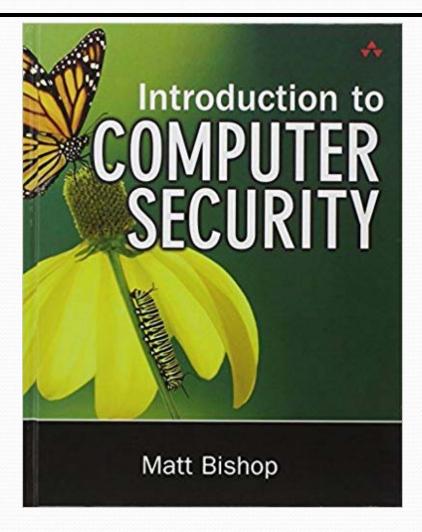
# Chapter 1: An Overview of Computer Security

- Components of computer security
- Threats
- Policies and mechanisms
- The role of trust
- Assurance
- Operational Issues
- Human Issues

#### **Textbook**



## Basic Components: the CIA

- Confidentiality
  - □ Definition: is the avoidance of the unauthorized disclosure of information
  - Example
  - ☐ How to achieve this: cryptography, access control
- Integrity
  - □ Trustworthiness of data or resources, or, improper or unauthorized modifications can be prevented or detected
  - Example
  - ☐ How to achieve this: prevention (via authentication and access control) and detection (analyze system events or the data itself)
- Availability
  - Definition: the property that information is accessible and modifiable in a timely fashion by those authorized to do so
  - Denial of Service attacks

#### Classes of Threats

- Threat: a potential violation of security
- Attacks: actions that actually cause a violation
- Disclosure: unauthorized access to information
- Deception: acceptance of false data
- Disruption: interruption or prevention of correct operation
- Usurpation: unauthorized control of some part of a system

#### Case Studies of Threats

- - > a form of disclosure
- Phishing: an impersonation of one entity (e.g., legitimate web site) by another (e.g., fake web site)
  - > a form of deception
- Other examples on the Web

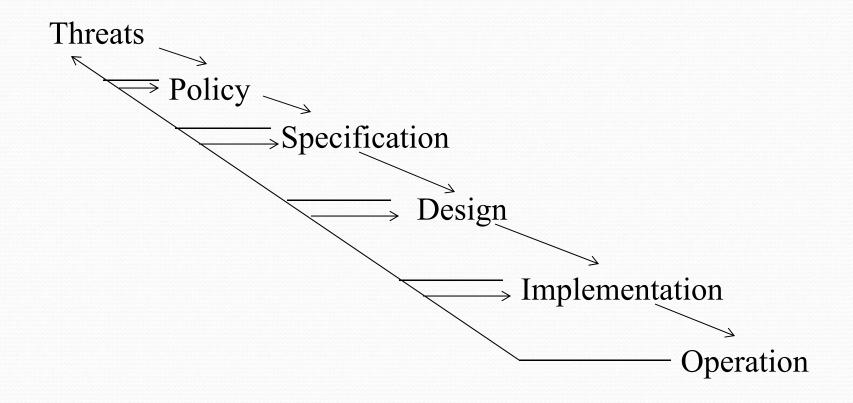
#### Policies and Mechanisms

- Policy says what is, and is not, allowed
  - This defines "security" for the site/system/etc.
- Mechanism: a method, tool, or procedure for enforcing a security policy
- Example: access to your project source files on the lab computers

## Goals of Security

- Prevention
  - Prevent attackers from violating security policy
- Detection
  - Detect attackers' violation of security policy
- Recovery
  - Stop attack, assess and repair damage
  - Continue to function correctly even if attack succeeds

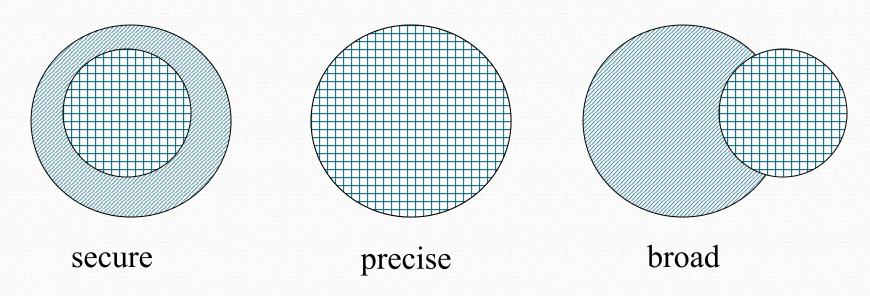
## Road to a Secure System



## Trust and Assumptions

- Underlie *all* aspects of security
- Policies
  - Unambiguously partition system states
  - Correctly capture security requirements
- Mechanisms
  - Assumed to enforce policy, i.e., must be appropriate
    - ✓ For example, using cryptography to ensure that a web site is available won't work
  - Support mechanisms (e.g., compilers, libraries, the hardware, and networks) work correctly

## Types of Mechanisms





set of reachable states



set of secure states

#### Assurance

- The degree to which the policies meet the requirements of the organizations using the system
- The degree to which the mechanisms correctly implement the policies
- More details in Chapter 17

- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?

- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?
- Risk Analysis:
  - Should we protect something?
  - How much should we protect this thing?

Case study: salary database

- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?
- Risk Analysis:
  - Should we protect something?
  - How much should we protect this thing?
  - A function of the environment and dynamically changing.





- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?
- Risk Analysis:
  - Should we protect something?
  - How much should we protect this thing?
  - A function of the environment and dynamically changing.





- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?
- Risk Analysis:
  - Should we protect something?
  - How much should we protect this thing?
  - A function of the environment and dynamically changing.

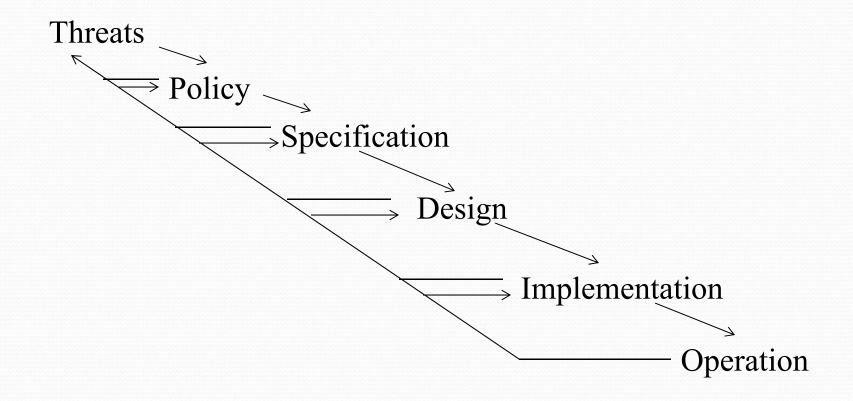


- Cost-Benefit Analysis
  - Is it cheaper to prevent or recover?
- Risk Analysis:
  - Should we protect something?
  - How much should we protect this thing?
  - A function of the environment and dynamically changing.
- Laws and Customs
  - Are desired security measures illegal? Crypto software
  - Will people do them? DNA samples for authentication, SSN as a password unacceptable

#### Human Issues

- Organizational Problems
  - Financial benefits
- People problems
  - Outsiders and insiders (e.g., disgruntled employees)
    - It is speculated that insiders account for 80-90% of all security problems
  - Social engineering

## Tying Together



## **Key Points**

- Policy defines security, and mechanisms enforce security
  - Confidentiality
  - Integrity
  - Availability
- Trust and knowing assumptions
- Importance of assurance
- The human factor

## Chapter 2: Access Control Matrix

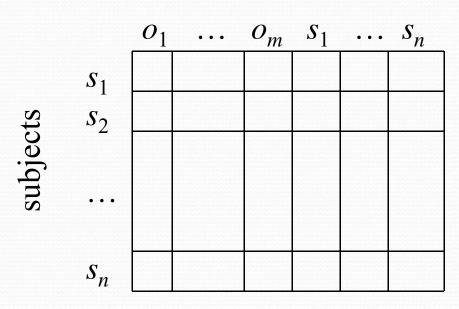
- Overview
- Access Control Matrix Model
- Protection State Transitions
  - Commands
  - Conditional Commands

#### Overview

- Protection state of system
  - Describes current settings, values of system relevant to protection
- Access control matrix
  - Describes protection state precisely
  - Matrix describing rights of subjects
  - State transitions change elements of matrix

## Description

objects (entities)



- Subjects  $S = \{ s_1, ..., s_n \}$
- Objects  $O = \{ o_1, ..., o_m \}$
- Rights  $R = \{ r_1, ..., r_k \}$
- Entries  $A[s_i, o_j] \subseteq R$
- $A[s_i, o_j] = \{r_x, ..., r_y\}$ means subject  $s_i$  has rights  $r_x, ..., r_y$  over object  $o_j$

The triple (S, O, A) represents the protection state

## Example

- Processes *p*, *q*
- Files *f*, *g*
- Rights *r*, *w*, *x*, *a*, o

| p |  |
|---|--|
| q |  |

| f   | g  |
|-----|----|
| rwo | r  |
| а   | ro |
|     |    |

r - read

w-write

x - execute

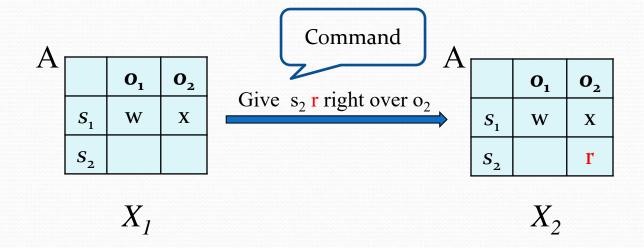
a – append

o - own

#### **State Transitions**

- Change the protection state X=(S,O,A) of system
- represents transition
  - $X_i \mid -_{\tau} X_{i+1}$ : command  $\tau$  moves system from state  $X_i$  to  $X_{i+1}$
  - $X_i \mid X_{i+1}$ : a sequence of commands moves system from state  $X_i$  to  $X_{i+1}$
- Commands often called transformation procedures
- $X_i = (S_i, O_i, A_i)$

## State Transition (An Example)



## **Primitive Operations**

- create subject s; create object o
  - Creates new row, column in ACM; creates new column in ACM
- destroy subject s; destroy object o
  - Deletes row, column from ACM; deletes column from ACM
- enter r into A[s, o]
  - Adds r rights for subject s over object o
- **delete** r **from** A[s, o]
  - Removes *r* rights from subject *s* over object *o*
- ACM: Access Control Matrix

## Creating File

• Process p creates file f with r and w permission command create file(p, f) create object f; enter own into A[p, f]; enter r into A[p, f]; enter w into A[p, f]; end

## Mono-Operational Commands

- Definition: single primitive operation in a command
- Make process p the owner of file g
   command make owner(p, g)
   enter own into A[p, g];
  end

#### **Conditional Commands**

```
• Let p give q r rights over f, if p owns f
command grant *read *file *1(p, f, q)
        if own in A[p, f]
        then
        enter r into A[q, f];
end
```

- Mono-conditional command
  - Single condition in this command

## Multiple Conditions

Let p give q r and w rights over f, if p owns f and p has c rights over q

```
command grant read file (p, f, q)
    if own in A[p, f] and c in A[p, q]
    then
        enter r into A[q, f];
        enter w into A[q, f];
end
```

## **Key Points**

- Access control matrix simplest abstraction mechanism for representing protection state
- Transitions alter protection state
- 6 primitive operations alter matrix
  - Transitions can be expressed as commands composed of these operations and, possibly, conditions

## Chapter 3: Foundational Results

Acknowledgement: Matt Bishop

#### What Is "Secure"?

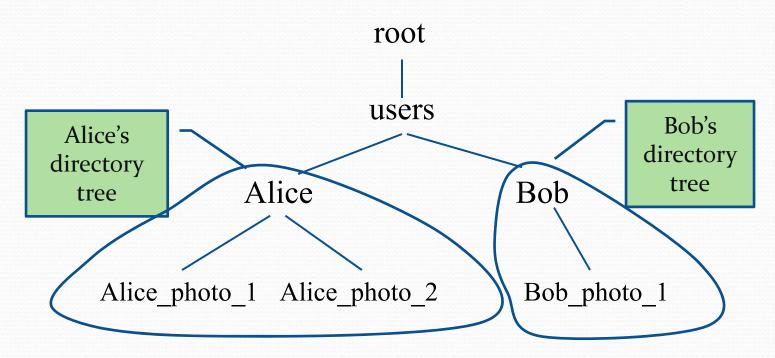
- Adding a generic right r where there was not one is "leaking"
- If a system *S*, beginning in initial state *s*<sub>o</sub>, cannot leak right *r*, it is *safe with respect to the right r*.
  - □ Counter-example: Bob should not see Alice's photo in Facebook, but if he somehow is able to due to an implementation flaw (directory traversal) in the Facebook web server.

| $s_0$ |             | $o_1$ | ••• | $o_{\mathrm{m}}$ |
|-------|-------------|-------|-----|------------------|
|       | $p_1$       | •     | ••• | •••              |
|       | •••         |       |     | • • •            |
|       | $p_{\rm n}$ |       |     | • • •            |



|             | 01  | ••• | $o_{\mathrm{m}}$ |
|-------------|-----|-----|------------------|
| $p_1$       | ••• | ••• | •••              |
|             |     | r   |                  |
| $p_{\rm n}$ |     |     |                  |

## Example Insecure System



- Alice access url: http://facebook.com/Alice\_photo\_1
- Bob access url: http://facebook.com/../Alice/Alice\_photo\_1, violating security policy!

Acknowledgement: Matt Bishop

## Safety Question

- Does there exist an algorithm for determining whether a protection system S with initial state s<sub>o</sub> is safe with respect to a generic right r?
  - Here, "safe" = "secure" for an abstract model
  - Assuming no authorized transfer of rights

## Mono-Operational Commands

- Answer: yes
- Sketch of proof:

Consider minimal sequence of commands  $c_1$ , ...,  $c_k$  to leak the right.

- Can omit **delete**, **destroy**
- Can merge all **create**s into one

Worst case: one new subject must be created; and the sequence of commands need to insert every right into every entry; with s subjects and o objects initially, and n rights, upper bound is  $k \le n(s+1)(o+1)+1$ 

 We can show that the length of this sequence is bounded. Therefore, we can enumerate all possible states and determine whether the system is safe.

Acknowledgement: Matt Bishop

#### **General Case**

- Answer: no
- Proof idea:
   Reduce halting problem to safety problem
- If safety question decidable, then represent Turing Machine using protection states and determine if  $q_f$  leaks
  - Implies halting problem decidable
- Conclusion: safety question undecidable

## **Key Points**

- Safety problem undecidable
- Limiting scope of systems can make problem decidable