

CS769 Advanced NLP

# Multimodal Machine Learning: Vision-Language

Junjie Hu



Slides adapted from LP Morency  
<https://junjiehu.github.io/cs769-spring23/>

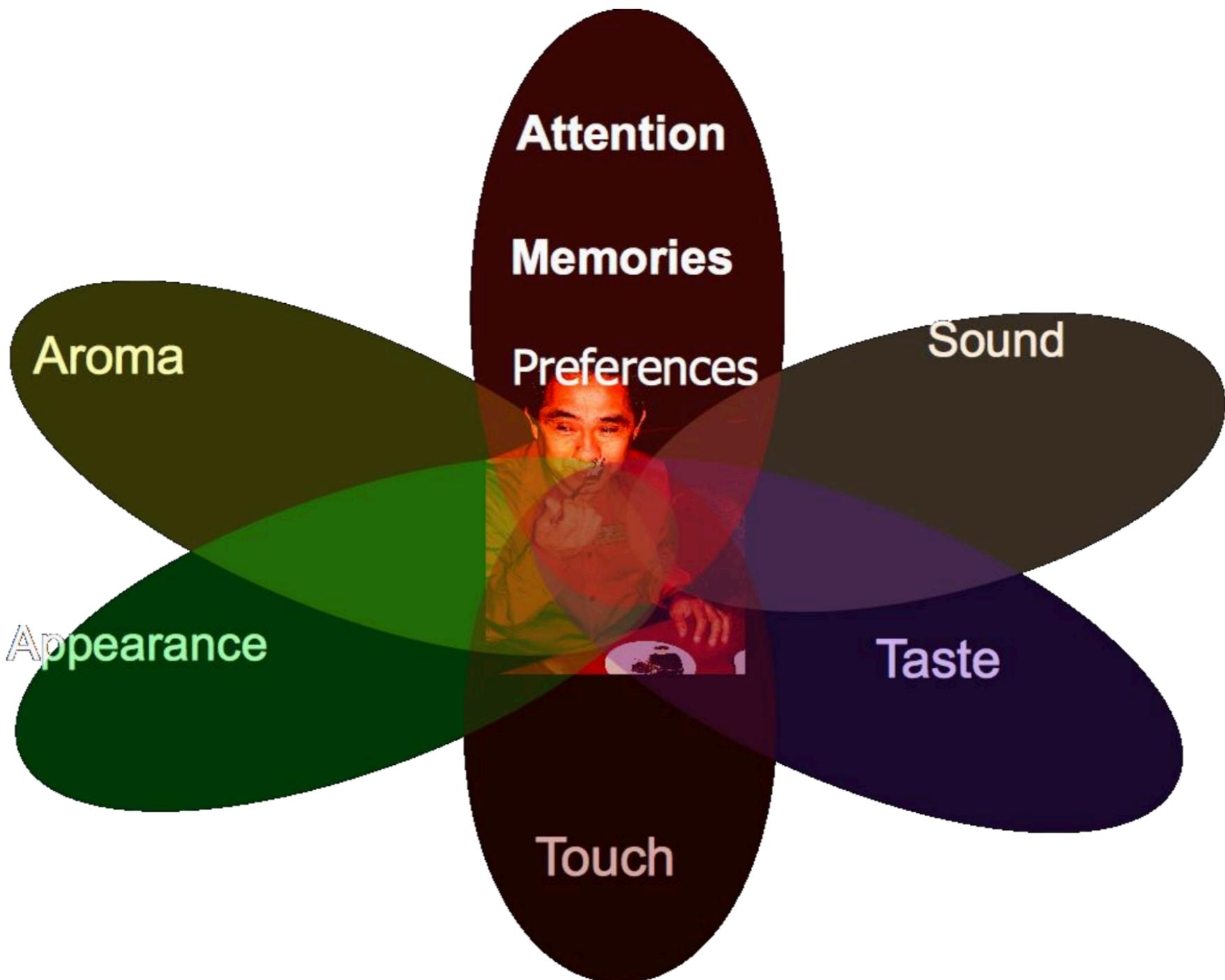
# Goal for Today

- What is Multimodal?
  - Historical view, multimodal vs multimedia
  - Core technical challenges
    - Representation learning, translation, alignment, fusion, and co-learning
- Recent pre-trained V+L models
  - CLIP
  - DALL-E

# Multimodal Machine Learning

# What is Multimodal?

## Sensory Modalities



# Multimodal Communicative Behaviors

## Verbal

### Lexicon

Words

### Syntax

Part - of - speech

Dependencies

### Pragmatics

Discourse acts

## Vocal

### Prosody

Intonation

Voice quality

### Vocal expressions

Laughter, moans

## Visual

### Gestures

Head gestures

Eye gestures

Arm gestures

### Body language

Body posture

Proxemics

### Eye contact

Head gaze

Eye gaze

### Facial expressions

FACS action units

Smile, frowning

# Examples of Modalities

- Natural language (both spoken or written)
- Visual (from images or videos)
- Auditory (including voice, sounds, and music)
- Haptics / touch
- Smell, taste and self-motion
- Physiological signals
  - Electrocardiogram (ECG), skin conductance
- Other modalities
  - Infrared images, depth images, fMRI

# Prior Research on “Multimodal”

- Four eras of multimodal research
  - The “ behavioral” era (1970s until late 1980s)
  - The “ computational” era (late 1980s until 2000)
  - The “ interaction” era (2000 - 2010)
  - The “ deep learning” era (2010s until ...)



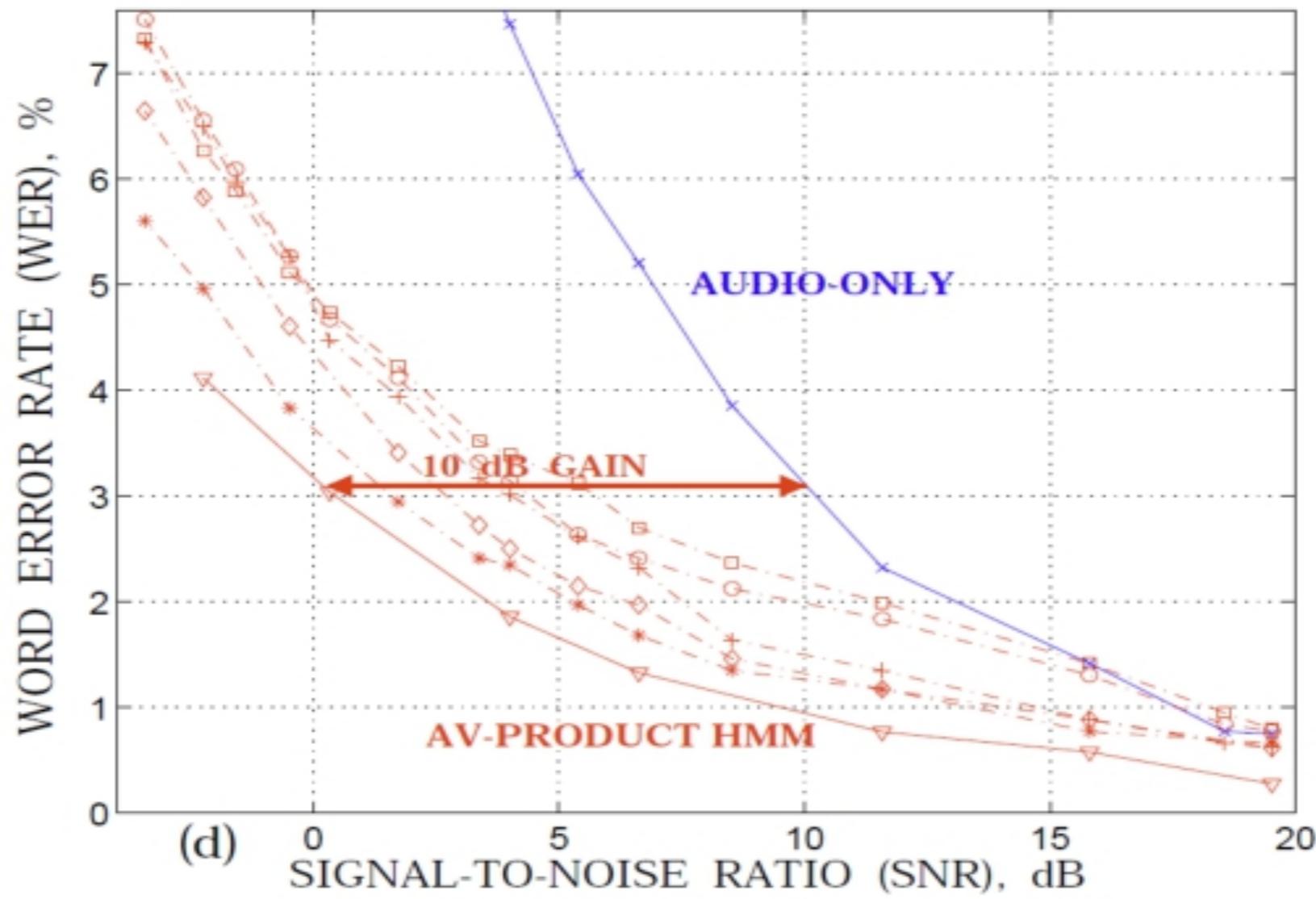
# The McGurk Effect (1976)



McGurk & MacDonald, 1976. Hearing lips and seeing voices, Nature

# The “Computational” Era (Late 1980s until 2000)

- Audio-Visual Speech Recognition (AVSR)



# Core Technical Challenges

# Core Challenges in “Deep” Multimodal ML (Baltrusaitis et al. 2017)

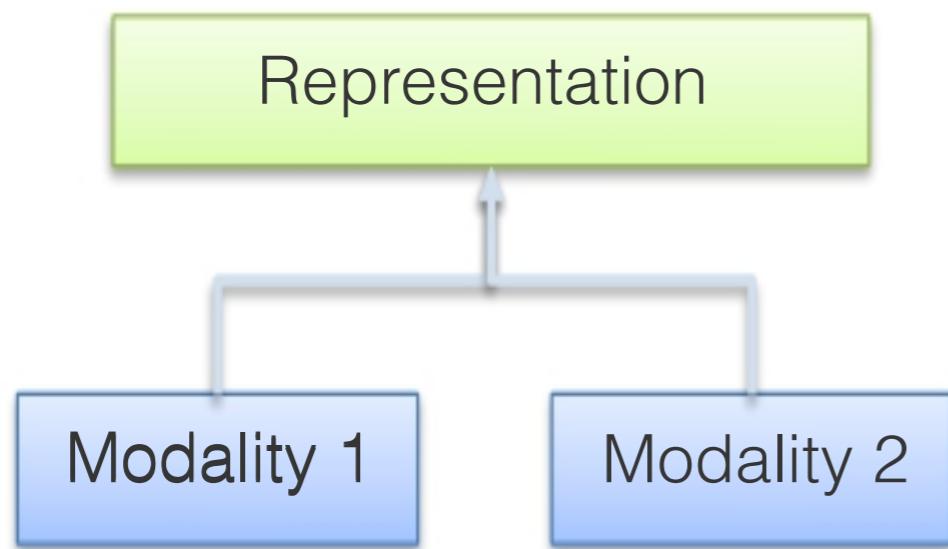
- Representation
- Alignment
- Fusion
- Translation
- Co-Learning

**These challenges are non-exclusive.**

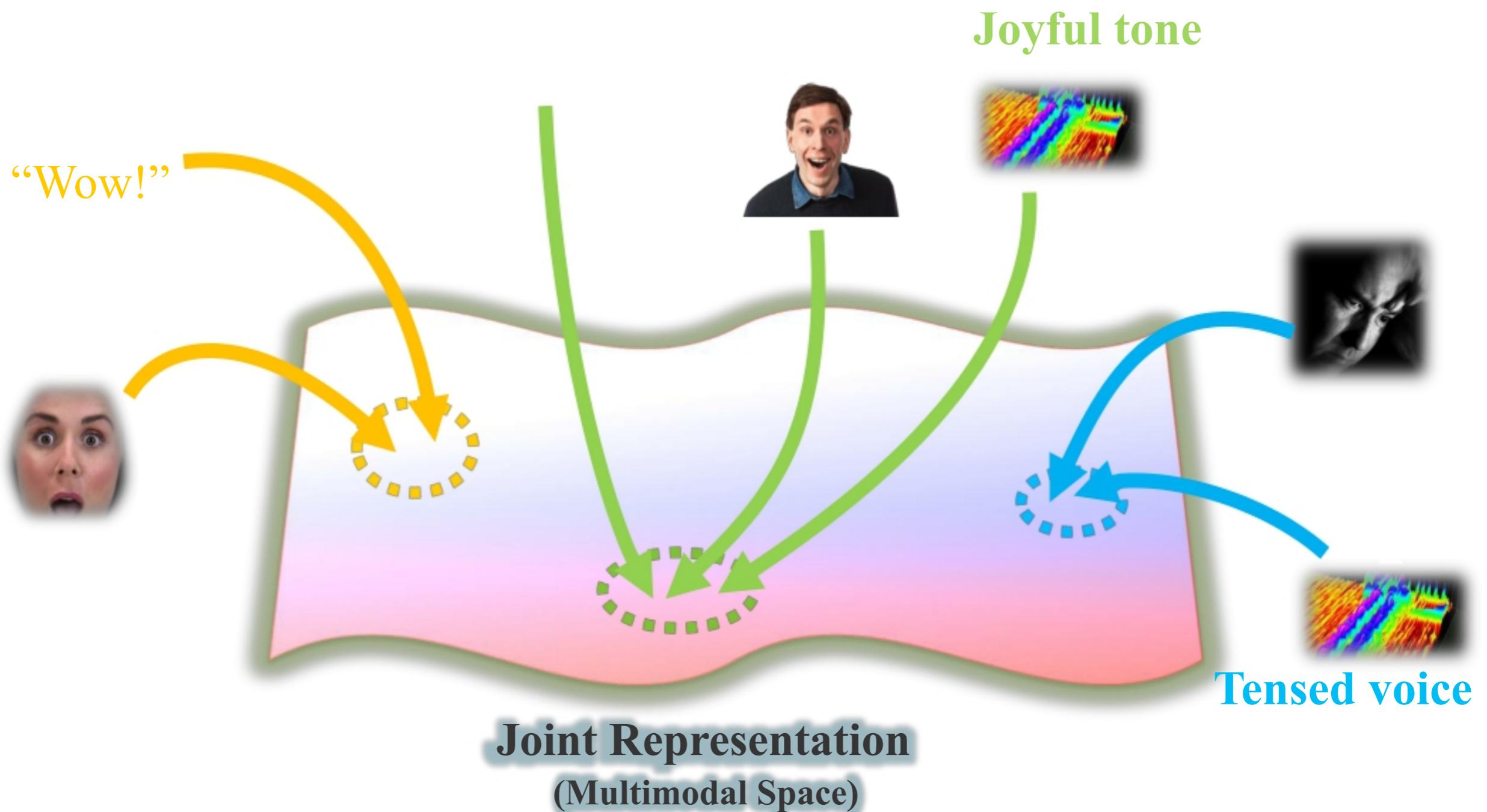
# Core Challenge 1: Representation

- **Definition:** Learning how to represent and summarize multimodal data in a way that exploits the complementarity and redundancy.

## A Joint representations:

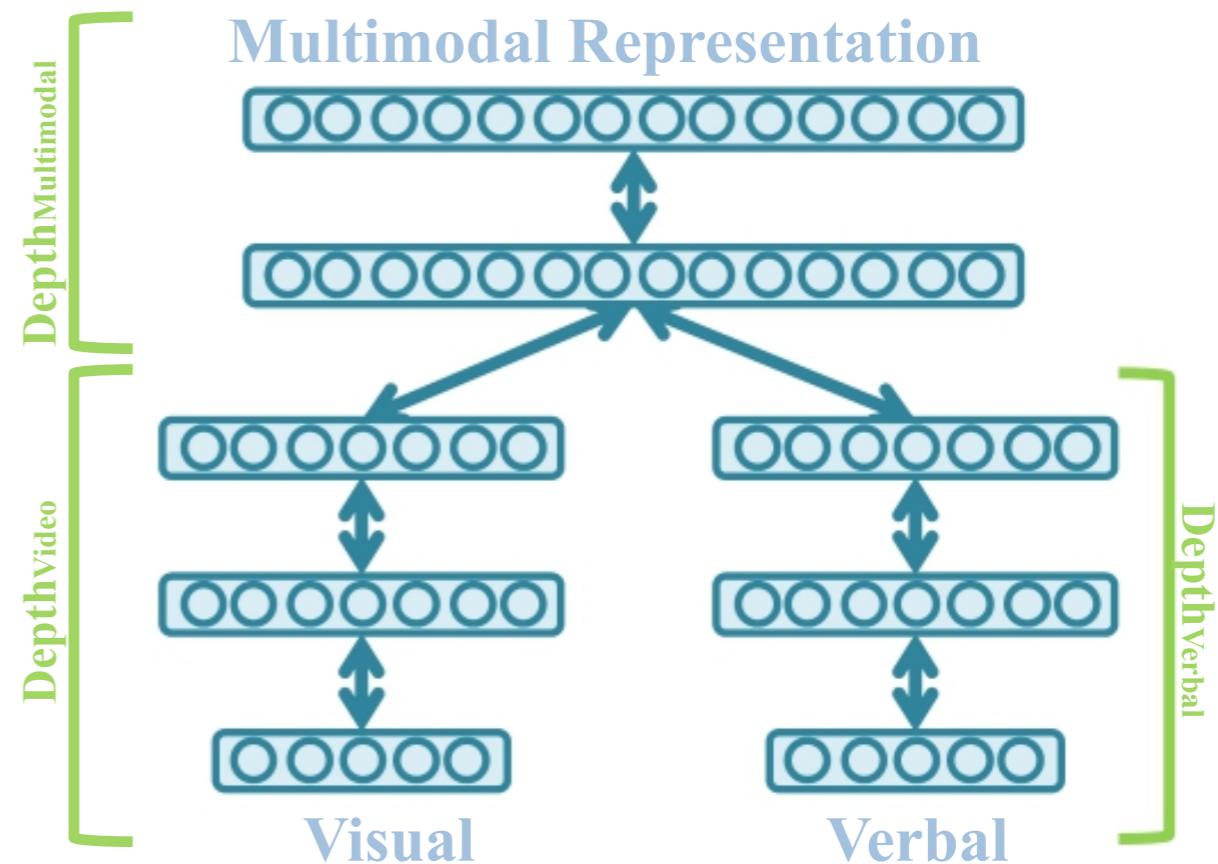


# Joint Multimodal Representations



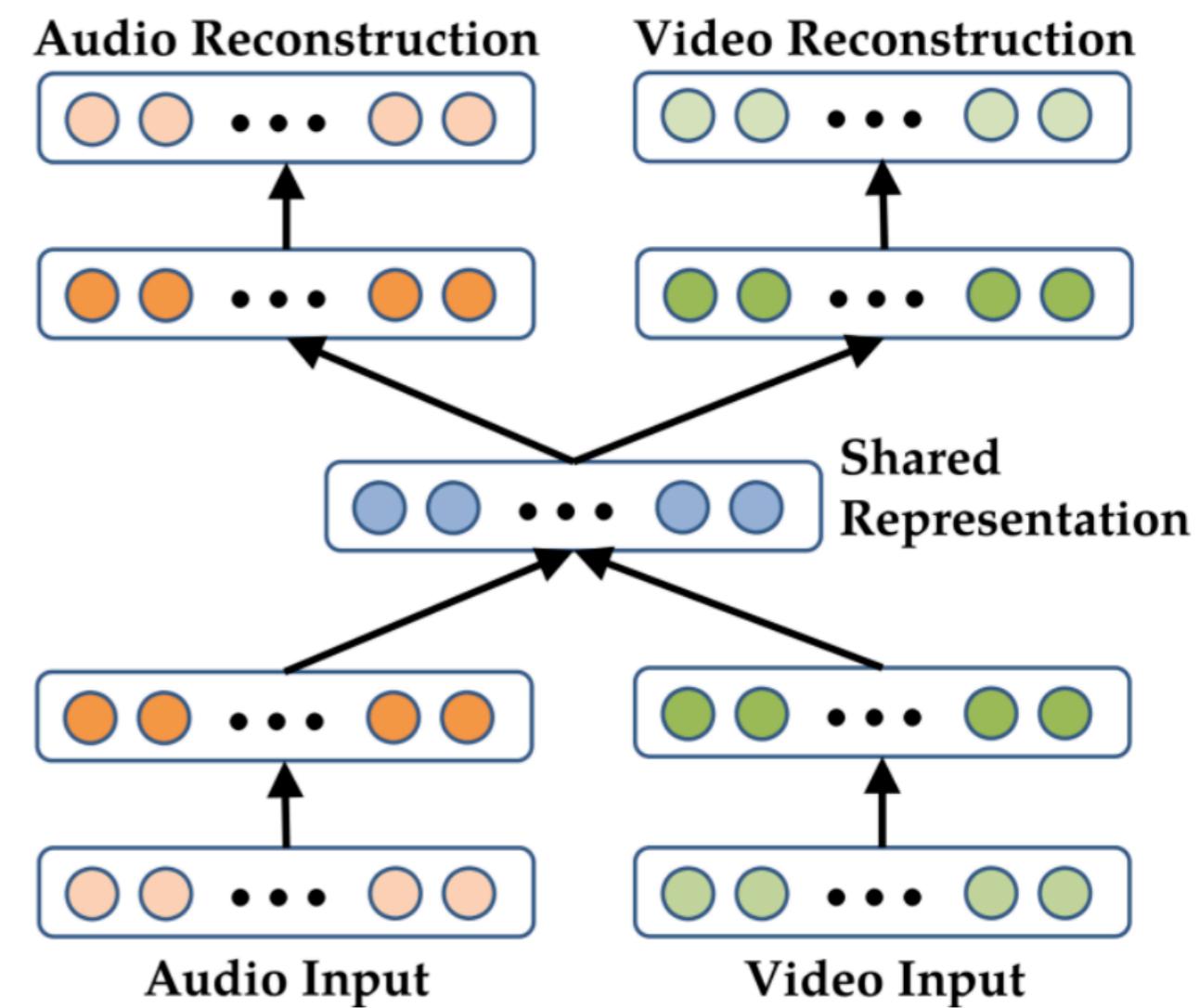
# Joint Multimodal Representations

- Audio-visual speech recognition (Ngiam et al. 2011)
  - Bimodal Deep Belief Network
- Image captioning (Srivastava, Salakhutdinov, 2012)
  - Multimodal Deep Boltzmann Machine
- Audio-visual emotion recognition (Kim et al. 2013)
  - Deep Boltzmann Machine



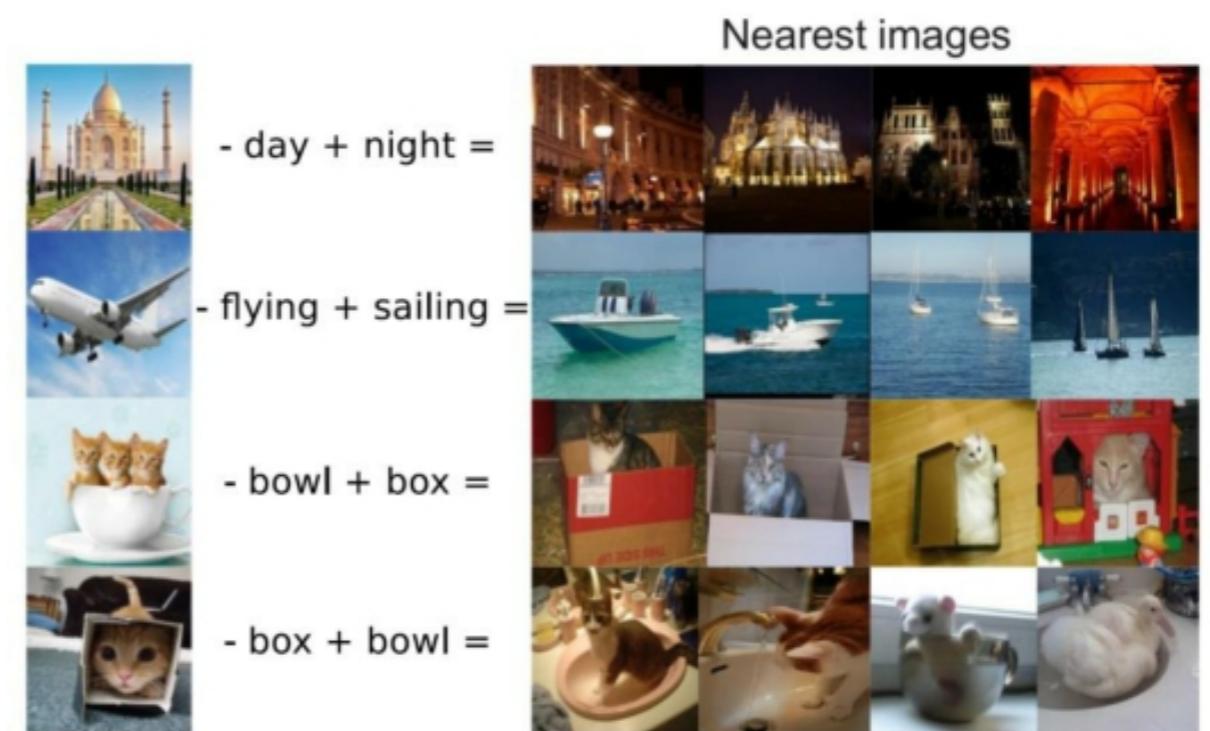
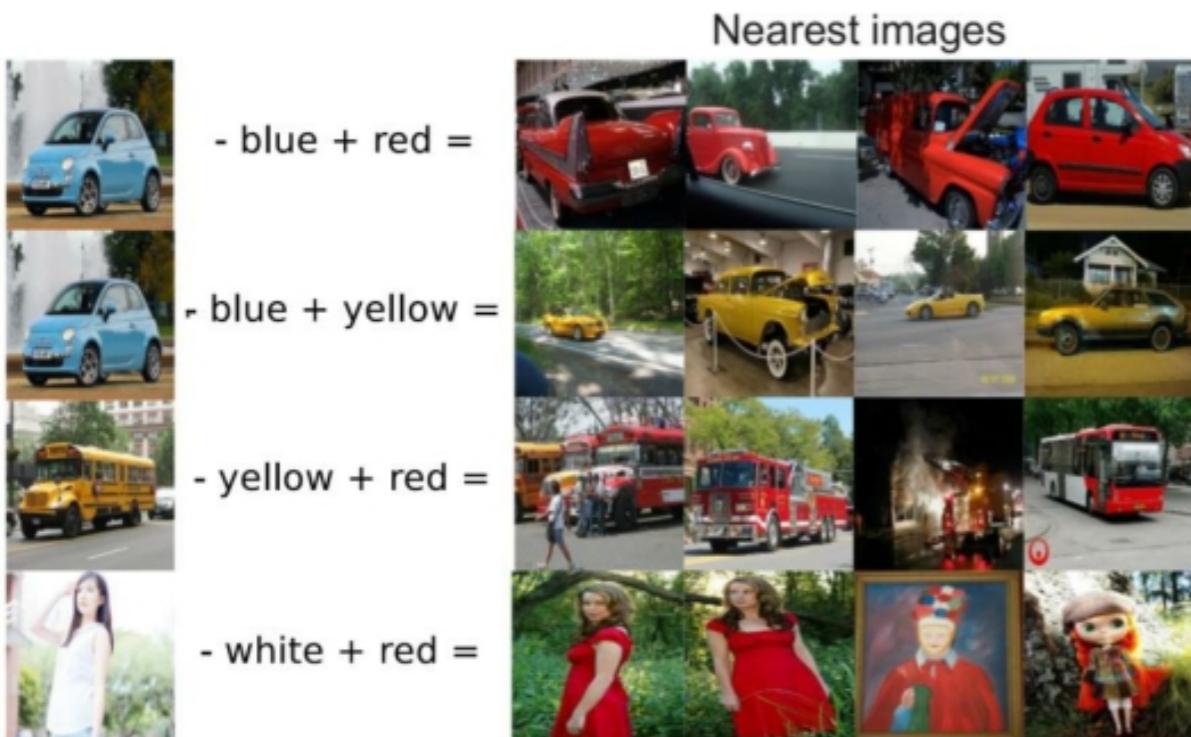
# Deep Multimodal Autoencoder

- Bimodal auto-encoder
  - Used for audio-visual speech recognition
- Individual modalities can be pretrained
  - RBMs
  - Denoising Autoencoders
- Train the model to reconstruct the other modality
  - Use both
  - Remove audio
  - Remove video



# Multimodal Vector Space Arithmetic

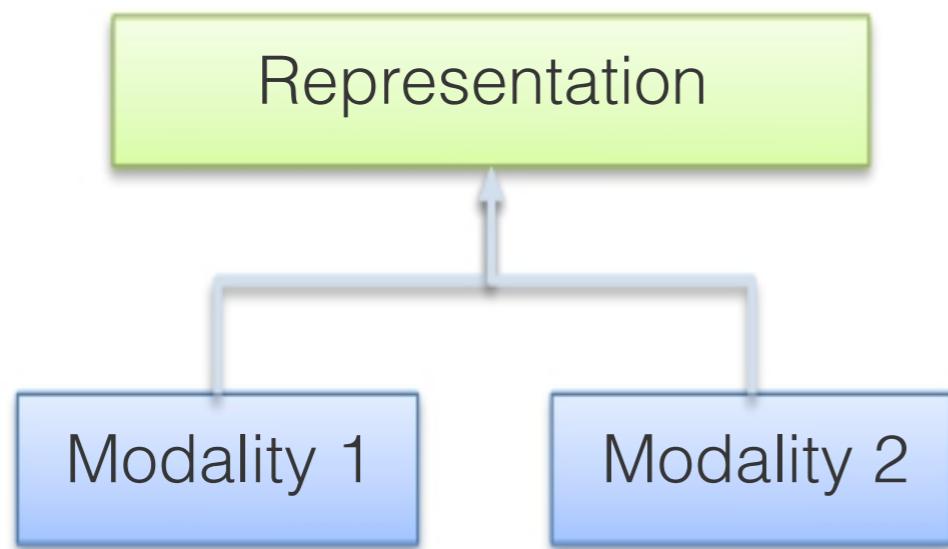
- Obtain a vector by the image embedding of a blue car - word embedding of “blue” + word embedding of “red”
- Retrieve the nearest images



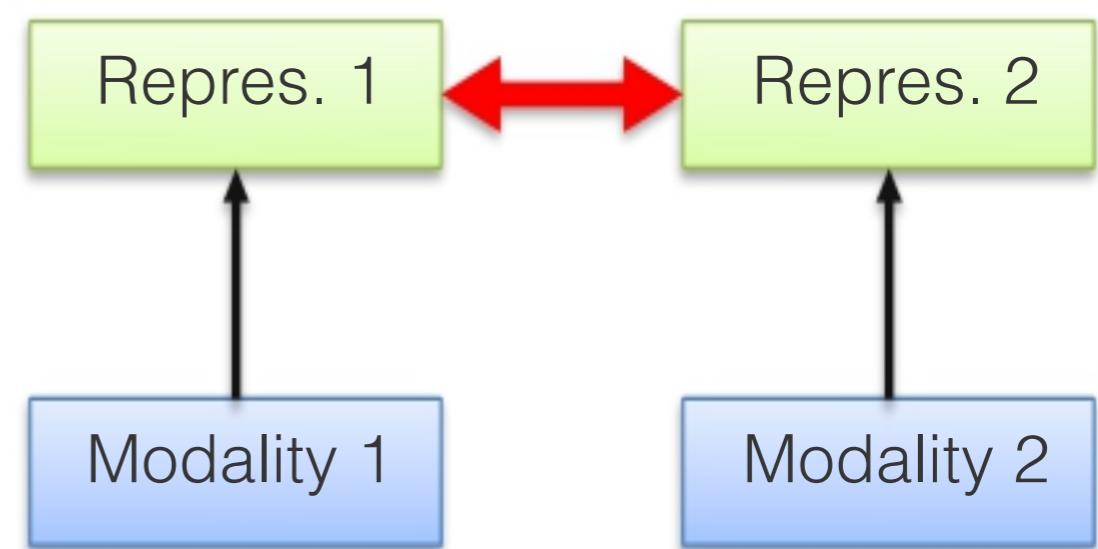
# Core Challenge 1: Representation

- **Definition:** Learning how to represent and summarize multimodal data in a way that exploits the complementarity and redundancy.

**A Joint representations:**



**B Coordinated representations:**

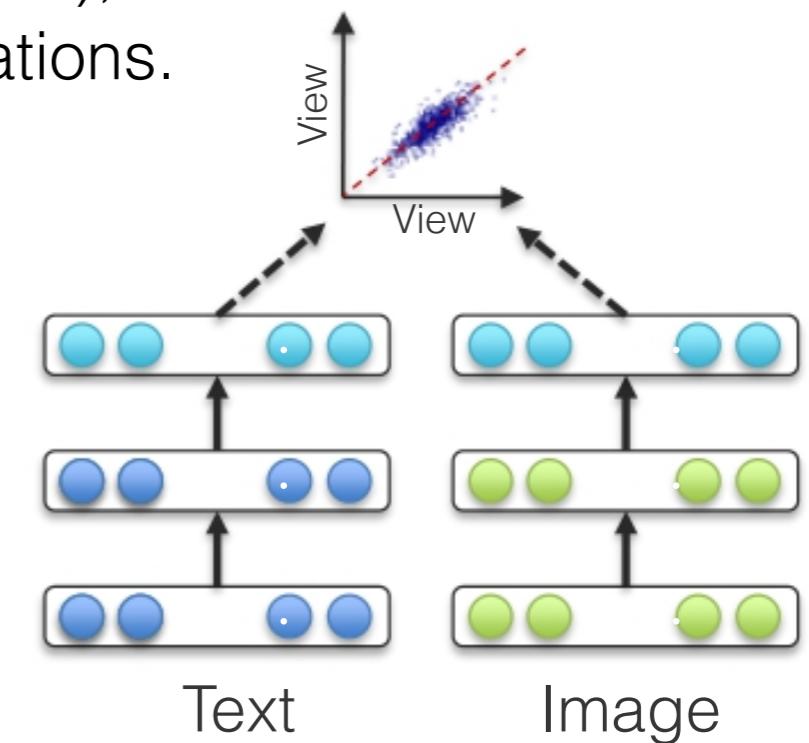
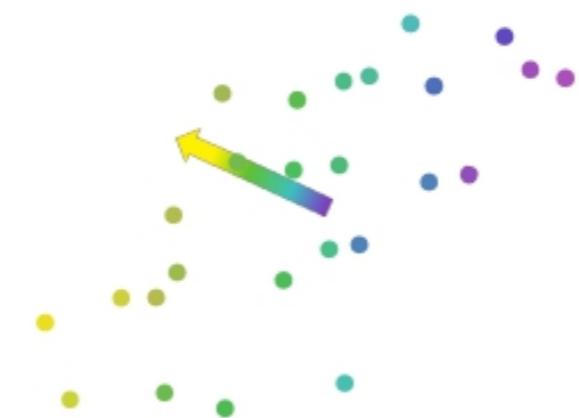


# Coordinated Representation: Deep CCA

- Learn linear projections that are maximally correlated:

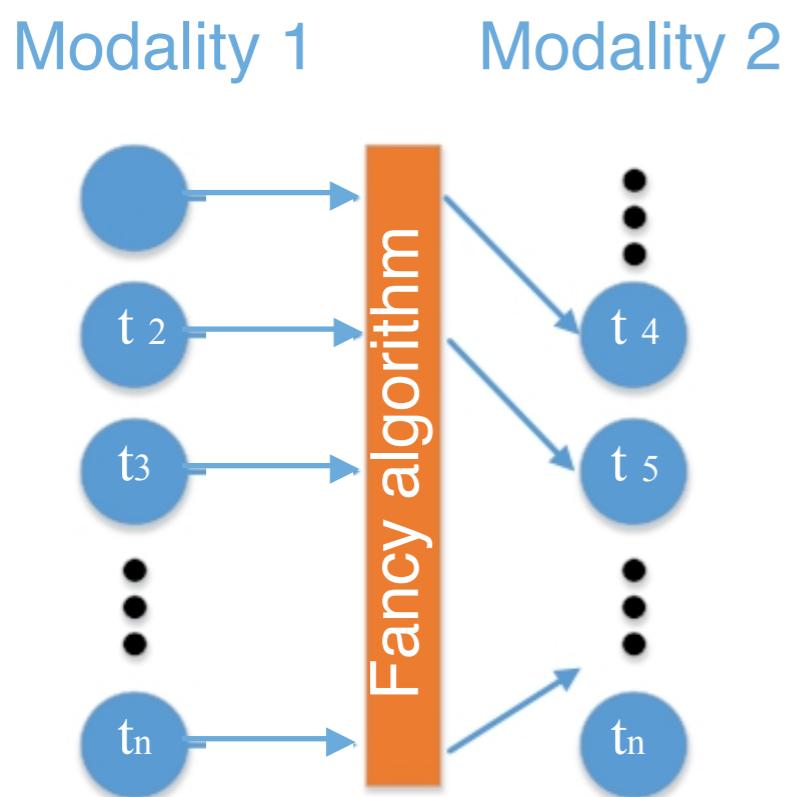
$$(\theta_1^*, \theta_2^*) = \underset{(\theta_1, \theta_2)}{\operatorname{argmax}} \operatorname{corr}(f_1(X_1; \theta_1), f_2(X_2; \theta_2)).$$

where  $f_1$  and  $f_2$  are two encoders (e.g., for texts, images),  
corr computes the correlation between two representations.



# Core Challenge 2: Alignment

- Definition: Identify the direct relations between (sub)elements from two or more different modalities



## A Explicit Alignment

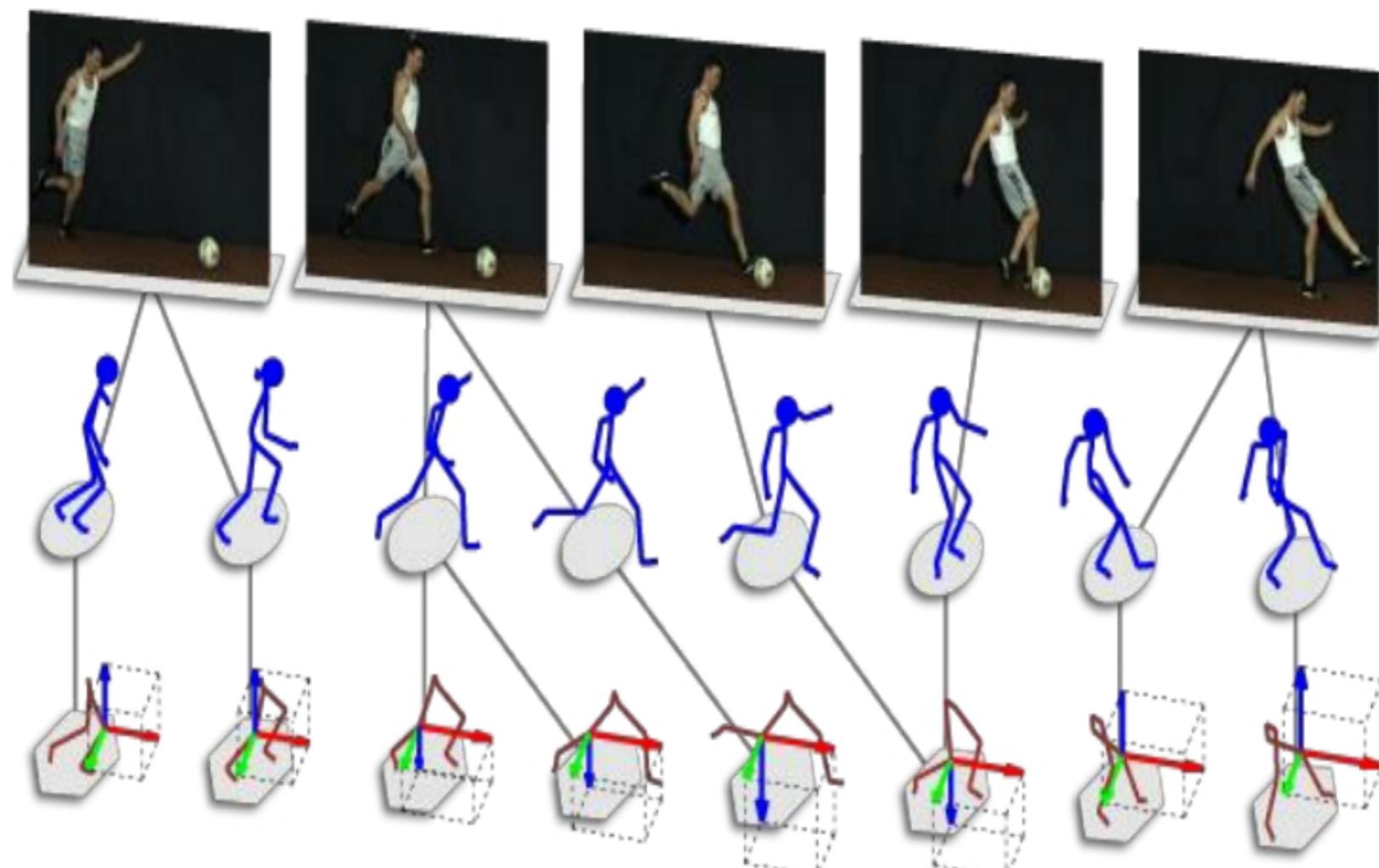
The goal is to directly find correspondences between elements of different modalities

## B Implicit Alignment

Uses internally latent alignment of modalities in order to better solve a different problem

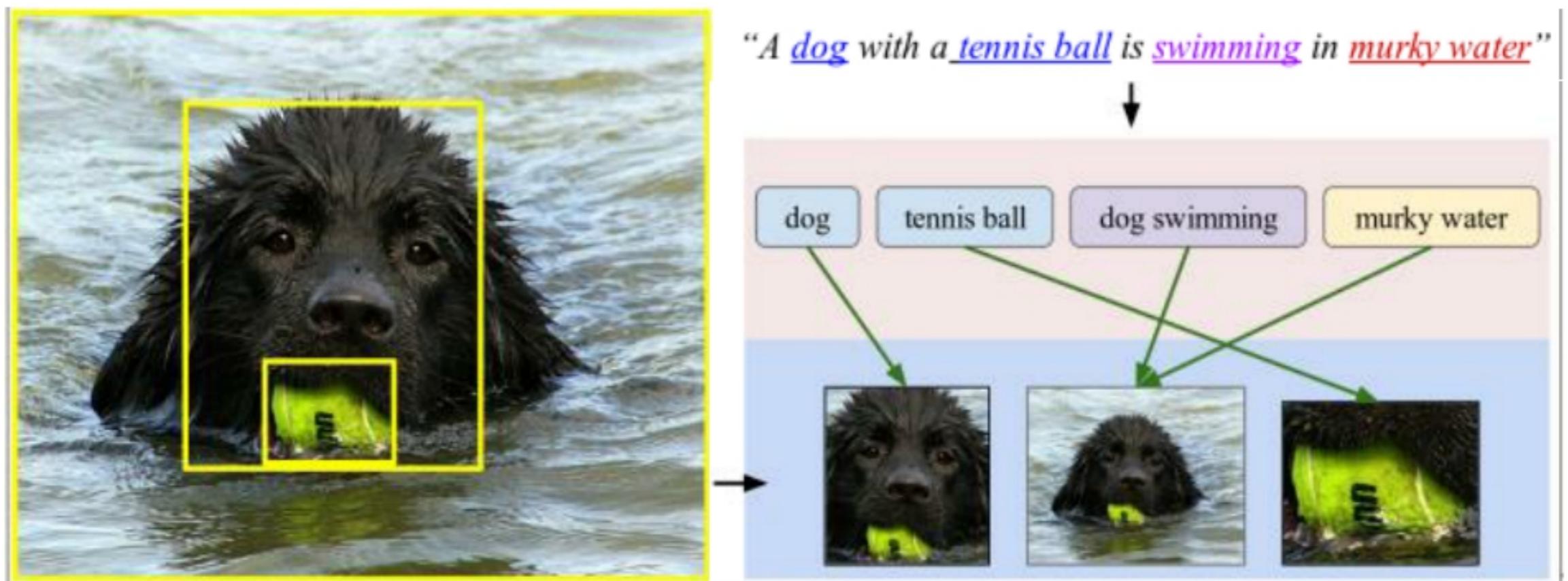
# Example: Temporal Sequence Alignment

- Application:
  - Re-aligning asynchronous data
  - Finding similar data across modalities
  - Event reconstruction from multiple sources



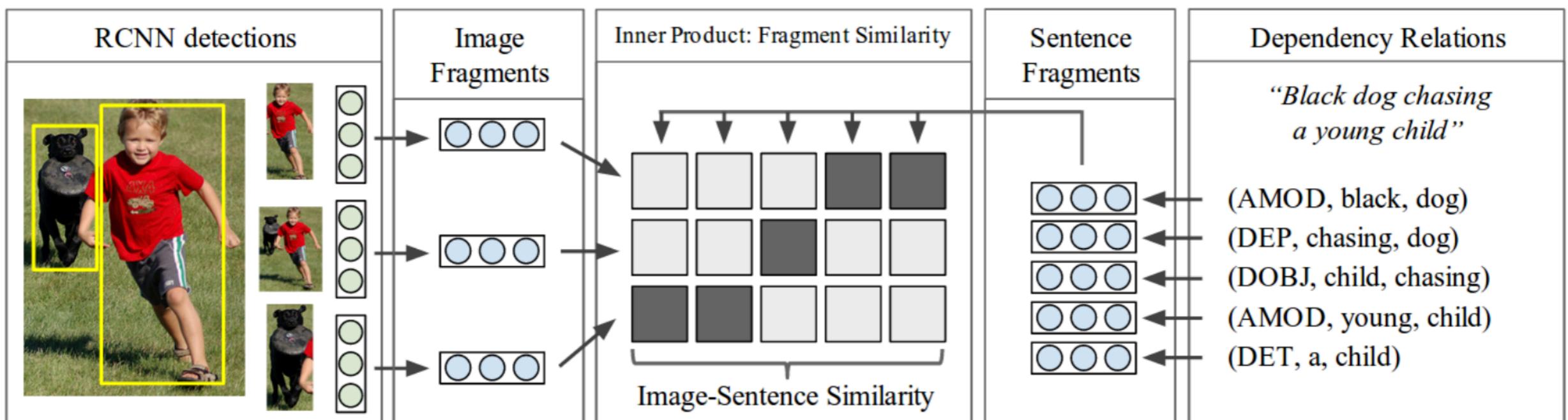
# Implicit Alignment

- Vision-language alignment, a.k.a. visual grounding.



# Implicit Alignment

- Use object detection (RCNN) tools to extract bounding boxes, and encode each bounding box
- Use dependency parsing to extract dependency relations (Relation-head-tail triple), and encode each relation
- Compute the similarity and optimize the alignment objectives.



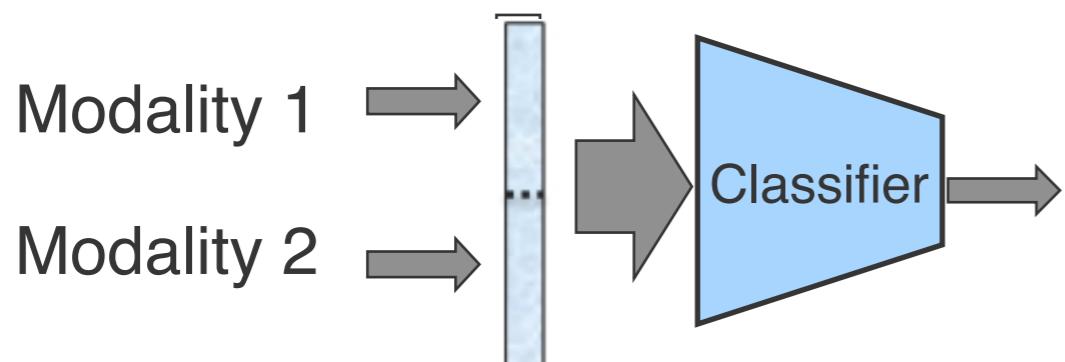
Karpathy et al. 2014 Deep Fragment Embeddings for Bidirectional Image Sentence Mapping

# Core Challenge 3: Fusion

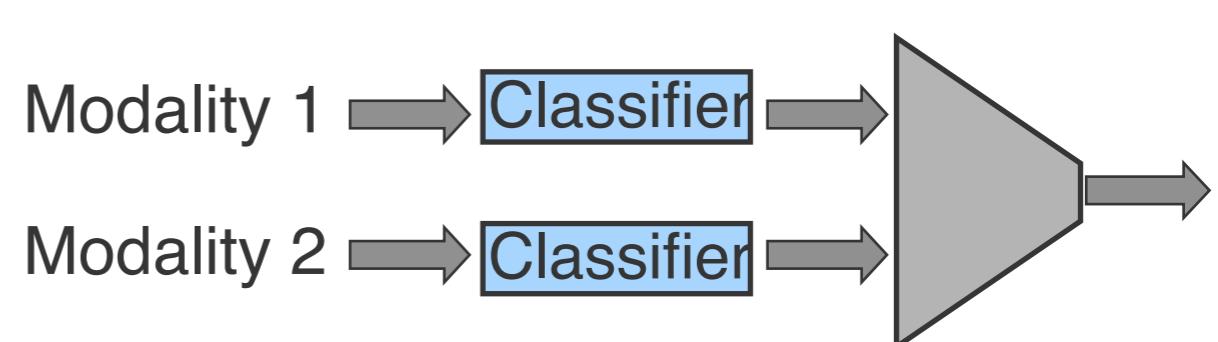
- **Definition:** To join information from two or more modalities to perform a prediction task.

## A Model-Agnostic Approaches

### 1) Early Fusion



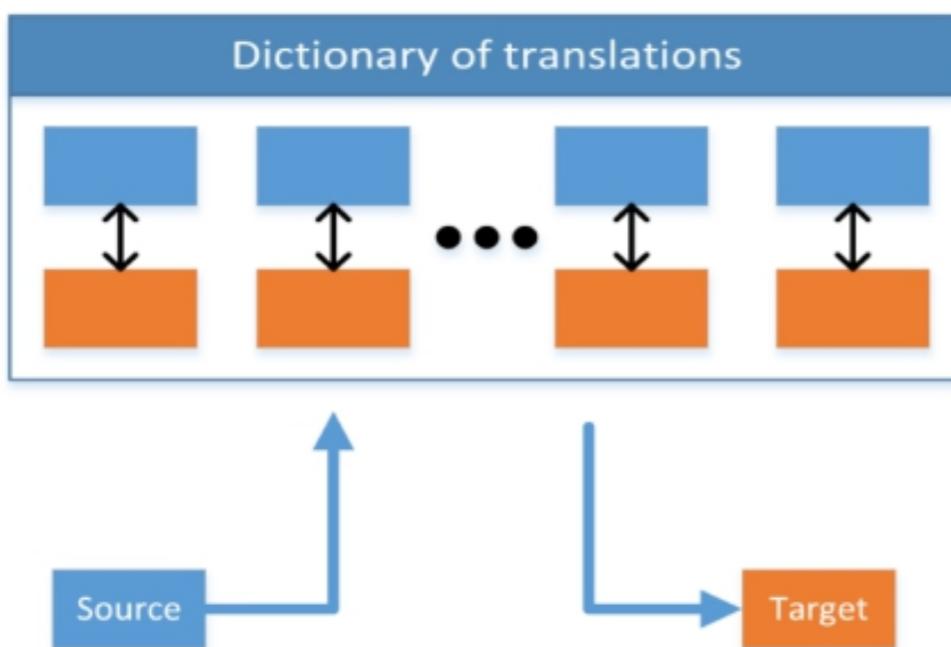
### 2) Late Fusion



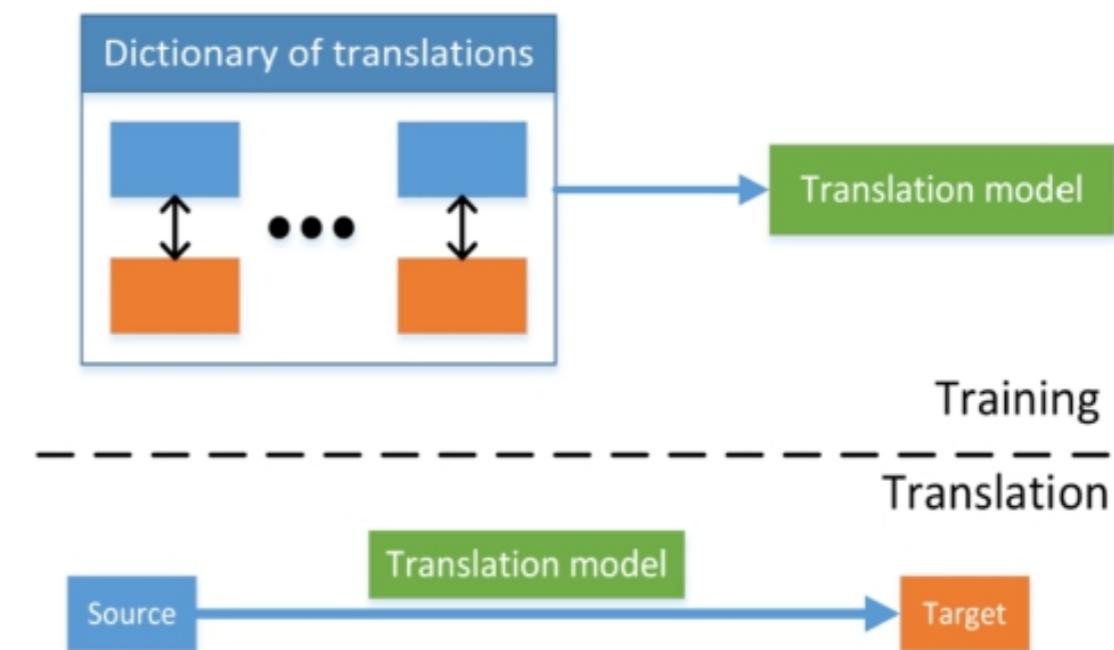
# Core Challenge 4: Translation

- **Definition:** Process of changing data from one modality to another, where the translation relationship can often be open-ended or subjective

## A Example-based



## A Model-based



# Text+Audio to Vision Translation



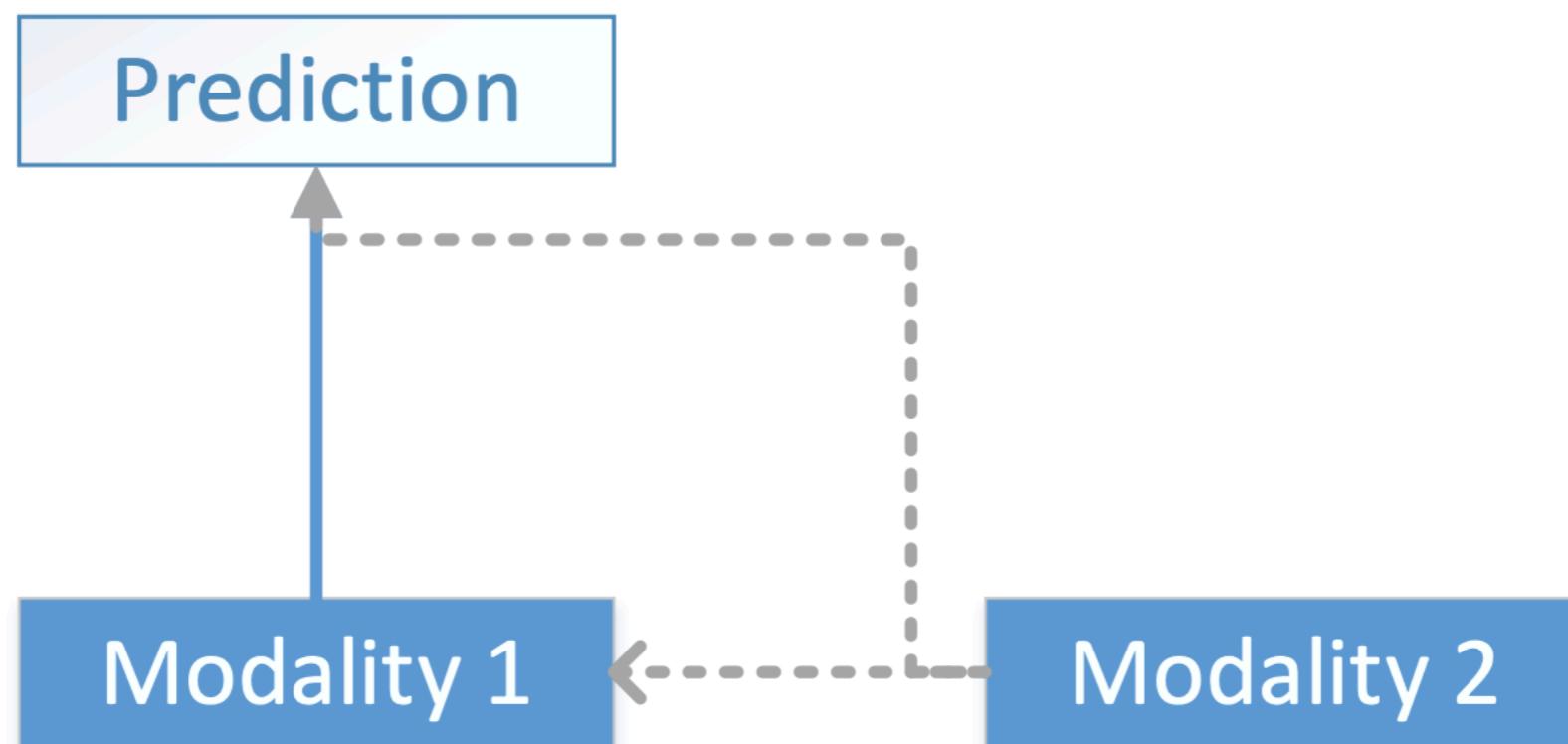
Visual gestures  
(both speaker and  
listener gestures)

Transcriptions  
+  
Audio streams

Marsella et al., Virtual character performance from speech, SIGGRAPH/ Eurographics Symposium on Computer Animation, 2013

# Core Challenge 5: Co-Learning

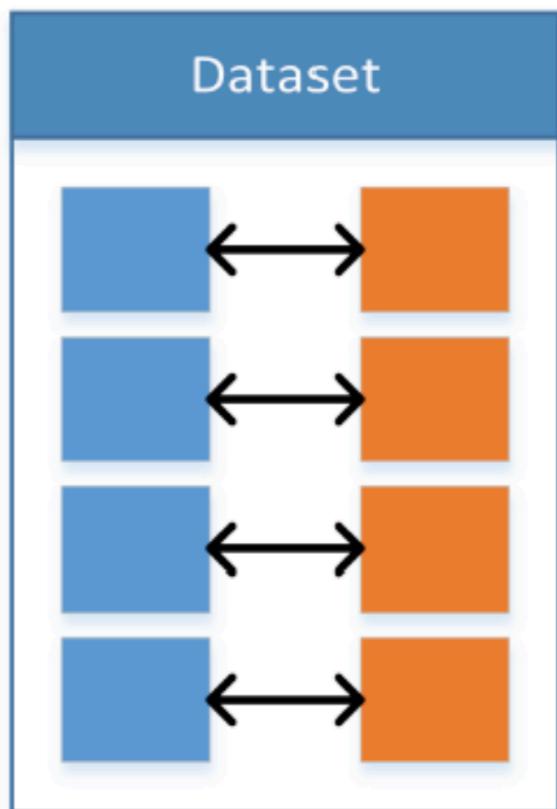
- **Definition:** Transfer knowledge between modalities, including their representations and predictive models.



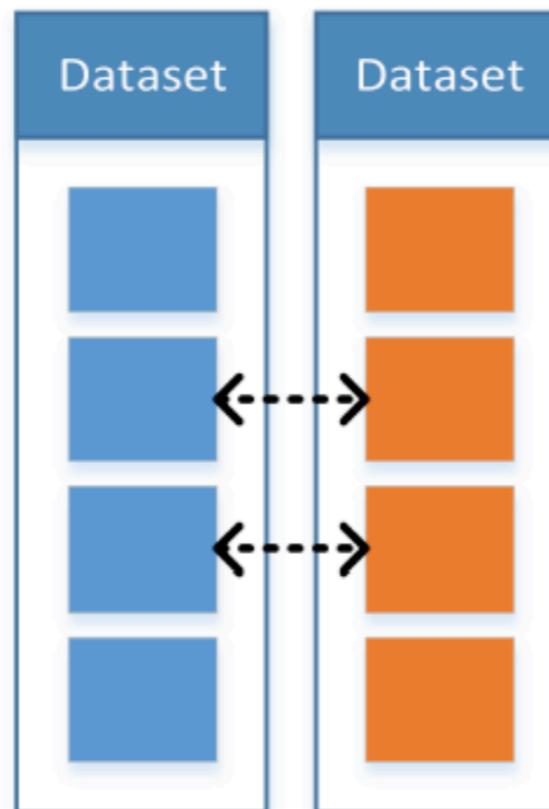
# Core Challenge 5: Co-Learning

- Three data settings.

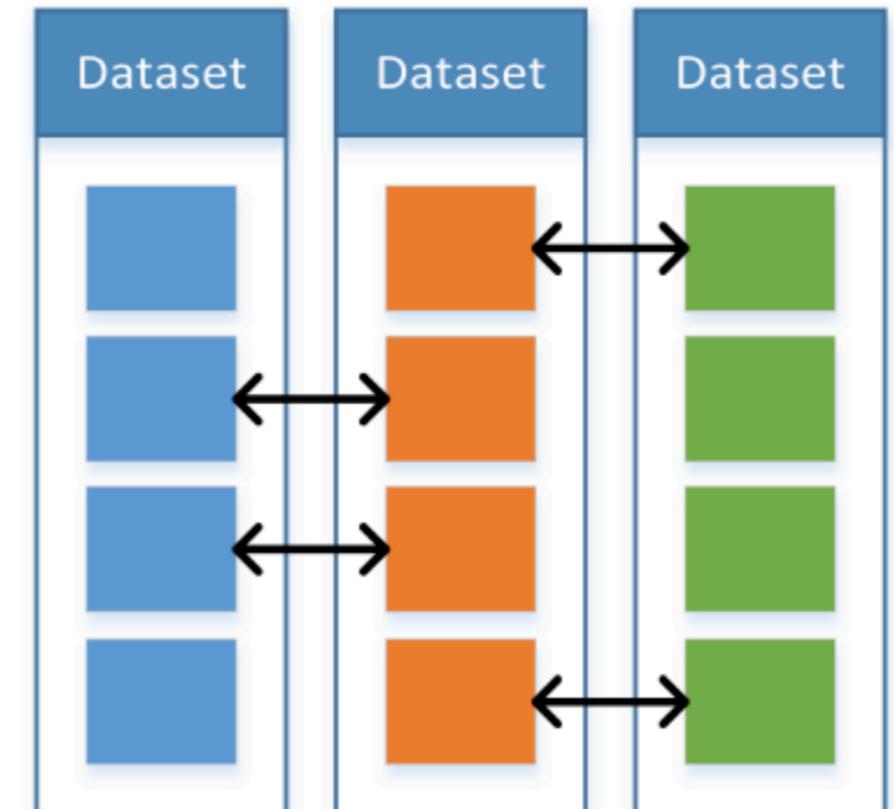
A Parallel



B Non-Parallel



C Hybrid



# Taxonomy of Multimodal Research

## Representation

- Joint
  - *Neural networks*
  - *Graphical models*
  - *Sequential*
- Coordinated
  - *Similarity*
  - *Structured*

## Translation

- Example-based
  - *Retrieval*
  - *Combination*
- Model-based
  - *Grammar-based*

- *Encoder-decoder*
- *Online prediction*

## Alignment

- Explicit
  - *Unsupervised*
  - *Supervised*
- Implicit
  - *Graphical models*
  - *Neural networks*

## Fusion

- Model agnostic
  - *Early fusion*
  - *Late fusion*
  - *Hybrid fusion*

- Model-based
  - *Kernel-based*
  - *Graphical models*
  - *Neural networks*

## Co-learning

- Parallel data
  - *Co-training*
  - *Transfer learning*
- Non-parallel data
  - *Zero-shot learning*
  - *Concept grounding*
  - *Transfer learning*
- Hybrid data
  - *Bridging*

# Multimodal Applications

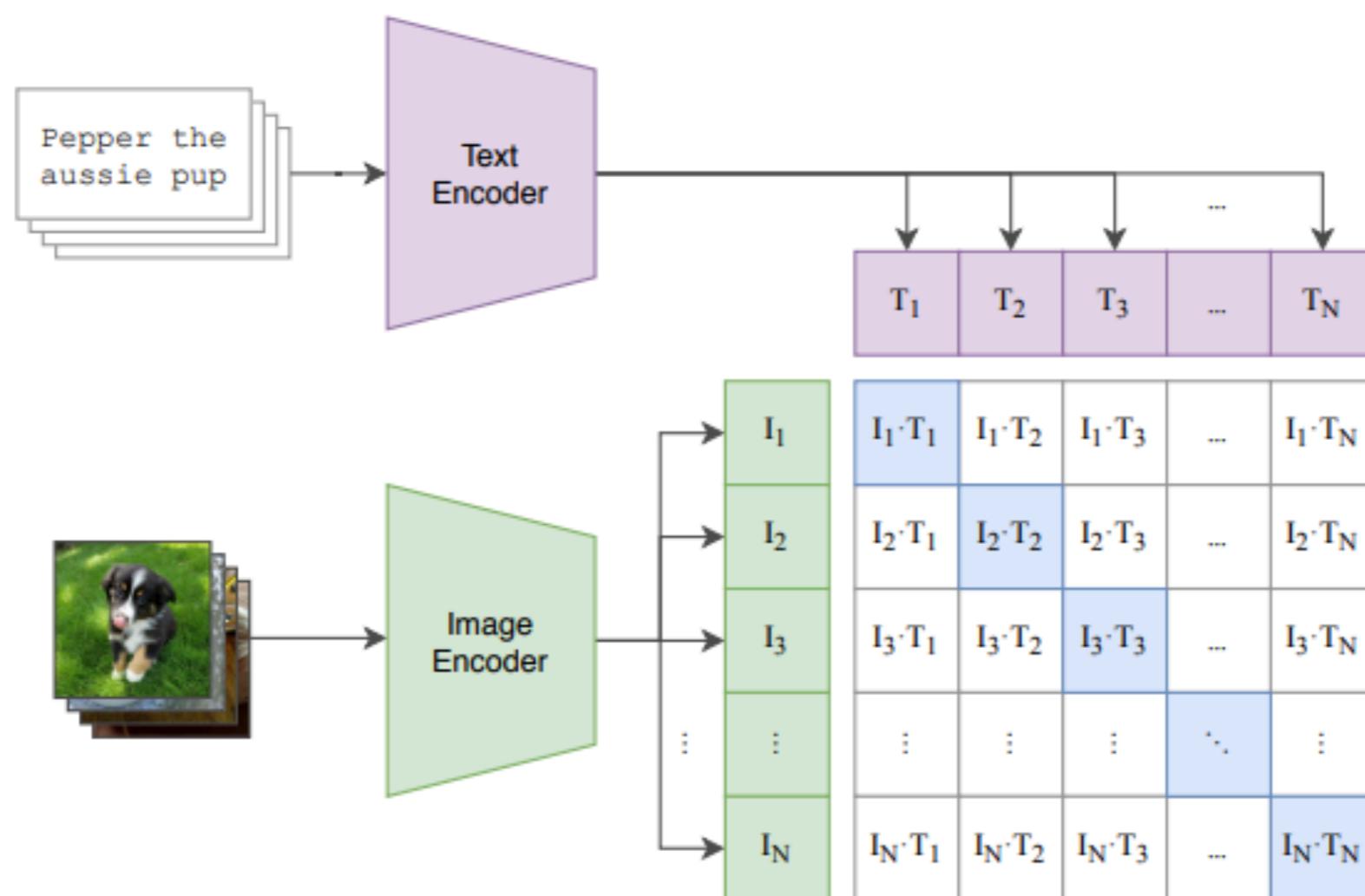
APPLICATIONS	CHALLENGES				
	REPRESENTATION	TRANSLATION	FUSION	ALIGNMENT	CO-LEARNING
<b>Speech Recognition and Synthesis</b> Audio-visual Speech Recognition (Visual) Speech Synthesis	✓ ✓	✓	✓	✓	✓
<b>Event Detection</b> Action Classification Multimedia Event Detection	✓ ✓		✓ ✓		✓ ✓
<b>Emotion and Affect</b> Recognition Synthesis	✓ ✓	✓	✓	✓	✓
<b>Media Description</b> Image Description Video Description Visual Question-Answering Media Summarization	✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	✓ ✓ ✓ ✓
<b>Multimedia Retrieval</b> Cross Modal retrieval Cross Modal hashing	✓ ✓	✓		✓	✓

# Recent Pre-trained Vision-Language Models

# CLIP

- Pre-train V+L models using image captioning data (i.e., image-text pairs) by contrastive loss

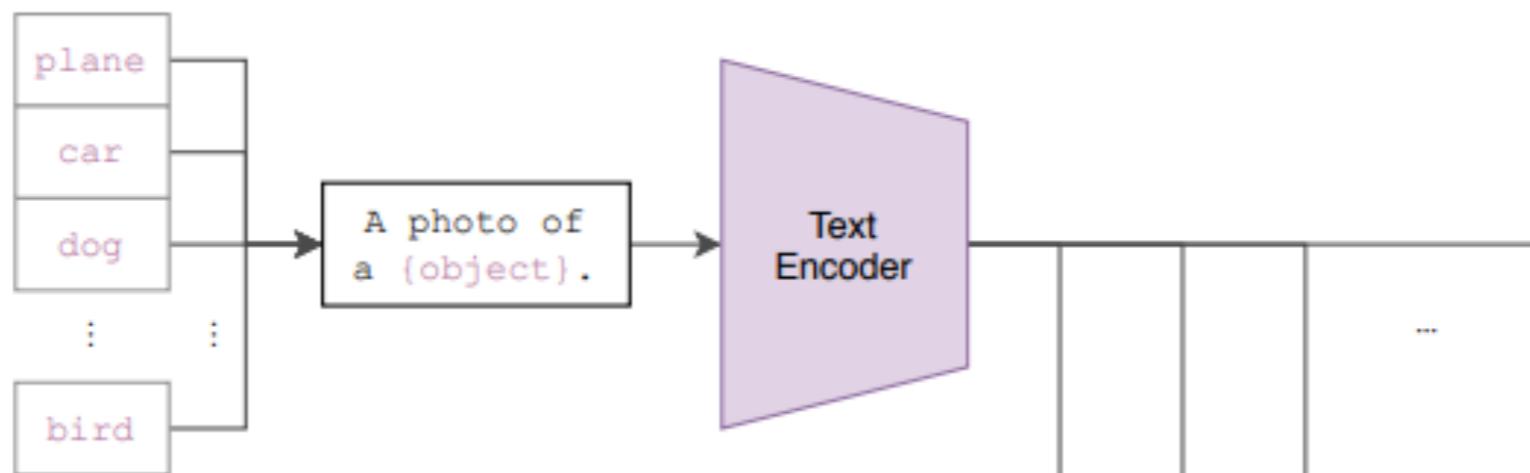
(1) Contrastive pre-training



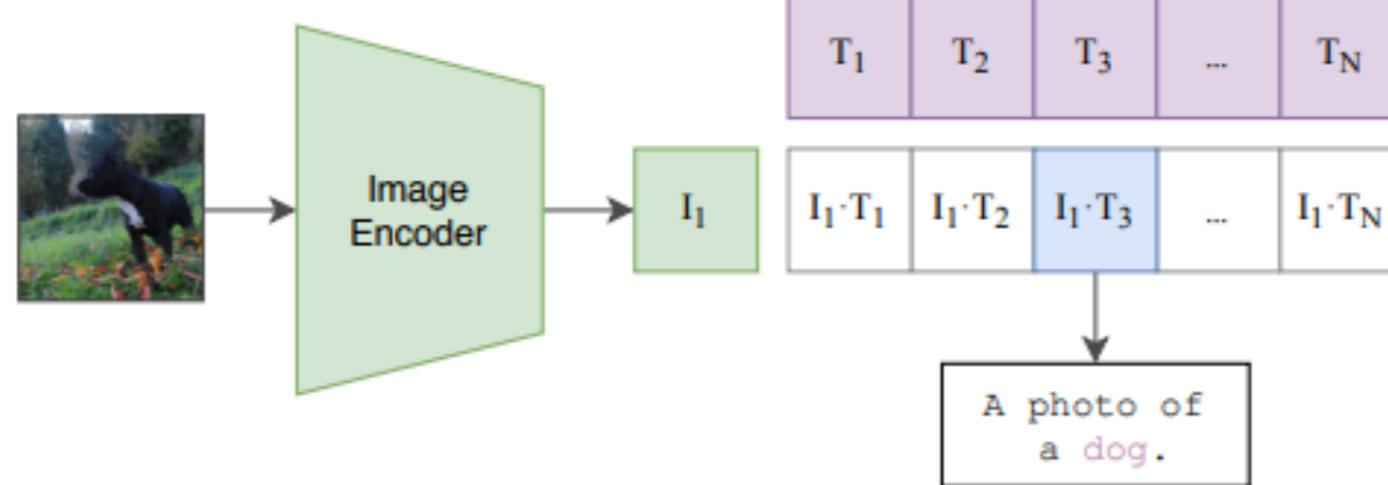
# CLIP: Zero-shot Image Classification

- Use a template + class label string to create a sentence

(2) Create dataset classifier from label text



(3) Use for zero-shot prediction



# CLIP: pseudocode

```
# image_encoder - ResNet or Vision Transformer
# text_encoder - CBOW or Text Transformer
# I[n, h, w, c] - minibatch of aligned images
# T[n, l]       - minibatch of aligned texts
# W_i[d_i, d_e] - learned proj of image to embed
# W_t[d_t, d_e] - learned proj of text to embed
# t             - learned temperature parameter

# extract feature representations of each modality
I_f = image_encoder(I) #[n, d_i]
T_f = text_encoder(T)  #[n, d_t]

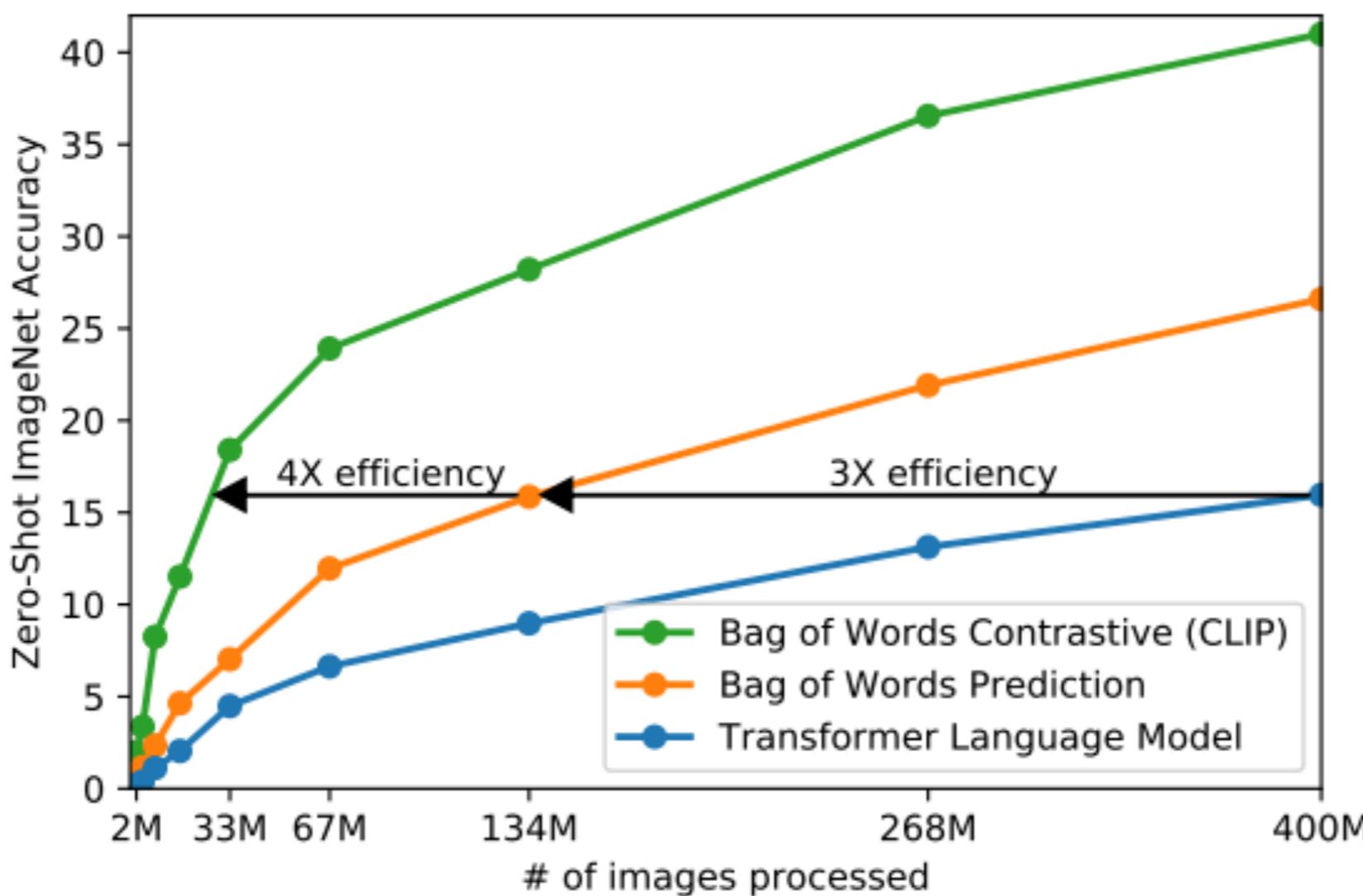
# joint multimodal embedding [n, d_e]
I_e = l2_normalize(np.dot(I_f, W_i), axis=1)
T_e = l2_normalize(np.dot(T_f, W_t), axis=1)

# scaled pairwise cosine similarities [n, n]
logits = np.dot(I_e, T_e.T) * np.exp(t)

# symmetric loss function
labels = np.arange(n)
loss_i = cross_entropy_loss(logits, labels, axis=0)
loss_t = cross_entropy_loss(logits, labels, axis=1)
loss   = (loss_i + loss_t)/2
```

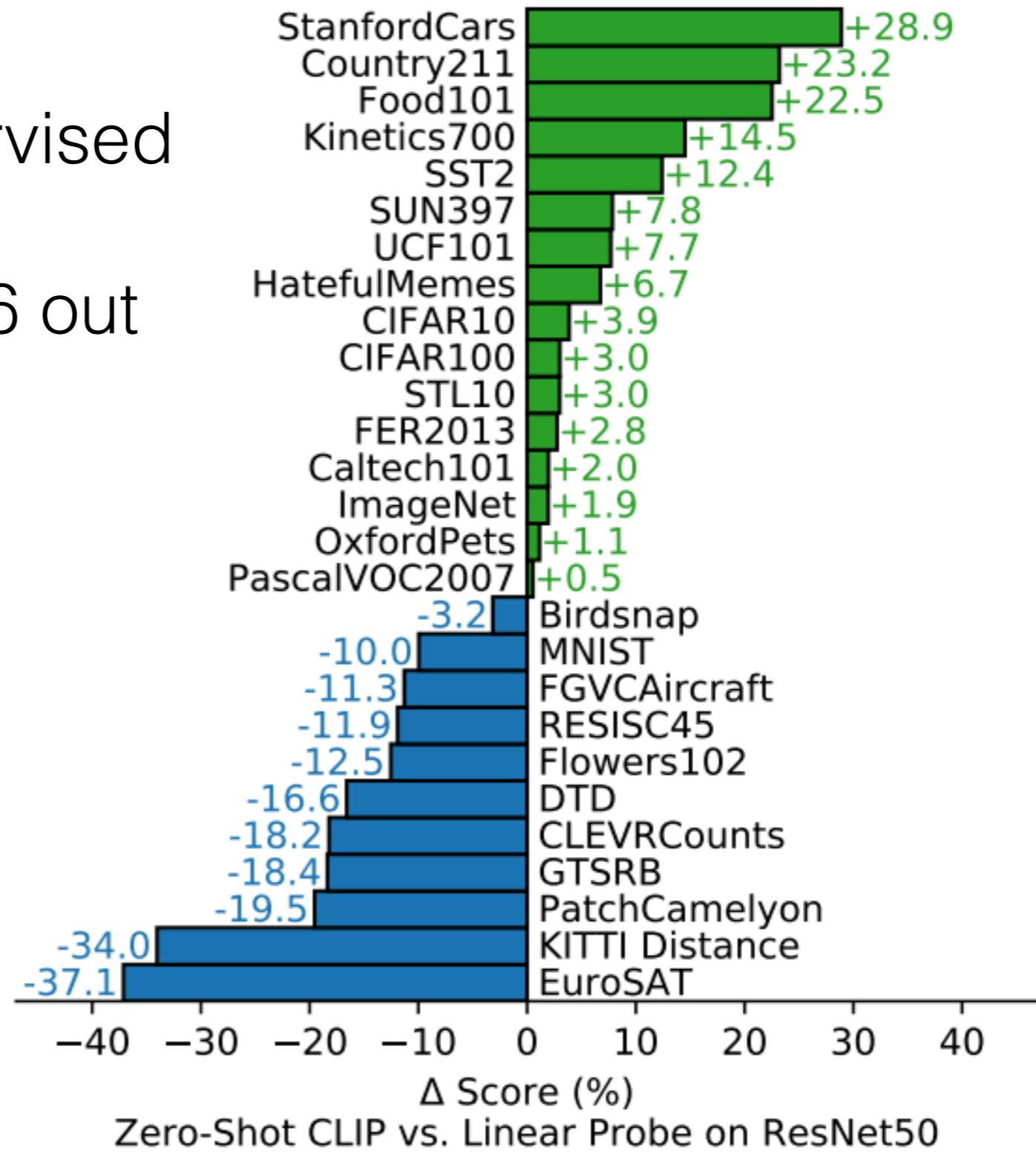
# Efficiency of BoW Representations

- CLIP w/ BoW representations work better than transformer language model on zero-shot ImageNet prediction



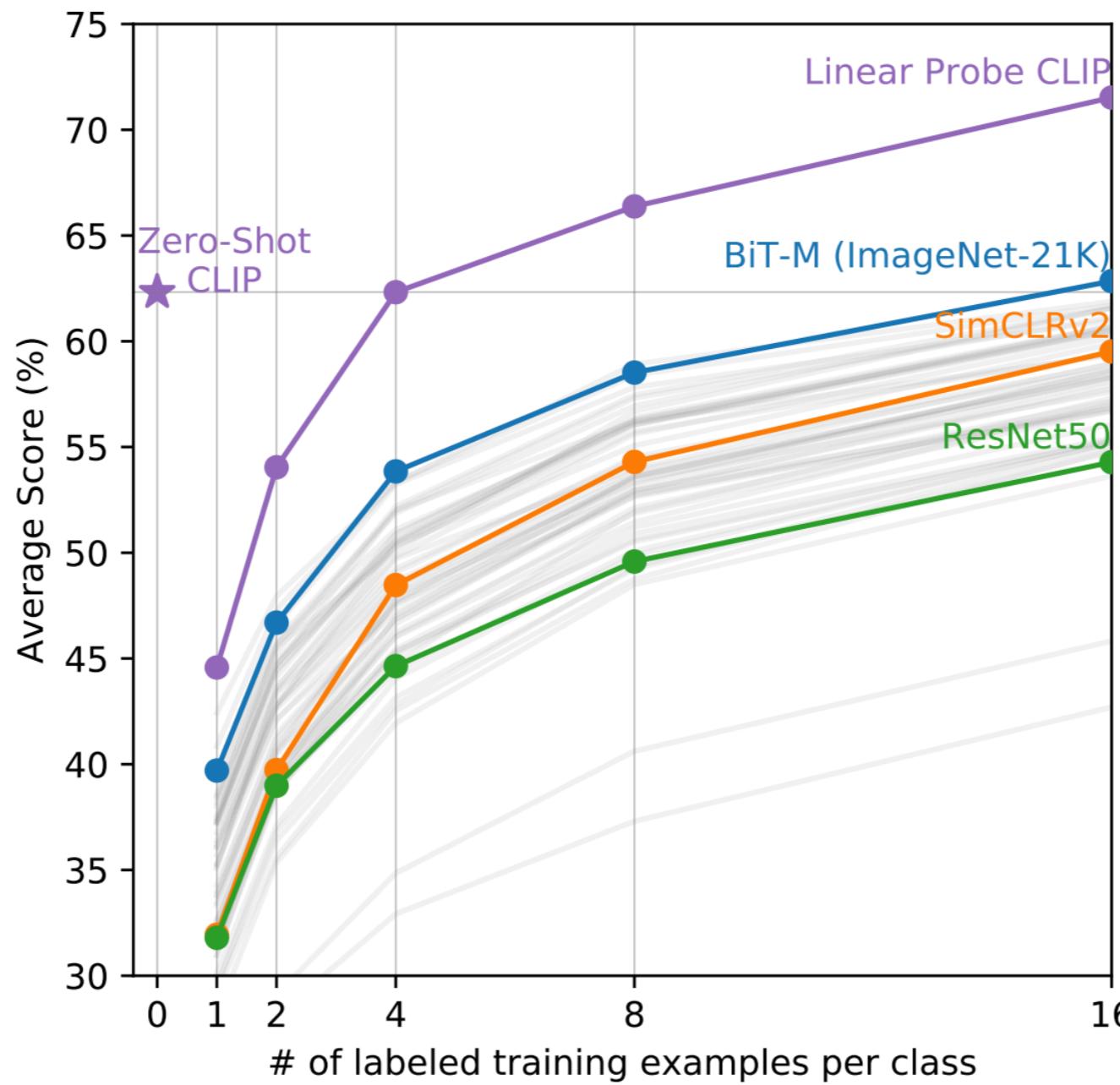
# Zero-shot Image Classification

- Zero-shot CLIP classifier outperforms a fully supervised linear classifier fitted on ResNet-50 features on 16 out of 27 datasets (including ImageNet).



# Few-shot Performance

- Zero-shot CLIP outperforms other few-shot baselines
- Few-shot CLIP further improves w/ a few labeled data.



# DALL-E: Text-to-Image Generation

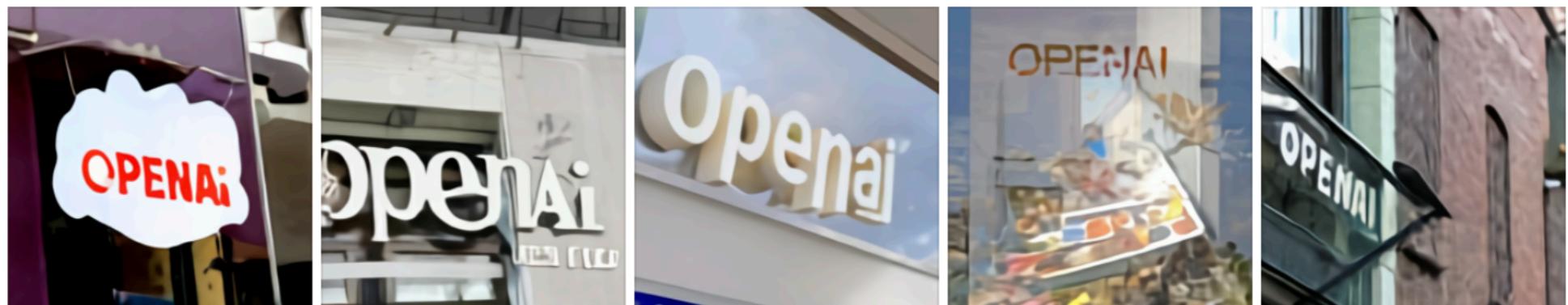
TEXT PROMPT    an illustration of a baby daikon radish in a tutu walking a dog

AI-GENERATED IMAGES



TEXT PROMPT    a store front that has the word 'openai' written on it. . . .

AI-GENERATED IMAGES



TEXT & IMAGE PROMPT    the exact same cat on the top as a sketch on the bottom

AI-GENERATED IMAGES



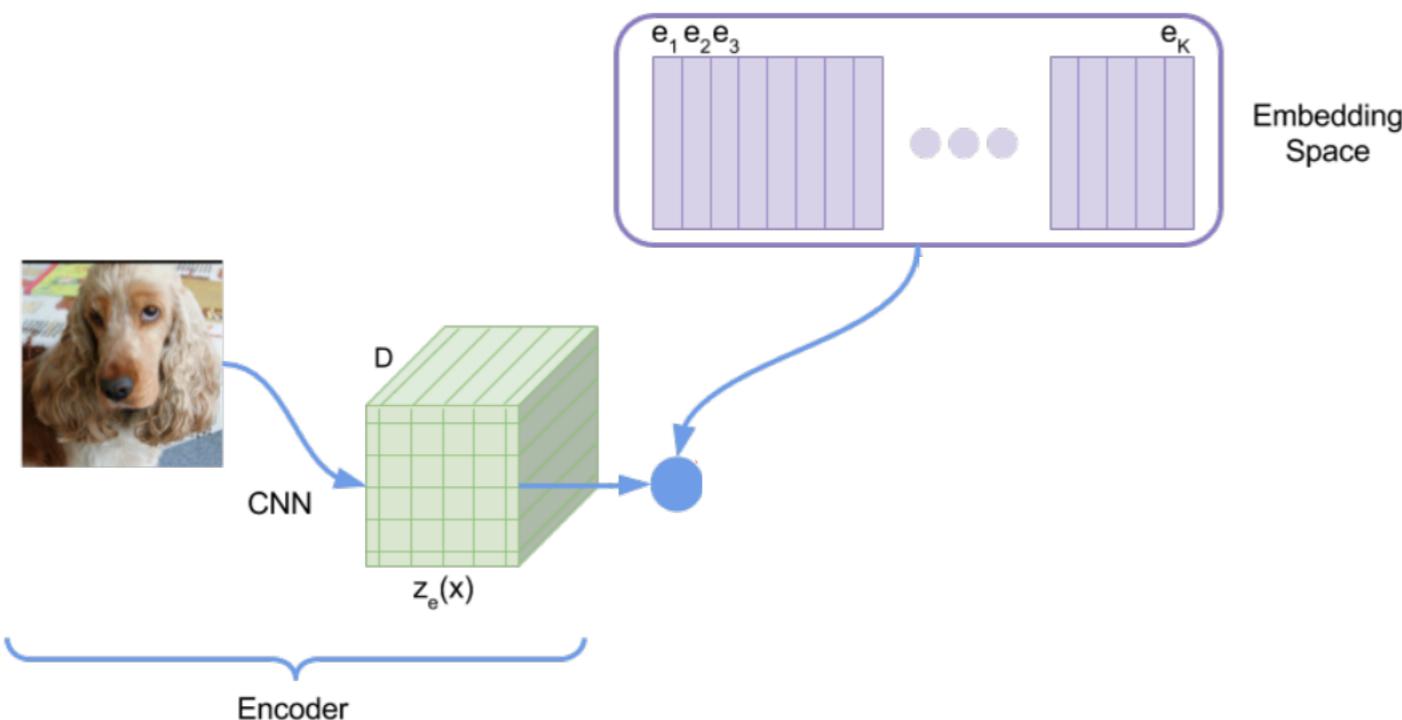
# DALL-E

- **Stage 1:** Train a discrete VAE on **only images** (encode RGB images to image tokens (latent variable), and decode image tokens back to RGB images)
- **Stage 2:** Train a language model (LM) to generate a combined sequence of **both text tokens and image tokens**

# DALL-E: dVAE Training

- **Stage 1:** Train a discrete variational autoencoder (dVAE or VQ-VAE, Oord et al. 2018) to compress each 256x256 RGB image into 32x32 grid of image tokens.

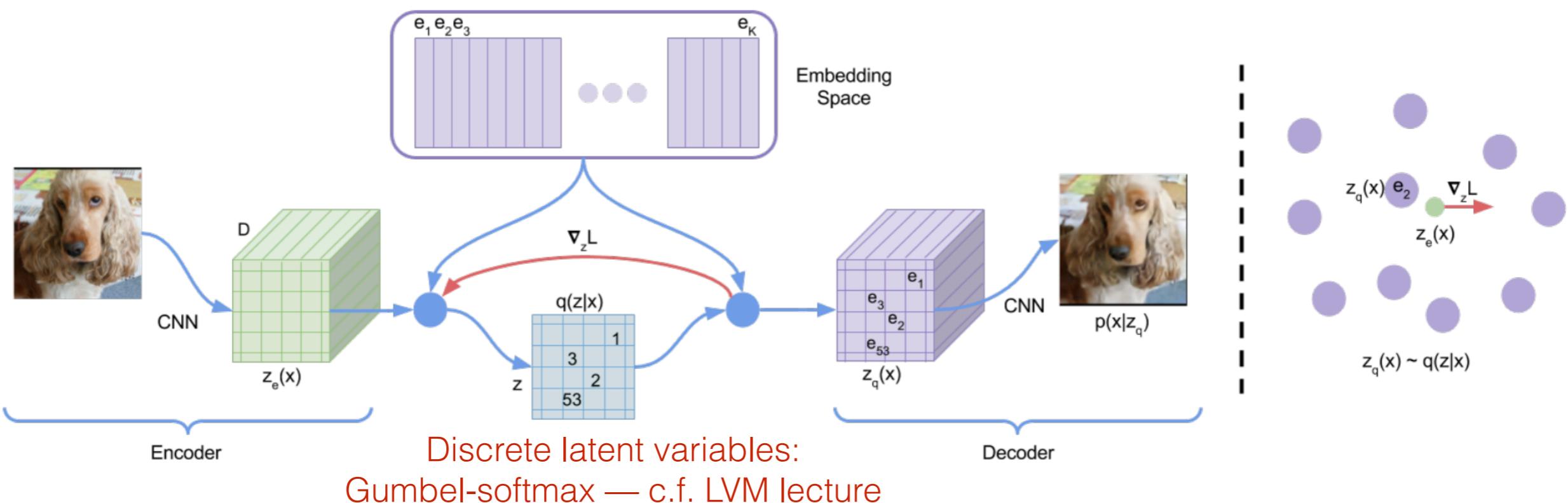
Each image token finds the nearest vector from a 8196 codebook (vocabulary)



# DALL-E: dVAE Training

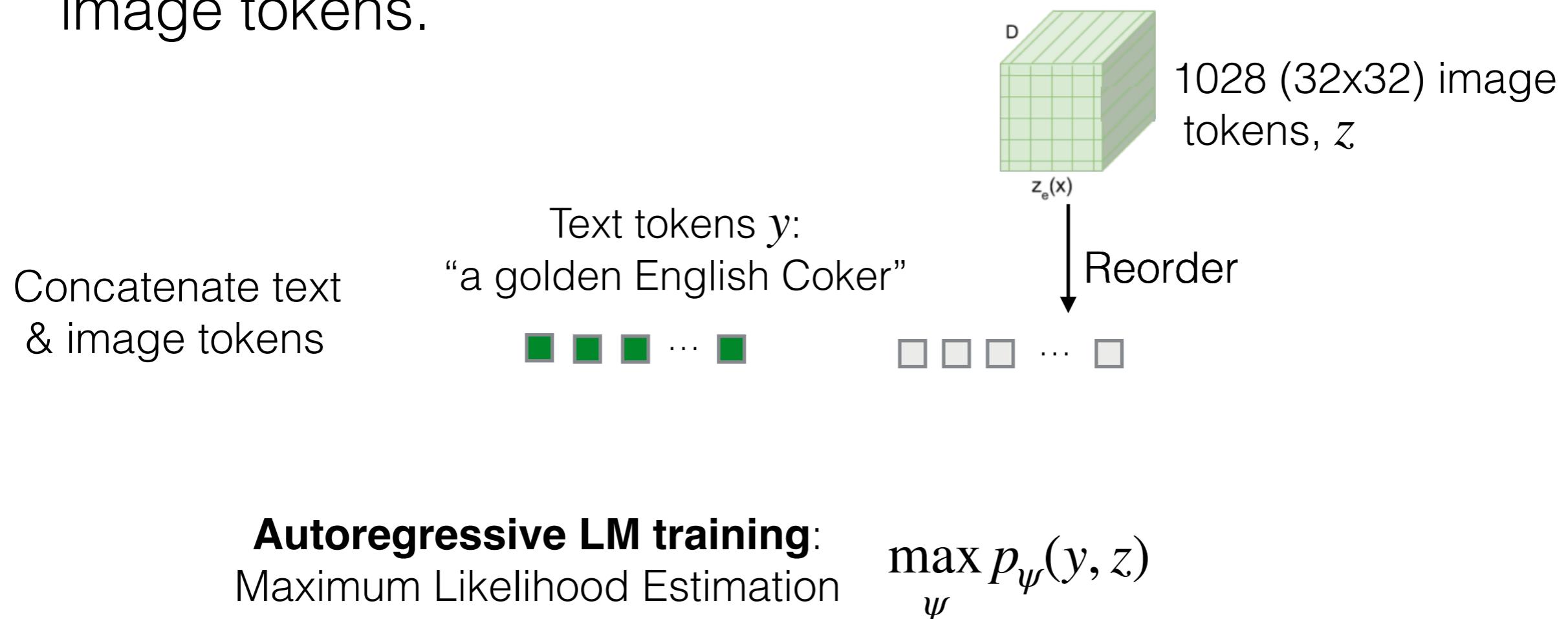
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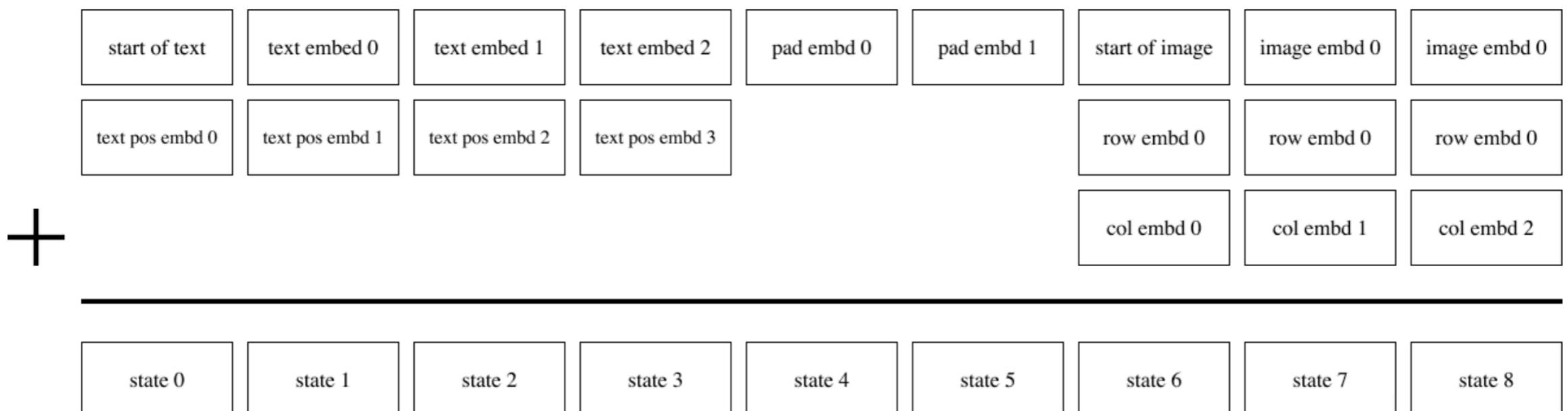
# DALL-E: Language Model Training

- **Stage 2:** Concatenate up to 256 text tokens with the 32x32 (=1024) image tokens, and train an autoregression transformer to model the joint distribution of the text and image tokens.

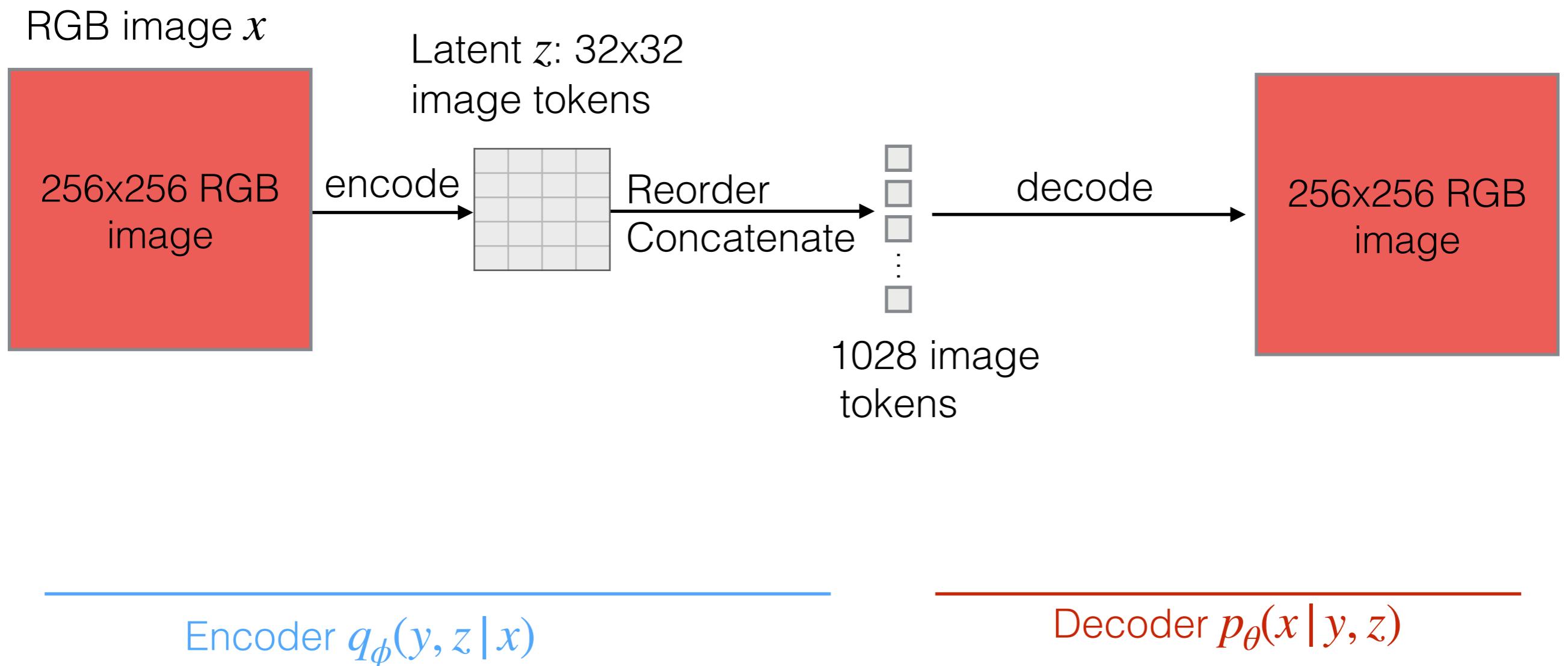


# DALL-E: Language Model Training

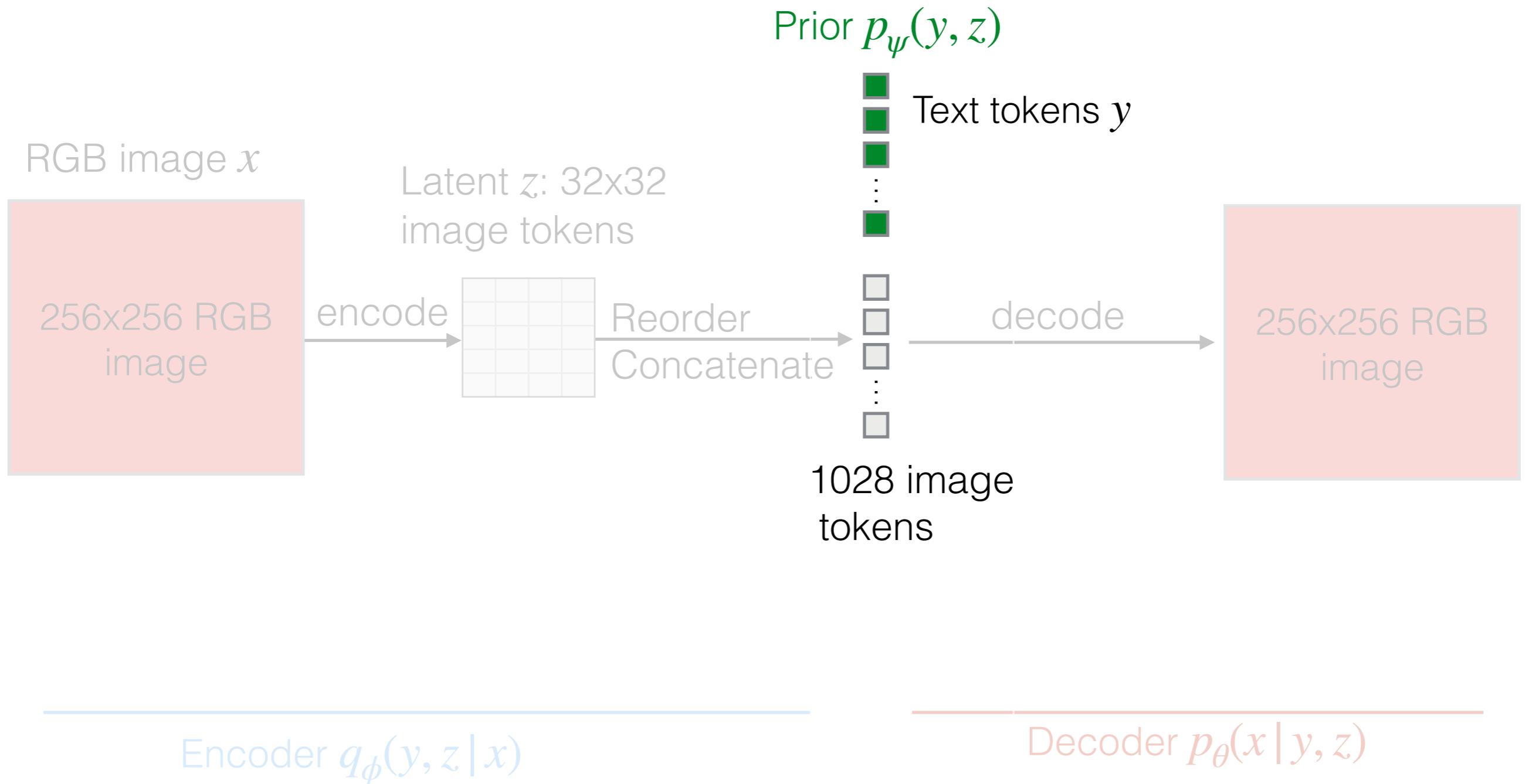
- Representation of the combined text + image token sequence



# DALL-E: Stage 1



# DALL-E: Stage 2



# DALL-E: Overall Training Procedure

Maximize Evidence Lower Bound (ELB)— LVM lecture

$$\ln p_{\theta, \psi}(x, y) \geq \mathbb{E}_{z \sim q_{\phi}(z|x)} (\ln p_{\theta}(x|y, z) - \beta D_{\text{KL}}(q_{\phi}(y, z|x), p_{\psi}(y, z))),$$

Stage 1 updates  $p_{\theta}$ ,  $q_{\phi}$  and fixes  $p_{\psi}$

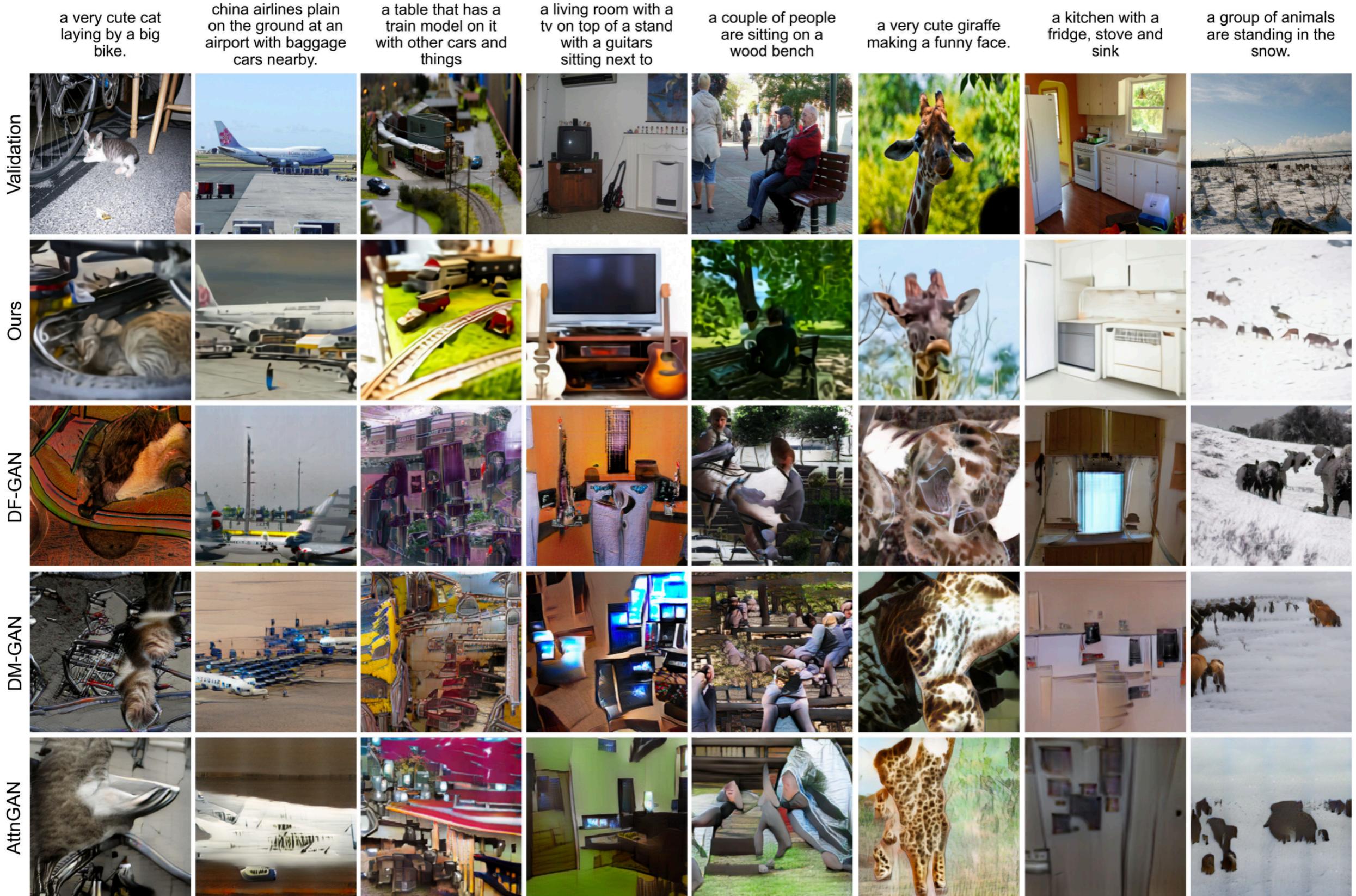
Stage 2 fixes  $p_{\theta}$ ,  $q_{\phi}$  and updates  $p_{\psi}$

- $x$ : the RGB image (256x256)
- $z$ : the 32x32 (=1024) image tokens
- $y$ : the text up to 256 tokens
- $q_{\phi}$  is the distribution over text tokens and the 32x32 image tokens generated by dVAE encoder given the RGB image  $x$
- $p_{\theta}$  is the distribution over the RGB image generated by dVAE decoder given the image tokens and text tokens
- $p_{\psi}$  is the prior distribution over the text and image tokens.

# DALL-E: Test Time

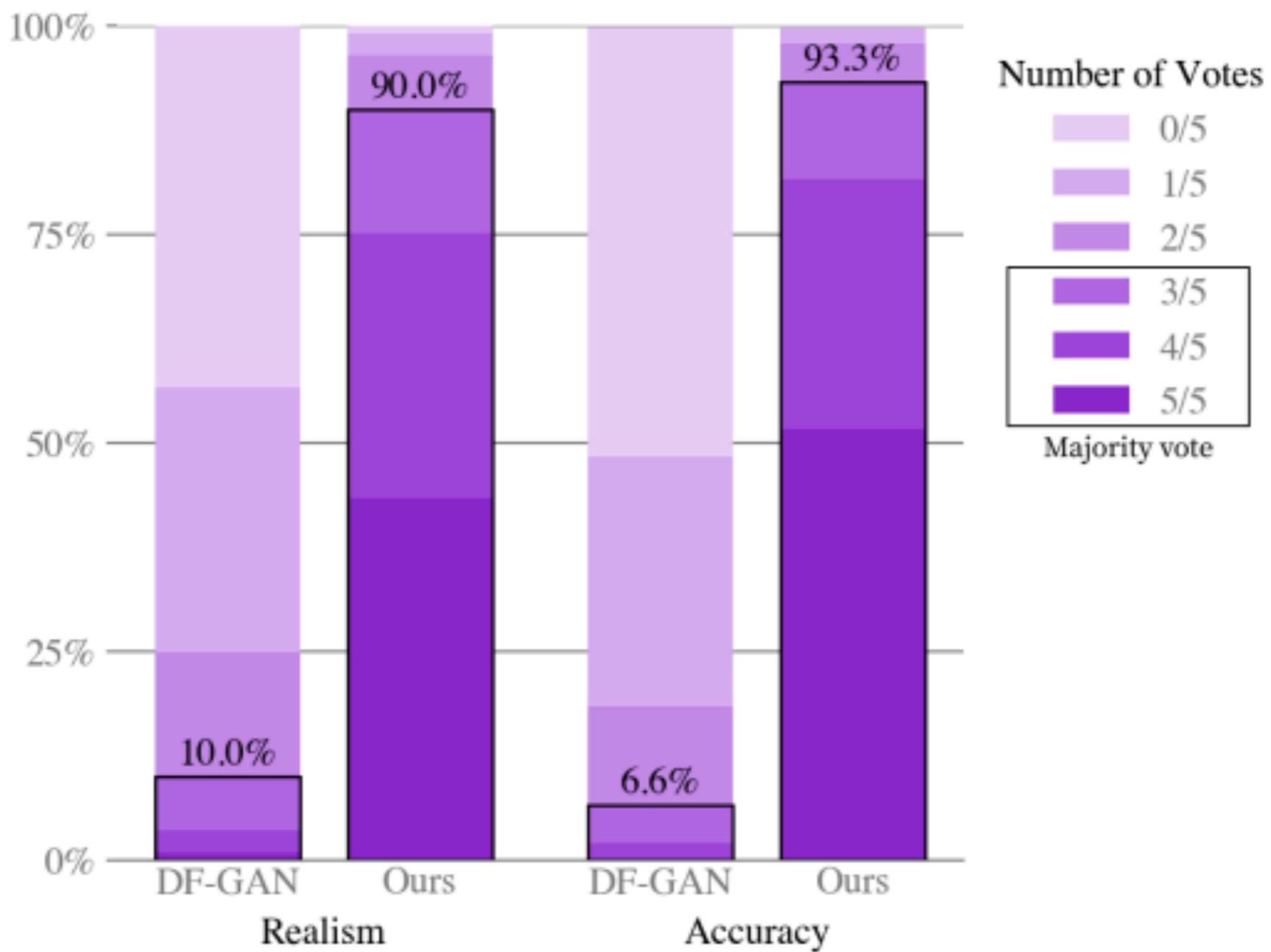
- Given a text prompt  $y$ , use the prior distribution (LM) to sample a sequence of 1028 image tokens
- Re-order 1028 image tokens to 32x32 shape
- Use dVAE's decoder to generate a RGB image from the image tokens.

# Text-to-Image Generation



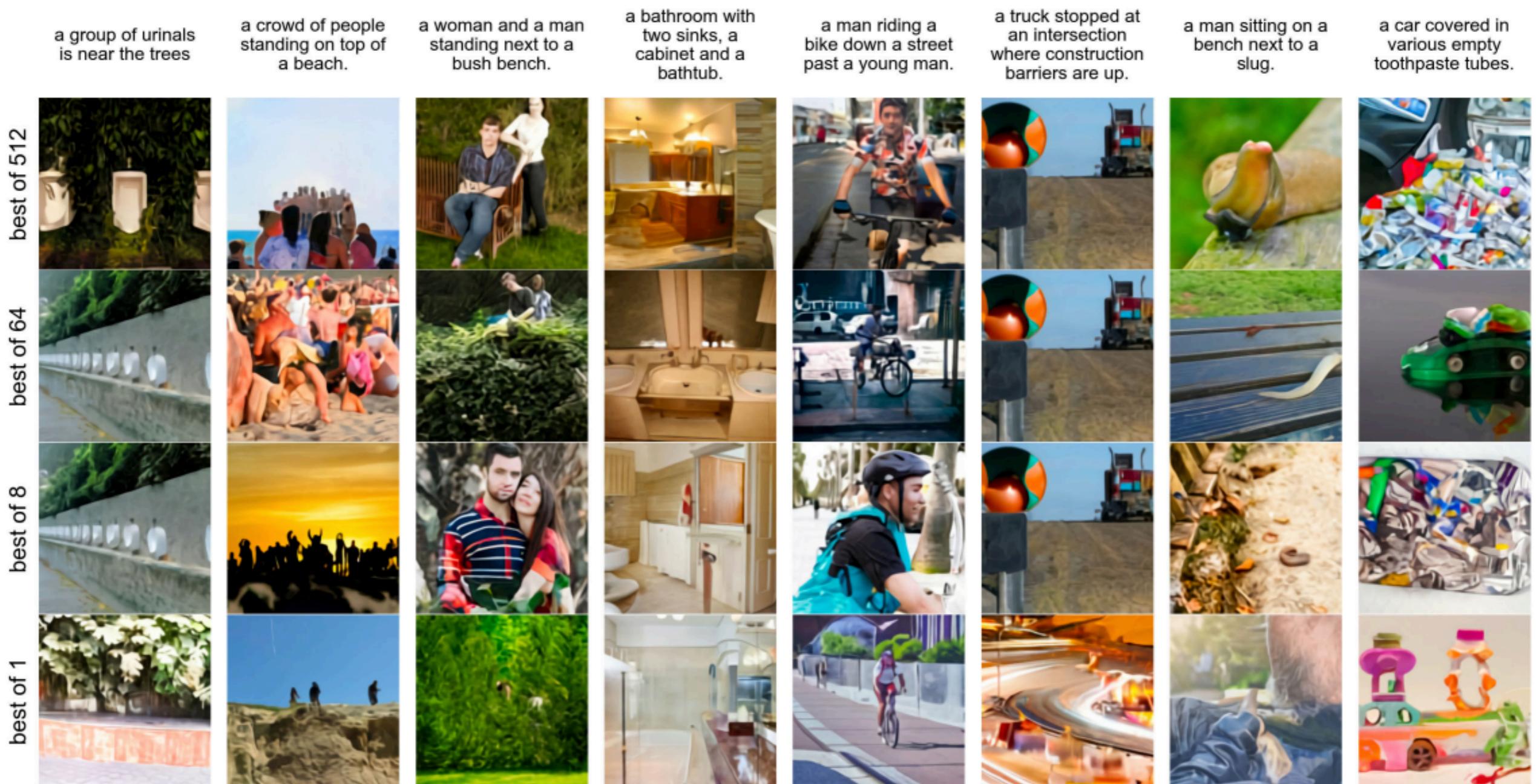
# Human Eval on “Realism” and “Accuracy”

- DALL-E outperforms DF-GAN



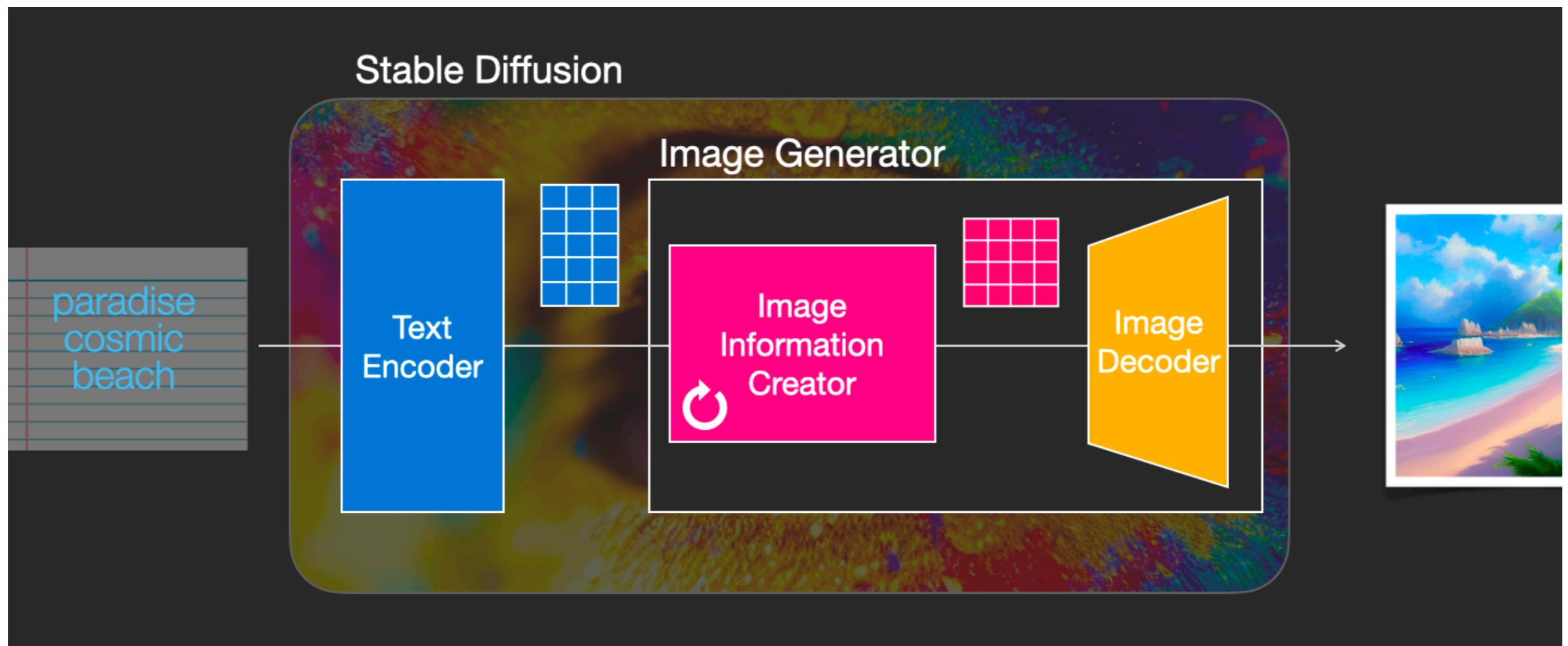
# Sample, then Re-rank

- Sample K (e.g., K=1, 8, 64, 512) images from DALL-E, re-rank by CLIP, and pick the best output.



# More Text-to-Image Generation Models

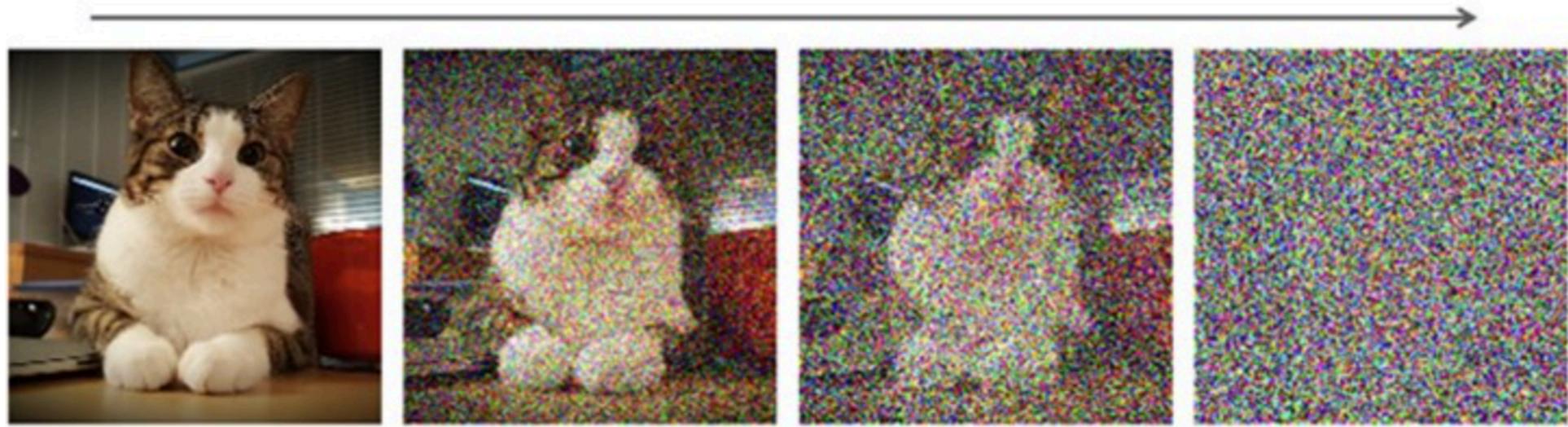
- Stable diffusion: Latent diffusion model



<https://jalammar.github.io/illustrated-stable-diffusion/>

# More Text-to-Image Generation Models

- Stable diffusion: Add noise & remove noise



$$\text{[Noisy Image]} - \epsilon^* \cdot \text{[Noise Image]} = \text{[Original Image]}$$

A diagram illustrating the denoising process. It shows a noisy image on the left being subtracted by a noise vector ( $\epsilon^*$ ) to produce a reconstructed image on the right. The equation is:

$$\text{[Noisy Image]} - \epsilon^* \cdot \text{[Noise Image]} = \text{[Original Image]}$$

# Questions?