

Cryptographic Engineering

L04: Physical fault analysis attacks + countermeasures

Prof. Nele Mentens

February 26, 2024

```

each: function(e, t, n) {
  var r, i = 0;
  o = e.length;
  a = M(e);
  if (n) {
    if (a) {
      for (; o > i; i++)
        if (r = t.apply(e[i], n), r === !1) break
    } else
      for (i in e)
        if (r = t.apply(e[i], n), r === !1) break
    } else if (a) {
      for (; o > i; i++)
        if (r = t.call(e[i], i, e[i]), r === !1) break
    } else
      for (i in e)
        if (r = t.call(e[i], i, e[i]), r === !1) break
  }
}

```

Physical fault analysis attacks

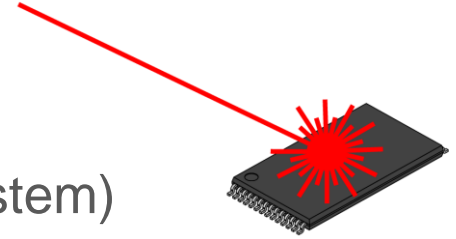
```

return null == e ? "" : b.call(e)
} : function(e) {
  return null == e ? "" : (e + "").replace(C, "")
},
makeArray: function(e, t) {
  var n = t || [];
  return null != e && (M(Object(e)) ? x.merge(n, "string" == typeof e ? [e] : e) : h.call(n, e), n
},
isArray: function(e, t, n) {
  var r;
  if (t) {
    if (n) return m.call(t, e, n);
    for (r = t.length, n = n ? 0 > n ? Math.max(0, r + n) : n : 0; r > n; n++)
      if (n in t && t[n] === e) return n
  }
}

```

Fault analysis vs. side-channel analysis

- Goal: introduce a computational fault to expose secrets or to enforce access rights
- Fault analysis attack
= active (**introduces changes** to the running system)
- Side-channel analysis attack
= passive (**observes** the running system)



(source: Ruhr
university Bochum)



(source: wikiHow)

Simple example

Example: introduce a computational fault that forces the approval of the wrong PIN code

```
for (i = 0; i < 4; i++)  
{  
    if (pin[i] == input[i])  
        ok_digits++;  
}
```

```
if (ok_digits == 4)
```

```
    respond_code(NO_ERROR);
```

```
else
```

```
    respond_code(ERROR);
```

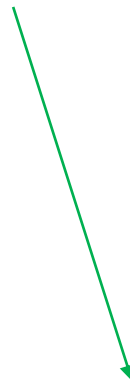
always execute this branch

Fault analysis

Goal: introduce a computational fault to expose secrets or to enforce access rights



Fault injection

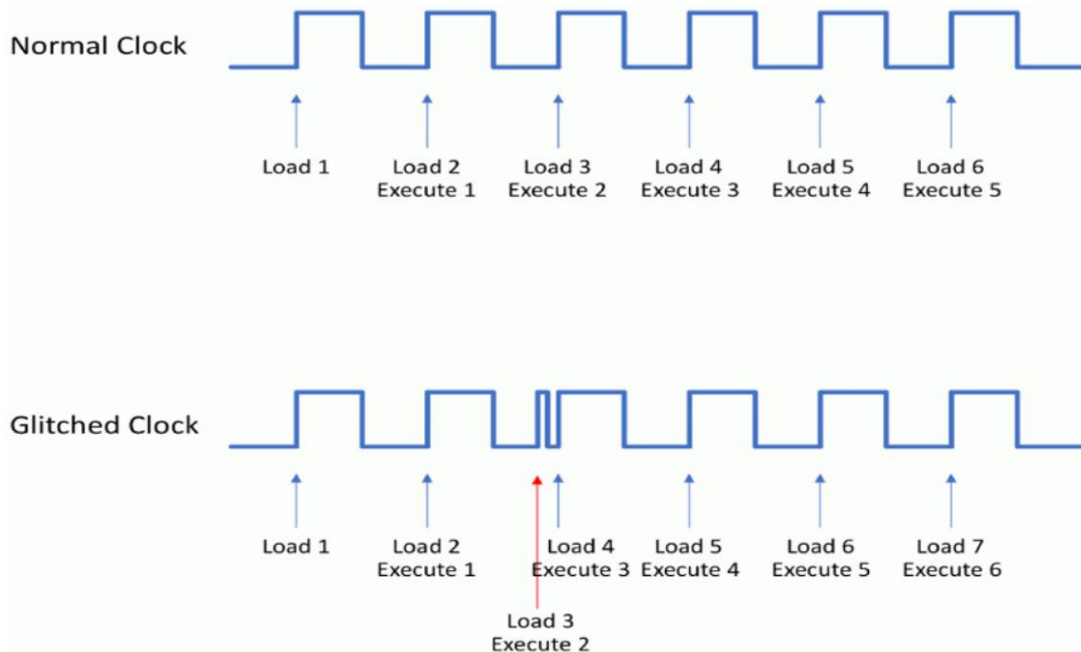


Fault exploitation

Fault injection - How to introduce a fault?

Overclocking: increase the clock frequency of the device such that one or more operations will not be successfully executed

- Each instruction needs a certain time to complete, regulated by the clock
- When the clock period decreases, the instruction does not finish in time

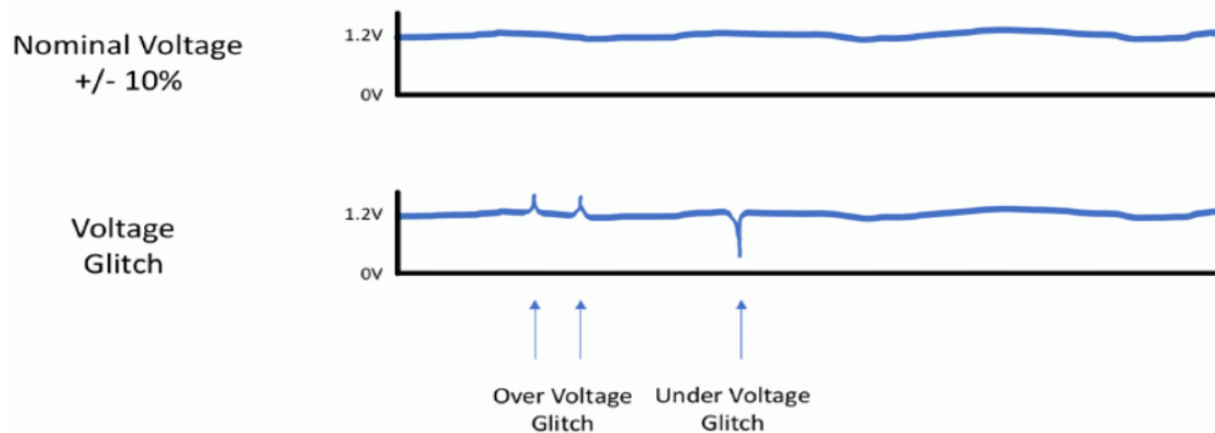


(source: eeweb)

Fault injection - How to introduce a fault?

Underpowering: reduce the power supply voltage of the device such that one or more operations will not be successfully executed

- Lowering the supply voltage will make the system slower
- Instructions running on a lower supply voltage do not complete within one clock period



(source: eeweb)

Fault injection - How to introduce a fault?

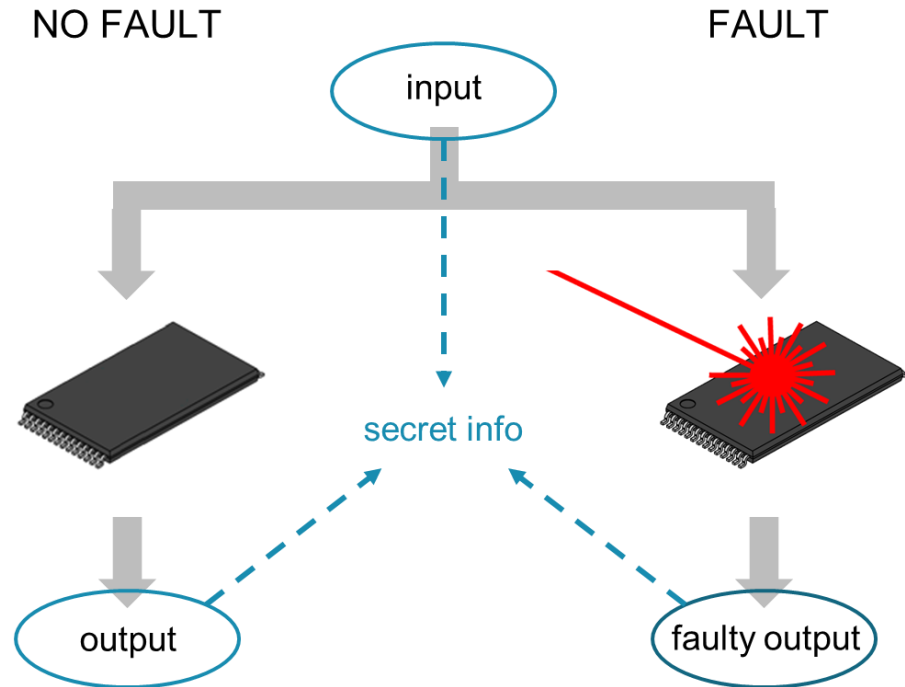
Optical fault injection: use a laser to make transistors switch

- The photons in the laser ionize the charge carriers in the semiconductor

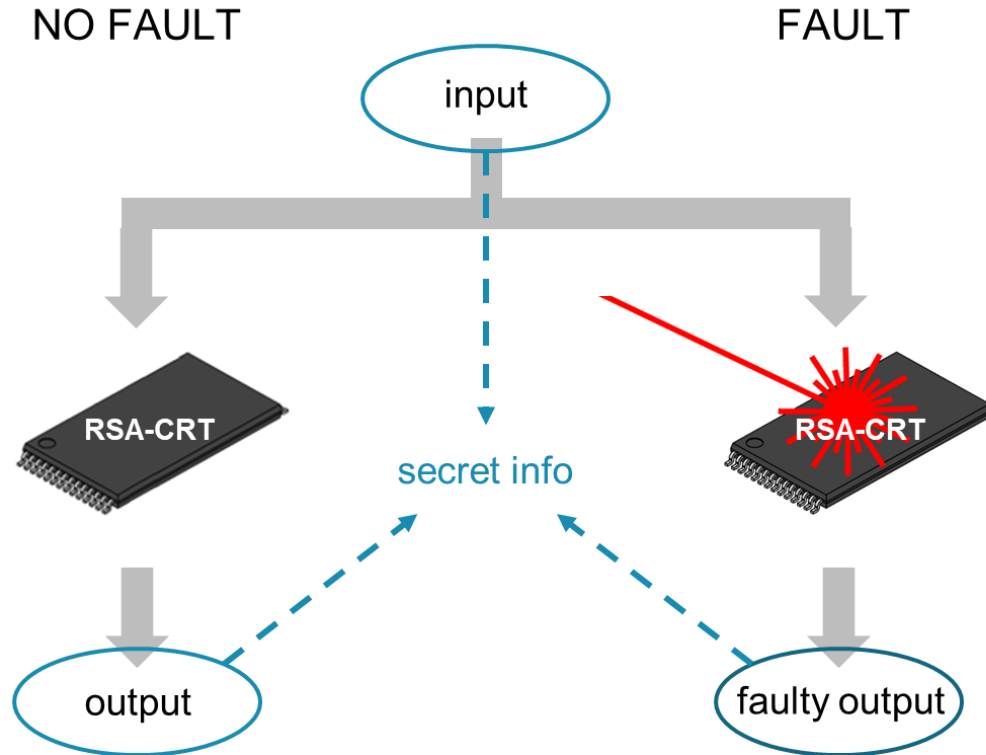
Electromagnetic fault injection: use electromagnetic pulses to make transistors switch

- An electromagnetic pulse generates an eddy current that makes a transistor switch

Fault exploitation – How to extract secret info from cryptographic algorithms?



Example: Bellcore attack on RSA with CRT



RSA with CRT (Chinese Remainder Theorem)

RSA signatures:

- Choose two different large primes (p and q) and compute $n = p \cdot q$
- Compute $\lambda(n)$
 - $= \text{lcm}(p-1, q-1)$
 - $= (p-1) \cdot (q-1) / \text{gcd}(p-1, q-1)$
 - (lcm = least common multiple)
 - (gcd = greatest common divider)
- Choose $e < \lambda(n)$ and relatively prime to $\lambda(n)$
- Calculate $d = e^{-1} \bmod \lambda(n)$

Public key = (e,n)

Private key = (p,q,d)

RSA with CRT (Chinese Remainder Theorem)

RSA signatures:

- Choose two different large primes (p and q) and compute $n = p \cdot q$
- Compute $\lambda(n)$
 - $= \text{lcm}(p-1, q-1)$
 - $= (p-1) \cdot (q-1) / \text{gcd}(p-1, q-1)$
 - (lcm = least common multiple)
 - (gcd = greatest common divider)
- Choose $e < \lambda(n)$ and relatively prime to $\lambda(n)$
- Calculate $d = e^{-1} \bmod \lambda(n)$

Public key = (e,n)

Private key = (p,q,d)

Signature generation without CRT: $s = m^d \bmod n$

RSA with CRT (Chinese Remainder Theorem)

RSA signatures:

- Choose two different large primes (p and q) and compute $n = p \cdot q$
- Compute $\lambda(n)$
 $= \text{lcm}(p-1, q-1)$
 $= (p-1) \cdot (q-1) / \text{gcd}(p-1, q-1)$
(lcm = least common multiple)
(gcd = greatest common divider)
- Choose $e < \lambda(n)$ and relatively prime to $\lambda(n)$
- Calculate $d = e^{-1} \bmod \lambda(n)$

Public key = (e,n)

Private key = (p,q,d)

Signature generation without CRT: $s = m^d \bmod n$

Signing with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $p_{\text{inv}} = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
 $s = (((sq - sp) \cdot p_{\text{inv}}) \bmod q) \cdot p + sp \bmod n$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Bellcore attack

- Insert fault in **one** of the partial signatures
- Compute the faulty signature \hat{s}
- Reveal p or q by computing $\gcd(s - \hat{s}, n)$

Signing with CRT:

- Pre-compute $d_p = d \bmod (p-1)$
- Pre-compute $d_q = d \bmod (q-1)$
- Pre-compute $\text{pinv} = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $s_p = m^{d_p} \bmod p$
- Compute second partial signature $s_q = m^{d_q} \bmod q$
- Compute signature
$$s = (((s_q - s_p) * \text{pinv}) \bmod q) * p + s_p \bmod n$$



Example Bellcore attack – correct signature

RSA signatures:

- Choose two different large primes (p and q) and compute $n = p \cdot q$
- Compute $\lambda(n)$
 $= \text{lcm}(p-1, q-1)$
 $= (p-1) \cdot (q-1) / \text{gcd}(p-1, q-1)$
(lcm = least common multiple)
(gcd = greatest common divider)
- Choose $e < \lambda(n)$ and relatively prime to $\lambda(n)$
- Calculate $d = e^{-1} \bmod \lambda(n)$

Public key = (e,n)

Private key = (p,q,d)

$$p = 19$$

$$q = 23$$

$$\lambda(n) = 18 \cdot 22 / \text{gcd}(18, 22) = 18 \cdot 22 / 2 = 198$$

$$e = 13$$

$$\begin{aligned} d &= 13^{-1} \bmod 198 \\ &= 61 \end{aligned}$$

(because
 $61 \cdot 13 \bmod 198 = 1$)

Extended Euclidean algorithm

$$198 = 15 \cdot 13 + 3$$

$$13 = 4 \cdot 3 + 1$$

$$1 = 13 - 4 \cdot 3$$

$$1 = 13 - 4 \cdot (198 - 15 \cdot 13)$$

$$1 = 61 \cdot 13 - 4 \cdot 198$$

Example Bellcore attack – correct signature

From previous slide:

$p = 19, q = 23, e = 13, d = 61$

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$\text{pinv} = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$23 = 1 \cdot 19 + 4$$

$$19 = 4 \cdot 4 + 3$$

$$4 = 1 \cdot 3 + 1$$

$$1 = 4 - 1 \cdot 3$$

$$1 = 4 - 1 \cdot (19 - 4 \cdot 4)$$

$$1 = 5 \cdot 4 - 1 \cdot 19$$

$$1 = 5 \cdot (23 - 1 \cdot 19) - 1 \cdot 19$$

$$1 = 5 \cdot 23 - 6 \cdot 19$$

(because
 $-6 \cdot 19 \bmod 23 = 1$)

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $\text{pinv} = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq - sp) \cdot \text{pinv}) \bmod q) \cdot p + sp \bmod n$$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Example Bellcore attack – correct signature

From previous slide:

$$p = 19, q = 23, e = 13, d = 61$$

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$\text{pinv} = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$\text{E.g. } m = 123 \rightarrow sp = 123^7 \bmod 19 = 4$$

$$sq = 123^{17} \bmod 23 = 13$$

$$\begin{aligned} 123^7 \bmod 19 &= (123 \bmod 19)^7 \bmod 19 \\ &= 9^7 \bmod 19 \\ &= (9^2 \bmod 19)^3 * 9 \bmod 19 \\ &= 5^3 * 9 \bmod 19 = \mathbf{4} \end{aligned}$$

$$\begin{aligned} 123^{17} \bmod 23 &= (123 \bmod 23)^{17} \bmod 23 \\ &= 8^{17} \bmod 23 \\ &= (8^2 \bmod 23)^2)^2 * 8 \bmod 23 \\ &= (18^2 \bmod 23)^2)^2 * 8 \bmod 23 = \mathbf{13} \end{aligned}$$

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $\text{pinv} = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq - sp) * \text{pinv}) \bmod q) * p + sp \bmod n$$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Example Bellcore attack – correct signature

From previous slide:

$$p = 19, q = 23, e = 13, d = 61$$

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$pinv = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$\text{E.g. } m = 123 \rightarrow sp = 123^7 \bmod 19 = 4$$

$$sq = 123^{17} \bmod 23 = 13$$

$$s = (((13-4)*17) \bmod 23)*19 + 4 \bmod 437 \\ = \mathbf{289}$$

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $pinv = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq-sp)*pinv) \bmod q)*p + sp \bmod n$$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Example Bellcore attack – faulty signature

Force one of the partial signatures to be wrong

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$pinv = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$\text{E.g. } m = 123 \rightarrow sp = 123^7 \bmod 19 = \mathbf{3}$$

$$sq = 123^{17} \bmod 23 = 13$$

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $pinv = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq - sp) * pinv) \bmod q) * p + sp \bmod n$$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Example Bellcore attack – faulty signature

Force one of the partial signatures to be wrong

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$pinv = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$\text{E.g. } m = 123 \rightarrow sp = 123^7 \bmod 19 = \mathbf{3}$$

$$sq = 123^{17} \bmod 23 = 13$$

$$\hat{s} = (((13-\mathbf{3}) \cdot 17) \bmod 23) \cdot 19 + \mathbf{3} \bmod 437 \\ = \mathbf{174}$$

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $pinv = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq-sp) \cdot pinv) \bmod q) \cdot p + sp \bmod n$$

Modular exponentiation with smaller modulus → cheaper computation in terms of energy, delay

Example Bellcore attack – faulty signature

Force one of the partial signatures to be wrong

$$dp = 61 \bmod 18 = 7$$

$$dq = 61 \bmod 22 = 17$$

$$pinv = 19^{-1} \bmod 23 = -6 \bmod 23 = 17$$

$$\text{E.g. } m = 123 \rightarrow sp = 123^7 \bmod 19 = \mathbf{3}$$

$$sq = 123^{17} \bmod 23 = 13$$

$$\begin{aligned}\hat{s} &= (((13-\mathbf{3}) \cdot 17) \bmod 23) \cdot 19 + \mathbf{3} \bmod 437 \\ &= \mathbf{174}\end{aligned}$$

$$\begin{aligned}\gcd(s-\hat{s}, n) &= \gcd(289-174, 437) \\ &= \gcd(115, 437) \\ &= 23 \rightarrow \mathbf{q \text{ revealed!!!}}\end{aligned}$$

Signature generation without CRT: $s = m^d \bmod n$

Signature generation with CRT:

- Pre-compute $dp = d \bmod (p-1)$
- Pre-compute $dq = d \bmod (q-1)$
- Pre-compute $pinv = p^{-1} \bmod q$ (with $p < q$)
- Compute first partial signature $sp = m^{dp} \bmod p$
- Compute second partial signature $sq = m^{dq} \bmod q$
- Compute signature
$$s = (((sq-sp) \cdot pinv) \bmod q) \cdot p + sp \bmod n$$

Modular exponentiation with smaller modulus \rightarrow cheaper computation in terms of energy, delay

Exercise RSA-CRT and the Bellcore attack

Assume $p = 5$, $q = 11$ and $e = 17$.

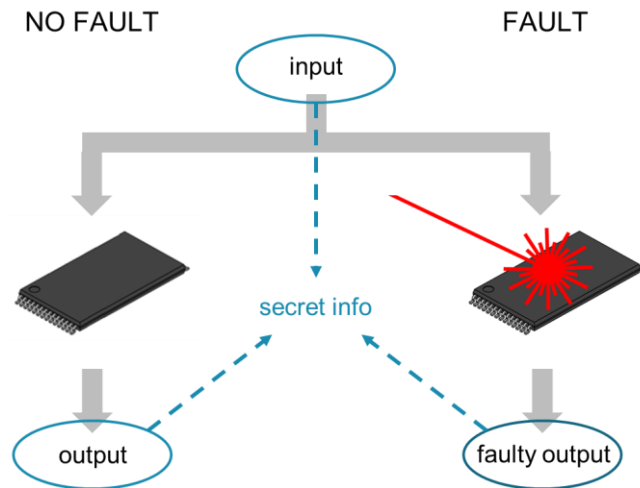
- Compute n and $\lambda(n)$.
- Compute d , the private key.
- Generate the digital signature of the message $m = 6$ using RSA-CRT.
- Introduce a random error in one of the partial signatures and show how the fault can reveal p or q .

Types of fault analysis attacks

Differential Fault Analysis (DFA):

- Execute the cryptographic algorithm twice, once without a fault and once with a fault
- Use the outputs of both computations and compute the difference
- Extract secret information from this difference
- Only one fault needed
- Example:
 - the Bellcore attack: see previous slides
 - DFA on AES: see

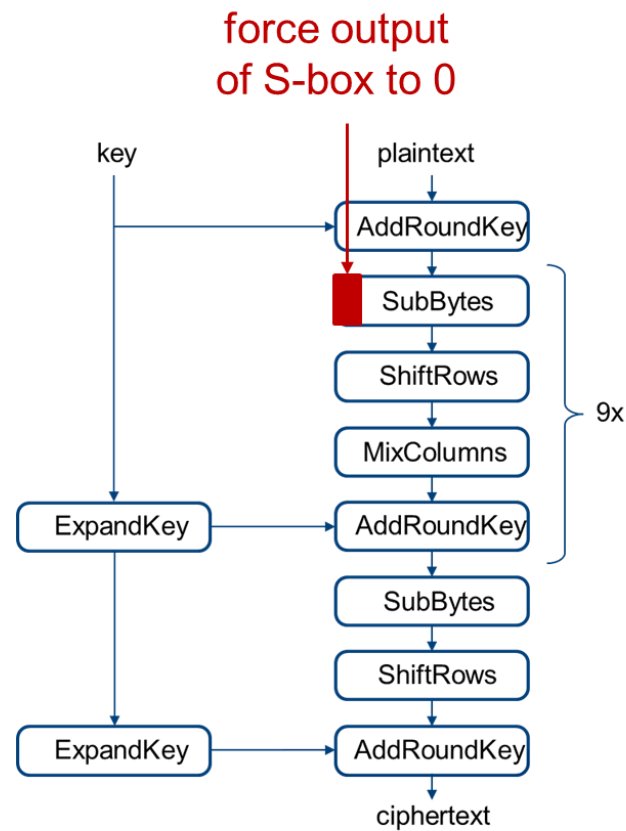
<https://www.youtube.com/watch?v=uA6YjCrPqxE>
from 14'11" to 34'27"



Types of fault analysis attacks

Collision Fault Analysis (CFA):

- Execute cryptographic algorithm once without fault, result is C
- Execute cryptographic algorithm many times with fault until a collision is found at the output, i.e. $C = \hat{C}$
- Extract the partial key by calculating for which key value the equality holds
- Example:
 - Force the output of one 8-bit AES S-box to 0
 - When a plaintext is found for which $C = \hat{C}$, try all possible partial keys ($2^8 = 256$ options) until the correct 8-bit partial key is found




```

each: function(e, t, n) {
  var r, i = 0,
      o = e.length,
      a = M(e);
  if (n) {
    if (a) {
      for (; o > i; i++)
        if (r = t.apply(e[i], n), r !== !1) break;
    } else
      for (i in e)
        if (r = t.apply(e[i], n), r !== !1) break;
  } else if (a) {
    for (; o > i; i++)
      if (r = t.call(e[i], i, e[i]), r !== !1) break;
  } else
    for (i in e)
      if (r = t.call(e[i], i, e[i]), r !== !1) break;
  return e;
},
trim: b && !b.call({valueOf: function() {
  return null == e ? "" : b.call(e)
}} : function(e) {
  return null == e ? "" : (e + "").replace(C, "")
}),
makeArray: function(e, t) {
  var n = t || [];
  return null != e && (M(Object(e)) ? x.merge(n, "string" == typeof e ? [e] : e) : h.call(n, e), n);
},
isArray: function(e, t, n) {
  var r;
  if (t) {
    if (n) return m.call(t, e, n);
    for (r = t.length, n = n ? 0 > n ? Math.max(0, r + n) : n : 0; r > n; n++)
      if (n in t && t[n] === e) return n;
  }
}

```

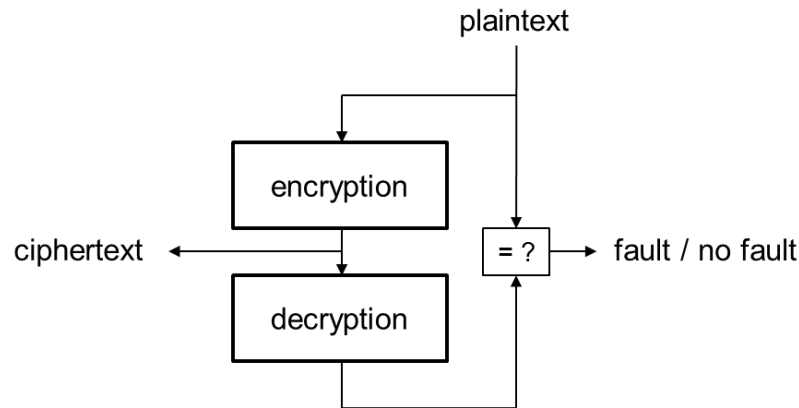
Countermeasures

Protection mechanisms against fault analysis

- Hide the sensitive operations to prevent targeted attacks
 - Temporal: hide the moment in time when an instruction is executed
 - Spatial: hide the location on the chip where certain functionality is implemented

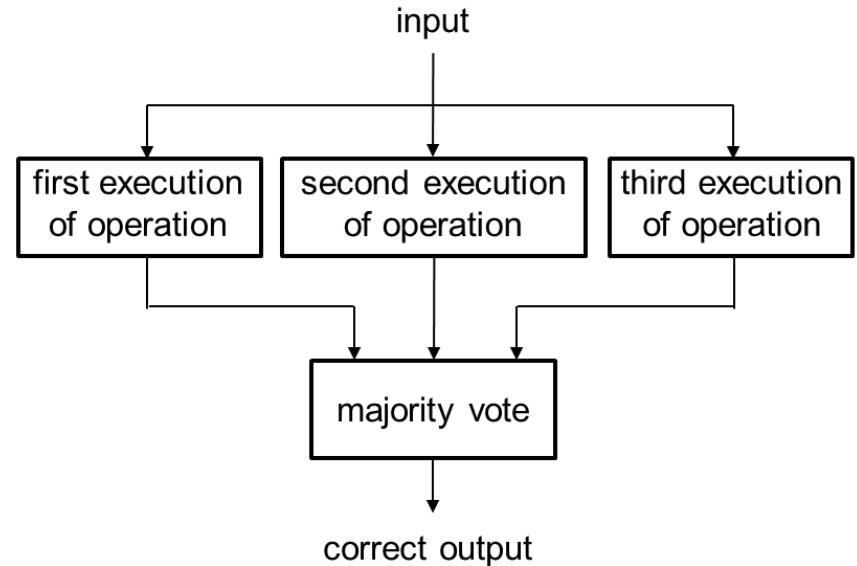
Protection mechanisms against fault analysis

- Detect the injection of a fault
 - Clock monitors
 - Supply voltage monitors
 - Execute each sensitive operation twice and check if the results are the same
 - Execute the inverse operation to check if there was a fault



Protection mechanisms against fault analysis

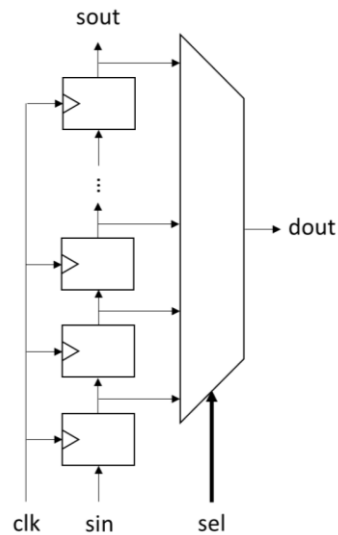
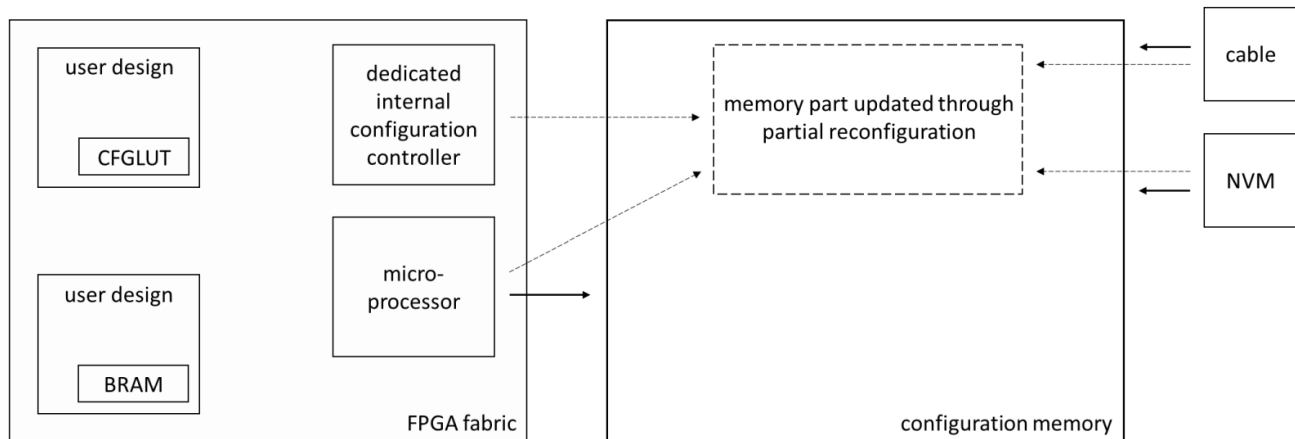
- Correct the fault
 - Triple Modular Redundancy (TMR) (in time or space)
 - Majority voting system to determine the correct output
 - Assuming it is very difficult to inject the same fault in all three executions of the same operation



Morphing hardware architectures to protect against physical attacks

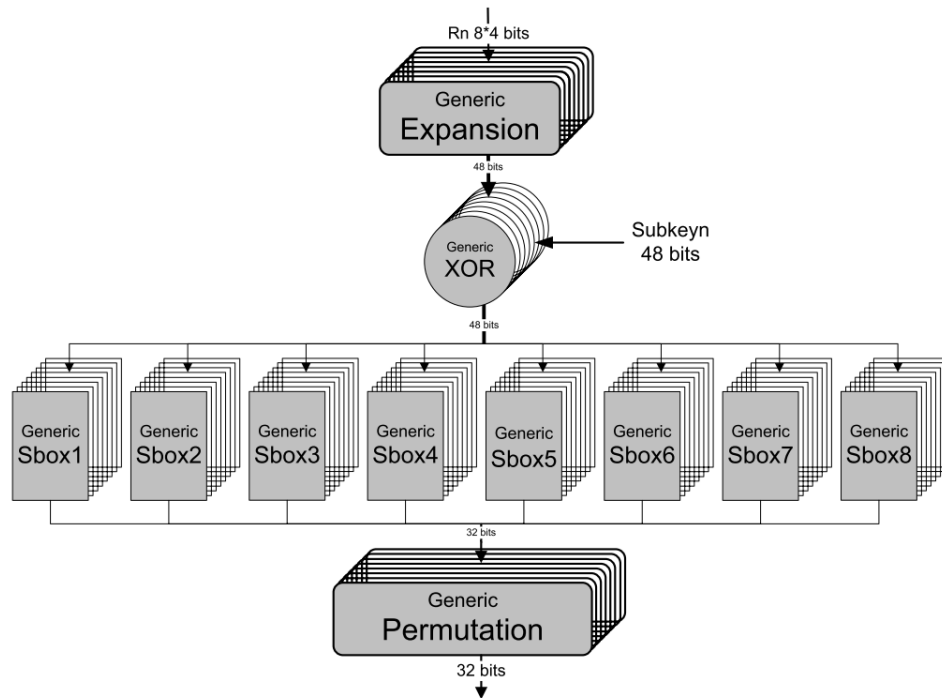
FPGA configuration mechanisms

- Different configuration methods:
 - Full configuration
 - Partial configuration
 - Configuration through CFGLUTs
 - Configuration through BRAM updates



Morphing hardware architecture 1

- Randomized utilization of flip-flops and datapath elements (Poucheret et al., VLSI-SoC 2010)
 - Protection against local EM attacks
 - Applied to DES



Morphing hardware architecture 2

- Random shuffling of sub-operations (Stöttinger et al., Dynamically Reconfigurable Systems, 2010)
 - Protection against DPA attacks
 - Applied to elliptic curve cryptography

Short Weierstrass curve: $y^2 = x^3 + a*x + b$

Modified Jacobian coordinates: $x = X/Z_2$; $y = Y/Z_3$; $T = a * Z^4$

$(X_3, Y_3, Z_3, T_3) = (X_1, Y_1, Z_1, T_1) + (X_2, Y_2, Z_2, T_2)$

$ZZ_1 = Z_1^2, ZZ_2 = Z_2^2$

$U_1 = X_1 * ZZ_2, U_2 = X_2 * ZZ_1$

$S_1 = Y_1 * Z_2 * ZZ_2, S_2 = Y_2 * Z_1 * ZZ_1$

$H = U_2 - U_1, I = (2 * H)^2$

$J = H * I, r = 2 * (S_2 - S_1)$

$V = U_1 * I, X_3 = r^2 - J - 2 * V$

$Y_3 = r * (V - X_3^3) - 2 * S_1 * J$

$Z_3 = ((Z_1 + Z_2)^2 - ZZ_1 - ZZ_2) * H$

$ZZ_3 = Z_3^2$

$T_3 = a * ZZ_3^2$

$(X_3, Y_3, Z_3, T_3) = [2](X_1, Y_1, Z_1, T_1)$

$XX = X_1^2$

$A = 2 * Y_1^2$

$AA = A^2$

$U = 2 * AA$

$S = (X_1 + A)^2 - XX - AA$

$M = 3 * XX + T_1$

$X_3 = M^2 - 2 * S$

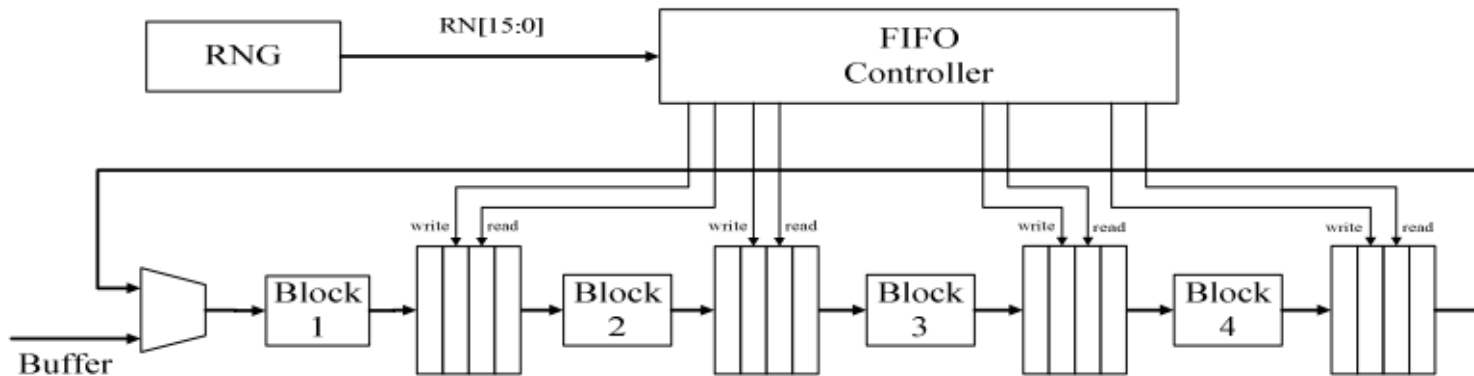
$Y_3 = M * (S - X_3) - U$

$Z_3 = 2 * Y_1 * Z_1$

$T_3 = 2 * U * T_1$

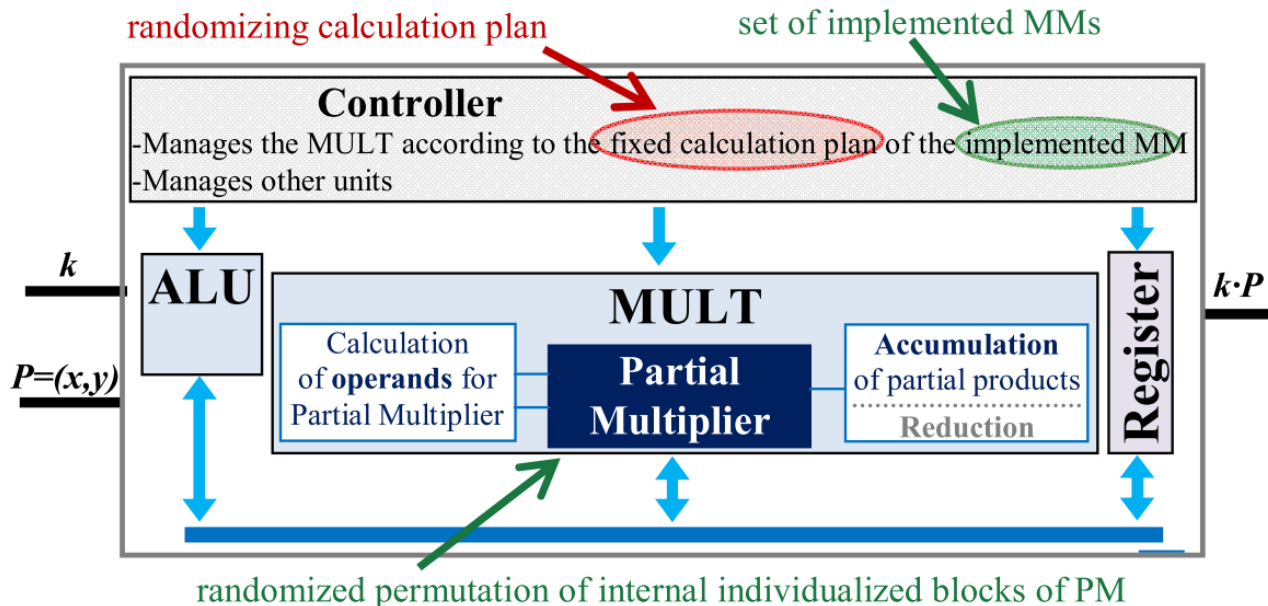
Morphing hardware architecture 3

- Insertion of random-delay FIFOs (Lin et al., IAS 2011)
 - Protection against power analysis attacks
 - Applied to AES



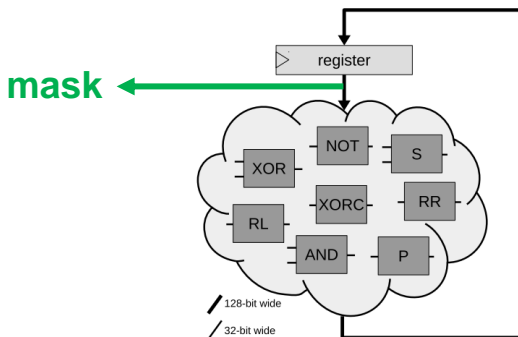
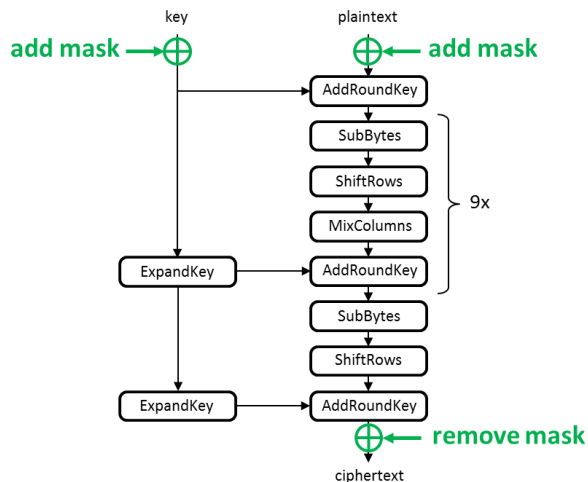
Morphing hardware architecture 4

- Random selection and execution of functional units (Dyka et al., Euromicro 2015)
 - Protection against power analysis attacks
 - Applied to elliptic curve cryptography



Morphing hardware architecture 5

- For masking we need high-throughput pseudorandom number generators
- These PRNGs are susceptible to modelling/prediction attacks



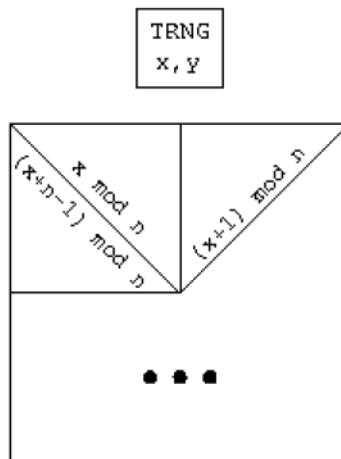
- Solution: Change the state update of a PRNG through run-time reconfiguration (Picek et al., CARDIS 2016)
- Generate new configurations that pass the NIST 800-22 statistical test suite through an evolutionary framework

Morphing hardware architecture 6

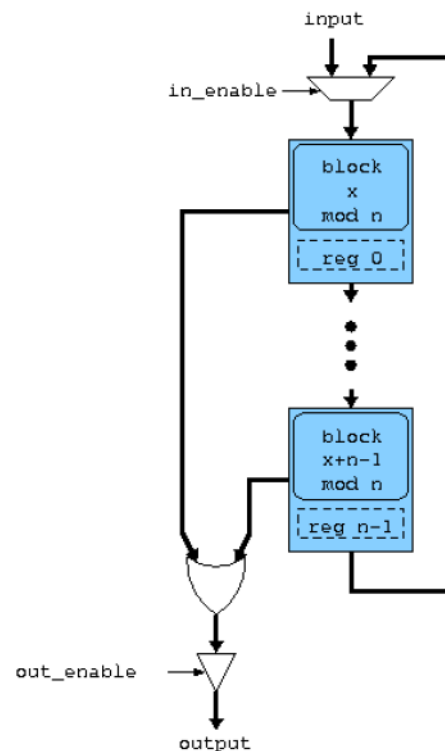
- Randomized location of pipeline registers and round functions (Mentens et al., CHES 2008)
 - Protection against power and fault analysis attacks
 - Applied to AES
 - Partial FPGA configuration

FLOORPLAN

x := position of the functional blocks
 y := presence of the registers
in between the functional blocks

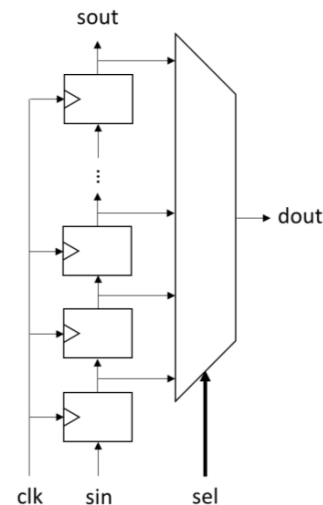


ARCHITECTURE



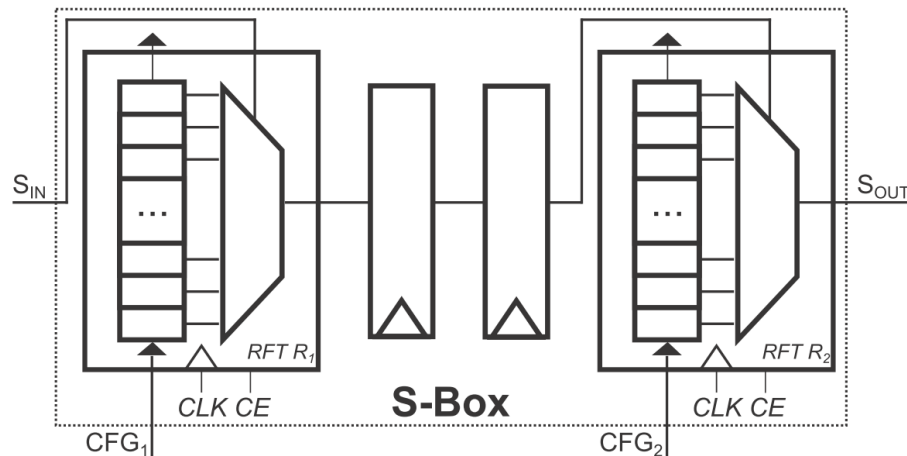
Morphing hardware architecture 7

- Addition of random power consumption (Güneysu et al., CHES 2011)
 - Protection against DPA attacks
 - Applied to AES
 - Using LUTs
 - Shift random values in shift-register LUTs
 - Create shortcuts in regular LUTs
 - Using Block RAM
 - Generate write collisions
 - Scramble the content for continuous remasking
 - Using on-chip digital clock managers (DCMs)
 - Create irregular clock cycle delays and phase shifts



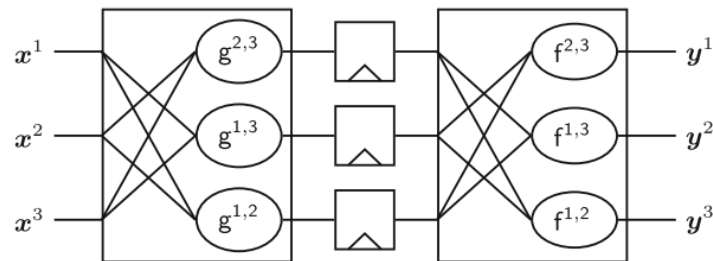
Morphing hardware architecture 8

- Randomized fine-grained configuration of LUTs, mask insertion and random register pre-loading (Sasdrich et al., HOST 2015)
 - Protection against DPA attacks
 - Applied to PRESENT
 - Using CFGLUTs



Morphing hardware architecture 9

- Random Substitution of Basic Elements and Random Encoding of Intermediate Connections (Sasdrich et al., CT-RSA 2017)
 - Protection against DPA attacks
 - Applied to a threshold implementation of PRESENT
 - Using BRAM updates



$$E'_K = \underbrace{(f^{r+1})^{-1} \circ E_{k_r}^r \circ f^r}_{table(s)} \circ \dots \circ \underbrace{(f^3)^{-1} \circ E_{k_2}^2 \circ f^2}_{table(s)} \circ \underbrace{(f^2)^{-1} \circ E_{k_1}^1 \circ f^1}_{table(s)}$$