

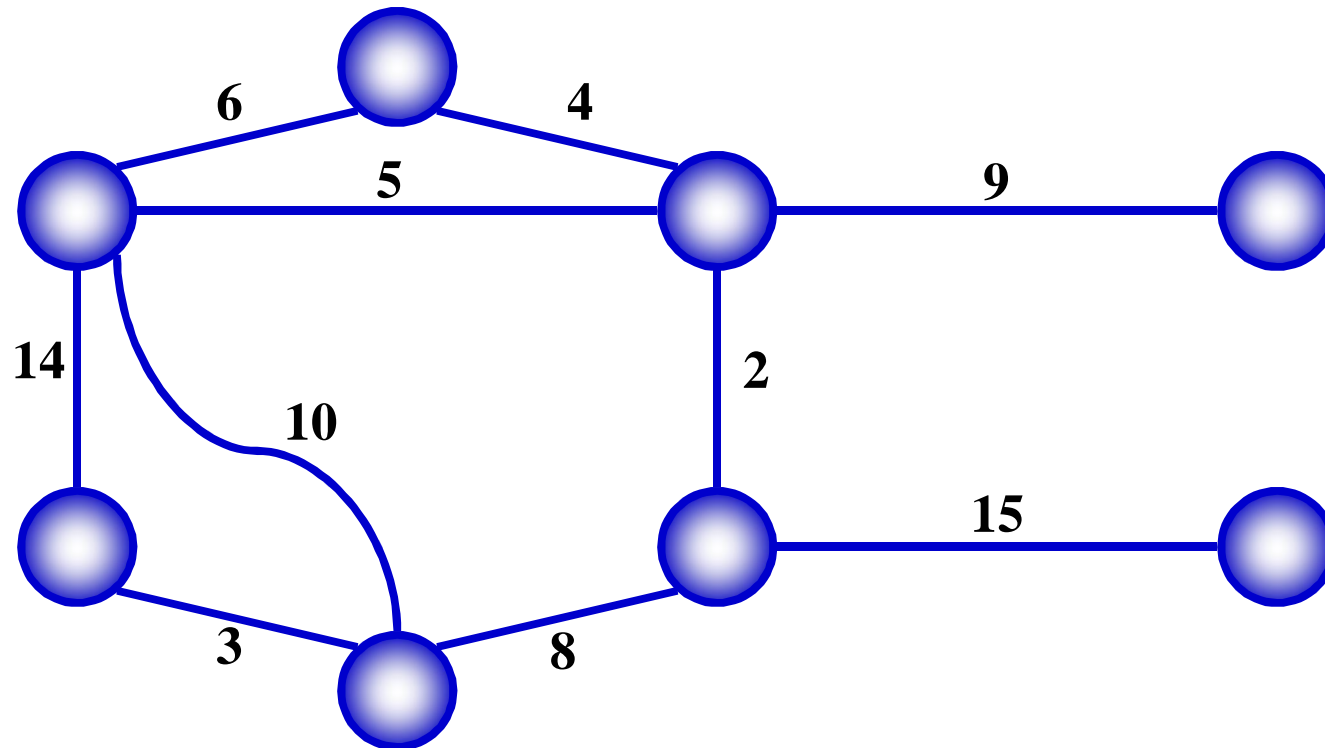


Algorithms: Greedy Method

Minimum Spanning Tree

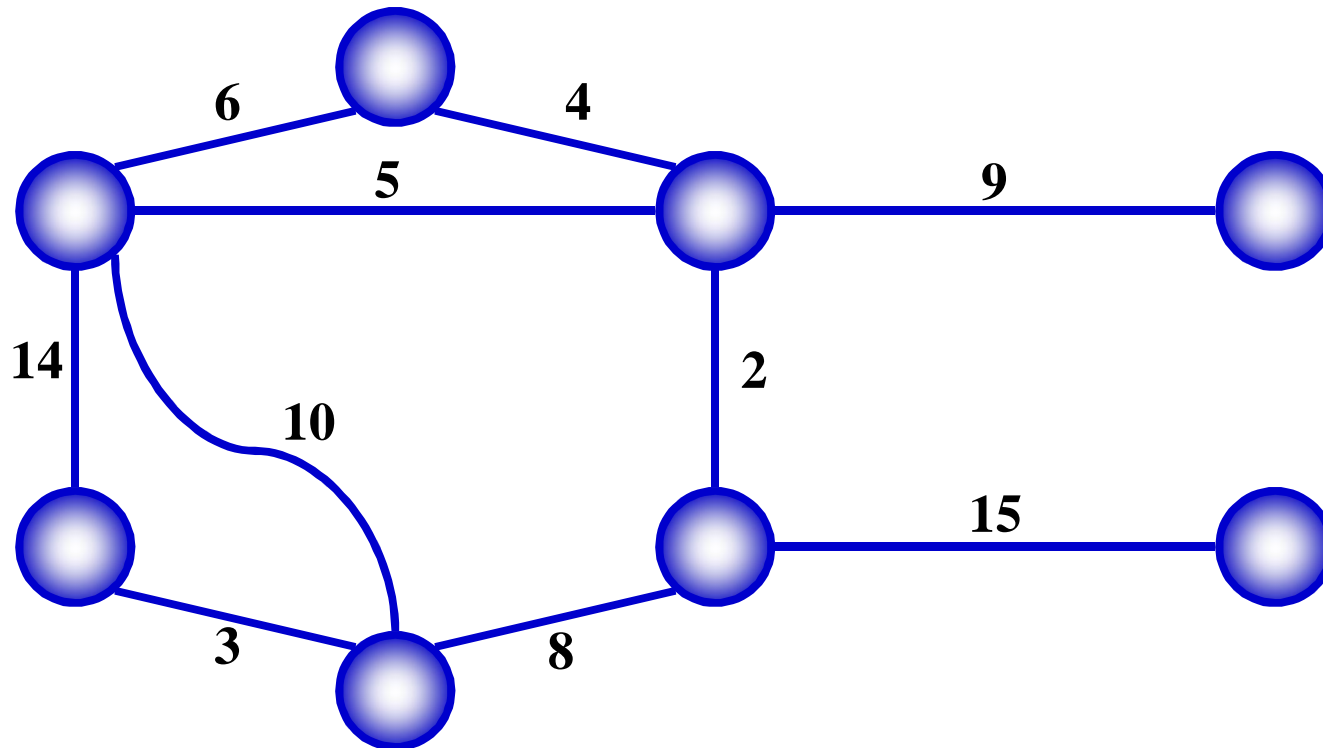
Minimum Spanning Tree

- Problem: given a connected, undirected, weighted graph:



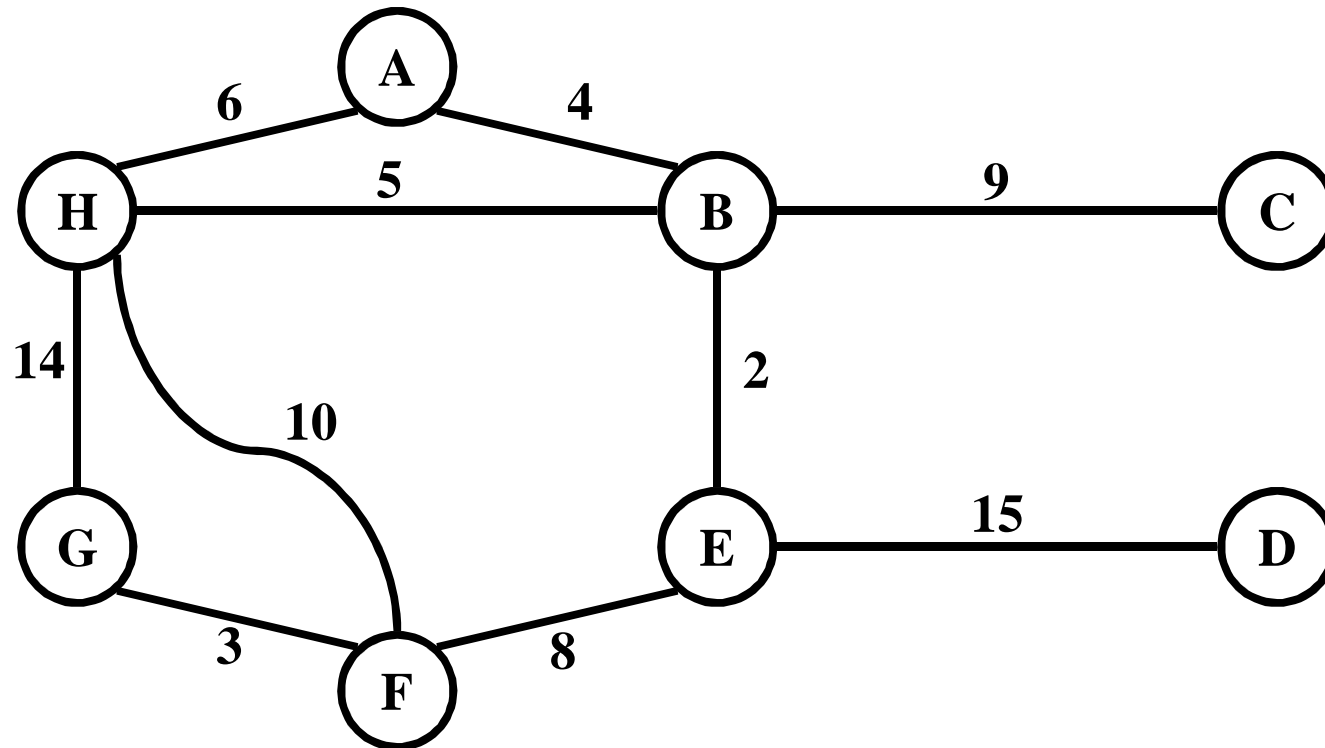
Minimum Spanning Tree

- Problem: given a connected, undirected, weighted graph, find a *spanning tree* using edges that **minimize** the total weight



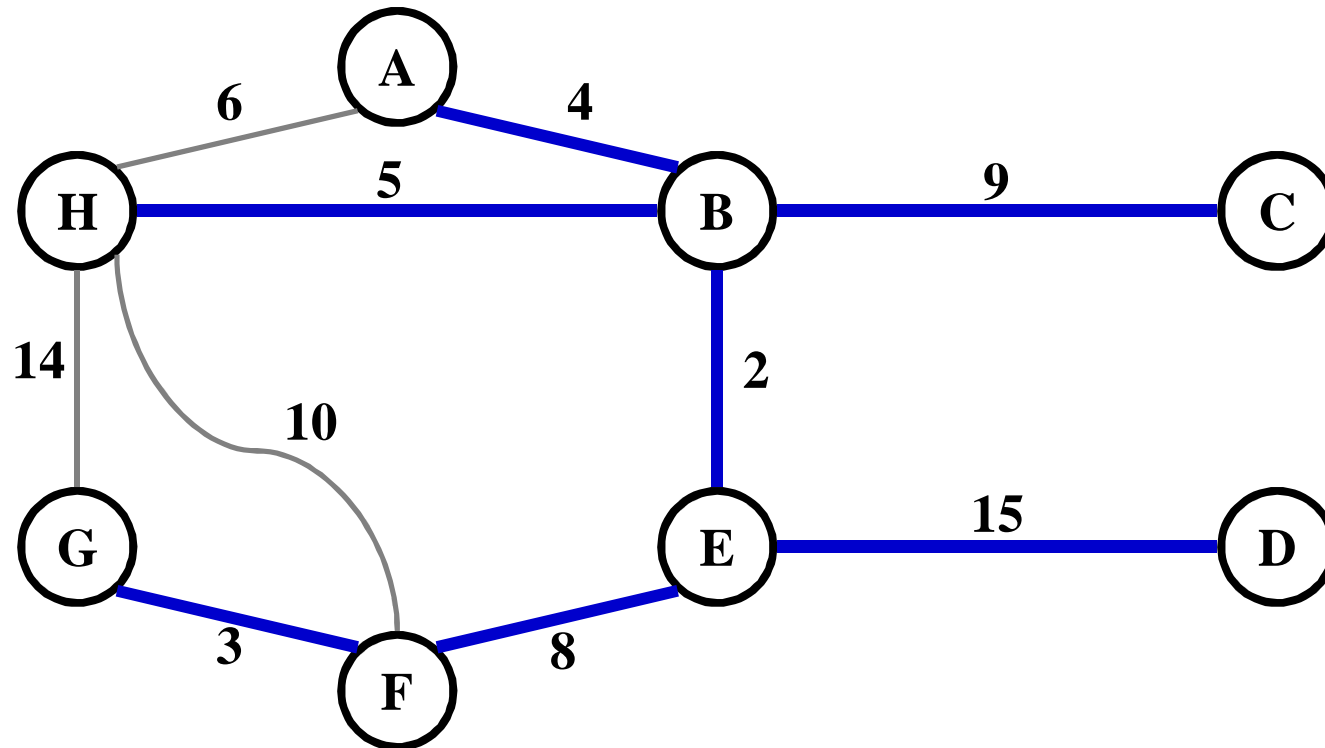
Minimum Spanning Tree

- Which edges form the minimum spanning tree (MST) of the graph as shown below?



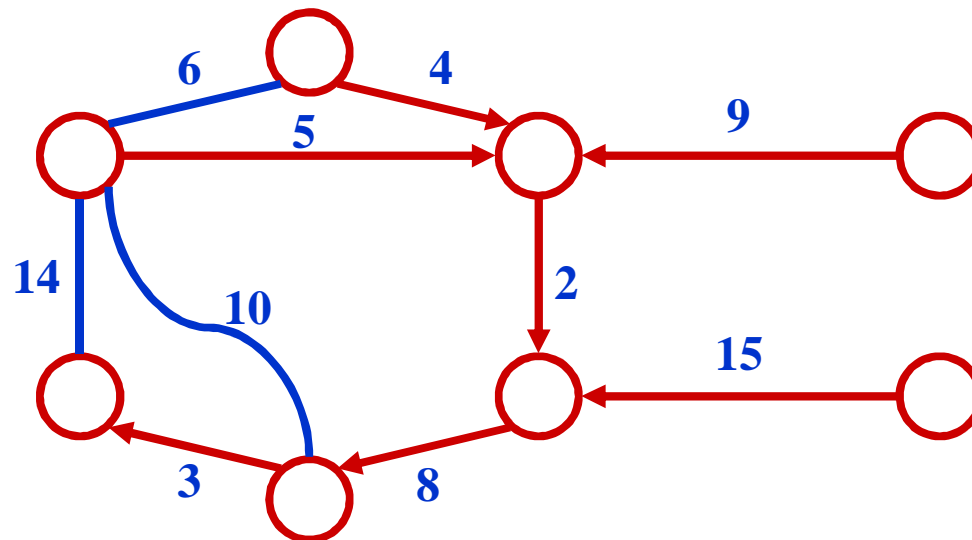
Minimum Spanning Tree

- Answer:



Minimum Spanning Tree

- MSTs satisfy the *optimal substructure property*: an optimal minimum spanning tree is composed of optimal minimum spanning subtrees
 - Let T be an MST of G with an edge (u, v) in the middle
 - Removing (u, v) partitions T into two trees T_1 and T_2
 - Claim: T_1 is an MST of $G_1 = (V_1, E_1)$, and T_2 is an MST of $G_2 = (V_2, E_2)$
(Do V_1 and V_2 share vertices? Why?)
 - Proof: $w(T) = w(u, v) + w(T_1) + w(T_2)$
(There can't be a better tree than T_1 or T_2 . Then T would be suboptimal)



Prim's Algorithm

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MST-Prim( $G, w, r$ )
   $Q = V[G];$ 
  for each  $u \in Q$ 
     $key[u] = \infty;$ 
   $key[r] = 0;$ 
   $p[r] = \text{NULL};$ 
  while ( $Q$  not empty)
     $u = \text{ExtractMin}(Q);$ 
    for each  $v \in \text{Adj}[u]$ 
      if ( $v \in Q$  and  $w(u,v) < key[v]$ )
         $p[v] = u;$ 
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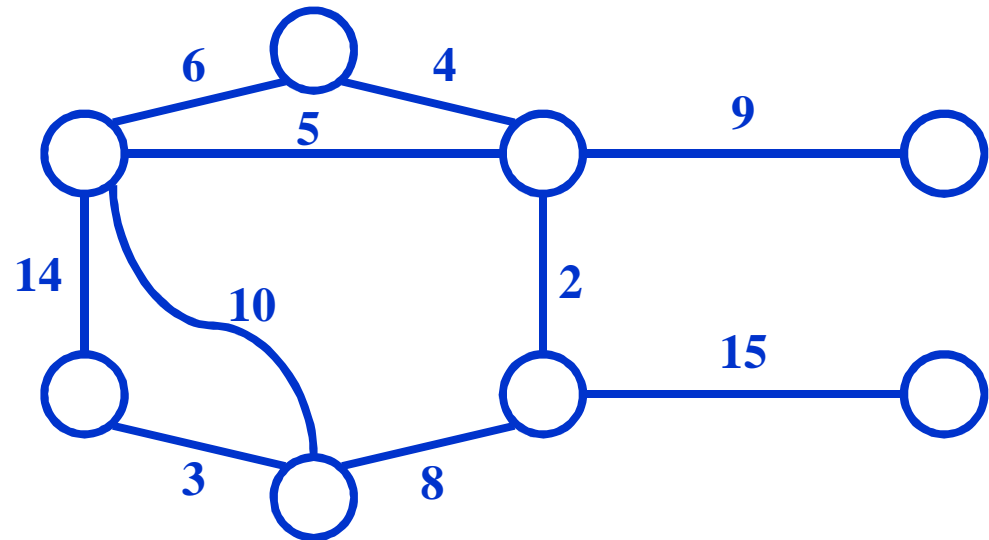
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Run on example graph

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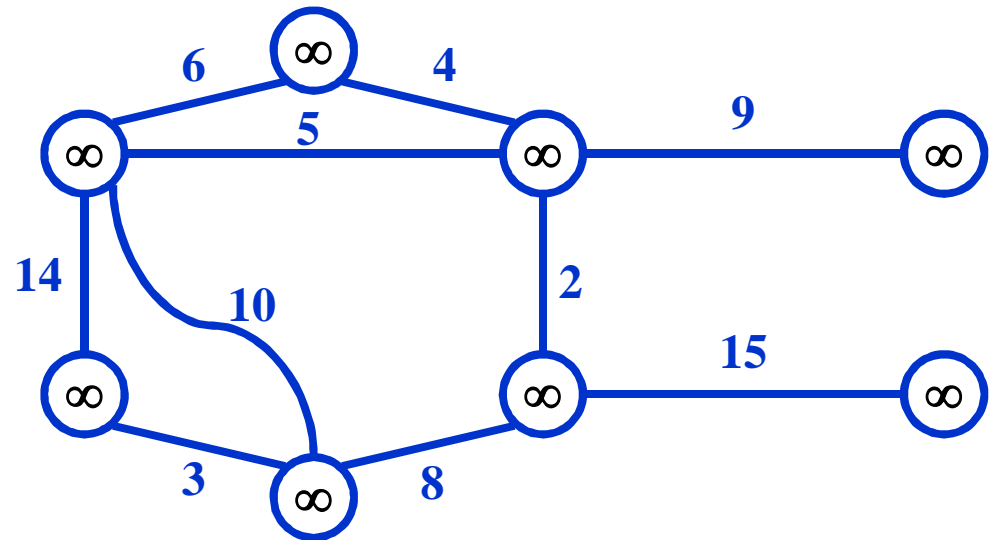
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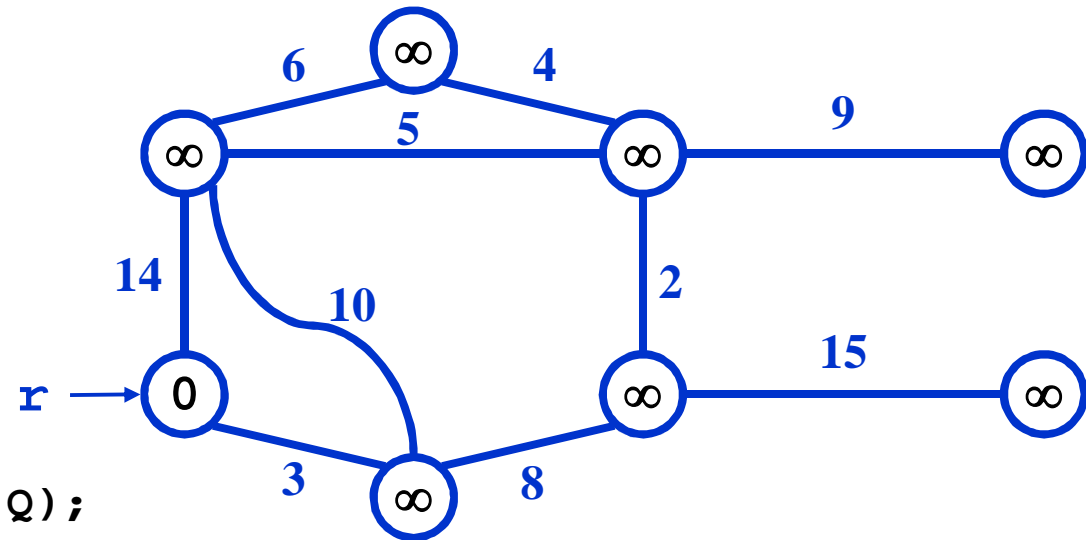
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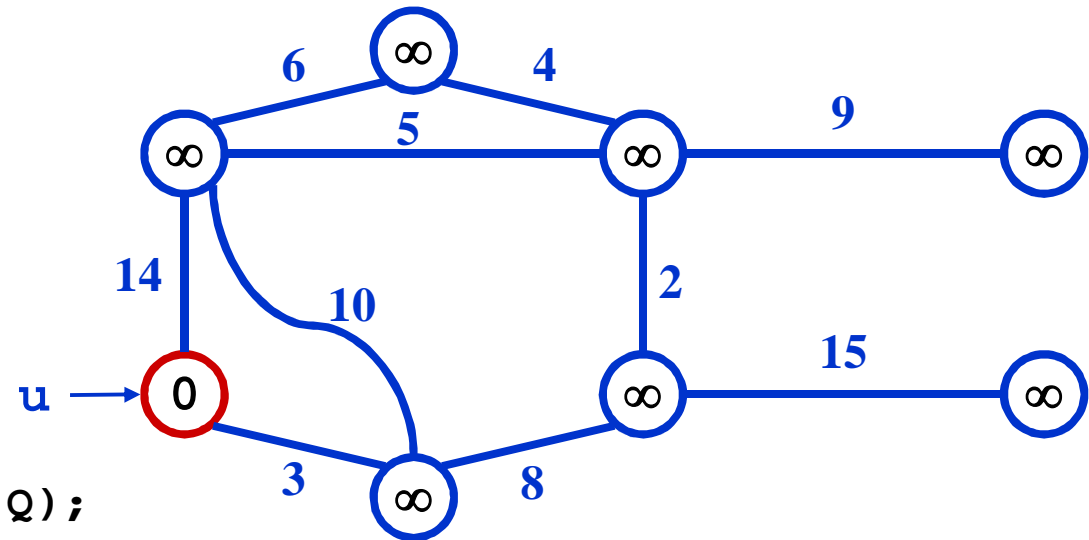
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Red vertices have been removed from Q

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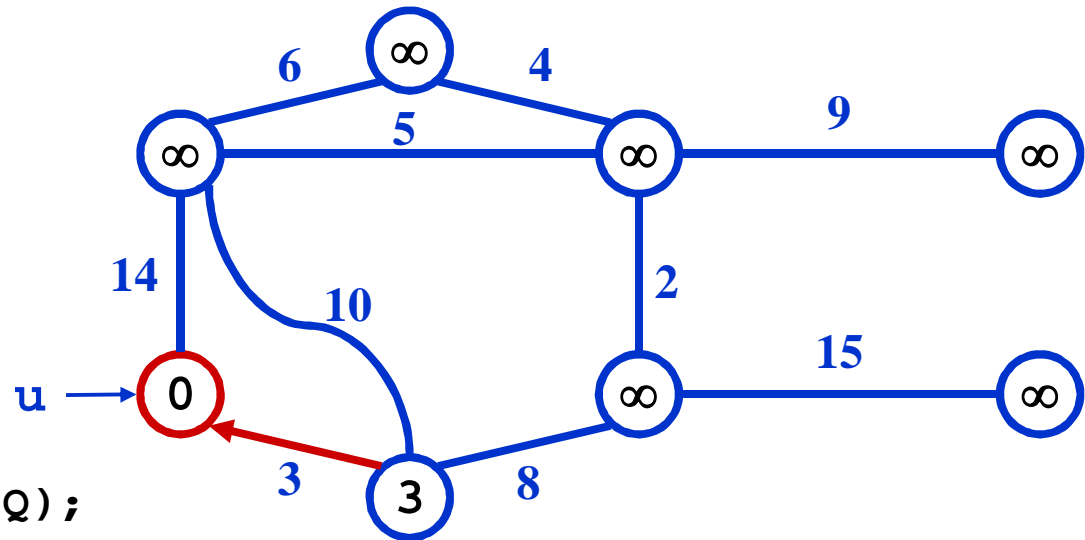
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Red arrows indicate parent pointers

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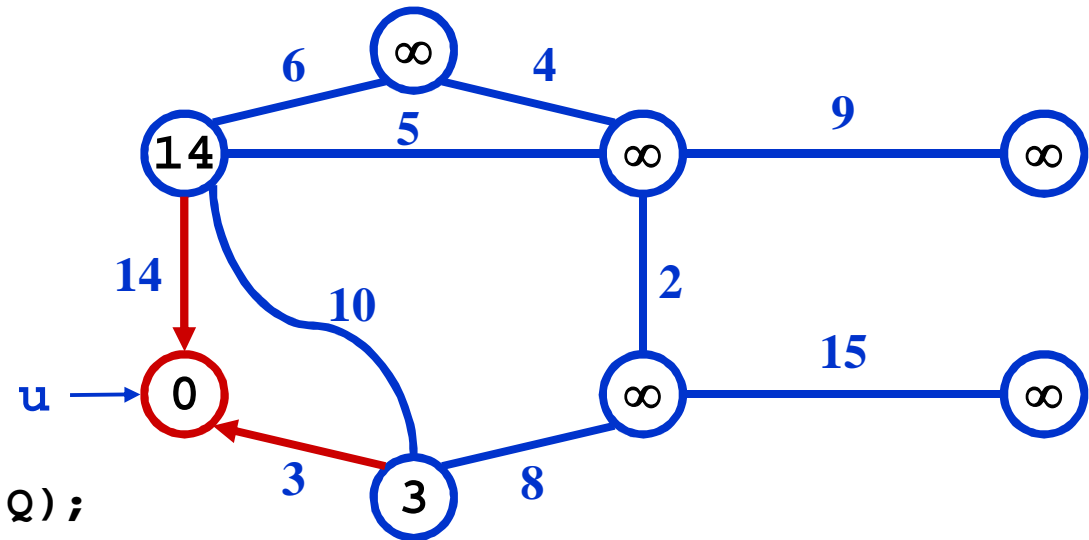
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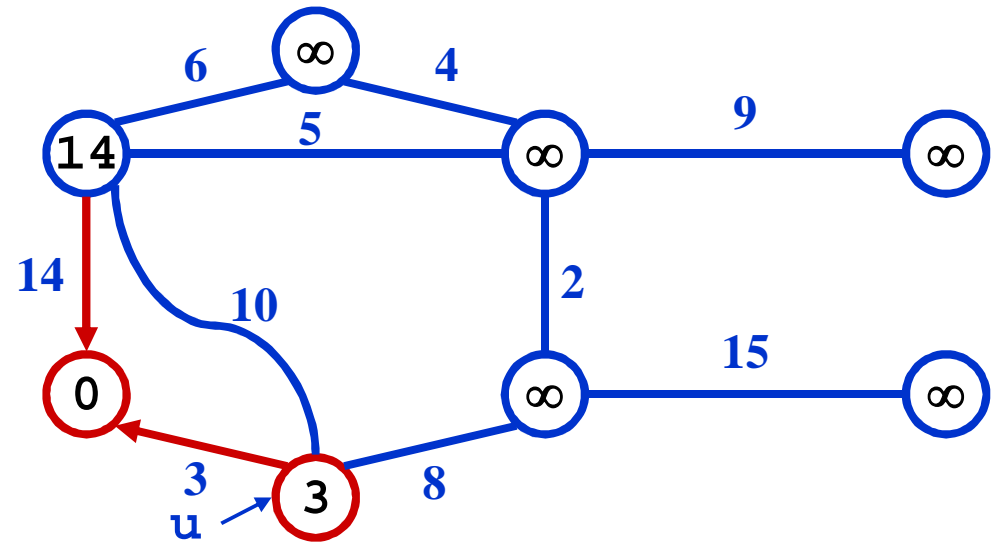
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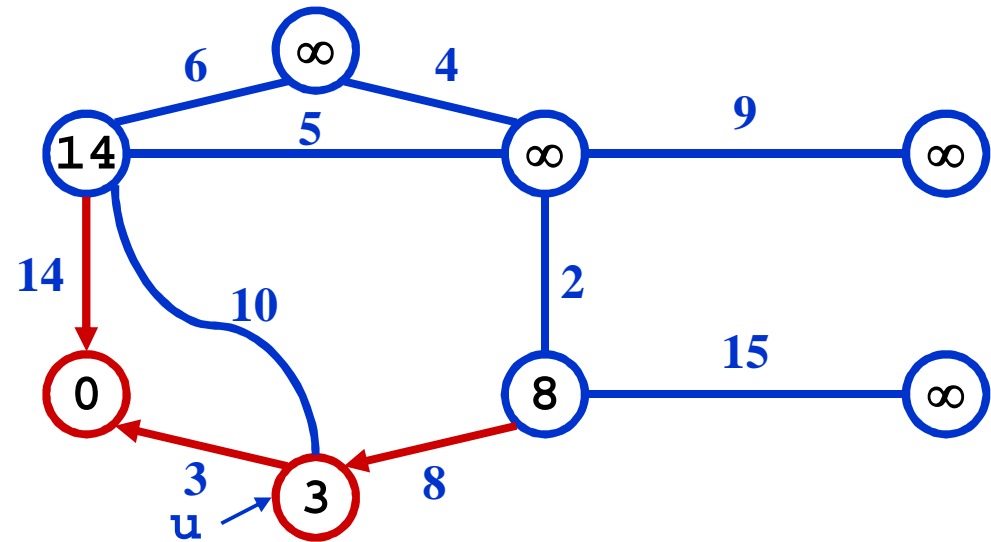
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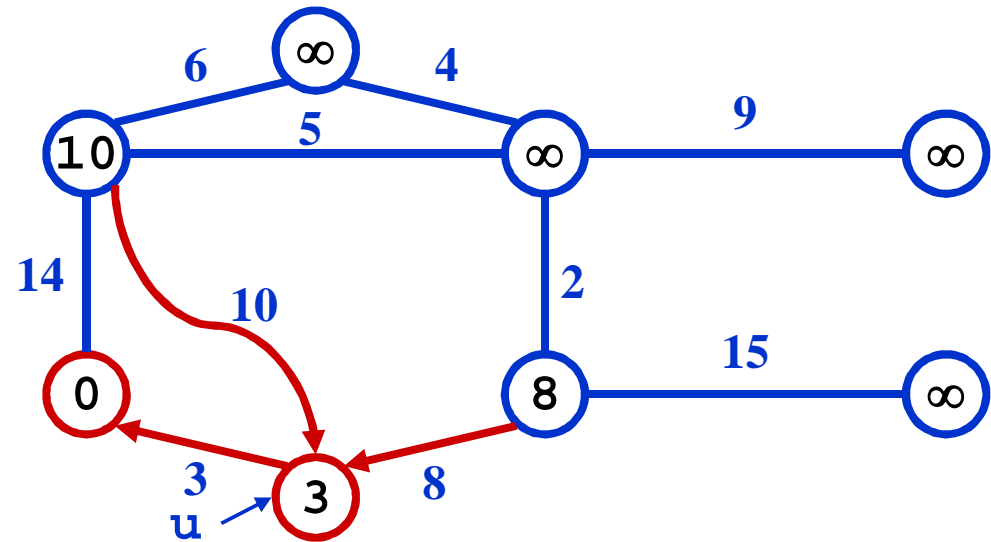
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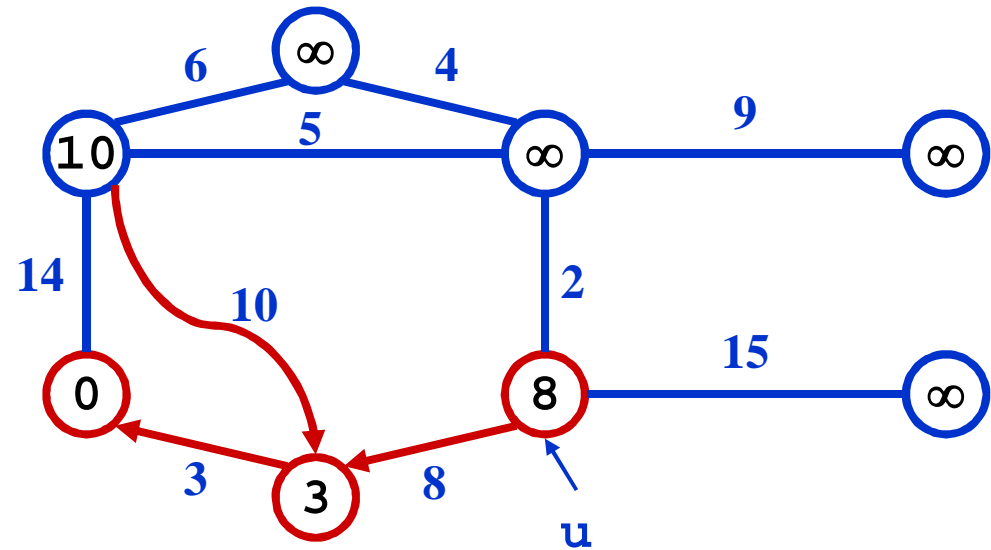
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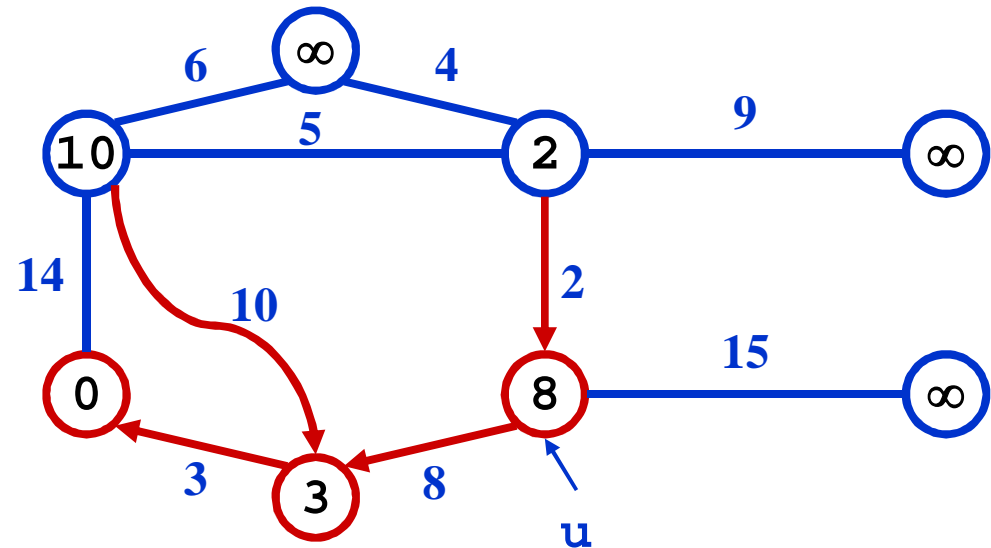
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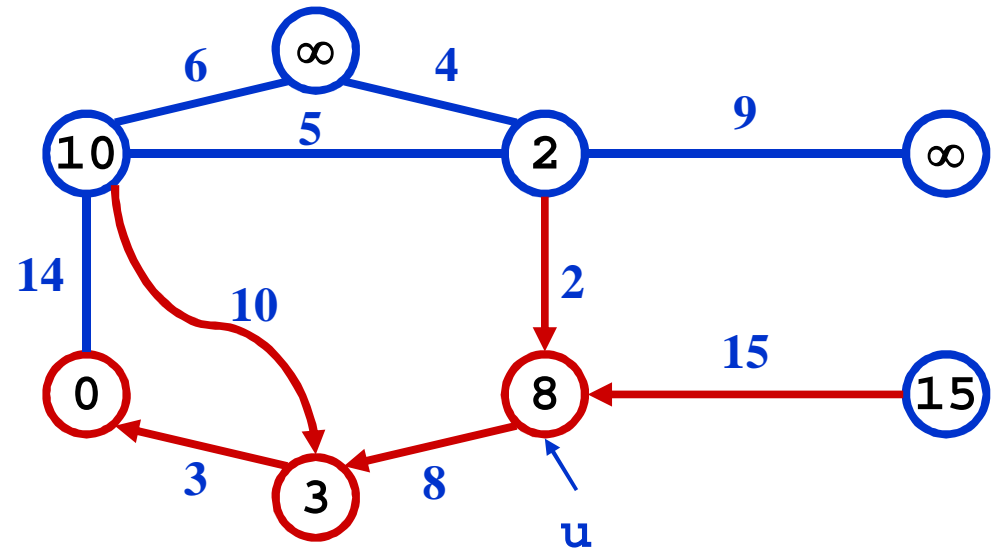
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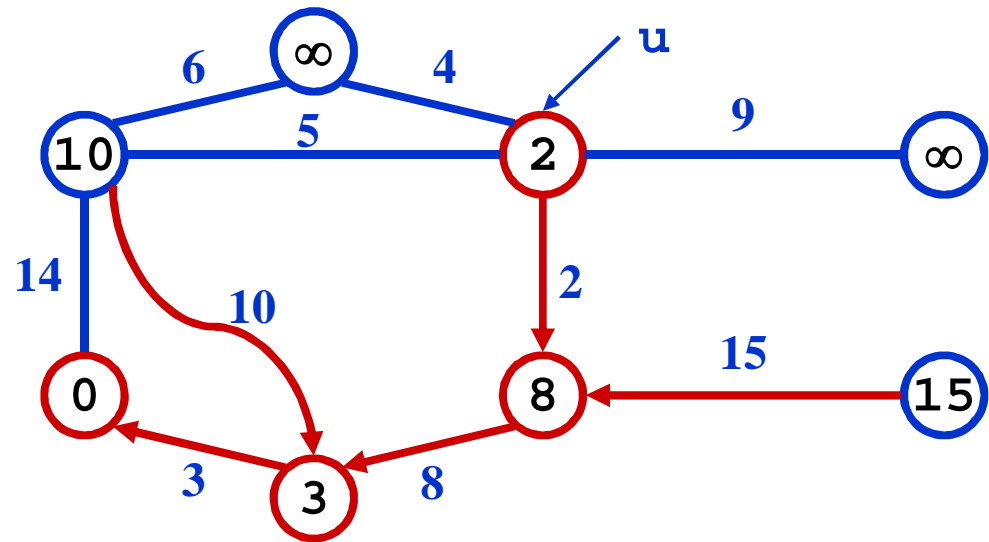
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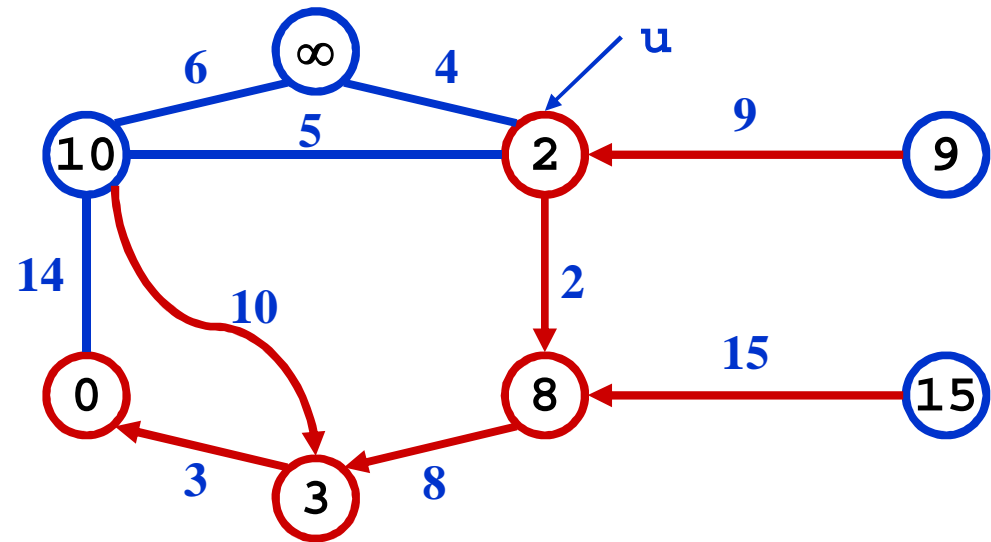
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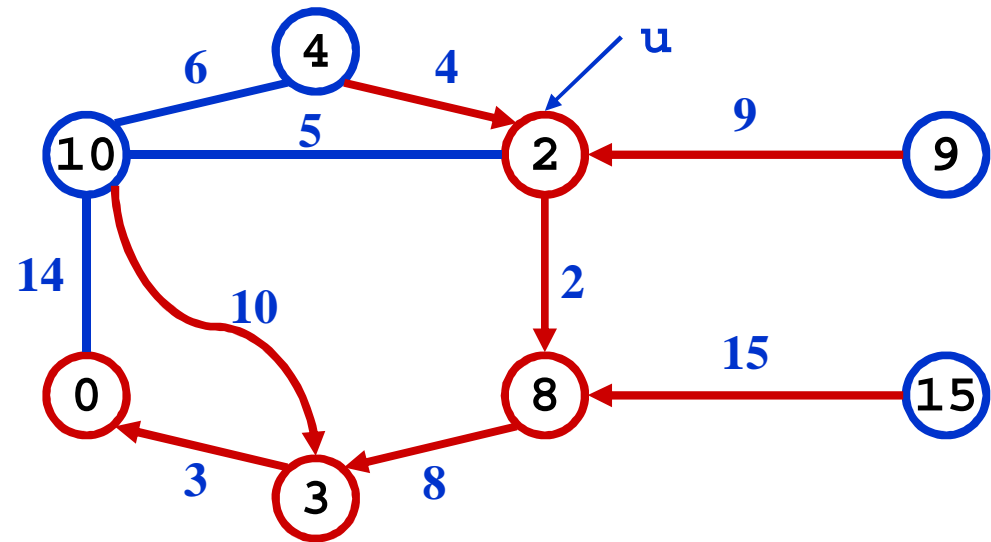
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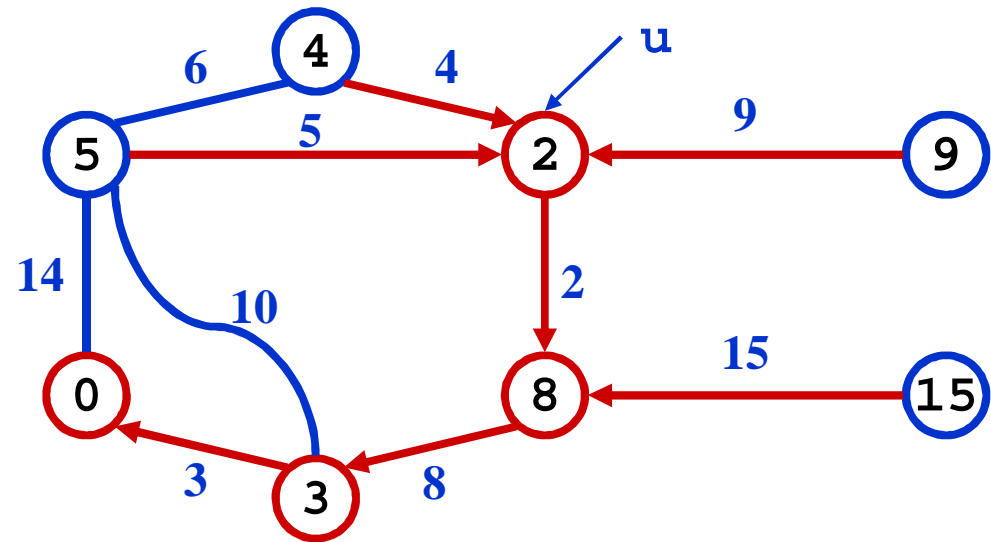
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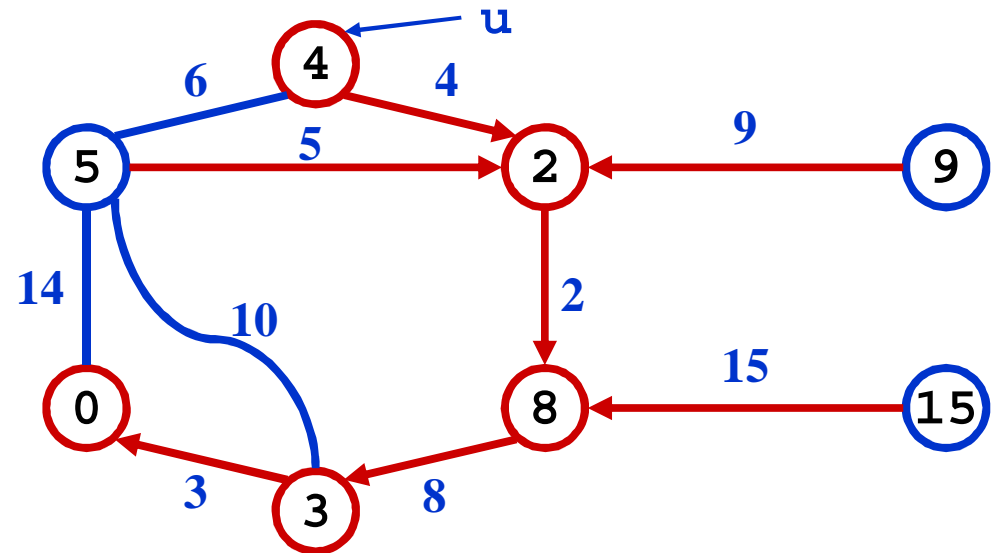
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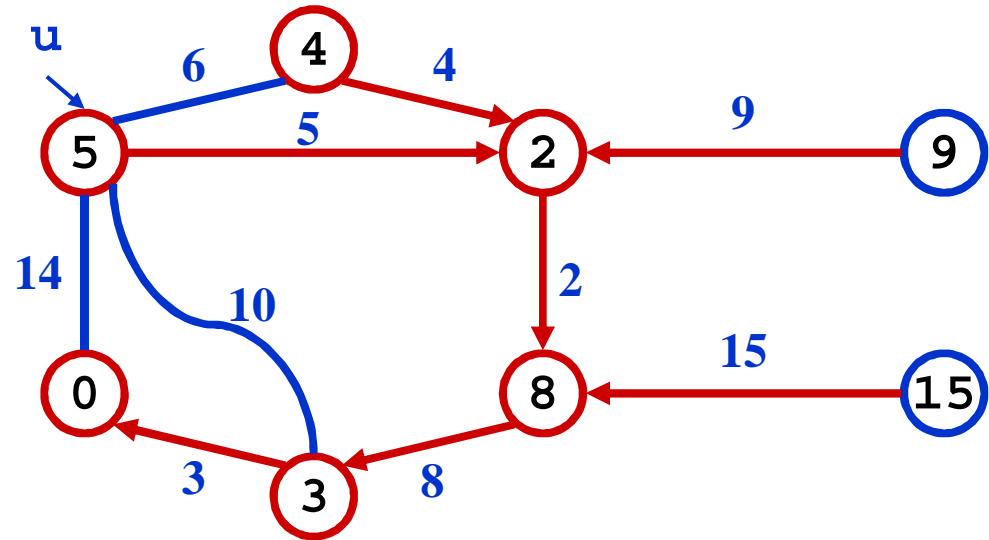
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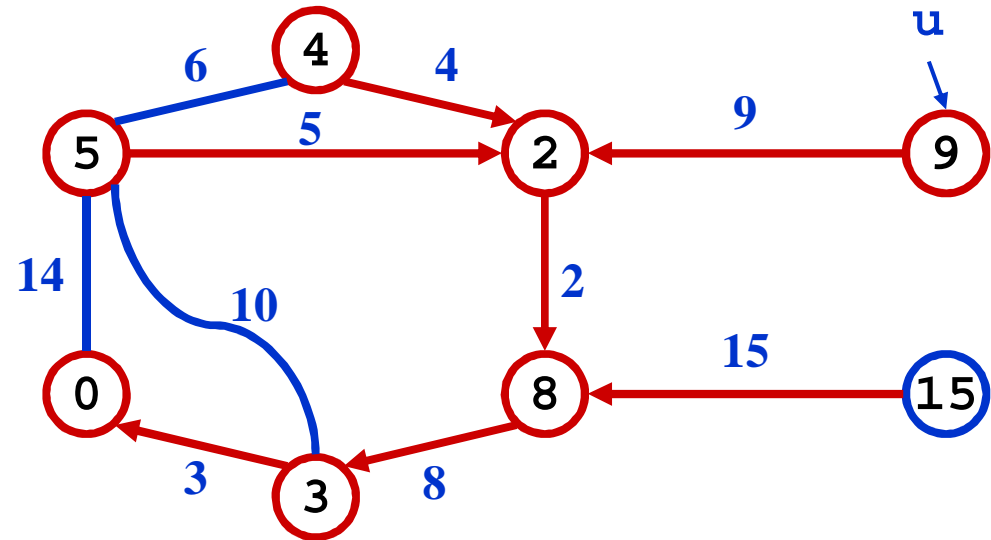
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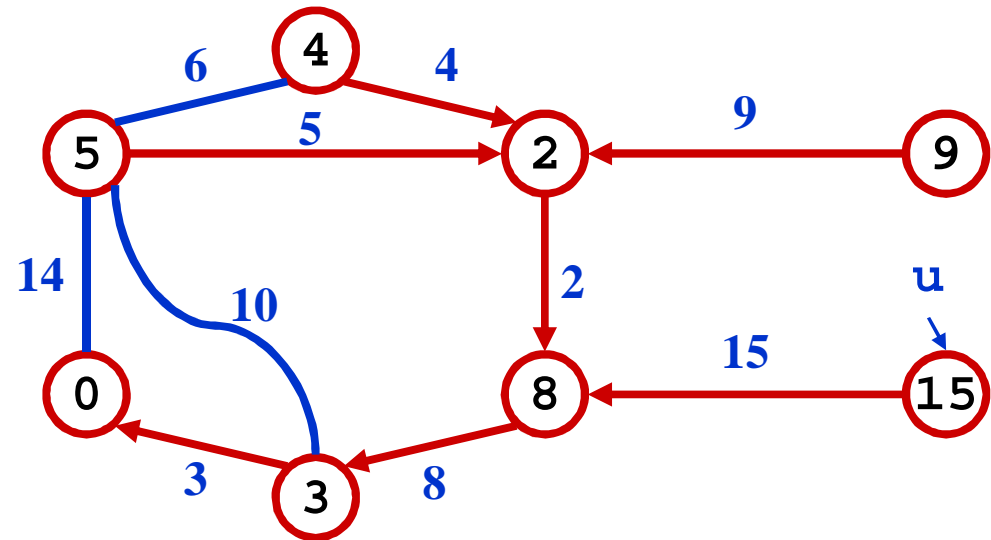
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Review: Prim's Algorithm

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  Q = V[G];
  for each u ∈ Q
    key[u] = ∞;
  key[r] = 0;
  p[r] = NULL;
  while (Q not empty) What is the hidden cost in this code?
    u = ExtractMin(Q);
    for each v ∈ Adj[u]
      if (v ∈ Q and w(u,v) < key[v])
        p[v] = u;
        key[v] = w(u,v);
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   $key[r] = 0;$ 
   $p[r] = \text{NULL};$ 
  while ( $Q$  not empty)
     $u = \text{ExtractMin}(Q);$ 
    for each  $v \in \text{Adj}[u]$ 
      if ( $v \in Q$  and  $w(u,v) < key[v]$ )
         $p[v] = u;$ 
         $\text{DecreaseKey}(v, w(u,v));$ 
```

Review: Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$ 
```

```
   $key[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty) How often is DecreaseKey() called?
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u,v) < key[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $\text{DecreaseKey}(v, w(u,v));$ 
```

How often is ExtractMin() called?

How often is DecreaseKey() called?

Review: Prim's Algorithm

```
MST-Prim( $G, w, r$ )
```

```
   $Q = V[G];$ 
```

```
  for each  $u \in Q$ 
```

```
     $key[u] = \infty;$ 
```

```
   $key[r] = 0;$ 
```

```
   $p[r] = \text{NULL};$ 
```

```
  while ( $Q$  not empty)
```

```
     $u = \text{ExtractMin}(Q);$ 
```

```
    for each  $v \in \text{Adj}[u]$ 
```

```
      if ( $v \in Q$  and  $w(u,v) < key[v]$ )
```

```
         $p[v] = u;$ 
```

```
         $key[v] = w(u,v);$ 
```

What will be the running time?

A: Depends on queue

binary heap: $O(E \lg V)$

Fibonacci heap: $O(V \lg V + E)$

Disjoint-Set Union Problem

- Want a data structure to support disjoint sets
 - Collection of disjoint sets $S = \bigcup_i \{S_i\}$, $S_i \cap S_j = \emptyset$
- Need to support following operations:
 - MakeSet(x): $S = S \cup \{\{x\}\}$
 - Union(S_i, S_j): $S = S - \{S_i, S_j\} \cup \{S_i \cup S_j\}$
 - FindSet(x): return $S_i \in S$ such that $x \in S_i$
- Before discussing implementation details, we look at example application: MSTs

Kruskal's Algorithm

```
Kruskal()  
{  
    T =  $\emptyset$ ;  
    for each v  $\in$  V  
        MakeSet(v);  
    sort E into nondecreasing order by weight w  
    for each (u,v)  $\in$  E (in sorted order)  
        if FindSet(u)  $\neq$  FindSet(v)  
            T = T  $\cup$  {{u,v}};  
            Union(FindSet(u), FindSet(v));  
}
```

Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

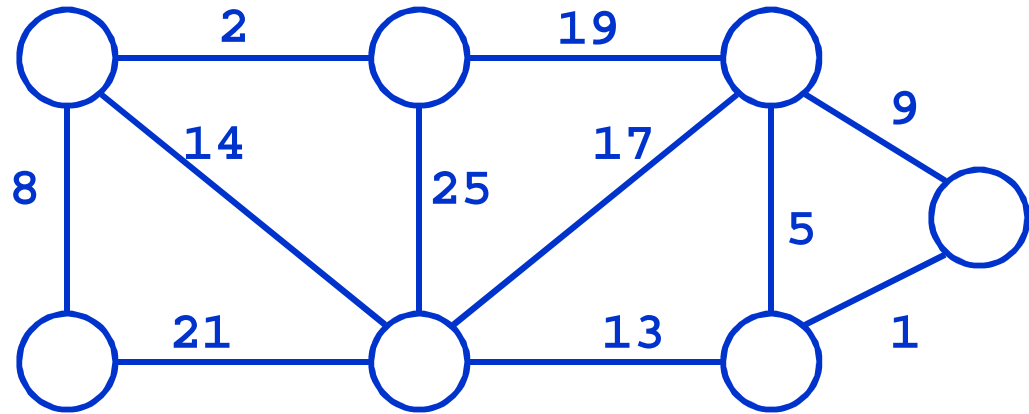
```
    for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {{u,v}};
```

```
            Union(FindSet(u), FindSet(v));
```

```
}
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
  { T =  $\emptyset$ ;  
    for each  $v \in V$   
      MakeSet( $v$ );
```

```
  sort E into nondecreasing order by weight w
```

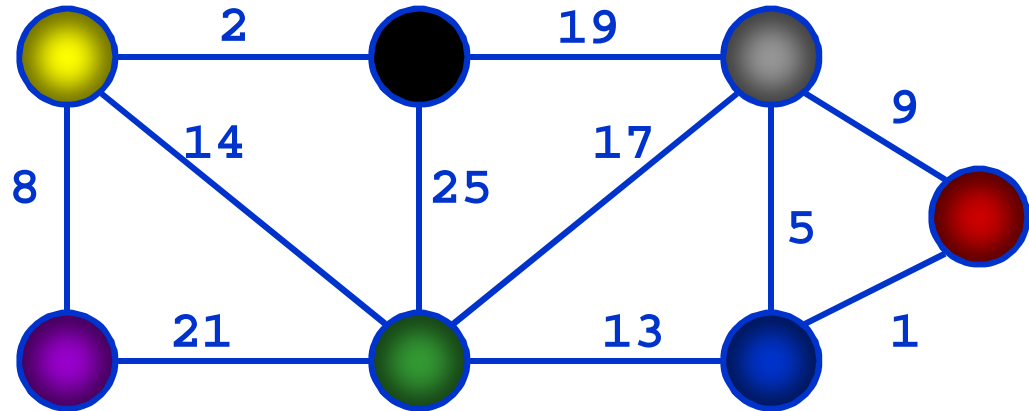
```
  for each  $(u,v) \in E$  (in sorted order)
```

```
    if FindSet( $u$ )  $\neq$  FindSet( $v$ )
```

```
      T = T  $\cup$   $\{(u,v)\}$ ;
```

```
      Union(FindSet( $u$ ), FindSet( $v$ ));
```

```
}
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    { sort E into nondecreasing order by weight w
```

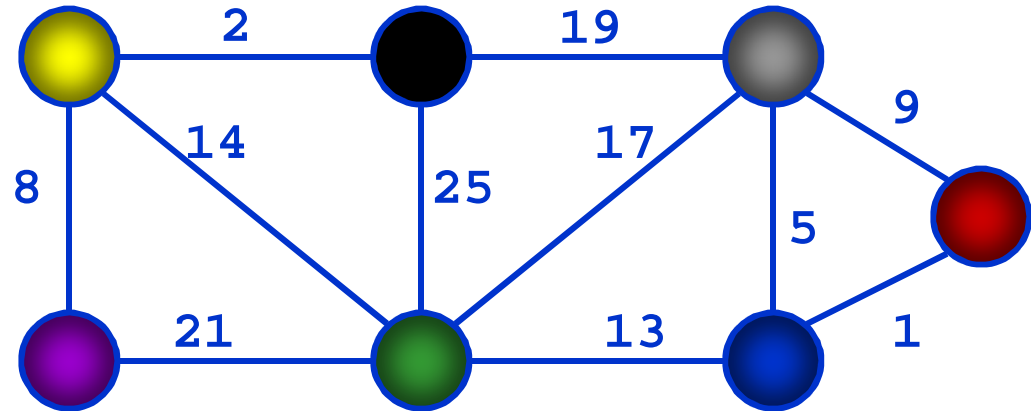
```
      for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
```

```
            Union(FindSet(u), FindSet(v));
```

```
}
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

```
    {
```

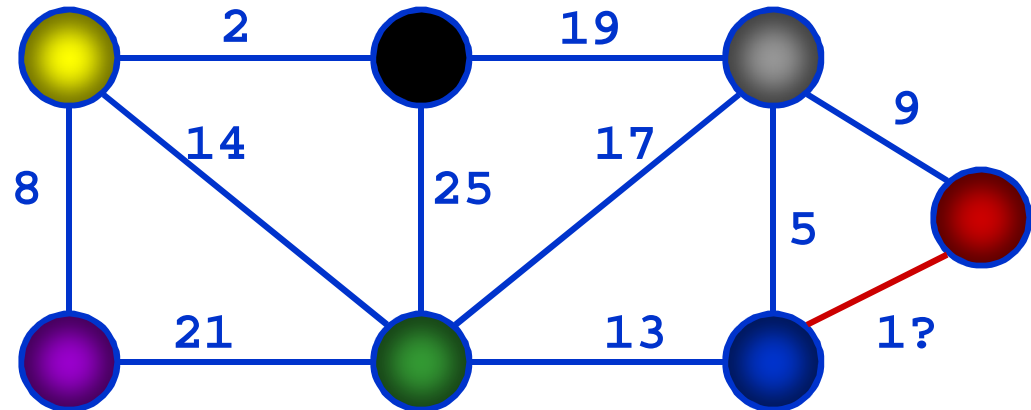
```
        for each (u,v)  $\in$  E (in sorted order)
```

```
            if FindSet(u)  $\neq$  FindSet(v)
```

```
                T = T  $\cup$  {(u,v)};
```

```
                Union(FindSet(u), FindSet(v));
```

```
    }
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

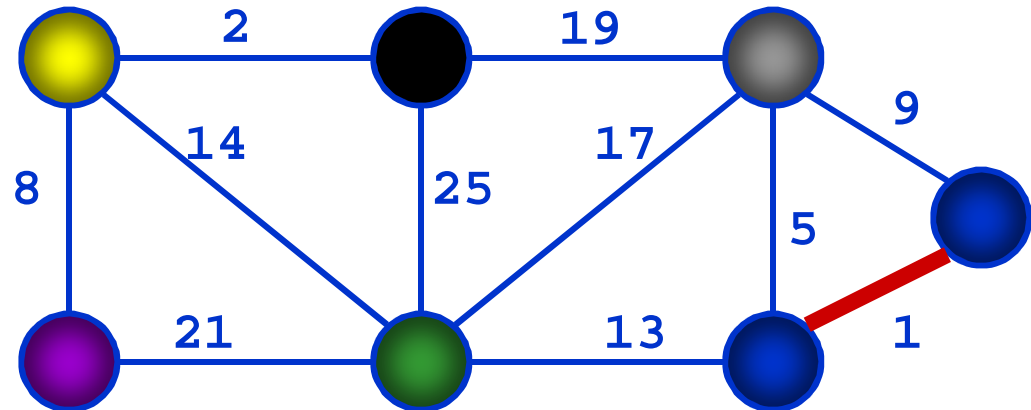
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
```

```
            Union(FindSet(u), FindSet(v));
```

```
}
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

```
    {
```

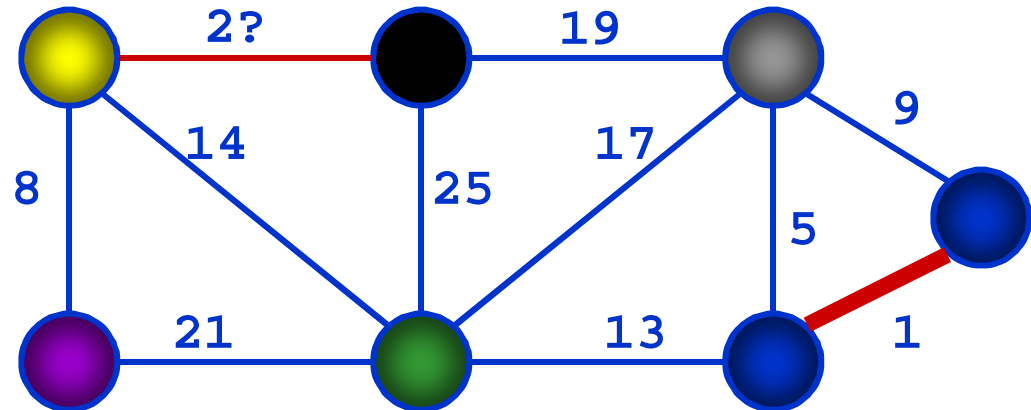
```
        for each (u,v)  $\in$  E (in sorted order)
```

```
            if FindSet(u)  $\neq$  FindSet(v)
```

```
                T = T  $\cup$  {(u,v)};
```

```
                Union(FindSet(u), FindSet(v));
```

```
    }
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

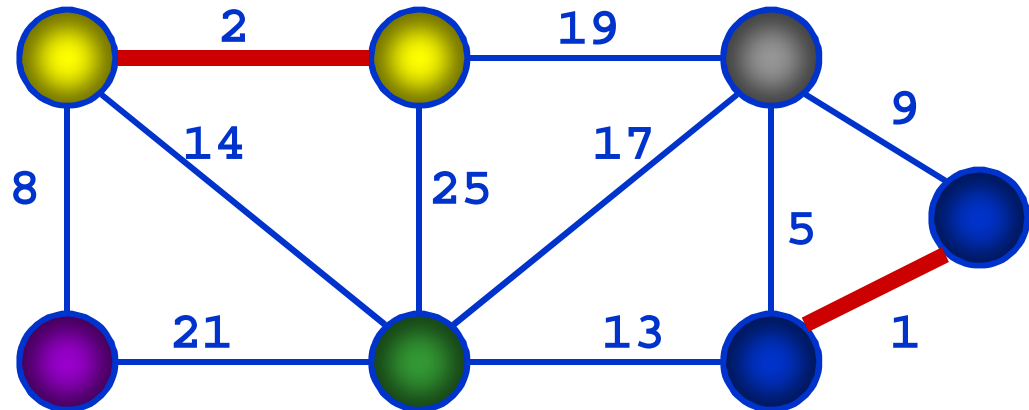
```
    {
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {{u,v}};
```

```
            Union(FindSet(u), FindSet(v));
```

```
    }
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

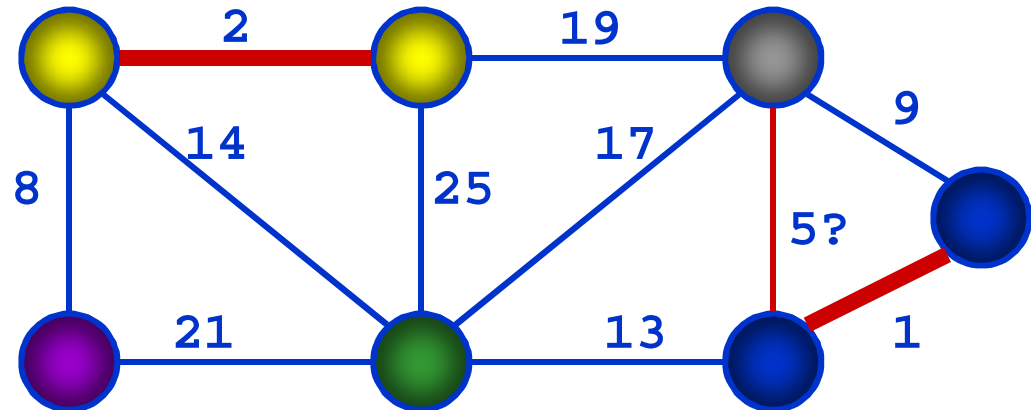
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
```

```
            Union(FindSet(u), FindSet(v));
```

```
}
```



Kruskal's Algorithm

```
Kruskal()
```

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```

```
    T =  $\emptyset$ ;
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```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

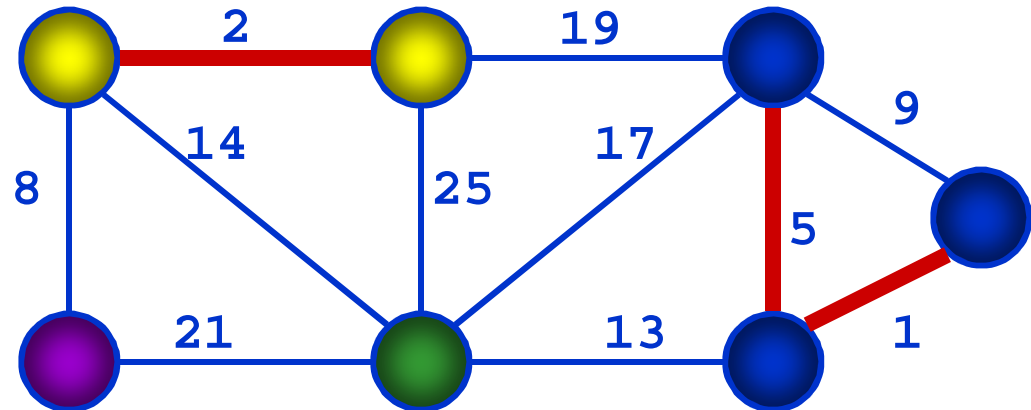
```
    {
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {{u,v}};
```

```
            Union(FindSet(u), FindSet(v));
```

```
    }
```



Kruskal's Algorithm

```
Kruskal()
```

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```

```
    T =  $\emptyset$ ;
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```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

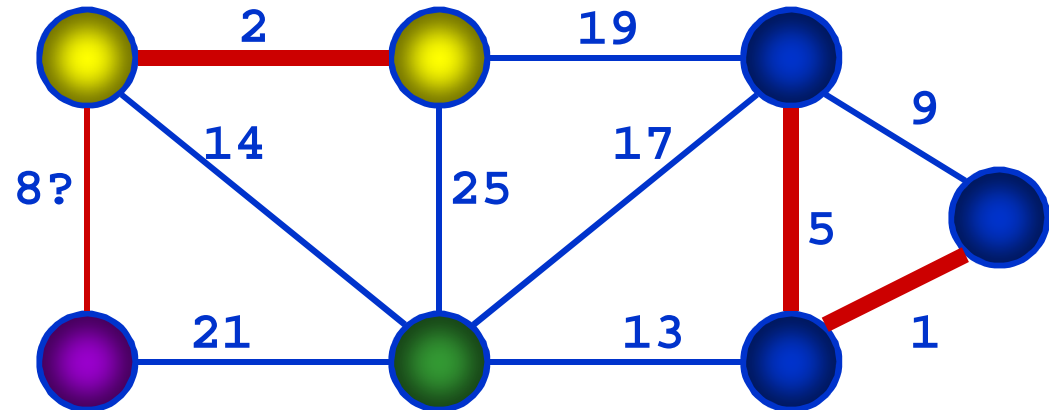
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
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```
            Union(FindSet(u), FindSet(v));
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}
```



Kruskal's Algorithm

```
Kruskal()
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```

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    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
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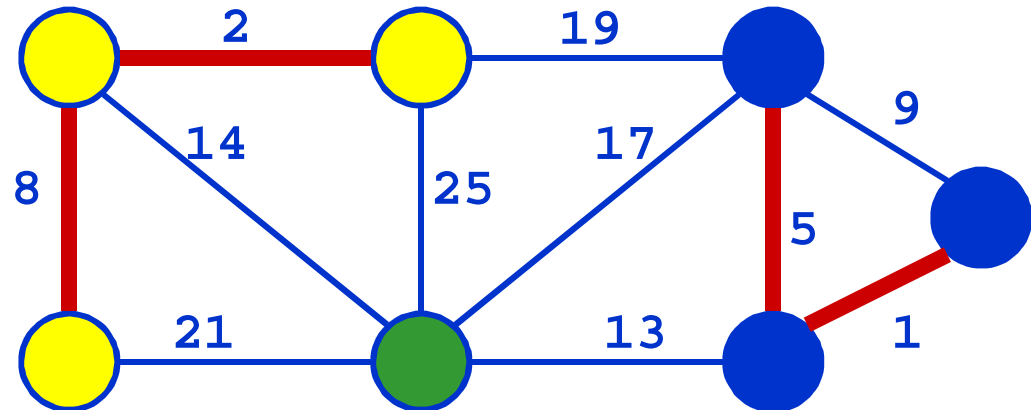
```
    {
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {{u,v}};
```

```
            Union(FindSet(u), FindSet(v));
```

```
    }
```



Kruskal's Algorithm

```
Kruskal()
```

```
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```
    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

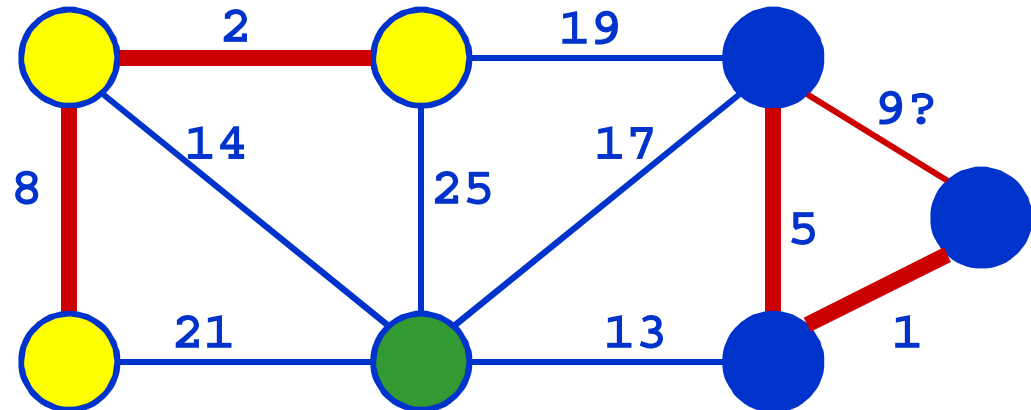
```
    { for each (u,v)  $\in$  E (in sorted order)
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            T = T  $\cup$  {(u,v)};
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Kruskal's Algorithm

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Kruskal()
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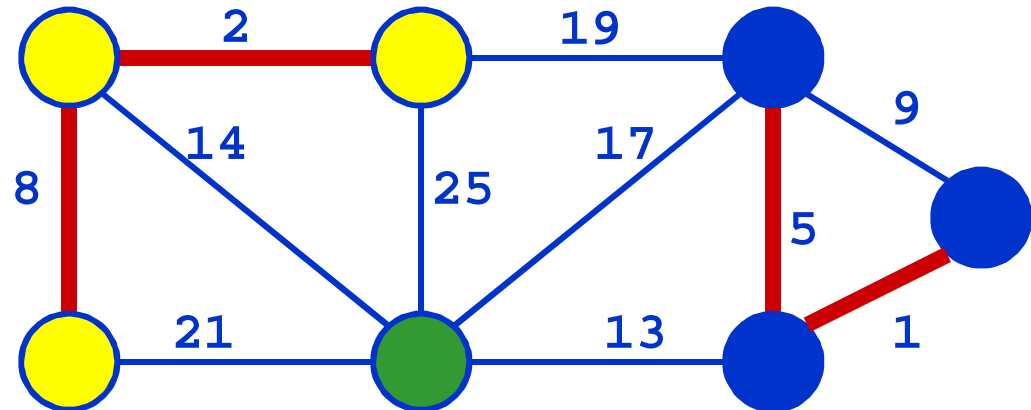
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Kruskal's Algorithm

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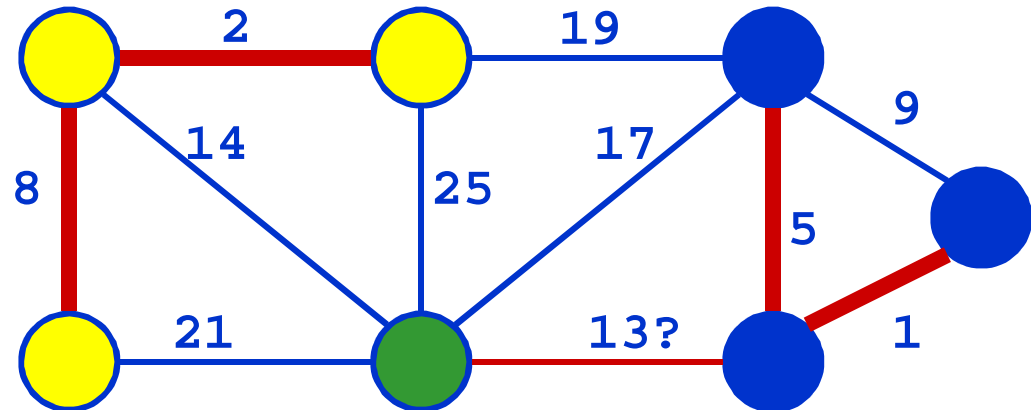
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
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            Union(FindSet(u), FindSet(v));
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Kruskal's Algorithm

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Kruskal()
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        MakeSet(v);
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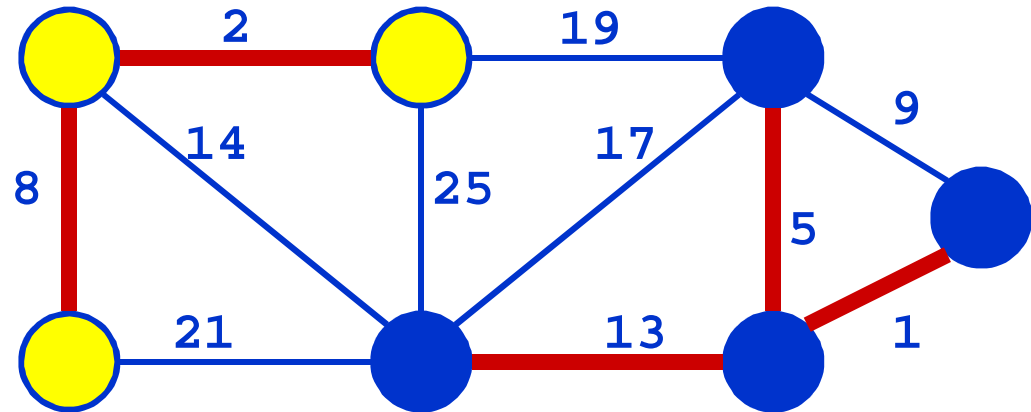
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
```

```
            Union(FindSet(u), FindSet(v));
```

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Kruskal's Algorithm

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Kruskal()
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```
        MakeSet(v);
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```
    sort E into nondecreasing order by weight w
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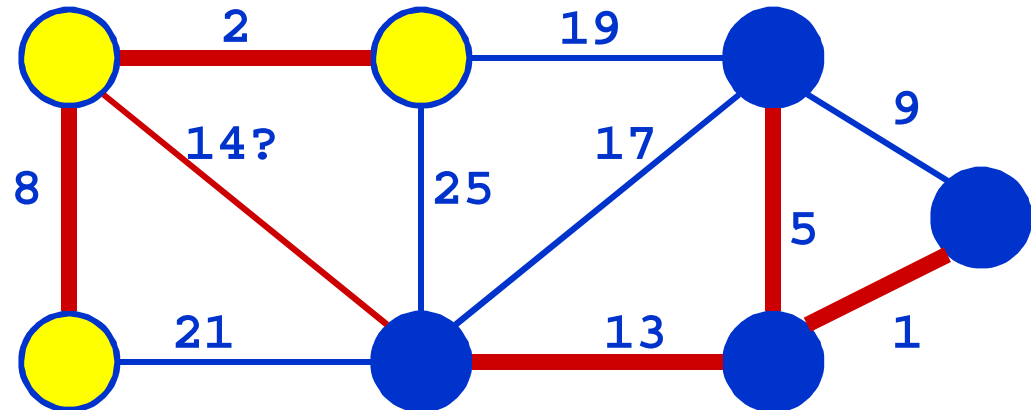
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
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            T = T  $\cup$  {(u,v)};
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            Union(FindSet(u), FindSet(v));
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Kruskal's Algorithm

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Kruskal()
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        MakeSet(v);
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```
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```

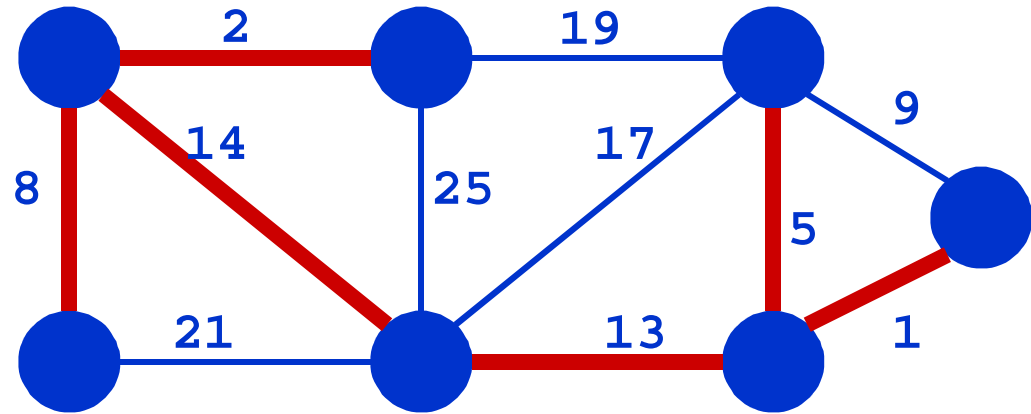
```
    for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

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            T = T  $\cup$  {(u,v)};
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            Union(FindSet(u), FindSet(v));
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```
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Kruskal's Algorithm

```
Kruskal()
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    T =  $\emptyset$ ;
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    for each v  $\in$  V
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```
        MakeSet(v);
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    sort E into nondecreasing order by weight w
```

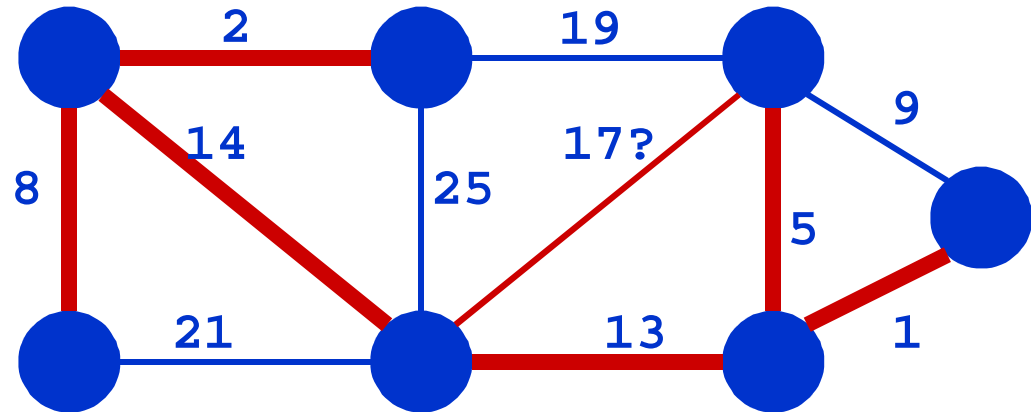
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

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            T = T  $\cup$  {(u,v)};
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            Union(FindSet(u), FindSet(v));
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```



Kruskal's Algorithm

```
Kruskal()
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    T =  $\emptyset$ ;
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    for each v  $\in$  V
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        MakeSet(v);
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    sort E into nondecreasing order by weight w
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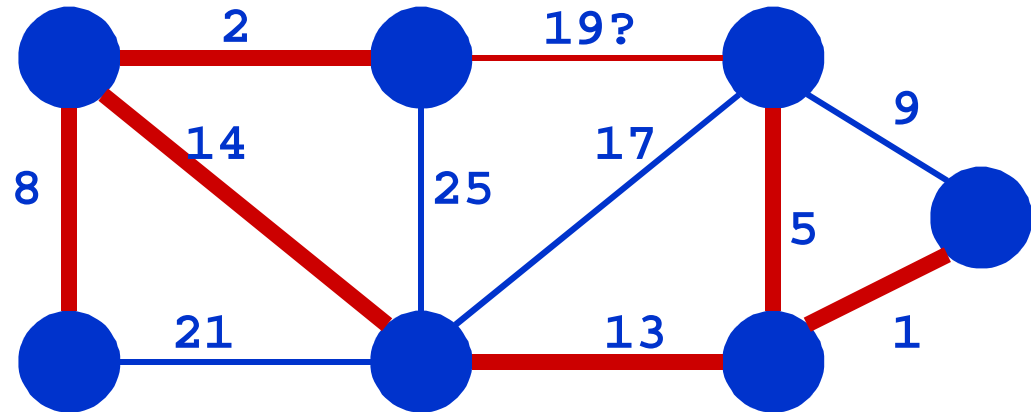
```
    { for each (u,v)  $\in$  E (in sorted order)
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```
        if FindSet(u)  $\neq$  FindSet(v)
```

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            T = T  $\cup$  {(u,v)};
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```



Kruskal's Algorithm

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Kruskal()
```

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    T =  $\emptyset$ ;
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    for each v  $\in$  V
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```
        MakeSet(v);
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    sort E into nondecreasing order by weight w
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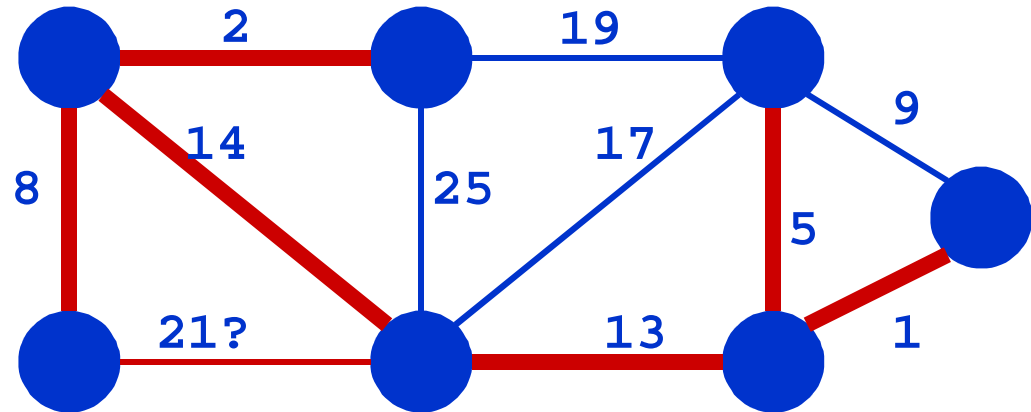
```
    { for each (u,v)  $\in$  E (in sorted order)
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```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
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```
            Union(FindSet(u), FindSet(v));
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}
```



Kruskal's Algorithm

```
Kruskal()
```

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{
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    T =  $\emptyset$ ;
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    for each v  $\in$  V
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

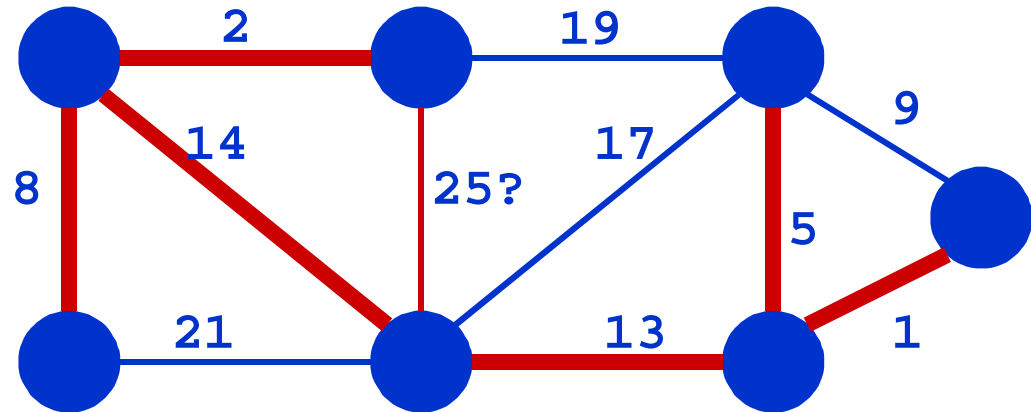
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
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```
            Union(FindSet(u), FindSet(v));
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}
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Kruskal's Algorithm

```
Kruskal()
```

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    T =  $\emptyset$ ;
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```
        MakeSet(v);
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```

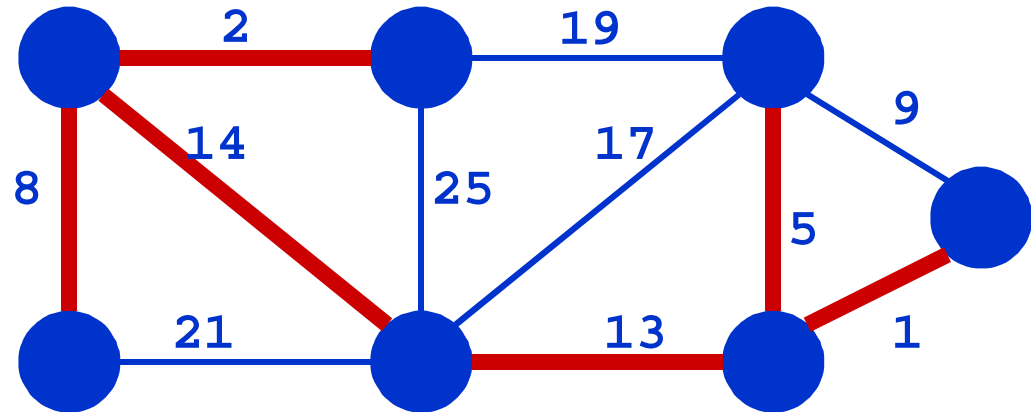
```
    { for each (u,v)  $\in$  E (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
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```
            Union(FindSet(u), FindSet(v));
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}
```



Kruskal's Algorithm

```
Kruskal()
```

```
{
```

```
    T =  $\emptyset$ ;
```

```
    for each  $v \in V$ 
```

```
        MakeSet(v);
```

```
    sort E into nondecreasing order by weight w
```

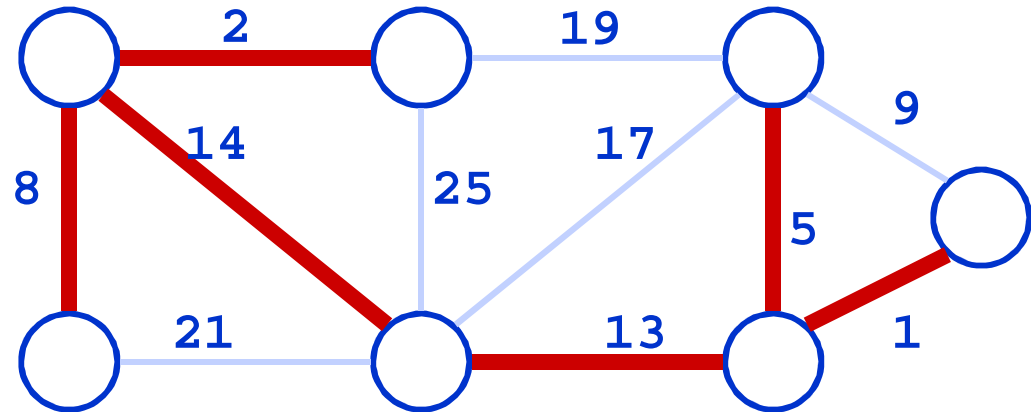
```
    for each (u,v)  $\in E$  (in sorted order)
```

```
        if FindSet(u)  $\neq$  FindSet(v)
```

```
            T = T  $\cup$  {(u,v)};
```

```
            Union(FindSet(u), FindSet(v));
```

```
}
```



Kruskal's Algorithm: Running Time

Kruskal()

What will affect the running time?

{

$T = \emptyset;$

 for each $v \in V$

 MakeSet(v);

 sort E by increasing edge weight w

 for each $(u,v) \in E$ (in sorted order)

 if FindSet(u) \neq FindSet(v)

$T = T \cup \{(u,v)\};$

 Union(FindSet(u), FindSet(v));

}

Kruskal's Algorithm: Running Time

Kruskal ()

What will affect the running time?

1 Sort

$O(V)$ MakeSet() calls

$O(E)$ FindSet() calls

$O(V)$ Union() calls

(Exactly how many Union()s?)

{

$T = \emptyset;$

for each $v \in V$

 MakeSet(v);

sort E by increasing edge weight w

for each $(u,v) \in E$ (in sorted order)

 if FindSet(u) \neq FindSet(v)

$T = T \cup \{u,v\};$

 Union(FindSet(u), FindSet(v));

}

Kruskal's Algorithm: Running Time

- To summarize:
 - Sort edges: $O(E \lg E)$
 - $O(V)$ MakeSet()'s
 - $O(E)$ FindSet()'s
 - $O(V)$ Union()'s
- Upshot:
 - Best disjoint-set operation algorithm makes above three operations to take $O(E \lg E)$ time.
 - Thus overall time is $O(E \lg E) = O(E \lg V)$, since $|E| < |V|^2$