Denotational Semantics

Slides mostly follow

<u>John C. Reynolds</u>' book *Theories of Programming Languages* and

<u>Xinyu Feng</u>'s lecture notes

Denotational semantics

- Idea: programs → mathematical objects
- Finding domains that represent what programs do
 - Partial functions
 - Games between environtment and the system
- Should be compositional
 - Built out of the denotations of sub-programs
- Should be abstract
 - Syntax independence, full abstraction

This class

• Formulating the denotational semantics for the simple imperative programming language (IMP)

Basics of domain theory

Recall the syntax of IMP

```
(IntExp) e := \mathbf{n} | x | e + e | e - e | ...
(BoolExp) b := true | false
                    |e = e | e < e
|\neg b | b \land b | b \lor b | \dots
  (Comm) c := skip
                   x \coloneqq e
                   | c; c
| if b then c else c
                      while b do c
```

```
(IntExp) e := \mathbf{n} | x | e + e | e - e | ...
(BoolExp) b := true | false
                            |e = e | e < e
|\neg b | b \land b | b \lor b | \dots
     (State) \sigma \in Var \to \mathbb{Z}
       [\![-]\!]_I \in IntExp \rightarrow State \rightarrow \mathbb{Z}
       \llbracket - \rrbracket_{R} \in Bool \rightarrow State \rightarrow \mathbb{B}
```

```
(IntExp) e := \mathbf{n} | x | e + e | e - e | ...
(BoolExp) b := true | false
                                     |e = e | e < e
|\neg b | b \land b | b \lor b | \dots
       (State) \sigma \in Var \rightarrow \mathbb{Z}
         \llbracket - \rrbracket_I ::= \lambda e. \lambda \sigma. n, if (e, \sigma) \rightarrow^* (\mathbf{n}, \sigma) and \lfloor \mathbf{n} \rfloor = n
        \llbracket - \rrbracket_B ::= \lambda b. \lambda \sigma. \begin{cases} true, & if (b, \sigma) \to^* (\mathbf{true}, \sigma) \\ false, & if (b, \sigma) \to^* (\mathbf{false}, \sigma) \end{cases}
```

$$(IntExp) \ e \ ::= \mathbf{n} \ | \ x \ | \ e + e \ | \ e - e \ | \ ...$$

$$(BoolExp) \ b \ ::= \mathbf{true} \ | \ \mathbf{false}$$

$$| \ e = e \ | \ e < e$$

$$| \ \neg b \ | \ b \land b \ | \ b \lor b \ | \ ...$$

$$(State) \ \sigma \ \in Var \to \mathbb{Z}$$

$$\llbracket - \rrbracket_I \ ::= \lambda e. \ \lambda \sigma. \ n, if \ (e, \sigma) \ \lor n$$

$$\llbracket - \rrbracket_B \ ::= \lambda b. \ \lambda \sigma. \begin{cases} true, & if \ (b, \sigma) \ \lor \ true \\ false, & if \ (b, \sigma) \ \lor \ false \end{cases}$$

```
(IntExp) e := \mathbf{n} | x | e + e | e - e | ...
(BoolExp) b := true | false
                          |e=e|e< e
                           | \neg b | b \wedge b | b \vee b | \dots
     (State) \sigma \in Var \rightarrow \mathbb{Z}
              [\![\mathbf{n}]\!]_I \sigma ::= [\![\mathbf{n}]\!]_I \sigma ::= \sigma(x)
              [e_1 + e_2]_I \sigma ::= [e_1]_I \sigma + [e_2]_I \sigma \dots
              [[true]]_B \sigma ::= true \qquad [[false]]_B \sigma ::= false
              \llbracket \neg b \rrbracket_B \sigma ::= \text{if } \llbracket b \rrbracket_B \sigma \text{ then } true \text{ else } false \dots
```

Denotational semantics for Comm

$$[\![-]\!]_C \in IntExp \rightarrow State \rightarrow ?$$

- Either
 - **Terminate**, with a final *State*;
 - Nonterminating, without a final state, e.g.,
 while true do skip
- Must be partial if ? = State

Denotational semantics for Comm

$$[\![-]\!]_C \in IntExp \rightarrow State \rightarrow State_{\perp}$$

- For any set S, let $S_{\perp} = S \cup \{\bot\}$ (assuming $\bot \notin S$)
 - ⊥, usually called "bottom", for nontermination
- The denotational semantics of Comm made total

Semantics for skip and assign.

```
• [\![\mathbf{skip}]\!]_C \sigma ::= \sigma
• [\![x := e]\!]_C \sigma ::= \sigma \{x \sim [\![e]\!]_I \sigma \}
```

• E.g.,

```
[[x := x + \mathbf{10}]]_C \{(x, 32)\}
= \{(x, 32)\}\{x \sim [[x + \mathbf{10}]]_I \{(x, 32)\}\}
= \{(x, 32)\}\{x \sim ([[x]]_I \{(x, 32)\} + [[\mathbf{10}]]_I \{(x, 32)\})\}
= \{(x, 32)\}\{x \sim (32 + 10)\}
= \{(x, 32)\}\{x \sim 42\}
= \{(x, 42)\}
```

Semantics for conditionals

```
• \llbracket \mathbf{if} \ b \ \mathbf{then} \ c_1 \ \mathbf{else} \ c_2 \rrbracket_C \ \sigma ::= \begin{cases} \llbracket c_1 \rrbracket_C \ \sigma, & \text{if} \ \llbracket b \rrbracket_B \sigma = true \\ \llbracket c_2 \rrbracket_C \ \sigma, & \text{if} \ \llbracket b \rrbracket_B \sigma = false \end{cases}
• E.g.,
[if x < 0 then x = 0 - x else skip]<sub>C</sub> {(x, -3)}
= [x = 0 - x]_C \{(x, -3)\}  since [x < 0]_B \{(x, -3)\} = true
= \{(x, -3)\}\{x \sim [0 - x]_I \{(x, -3)\}\}
= \{(x,3)\}\
[if x < 0 then x = 0 - x else skip]]<sub>C</sub> {(x, 5)}
= \| \mathbf{skip} \|_{C} \{ (x, 5) \}
                                                                        since ||x| < 0||_{B} \{(x,5)\} = false
=\{(x,5)\}\
```

Semantics for sequential composition

$$\bullet \ \llbracket c_1; \ c_2 \rrbracket_C \ \sigma \quad ::= \left\{ \begin{matrix} \bot & , & \text{if} \ \llbracket c_1 \rrbracket_C \sigma = \bot \\ \llbracket c_2 \rrbracket_C \circ \llbracket c_1 \rrbracket_C \ \sigma, & otherwise \end{matrix} \right.$$

• We extend $f \in S \to T_{\perp}$ to $f_{\perp} \in S_{\perp} \to T_{\perp}$

$$f_{\perp}x ::= \begin{cases} \bot, if \ x = \bot \\ f \ x, otherwise \end{cases}$$

- Effectively it defines a *lift* operator $(-)_{\parallel} \in (S \to T_{\perp}) \to (S_{\perp} \to T_{\perp})$
- So $[c_1; c_2]_C \sigma = ([c_2]_C)_{\parallel} ([c_1]_C \sigma)$

Idea: define the meaning of while b do c as that of
 if b then (c; while b do c) else skip

That is,

[while
$$b \operatorname{do} c]_{C}\sigma$$

$$= [\operatorname{if} b \operatorname{then} (c; \operatorname{while} b \operatorname{do} c) \operatorname{else} \operatorname{skip}]_{C} \sigma$$

$$= \begin{cases} ([\operatorname{while} b \operatorname{do} c]_{C})_{\perp}([[c]_{C}\sigma), \operatorname{if} [[b]_{B}\sigma = true} \\ \sigma, otherwise \end{cases}$$

Not syntax directed, not compositional

• We may view [while $b \operatorname{do} c$]_C as a sulotion for this equation:

[while
$$b \operatorname{do} c]_{C} \sigma =$$

$$\begin{cases} ([\operatorname{while} b \operatorname{do} c]_{C})_{\parallel}([[c]_{C}\sigma), \operatorname{if} [[b]]_{B}\sigma = true \\ \sigma &, otherwise \end{cases}$$

• That is, a fixed-point of

$$F ::= \lambda f \in State \to State_{\perp}.$$

$$\lambda \sigma \in State. \begin{cases} f_{\perp}(\llbracket c \rrbracket_{C} \sigma), & if \llbracket b \rrbracket_{B} \sigma = true \\ \sigma, & otherwise \end{cases}$$

That is, a fixed-point of

$$F ::= \lambda f \in State \to State_{\perp}.$$

$$\lambda \sigma \in State. \begin{cases} f_{\perp}(\llbracket c \rrbracket_{C} \sigma), & if \llbracket b \rrbracket_{B} \sigma = true \\ \sigma, & otherwise \end{cases}$$

- However, not every $F \in (State \rightarrow State_{\perp}) \rightarrow (State \rightarrow State_{\perp})$ has a fixed-point, and some may have more than one.
- Example: for any σ' , $\lambda\sigma$. σ' (a constant function) is a solution for

[while true do
$$x = x + 1$$
]_C

 We need to guarantee the meaning is uniquely determined by the equation.

- Intuition: the limit of approximations W_n
- First and least accurate approximation (0-iteration)

$$W_0 ::= \lambda \sigma \in State. \perp$$

1 iteration

$$W_1 ::= F \ W_0 = \lambda \sigma \in State.$$
 if $[\![b]\!]_B \sigma$ then $(W_0)_{\perp}([\![c]\!]_C \sigma)$ else σ = $\lambda \sigma \in State.$ if $[\![b]\!]_B \sigma$ then \perp else σ

• 2 iterations $W_2 ::= F W_1 = \lambda \sigma \in State$. if $[\![b]\!]_B \sigma$ then $(W_1)_{\perp}([\![c]\!]_C \sigma)$ else σ

- ...
- n+1 iterations

$$W_{n+1} ::= F W_n$$

- Intuition: the limit of finite approximations W_n
- First and least accurate approximation (0-iteration)

$$W_0 ::= \lambda \sigma \in State. \perp$$

n+1 iterations

$$W_{n+1} ::= F W_n$$

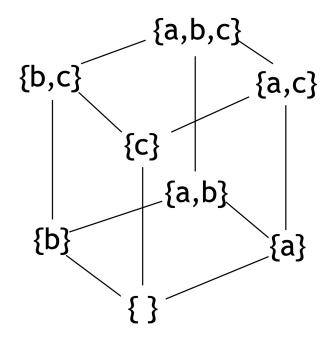
- The limit $W ::= \lim_{n\to\infty} W_n$
- How do we take limits in a space of functions?
- Monotonicity + bound
 - An *ordering* \sqsubseteq such that $W_0 \sqsubseteq W_1 \sqsubseteq W_2 \sqsubseteq \dots$
 - Least upper bound of the sequence

Partially ordered sets

- A binary relation ho on S is
 - Reflexive iff $\forall x \in S. x \rho x$
 - Transitive iff $x \rho y \wedge y \rho z \Rightarrow x \rho z$
 - Antisymmetric iff $x \rho y \wedge y \rho x \Rightarrow x = y$
 - Symmetric iff $x \rho y \Rightarrow y \rho x$
- \sqsubseteq is a *preorder* on S iff \sqsubseteq is reflexive and transitive
- \sqsubseteq is a *partial order* on S iff \sqsubseteq is a preorder on S and antisymmetric
- A *poset* S: S with a partial order \sqsubseteq on S
- A discretely ordered S: S with Id_S as a partial order

Hasse diagrams

- Picturize partial orders
 - Points elements; lines direct predecessor
- E.g., \subseteq as the partial order on set $2^{\{a,b,c\}}$



Monotonicity and upper bound

• $f \in S \to T$ is **monotone** iff $x \sqsubseteq y \Rightarrow f x \sqsubseteq f y$

• *y* is *upper bound* of $X \subseteq S$ iff $\forall x \in X . x \sqsubseteq y$

Least upper bound

- y is a *least upper bound (lub)* of $X \subseteq S$ iff
 - y is **upper bound** of X, and
 - $\forall z \in S. z$ is an upper bound of $X \Rightarrow y \sqsubseteq z$
- If S is a poset and $X \subseteq S$, there is at most one lub of X (denoted by $\sqcup X$)
- $\sqcup \emptyset = \bot$, the least element of S (if exists)
- Let $\mathcal{X} \subseteq \mathcal{P}(S)$ such that $\sqcup X$ exists for all $X \in \mathcal{X}$, $\sqcup \{ \sqcup X \mid X \in \mathcal{X} \} = \sqcup (\cup \mathcal{X})$

if either of these lub exists

Domains

A chain C is a countably infinite non-decreasing sequence

$$x_0 \sqsubseteq x_1 \sqsubseteq \dots$$

- We may also use C to represent the set of elements on the chain
- The *limit* of a chain C is the lub of all its elements when it exists
- A chain C is interesting if $(\sqcup C) \notin C$
- A poset D is a **predomain** (or **complete partial order cpo**) if every chain elements in D has a limit in D
- A predomain D is a **domain** (or **pointed cpo**) if D has a least element \bot

Lifting

- D_{\perp} is a *lifting* of the predomain D if:
 - ⊥∉ *D*
 - $x \sqsubseteq_{D_{\perp}} y$ iff either $x = \perp$ or $x \sqsubseteq_{D} y$
- Any set S can be viewed as a predomain with discrete partial order $\sqsubseteq ::= \mathrm{Id}_S$
- D is a *flat domain* if $D \{\bot\}$ is discretely ordered

Continuous Functions

- If D and D' are predomains, $f \in D \to D'$ is a **continuous function** if it maps limits to limis: $f(\Box C) = \Box' \{f x_i \mid x_i \in C\}$ for every chain C in D
- Continuous functions are monotone ($x \sqsubseteq y \sqsubseteq y ...$)
- Monotone functions may not be continuous
 - Suppose $C = x_0 \sqsubseteq x_1 \sqsubseteq \cdots$ is an insteresting chain in D with a limit x, and $D' = \{\bot, \top\}$ such that $\bot \sqsubseteq' \top$
 - Consider $f = \lambda y$. if y = x then T else \perp

Monotone vs continuous

- A monotone function $f \in D \to D'$ is continuous iff forall interesting chains $x_0 \sqsubseteq x_1 \sqsubseteq \cdots$ we have $f(\bigsqcup_{i=0}^{\infty} x_i) \sqsubseteq \bigsqcup_{i=0}^{\prime \infty} (f x_i)$
- Proof.

The (pre)domain of continuous functions

• **Pointwise ordering** of functions in $P \rightarrow P'$, where P' is a predomain:

$$f \sqsubseteq_{\rightarrow} g ::= \forall x \in P. f \ x \sqsubseteq_{P'} g \ x$$

Proposition:

If P and P' are predomains, then the set $[P \to P']$ of continuous functions in $P \to P'$ with partial order \sqsubseteq_{\to} is a predomain, such that for any chain $f_0 \sqsubseteq_{\to} f_1 \sqsubseteq_{\to} ...$, we have $\sqcup_i f_i = \lambda x \in P. \sqcup_i' (f_i x)$

If P' is a domain, then $[P \to P']$ is a domain with $\bot_{\to} = \lambda x \in P. \bot_{P'}$

Examples: continuous functions

- For predomains P, P' and P'',
 - If $f \in P \to P'$ is constant, then $f \in [P \to P']$
 - $\mathrm{Id}_P \in [P \to P]$
 - If $f \in [P \to P']$ and $g \in [P' \to P'']$, $g \circ f \in [P \to P'']$
 - If $f \in [P \to P']$, $(-\circ f) \in [[P' \to P''] \to [P \to P'']]$

Strict functions and lifting

- If D and D' are domains, $f \in D \to D'$ is **strict** if $f \perp = \perp'$
- If P and P' are predomains, $f \in P \rightarrow P'$, then the strict funcion

$$f_{\perp} ::= \lambda x \in P_{\perp}$$
. if $x = \perp$ then \perp' else $f(x)$

is the *lifting* of f to $P_{\perp} \rightarrow P'_{\perp}$.

• If P' is a domain, then the strict function

$$f_{\parallel} ::= \lambda x \in P_{\perp}$$
 if $x = \perp$ then \perp' else $f(x)$

is the **source lifting** of f to $P_1 \rightarrow P'$

- If f is continuous, so are f_{\perp} and f_{\parallel} .
- $(-)_{\perp}$ and $(-)_{\parallel}$ are also continuous.

Least fixed-point

- **Theorem** [Kleene fixed-point theorem]: If D is a domain and $f \in [D \to D]$ then $x := \bigsqcup_{i=0}^{\infty} (f^i \perp)$ is the *least fixed-point* of f.
- Proof.

x is well-defined because $\bot \sqsubseteq f \sqsubseteq f^2 \sqsubseteq \cdots$ is a chain.

x is a fixed-point because

$$f x = f \left(\sqcup_{i=0}^{\infty} \left(f^{i} \perp \right) \right) = \sqcup_{i=0}^{\infty} \left(f \left(f^{i} \perp \right) \right) = x$$

For any fixed-point y of f, $\bot \sqsubseteq y \Rightarrow f \bot \sqsubseteq f y = y$.

By induction, $\forall i \in \mathbb{N}$. $f^i \sqsubseteq y$. So y is an upper bound of the chain $\bot \sqsubseteq f \bot \sqsubseteq \cdots$. Since x is a lub, $x \sqsubseteq y$.

The least fixed-point operator

• Let

$$\mathbf{Y}_D = \lambda f \in [D \to D]. \sqcup_{i=0}^{\infty} (f^i \perp)$$

• $\forall f \in [D \to D]$. $\mathbf{Y}_D f$ is the least fixed-point of f.

•
$$\mathbf{Y}_D \in [[D \to D] \to D]$$

Back to semantics of loops

• Recall
$$\llbracket \mathbf{while} \ b \ \mathbf{do} \ c \rrbracket_{\mathcal{C}} \sigma = \begin{cases} (\llbracket \mathbf{while} \ b \ \mathbf{do} \ c \rrbracket_{\mathcal{C}})_{\!\!\perp\!\!\perp} (\llbracket c \rrbracket_{\mathcal{C}} \sigma), \text{ if } \llbracket b \rrbracket_{\mathcal{B}} \sigma = true \\ \sigma \qquad , otherwise \end{cases}$$

- It implies that $[\![\mathbf{while}\ b\ \mathbf{do}\ c]\!]_C$ is a fixed-point of $F ::= \lambda f \in State \to State_{\perp}. \lambda \sigma \in State$. if $[\![b]\!]_B \sigma$ then $f_{\perp}([\![c]\!]_C \sigma)$ else σ
- We pick the least fixed-point

[while
$$b$$
 do c]]_C ::= $\mathbf{Y}_{[State \rightarrow State_{\perp}]}F$

Coincides with our intuition based on operational semantics:

$$W ::= \lim_{n \to \infty} W_n = \lim_{n \to \infty} F^n W_0$$

Abstractness of semantics

 Abstract semantics are an attempt to separate the important properties of a language (what computations can it express) from the unimportant (how exactly computations are represented).

- The more terms are considered equal by a semantics, the more abstract it is.
- A semantic function $\llbracket \rrbracket_1$ is *at least as abstract as* $\llbracket \rrbracket_0$ if $\forall c, c'. \llbracket c \rrbracket_0 = \llbracket c' \rrbracket_0 \Rightarrow \llbracket c \rrbracket_1 = \llbracket c' \rrbracket_1$

Observation and context

- If there are other means of observing the result of a computation, a semantics may be incorrect if it equates too many terms.
- Observation: "needs of the user"
- Let O be an observation, and O be a set of observations, i.e.

$$0 \in \mathcal{O} \subseteq Comm \rightarrow Outcomes$$

- A *context* C is a command with a *hole* [] . Use C for all contexts.
- A command c can be **placed** in the hole of C, yielding C[c] (not substitution name capture is allowed).
- E.g., $C = (\mathbf{newvar} \ x \coloneqq 1 \ \mathbf{in} \ [\] \ ; y \coloneqq x)$

Soundness and full abstractness

- A semantic function [-] is **sound (with respect to 0)** iff $\forall c, c'. [c] = [c'] \Rightarrow \forall 0 \in \mathcal{O}. \forall C \in \mathcal{C}. O(C[c]) = O(C[c'])$
- A semantic function [-] is fully abstract (with respect to O) iff

$$\forall c, c'. [[c]] = [[c']] \Leftrightarrow \forall O \in \mathcal{O}. \forall C \in \mathcal{C}. O(C[c]) = O(C[c'])$$

- i.e. **[**−**]** is the "most abstract" sound semantics.
- **Proposition**: if $[\![-]\!]_0$ and $[\![-]\!]_1$ are both fully abstract semantics w.r.t. \mathcal{O} , then $[\![-]\!]_0 = [\![-]\!]_1$

Full abstractness of semantis for IMP

- Let $O_{\sigma,x} ::= \lambda c$. if $[\![c]\!]_C \sigma = \bot$ then \bot else $([\![c]\!]_C \sigma) x$
- Let \mathcal{O} be the set of all such observations, i.e.

$$\mathcal{O} = \{ O_{\sigma,x} \mid \sigma \in State, x \in Var \} \subseteq Comm \to \mathbb{Z}_{\perp}$$

- **Proposition**: $[\![-]\!]_C$ is fully abstract w.r.t. \mathcal{O} .
 - $\llbracket \rrbracket_C$ is sound: by compositionality, if $\llbracket c \rrbracket_C = \llbracket c' \rrbracket_C$, then for any context C, $\llbracket C[c] \rrbracket_C = \llbracket C[c'] \rrbracket_C$ (induction). So $O_{\sigma,x}(C[c]) = O_{\sigma,x}(C[c'])$ for any observation $O_{\sigma,x}$.
 - $\llbracket \rrbracket_C$ is most abstract: consider the empty context $C = \cdot$. If $O_{\sigma,x}(c) = O_{\sigma,x}(c')$ holds for all $x \in Var$ and $\sigma \in State$, we know by definition $\llbracket c \rrbracket_C = \llbracket c' \rrbracket_C$.

Main points of denotational semantics

- Idea: programs → mathematical objects
- Theoretical foundation: domain theory
 - Poset, lub
 - Predomain (cpo), domain (pointed cpo)
 - Continuous functions, least fixed-point
- Compositional and abstract

More on this topic

- Denotations for **newvar**, ...
- Observing termination of closed commands
- Extensions, e.g., the fail command

• ...

 Please refer to Chapter 2 of Theories of Programming Languages by Reynolds