

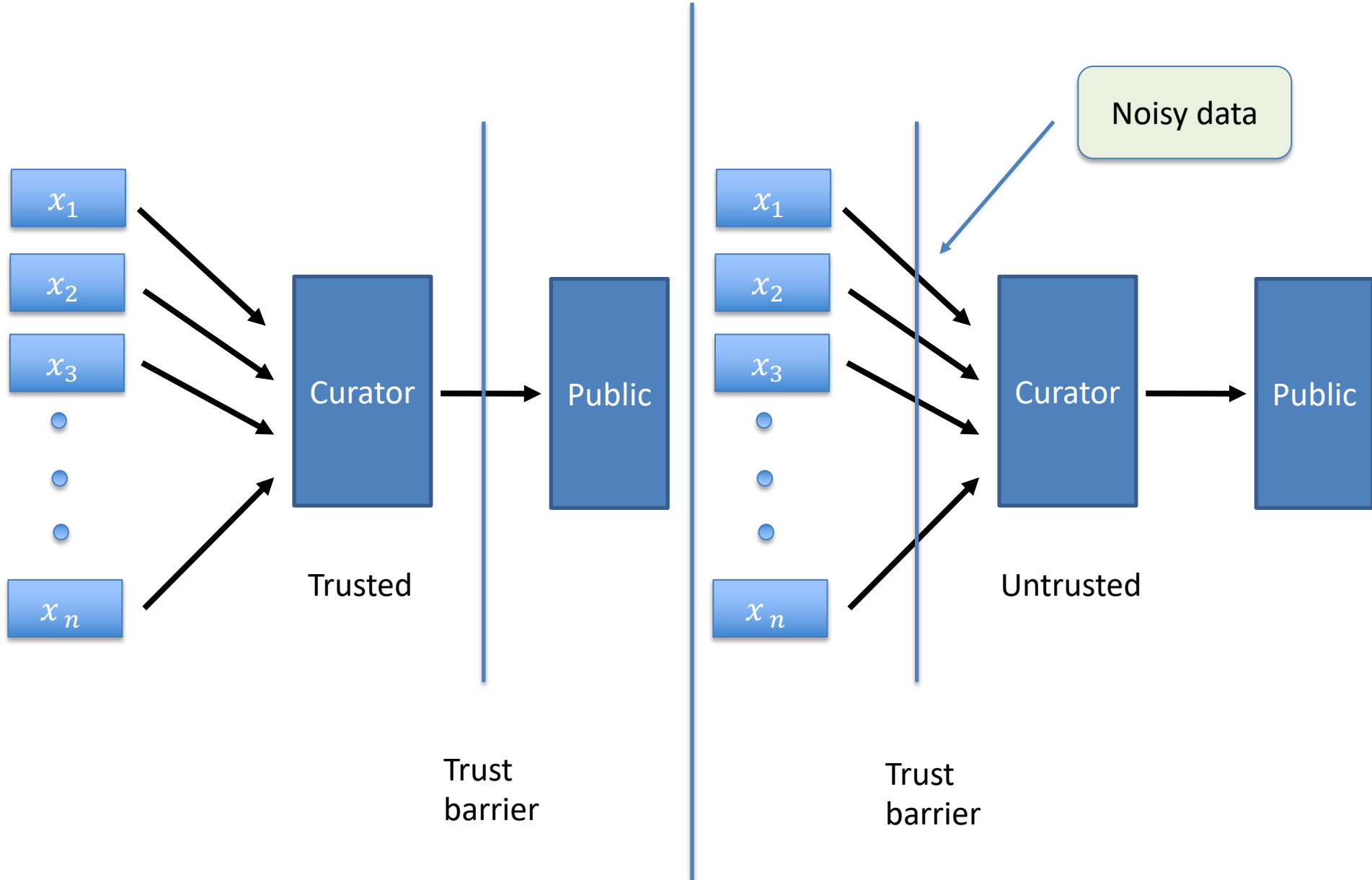
# **CS208: Applied Privacy for Data Science**

## **The Local Model: Foundations**

School of Engineering & Applied Sciences  
Harvard University

March 28, 2022

# Central Model vs Local Model



# Central Model vs Local Model

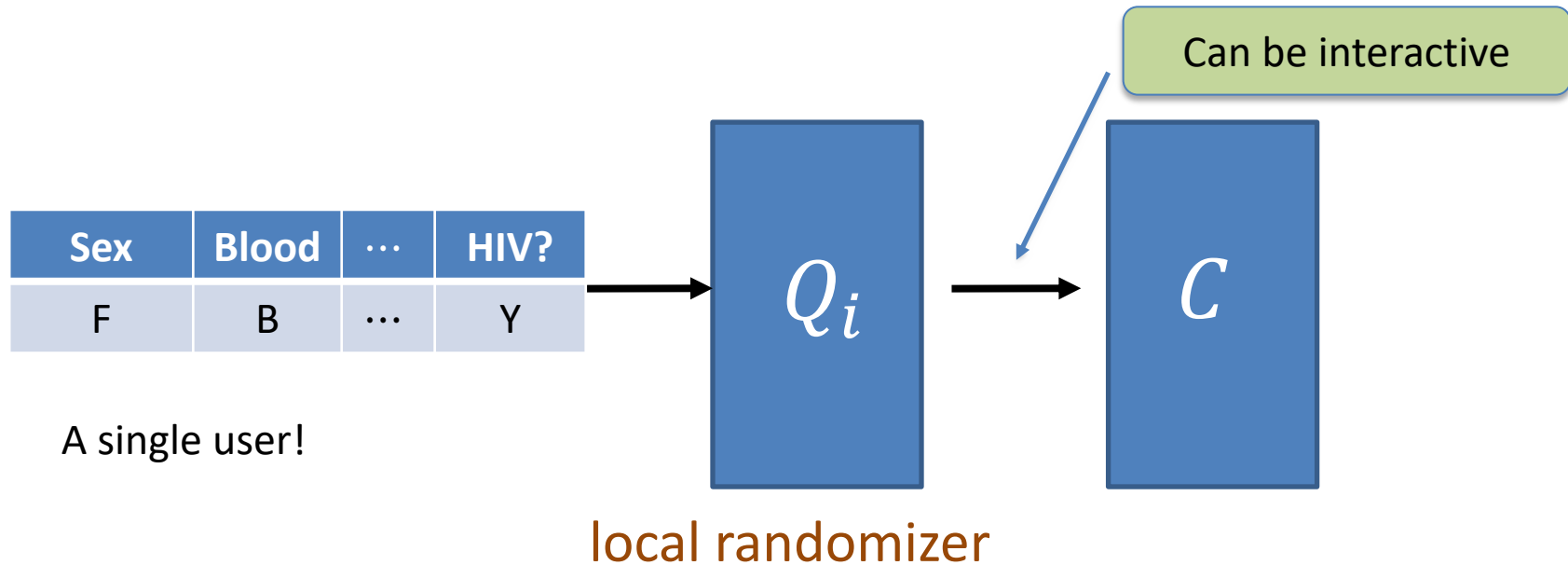
- DP definition:

An algorithm  $M: T^n \rightarrow R$  is  $(\epsilon, \delta)$ -**differentially private** if  $\forall$  neighboring  $x, x' \in T^n$  and  $\forall S \subseteq R$ ,

$$P[M(x) \in S] \leq e^\epsilon P[M(x') \in S] + \delta$$

- Only distinction: when the privacy perturbation needs to be applied!
- Leads to differences in what is meant by “neighboring databases”

# Local Differential Privacy



**Local Randomizer**  $Q: X \rightarrow Y$  is  $(\epsilon, \delta)$  – locally differentially private (LDP) if for all  $x, x' \in X, S \in Y$

$$\Pr[Q(x) \in S] \leq e^\epsilon \cdot \Pr[Q(x') \in S] + \delta$$

A protocol is  $\epsilon$ -local DP if each party's local randomizer  $Q_i$  is an  $\epsilon$ -DP mechanism for 1-row databases.

# Randomized Response

## [Warner'65]

- $x_i$ : bits (binary)
- $y_i = \begin{cases} x_i & w.p. \frac{e^\epsilon}{1+e^\epsilon} \\ 1 - x_i & w.p. \frac{1}{1+e^\epsilon} \end{cases}$

Theorem: Each user's disclosure is  $\epsilon$ -DP.

# Mean Estimation by RR

- $\hat{\mu} = \frac{1}{n} \sum_i \left( \frac{e^\epsilon + 1}{e^\epsilon - 1} y_i - \frac{1}{e^\epsilon - 1} \right)$
- Unbiased estimator
- Accuracy  $O\left(\frac{1}{\epsilon\sqrt{n}}\right)$  (Chebyshev's inequality)

# Randomized Response

$\varepsilon$ -locally DP protocol that

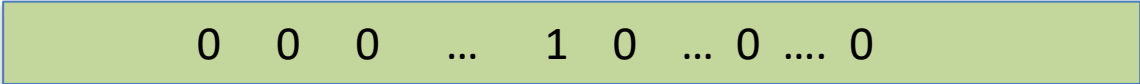
- Estimates “statistical queries” (means/avgs) to  $\pm O\left(\frac{1}{\varepsilon\sqrt{n}}\right)$ .
  - Q: how to use RR for fractional-valued functions?
- Estimates count/sum of a bounded function to  $\pm O\left(\frac{\sqrt{n}}{\varepsilon}\right)$ .
  - Q: proof idea?
- Worse than centralized DP, but still useful.
- This is best possible for  $\varepsilon$ -local DP.

# Laplace Mechanism



# Histograms

- $x_1, \dots, x_n \in [D]$  (D bins)

$x_i =$   Length D

Local Randomizer

$y_i =$  

$$x_i = 0, \quad y_i = \begin{cases} 1 & wp \frac{1}{2} \\ -1 & wp \frac{1}{2} \end{cases} \quad x_i = 1, \quad y_i = \begin{cases} 1 & wp \frac{1+\epsilon}{2} \\ -1 & wp \frac{1-\epsilon}{2} \end{cases}$$

$$\hat{f}(x) = \left( \sum_i y_i \right) \frac{1}{\epsilon}$$

# Histograms

- Expected error on each bin is  $\pm O\left(\frac{\sqrt{n}}{\varepsilon}\right)$ .
- Expected max error over all  $D$  bins is  $\pm O\left(\frac{\sqrt{n \cdot \log D}}{\varepsilon}\right)$ .
- We need to communicate  $\Omega(D)$  bits. There exists some sophisticated algorithmic ideas to get computational complexity sublinear in  $D$ .

# Local vs. Centralized DP

## Central Model

- Central curator collects the data from all users, then performs privatization
- Requires the users to trust the curator with their private data
- Most differentially private algorithms are in this model

## Local Model

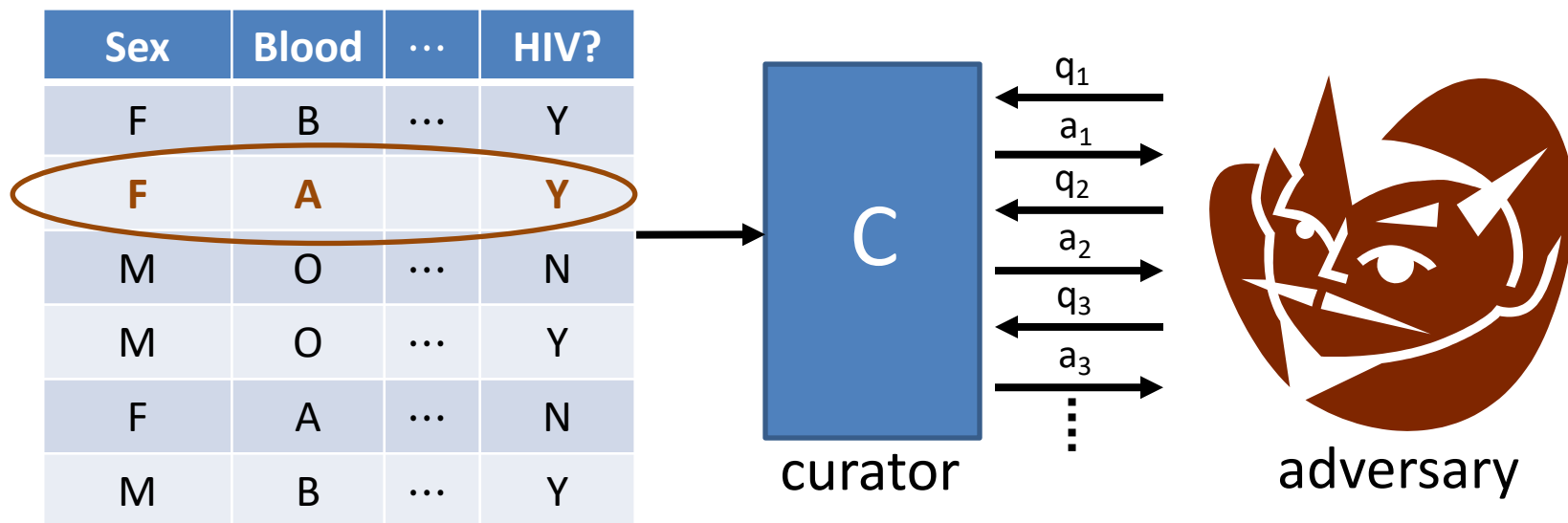
- Each user privatizes their own data then sends it to a central curator
- Require less trust from users
- Worse accuracy

# Defining Privacy

- **Def:** a protocol is  $\epsilon$ -local DP if each party's local randomizer  $Q_i$  is an  $\epsilon$ -DP interactive mechanism for 1-row databases.

- **Q:** What does it mean for an interactive mechanism to be DP?

# DP for Interactive Mechanisms

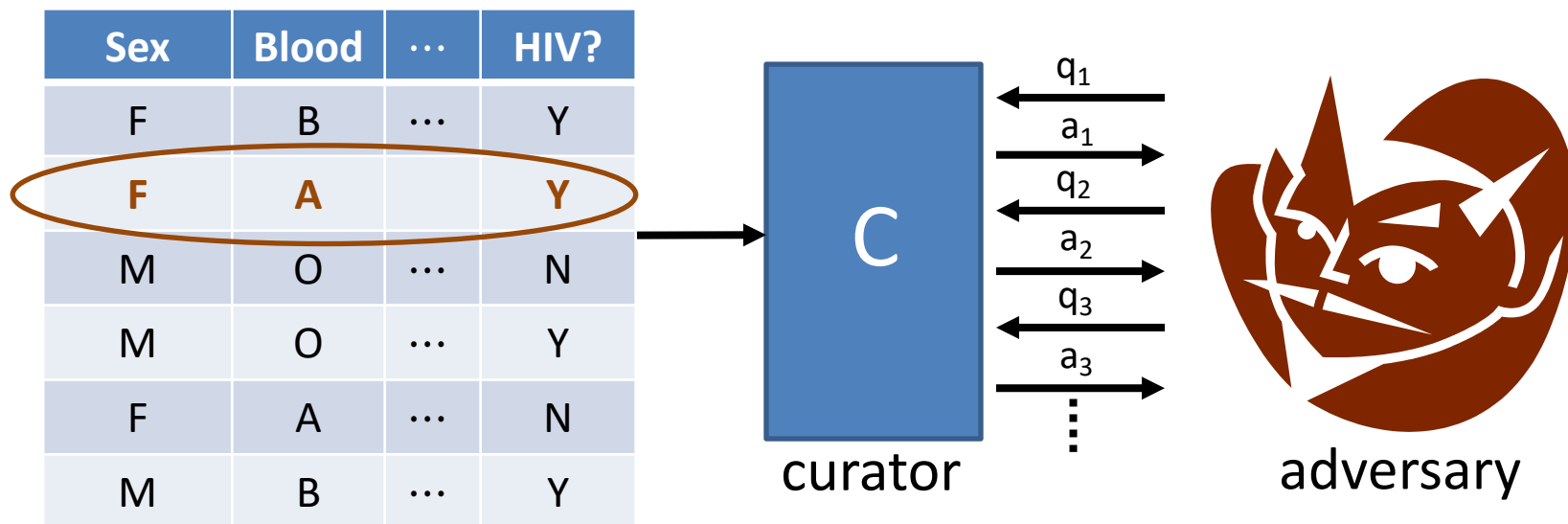


**1<sup>st</sup> Attempt:** for all  $D, D'$  differing on one row, all  $q_1, \dots, q_t$ , all  $T$

$$\Pr[C(D, q_1, \dots, q_t) \in T] \leq e^\varepsilon \cdot \Pr[C(D', q_1, \dots, q_t) \in T] + \delta$$

vectors of answers  $a_1, \dots, a_t$

# DP for Interactive Mechanisms

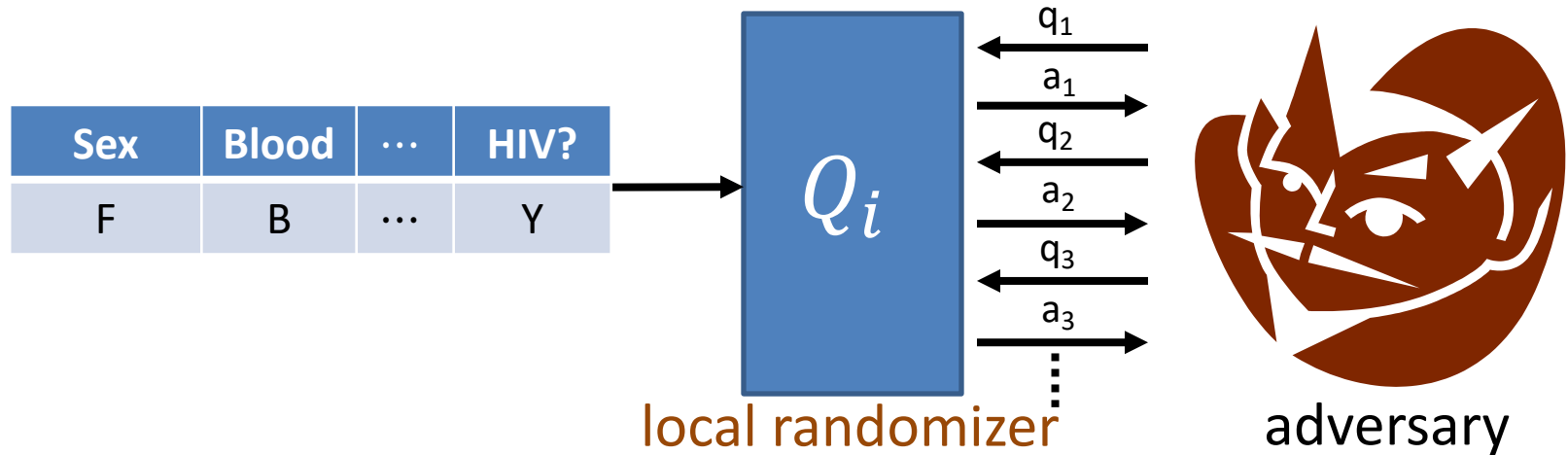


**Better:** for all  $D, D'$  differing on one row, all adversarial strategies  $A$

$$\Pr[A \text{ outputs YES after interacting w/ } C(D)] \leq e^\epsilon \cdot \Pr[A \text{ outputs YES after interacting w/ } C(D')] + \delta$$

**Fact:** composition thms for DP yield interactive DP in this sense.  
 (advanced/optimal comp. requires privacy params to be non-adaptive  
 [Rogers et al. '16].)

# Local DP



**Require:** for all  $i, x_i, x'_i$  ~~differing on one row~~, all strategies  $A$

$$\Pr[A \text{ outputs YES after interacting w/ } Q_i(x_i)]$$

$$\leq e^\epsilon \cdot \Pr[A \text{ outputs YES after interacting w/ } Q_i(x'_i)] + \delta$$

# Local vs. Centralized DP

- Local DP protocols provably have lower accuracy for counts/averages than centralized DP protocols.
  - $\Theta(1/\varepsilon\sqrt{n})$  error vs.  $\Theta(1/\varepsilon n)$ .
  - Successful deployments have very large  $n$  (Google, Apple).
- Gap can be closed by relaxing adversarial model (e.g. anonymous participants, computationally bounded adversaries) and using crypto/infrastructure (secure MPC, mix-nets).