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Description automatically generatedHakobyan Howhannes

Batch Size and Obstacle Avoidance:

A PPO-Based Study of Autonomous Vehicles Using Unreal Engine Learning Agents

Supervisor: Boury Fries

Coach: Geeroms Kasper

Graduation Work 2024-2025

Digital Arts and Entertainment

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# Abstract & Key words

**An abstract explains the outline of the paper concisely (the methods, results, etc.). Maximum length of 250 words, preferably both in English and Dutch.**

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# Preface

***A preface is a statement of the author's reasons for undertaking the work and may include personal comments that are not directly relevant to other sections of the thesis or dissertation.* No word count limit.**

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# List of Figures

**The list of figures lists the figures in the order in which they appear throughout the thesis. They may be numbered sequentially, or be subdivided following the chapters in which they appear.**

[*Figure 1: Different Types of ML* (Experfy, 2023) 7](#_Toc186302050)

# Introduction

**In the introduction, you write the background of your topic and discuss the observation that spurred you on to do this research project. Explain the purpose of the paper and present your research question(s) and the hypothesis at the end of this section. This section is typically a couple of pages long.**

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# Literature Study / Theoretical Framework

## Introduction

This section provides an overview of existing research and theoretical concepts relevant to the impact of experience replay batch size and obstacle avoidance AVs trained using PPO. It establishes the background needed to contextualize this study.

## Machine Learning

Machine learning (ML) enables computers to perform actions without explicit programming (Bi et al., 2019). When complex behaviors are required, it is often a burdensome task to explicitly program those behaviors. ML provides a more efficient approach to developing advanced and human-like behaviors.(Janiesch et al., 2021)

There are several types of ML, such as Supervised ML, Unsupervised ML, Reinforcement Learning (RL) and Semi-Supervised ML.(Jain, 2023). This paper will only explore RL, as it is a common choice when training AVs (Kiran et al., 2021)

*Figure 1: Different Types of ML (Experfy, n.d.)*



## Reinforcement learning (RL)

Reinforcement Learning (RL) is an area of machine learning where the agent develops its behavior based on actions, observations and rewards. A common way of achieving this is by trial-and-error. The agent is forced to interact with the environment, and based on the actions it performs, a positive reward or a negative reward, also referred to as a penalty, will be given. The goal is to maximize the total reward, while balancing exploration (trying new actions) and exploitation (choosing the best-known and most-rewarding action).(Crespo & Wichert, 2020)

The environment in which the agent is being trained can be extensive, with numerous observable elements and a wide range of possible actions. It is the responsibility of the developer to specify what an agent observes, the actions it can take, and the rewards for specific behaviors.

Here are some key concepts in RL:

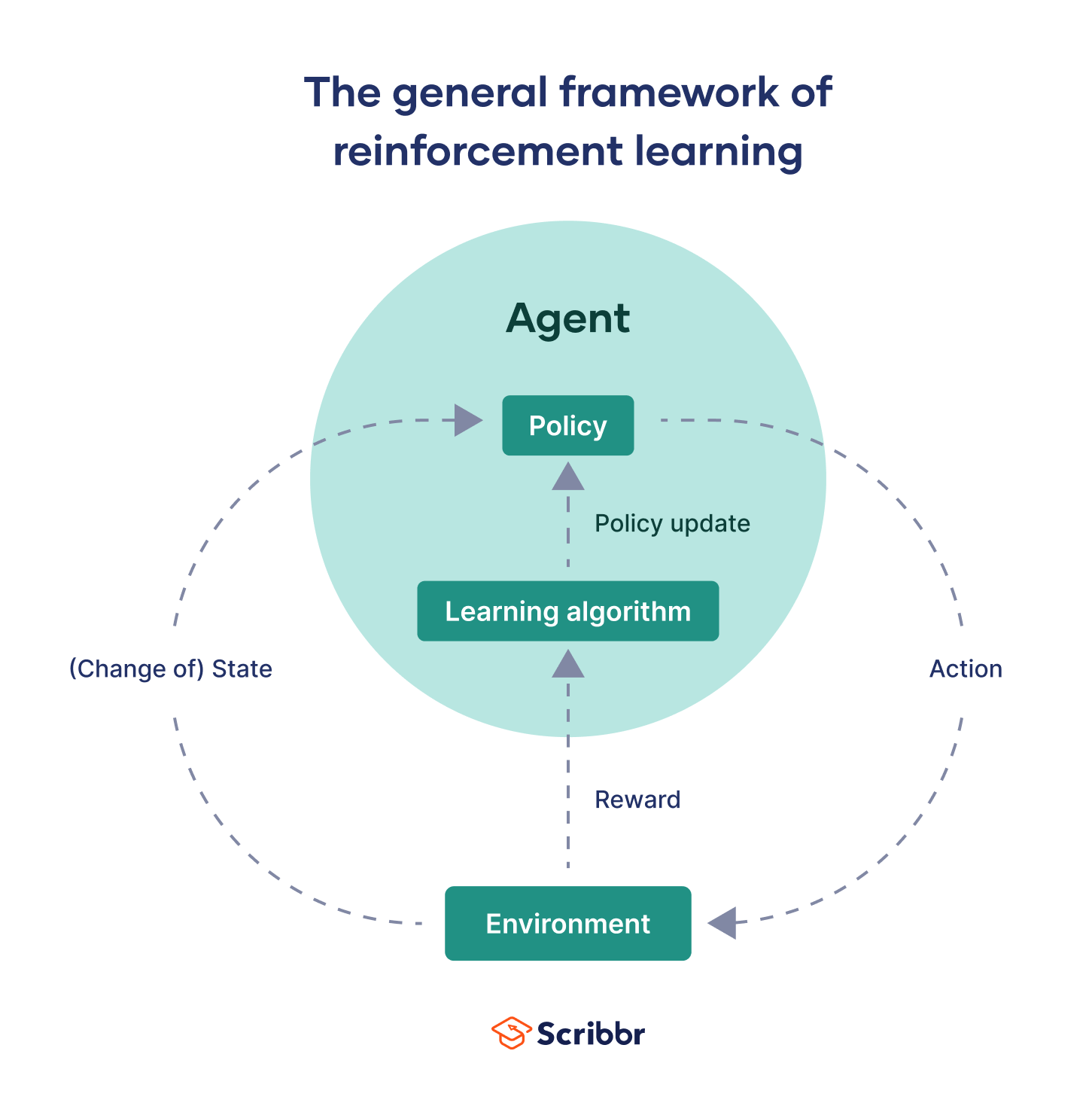
* *The State* represents the current condition of the environment. It encompasses all the information about the world. (OpenAI, 2018)
* *Observations* represent the information the agent receives from the environment. Using observations the current state of the environment is captured, and this information is fed into the agent’s knowledge base. For example, in a traffic situation, observations might include the positions, directions and speeds of nearby vehicles, the weather conditions, the status of traffic lights and the agent’s own velocity and direction. These observations heavily influence the agent’s decision-making (Arabaci, 2023).
* *Actions* represent the interactions with the environment. The collection of all valid actions in an environment is called the **action space** (OpenAI, 2018). One of the main challenges is finding the perfect balance between actions that yield high results, and actions that have not been executed yet as part of the discovery process (Kiran et al., 2021). Revisiting the earlier traffic example, possible actions could include throttle, braking, and steering.
* *Policies*are the link between the current state of the environment the agent finds itself in, and the action it should take in that situation. It can be a function, a matrix, or an array of probabilities of each action being chosen (Crespo & Wichert, 2020). The words “agent” and “policy” are often used interchangeably because the policy is essentially the agent’s brain (OpenAI, 2018).
* *Rewards* play a significant role in the agent’s development. In each state, the agent receives a reward from the environment based on the action it took. If that action leads to desired outcomes, the reward is positive, otherwise the reward is negative. The agent strives to maximize the cumulative rewards received over the span of its training, leading to desired behavior (Kiran et al., 2021). In the previously mentioned traffic scenario, actions such as driving through a red light, or crashing into other cars could yield negative rewards, while driving under the speed limit, and avoiding collisions could lead to positive rewards.

As previously mentioned, reward shaping is crucial in RL. A well-designed reward function will lead to a more desirable outcome, while a bad design might lead to suboptimal behavior. To shape the reward function properly, one must define the goal of the agent, and the key behaviors the agent must learn. Afterwards, all the actions or states that either reward or penalize the agent must be identified.

* **Rewards** are given to encourage the agent to take certain actions in specific scenarios
* **Penalties** are given to discourage the agent from taking incorrect actions.

It is also important to note that having a disproportionately high or low rewards or penalties can lead the agent to over-focus on certain actions, not prioritizing reaching the goal (GeeksforGeeks, 2024).

Figure : Reinforcement Learning framework (Scribbr, n.d.)

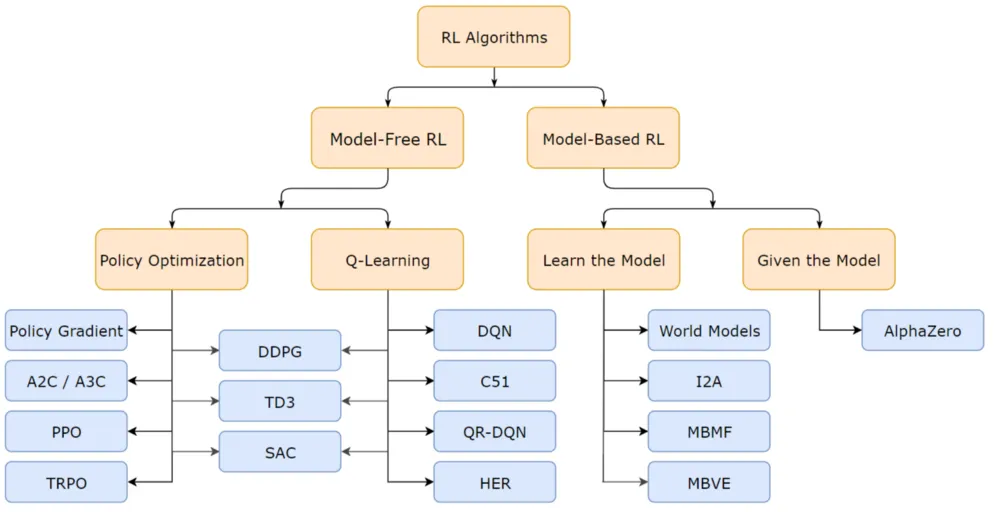


## Proximal Policy Optimization

Proximal Policy Optimization (PPO) is one of many RL algorithms, developed by John Schulman in 2017. It is widely used by American artificial intelligence company OpenAI and is considered a state-of-the-art RL algorithm by many experts (Kumar, 2024; OpenAI, 2017).

PPO is part of Policy Optimization methods, where the agent learns the **policy function** that maps state to action directly (SmartLab, 2019). To determine how well an agent is performing, and to decide which action the agent should take for each state, the policy function must be evaluated. When the agent is in the initial stages of learning and doesn’t know which actions lead to the best results, it does so by calculating the **policy gradients.** The output of the gradients helps the agent determine which actions are most likely to lead to higher rewards (Kumar, 2024).

Figure : Reinforcement Learning taxonomy (OpenAI, 2018)



## Policy batch size

**Policy batch size** refers to the number of samples processed together during training. In the context of RL, it specifically denotes the number of experiences grouped for training updates. Each experience typically comprises:

* The **state** of the agent
* The **action** the agent takes in that state
* The **reward** of the set action
* The **next state** of the agent
* Weather the episode terminates (Bacancy, 2024).

Before calculating a gradient update, a batch is processed. The size of this batch is controlled by the developer. For performance reasons it is advisable to keep the batch size to a power of two (jcm69, 2017).

Batch size is an important hyperparameter to tune. It is known that too large of a batch size will lead to poor generalization, and a smaller batch size is not guaranteed to deliver the desired outcome. It is also known that a smaller batch size leads to faster training, while a relatively big batch size leads to “guaranteed” results. It is important to mention that making general statements about the effects of hyperparameters is very difficult, as behaviour often varies from dataset to dataset (Shen, 2018). Therefore, it is up to the developer to determine what is referred to as the “optimal batch size” for the training process.

## Autonomous driving

Autonomous driving (AD) refers to the process of navigating a vehicle with partial or no human intervention. AVs utilize technology to avoid road hazards and adapt to traffic conditions (CFSS, n.d.). It has been a popular research topic since the end of the last century, because it promises many benefits such as safety and time savings (Wen et al., 2020).

Thanks to the rapid development of hardware, simulations enable us to test various AD algorithms with significantly reduced labour cost and time. More importantly, it takes the human error of breaking expensive equipment out of the equation (Wen et al., 2020).

Decision-making systems for real-life AV are often complex, including various sensors to fetch data from the environment, mapping algorithms for localization, understanding human drivers and predicting their behaviour, and engaging in kinematic manoeuvres (Barla, 2022). However, for the purposes of this paper, it is sufficient to focus solely on environment sensing.

The most common sensors used in real-life AV trainings include cameras, LiDAR, and RADAR (Barla, 2022). However, for the scope of this paper, ray tracing will be utilized for environment sensing and obstacle detection, as it is a more practical choice for this study.

## Unreal Engine Learning Agents

Learning Agents is an Unreal Engine ML plugin for AI bots. It currently supports reinforcement & imitation learning to create game-playing agents, physics-based animations, automated QA bots and more. It is not a general-purpose ML framework, so generative and conversational AI are not supported (Epic, 2024).

Learning Agents is intended for developers with different ML knowledge levels. Beginners can take advantage of the available plugins in Blueprints, while more advanced users can utilize the C++ API for greater control and flexibility (Epic, 2024; HuggingFace, n.d.).

The plugin comes with a built-in PyTorch PPO algorithm for RL. Functionality for defining observations, actions and rewards are provided (Epic, 2024).

To enhance the readability of this paper, the Blueprint interface will be used to implement functionalities and demonstrate key concepts.

# Research

**In the research section, you detail the elements of your experiment(s), the tests, objects you will test upon and subjects you will test with, the data gathering, data cleaning or feature extraction, measurements, … and you present the results obtained in an objective manner for each of the tests you conducted.**

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## Topic 1

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# Discussion

**In this section, you offer an interpretation of the results you obtained and try to relate them to the theoretical framework you presented. This is typically not a very long section, but obviously one of the most important ones.**

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# Conclusion

**In this section, you ascertain the demonstrable outcomes of your study and outline the merits of the project for the academic field and the discourse community. This is typically not a very long section, but obviously also one of the more important ones.**

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# Future work

**This section is sometimes standalone, sometimes incorporated in the conclusion. It looks at the shortcomings of the study, alternative strategies, and what could be the next course of action in the research field. This is typically not a very long section.**

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# Critical Reflection

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# References

**In this section, you list all the references you made in alphabetical order; consequently adhere to the referencing style you have chosen.**

Casey Raes (2014), Processing (second edition).

Saccade. (n.d.). In Wikpedia. Retrieved November 6 2016 from <https://en.wikipedia.org/wiki/Saccade>

Sarah Northway (2016) A year in VR Northway [Powerpoint slides] from <https://www.gdcvault.com/play/1024631/A-Year-in-VR-A>

# Acknowledgements

**In this section, you can thank people who contributed to your work in a meaningful way.**

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# Appendices

**In many cases, there are items that were developed for a research paper that can’t go into the actual paper in full. Things suc as code, art pieces, output of statistical analysis, questionnaires, … In this section, you can present these elements; use the first page to list and number the items, then paste them sequentially. If some items are too large, you can store them online, and link to them. Common practice is to keep those links active at least one year after the publication of the thesis.**

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