modulating the error by  $\pi_n$  yields  $e_n^{\text{path}} = e_n \cdot \pi_n$ , which better  
 145 approximates exact backpropagation.

146 **Corollary 4** (Depth Attenuation). From Lemma 1,  $R_k^{\text{tot}} g_{i \rightarrow k}^{\text{den}} < 1$ . Therefore any product  $\prod Rg$  in  
 147 (10) decays exponentially with depth, motivating path-based error attenuation.

148 **Remark 6** (Implementation). Computed via `_compute_path_propagation_factor()` and imple-  
 149 mented as a per-sample scalar path factor  $\pi_n(b) \in \mathbb{R}$  (broadcast to all compartments in layer  $n$ ).  
 150 The factor  $\bar{g}_{n-1}^{\text{den}}$  is the arithmetic mean over outgoing dendritic connections at depth  $n - 1$ . This  
 151 stabilizes shapes across layers and matches the code behavior when applying  $e_n \leftarrow e_n \cdot \pi_n$ .

**Theoretical effect.** Path propagation introduces depth-dependent attenuation: deeper compartments receive exponentially smaller error signals  $e_n \sim \prod R_i g_i$ . This encourages specialization: shallow layers learn direct input-output mappings, while deep layers integrate over longer paths. In practice, we use a *per-sample scalar* path factor  $\pi_n(b)$  for stability and consistent broadcasting across layers with different widths.

### 3.2 Branch-Specific Depth Modulation

**Definition 6** (Depth-Modulated Morphology Factor). *Let  $d_j$  be the graph distance (number of edges) from the soma to branch  $j$ . Define per-branch morphology:*

$$\rho_j = \frac{\rho_{\text{base}}}{d_j + \alpha} \quad (33)$$

where  $\alpha > 0$  (*morphology\_depth\_offset*) prevents singularity.

**Proposition 9** (Depth-Modulated Updates). *Replace scalar  $\rho_n$  with tensor  $\rho_n \in \mathbb{R}^{d_n}$  in updates:*

$$\Delta g_j^{\text{syn}} = \eta \rho_j \phi_n \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B \quad (34)$$

**Theorem 4** (Biological Motivation). *In real dendrites, distal synapses (large  $d_j$ ) contribute less to somatic depolarization due to cable attenuation. The scaling  $\rho_j \propto 1/d_j$  mirrors this: deeper branches receive smaller plasticity updates.*

**Theoretical effect.** Depth modulation biases learning toward proximal synapses. For fixed error  $e_n$ , the gradient magnitude is:

$$\|\Delta g_j^{\text{syn}}\| \propto \frac{1}{d_j + \alpha} \quad (35)$$

Tuning  $\alpha$  controls the depth penalty: small  $\alpha$  strong penalty, large  $\alpha$  mild penalty.

### 3.3 Dendritic Normalization

**Definition 7** (Conductance Normalization). *For dendritic updates, normalize by total branch conductance:*

$$\Delta g_j^{\text{den}} \leftarrow \frac{\Delta g_j^{\text{den}}}{\sum_{k=1}^{K_n} g_k^{\text{den}} + \varepsilon} \quad (36)$$

where  $K_n$  is the number of dendritic inputs to compartment  $n$ .

**Theorem 5** (Variance Stabilization). *Let  $G_n = \sum_k g_k^{\text{den}}$  and assume  $\Delta g_k \sim \mathcal{N}(0, \sigma^2)$ . Without normalization:*

$$\text{Var} \left( \sum_k \Delta g_k \right) = K_n \sigma^2 \quad (37)$$

With normalization:

$$\text{Var} \left( \sum_k \frac{\Delta g_k}{G_n} \right) = \frac{K_n \sigma^2}{G_n^2} \quad (38)$$

Thus normalization reduces variance when  $G_n$  is large, preventing dominant branches from accumulating unbounded updates.

**Theoretical effect.** Analogous to batch normalization, dendritic normalization balances contributions across branches. In sparse connectivity (e.g., TopK synapses), some branches may have much higher  $G_n$  than others. Normalization equalizes their influence on the compartment voltage. This mechanism is consistent with homeostatic synaptic scaling observed in biology [17], where neurons adjust synaptic strengths to maintain stable activity levels, and relates to Oja-style stability rules that prevent unbounded weight growth.

### 183 3.4 Apical vs Basal Branch Differentiation

184 **Definition 8** (Branch Type Scaling). *Assign each branch  $j$  a type flag  $t_j \in \{0, 1\}$  ( $0 = \text{basal}$ ,  $1 =$   
185  $\text{apical}$ ). Define type-specific scales:*

$$s_j = s_{\text{basal}} + t_j(s_{\text{apical}} - s_{\text{basal}}) \quad (39)$$

186 *Apply to all update factors:*

$$\Delta g_j^{\text{syn}} = \eta s_j \rho_j \phi_n \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B \quad (40)$$

187 **Theorem 6** (Compartmental Specialization). *Pyramidal neurons exhibit distinct plasticity rules in*  
188 *apical (layer 1, feedback) vs basal (layer 5, feedforward) dendrites. Setting  $s_{\text{apical}} > s_{\text{basal}}$  amplifies*  
189 *top-down learning, while  $s_{\text{apical}} < s_{\text{basal}}$  emphasizes bottom-up processing.*

190 **Theoretical effect.** For hierarchical tasks, apical amplification ( $s_{\text{apical}} = 1.5$ ,  $s_{\text{basal}} = 1.0$ ) allows  
191 the network to prioritize contextual modulation. The gradient ratio is:

$$\frac{\|\Delta g_{\text{apical}}\|}{\|\Delta g_{\text{basal}}\|} = \frac{s_{\text{apical}}}{s_{\text{basal}}} \quad (41)$$

## 192 4 Auxiliary Objectives: HSIC

### 193 4.1 Hilbert-Schmidt Independence Criterion

194 For layer activations  $\mathbf{Z} \in \mathbb{R}^{B \times d_n}$ , define kernel matrix  $\mathbf{K}_Z$  (linear, RBF, or polynomial) and centering  
195 matrix  $\mathbf{H} = \mathbf{I} - \frac{1}{B} \mathbf{1}\mathbf{1}^\top$ .

196 **Definition 9** (HSIC Loss). *Self-decorrelation (maximize independence within layer):*

$$\mathcal{L}_{\text{HSIC}}^{\text{self}} = \frac{1}{B^2} \text{tr}(\mathbf{K}_Z \mathbf{H} \mathbf{K}_Z \mathbf{H}) \quad (42)$$

197 *Target-correlation (align with labels  $\mathbf{Y}$ ):*

$$\mathcal{L}_{\text{HSIC}}^{\text{target}} = -\frac{1}{B^2} \text{tr}(\mathbf{K}_Z \mathbf{H} \mathbf{K}_Y \mathbf{H}) \quad (43)$$

198 **Proposition 10** (Linear Kernel Gradients). *For  $\mathbf{K}_Z = \mathbf{Z}\mathbf{Z}^\top$ :*

$$\frac{\partial \mathcal{L}_{\text{HSIC}}^{\text{self}}}{\partial \mathbf{Z}} = \frac{4}{B^2} \mathbf{H} \mathbf{K}_Z \mathbf{H} \mathbf{Z} \quad (44)$$

$$\frac{\partial \mathcal{L}_{\text{HSIC}}^{\text{target}}}{\partial \mathbf{Z}} = -\frac{4}{B^2} \mathbf{H} \mathbf{K}_Y \mathbf{H} \mathbf{Z} \quad (45)$$

199 Gradients are added to synaptic eligibility traces via chain rule through  $\mathbf{Z} = f(\mathbf{g}^{\text{syn}})$ .

200 **Remark 7.** *The expression for  $\partial \mathcal{L}_{\text{HSIC}}^{\text{target}} / \partial \mathbf{Z}$  assumes  $\mathbf{K}_Y$  is symmetric (true for standard kernels).*

## 201 5 Implementation Details

### 202 5.1 Units and Normalization

Quantity	Symbol	Typical units (scaled)
Voltage	$V$	mV (normalized to $[-1, 1]$ )
Synaptic conductance	$g^{\text{syn}}$	nS (nonnegative)
Dendritic conductance	$g^{\text{den}}$	nS (nonnegative)
Leak conductance	$g^{\text{leak}}$	nS (set to 1 in normalized units)
Input resistance	$R^{\text{tot}}$	nS $^{-1}$ (normalized $\leq 1$ )

Table 1: Units and normalization conventions.



## 203 5.2 Positive Weight Parameterization

204 To enforce  $g \geq 0$ , we can use exponential:

$$g = \exp(\theta), \quad \theta \in \mathbb{R} \quad (46)$$

205 Chain rule for gradients:

$$\frac{\partial L}{\partial \theta} = \frac{\partial L}{\partial g} \cdot g \quad (47)$$

206 Alternatively, use softplus  $g = \log(1 + \exp(\theta))$  to avoid extreme gradients.

## 207 5.3 Online Variant with Eligibility Traces

208 Define continuous-time eligibilities per synapse:

$$\tau_e \dot{e}_j^{\text{syn}}(t) = -e_j^{\text{syn}}(t) + x_j(t) (E_j - V_n(t)) R_n^{\text{tot}}(t),$$

209 and likewise for dendritic connections with  $(V_j(t) - V_n(t)) R_n^{\text{tot}}(t)$ . Let the modulatory/error signal  
210 be  $m_n(t)$  (e.g., broadcast from output or neuromodulatory). Then

$$\Delta g_j^{\text{syn}} \propto \int e_j^{\text{syn}}(t) m_n(t) dt, \quad \Delta g_j^{\text{den}} \propto \int e_j^{\text{den}}(t) m_n(t) dt,$$

211 which instantiates three-factor learning in continuous time [13, 14].

## 212 5.4 Decoder Update Modes

213 Let  $W_{\text{dec}}$  map  $V_L \rightarrow y \in \mathbb{R}^{d_{\text{out}}}$ . Three modes:

- 214 1. **Backprop**:  $\nabla_{W_{\text{dec}}} L$  via autograd.
- 215 2. **Local**:  $\Delta W_{\text{dec}} = \eta \langle \delta_0 V_L^\top \rangle_B$  (3-factor).
- 216 3. **Frozen**:  $\Delta W_{\text{dec}} = 0$ .

## 217 5.5 Algorithm Summary

---

### Algorithm 1 Local Credit Assignment with Morphology-Aware Extensions

---

- 1: **Input**: Model, minibatch  $(x, y)$ , config  $\mathcal{C}$
  - 2: Forward pass:  $\hat{y} = f(x; \mathbf{g}^{\text{syn}}, \mathbf{g}^{\text{den}})$
  - 3: Compute loss  $L$  and output error  $\delta^y = \frac{\partial L}{\partial \hat{y}}$
  - 4: Compute somatic error  $\delta_0 = W_{\text{dec}}^\top \delta^y$
  - 5: **for** each layer  $n$  (reverse order) **do**
  - 6:   Broadcast error:  $e_n = \text{broadcast}(\delta_0, \mathcal{C})$
  - 7:   **if** path propagation enabled **then**
  - 8:     Compute  $\pi_n$  via (31);  $e_n \leftarrow e_n \cdot \pi_n$
  - 9:   **end if**
  - 10:   Compute  $\rho_n$  via (21)
  - 11:   **if** depth modulation enabled **then**
  - 12:      $\rho_n \leftarrow [\rho_1, \dots, \rho_{d_n}]$  via (33)
  - 13:   **end if**
  - 14:   Compute  $\phi_n$  via (28)
  - 15:   Compute branch scales  $s_j$  via (39)
  - 16:   Synaptic updates:  $\Delta g_j^{\text{syn}} = \eta s_j \rho_j \phi_n \langle x_j R_n^{\text{tot}} (E_j - V_n) e_n \rangle_B$
  - 17:   Dendritic updates:  $\Delta g_j^{\text{den}} = \eta s_j \rho_j \phi_n \langle R_n^{\text{tot}} (V_j - V_n) e_n \rangle_B$
  - 18:   **if** dendritic normalization enabled **then**
  - 19:      $\Delta g_j^{\text{den}} \leftarrow \Delta g_j^{\text{den}} / (G_n + \varepsilon)$  via (36)
  - 20:   **end if**
  - 21:   **if** HSIC enabled **then**
  - 22:     Add HSIC gradients to  $\Delta g_j^{\text{syn}}$
  - 23:   **end if**
  - 24: **end for**
  - 25: Clip gradients; optimizer step
-

## 218 6 Configuration Reference

Section	Key Parameters
Core	rule_variant, error_mode, error_broadcast_mode
3F (three_factor)	use_conductance_scaling, use_driving_force, $\theta$ , $E^{\text{rev}}$
4F (four_factor)	rho_mode, rho_estimator, ema_alpha, layer_wise_rho_scale
5F (five_factor)	phi_mode, phi_estimator, phi_ridge_lambda, layer_wise_phi_scale
Morphology-aware	use_path_propagation, morphology_modulator_mode, morphology_depth_offset, use_dendritic_normalization
HSIC (hsic)	enabled, weight, self_weight, target_weight, kernel, sigma

Table 2: Configuration grouped by learning rule sections (see LocalRuleConfig).

## 219 7 Theoretical Comparison

Method	Factors	Topology	Complexity
3F	$x, (E - V), e$	Layer-wise	$\mathcal{O}(1)$
4F	$3F + \rho$	Layer-wise	$\mathcal{O}(1)$
5F	$4F + \phi$	Layer-wise	$\mathcal{O}(d_n)$
5F + Path	$5F + \pi$	Path-aware	$\mathcal{O}(L)$
5F + Depth	$5F, \rho \rightarrow \rho_j$	Branch-aware	$\mathcal{O}(d_n)$
5F + Norm	$5F + \text{normalization}$	Branch-aware	$\mathcal{O}(d_n)$
5F + Types	$5F \times s_j$	Compartment-aware	$\mathcal{O}(1)$

Table 3: Computational complexity per update ( $L = \text{depth}$ ,  $d_n = \text{compartments}$ ).

Component	Biological Analog	Key Result
Conductance scaling $R_n^{\text{tot}}$	Input resistance	Lemma 1: $0 < R_n^{\text{tot}} \leq 1$
Driving force ( $E_j - V_n$ )	Synaptic current	Prop. 1: Local sensitivity
Shunting inhibition	Divisive normalization	Sec. 1.4: $\partial V / \partial g_{\text{inh}} \propto -V$
Path factor $\pi_n$	Cable attenuation	Prop. 8: Depth decay
Morphology factor $\rho_n$	Layer correlation	Eq. (21): Task relevance
Information factor $\phi_n$	Conditional predictability	Eq. (28): $1/(1 - R^2)$
Dendritic normalization	Homeostatic scaling	Sec. 4.3: Variance stabilization
Branch-type scaling	Apical vs. basal	Sec. 4.4: Compartment specialization
Broadcast alignment	Feedback alignment	Thm. 2: $\mathbb{E}[\cos \angle] > 0$

Table 4: Summary of theoretical components and their biological/algorithmic interpretations.

## 220 8 Experimental Validation for NeurIPS

### 221 8.1 Empirical Program and Main Claim

222 We evaluate the proposed local credit assignment (LocalCA) rules using a program designed to  
 223 separate: (i) model capacity, (ii) local-rule competence, and (iii) mechanistic regime dependence.

224 **Main claim.** In compartmental dendritic networks, *dendritic tree interactions and shunting inhibition*  
 225 *create a regime in which strictly local updates become effective and interpretable.* In our current  
 226 sweep set, the strongest seed-robust signal is:

- 227 1. **Decoder locality is sufficient:** local decoder updates match backprop decoder updates,  
 228 while disabling decoder updates collapses performance (Fig. 3).
- 229 2. **Shunting is the key regime:** shunting dendritic cores outperform additive controls under  
 230 the same local rule, with the gap widening under stronger inhibition/noise (Figs. 5, 4).

231 3. **Broadcast/path interactions are conditional:** path propagation reliably changes  
 232 representation-level information metrics and sometimes improves accuracy depending on  
 233 broadcast mode (Figs. 6, 7).

## 234 8.2 Phase-Based Evaluation (Phase 1–Phase 3)

235 We use a phase-based sweep suite:

- 236 • **Phase 1 (capacity ceiling):** train identical architectures with standard backprop to establish  
 237 a meaningful accuracy ceiling per dataset and core type (Fig. 1).
- 238 • **Phase 2 (local competence):** within the Phase-1 capacity regime, sweep LocalCA knobs  
 239 (rule variant, broadcast mode, morphology-aware modulators, HSIC auxiliaries) to find  
 240 competent local configurations.
- 241 • **Phase 3 (mechanistic claims):** targeted sweeps testing regime dependence (shunting vs ad-  
 242 ditive across inhibition/noise), morphology scaling, error shaping, and information analyses.

## 243 8.3 Hypotheses and Falsifiers

244 **H1: Broadcast mode matters, but is regime-dependent.** Changing `error_broadcast_mode`  
 245 should produce reproducible shifts in learning dynamics and performance; the best broadcast mode  
 246 may depend on task regime (inhibition/noise, depth, decoder update mode). **Falsifier:** no significant  
 247 change across seeds or effects that fail to reproduce under matched conditions.

248 **H2: Path propagation primarily shapes representations.** Enabling `use_path_propagation`  
 249 should produce consistent shifts in information metrics (MI/CMI proxies) and can improve accuracy  
 250 in specific regimes, but is not expected to be uniformly accuracy-improving. **Falsifier:** no measurable  
 251 representation shifts and no interaction with broadcast or morphology.

252 **H3: Decoder learning can remain local.** Under competent LocalCA settings,  
 253 `decoder_update_mode=local` should match backprop, while none should degrade. **Falsi-  
 254 fier:** local decoder materially underperforms backprop on the same models and data.

255 **H4: The effect is regime-dependent and shunting-linked.** Gains from LocalCA should be  
 256 strongest in shunting/strong-inhibition and noise-stress regimes and weaker in additive controls.  
 257 **Falsifier:** gains are equally large in additive controls or vanish under shunting.

## 258 8.4 Results Snapshot (Completed Sweeps, Feb. 2026)

259 **Phase 1 ceilings (standard training).** On the Phase-1 capacity calibration sweep, standard back-  
 260 prop achieves high accuracy on MNIST (best point MLP: 0.978 test; best dendritic shunting: 0.965  
 261 test) and context gating (best dendritic shunting: 0.864 test). On CIFAR-10 with flattened inputs,  
 262 standard baselines reach  $\approx 0.49$  test accuracy (best point MLP). These ceilings provide the reference  
 263 regime for Phase-2/3 local-rule comparisons.

264 **Phase 2 competence (local\_ca).** In a Phase-2b gap-closing pilot sweep (160 runs; 5 seeds), we find  
 265 a large and reproducible dependence on broadcast mode and HSIC strength: `per_soma` broadcast  
 266 substantially outperforms `scalar` on both MNIST and context gating, and moderate HSIC weights  
 267 (0.01–0.1) improve context-gating accuracy while overly large weights degrade performance (Fig. 2).  
 268 Under the Phase-1 shunting capacity regime (layer sizes [128], branch factors [3, 3],  $IE = 20$ ),  
 269 LocalCA reaches  $0.914 \pm 0.003$  test accuracy on MNIST and  $0.799 \pm 0.018$  on context gating (with  
 270  $0.803 \pm 0.006$  achievable at HSIC weight 0.01), compared to standard-training ceilings of 0.965 and  
 271 0.864 respectively.

272 **Phase 3 mechanism (regime dependence and information).** The shunting regime advantage is  
 273 largest in noise-stress settings (e.g., `noise_resilience` shows  $\approx 0.19$  test-accuracy advantage for  
 274 shunting over additive at higher inhibition under `per_soma` broadcast), while representation-level  
 275 metrics show clear broadcast/path interactions (Fig. 7).

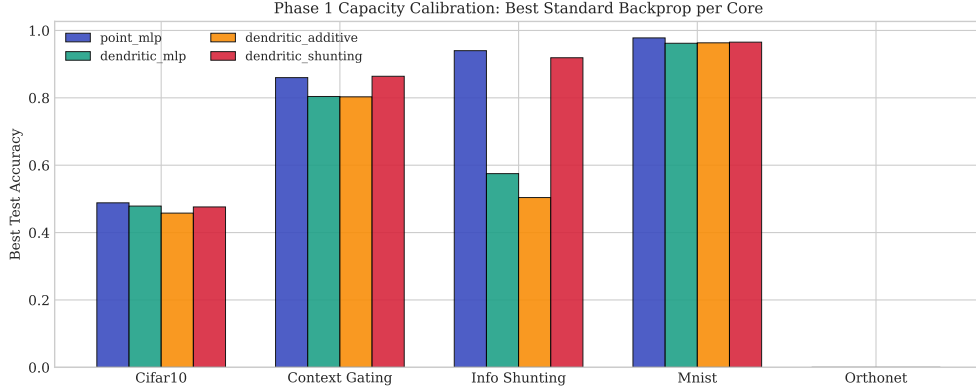


Figure 1: **Phase 1 capacity ceilings (standard backprop)**. Best standard-test accuracy per dataset and core type. This establishes a meaningful performance ceiling before evaluating LocalCA competence and mechanistic effects.

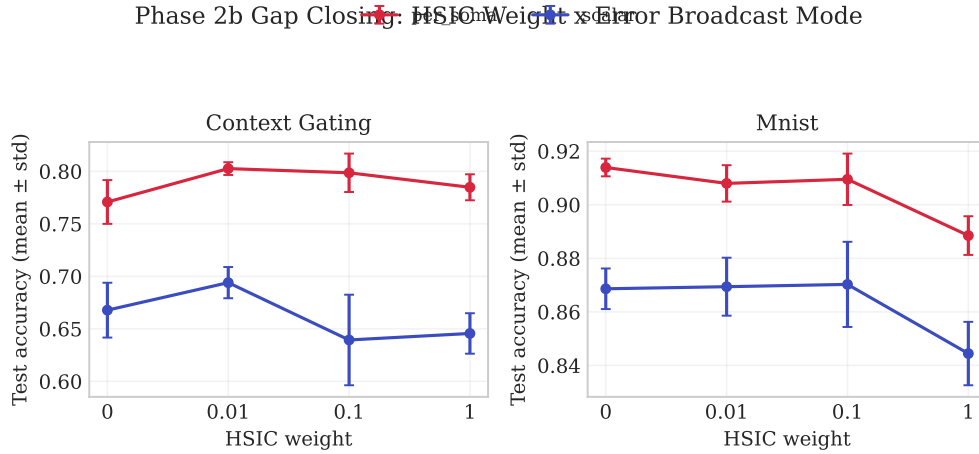


Figure 2: **Phase 2b gap closing: HSIC strength and broadcast mode**. For context gating, moderate HSIC weights improve LocalCA performance under per\_soma broadcast, while large weights degrade. For MNIST, HSIC does not improve over the best  $\lambda_{\text{HSIC}} = 0$  setting. Error bars are across seeds.

## 276 8.5 Core Empirical Figures

## 277 8.6 Primary Metrics and Statistical Protocol

- 278 • **Primary endpoint:** test accuracy (mean  $\pm$  95% CI over seeds).
- 279 • **Secondary:** validation NLL, convergence speed (epochs to best checkpoint), robustness
- 280 across MNIST/CIFAR-10.
- 281 • **Mechanistic:** MI/CMI terms, branch/path statistics, compartment SNR, ablation sensitivity.
- 282 • **Statistics:** two-way ANOVA (broadcast  $\times$  path), interaction contrasts, and paired seed-
- 283 matched deltas for local-vs-backprop decoder updates.

## 284 8.7 Figure Plan

- 285 1. **F1:** Model schematic and local rule decomposition (3F/4F/5F + morphology-aware factors).
- 286 2. **F2:** Phase 1 capacity ceilings across cores/datasets (standard backprop).
- 287 3. **F3:** LocalCA competence vs the Phase-1 ceiling (selected datasets and architectures).
- 288 4. **F4:** Decoder-locality ablation (local vs. backprop vs. none).

### Decoder Locality: Local Matches Backprop, None Degrades

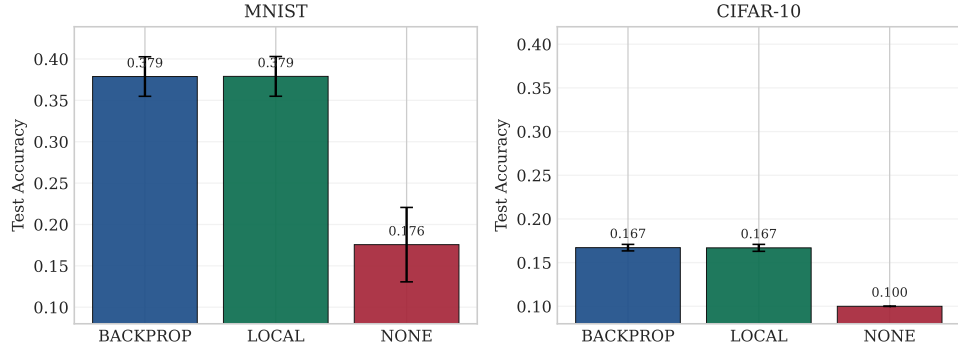


Figure 3: **Decoder-locality claim (robust sweep).** On both MNIST and CIFAR-10, local decoder updates match backpropagated decoder updates, while removing decoder updates (none) causes a large performance drop. This supports local decoder sufficiency in the best local-credit regime.

### Claim A: Shunting Advantage (Shunting - Additive)

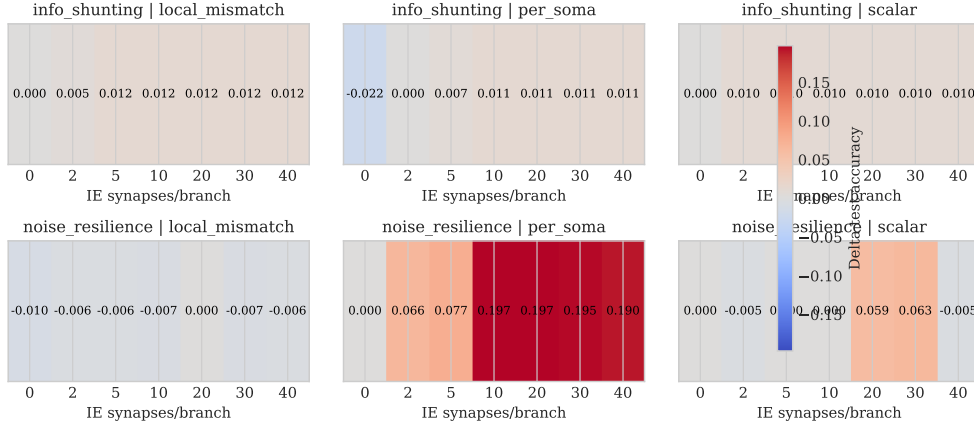


Figure 4: **Shunting advantage across inhibition strength (Phase 3 Claim A).** Heatmap of the shunting minus additive test-accuracy difference over inhibitory synapse count (IE) and broadcast modes, highlighting the inhibition/noise regimes where shunting-linked local credit is most beneficial.

- 289 5. **F5:** Shunting regime dependence (shunting vs additive across inhibition and noise stress).
- 290 6. **F6:** Broadcast-path interaction and information panel (accuracy vs MI/CMI, plus ablations).

## 291 9 Future Extensions and Open Questions

292 Several directions remain for strengthening this framework:

293 **Information factor variants.** The current implementation uses  $\phi_n = 1/(1 - R^2)$ , amplifying  
 294 well-predicted compartments. An alternative  $\phi_n = 1 - R^2$  would emphasize unique information. A  
 295 conditional HSIC formulation could provide:

$$\phi_n^{\text{cond}} = \frac{\text{HSIC}(V_n, y) - \kappa \text{HSIC}(P_n, y)}{\text{HSIC}(V_n, y) + \varepsilon},$$

296 with  $\kappa \in [0, 1]$  controlling parent discount. Empirical comparison of these variants on tasks requiring  
 297 novelty detection vs. hierarchical consistency would clarify when each is advantageous.

Regime Dependence: Shunting Dominates Additive Across Inhibition Levels

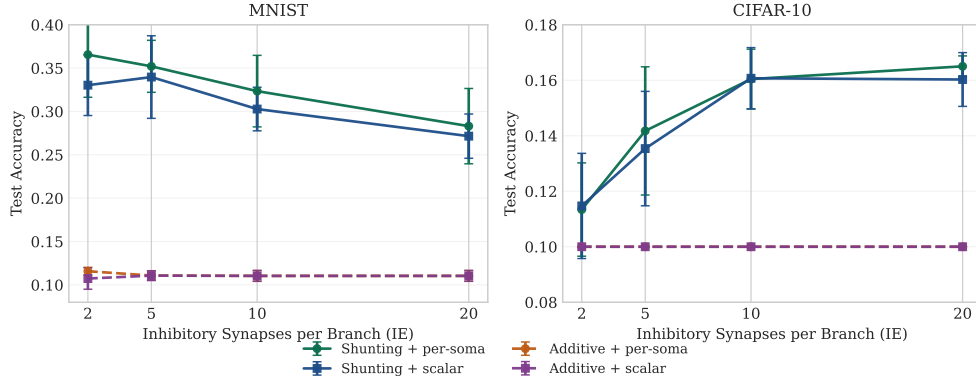


Figure 5: **Regime dependence across inhibition levels (robust sweep).** Shunting networks consistently outperform additive controls across inhibitory synapse counts and broadcast modes. This isolates the main performance source as the shunting regime rather than local-learning heuristics alone.

Source Analysis: Broadcast/Path Interaction is Metric-Dependent

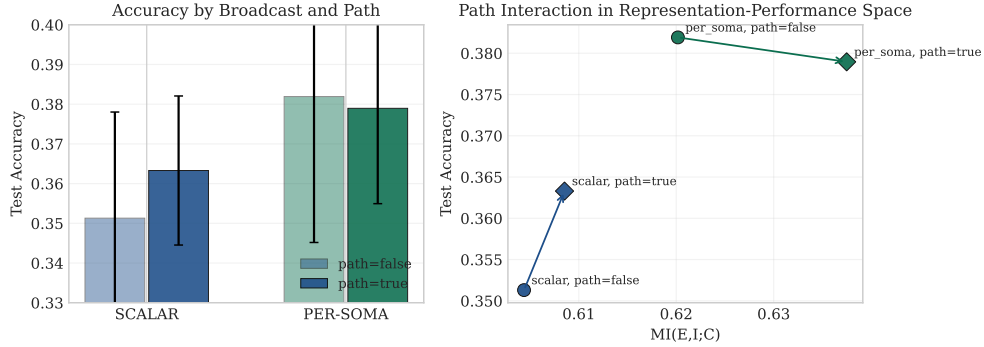


Figure 6: **Broadcast-path interaction in source analysis (robust sweep).** Path propagation has interaction-dependent behavior: within per-soma broadcast it leaves accuracy nearly unchanged while increasing information metrics, whereas within scalar broadcast it improves accuracy more with smaller information gains.

298 **Spiking neural networks.** Extending to conductance-based LIF neurons with surrogate gradients  
 299 would demonstrate biological plausibility. The eligibility trace formulation (Section 5) provides a  
 300 natural bridge to event-driven learning.

301 **Reconstructed morphologies.** Testing on realistic dendritic trees from NeuroMorpho would val-  
 302 idate the morphology-aware factors on biologically constrained topologies, particularly the depth  
 303 modulation and branch-type differentiation.

304 **Convergence analysis.** For linear decoders and quadratic loss, the scalar broadcast (mode A) yields  
 305 an unbiased descent direction up to a positive scalar (Theorem 2). Formal convergence rates under  
 306 Robbins-Monro conditions for diminishing step sizes remain to be established.

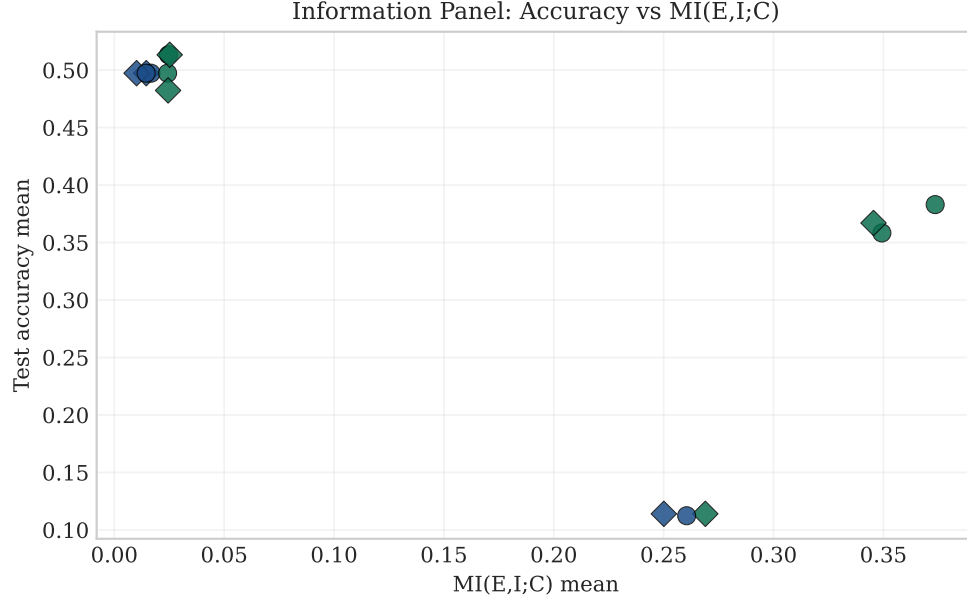
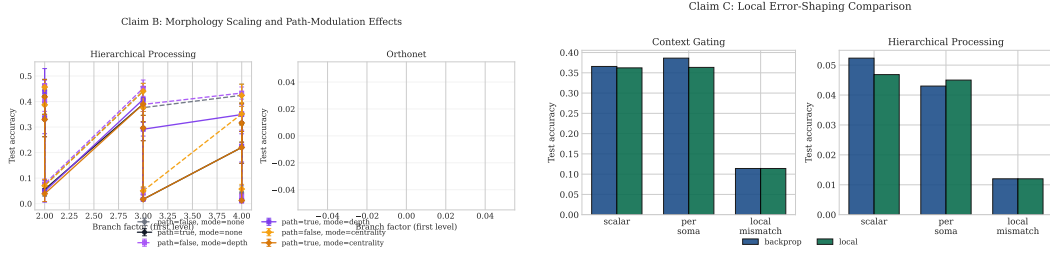


Figure 7: **Information panel (Phase 3).** Information metrics and accuracy jointly reveal that dendritic mechanisms can systematically reshape representations even when end-task accuracy is only weakly affected, motivating mechanistic analyses beyond headline accuracy.



(a) **Morphology scaling.** Accuracy as a function of dendritic branching with and without path propagation/modulators. (b) **Error shaping.** Comparison of broadcast modes and decoder update modes on hierarchical/context tasks.

Figure 8: **Phase 3 ablations: morphology and error shaping.** These sweeps identify which LocalCA components and morphology-aware extensions have the largest effect on performance and representation metrics.

## 307 10 Related Work

308 Our rules connect to dendritic credit-assignment via apical errors [7, 8] and predictive coding or  
 309 equilibrium propagation as local-gradient mechanisms [9, 10]. Broadcast-error variants relate to  
 310 feedback alignment and direct feedback alignment (DFA) [5, 6]. Our HSIC-based auxiliary losses  
 311 follow kernel independence measures [11, 12]. Shunting inhibition connects to divisive normalization  
 312 [3] and the nuanced rate-level consequences of shunts [4]. The compartmental specialization between  
 313 apical and basal branches relates to empirical findings on layer-specific plasticity rules [15]. The  
 314 dendritic normalization mechanism parallels homeostatic synaptic scaling [17].

## 315 11 Conclusion

316 We have presented a rigorous mathematical framework for local credit assignment in compartmental  
 317 dendritic networks. Starting from the passive cable equation and deriving exact backpropagation

Robust Claim / Condition	Metric	Value
Claim2 (MNIST, decoder local vs backprop vs none)	test accuracy	0.3790 vs 0.3788 vs 0.1756
Claim2 (CIFAR-10, decoder local vs backprop vs none)	test accuracy	0.1669 vs 0.1671 vs 0.1000
Claim3 (MNIST, shunting vs additive; avg matched)	test accuracy delta	+0.210
Claim3 (CIFAR-10, shunting vs additive; avg matched)	test accuracy delta	+0.044
Claim4 (per-soma, path true minus false)	test / MI( $E, I; C$ )	-0.0030 / +0.0173

Table 5: **Robust mechanistic headline results (small-network sandbox).** Values are grouped over robust multi-seed claim sweeps (claim2--claim4) and are intended to isolate mechanisms (decoder locality, shunting regime dependence, broadcast/path interaction), not to define the final performance ceiling.

gradients for dendritic trees (10), we introduced three classes of local approximations (3F/4F/5F) and extended them with four morphology-aware mechanisms that explicitly exploit dendritic tree topology. Theoretical analysis reveals that each componentpath propagation, depth modulation, dendritic normalization, and branch type differentiation addresses specific limitations of layer-wise approximations. We clarified the role of shunting inhibition in divisive gain control and proved positive expected alignment between local and exact gradients under broadcast error schemes. All methods are implemented in `local_learning.py` with full configurability and consistency with the mathematical derivations presented here.

## A Codebase Mapping

Equation/Concept	Implementation
(3)	<code>DendriticBranchLayer.forward()</code>
(5), (7)	Lines 488–639 (eligibility traces)
(19), (20)	Lines 535–584 (3F updates)
(21)	<code>_compute_layer_rho()</code> (lines 857–1018)
(28)	<code>_compute_layer_phi_conditional()</code> (lines 1039–1146)
(31)	<code>_compute_path_propagation_factor()</code> (lines 1153–1209)
(33)	<code>_compute_branch_depth_modulator()</code> (lines 1211–1236)
(36)	<code>_compute_dendritic_normalization()</code> (lines 1238–1266)
(39)	<code>_get_branch_type_scale()</code> (lines 1268–1290)
HSIC	Lines 723–854

## B Synapse Count Optima from Current Optima

We summarize how to translate an optimal current ratio  $r^* = (I_E/I_I)^*$  into an optimal synapse count ratio  $(N_e/N_i)^*$  under two commonly used biological/engineering constraints.

(A) **Fixed weight ratio**  $\gamma = w_e/w_i$ . Current balance constraint  $N_e w_e = r^* N_i w_i$  yields

$$\left(\frac{N_e}{N_i}\right)^* = \frac{r^*}{\gamma}. \quad (48)$$

Examples: balance ( $r^* = 1$ )  $\Rightarrow (N_e/N_i)^* = 1/\gamma$ ; Fisher-optimal ( $r^* = (\sigma_I/\sigma_E)^2$ )  $\Rightarrow (N_e/N_i)^* = (\sigma_I/\sigma_E)^2/\gamma$ .

(B) **Mean-field scaling**  $w \propto 1/\sqrt{N}$  with equal constants. With  $w_e = c/\sqrt{N_e}$  and  $w_i = c/\sqrt{N_i}$  (to maintain  $O(1)$  variances), currents are  $I_E = c\sqrt{N_e}\bar{\mu}$  and  $I_I = c\sqrt{N_i}\bar{\mu}$ . Enforcing  $I_E/I_I = r^*$  gives

$$\left(\frac{N_e}{N_i}\right)^* = (r^*)^2. \quad (49)$$

Example: Fisher-optimal  $r^* = (\sigma_I/\sigma_E)^2 \Rightarrow (N_e/N_i)^* = (\sigma_I/\sigma_E)^4$ .



337 These formulas apply uniformly to all cases discussed (balance, noise asymmetry, signal asymmetry,  
338 correlation corrections), by substituting the corresponding  $r^*$ .

## 339 C Example Configuration

```
340 local_ca:
341     rule_variant: "5f"
342     error_broadcast_mode: "scalar"
343
344     # Morphology factor
345     rho_mode: "pearson"
346     rho_estimator: "ema"
347     ema_alpha: 0.05
348
349     # Information factor
350     phi_mode: "conditional"
351     phi_estimator: "conditional_ema"
352     phi_ridge_lambda: 0.001
353
354     # Morphology-aware extensions
355     use_path_propagation: true
356     morphology_modulator_mode: "depth"
357     morphology_depth_offset: 2.0
358     use_dendritic_normalization: true
359     use_branch_type_rules: true
360     apical_branch_scale: 1.5
361     basal_branch_scale: 1.0
362
363     # Compartmental
364     use_conductance_scaling: true
365     use_driving_force: true
366     e_rev_exc: 1.0
367
368     # Optimization
369     clip_grad_value: 5.0
370     normalize_by_batch: true
```

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