

SEAL-DL

(Simple Encrypted Arithmetic Library – Deep Learning)

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- DL
- Efficient Matrix Product
- Implement

SEAL DEMO(<https://github.com/microsoft/SEAL-Demo>)

• AsureRun

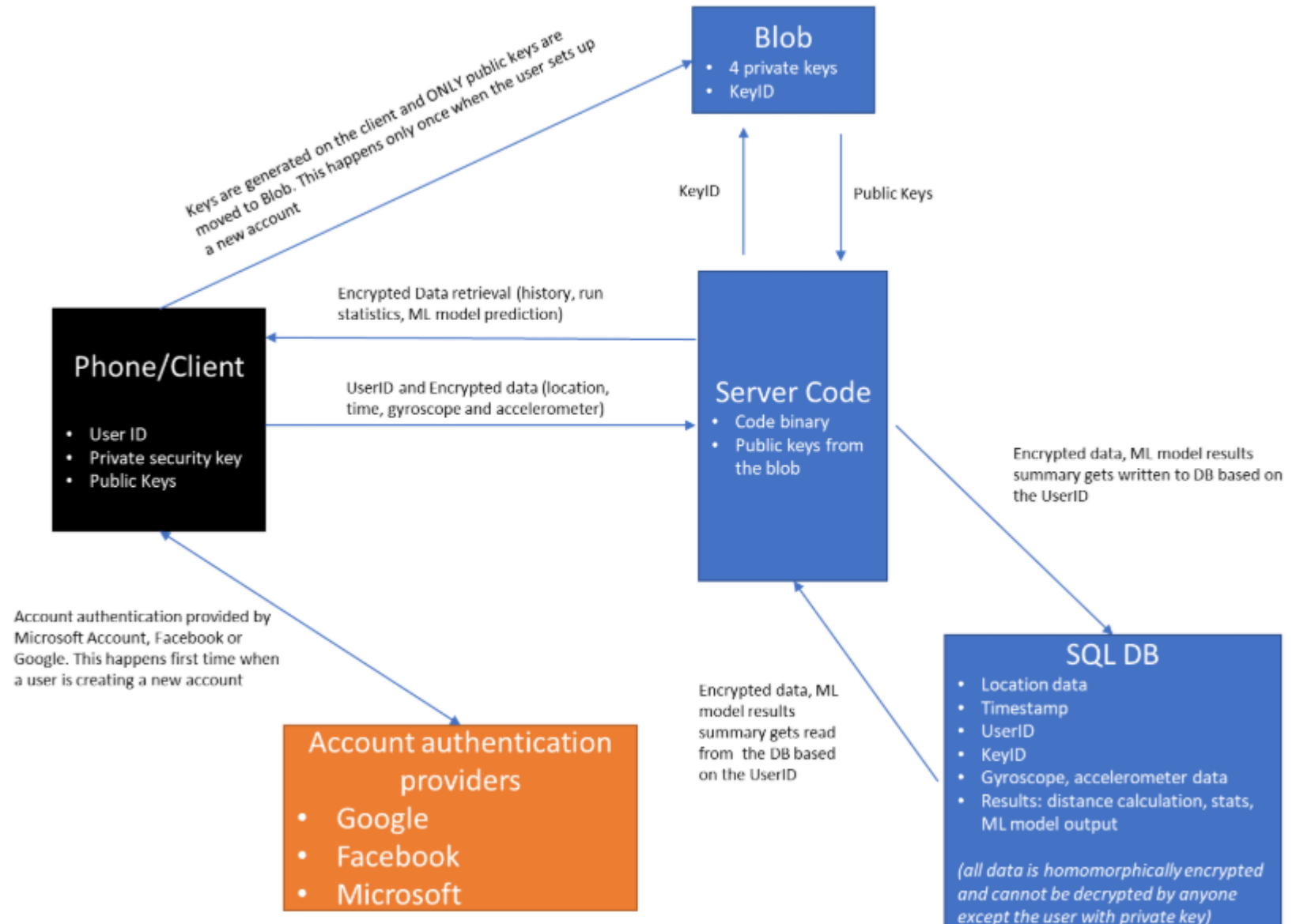
- User의 '달리기'정보(gps위치정보, 가속도등)를 활용하여 운동강도(Intensity)를 분류(low, Medium, High)하는 Machine Learning기반의 추론 Android APP
- SEAL을 이용하여 User의 정보를 Mobile APP(Client)에서 Encrypt하여 Server, Asuze DB, Account provider(Google, Facebook, Mircrosoft)와 통신하는 Architecture
- 사내에서 Test하기에는 환경적인 문제 및 build 문제가 많아 선택하지 않은 예제

• Cloud Functions Demo

- Seal기반의 Matrix 연산(덧셈, 뺄셈, 곱셈) 예제로 Client에서 encrypt한 Matrix data를 server에서 연산하여 client에서 결과를 확인 할 수 있는 예제
- C#언어, Azure Functions(이벤트 기반 서버리스 컴퓨팅 플랫폼)을 이용
- 추가로, Mnist Data(숫자 손글씨 이미지)를 가지고 Meachine Learning Model(Fully connected 1-layer)를 구현

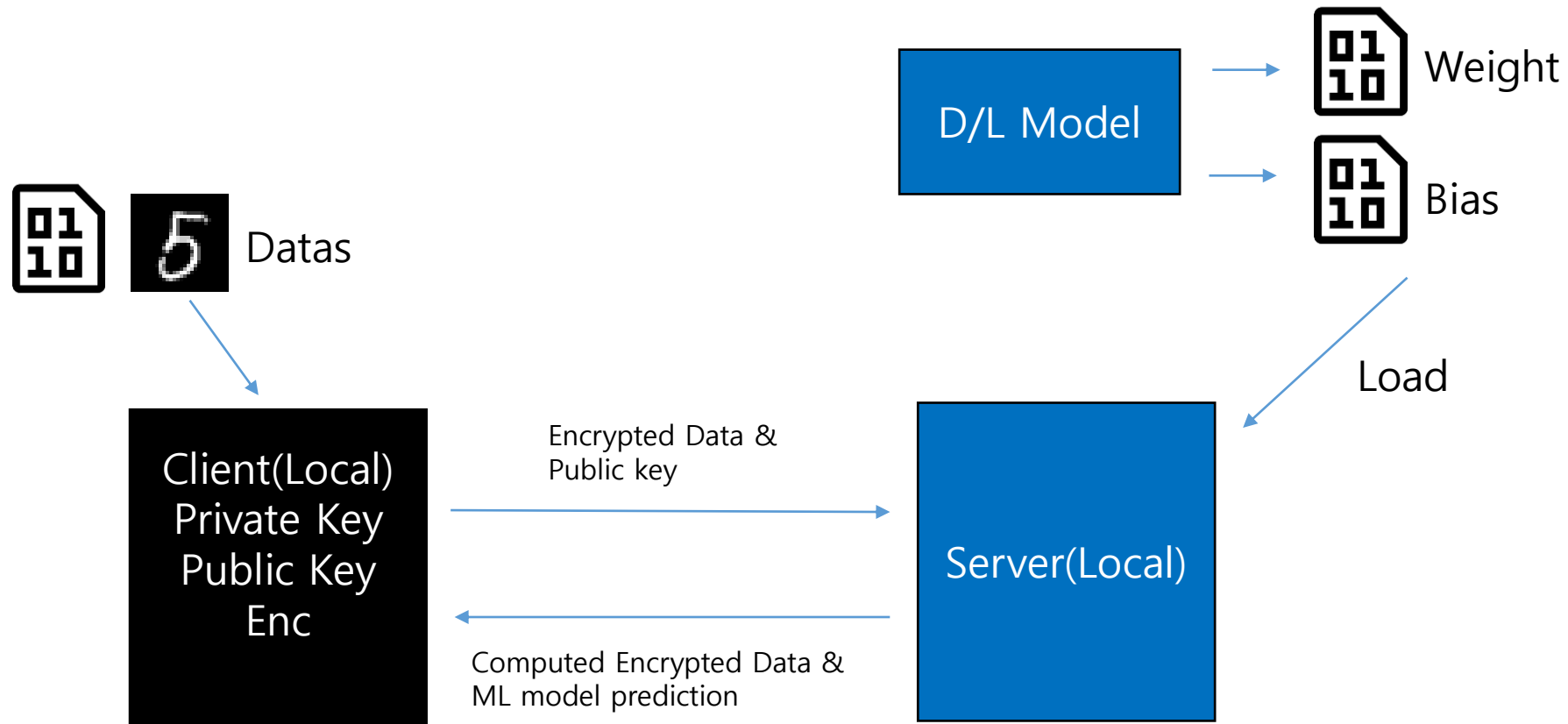
SEAL DEMO

- AsureRun Architecture



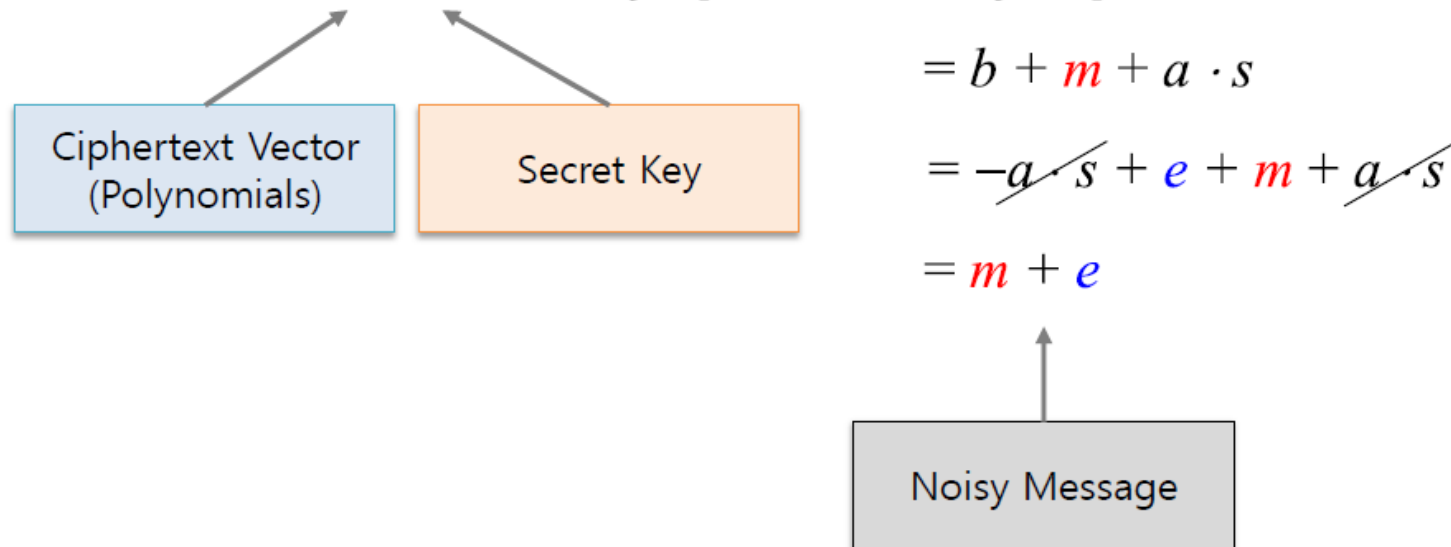
SEAL DEMO

- Cloud Functions Demo Architecture



Homomorphic Encryption(CKKS)

- Secret key: $sk = (1, s)$
- Encryption key: (b, a) s.t. $b = -a \cdot s + e \pmod{q}$
- $\text{Enc}(m)$: $(b, a) + (m, 0) = (b + m, a) = (\beta, \alpha) = (c_0, c_1) = \text{ct}$
- $\text{Dec}(\text{ct}, sk)$: $\langle \text{ct}, sk \rangle = \langle (c_0, c_1), (1, s) \rangle = c_0 + c_1 \cdot s$



Homomorphic Encryption(CKKS)

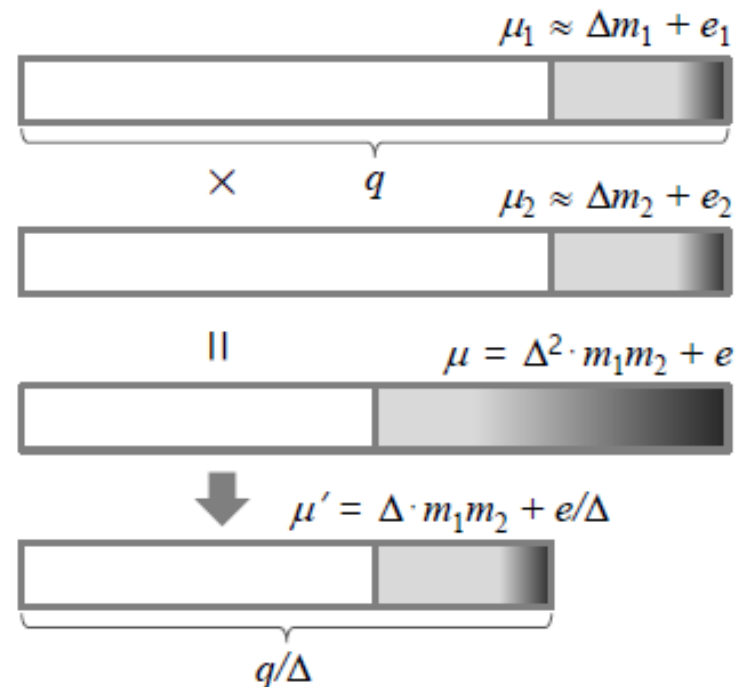
■ Homomorphic Operations

- Input: $\mu_1 \approx \Delta m_1 + e_1$
 $\mu_2 \approx \Delta m_2 + e_2$
- Addition: $\mu_1 + \mu_2 \approx \Delta \cdot (m_1 + m_2)$
- Multiplication: $\mu = \mu_1 \mu_2 \approx \Delta^2 \cdot m_1 m_2$
- Rescaling(Rounding):

$$ct \mapsto ct' = \lfloor ct/\Delta \rfloor$$

$$\Rightarrow \text{if } \langle ct, sk \rangle \approx m \pmod{q},$$

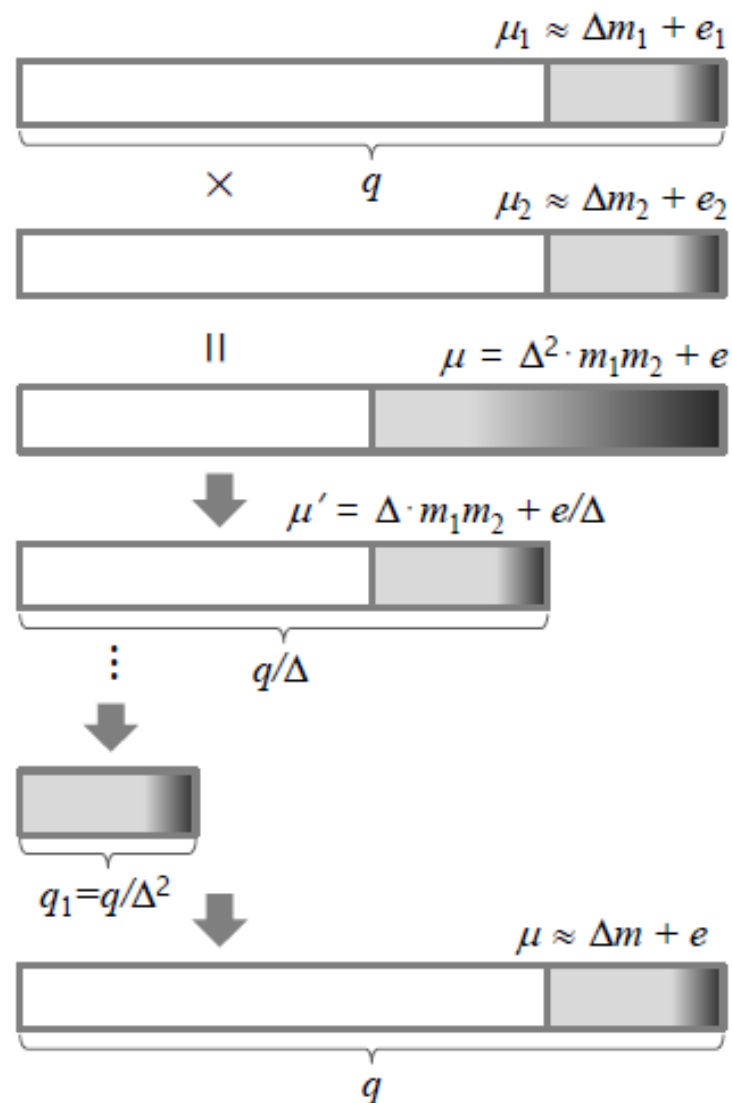
$$\langle ct', sk \rangle \approx m/\Delta \pmod{q/\Delta}$$



Homomorphic Encryption(CKKS)

■ Homomorphic Operations

- Input: $\mu_1 \approx \Delta m_1 + e_1$
 $\mu_2 \approx \Delta m_2 + e_2$
- Addition: $\mu_1 + \mu_2 \approx \Delta \cdot (m_1 + m_2)$
- Multiplication: $\mu = \mu_1 \mu_2 \approx \Delta^2 \cdot m_1 m_2$
- Rescaling: $\mu' = \Delta^{-1} \cdot \mu \approx \Delta \cdot m_1 m_2$
- Leveled HE: $(q_L = \Delta^L) > \dots > (q_1 = \Delta)$
- **Bootstrapping:**
refresh a ciphertext for more computation
 $(q_1 = \Delta) \rightarrow (q_L = \Delta^L)$



Homomorphic Encryption(CKKS)

▪ Multiplication

- A ciphertext contains two polynomials(dimension=2)
 - $ct_1 = (\beta_1, \alpha_1) \rightarrow ct_1(s) = \beta_1 + \alpha_1 s \approx m_1$
 - $ct_2 = (\beta_2, \alpha_2) \rightarrow ct_2(s) = \beta_2 + \alpha_2 s \approx m_2$
- After multiplication, the dimension of ciphertext increases
 - $m_1 m_2 \approx ct_1(s) \cdot ct_2(s) = (\beta_1 + \alpha_1 s) \cdot (\beta_2 + \alpha_2 s)$
 $= \beta_1 \beta_2 + (\alpha_1 \beta_2 + \alpha_2 \beta_1)s + \alpha_1 \alpha_2 s^2$
 $= < (\beta_1 \beta_2, \alpha_1 \beta_2 + \alpha_2 \beta_1, \alpha_1 \alpha_2)(1, s, s^2) >$
 - **Mult**(ct_1, ct_2) $\rightarrow ct_3 = (c_0, c_1, c_2) = (\beta_1 \beta_2, \alpha_1 \beta_2 + \alpha_2 \beta_1, \alpha_1 \alpha_2)$

↑
Increased Dimension

▪ Relinearization

- Reduce the increased dimension of ciphertext
 - $ct_3 = (c_0, c_1, c_2) \Rightarrow ct'_3 = (c'_0, c'_1) = (c_0 + c_2 s^2, c_1)$
 - $ct'_3 = (\beta_3, \alpha_3) \rightarrow \beta_3 + \alpha_3 s = c_0 + c_2 s^2 + c_1 s \approx m_1 m_2$
- s^2 is provided with encrypted format, called evaluation key
 - **Enc**(s^2, s) $\rightarrow evk = (k_0, k_1)$
 - **Relin**(ct_3, evk) $\rightarrow ct'_3 = (c'_0, c'_1)$

DL_(MNIST-FC1)

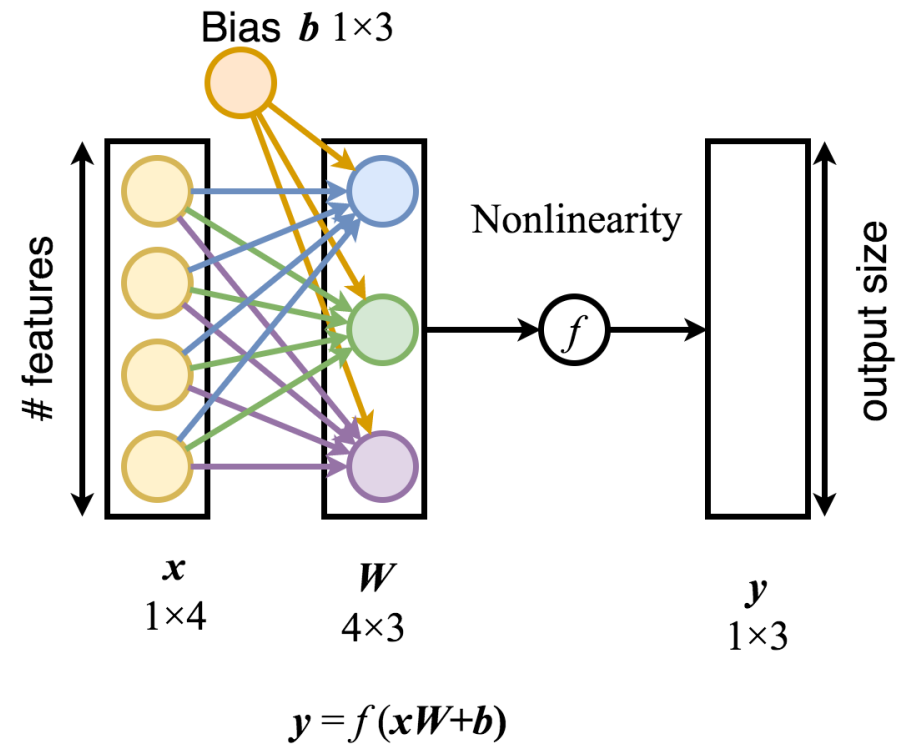
- MNIST : 손글씨 숫자 이미지로 간단한 컴퓨터 비전 해상도 28x28 데이터셋



- Fully-connected layers

$$\begin{matrix} \text{w1} & \text{w2} & \text{w3} \end{matrix} \times \begin{bmatrix} x1 \\ x2 \\ x3 \end{bmatrix} = \begin{bmatrix} w1 \times x1 + w2 \times x2 + w3 \times x3 \end{bmatrix}$$

$H(X) = WX + b$



DL(MNIST-FC1)

- Model
 - Tensorflow 기반
 - Fully Connected 1-layer 구현
 - $Wx + b = y$ (non activation func)
- W,b Initial value : Zero
- Optimizer : GradientDescent
- Train factor : 0.5
- Epoch : 10
- Acc : 92.2%

```
import tensorflow as tf

# 변수들을 설정한다.
x = tf.placeholder(dtype=tf.float32, shape=[None, 784])
W = tf.Variable(dtype=tf.float32, initial_value=tf.zeros([784, 10]))
b = tf.Variable(dtype=tf.float32, initial_value=tf.zeros([10]))
y = tf.nn.softmax(tf.matmul(x, W) + b)

# cross-entropy 모델을 설정한다.
y_ = tf.placeholder(tf.float32, [None, 10])
cross_entropy = tf.reduce_mean(-tf.reduce_sum(y_ * tf.log(y), reduction_indices=[1]))
train_step = tf.train.GradientDescentOptimizer(0.5).minimize(cross_entropy)

# 경사하강법으로 모델을 학습한다.
init = tf.initialize_all_variables()
sess = tf.Session()
sess.run(init)
for i in range(1000):
    print("i : {}".format(i))
    batch_xs, batch_ys = mnist.train.next_batch(550)
    sess.run(train_step, feed_dict={x: batch_xs, y_: batch_ys})

# 학습된 모델이 얼마나 정확한지를 출력한다.
correct_prediction = tf.equal(tf.argmax(y, 1), tf.argmax(y_, 1))
accuracy = tf.reduce_mean(tf.cast(correct_prediction, tf.float32))
print(sess.run(accuracy, feed_dict={x: mnist.test.images, y_: mnist.test.labels}))

# 모델 저장
saver = tf.train.Saver()
saver.save(sess, './save_model/mnist', global_step=0, write_meta_graph=False)
```

Efficient Matrix Product

(<https://github.com/microsoft/SEAL-Demo/blob/master/CloudFunctionsDemo/ClientBasedFunctions/ClientBasedFunctions/MatrixProduct.md>)

- 단순한 방식(Iterative)의 행렬곱 알고리즘 대부분은 $\Theta(n^3)$ 의 시간 복잡도를 가진다.
- SEAL은 여러개의 숫자들을 한번에 연산(+, -, *)할 수 있는 Batch연산기능과 Rotation기능을 지원하기 때문에 특정한 방식으로 행렬을 전처리 하면 행렬곱을 $\Theta(n)$ 의 시간으로 연산이 가능

- 단순방식

$$\begin{matrix} a & b & c \\ d & e & f \\ g & h & i \end{matrix} \bullet \begin{matrix} A \\ B \\ C \end{matrix} = \begin{matrix} aA + bB + cC & dA + eB + fC & gA + hB + iC \end{matrix}$$

- Row Rotate & Switch

$$\begin{matrix} \xleftarrow{+0} & a & b & c \\ \xleftarrow{+1} & d & e & f \\ \xleftarrow{+2} & g & h & i \end{matrix} \xrightarrow{\text{Rot}} \begin{matrix} a & b & c \\ e & f & d \\ i & g & h \end{matrix} \xrightarrow{S} \begin{matrix} a & e & i \\ b & f & g \\ c & d & h \end{matrix}$$

```
int[,] cyclicDiagsMatrix = new int[dimension, dimension];
for (int r = 0; r < dimension; r++)
{
    for (int c = 0; c < dimension; c++)
    {
        cyclicDiagsMatrix[r, c] = paddedMatrixa[c, (c + r) % dimension];
    }
}
```

- Cyclic Mutiplication & Row Add

$$\begin{matrix} a & e & i \\ b & f & g \\ c & d & h \end{matrix} \begin{matrix} A & B & C \\ * & B & C \\ C & A & B \end{matrix} = \begin{matrix} aA & eB & iC \\ bB & fC & gA \\ cC & dA & hB \end{matrix} \xrightarrow{\text{Row Add}} \begin{matrix} aA + bB + cC & dA + eB + fC & gA + hB + iC \end{matrix}$$

Implement(Client)

• Init Processing

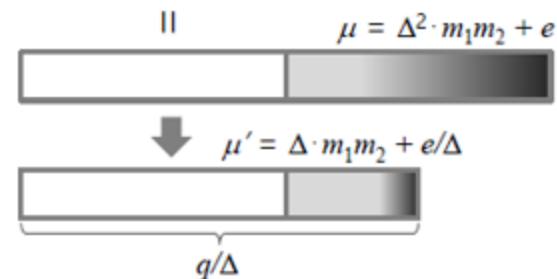
- Generate SEALContext

```
EncryptionParameters parms = new EncryptionParameters(SchemeType.CKKS);  
parms.PolyModulusDegree = 8192;  
parms.CoeffModulus = CoeffModulus.Create(CKKS_PolyModulusDegree, new int[] { 60, 40, 40, 60 });  
ckks_context_ = new SEALContext(parms);
```

PolyModulusDegree	max CoeffModulus bit-length
1024	27
2048	54
4096	109
8192	218
16384	438
32768	881

Rescaling factors
Rescaling 순서(2^{60} ,
 2^{40} , 2^{40} , 2^{60})

PolyModulusDegree 크기에 따라 할당 할 수 있는
CoeffModulus의 최대 사이즈가 달라짐

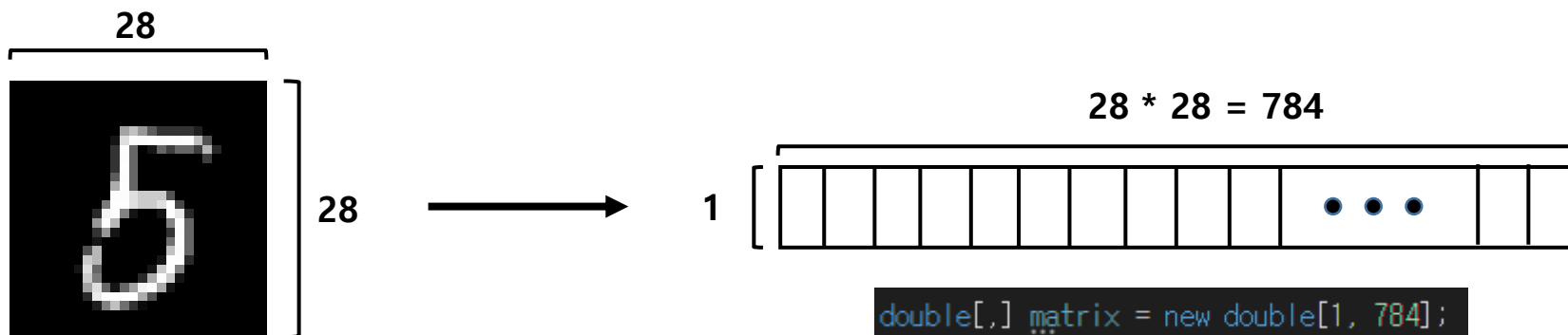


- Generate variety Classes from SEALContext

```
KeyGenerator ckks_keygen = new KeyGenerator(GlobalProperties.CKKS_Context);  
ckks_encryptor_ = new Encryptor(GlobalProperties.CKKS_Context, ckks_keygen.PublicKey);  
ckks_decryptor_ = new Decryptor(GlobalProperties.CKKS_Context, ckks_keygen.SecretKey);  
ckks_encoder_ = new CKKSEncoder(GlobalProperties.CKKS_Context);
```

Implement(Client)

- Data Processing



```
double[] batchArray = new double[encoder.SlotCount];  
for (int r = 0; r < rows; r++)  
{  
    for (int c = 0; c < cols; c++)  
    {  
        batchArray[r * cols + c] = (double)matrix[r, c];  
    }  
}  
  
Plaintext plain = new Plaintext();  
double scale = Math.Pow(2.0, 40);  
encoder.Encode(batchArray, scale, plain);
```

SlotCount는 한꺼번에 연산할 수 있는 Batch Size로 CKKS에서는 $\text{PolyModulusDegree}/2$

Context에서 CoffModulus를 { 60, 40, 40, 60 } 으로 설정하였기 때문에 유효숫자를 **20bit**로 맞추기 위해 초기 scale은 40으로 설정함
 $2^{40} * 2^{40} = 2^{80}$
Rescaling시 $2^{80} / 2^{60} = 2^{20}$

Batch배열을 polynomial 형태의 Plaintext로 인코딩

Implement(Client)

- **Encrypt Processing & Data Encoding**

- Encrypt Data & base64 encoding

```
using (MemoryStream ms = new MemoryStream())  
{  
    Ciphertext cipher = new Ciphertext();  
    encryptor.Encrypt(plain, cipher);  
    cipher.Save(ms);  
    byte[] bytes = ms.ToArray();  
    return Convert.ToBase64String(bytes);  
}
```

- Publickey base64 encoding

```
MemoryStream ms = new MemoryStream();  
ckks_pk_.Save(ms);  
string b64pk = Convert.ToBase64String(ms.ToArray());  
  
string json = $"{{ \"sid\": \"{sid}\", \"matrixa\": \"{b64matrixa}\", \"pk\": \"{b64pk}\" }}";
```

Implement(Server)

- **Init & Data decoding**

- Generate Evaluator

```
ckks_evaluator_ = new Evaluator(CKKS_Context);
```

- base64 decoding

```
Ciphertext result = new Ciphertext();  
byte[] bytes = Convert.FromBase64String(b64);  
using (MemoryStream ms = new MemoryStream(bytes))  
{  
    result.Load(context, ms);  
}  
  
return result;
```

- Publickey Load

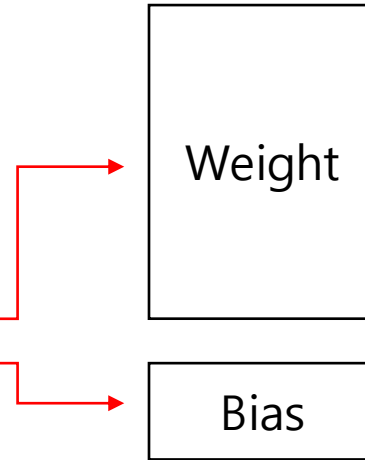
```
PublicKey ckks_pk = new PublicKey();  
byte[] pk = Convert.FromBase64String(b64pk);  
using (MemoryStream ms = new MemoryStream(pk))  
{  
    ckks_pk.Load(GlobalProperties.CKKS_Context, ms);  
}
```


Implement(Server)

- **Compute ML Processing**

- Load Model Weight, bias

```
const int w_row = 784;  
const int w_col = 10;  
  
double[,] matrixb = new double[w_row, w_col];  
double[,] matrixb_add = new double[1, w_col];  
double[,] matrixb_add_t = new double[1, w_col];
```



Encoding & Encrypt

`List<Ciphertext> matrixbCiphertext`

Encoding & Encrypt

`List<Ciphertext> matrixb_addCiphertext`

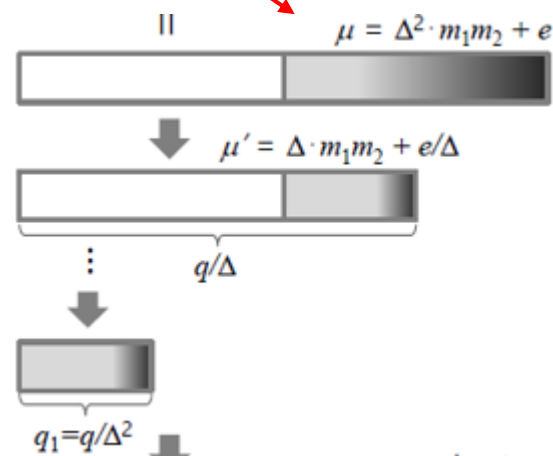
Implement(Server)

- Compute ML Processing

$$\text{Mult}(ct_1, ct_2) \rightarrow ct_3 = (c_0, c_1, c_2) = (\beta_1\beta_2, \alpha_1\beta_2 + \alpha_2\beta_1, \alpha_1\alpha_2)$$

```
for (int i = 0; i < matrixbCiphertext.Count; i++)  
{  
    Ciphertext currProduct = new Ciphertext();  
    GlobalProperties.CKKS_Evaluator.Multiply(c_matrixa, matrixbCiphertext[i], currProduct);  
    GlobalProperties.CKKS_Evaluator.MultiplyInplace(matrixb_addCiphertext[i], matrixb_add_tCiphertext[i]);  
    GlobalProperties.CKKS_Evaluator.AddInplace(currProduct, matrixb_addCiphertext[i]);  
    GlobalProperties.CKKS_Evaluator.RelinearizeInplace(currProduct, r1k);  
  
    GlobalProperties.CKKS_Evaluator.RescaleToNextInplace(currProduct);  
    GlobalProperties.CKKS_Evaluator.ModSwitchToNextInplace(currProduct);  
    tempResult.Add(currProduct);  
}
```

Multiply시 Dimension이 증가하여 덧셈연산이 불가
-> 덧셈할 데이터를 동일 Dimension으로 맞추기 위해 $[1]*n$ 배열을 Multiply해 줌



Implement(Client)

- **Decrypt Processing & Result**

- Decrypt & Decoding

```
List<Ciphertext> resultCipher = Utilities.Base64ToCiphertextList(resulttb64, GlobalProperties.CKKS_Context);  
List<Plaintext> result = new List<Plaintext>();  
for (int i = 0; i < resultCipher.Count; i++)  
{  
    Plaintext ptmp = new Plaintext();  
    ckks_decryptor_.Decrypt(resultCipher[i], ptmp);  
    result.Add(ptmp);  
}
```

```
encoder.Decode(plains[i], batchArray);
```

- Result

```
int classify_count = result.GetLength(dimension: 1);  
double maxv = 0.0;  
int maxi = 0;  
for (int i = 0; i < classify_count; i++)  
{  
    if (maxv < result[0, i])  
    {  
        maxv = result[0, i];  
        maxi = i;  
    }  
}  
textBox.Text = Convert.ToString(maxi);
```

RUN

SEAL Azure Functions Demo

Connect to: localhost:7071

Matrix A

1	2
1	2
3	4

Rows: 2 Columns: 2

Matrix B

1	2
1	2
3	4

Rows: 2 Columns: 2

Operations

Add Subtract Multiply

Result

1	2
7	10
15	22

Original



LoadImage

Encrypted

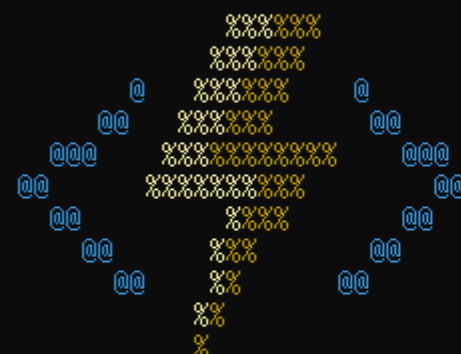


Inference

result : 5

D:\Progs\Azure.Functions.Cli.win-x64.3.0.2534\func.exe

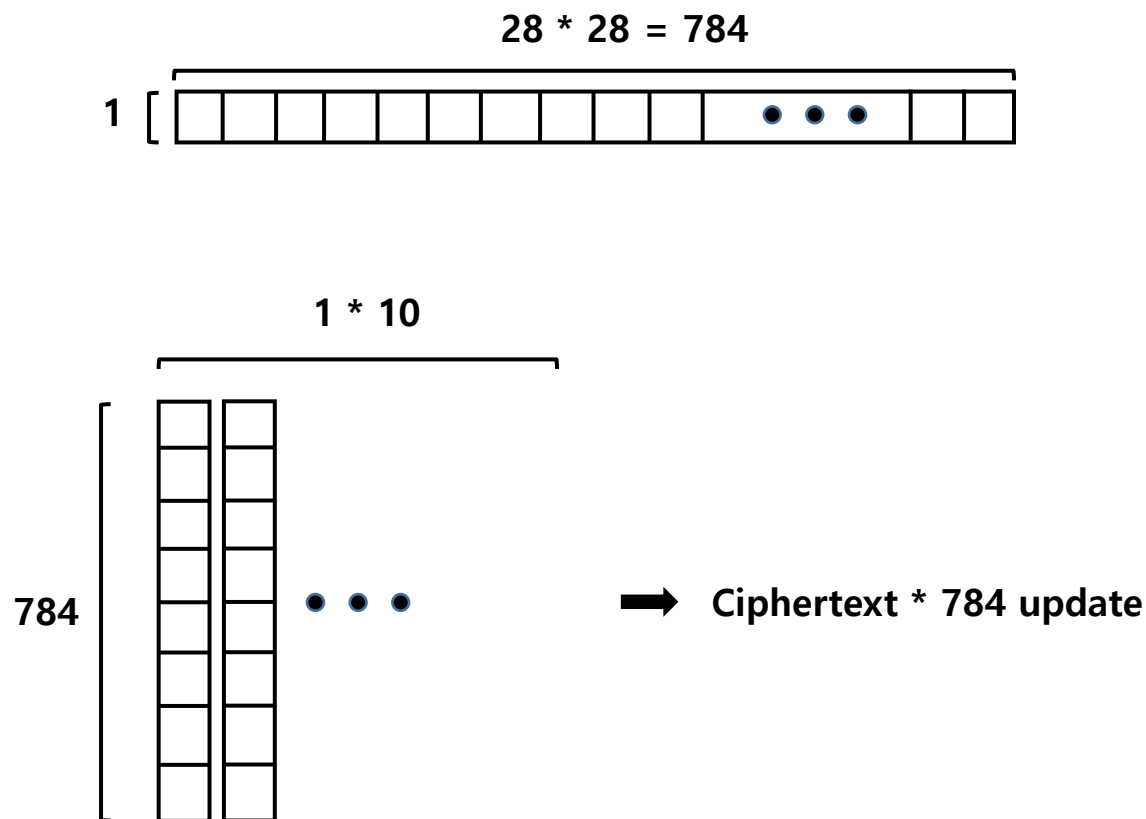
```
[0x29BEF66AABC] ANOMALY: meaningless REX prefix used
Can't determine project language from files. Please use one
of javascript, --typescript, --java, --python, --powershell]
Can't determine project language from files. Please use one
of javascript, --typescript, --java, --python, --powershell]
Can't determine project language from files. Please use one
of javascript, --typescript, --java, --python, --powershell]
```



```
Azure Functions Core Tools (3.0.2534 Commit hash: bc1e9efa8f
ebef97aac8d78e)
Function Runtime Version: 3.0.13353.0
Can't determine project language from files. Please use one
of javascript, --typescript, --java, --python, --powershell]
[2020-06-16 오전 10:51:02] Building host: startup suppressed
configuration suppressed: 'False', startup operation id: '01184a
a-b919e7059ef7'
[2020-06-16 오전 10:51:02] Reading host configuration file '
AL-Demo\CloudFunctionsDemo\CloudBasedFunctions\CloudBasedFun
```

Multi Inference

- Mnist Data 전체(10000) 이미지에 대해 Test위하여 Inference 동작방식 변경
 - i) Memory issue 해결위해 begin – update – end 방식으로 구현
 - ii) Server에서 모든 Inference연산 수행 위해 Matrix Product 방식 변경



PolyModulus를 8192로 하면 slot은 4096만큼 사용할 수 있으며 Mnist Data의 Class 갯수는 10개 이므로 409개의 이미지를 한번에 Inference 가능 (but, 1개할때랑 409개할때랑 동일 시간 소요)

Multi Inference

SEAL Azure Functions Demo

Connect to:

Matrix A

1	2
0	0
0	0

Rows: Columns:

Matrix B

1	2
0	0
0	0

Rows: Columns:

Operations

Result

1	2
0	0
0	0

Rows: Columns:

☒ Show detailed operations

Original



Encrypted



result :

R/bbc3oZnzOvmdxHxTKaO/B9eHV+Fr7p7efoN0++UUb+LW+VsB1Kq3xGN/XnzZvEwC/c7OxJeep8j3tPv3lI5sBZ751bgrm0zhEYzrjKO5bcP/KeA71mwyjPrM5BTBN0G6syX125/21f8HPXXFUL4OYJp/BP80L7rQEvV+rWPBv8e17NPQq9VUJdqrT18XccE42W8z/9EP7nul+ayj1qq60m+AF/+zKZrYITvgg+epbRf9XQLdFy6J7ZunBtrngJO7oVuNvCmgy3tesAZce5F03m4fjD9yzBuBdxlpzst8nuKj4H+JVYX/Qf8zwmkik66D1OIPp2qP2DLzjggTMQEoLfdPdCfCrdFIRxb6f+S+REAV+1d3sqeGev5a9Cf36PUC38cNVW/gZPJGzEET5Fsl8HM6ie0uOF0EX9718o4uC9uxbAJ67T0Cp/to/7PoA4gXpCSHw0dlvU8p3k+x22khdJ6bymdqb7UF7+BP7uaJQeeVM2b1dBptdNown9E/dBXxC2u57HDF/Vo/hD0YPINN4LHUHFafCfC5i/oPh56zDFC24P+ypGndp+kHPQBbn7/wCN6Tl1bLV5gHtGh7UPHGvudwPrk+4BWD4P55ygk/+sqho6RUw749FPqe778BGMjN8HsRo/

Sending 119.4375 KB of ciphertext data to Azure Function

Result:

XqEQAwUBAADwsgAAAAAAHicTH15OJfPFzZKWog2ESK0ECVb2ixFKWmhUIEpKslWRJCytKeiqBSVIEQLUutp1UKFIhQpFMLSqGR7f/f9fd/rev19eosgXO4Du9K28B14kadQvMxy/RVhX8p0+5z9+vt2LRLcaFfbwPTA/fiwadJPLtDP8anT6CDPI+IaHsRJWR3rAj+1FJKX/E7Gu0pj3rtiD9Sj/tc0z+wp+wY9y1jEoMbGG/Z/Z5+ONLXE/I8L00fzXvHsJ6fkkRZs38z8R+aGzbw+5X8+xpXpJddXmhXGXStU75ZeGrQzD/7hV5xGkVmRpfPHfGLw/T9MuCOILLO+WELfCoYvAZjhv8wH9Bn4Y8GfrXYoo3qeRm5+B7IEQbceJ4u/hJEni7R0duCrr/jgtha6vdczRP8EqfVkJP56aKOHA822/lwo/Q7pL1hPhQAnltoDHy7XU9PMtA47Si++pXWfE1+Z+OnvyHNCrPmOkHtQRrP2VN/PmZe43vu39IOupVm4Ez7uPIObahFH/qQGHwewmxng-NXjvP7lgrA38z4la+ln4JbQCX3AkCDlwT9prbNCfmTKV/XQ8P0kw31te5nHMQ9eUBXoirjDj7zY2PYNpTb8S5Y74m6KHHoww/gjotcu6yzquqdHm/Mof83L/wBvKXz7V+h6R30bZuj6mLhbeWhcVoaHkddw+2l1fGpBm7Al0ekgd6Fkum6Gmj0Kxm5y6FjVlyw7osuk9BGrxQhK7ki1LXMYE

Noise budget: 9 bits

LoadWorkspace		CheckedInfer			
✓	Path	Ans	Infer		
✓	D:\workspace\mnist_png\testing\0W10.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1001.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1009.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W101.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1034.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1047.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1061.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1084.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1094.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1121.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1148.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1154.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1176.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1188.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1191.png	-1	6		
✓	D:\workspace\mnist_png\testing\0W1195.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1197.png	-1	0		
✓	D:\workspace\mnist_png\testing\0W1203.png	-1	0		
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✓	D:\workspace\mnist_png\testing\0W1408.png	-1	0		

Multi Inference

- TestSet – Mnist

TrainSet	validate	test
45000	5000	10000

- Result

- Acc : 92.13% -> 90.13%(▼2%)

	original	SEAL
acc	92.13%	90.13%
time	-	561ms

seal

Y/Yhat	0	1	2	3	4	5	6	7	8	9
0	965			1			6	1	7	
1		1087	2	2			4	1	38	1
2	13	6	884	15	9		14	9	72	10
3	4		11	919	1	4	4	7	51	9
4	2	1	2	1	888		12	1	21	54
5	16	2	2	57	12	591	19	8	176	9
6	12	3	3	2	9	3	915	1	10	
7	4	10	19	10	8			897	11	69
8	5	3	3	12	5	2	4	2	932	6
9	11	4	1	8	19			5	26	935

py

Y/Yhat	0	1	2	3	4	5	6	7	8	9
0	960		8	3	1	10	12	2	7	11
1		1107	7		1	3	3	7	6	6
2	2	2	909	20	2	3	4	21	6	2
3	2	2	17	918	1	32	2	9	19	10
4		1	13		921	9	11	6	9	38
5	4	2	2	28		775	14	1	28	6
6	9	4	15	2	11	17	907		10	
7	1	2	14	12	2	6	3	947	13	26
8	2	15	40	18	9	30	2	2	866	7
9			7	9	34	7		33	10	903

BootStraping <https://github.com/snucrypto/HEAAN>

- HEAAN Lib에는 CKKS schem에 한해 BootStrap 존재
Sample Code도 같이 제공

```
void TestScheme::testBootstrap(long logq, long logp, long logSlots, long logT) {
    cout << "!!! START TEST BOOTSTRAP !!!" << endl;

    srand(time(NULL));
    SetNumThreads(8);
    TimeUtils timeutils;
    Ring ring;
    SecretKey secretKey(ring);
    Scheme scheme(secretKey, ring);

    timeutils.start("Key generating");
    scheme.addBootKey(secretKey, logSlots, logq + 4);
    timeutils.stop("Key generated");

    long slots = (1 << logSlots);
    complex<double>* mvec = EvaluatorUtils::randomComplexArray(slots);

    Ciphertext cipher;
    scheme.encrypt(cipher, mvec, slots, logp, logq);

    cout << "cipher logq before: " << cipher.logq << endl;

    scheme.modDownToAndEqual(cipher, logq);
    scheme.normalizeAndEqual(cipher);
    cipher.logq = logQ;
    cipher.logp = logq + 4;

    Ciphertext rot;
    timeutils.start("Rot 5m");
```


BootStraping

- **Modulo Down**

Plaintext : $2^6 \equiv 2^2 \pmod{2^4}$

Down Modulo : $2^6 \equiv 2^3 \pmod{2^3}$

Rescaling : $2^5 \equiv 2^2 \pmod{2^3}$

$m \pmod{q}$

↓ Modulo Up ($q \ll Q$)

- **Modulo Up**

Plaintext : $2^6 \equiv 2^2 \pmod{2^4}$

$\boxed{qI} + m \pmod{Q}$

Up Modulo : $2^6 \equiv 2^1 \pmod{2^5}$

Bootstrapping : $B(2^6) \equiv 2^2 \pmod{2^5}$

BootStraping

- **How to delete ql !?** - Approximation of the Modular Reduction Function $F(t)$

HEAAN에서는 modulo를 증가시켰을때 나오는 ql항을 제거하기 위한 함수 $F(t)$ 에 대한 Approximation를 다음과 같이 제안함

$$F(t) \approx S(t) = \frac{q}{2\pi} \sin\left(\frac{2\pi t}{q}\right)$$

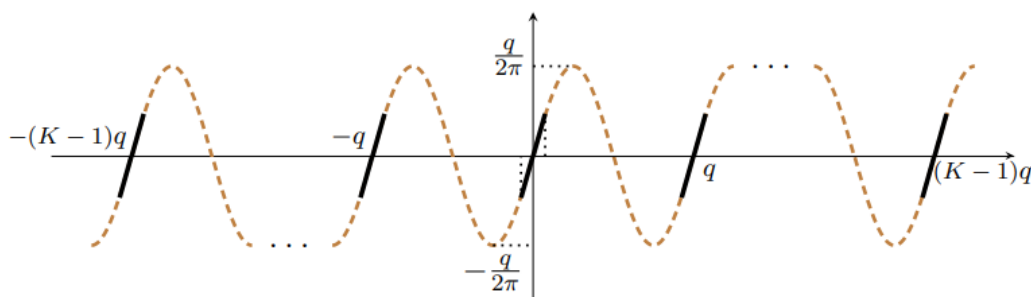


Fig. 1. Modular reduction and scaled sine functions

$$\begin{array}{c}
 m \text{ (MOD } q) \\
 \downarrow \text{Modulo Up } (q \ll Q) \\
 t = qI + m \text{ (MOD } Q) \\
 \downarrow F(t) \\
 m \text{ (MOD } Q)
 \end{array}$$

BootStraping

- **Small Angle Approximation**

Sin함수는 각도가 충분히 작을때 다음과 같이 근사가능

$$\begin{aligned}\sin \theta &\approx \theta \text{ (small } \theta) \\ \sin(2\pi + \theta) &= \sin \theta\end{aligned}$$

$$\sin\left(\frac{2\pi t}{q}\right) = \sin\left(2\pi I + \frac{2\pi m}{q}\right) = \sin\left(\frac{2\pi m}{q}\right) \approx \frac{2\pi m}{q} \quad (m \ll q) \quad (t = Iq + m)$$

$$S(t) = \frac{q}{2\pi} \sin\left(\frac{2\pi t}{q}\right) \approx m$$

BootStraping

- **Complex Exponential Function**

sin함수는 다음과 같이 complex exponential 함수를 이용해 표현 가능 하며,
exp는 곱과 합만으로 연산 가능한 Tayler급수로 표현 가능하다.

=> 동형암호 연산으로 sin함수표현가능

$$\begin{cases} \exp(i\theta) = \cos \theta + i \cdot \sin \theta, \\ \exp(2i\theta) = (\exp(i\theta))^2, \end{cases}$$

$$\sin\left(\frac{2\pi m_j}{q}\right) = \frac{1}{2} \left(\exp\left(\frac{2\pi i m_j}{q}\right) - \exp\left(\frac{-2\pi i m_j}{q}\right) \right)$$

BootStraping

- BootStraping process

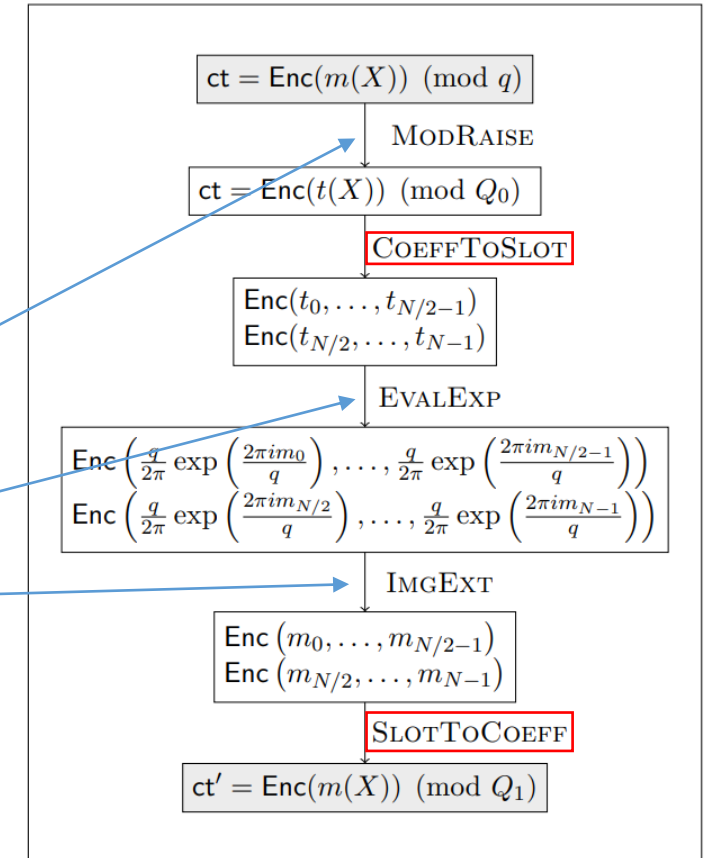
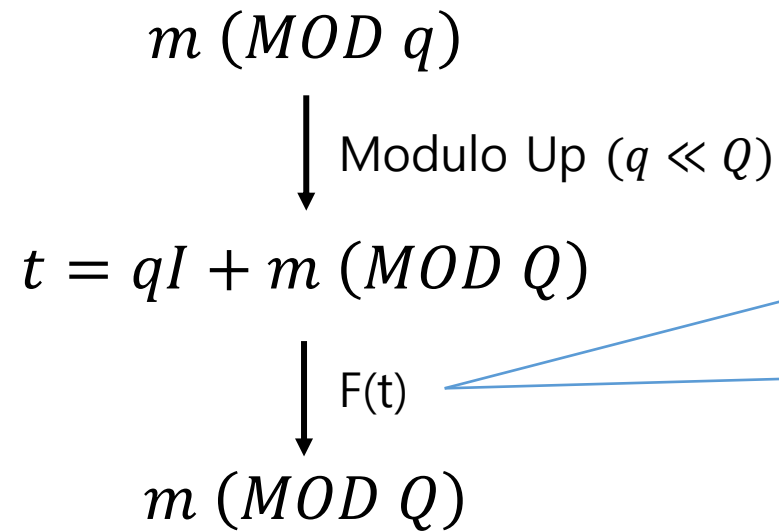


Fig. 2. Pipeline of our bootstrapping process

BootStraping

- CoeffToSlot & SlotToCoeff

HEAAN은 CRT Packing을 사용하기 때문에 F(t)를 적용하기 전에 Plaintext Slot상태로 UnPacking을 해줘야 한다. (※ Conjugate연산이 필요하기 때문)

$$- \Phi_8(x) = x^4 + 1 \equiv (x - \zeta)(x - \zeta^3)(x - \zeta^5)(x - \zeta^7)$$

$$\zeta = \exp\left(\frac{2\pi i}{8}\right) = (1 + i)/\sqrt{2}$$

$$- \text{Plaintext } z = (3 + 4i, 2 - i) \rightarrow m(x) = t_0 + t_1x + t_2x^2 + t_3x^3$$

$$m(x) = 3 + 4i \pmod{(x - \zeta)} \Leftrightarrow m(\zeta) = 3 + 4i$$

$$m(x) = 2 - i \pmod{(x - \zeta^3)} \Leftrightarrow m(\zeta^3) = 2 - i$$

$$m(x) = 2 + i \pmod{(x - \zeta^5)} \Leftrightarrow m(\zeta^5) = 2 + i$$


$$m(x) = 3 - 4i \pmod{(x - \zeta^7)} \Leftrightarrow m(\zeta^7) = 3 - 4i$$

$$U \cdot P = S$$

$$U^{-1} \cdot S = P$$

$$\begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \zeta^3 & \zeta^6 & \zeta^9 \\ 1 & \zeta^5 & \zeta^{10} & \zeta^{15} \\ 1 & \zeta^7 & \zeta^{14} & \zeta^{21} \end{pmatrix} \cdot \begin{pmatrix} t_0 \\ t_1 \\ t_2 \\ t_3 \end{pmatrix} = \begin{pmatrix} 3 + 4i \\ 2 - i \\ 2 + i \\ 3 - 4i \end{pmatrix} \Leftrightarrow \begin{pmatrix} t_0 \\ t_1 \\ t_2 \\ t_3 \end{pmatrix} = \frac{1}{4} \begin{pmatrix} 1 & 1 & 1 & 1 \\ \zeta^7 & \zeta^5 & \zeta^3 & \zeta \\ \zeta^6 & \zeta^2 & \zeta^6 & \zeta^2 \\ \zeta^5 & \zeta^7 & \zeta & \zeta^3 \end{pmatrix} \cdot \begin{pmatrix} 3 + 4i \\ 2 - i \\ 2 + i \\ 3 - 4i \end{pmatrix} \approx \frac{1}{4} \begin{pmatrix} 10 \\ 4\sqrt{2} \\ 10 \\ 2\sqrt{2} \end{pmatrix}$$

Vandermonde Matrix

U  $\rightarrow m(x) = \frac{1}{4} (10 + 4\sqrt{2}x + 10x^2 + 2\sqrt{2}x^3)$

BootStraping

- Code <coeffToSlotAndEqual>

```
NL_EXEC_RANGE(k, first, last);
for (long j = first; j < last; ++j) {
    multByPolyNTT(tmpvec[j], rotvec[j], bootContext->rpvec[j], bootContext->bndvec[j], bootContext->logp);
}
NL_EXEC_RANGE_END;

for (long j = 1; j < k; ++j) {
    addAndEqual(tmpvec[0], tmpvec[j]);
}

cipher.copy(tmpvec[0]);
for (long ki = k; ki < slots; ki += k) {
    NL_EXEC_RANGE(k, first, last);
    for (long j = first; j < last; ++j) {
        multByPolyNTT(tmpvec[j], rotvec[j], bootContext->rpvec[j + ki], bootContext->bndvec[j + ki], bootContext->logp);
    }
    NL_EXEC_RANGE_END;
    for (long j = 1; j < k; ++j) {
        addAndEqual(tmpvec[0], tmpvec[j]);
    }
    leftRotateFastAndEqual(tmpvec[0], ki);
    addAndEqual(cipher, tmpvec[0]);
}
reScaleByAndEqual(cipher, bootContext->logp);
```

BootStraping

- Code <evalExpAndEqual>

```
conjugate(tmp, cipher);
subAndEqual(cipher, tmp);
divByPo2AndEqual(cipher, logT + 1); // bitDown: logT + 1
exp2piAndEqual(cipher, bootContext->logp); // bitDown: logT + 1 + 3(logg + logI)
for (long i = 0; i < logI + logT; ++i) {
    squareAndEqual(cipher);
    reScaleByAndEqual(cipher, bootContext->logp);
}
conjugate(tmp, cipher);
subAndEqual(cipher, tmp);
multByPolyNTT(tmp, cipher, bootContext->rp1, bootContext->bnd1, bootContext->logp);
Ciphertext tmprot;
leftRotateFast(tmprot, tmp, slots);
addAndEqual(tmp, tmprot);
multByPolyNTTAndEqual(cipher, bootContext->rp2, bootContext->bnd2, bootContext->logp);
leftRotateFast(tmprot, cipher, slots);
addAndEqual(cipher, tmprot);
addAndEqual(cipher, tmp);
```


BootStraping

- Code <slotToCoeffAndEqual>

```
NTL_EXEC_RANGE(k, first, last);
for (long j = first; j < last; ++j) {
    multByPolyNTT(tmpvec[j], rotnvec[j], bootContext->rpvecInv[j], bootContext->bndvecInv[j], bootContext->logp);
}
NTL_EXEC_RANGE_END;

for (long j = 1; j < k; ++j) {
    addAndEqual(tmpvec[0], tmpvec[j]);
}
cipher.copy(tmpvec[0]);

for (long ki = k; ki < slots; ki+=k) {
    NTL_EXEC_RANGE(k, first, last);
    for (long j = first; j < last; ++j) {
        multByPolyNTT(tmpvec[j], rotnvec[j], bootContext->rpvecInv[j + ki], bootContext->bndvecInv[j + ki], bootContext->logp);
    }
    NTL_EXEC_RANGE_END;

    for (long j = 1; j < k; ++j) {
        addAndEqual(tmpvec[0], tmpvec[j]);
    }

    leftRotateFastAndEqual(tmpvec[0], ki);
    addAndEqual(cipher, tmpvec[0]);
}
reScaleByAndEqual(cipher, bootContext->logp);
```