

First principles calculation of configurational energy density of states for LLTO with new Wang and Landau algorithm variant

Jason D. Howard^{a)}

(Dated: 24 September 2019)

In this work a variant of the Wang and Landau algorithm for calculation of the configurational energy density of states is proposed. The algorithm is referred to as B_LENDER, which is an acronym for B_Lend Each New Density Each Round and an adjective for how it was created and functions. The algorithm was developed for the purpose of working towards the goal of using first principles simulations, such as density functional theory, to calculate the partition function of disordered sub lattices in crystal materials. The expensive calculations of first principles methods make a parallel algorithm necessary for a practical computation of the configurational energy density of states within a supercell approximation of a solid state material. The developed algorithm is natural to parallelize, is developed from a self consistent perspective, and was developed purposely for lattice based problems encountered in the study of disordered crystal sublattices. The algorithm developed in this work is tested with the 2d Ising model to bench mark the algorithm and to help provide insight for implementing the algorithm to a materials science application. The algorithm is then applied to the lithium and lanthanum sublattice of the solid state lithium ion conductor Li_{0.5}La_{0.5}TiO₃. This was done to help understand the disordered nature of the lithium and lanthanum. The results find overall that the algorithm performs very well for the 2-d Ising model and that the results for Li_{0.5}La_{0.5}TiO₃ are consistent with experiment while providing additional insight into the lithium and lanthanum ordering in the material.

I. INTRODUCTION

For crystalline materials with disordered sub-lattices such as the Li-ion solid state electrolyte LLTO it is desirable to calculate from first principles methods (such as density functional theory²) the configurational energy density states $G(E_j)$. Here the energy density of states refers to the energies of the distinct lattice configurations. With the energy density of states the partition function,

$$Z = \sum_i^{\Omega} e^{\frac{-e_i}{k_B T}} = \sum_j^{\Pi} G(E_j) e^{\frac{-E_j}{k_B T}}, \quad (1)$$

can be determined and from it many important thermodynamics properties such as the free energy, entropy, specific heat, and ensemble averages calculated. In Eq. (1), Ω corresponds to the number of possible configurations and energies in the set $\{\Sigma_i, e_i\}$, Π to number of possible distinct energies E_j , k_B is Boltzman's constant, and T is the temperature. One method to solve this problem could be temperature dependent simulations involving the Metropolis algorithm an sampling with probability proportional to $\exp(\frac{-e_i}{k_B T})$ and histogram re-weighting techniques^{3,5}. Another more advanced method is the multi-canonical method proposed by Berg et al.¹. A variant of multicanonical sampling that samples the density of states directly known as entropic sampling developed by Lee⁴ could also be used. These algorithms require a good estimate of the density of states to be effective. Another algorithm called the Wang and Landau algorithm⁶ has been developed which is temperature independent and is based on a random

walk in energy space and builds up the density of states as the algorithm progresses. An issue with these algorithms (if using a single walker) in use with first principles methods such as density functional theory is the large number of iterations needed which would require a prohibitively long wall time at the current performance power of computers. In this paper an algorithm is proposed that combines the use of random sets along with the importance sampling method of the Wang and Landau algorithm, this importance sampling is similar to the entropic sampling proposed by Lee⁴. The algorithm also used the philosophy of the Wang and Landau algorithm to build up a estimate of the density of states as the algorithm progresses. The proposed algorithm is meant to work towards the goal of a highly parallel importance sampling algorithm that directly calculates the density of states, meshes well with high performance computing architectures, and has a minimum of parameters for implementation. The algorithm developed in this work is referred to as the B_LENDER (B_Lend Each New Density Each Round) algorithm.

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⁴Lee, J., "New monte carlo algorithm: Entropic sampling," Phys. Rev. Lett. **71**, 211–214 (1993).

⁵Metropolis, N., Rosenbluth, A. W., Rosenbluth, M. N., Teller, A. H., and Teller, E., "Equation of state calculations by fast computing machines," J. Chem. Phys. **21**, 1087–1092 (1953).

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^{a)}Also at Argonne National Laboratory