# CS 301: Recursion

The Art of Self Reference

Tyler Caraza-Harter

#### Goal: use self-reference is a meaningful way

Hofstadter's Law: "It always takes longer than you expect, even when you take into account Hofstadter's Law."

(From Gödel, Escher, Bach)

good advice for CS 301 assignments!

#### Goal: use self-reference is a meaningful way

Hofstadter's Law: "It always takes longer than you expect, even when you take into account Hofstadter's Law."

(From Gödel, Escher, Bach)

**mountain**: "a landmass that projects conspicuously above its surroundings and is higher than a **hill**"

hill: "a usually rounded natural elevation of land lower than a mountain"

(Example of **unhelpful** self reference from Merriam-Webster dictionary)

### Overview: Learning Objectives

#### Recursive information

- What is a recursive definition/structure?
- Arbitrarily vs. infinitely

#### Recursive code

- What is recursive code?
- Why write recursive code?
- Where do computers keep local variables for recursive calls?
- What happens to programs with infinite recursion?

#### Read Think Python

- Ch 5: "Recursion" through "Infinite Recursion"
- Ch 6: "More Recursion" through end

### Overview: Learning Objectives

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- What is **recursive code**?
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#### What is Recursion?

**Recursive** definitions contain the term in the body

Dictionaries, mathematical definitions, etc

A number **x** is a positive even number if:

- x is 2 OR
- x equals another positive even number plus two

#### What is Recursion?

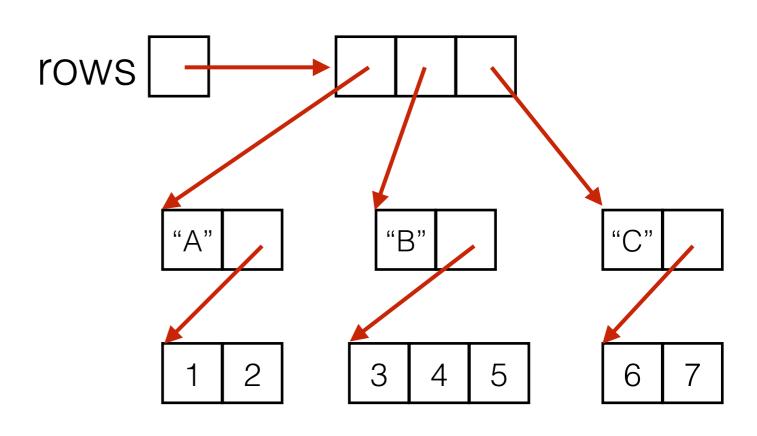
Recursive definitions contain the term in the body

• Dictionaries, mathematical definitions, etc

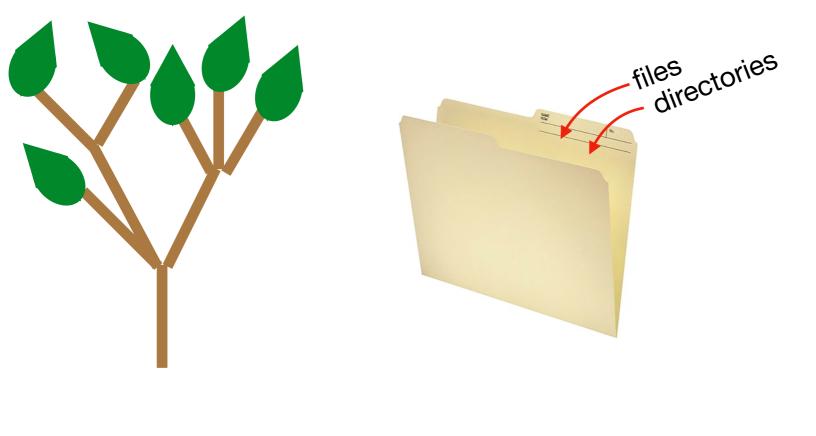
Recursive structures may refer to structures of the same type

data structures or real-world structures

```
rows = [
    ["A",[1,2]],
    ["B",[3,4,5]],
    ["C",[6,7]]
]
```



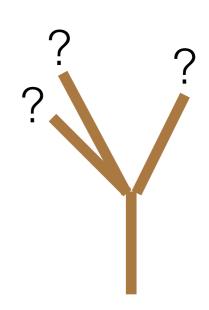
#### Recursive structures are EVERYWHERE!



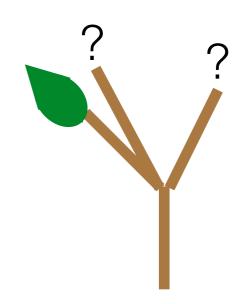
nature files formats

Term: branch

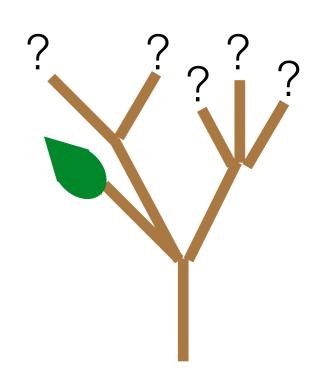
Term: branch



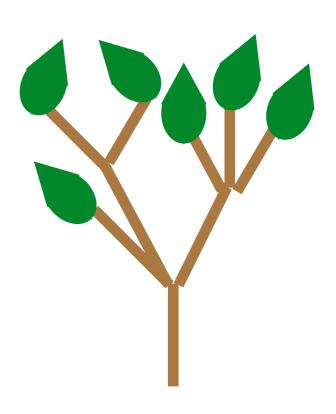
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Term: branch

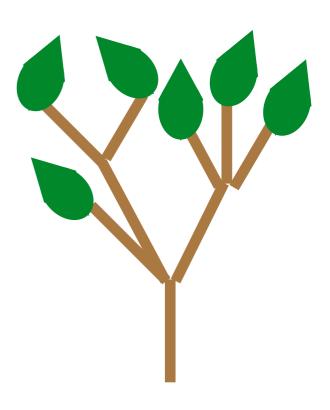


Term: branch



Term: branch

**Def**: wooden stick, with an end splitting into other branches, OR terminating with a leaf

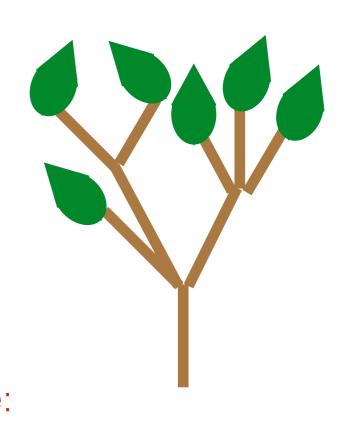


trees are arbitrarily large:
recursive case allows
indefinite growth

**Term:** branch

**Def**: wooden stick, with an end splitting into other branches, OR terminating with a leaf

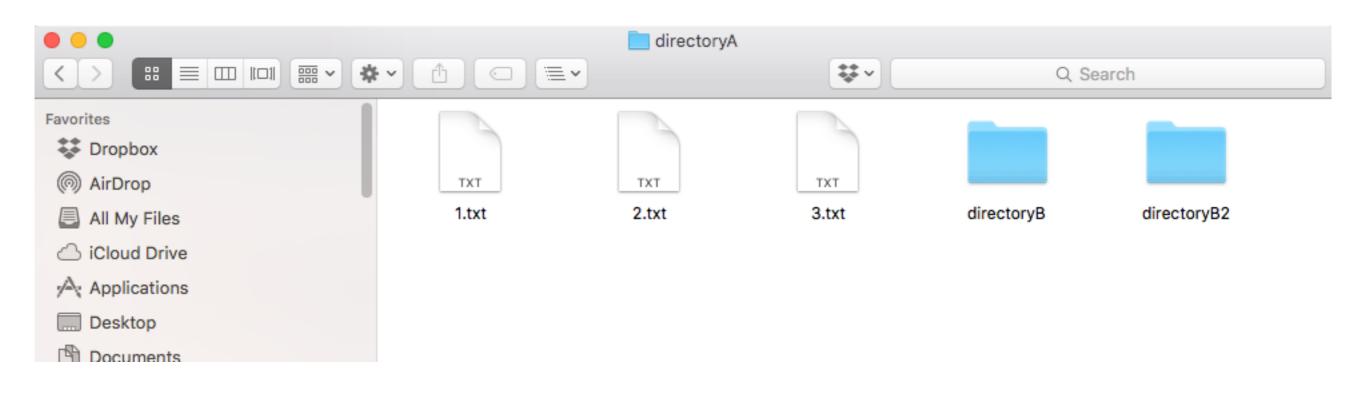
trees are finite: eventual **base case** allows completion trees are arbitrarily large:
recursive case allows
indefinite growth



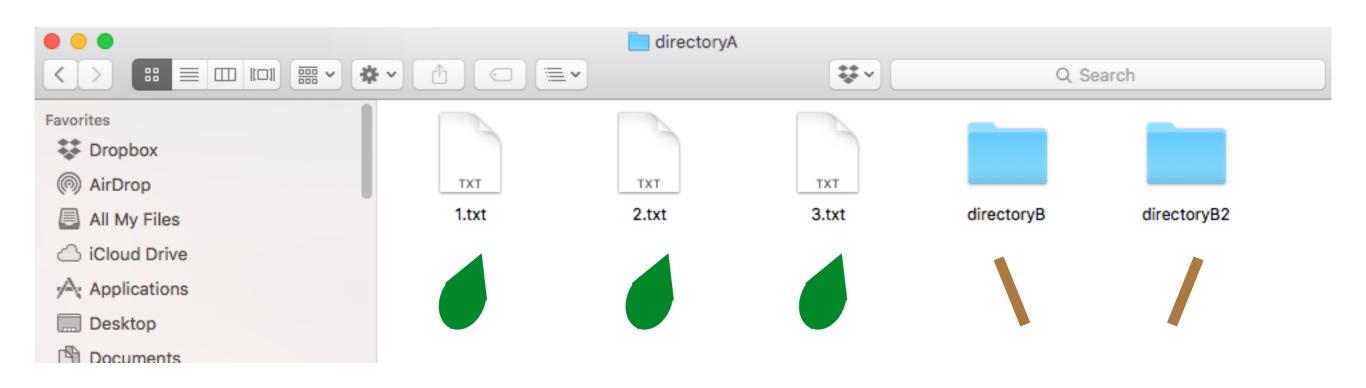




**Term:** directory

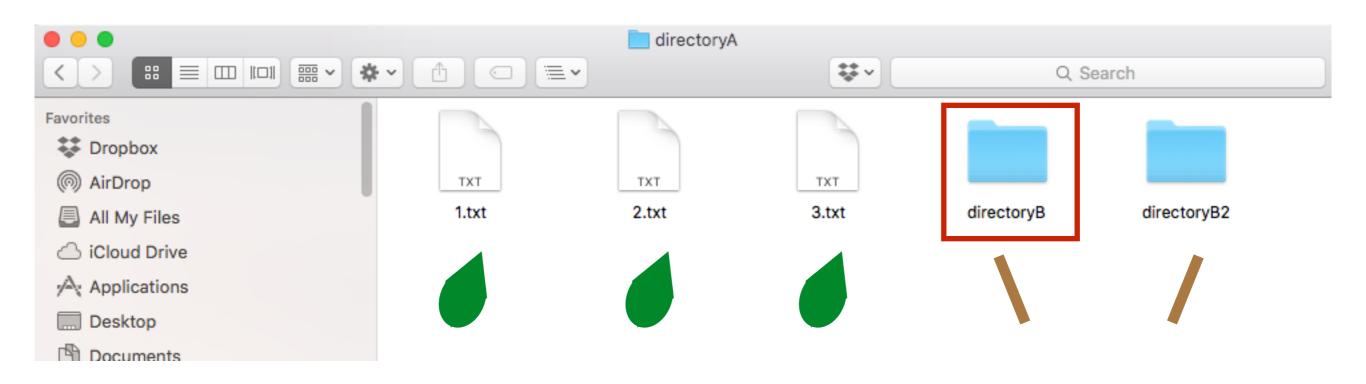


Term: directory



file system tree

**Term:** directory



file system tree

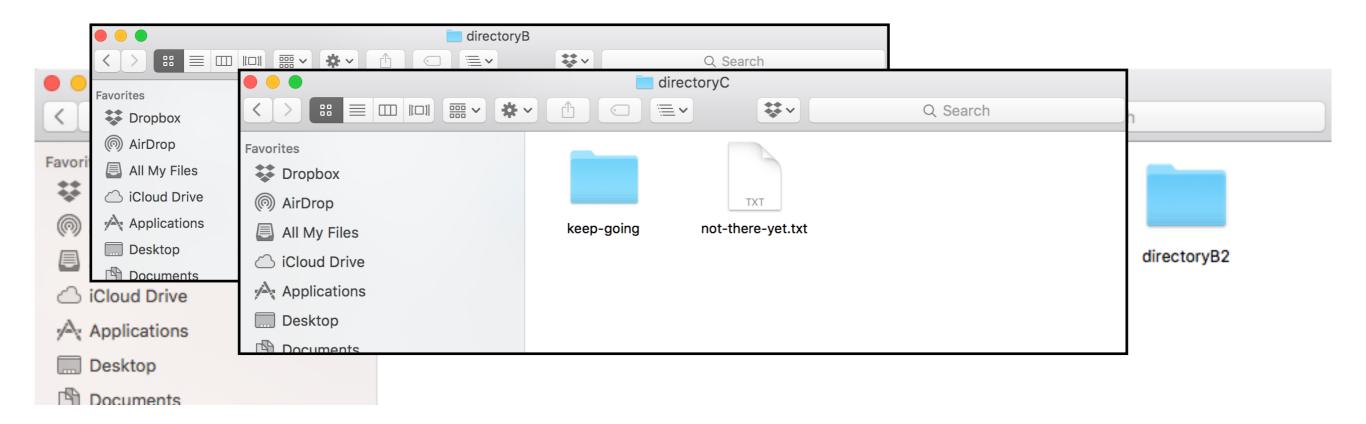
Term: directory



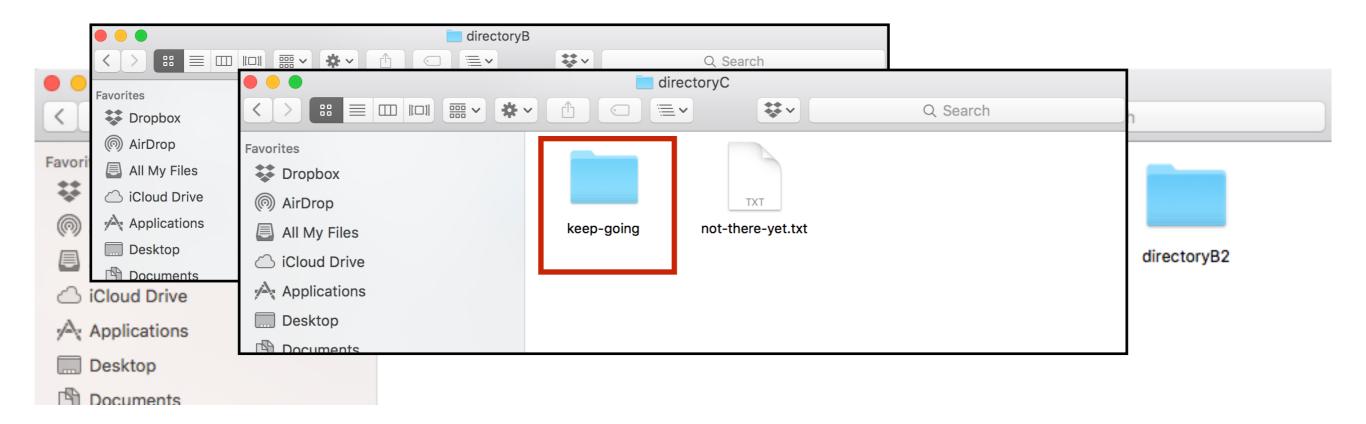
Term: directory



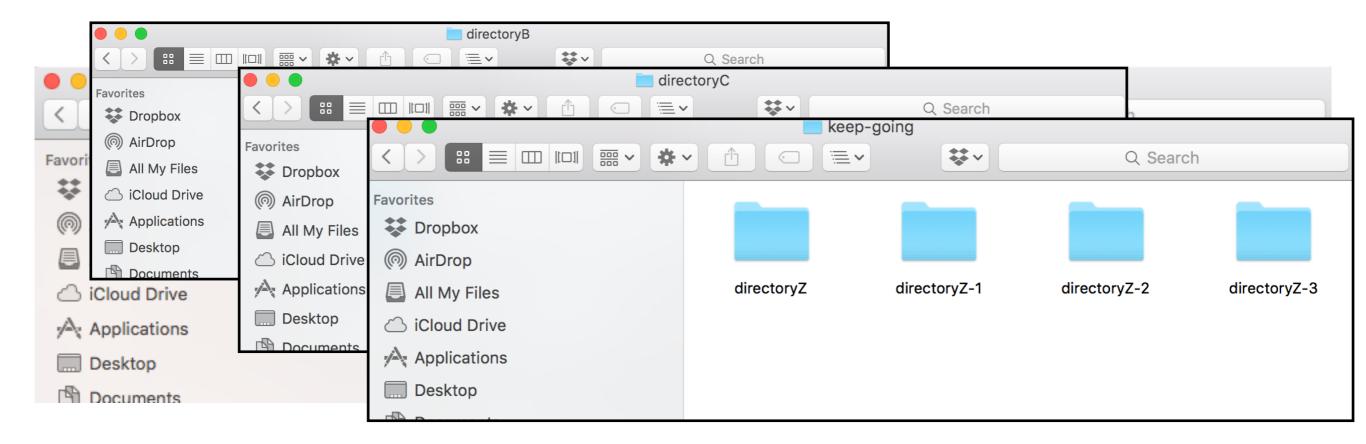
Term: directory



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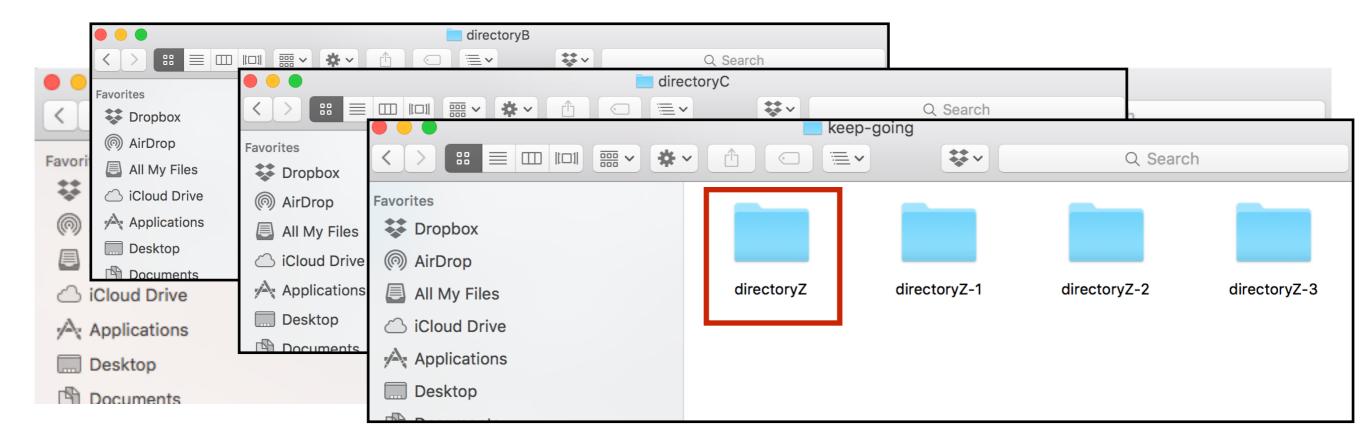


**Term:** directory



file system tree

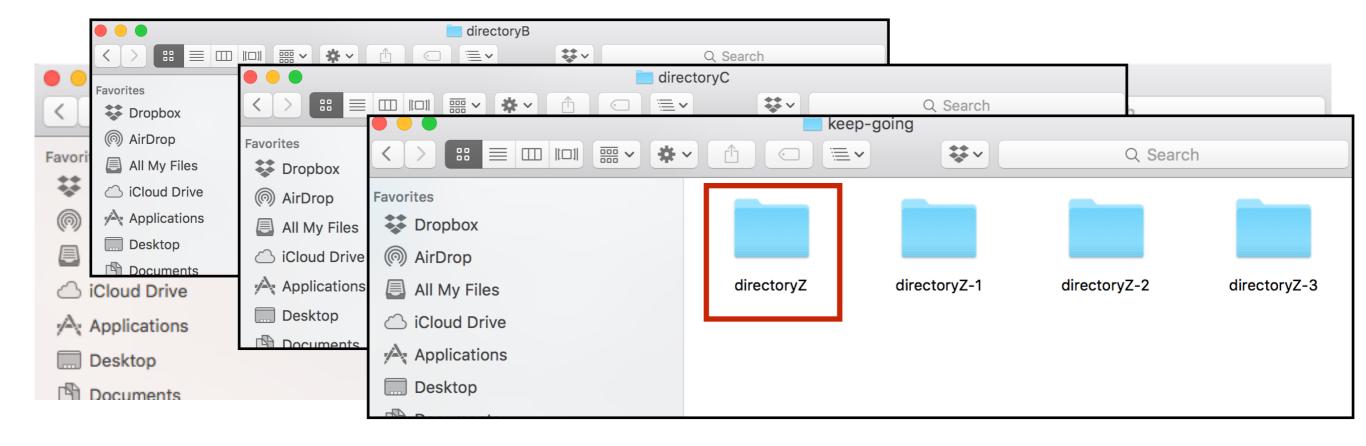
Term: directory



file system tree

Term: directory

recursive because def contains term



file system tree

#### **Example JSON Dictionary:**

```
{
  "name": "alice",
  "grade": "A",
  "score": 96
}
```

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Term: json-dict

**Def:** a set of *json-mapping*'s

#### **Example JSON Dictionary:**

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**Term:** *json-dict* 

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#### **Example JSON Dictionary:**

```
"name": "alice",
"grade": "A",
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keys values
```

**Term:** *json-dict* 

**Def:** a set of *json-mapping*'s

Term: json-mapping

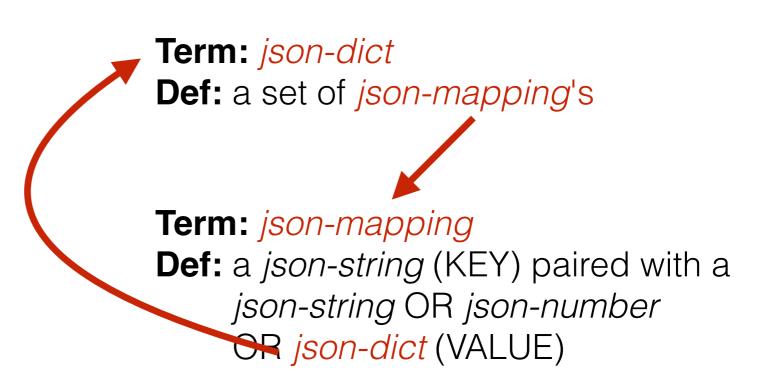
**Def:** a *json-string* (KEY) paired with a

json-string OR json-number

OR *json-dict* (VALUE)

#### **Example JSON Dictionary:**

```
{
    "name": "alice",
    "grade": "A",
    "score": 96
}
```



recursive self reference isn't always direct!

#### **Example JSON Dictionary:**

```
"name": "alice",
"grade": "A",
"score": 96,
"exams": {
    "midterm": 94,
    "final": 98
}
```

Term: json-dict

**Def:** a set of *json-mapping*'s

Term: json-mapping

**Def:** a *json-string* (KEY) paired with a

json-string OR json-number

OR *json-dict* (VALUE)

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Example JSON Dictionary:
                                   Term: json-dict
                                   Def: a set of json-mapping's
 "name": "alice",
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                                   Term: json-mapping
 "score": 96,
                                   Def: a json-string (KEY) paired with a
 "exams": {
                                        json-string OR json-number
   "midterm": {"points":94,
                                        OR json-dict (VALUE)
                  "total":100},
   "final": {"points": 98,
                "total": 100}
                note: complete JSON is slightly more flexible
```

#### 

#### Overview: Learning Objectives

#### Recursive information

- What is a recursive definition/structure?
- Arbitrarily vs. infinitely

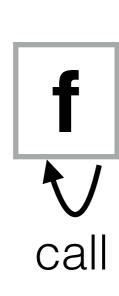
#### Recursive code

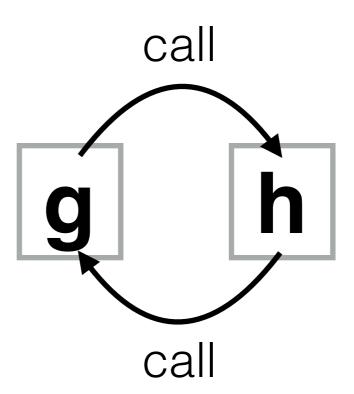
- What is recursive code?
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- What happens to programs with infinite recursion?

#### Recursive Code

#### What is it?

A function that calls itself (possible indirectly)

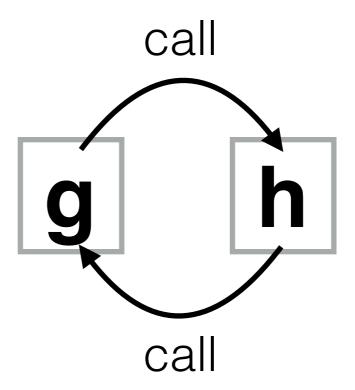




#### What is it?

A function that calls itself (possible indirectly)

```
def f():
    # other code
    f()
    # other code
```



#### What is it?

A function that calls itself (possible indirectly)

```
def f():
    # other code
    f()
    # other code
```

```
def g():
    # other code
    h()
    # other code

def h():
    # other code
    g()
    # other code
```

What is it?

A function that calls itself (possible indirectly)

Motivation: don't know how big data is before execution

- Need either iteration or recursion
- In theory, these techniques are equally powerful

#### What is it?

A function that calls itself (possible indirectly)

Motivation: don't know how big data is before execution

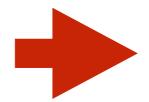
- Need either iteration or recursion
- In theory, these techniques are equally powerful

Why recurse? (instead of always iterating)

- in practice, often easier
- recursive code corresponds to recursive data
- reduce a big problem into a smaller problem



eager CS 301 students in the front row





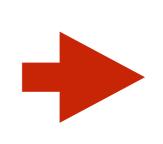








wise teacher



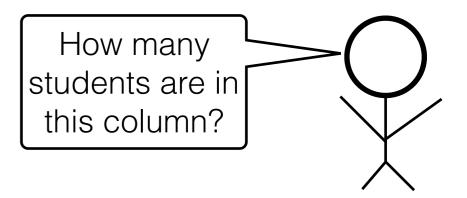


#### Imagine:

A teacher wants to know how many students are in a column.

How can front student answer?





#### Imagine:

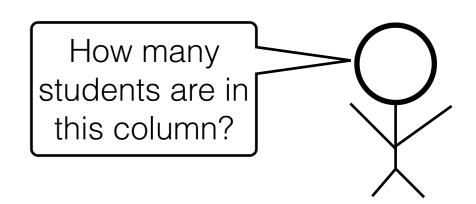
A teacher wants to know how many students are in a column.

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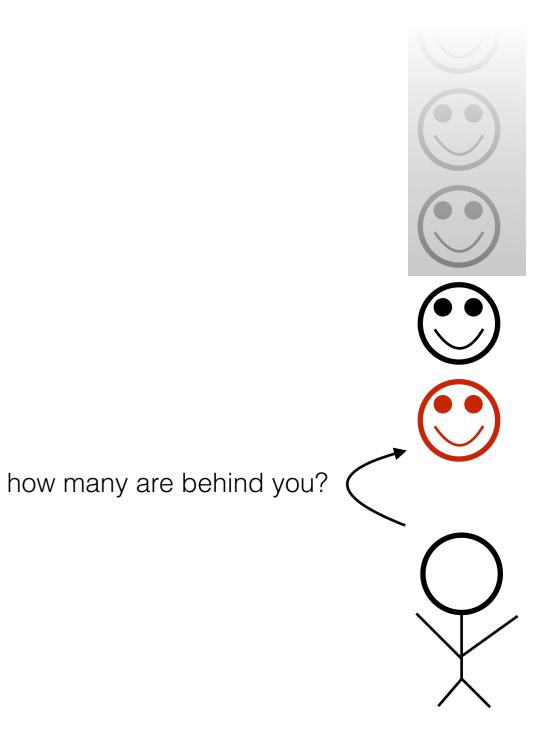
#### Constraints:

- It is dark, you can't see the back
- You can't get up to count
- You may talk to adjacent students
- Mic is broken (students in back can't hear from front)



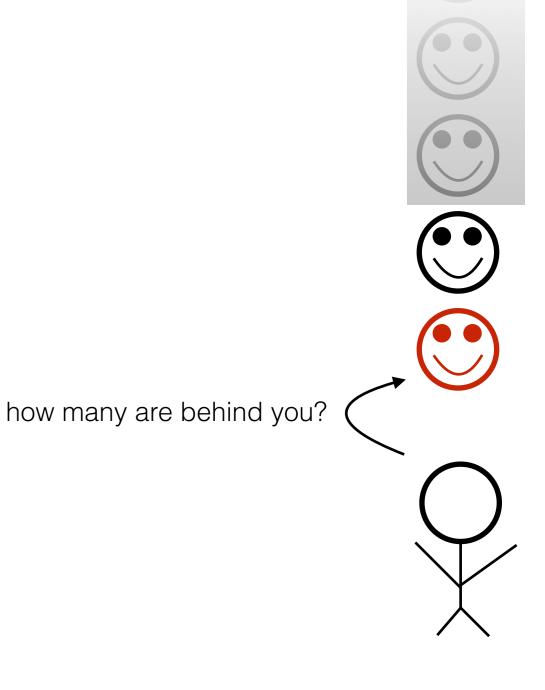


Strategy: reframe question as "how many students are behind you?"



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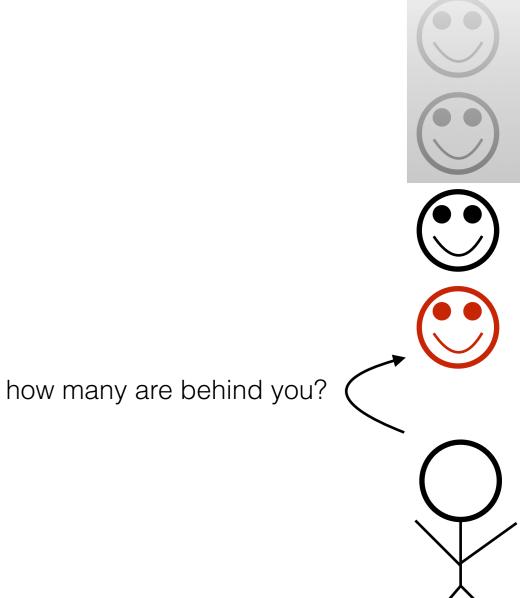
Reframing is the hardest part



Strategy: reframe question as "how many students are behind you?"

#### Process:

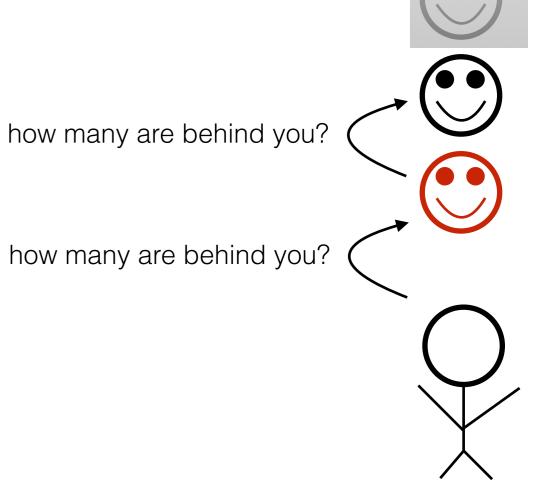
if nobody is behind you: say 0



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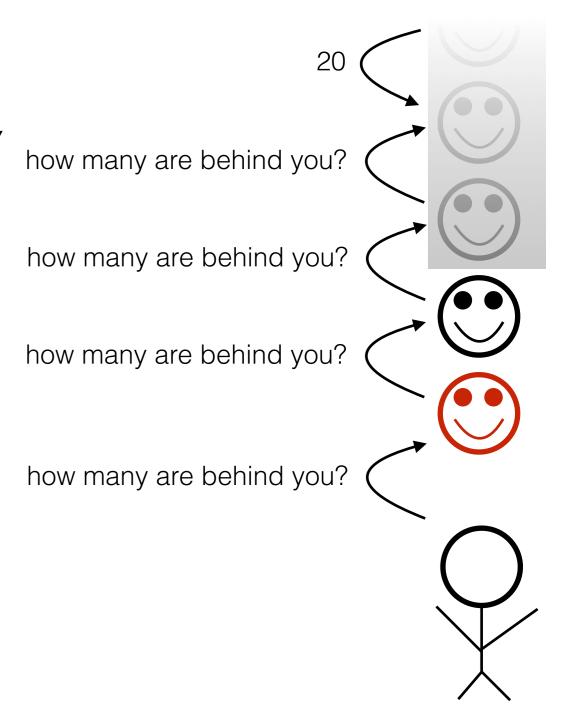
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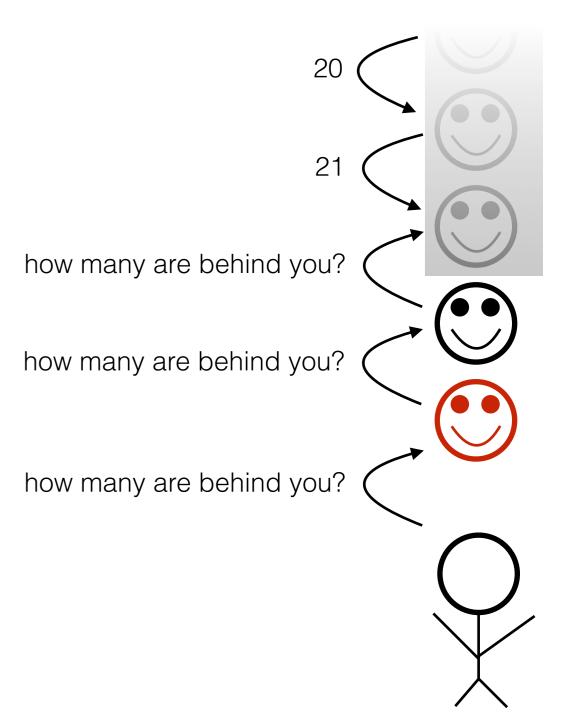
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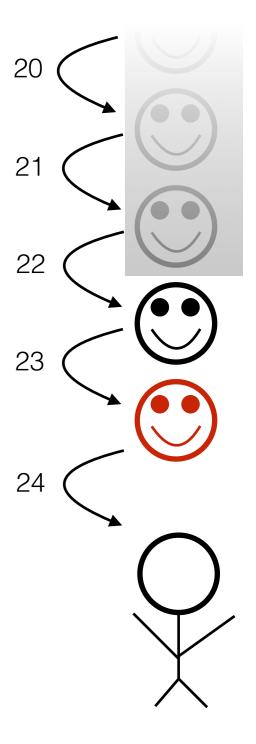
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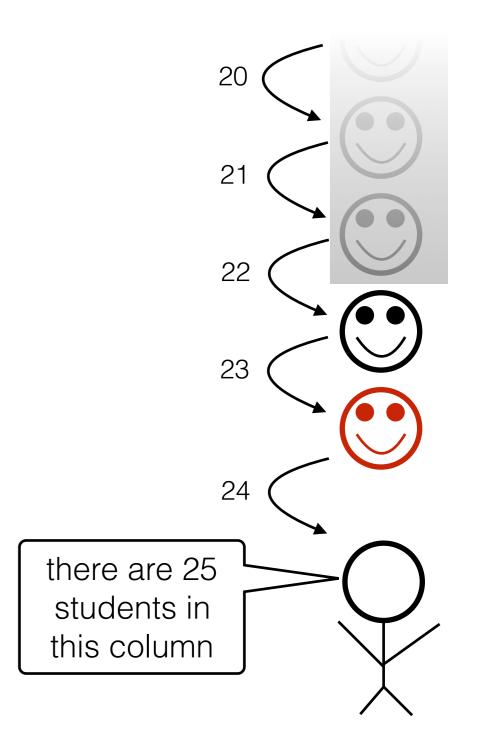
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Strategy: reframe question as "how many students are behind you?"

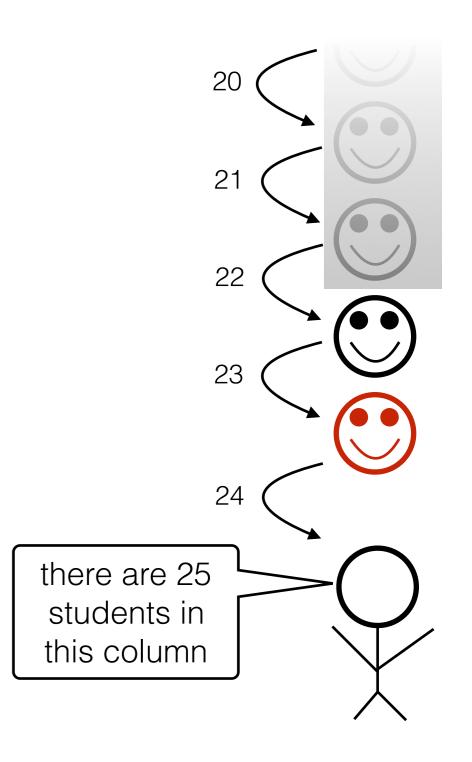
#### Process:

if nobody is behind you: say 0

else: ask them, say their answer+1

#### Observations:

- Each student runs the same "code"
- Each student has their own "state"



# Practice: Reframing Factorials

 $N! = 1 \times 2 \times 3 \times ... \times (N-2) \times (N-1) \times N$ 

#### 1. Examples:

# 1! = 1 2! = 1\*2 = 2 3! = 1\*2\*3 = 6 4! = 1\*2\*3\*4 = 24 5! = 1\*2\*3\*4\*5 = 120

#### 2. Self Reference:

#### 3. Recursive Definition:

#### 4. Python Code:

```
def fact(n):
    pass # TODO
```

Goal: work from examples to get to recursive code

#### 1. Examples:

```
1! = 1
2! = 1*2 = 2
3! = 1*2*3 = 6
4! = 1*2*3*4 = 24
5! = 1*2*3*4*5 = 120
```

#### 2. Self Reference:

look for patterns that allow rewrites with self reference

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#### 2. Self Reference:

```
1! =
2! =
3! =
4! =
5! = 4! * 5
```

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```
1! = 2! = 3! = 4! * 5
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#### 1. Examples:

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1! = 1

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1! = 1
2! = 1! * 2
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#### 3. Recursive Definition:

convert self-referring examples to a recursive definition

```
def fact(n):
    pass # TODO
```

#### 1. Examples:

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1! = 1
2! = 1*2 = 2
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```

#### 2. Self Reference:

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1! is 1

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def fact(n):
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1! = 1
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#### 2. Self Reference:

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1! = 1

2! = 1! * 2

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```

#### 3. Recursive Definition:

```
1! is 1
N! is ???? for N>1
```

```
def fact(n):
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#### 1. Examples:

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1! = 1

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1! is 1
N! is (N-1)! * N for N>1
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1! is 1
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4. Python Code:
    def fact(n):
        if n == 1:
            return 1
```

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def fact(n):
    if n == 1:
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    p = fact(n-1)
    return n * p
```

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#### 3. Recursive Definition:

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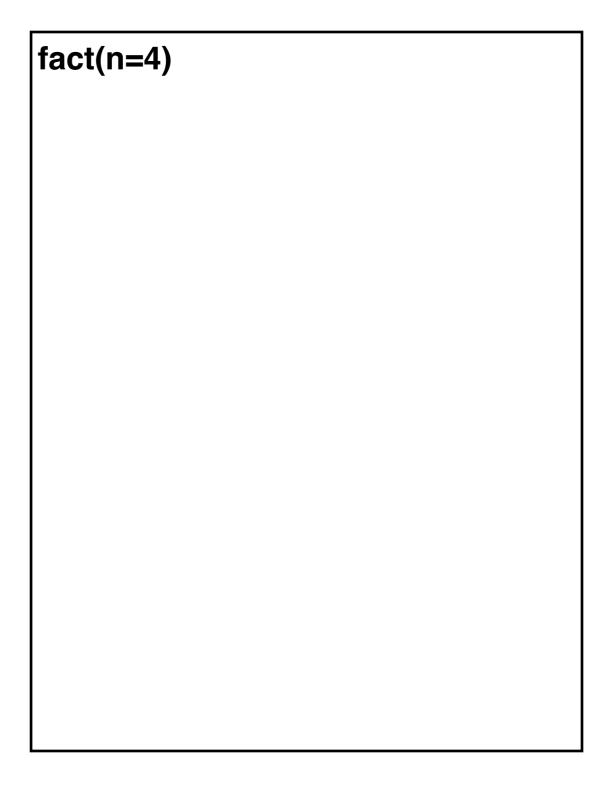
#### 4. Python Code:

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

Let's "run" it!

# Tracing Factorial

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```



```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

```
fact(n=4)
 if n == 1:
```

```
def fact(n):
    if n == 1:
        return 1
p = fact(n-1)
return n * p
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fact(n=4)
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fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
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def fact(n):
    if n == 1:
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fact(n=4)
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 fact(n=3)
   if n == 1:
   fact(n=2)
      if n == 1:
```

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def fact(n):
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fact(n=4)
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 fact(n=3)
   if n == 1:
   fact(n=2)
     if n == 1:
```

```
def fact(n):
    if n == 1:
        return 1
p = fact(n-1)
    return n * p
```

```
fact(n=4)
 if n == 1:
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   if n == 1:
    fact(n=2)
      if n == 1:
      fact(n=1)
```

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if n == 1:
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 if n == 1:
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fact(n=4)
 if n == 1:
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   if n == 1:
    fact(n=2)
      if n == 1:
      fact(n=1)
        if n == 1:
          return 1
```

```
def fact(n):
    if n == 1:
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return n * p
```

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
     if n == 1:
      fact(n=1)
       if n == 1:
         return 1
     p = 1
```

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
     if n == 1:
      fact(n=1)
       if n == 1:
         return 1
      p = 1
      return 2
```

```
def fact(n):
    if n == 1:
        return 1
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return n * p
```

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
      if n == 1:
      fact(n=1)
       if n == 1:
         return 1
      p = 1
      return 2
   p = 2 4
```

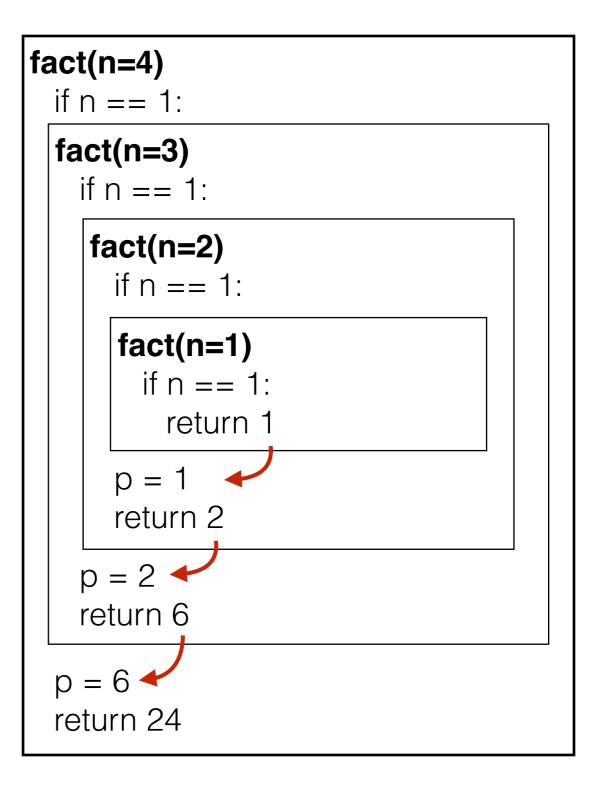
```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
     if n == 1:
      fact(n=1)
       if n == 1:
         return 1
      p = 1
      return 2
   p = 2 
   return 6
```

```
def fact(n):
    if n == 1:
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p = fact(n-1)
return n * p
```

```
fact(n=4)
 if n == 1:
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   if n == 1:
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     if n == 1:
      fact(n=1)
       if n == 1:
         return 1
     p = 1
     return 2
   p = 2 4
   return 6
 p = 6
```

```
def fact(n):
    if n == 1:
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    return n * p
```



```
def fact(n):
    if n == 1:
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    p = fact(n-1)
    return n * p
```

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
     if n == 1:
      fact(n=1)
       if n == 1:
         return 1
      p = 1
      return 2
   p = 2
   return 6
 p = 6
 return 24
```

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

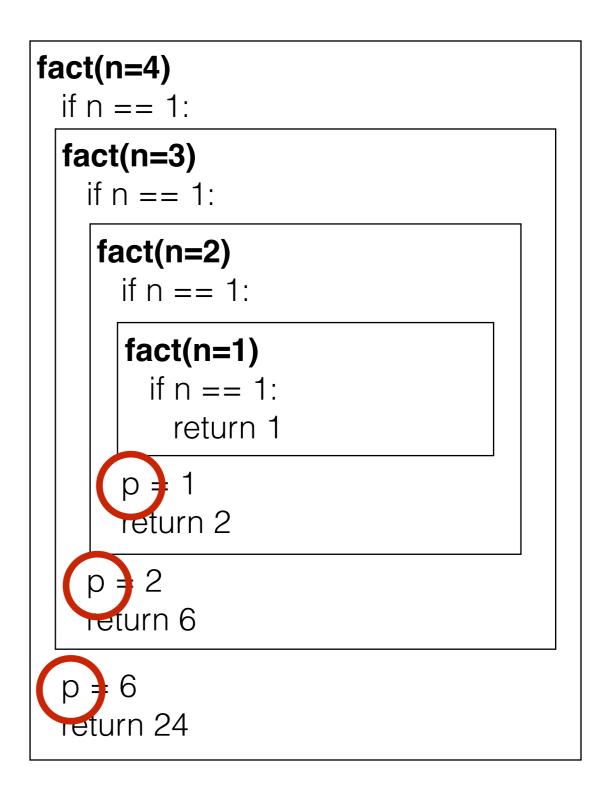
How does Python keep all the P variables separate?

```
fact(n=4)
 if n == 1:
 fact(n=3)
   if n == 1:
    fact(n=2)
      if n == 1:
      fact(n=1)
        if n == 1:
          return 1
      return 2
   return 6
  p \} 6
  return 24
```

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

How does Python keep all the P variables separate?

frames to the rescue!



In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

Variables for an invocation exist in a *frame* 

frame: variables

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Variables for an invocation exist in a *frame* 

the frames are stored in something called the runtime stack



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Variables for an invocation exist in a *frame* 

- the frames are stored in something called the runtime stack
- one invocation is active at a time: its frame is on the top of stack



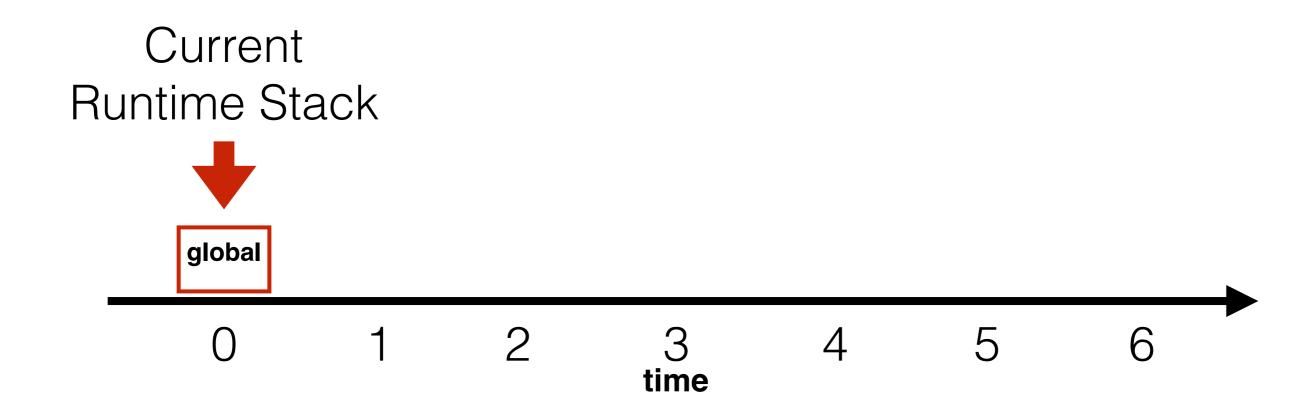
In recursion, each function invocation has its **own state**, but multiple invocations **share code**.

Variables for an invocation exist in a *frame* 

- the frames are stored in something called the runtime stack
- one invocation is active at a time: its frame is on the top of stack
- if a function calls itself, there will be multiple frames at the same time for the multiple invocations of the same function

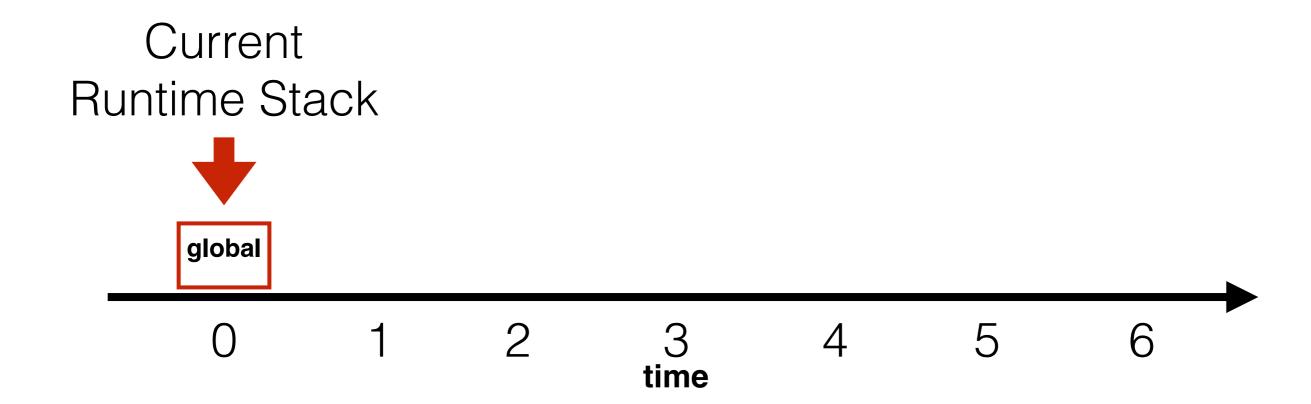


```
def fact(n):
    if n == 1:
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    return n * p
```

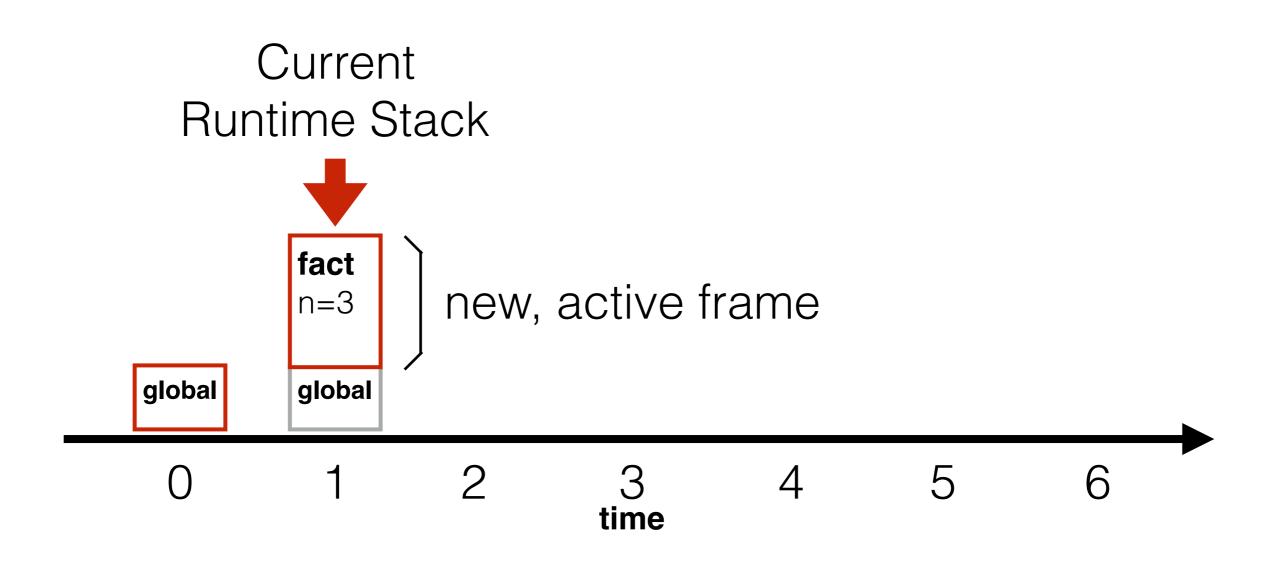


```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

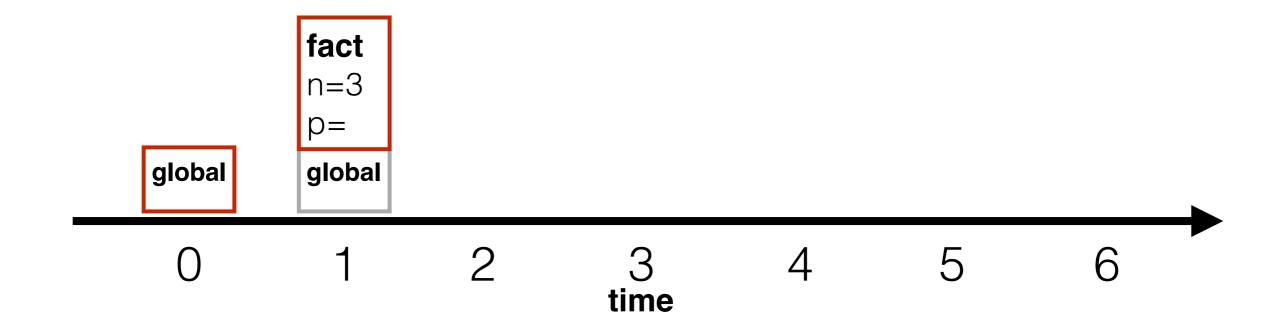
call fact(3)



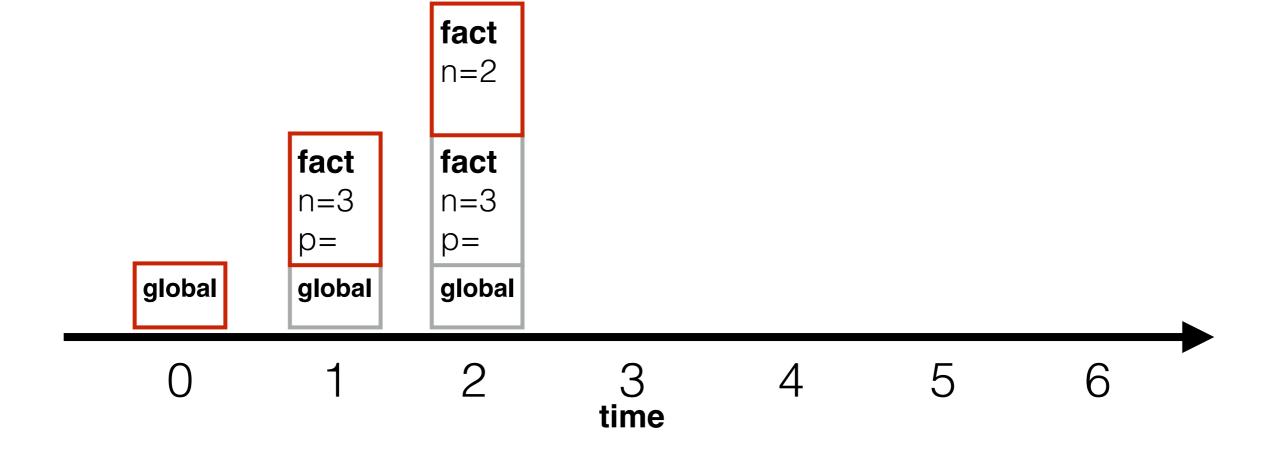
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def fact(n):
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    return n * p
```



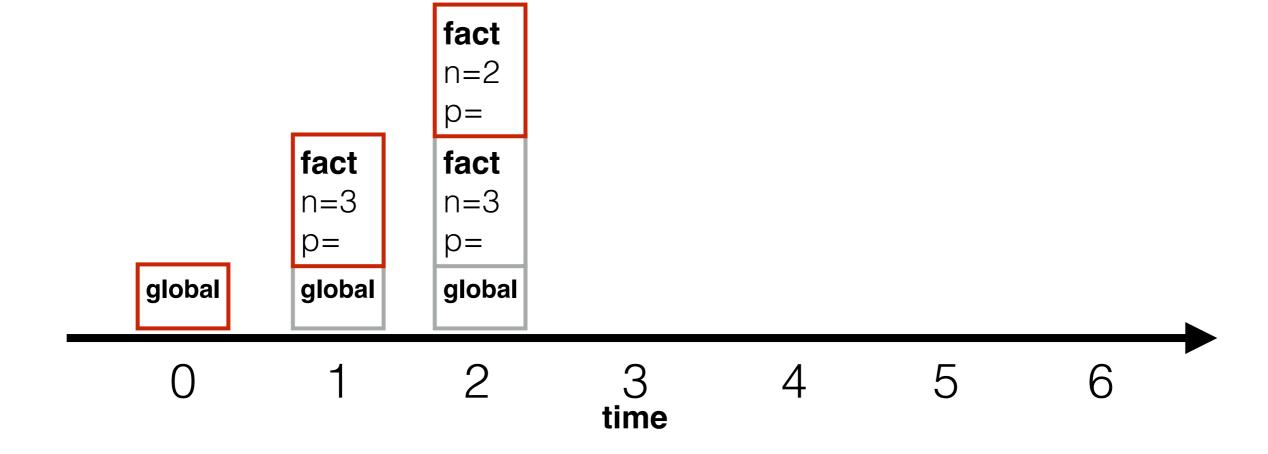
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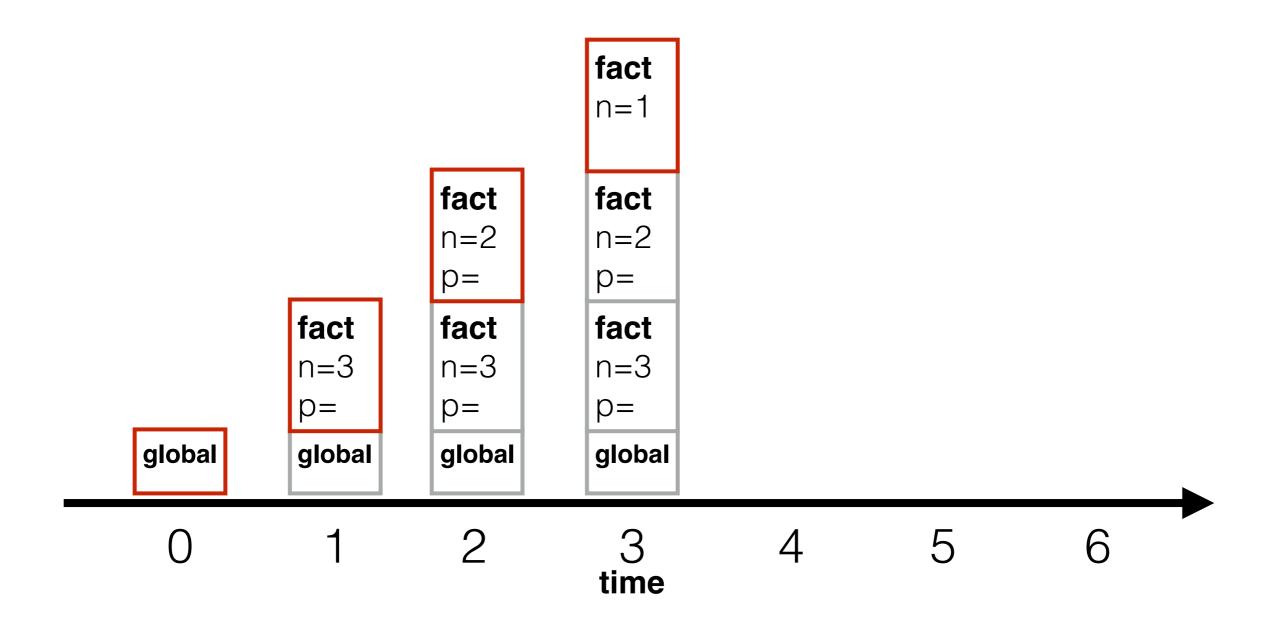
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def fact(n):
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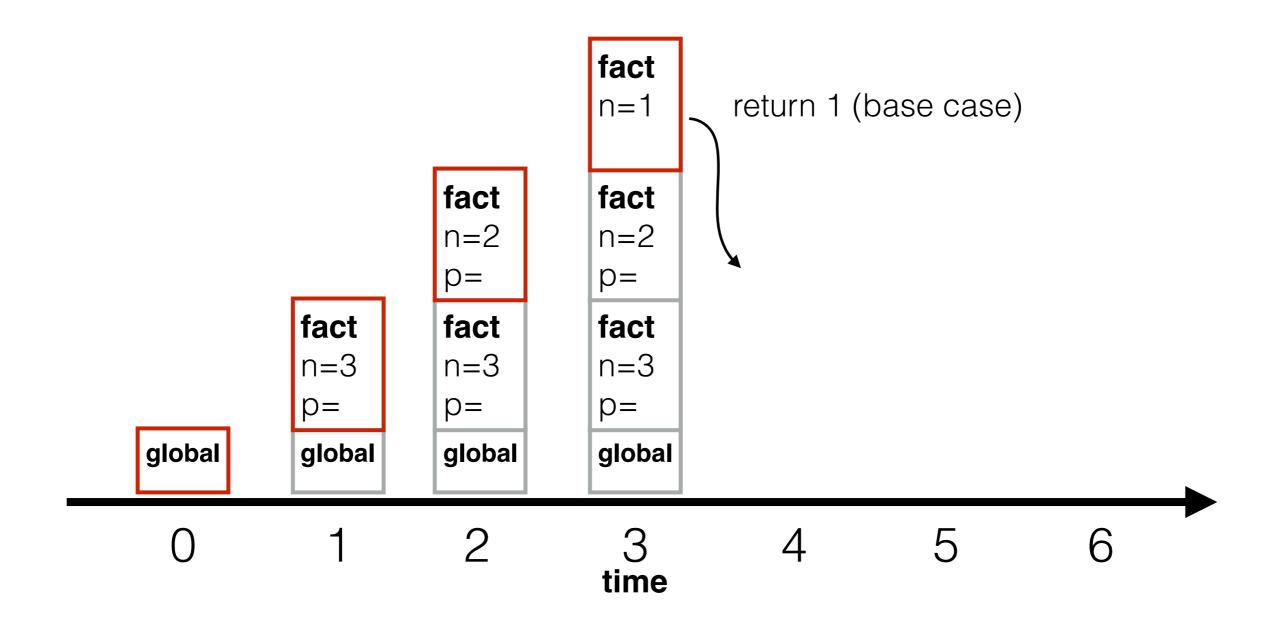
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return n * p
```



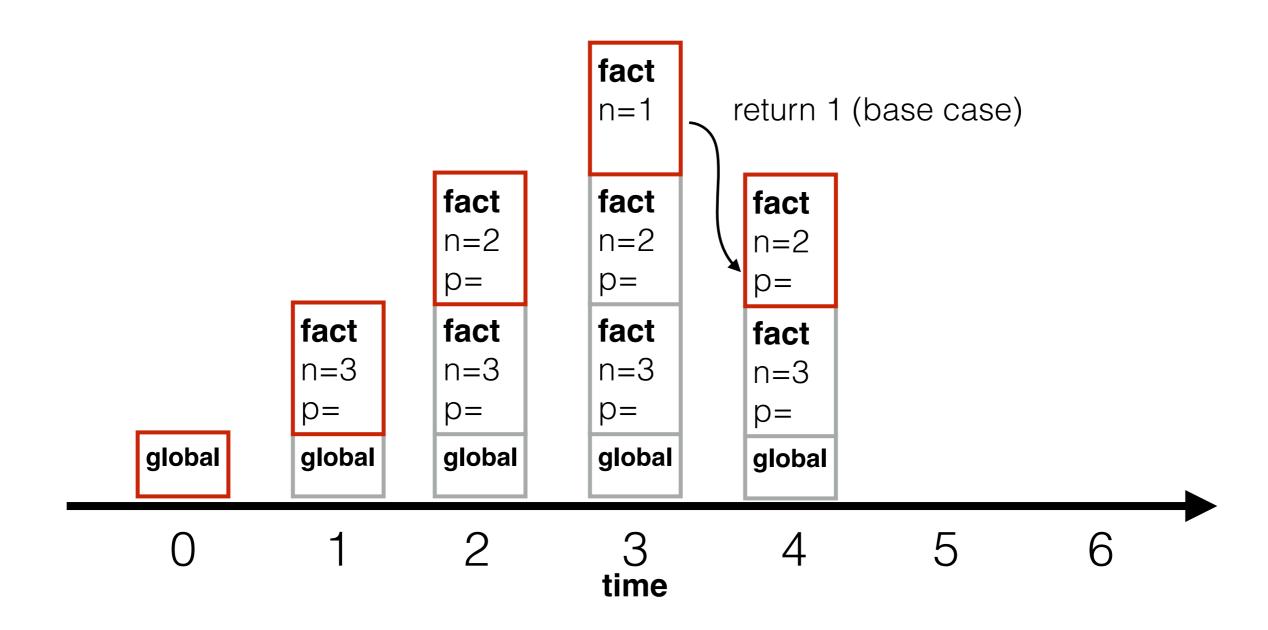
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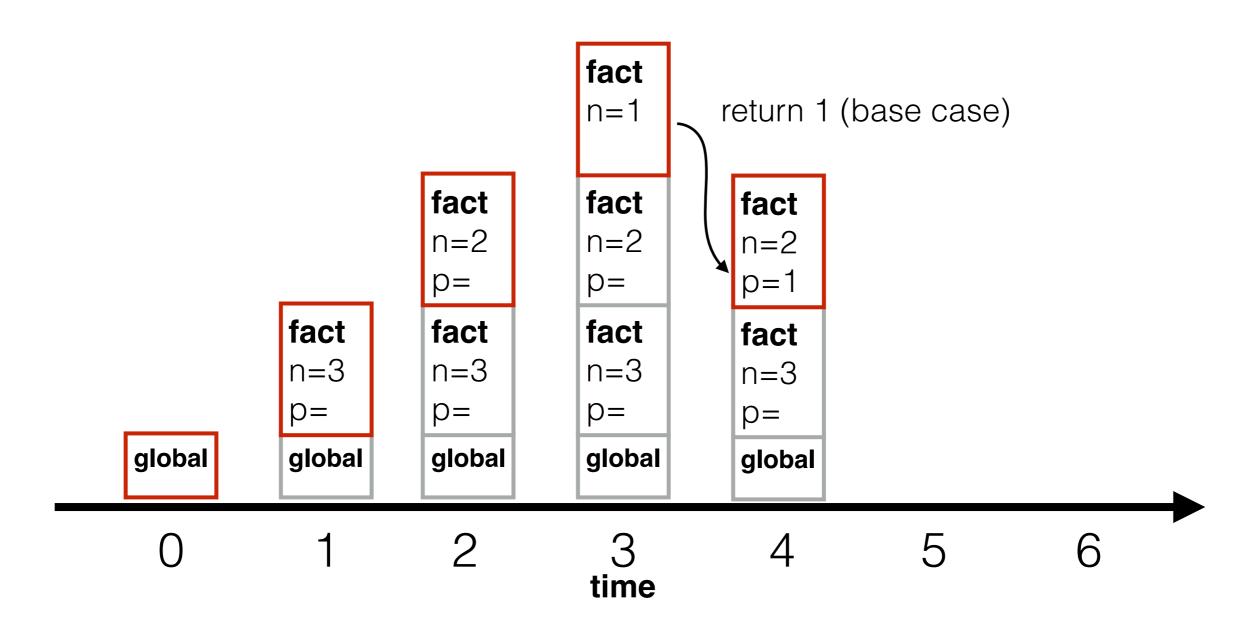
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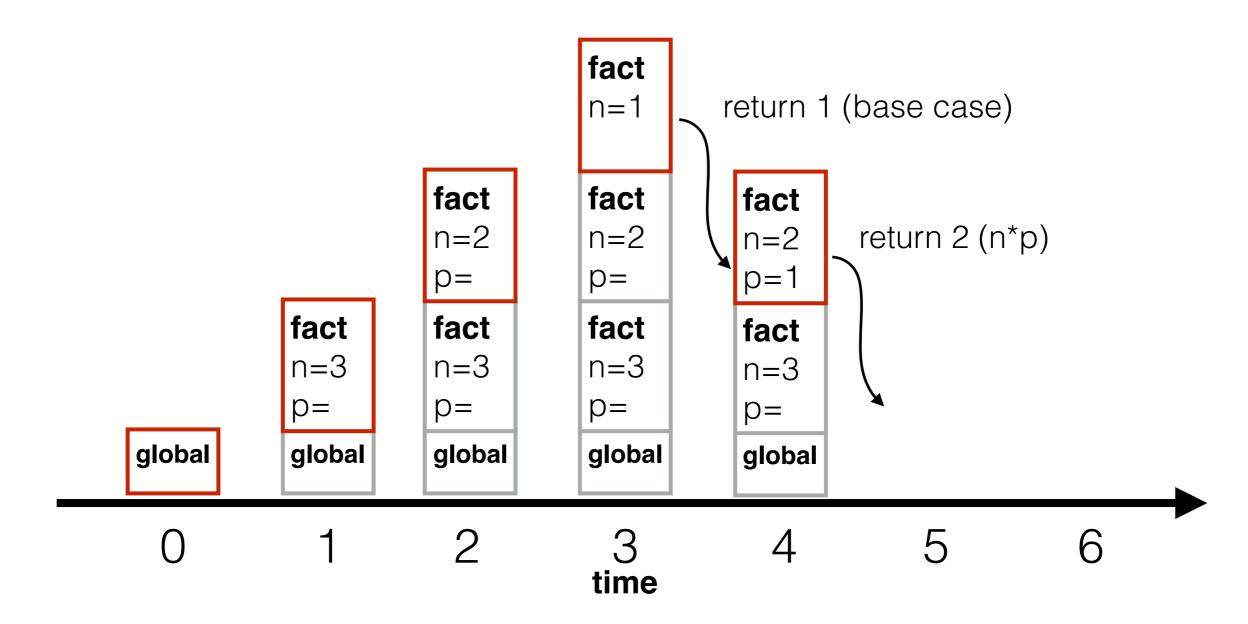
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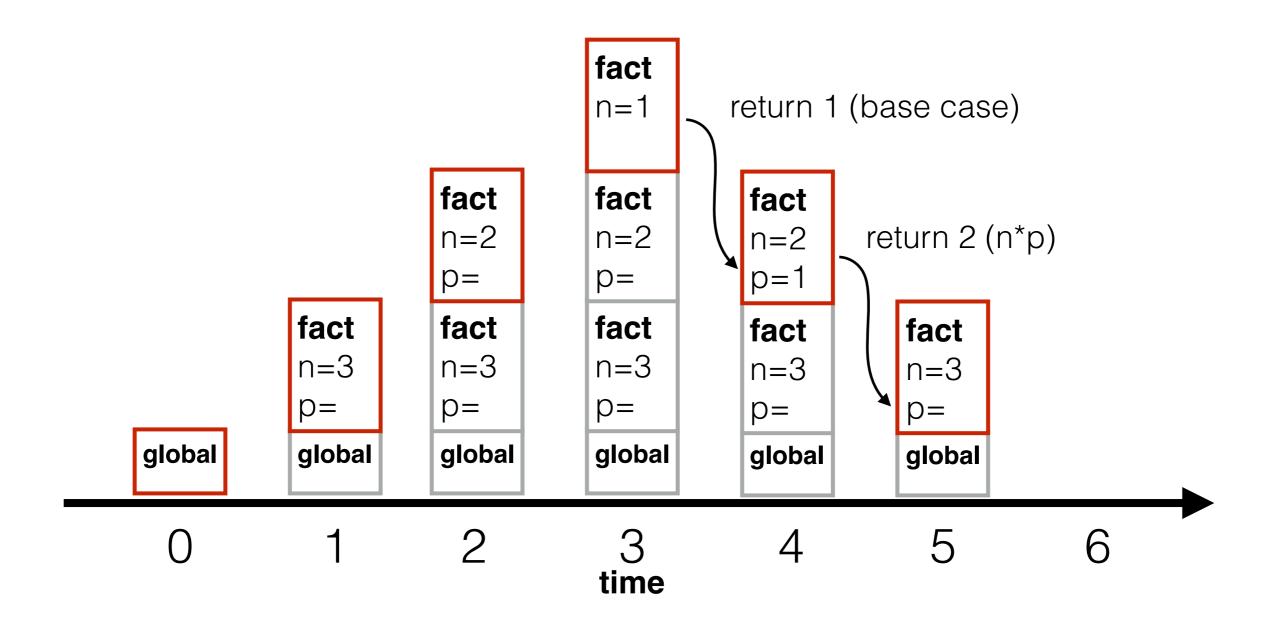
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    return n * p
```



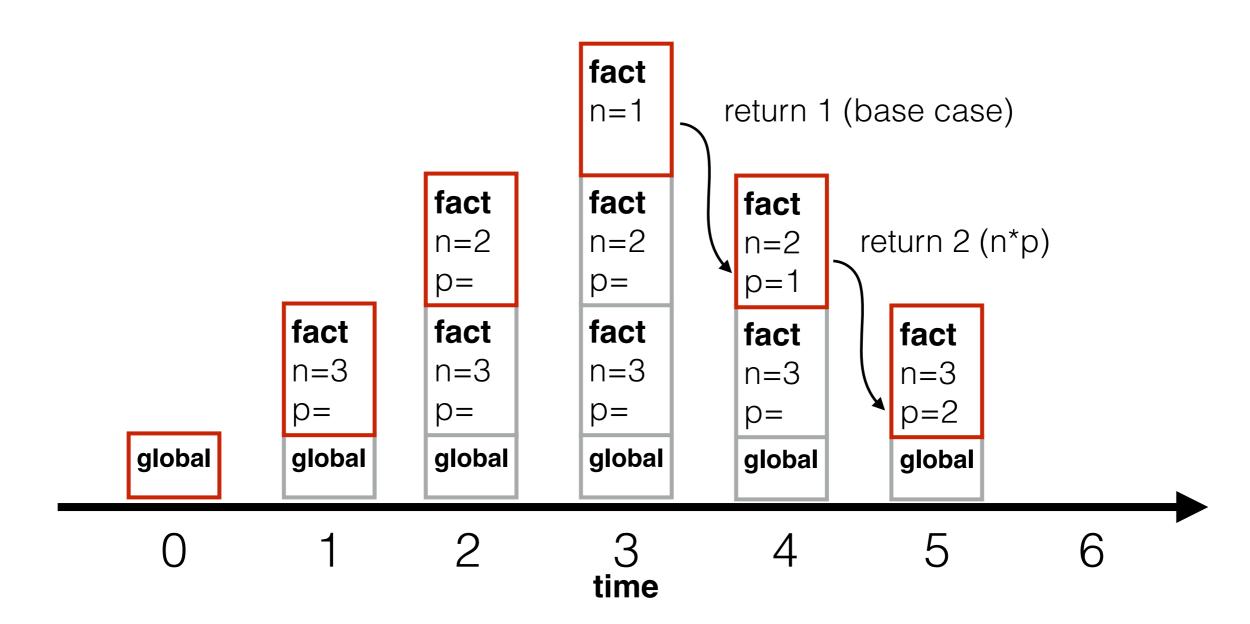
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    return n * p
```



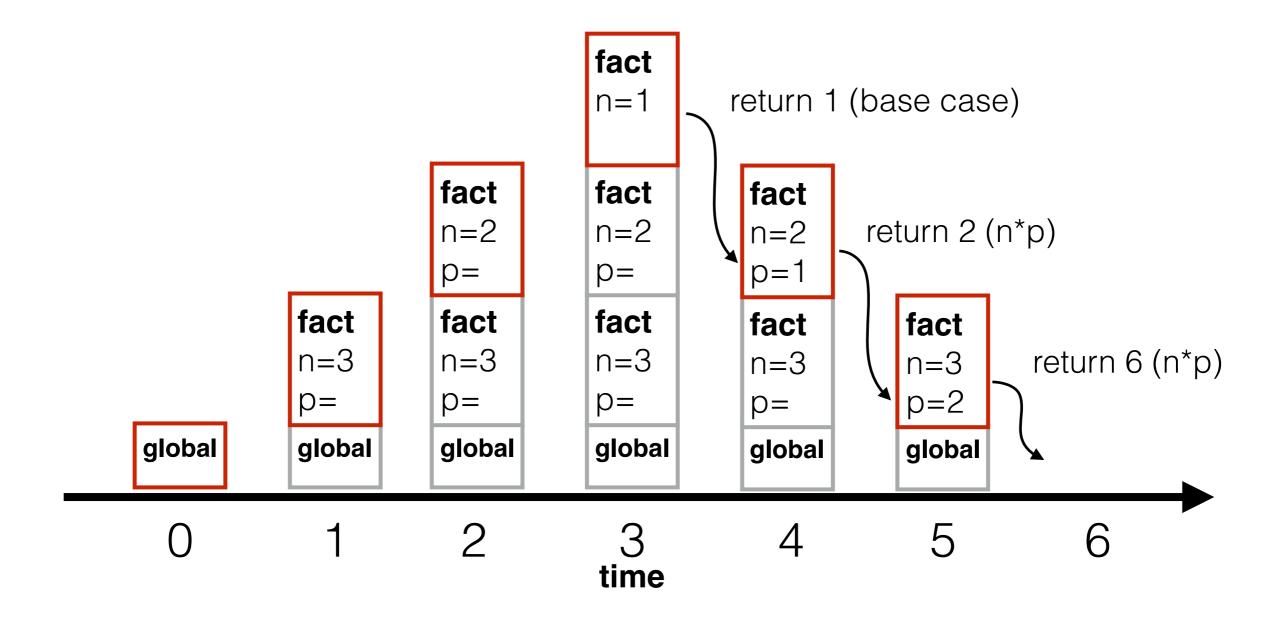
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```



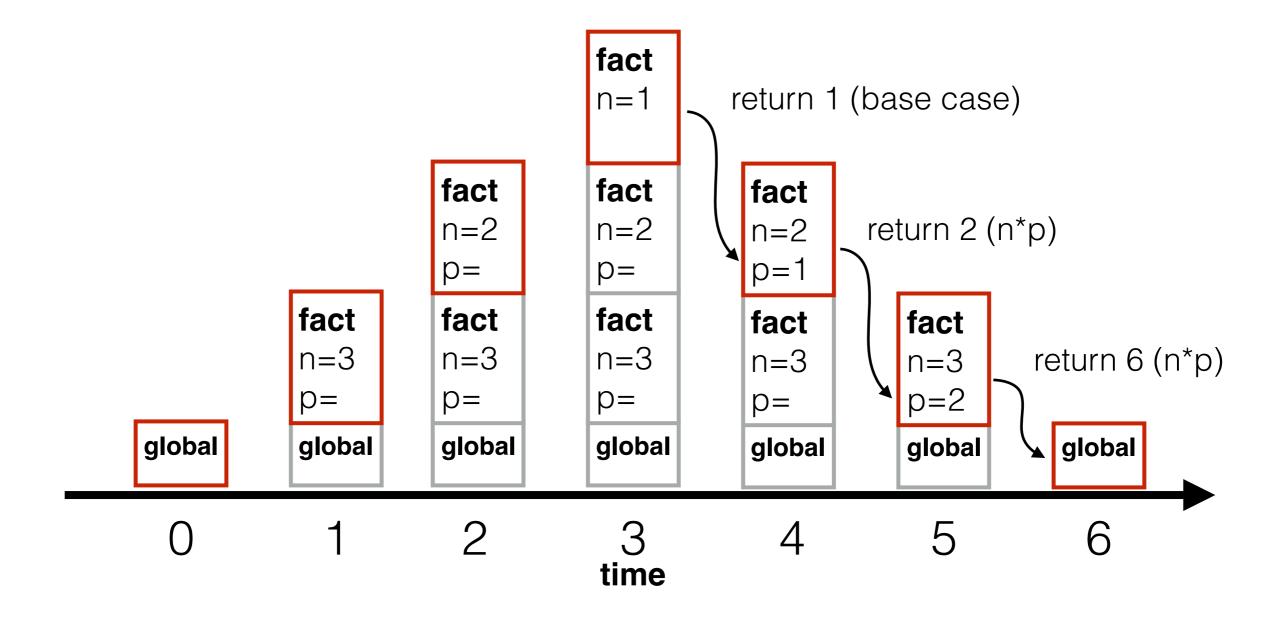
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def fact(n):
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    return n * p
```



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def fact(n):
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    return n * p
```



```
def fact(n):
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        return 1
    p = fact(n-1)
    return n * p
```



What happens if:

•

lacktriangle

```
def fact(n):
    if n == 1:
        return 1
    p = fact(n-1)
    return n * p
```

### What happens if:

• we forgot the "n == 1" check?

•

### What happens if:

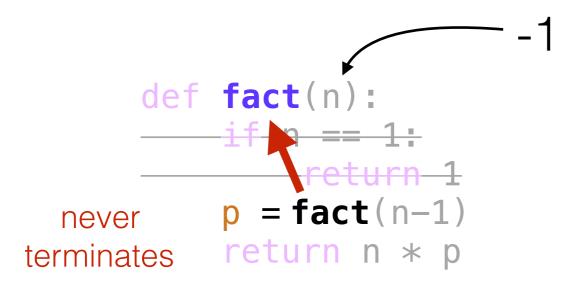
- we forgot the "n == 1" check?
- factorial is called with a negative number?

### What happens if:

- we forgot the "n == 1" check?
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- we forgot the "n == 1" check?
- factorial is called with a negative number?



fact

n=2

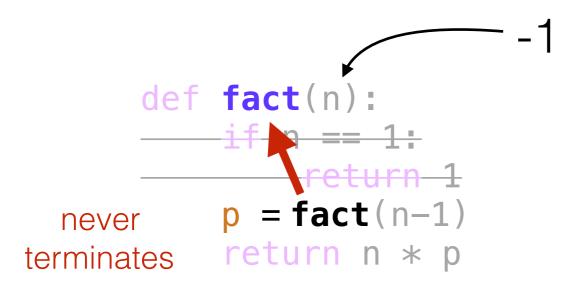
fact

n=3

global

### What happens if:

- we forgot the "n == 1" check?
- factorial is called with a negative number?



### fact

n = -1

#### fact

n=0

#### fact

n=1

#### fact

n=2

#### fact

n=3

global

### What happens if:

- we forgot the "n == 1" check?
- factorial is called with a negative number?

• • •

fact

n=-2

fact

n = -1

fact

n=0

fact

n=1

fact

n=2

fact

n=3

global

# Coding Demos

# Demo 1: Pretty Print

### Goal: format nested lists of bullet points

### Input:

The recursive lists

### **Output:**

Appropriately-tabbed items

### **Example**:

## **Demo 2: Recursive List Search**

Goal: does a given number exist in a recursive structure?

### Input:

- A number
- A list of numbers and lists (which contain other numbers and lists)

### **Output:**

• True if there's a list containing the number, else False

### **Example:**

```
>>> contains(3, [1,2,[4,[[3],[8,9]],5,6]])
True
>>> contains(12, [1,2,[4,[[3],[8,9]],5,6]])
False
```

Conclusion: Review Learning Objectives

## Learning Objectives: Recursive Information

#### What is a recursive definition/structure?

- Definition contains term
- Structure refers to others of same type
- Example: a dictionary contains dictionaries (which may contain...)



base case

## Learning Objectives: Recursive Code

#### What is recursive code?

Function that sometimes itself (maybe indirectly)

### Why write recursive code?

Real-world data/structures are recursive; intuitive for code to reflect data

### Where do computers keep local variables for recursive calls?

- In a section of memory called a "frame"
- Only one function is **active** at a time, so keep frames in a stack

### What happens to programs with infinite recursion?

- Calls keep pushing more frames
- Exhaust memory, throw StackOverflowError

## Questions?

