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# DÉPARTMENT D'INFORMATIQUE



# MEMO-F-403 Preparatory work for the master thesis

# Implementing data structures for Partially Ordered Set

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## 1 Introduction

Data structures play an important role in algorithms complexity. With the objective to improve standard algorithms in automata theory, researchers at the ULB have implemented new algorithms to resolve important problems in the field. Those implementations uses antichains, which are data structures that allow to represent elements in a partially ordered set, in a more compact way. The goal of this preparatory work is to motivate the interest that are made in data structures for partially ordered set, especially antichains, and why we need efficient implementation. It also defines the desired objectives and propose a state of the art of the various existing implementations.

In the paper, should I use We or I, or nothing?

complexity vs efficiency

References maybe ?

#### 1.1 Context and motivations

**Theoritical context** In this work, we will mainly focus on the usage of partially ordered sets and antichains in automata theory-related problems.

**Universality check with antichains** An example that highlights the use of antichains in automata theory is the universality problem. It is the problem that for a finite automaton, we want to check if the language of this automaton equivalent to the language of all the words on the alphabet. The universality problem is a classical theoritical problem, and many verifications-related problems can be reduced in polynomial time to it.

Let  $A = \{Q_A, \Sigma, q_0, \delta, F_A\}$  be a finite automaton, we want to check whether or not the language of A is universal, that is,  $L(A) = \Sigma^*$ . The language of A is universal if and only if the language of the complementary of A accepts no words, that is,  $L(A) = \Sigma^*$  if and only if  $L(\bar{A}) = \emptyset$ . Therefore, the goal is to find a computation path of the automaton on a word such that the path start in the initial state, and the final target is a non-accepting state. Let S a set of states such that  $S \subseteq 2^{Q_A}$  and  $S \cap F_A = \emptyset$ . The goal is to find a state  $s \in S$ , which when computing a word  $w = w_0 w_1 ... w_n$ , the automaton will ends in s. The idea is to first start with a set state with all non-accepting states, that is  $T = Q_A \setminus F_A$ . Then compute the sets that when reading a letter will lead to T, that is the function  $Pre(S) = \{s \mid \exists s' \in S \cdot \exists \sigma \in \Sigma : s \xrightarrow{\sigma} s'\}$ .

Extend this paragraph by talking about diffent problems/algorihtms where antichains can be used

Source of this reduce statement?

In [DWRLH06], the algorithm proposed follow an equivalent idea by using game theory. The universality problem is reduce to a two-player reachability game, which can be done in polynomial time. The objective of the game is for the protagonist to establish that the automaton A is not universal. To this end, the protagonist will provide a word, a letter at a time, and find a strategy that try to show that A ends in a rejecting state. The protagonist only has a strategy to win the game if and only if A is not universal.

Example is not finished!

Include that UP is a problem important because verif-related problem reduce to it **Objective** The objective of the final work is to provide an efficient implementation of different data structures that allow to compactly represent partially ordered sets, specifically antichains. The first step is to implement in Java, classes that will be provided to the Owl library [Sal16]. Owl is a LTL to deterministic automata translations tool-set written in Java. A second step will be to implement antichain-based algorithms using the new antichains implementation and study the performance.

Related work There are two interesting implementations that were found. The first one is AaPAL (Antichain and Pseudo-Antichain Library), a generic library that was implemented in the frame of Aaron Bohy's PhD thesis [Boh14b] to provide an antichain library. It is implemented in C. The other implementation of antichains have been done by De Causmaecker and De Wannemacker in [DCDW]. The algorithms to find the ninth Dedekind number uses antichains and they needed to implement a representation of antichains. Their implementation is using Java. To improve efficiency and performances, Hoedt in [Hoe] has extended [DCDW] antichains implementation by using bit sequence instead of tree reprensentation.

**Structure of the preparatory work** This paper is the introduction to next year thesis, therefore the content concern only the preliminaries. The goal is to properly define the subject, existing implementations and the desired objectives. In Section 2, we formally define antichains, and give examples of such data structures. In Section ??, we summarize the work that has been done by others for antichains implementation. In 4, we propose an overview of next year work and possibilities.

Include examples from Guillermo correction

## 2 Data Structures

In this section, we will provide formal definitions of the data structures that we will implement. We recall the notion of binary relations and important propreties of such relations. We then define partially ordered set, totally order set and closed set. Finally we give a formal definition for antichains and pseudo-antichains.

The definitions and examples for this section are based on [Boh14b].

## 2.1 Binary relations

A binary relation for an arbitrary set S is a set of pair  $R \subseteq S \times S$ . There are five important properties: reflexitivity, transitivity, symmetry, antisymmetry and total.

A relation *R* on *S* is said to be:

- Reflexive: iff  $\forall s \in S$  it holds that  $(s,s) \in R$
- Transitive: iff  $\forall s_1, s_2, s_3 \in S$ , if  $(s_1, s_2) \in R$  and  $(s_2, s_3) \in R$  then it holds that  $(s_1, s_3) \in R$
- Symmetric: iff  $(s_1, s_2) \in R$  then  $(s_2, s_1) \in R$ .
- Antisymmetric: iff  $(s_1, s_2) \in R$  and  $(s_2, s_1) \in R$  then  $s_1 = s_2$
- Total: iff  $\forall s_1, s_2 \in S$  then  $(s_1, s_2) \in R$  or  $(s_2, s_1) \in R$

**Orders** A partial order is a binary relation that is *reflexive*, *transitive* and *antisymmetric*. We note a partial order relation by R. We note  $s_1Rs_2$  to show the belonging of a binary relation to a partial order, which is equivalent to  $(s_1, s_2) \in R$ . A *total order* is a partial order that is *total*.

**Example 2.1.1.** For example, the comparison of natural numbers is a partial order. Let  $\leq$  be a binary relation on  $\mathbb N$  such that  $\leq \subseteq \mathbb N^2$ . The binary relation is defined following the usual semantic of the symbol, i.e.  $n_1 \leq n_2$  if and only if  $n_1$  is smaller or equal to  $n_2$ . Based on this,  $\leq$  is a partial order. It is reflexive, transitive and antisymmetric. The binary relation  $\leq$  on natural numbers is actually a total order since all the natural numbers can be compared against each other.

## 2.2 Partially ordered set

An arbitrary set *S* associated with a partial order  $\leq$  is called a *partially ordered* set or poset. It is denoted by the pair  $\langle S, \leq \rangle$ .

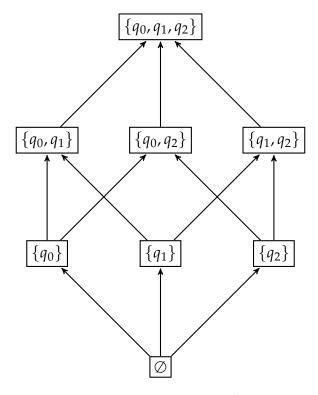


Figure 1: Example of antichains using the poset  $\langle 2^{Q_A}, \subseteq \rangle$ , With  $Q_A$  the set of state of the automaton A, which correspond to  $Q_A = \{q_0, q_1, q_2\}$ . Each directed edge of the graph correspond to a valid comparison using the set inclusion  $\subseteq$ . For example  $\{q_0\} \to \{q_0, q_1\}$  corresponds to the inclusion  $\{q_0\} \subseteq \{q_0, q_1\}$ . Two elements of the graph with no connection, means that the elements are incomparable. For example,  $\alpha = \{\{q_0\}, \{q_1\}, \{q_2\}\}$  is a set of incomparable elements and  $\alpha$  is called an antichain.

**Comparable** Let  $s_1, s_2 \in S$  and  $\langle S, \preceq \rangle$  a poset. The two elementes  $s_1$  and  $s_2$  are called *comparable* if either  $s_1 \preceq s_2$  or  $s_2 \preceq s_1$ . If neither of those two comparaisons are correct, then  $s_1$  and  $s_2$  are called *incomparable*.

**Bounds** Let  $\langle S, \preceq \rangle$  a partially ordered set. We denote the *greatest lower bound* of the two elements  $s_1, s_2 \in S$  by  $s_1 \sqcap s_2 \in S$ . The greatest lower bound is defined as follow:  $s_1 \sqcap s_2 \preceq s_1$ ,  $s_1 \sqcap s_2 \preceq s_2$  and for all  $s' \in S$  we have that if  $s' \preceq s_1$  and  $s' \preceq s_2$  then  $s' \preceq s_1 \sqcap s_2$ .

**Example 2.2.1.** A more interesting example is the set inclusion comparison. Let A be automaton defined in Example ??. Let  $\langle 2^{Q_A}, \subseteq \rangle$ , a poset. Figure 1 shows a graph with the incomparable elements of such poset.

Include definition of least upper bound

Still need to define the automaton, here or in another sec-

Fix figure formulation as we havent

**Lattices** A *lower semilattice* is a poset  $\langle S, \preceq \rangle$  where for all pair of elements  $s_1, s_2 \in S$ , we have that the greatest lower bound  $s_1 \sqcap s_2$  exists.

#### 2.3 Antichains

#### 2.3.1 Closed sets

A closed set is a set  $L \subseteq S$  of a lower semilattice  $\langle S, \preceq \rangle$  where  $\forall \ell \in L$  we have that  $\forall s \in S$  such that  $s \preceq \ell$ , then  $s \in L$ .

Note that for two closed sets  $L_1, L_2 \subseteq S$ , we have that  $L_1 \cup L_2$  and  $L_1 \cap L_2$  are also closed sets, but  $L_1 \setminus L_2$  does not result necessarily to a closed set.

**Maximal/minimal elements** We denote by  $\lceil L \rceil$  the set of maximal elements of a closed set L which correspond to  $\lceil L \rceil = \{\ell \in L | \forall \ell' \in L : \ell \leq \ell' \Rightarrow \ell = \ell' \}$ . Alternatively, to represent the set of minimal elements, the noation  $\lfloor L \rfloor$  is used which has the following semantic  $|L| = \{\ell \in L | \forall \ell' \in L : \ell' \leq \ell \Rightarrow \ell = \ell' \}$ .

Meaning of — vs . vs : in set definition?

**Closure** A *lower closure* of a set L on S noted  $\downarrow L$  is the set of all elements of S that are *smaller or equal* to an element of L i.e.  $\downarrow L = \{s \in S \mid \exists \ell \in L \cdot s \leq \ell\}$ . Note that for a closed set L we have that  $\downarrow L = L$ .

**Antichain** An antichain of a poset  $\langle S, \preceq \rangle$  is a set  $\alpha \subseteq S$  where all element of  $\alpha$  are incomparable with respect to the partial order  $\preceq$ . Antichains allow to represent closed set in a more compact way. For a closed set  $L \subseteq S$  we can retrieve all elements of L by using the antichain  $\alpha = \lceil L \rceil$ . With respect to the definition of the lower closure we have that  $\rfloor \alpha = L$ .

## 2.4 Operations on antichains

**Proposition 2.4.1.** Let  $\alpha_1, \alpha_2 \subseteq S$  two antichains and  $s \in S$ :

Cite original paper, FJR11 from Bohy' phd

- $s \in \downarrow \alpha_1$  iff  $\exists a \in \alpha_1$  such that  $\leq a$
- $\downarrow \alpha_1 \subseteq \downarrow \alpha_2$  iff  $\forall a_1 \in \alpha_1, \exists a_2 \in \alpha_2$  such that  $a_1 \preceq a_2$
- $\downarrow \alpha_1 \cup \downarrow \alpha_2 = \downarrow \lceil \alpha_1 \cup \alpha_2 \rceil$
- $\downarrow \alpha_1 \cap \downarrow \alpha_2 = \downarrow \lceil \alpha_1 \sqcap \alpha_2 \rceil$

Give complete definition for interesection

# 3 Implementation

Java already provide built-in implementation for Set.

In this thesis we are more interested in partially ordered sets as totally ordered sets are already implemented in Java built-in SortedSet.

## 3.1 Summary of objectives

The main focus of the thesis is to be able to provide an efficient implementation of antichains and pseudo-antichains in Java. The first step is to provide an interface for the different operations that can be applied to antichains. We then give a description of the implementation. Antichains provide a way to represent in a compact way partially ordered set that are closed. Pseudo-antichains are an extension of antichains and provide a compact way to represent partially ordered sets. Pseudo-antichains does not specifically require closed set.

Our goal is to find a way to not keep all the closure all element of the antichain in memory, but be able to retrieve those elements or check the belonging of a closure element from the incomparable elements of the antichain.

Includes
limitation of
Java built-in
and different possible
solution for
antichains
found on
stack overflow and
others

# 3.2 Existing implementation

#### 3.2.1 AaPAL

Bohy's Antichain and Pseudo-Antichain Library [Boh14a] is an open-source generic library for the manipulation of antichains and pseudo-antichains data structures, implemented in C. In this section we will mainly focus on the implementation of antichains.

Antichain representation An antichain is represented by a struct, containing as attributes the size of the antichain, and the incomparable elements of the antichains, as a list. The list is manipulated using the GSList object from the glib library. To allow modularity, the type of the elements is void.

**Operations** The operations implemented in AaPAL are the union, intersection and appartenance defined in Proposition 2.4.1. An interesting remark is that most of the complexity is given as a paramater to the functions. For example the function to compare two elements in an antichain is given as a parameter. It means that the complexity to define the domain of the antichain, must be implemented in the compare function. Same pattern goes for the intersection operation, the function to compute the intersection must be provided by the user. Also basic operations such as creating an antichain, adding an element to

Discuss impl. specifics etc in chapter Implementation

What operations are implemented in those papers?

What domain (of sets) is is used in those papers?

Research other possible related works

ABout impl, should i talk about BDD?

Talk about AaPAL in Acacia+ ? an antichain, checking emptiness or cloning an antichain are implemented. in AaPAL.

#### 3.2.2 Antichains for Dedekind numbers algorithms

De Causemaecker and De Wannemacker only provide the executable of their algorithm in their paper for the Dedekind algorithm [DCDW]. Hoedt proposed in [Hoe] a algorithm to find the ninth Dedekind number, which requires an representation of antichains. The representation used is an extension of the implementation proposed by De Causmaecker and De Wannemacker. The source of his implementation was found in is personal GitHub [?]. We will therefore only focus on the implementation of Hoedt, which is an extension of the implementation proposed by De Causmaecker and De Wannemacker, but by representing an antichains using bitarray-like methods.

**Antichains representation** According to Hoedt in [Hoe], the first proposed representation in [DCDW] was done by using a TreeSet. With the objective to improve the performance of the important operations, for example the intersection, Hoedt used another way to represent antichains, by using a bitarray-like representation. This representation

## 3.3 Difficulties

One of the difficulties is to define the domain of the elements that the antichains should work on. In AaPAL the all complexity is implemented in the compare\_elements that must be given to the library functions. It that case, all the complexity of the must be implemented by the user to define the domain of the antichains.

What is the difference beetween domain, univers and set?

## 3.3.1 Tree vs Bitarray representations

## 3.4 Possible solutions

In the case of the domain specifications, a good approach could be to provide an interface/abstract class to the users, to let them provide their own implementation. In addition to that, usual domain such a natural numbers or others well known domain used, could be directly implemented in the library.

## 3.5 New implementation

# 4 Next year overview

Talk about Owl

## 4.1 Framework and implementation

The interface proposed in ?? gives a first overview of the desired implementation. The goal will be to try to find a good way to implement antichains. One of the possible way to do so is to provide operations depending on the universe of the antichains. For example, the implementation of Hoedt presented in section ?? using bitarray can only be applied to natural numbers. An first step is to proposed an framework for users to implement the specifics of the universe necessary for their algorithms. The goal of the thesis will be to propose a generic and abstract API, and implement specifics for known universe and test them of state-of-the-art algorithms, especially in automata theory.

#### 4.2 Possible extensions

As we mainly focus on efficiency, it could be interesting to use a C implementation such as AaPAL, and provide bindings to Java. We could try this method as an alternative to a pure Java implementation and compare performances.

Fill-in bib correctly!

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