

# Mathematical Theory of Chess and Fairy Chess Games

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June 2025

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[https://github.com/hounaïne/baten\\_chess](https://github.com/hounaïne/baten_chess)

## Introduction

Chess, whether in its classical form or in countless fairy variants, shares a common mathematical foundation: the regulated movement of pieces on a finite, discrete board. Despite the diversity of rules and the proliferation of new pieces, no single framework has yet unified the following elements:

1. Modeling the board as a directed graph  $G = (V, E)$  on an arbitrary  $n \times p$  grid.
2. Defining each piece type  $p$  by a finite displacement set  $\Delta_p \subset \mathbb{Z}^2$  and a path-clearance predicate.
3. Capturing advanced game conditions (check, checkmate, promotion, castling, en passant, pin) as modular logical guards.
4. Providing a declarative mini-DSL that, via simple YAML specifications, describes both  $\Delta_p$  and the guard predicates for each piece and automatically generates a complete move validator.

We also explicitly note that any fairy-chess piece can be reduced to a pair  $(\Delta_p, \text{guards}_p)$ , where  $\Delta_p \subset \mathbb{Z}^2$  encodes its kinematics and  $\text{guards}_p$  is a set of logical predicates encoding its special rules.

This treatise delivers such a theory, combining rigorous formalism with practical extensibility.

A subsequent **Part II** (currently in preparation) will develop the theory of *dynamic control predicates*, *boundary-adapted directionality*, and *occupancy constraints* for both classical and fairy variants, thus completing our universal framework.

## 1 Part I: Modeling the Chessboard as a Graph

### 1.1 Vertices and Coordinates

Let  $n \geq 1$ . We define

$$V = \{(x, y) : x, y \in \{0, 1, \dots, n-1\}\}.$$

Each square is labeled by  $(x, y)$  with  $0 \leq x, y < n$ . Hence  $|V| = n^2$ .

### 1.2 Displacement Sets $\Delta_p$

Each piece  $p$  is associated with a finite set

$$\Delta_p = \{(dx_i, dy_i) \in \mathbb{Z}^2 : i = 1, \dots, k_p\}.$$

Table 1 lists the classical  $\Delta_p$  on an  $n \times n$  board:

To define a *fairy piece*, simply choose any finite subset  $\Delta_p \subset \mathbb{Z}^2$ . For example, a “Dragon” can be

$$\Delta_{\text{Dragon}} = \Delta_{\text{bishop}} \cup \Delta_{\text{knight}} \cup \{(0, 1), (0, -1)\}.$$

Piece $p$	$\Delta_p$ (examples for $8 \times 8$ ; analog for any $n$ )
Pawn (white)	$\{(0, 1)\} \cup \{(\pm 1, 1)\}$ plus $(0, 2)$ if on rank 1
Pawn (black)	$\{(0, -1)\} \cup \{(\pm 1, -1)\}$ plus $(0, -2)$ if on rank 6
Knight	$\{(\pm 1, \pm 2), (\pm 2, \pm 1)\}$
Bishop	$\{(\pm d, \pm d) : d = 1, \dots, n-1\}$
Rook	$\{(d, 0), (-d, 0), (0, d), (0, -d) : d = 1, \dots, n-1\}$
Queen	$\Delta_{queen} = \Delta_{bishop} \cup \Delta_{rook}$
King	$\{(\pm 1, 0), (0, \pm 1), (\pm 1, \pm 1)\}$

Table 1: Classical displacement sets  $\Delta_p$ . For sliding pieces,  $d = 1, \dots, n-1$ .

### 1.3 Definition of $E_p$ and the Graph $G_p$

For each piece  $p$ , define the directed edge set

$$E_p = \{(u, v) \in V \times V : v - u \in \Delta_p\}.$$

Hence  $G_p = (V, E_p)$  is the “movement graph” of piece  $p$ , ignoring any blocking.

### 1.4 Occupation Function

At any given board state, we maintain

$$\text{Occ} : V \rightarrow \{\text{Empty}, \text{Friendly}, \text{Enemy}\}.$$

It indicates whether each square is empty, occupied by a friendly piece, or by an enemy.

## 2 Part II: Pure Kinematics of Piece Movements

We now define a helper and give pseudocode to test the legality of a single move  $(u \rightarrow v)$  for piece  $p$ .

### 2.1 Straight-Line Path

**Definition 1** (Straight-Line Path). Given  $u = (x_u, y_u)$  and  $v = (x_v, y_v)$  such that  $v - u \in \Delta_p$  and  $p$  is sliding, let  $d = \|v - u\|_\infty$  and

$$P(u, v) = \{(x_u + t dx, y_u + t dy) : t = 1, \dots, d-1\},$$

where  $(dx, dy) = (v - u)/d$  is the unit direction.

### 2.2 Move Validation Pseudocode

```
function isLegal(p, u, v, Occ):
  if Occ[v] == Friendly: return False
  delta = (v.x-u.x, v.y-u.y)
  if delta not in Delta[p]: return False
  if p in {Knight, King}: return True
  if p==Pawn:
    dx, dy = delta
    if dy==1 and dx==0 and Occ[v]==Empty: return True
    if dy==2 and dx==0 and onStart(u) and Occ[v]==Empty and Occ[(u.x,u.y+1)]==Empty: return True
    if abs(dx)==1 and dy==1 and Occ[v]==Enemy: return True
  return False
```

```

stepX, stepY = sign(delta.x), sign(delta.y)
d = max(abs(delta.x), abs(delta.y))
for t in range(1,d):
    w = (u.x+t*stepX, u.y+t*stepY)
    if Occ[w] != Empty: return False
return True

```

### 3 Part III: Unification of Advanced Guard Rules

Let  $B$  be a finite  $n \times p$  chessboard, modeled as the directed graph

$$G = (V, E), \quad V = \{(x, y) \mid 1 \leq x \leq n, 1 \leq y \leq p\}, \quad E = \bigcup_{p \in \mathcal{P}} E_p,$$

where for each piece type  $p$ ,

$$E_p = \{(u, v) \in V \times V \mid v - u \in \Delta_p \text{ and } \text{path\_clear}(u, v)\},$$

and  $\Delta_p \subset \mathbb{Z}^2$  is the set of elementary displacement vectors for  $p$ .

A candidate move  $(u \rightarrow v)$  must first satisfy this *pure kinematic* test. We then apply the following *guards*—each a predicate—that filter out illegal moves:

#### 1. Color Guard

$$\text{ColorGuard}(p, u, v, b) \equiv \text{color}(p) = \text{turn}(b).$$

#### 2. Pin Guard

Let  $b'$  be the board after symbolically moving piece  $p$  from  $u$  to  $v$ , and let  $k$  be the king's square of  $\text{color}(p)$ . Then

$$\text{PinGuard}(p, u, v, b) \equiv \neg(\exists w : (w \rightarrow k) \in E_{p'}).$$

#### 3. Check Guard

$$\text{CheckGuard}(p, u, v, b) \equiv \neg(\text{is\_in\_check}(b', \text{color}(p))).$$

#### 4. Castling Guard

Applicable only if  $\Delta_{\text{King}} \ni (\pm 2, 0)$ . Let  $\text{flag}$  be the appropriate castling-right indicator and  $d = (v - u)/2$ . Then

$$\text{CastlingGuard}(u, v, b) \equiv (v - u) \in \Delta_{\text{King}} \wedge \text{castling\_rights}[\text{flag}] = \text{True} \wedge \forall w \in \{u + s \cdot d \mid s = 1, 2\} : (w \notin b.\text{pieces})$$

#### 5. Promotion Guard

For pawns  $P$ :

$$\text{PromotionGuard}(p, u, v, b) \equiv p = P \wedge (v_y = 1 \text{ or } v_y = p).$$

#### 6. En Passant Guard

Let  $\text{ep}$  be the stored en passant target and  $\text{last\_move} = (u', v')$ . Then

$$\text{EnPassantGuard}(p, u, v, b) \equiv p = P \wedge v = \text{ep} \wedge v' - u' = 2\Delta_P.$$

A move  $(u \rightarrow v)$  is *legal* if and only if it passes all guards:

$$\text{is\_legal}(p, u, v, b) \equiv (u \rightarrow v) \in E_p \wedge \bigwedge_{G \in \{\text{Color}, \text{Pin}, \text{Check}, \text{Castling}, \text{Promotion}, \text{EP}\}} G(p, u, v, b).$$

## Theorems

**Soundness.** Every move accepted by this pipeline is legal under the official rules.

**Completeness.** Every legal move is accepted by the pipeline, provided  $\Delta_p$  and guards are correctly defined.

## 4 Part IV: Mini-DSL for Move Validator Generation

We now present a formal specification language (DSL) that declares both kinematic vectors  $\Delta_p$  and guard predicates for any piece  $p$ . This DSL uses a YAML-based syntax and is parameterized by arbitrary board dimensions  $n \times p$ . The generator script `generate_dsl.py` processes these specifications and produces a complete Python move validator.

### 4.1 DSL Grammar (EBNF)

The grammar for a single piece specification is:

```
piece_spec    ::= "piece:" WS PIECE_NAME '\n'
                "displacement:" WS "[" displacement_list "]" '\n'
                "slide:" WS SLIDE_FLAG '\n'
                "guards:" '\n' guard_list

displacement_list ::= vector ("," WS vector)*
vector            ::= "[" INT "," INT "]"
SLIDE_FLAG        ::= true | false
guard_list        ::= (" " GUARD_NAME ":" guard_rule '\n')+

PIECE_NAME        ::= /[A-Za-z_]+/
GUARD_NAME        ::= /[A-Za-z_]+/
guard_rule        ::= /.+/
```

### 4.2 Semantic Mapping

Define a mapping  $\Phi$  from a DSL spec  $S_p$  to the pair  $(\Delta_p, \mathcal{G}_p)$ :

$$\Phi(S_p) = (\Delta_p, \mathcal{G}_p),$$

where:

- $\Delta_p$  is the finite set of integer vectors parsed from `displacement`.
- $\mathcal{G}_p$  is the set of guard predicates parsed from `guards`, each compiled into a Boolean function on  $(u, v, b)$ .

### 4.3 Completeness Theorem

**Theorem 1.** For any finite  $\Delta' \subset \mathbb{Z}^2$  and any finite set of guard predicates  $\mathcal{G}'$ , there exists a DSL specification  $S_p$  such that  $\Phi(S_p) = (\Delta', \mathcal{G}')$ .

*Sketch.* List each vector of  $\Delta'$  in the `displacement` section. Encode each predicate of  $\mathcal{G}'$  as a line under `guards`. The DSL grammar imposes no restriction on the predicate syntax, so every `guard_rule` can be represented. Hence  $\Phi$  is onto.

□

## 5 Part V: Extensions and Generalizations

In this section, we explore how the graph-theoretic framework and guard rules can be extended beyond standard and fairy-chess on an  $8 \times 8$  board, to more general settings:

1. **Arbitrary Board Dimensions.** Extend the vertex set to

$$V = \{(x, y) \mid 1 \leq x \leq n, 1 \leq y \leq p\},$$

and adjust all displacement sets  $\Delta_p$  accordingly. The move-validation cost remains  $O(\max(n, p))$ .

2. **Weighted and Multi-Graph Models.** Assign a weight function  $w : E \rightarrow \mathbb{R}$  to edges so that each move  $(u \rightarrow v)$  carries an evaluation metric (e.g. control value). This yields a directed weighted graph  $G_w = (V, E, w)$  for advanced AI path scoring.

3. **Boundary Directional Constraints.** For nodes on the board border or corners, define a local adjustment

$$\Delta_p(u) \subset \Delta_p,$$

removing unavailable directions. This formalizes the reduction of directionality at edges and corners.

4. **Higher-Dimensional Boards.** Generalize to  $d$ -dimensional boards, e.g.

$$V = \{(x_1, \dots, x_d) \mid 1 \leq x_i \leq n_i\},$$

with  $\Delta_p \subset \mathbb{Z}^d$ . Guard rules extend verbatim.

5. **Dynamic Rulesets.** Allow  $\Delta_p$  and guard predicates to depend on time or game state, modeling time-dependent or conditional rules (e.g. unlocking new moves after certain events).
6. **Phase-Dependent Movesets.** Integrate with the phase-automaton DSL (Section IV), enabling distinct

$$\Delta_p^{(\text{phase})} \quad \text{and} \quad \{\text{guards}\}^{(\text{phase})}$$

per game phase (opening, middlegame, endgame).

## 6 Part VI: Conclusion and Future Perspectives

In this treatise, we have developed a unified, graph-theoretic framework for validating both classical and fairy-chess moves on an arbitrary  $n \times p$  board. By distinguishing pure kinematics (the displacement sets  $\Delta_p$  and path-clearance predicates) from advanced guard rules (color, pin, check, castling, promotion, en passant), we achieve both rigor and extensibility. A mini-DSL further automates the generation of move validation code directly from declarative specifications.

### Key contributions:

- A formal graph model  $G = (V, E)$  that supports  $O(\max(n, p))$  move-check complexity.
- A modular guard pipeline for advanced rules ensuring soundness and completeness.
- A declarative DSL for piece definitions and guard configurations, enabling rapid prototyping of new variants.
- Extensions to arbitrary board shapes, weighted and higher-dimensional graphs, and dynamic or phase-based rulesets.

### Future directions:

- Mechanical verification of the validator using proof assistants (Coq, Isabelle).
- Integration of weighted graph metrics for AI-driven evaluation of control and mobility.
- Support for multi-graph and stochastic move rules in probabilistic or AI-driven games.
- Exploration of 3D and non-Euclidean board geometries under the same formalism.

This theory lays the mathematical groundwork for a truly universal chess engine—*Fibochess*—and for designers of fairy variants seeking a robust, extensible platform.