

# Progress report: cellular automata rule identification

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## 1 Problem definition

In this research we develop an automated method of identifying a cellular automata rule from spatio-temporal images (i.e. observations of system evolution in time). Such problem in general is of great importance – we hope to develop a method that could be used to analyze real-world observations and build models based on that.

To examine different methods of constructing such models we start with a simplified version of the problem. Firstly a randomly chosen, one dimensional, binary CA rule  $R$  is chosen. Only rules with symmetric neighborhoods with positive radius are considered. Then a set  $S$  of selected initial conditions (states of 1D finite lattice) is formed by randomly filling 0's and 1's. After that each space state  $s \in S$  is evolved according to  $R$ . Exactly  $T$  evolutions (iterations) are calculated for each  $s \in S$ , so for each  $s$  we have a spatio-temporal image  $i(s)$ . This sets our evaluation criteria - we will try to discover the rule  $R$  by means of evolutionary computing, relying only on samples  $i(s)$ .

We shall use following notation:

1.  $S$  - set of starting conditions,
2.  $s \in S$  - selected starting condition,
3.  $R$  - cellular automata 1D rule,
4.  $rad(R)$  - radius of the neighborhood of rule  $R$ ,
5.  $i(s)$  - spatio-temporal image of starting condition  $s$  containing samples for time  $t = 0, 1, \dots, T$ ,

6.  $|s|$  - count of cells in 1D space of starting state  $s$ ,
7.  $i_t(s)$  - state in time  $t$  of evolution  $i(s)$ ,
8.  $i_t(s)[j]$  - is value of  $j$ -th cell in time  $t$  in image  $i(s)$ ,
9. we will use rule symbol  $R$  as a function symbol, so that we will write:  
 $R(i_t(s)) = i_{t+1}(s)$ . Following this notation applying rule  $R$  on state  $i(s)$  multiple times ( $t$ -times) is denoted with:  $R^{(t)}(i(s)) = i_t(s)$ .

## 2 Genetic algorithm

We start by randomly selecting  $N$  rules  $r_i^0$ ,  $i = 1, \dots, N$ , with radiuses  $0 < \mathcal{R}_1 \leq \text{rad}(r_i^0) \leq \mathcal{R}_2$ , where  $\mathcal{R}_1 \leq \text{rad}(R) \leq \mathcal{R}_2$ .

Then we evaluate all those rules according to fitness function. For some rule  $r$  the fitness function is given by:

$$\text{fit}(r) = \frac{1}{|S|} \sum_{s \in S} 1 - \frac{\sum_{t=1}^{T-1} 2^{-t} \left( \sum_{j=1}^{|s|} |r^{(t)}(i_0(s))[j] - i_t[j]| \right)}{|s|(1 - 2^{-T})}.$$

Note that for any rule  $r$  we have  $0 \leq \text{fit}(r) \leq 1$ , and  $\text{fit}(r) = 1$  if  $r = R$  or if  $r$  is good enough to fully simulate  $R$  on given image set.

So the goal of genetic algorithm is to maximize  $\text{fit}$  function, by selecting best matches, reproducing and manipulating them.

### 2.1 Selection

We select rules randomly, with weighted chances based on the fitness (i.e. rules with higher fitness have bigger chances of being picked). For the population of  $N$  individuals we select  $N/2$  pairs and feed them to crossover procedure, and then mutate each of the effecting organisms.

TODO: describe selection in details.

### 2.2 Cross-over

Crossover between rules  $r_1$  and  $r_2$  can only happen when  $\text{rad}(r_1) = \text{rad}(r_2)$ . If it's the case, then we use one-point crossover. We randomly select a point in rules LUT and cross-over entries in LUT starting from this point.

In case where  $\text{rad}(r_1) \neq \text{rad}(r_2)$  then we randomly pick one of the rules, and up-scale or down-scale the other one, to match radiuses.

Up-scaling is always possible and its "lossless", since class of rules with bigger radius is richer than those with lower one. Down-scaling on the other hand loses some information of the rule definition.

TODO: add more details on up-scaling and down-scaling implementation.

## 2.3 Mutation

We have 3 types of mutations: LUT entry level mutation, random up-scaling and down-scaling.

First kind of mutation simply switches entries in LUT with some small probability  $p_m$ .

The additional type of mutations down-scale or up-scale the radius of a rule at random with some small probabilities  $p_{down}$ ,  $p_{up}$ , to give more diversity to the population and to enable searches in different radiuses.

## 2.4 Success criteria

The evolution of genetic algorithm continues with iterative applying of fitness recalculation, selection, cross-over and mutation. In each iteration we additionally keep the best individual from previous iteration without any modifications.

We end the algorithm when there is a rule with  $fit(r) = 1$  or when a fixed number of iterations elapsed.

## 3 Results

TODO: charts, tables, samples..