

## UNIT – 3 XML (Extensible Markup Language)

### 1. Introduction to XML?

XML stands for **Extensible Markup Language**. It is a text-based markup language derived from Standard Generalized Markup Language (SGML).

XML tags identify the data and are used to store and organize the data, rather than specifying how to display it like HTML tags, which are used to display the data. XML is not going to replace HTML in the near future, but it introduces new possibilities by adopting many successful features of HTML.

There are three important characteristics of XML that make it useful in a variety of systems and solutions –

- **XML is extensible** – XML allows you to create your own self-descriptive tags, or language, that suits your application.
- **XML carries the data, does not present it** – XML allows you to store the data irrespective of how it will be presented.
- **XML is a public standard** – XML was developed by an organization called the World Wide Web Consortium (W3C) and is available as an open standard.

### XML Usage

A short list of XML usage says it all –

- XML can work behind the scene to simplify the creation of HTML documents for large web sites.
- XML can be used to exchange the information between organizations and systems.
- XML can be used for offloading and reloading of databases.
- XML can be used to store and arrange the data, which can customize your data handling needs.
- XML can easily be merged with style sheets to create almost any desired output.
- Virtually, any type of data can be expressed as an XML document.

### What is Markup?

XML is a markup language that defines set of rules for encoding documents in a format that is both human-readable and machine-readable. So *what exactly is a markup language?* Markup is information added to a document that enhances its meaning in certain ways, in that it identifies the parts and how they relate to each other. More specifically, a markup language is a set of symbols that can be placed in the text of a document to demarcate and label the parts of that document.

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Following example shows how XML markup looks, when embedded in a piece of text –

```
<message>
  <text>Hello, world!</text>
</message>
```

This snippet includes the markup symbols, or the tags such as `<message>...</message>` and `<text>... </text>`. The tags `<message>` and `</message>` mark the start and the end of the XML code fragment. The tags `<text>` and `</text>` surround the text Hello, world!.

## 2. Explain XML Syntax, its declaration, tags and elements?

The XML document can optionally have an XML declaration. It is written as follows –

```
<?xml version = "1.0" encoding = "UTF-8"?>
```

Where *version* is the XML version and *encoding* specifies the character encoding used in the document.

**UTF** stands for UCS Transformation Format, and UCS itself means Universal Character Set. The number **8** or **16** refers to the number of bits used to represent a character. They are either **8**(1 to 4 bytes) or **16**(2 or 4 bytes). For the documents without encoding information, **UTF-8** is set by default.

### Syntax Rules for XML Declaration

- The XML declaration is case sensitive and must begin with "`<?xml>`" where "**xml**" is written in lower-case.
- If document contains XML declaration, then it strictly needs to be the first statement of the XML document.
- The XML declaration strictly needs be the first statement in the XML document.

### Tags and Elements

**XML elements** can be defined as building blocks of an XML. Elements can behave as containers to hold text, elements, attributes, media objects or all of these.

Each XML document contains one or more elements, the scope of which are either delimited by start and end tags, or for empty elements, by an empty-element tag.

### Syntax

Following is the syntax to write an XML element –

```
<element-name attribute1 attribute2>
...content
</element-name>
```

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where,

- **element-name** is the name of the element. The *name* its case in the start and end tags must match.
- **attribute1, attribute2** are attributes of the element separated by white spaces. An attribute defines a property of the element. It associates a name with a value, which is a string of characters. An attribute is written as –

name = "value"

*name* is followed by an = sign and a string *value* inside double(" ") or single(' ') quotes.

An XML file is structured by several XML-elements, also called XML-nodes or XML-tags. The names of XML-elements are enclosed in triangular brackets < > as shown below –

```
<element>
```

## Syntax Rules for Tags and Elements

**Element Syntax** – Each XML-element needs to be closed either with start or with end elements as shown below –

```
<element>....</element>
```

or in simple-cases, just this way –

```
<element/>
```

**Nesting of Elements** – An XML-element can contain multiple XML-elements as its children, but the children elements must not overlap. i.e., an end tag of an element must have the same name as that of the most recent unmatched start tag.

The Following example shows incorrect nested tags –

```
<?xml version = "1.0"?>
<contact-info>
<company>Arham Infotech
</contact-info>
</company>
```

The Following example shows correct nested tags –

```
<?xml version = "1.0"?>
<contact-info>
  <company>Arham Infotech</company>
</contact-info>
```

### 3. Explain root element and case sensitivity in XML.

Each **XML** document has exactly one single **root element**. It encloses all the other **elements** and is therefore the sole parent **element** to all the other **elements**. **ROOT elements** are also called document **elements**. In HTML, the **root element** is the `<html>` **element**. XML is case sensitive language.

XML documents must contain one **root** element that is the **parent** of all other elements:

```
<root>
  <child>
    <subchild>.....</subchild>
  </child>
</root>
```

In this example **<note>** is the root element:

```
<?xml version="1.0" encoding="UTF-8"?>
<note>
  <to>ABC</to>
  <from>XYZ</from>
  <heading>Reminder</heading>
  <body>Don't forget to call</body>
</note>
```

### 4. Write a note on XML Document.

An XML *document* is a basic unit of XML information composed of elements and other markup in an orderly package. An XML *document* can contains wide variety of data. For example, database of numbers, numbers representing molecular structure or a mathematical equation.

#### XML Document Example

A simple document is shown in the following example –

```
<?xml version = "1.0"?>
<contact-info>
  <name>Tanmay Patil</name>
  <company>TutorialsPoint</company>
  <phone>(011) 123-4567</phone>
</contact-info>
```

The following image depicts the parts of XML document.



## Document Prolog Section

**Document Prolog** comes at the top of the document, before the root element. This section contains –

- XML declaration
- Document type declaration

### 1) XML Declaration :

**XML declaration** contains details that prepare an XML processor to parse the XML document. It is optional, but when used, it must appear in the first line of the XML document.

#### Syntax

Following syntax shows XML declaration –

```
<?xml
  version = "version_number"
  encoding = "encoding_declaration"
  standalone = "standalone_status"
?>
```

Each parameter consists of a parameter name, an equals sign (=), and parameter value inside a quote. Following table shows the above syntax in detail –

Parameter	Parameter_value	Parameter_description
Version	1.0	Specifies the version of the XML standard used.
Encoding	UTF-8, UTF-16, ISO-10646-UCS-2, ISO-10646-UCS-4, ISO-8859-1 to ISO-8859-9, ISO-2022-JP, Shift_JIS, EUC-JP	It defines the character encoding used in the document. UTF-8 is the default encoding used.

Standalone	yes or no	It informs the parser whether the document relies on the information from an external source, such as external document type definition (DTD), for its content. The default value is set to <i>no</i> . Setting it to <i>yes</i> tells the processor there are no external declarations required for parsing the document.
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## XML Declaration Examples

Following are few examples of XML declarations –

XML declaration with no parameters –

```
<?xml >
```

XML declaration with version definition –

```
<?xml version = "1.0">
```

XML declaration with all parameters defined –

```
<?xml version = "1.0" encoding = "UTF-8" standalone = "no" ?>
```

XML declaration with all parameters defined in single quotes –

```
<?xml version = '1.0' encoding = 'iso-8859-1' standalone = 'no' ?>
```

## Document Elements Section

**Document Elements** are the building blocks of XML. These divide the document into a hierarchy of sections, each serving a specific purpose. You can separate a document into multiple sections so that they can be rendered differently, or used by a search engine. The elements can be containers, with a combination of text and other elements.

## Syntax

Following is the syntax to write an XML element –

```
<element-name attribute1 attribute2>  
...content  
</element-name>
```

where,

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- **element-name** is the name of the element. The *name* its case in the start and end tags must match.
- **attribute1, attribute2** are attributes of the element separated by white spaces. An attribute defines a property of the element. It associates a name with a value, which is a string of characters. An attribute is written as –

name = "value"

*name* is followed by an = sign and a string *value* inside double(" ") or single(' ') quotes.

## Empty Element

An empty element (element with no content) has following syntax –

```
<name attribute1 attribute2.../>
```

Following is an example of an XML document using various XML element –

```
<?xml version = "1.0"?>
<contact-info>
  <address category = "residence">
    <name>Tanmay Patil</name>
    <company>TutorialsPoint</company>
    <phone>(011) 123-4567</phone>
  </address>
</contact-info>
```

## XML Elements Rules

Following rules are required to be followed for XML elements –

- An element *name* can contain any alphanumeric characters. The only punctuation mark allowed in names are the hyphen (-), under-score (\_) and period (.).
- Names are case sensitive. For example, Address, address, and ADDRESS are different names.
- Start and end tags of an element must be identical.
- An element, which is a container, can contain text or elements as seen in the above example.

## 2.) Document Type Declaration:

The XML Document Type Declaration, commonly known as DTD, is a way to describe XML language precisely. DTDs check vocabulary and validity of the structure of XML documents against grammatical rules of appropriate XML language.

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An XML DTD can be either specified inside the document, or it can be kept in a separate document and then linked separately.

## Syntax

Basic syntax of a DTD is as follows –

```
<!DOCTYPE element DTD identifier  
[  
  declaration1  
  declaration2  
  .....  
>
```

In the above syntax,

- The **DTD** starts with **<!DOCTYPE** delimiter.
- An **element** tells the parser to parse the document from the specified root element.
- **DTD identifier** is an identifier for the document type definition, which may be the path to a file on the system or URL to a file on the internet. If the DTD is pointing to external path, it is called **External Subset**.
- **The square brackets [ ]** enclose an optional list of entity declarations called *Internal Subset*.

## Internal DTD

A DTD is referred to as an internal DTD if elements are declared within the XML files. To refer it as internal DTD, *standalone* attribute in XML declaration must be set to **yes**. This means, the declaration works independent of an external source.

## Syntax

Following is the syntax of internal DTD –

```
<!DOCTYPE root-element [element-declarations]>
```

where *root-element* is the name of root element and *element-declarations* is where you declare the elements.

## Example

Following is a simple example of internal DTD –

```
<?xml version = "1.0" encoding = "UTF-8" standalone = "yes" ?>  
<!DOCTYPE address [  
  <!ELEMENT address (name,company,phone)>
```



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```
<!ELEMENT name (#PCDATA)> //PCDATA= Portable Character Set
<!ELEMENT company (#PCDATA)>
<!ELEMENT phone (#PCDATA)>
]>

<address>
  <name>Tanmay Patil</name>
  <company>TutorialsPoint</company>
  <phone>(011) 123-4567</phone>
</address>
```

Let us go through the above code –

**Start Declaration** – Begin the XML declaration with the following statement.

```
<?xml version = "1.0" encoding = "UTF-8" standalone = "yes" ?>
```

**DTD** – Immediately after the XML header, the *document type declaration* follows, commonly referred to as the DOCTYPE –

```
<!DOCTYPE address [
```

The DOCTYPE declaration has an exclamation mark (!) at the start of the element name. The DOCTYPE informs the parser that a DTD is associated with this XML document.

**DTD Body** – The DOCTYPE declaration is followed by body of the DTD, where you declare elements, attributes, entities, and notations.

```
<!ELEMENT address (name,company,phone)>
<!ELEMENT name (#PCDATA)>
<!ELEMENT company (#PCDATA)>
<!ELEMENT phone_no (#PCDATA)>
```

Several elements are declared here that make up the vocabulary of the <name> document. <!ELEMENT name (#PCDATA)> defines the element *name* to be of type "#PCDATA". Here #PCDATA means parse-able text data.

**End Declaration** – Finally, the declaration section of the DTD is closed using a closing bracket and a closing angle bracket (]>). This effectively ends the definition, and thereafter, the XML document follows immediately.

## Rules

- The document type declaration must appear at the start of the document (preceded only by the XML header) – it is not permitted anywhere else within the document.
- Similar to the DOCTYPE declaration, the element declarations must start with an exclamation mark.

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- The Name in the document type declaration must match the element type of the root element.

## External DTD

In external DTD elements are declared outside the XML file. They are accessed by specifying the system attributes which may be either the legal *.dtd* file or a valid URL. To refer it as external DTD, *standalone* attribute in the XML declaration must be set as **no**. This means, declaration includes information from the external source.

## Syntax

Following is the syntax for external DTD –

```
<!DOCTYPE root-element SYSTEM "file-name">
```

where *file-name* is the file with *.dtd* extension.

## Example

The following example shows external DTD usage –

```
<?xml version = "1.0" encoding = "UTF-8" standalone = "no" ?>
<!DOCTYPE address SYSTEM "address.dtd">
<address>
  <name>Tanmay Patil</name>
  <company>TutorialsPoint</company>
  <phone>(011) 123-4567</phone>
</address>
```

The content of the DTD file **address.dtd** is as shown –

```
<!ELEMENT address (name,company,phone)>
<!ELEMENT name (#PCDATA)>
<!ELEMENT company (#PCDATA)>
<!ELEMENT phone (#PCDATA)>
```

## Types

You can refer to an external DTD by using either **system identifiers** or **public identifiers**.

## System Identifiers

A system identifier enables you to specify the location of an external file containing DTD declarations. Syntax is as follows –

```
<!DOCTYPE name SYSTEM "address.dtd" [...]>
```

As you can see, it contains keyword **SYSTEM** and a URI reference pointing to the location of the document.

## Public Identifiers

Public identifiers provide a mechanism to locate DTD resources and is written as follows –

```
<!DOCTYPE name PUBLIC "-//Beginning XML//DTD Address Example//EN">
```

As you can see, it begins with keyword PUBLIC, followed by a specialized identifier. Public identifiers are used to identify an entry in a catalog. Public identifiers can follow any format, however, a commonly used format is called **Formal Public Identifiers, or FPIs**.