文件制／修訂履歷

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| 制／修訂  版次 | 制／修訂  日期 | 制／修訂  說明 | 作  者 | 核  准 | 備  註 |
| V0.0 | 2021/04/06 | 初版 | 林楷杰 |  |  |
| V1.1 | 2022/12/27 | 出版 | 林楷杰 |  |  |
| V2.0 | 2023/02/22 | 出版 | 盧羿樺 |  |  |

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| **報表代號** | LM049 | **報表名稱** | 放款金控法第44條利害關係人放款餘額表 |
| **程式名稱** | 1. 前端：無 2. 電文定義檔：無 3. 後端：LM049.java , LM049Report.java , LM049ServiceImpl.java | | |
| **報表底稿** | LM049\_底稿\_放款金控法第44條利害關係人放款餘額表\_限額控管.xlsx | | |
| **報表說明** | 產製放款金控法第44條利害關係人放款餘額表   1. 金控公司負責人及大股東   ??? 篩選方式   1. 前者為獨資、合夥經營事業或擔任負責人企業或為代表人團體   ??? 篩選方式   1. 有半數以上董事與金控公司或其子公司相同之公司   ??? 篩選方式   1. 金控公司子公司及其負責人、大股東   ??? 篩選方式 | | |
| **流程說明** | 交易選單  →L9報表作業  →3定期報表  →L9803 月報  →LM049 放款金控法第44條利害關係人放款餘額表 | | |
| **批次產製方式** | 1. 批次週期:月底日 2. 批次時間: | | |
| **檔案格式** | xlsx | | |
| **是否須簽核** | 是(特殊簽核) | | |

## Table

### CustMain 客戶資料主檔

### RptRelationSelf 報表用\_金控利害關係人\_關係人資料

### RptRelationFamily 報表用\_金控利害關係人\_關係人親屬資料

### RptRelationCompany 報表用\_金控利害關係人\_關係人公司資料

## 功能說明

### 【輸入參數】:

#### 無

### 【進表條件】:

### 【報表格式】:



### 【列印順序】:

依企金別,主要擔保品地區別由小到大

### 【跳頁方式】:

無

### 【報表欄位】:

|  |  |  |
| --- | --- | --- |
| 欄位 | 資料來源 | 說明 |
| 報表首 | | |
| 標題 |  | YYY年MM月DD日新光人壽對金控法第四十四條授信限制對象授信明細表 |
| 機密等級 |  | 固定值:  機密 |
| 單位 |  | 固定值:  千元 |
| 表格名稱 |  | 固定值:  表7-1 |
| 標題 |  | 上季季底淨值:  民國年YYY.MM.DD(上季季底日)淨值(核閱數)999,999,999 |
| 明細 | | |
| 戶名 | RptRelationSelf. CusName |  |
| 1. 金控公司負責人及大股東 2. 前者為獨資、合夥經營事業或擔任負責人企業或為代表人團體 3. 有半數以上董事與金控公司或其子公司相同之公司 4. 金控公司子公司及其負責人、大股東 |  | ???  缺篩選條件  缺中文顯示方式 |
| 放款期間 |  | ???  撥貸日 & 到期日? |
| 放款利率% |  | ???  核准利率 或 最新生效利率? |
| 擔保品估價 |  | 鑑估總值 |
| 貸放成數% |  |  |
| 十足擔保 |  |  |
| 不優於同類授信對象 |  |  |
| 達1億元且經董事會決議 |  |  |
| 符合金控法第44條 |  |  |
| 授信餘額 |  |  |
| 占淨值比% |  |  |
| 額度 |  | 額度號碼 |
| 備註說明 |  | 同押品 |
| 小計 |  | 授信餘額&占淨值比小計 |
| 頁尾 | | |
| 合計 |  | 授信餘額&占淨值比合計 |
| 簽核 |  | 經辦、經理、風險管理人、協理 |

### 【SQL語法】:

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| --- |
| SELECT  s0."CusType",  s0."CusSCD",  s0."CusscdName",  s0."CusID",  s0."CusName",  s0."STSName",  s0."CusName2",  s1."CustNo",  s1."FacmNo",  s1."DrawdownDate",  s1."MaturityDate",  s1."StoreRate",  s1."EvaAmt"  , S1."LoanBal", CASE  WHEN s2."SameCollateralDifferentFacmNo" > 0 THEN  'Y'  ELSE  'N'  END AS "HasSameCollateralDifferentFacmNo",  s1."ClCode1" || '-' || s1."ClCode2" || '-' || s1."ClNo" AS "ClNo"  FROM  (  SELECT  '1' AS "CusType",  N'1' AS "CusSCD",  rsc."CusSName" AS "CusscdName",  rs."CusId" AS "CusID",  rs."CusName" AS "CusName",  NULL AS "STSName",  NULL AS "CusName2"  FROM  "RptRelationSelf" rs  LEFT JOIN "RptSubCom" rsc ON rsc."CusSCD" = rs."CusSCD"  WHERE  rs."CusSCD" = '1'  AND rs."STSCD" IN (  '1',  '2',  '3',  '4',  '5',  '6',  '7',  '8',  '11',  '13',  '14',  '15',  '16',  '17',  '18',  '19',  '28',  '48',  '49'  )  AND rs."CusCCD" IN (  '1',  '3'  )  UNION ALL  SELECT  '2' AS "CusType",  rc."CusSCD" AS "CusSCD",  rsc."CusSName" AS "CusscdName",  rc."ComNo" AS "CusID",  rc."ComName" AS "CusName",  NULL AS "STSName",  rs."CusName" AS "CusName2"  FROM  "RptRelationCompany" rc  LEFT JOIN "RptSubCom" rsc ON rsc."CusSCD" = rc."CusSCD"  LEFT JOIN "RptRelationSelf" rs ON rs."CusId" = rc."CusId"  WHERE  rs."STSCD" = '1'  AND rs."CusSCD" = '1'  AND rs."CusCCD" IN (  '1',  '3'  )  UNION ALL  SELECT  '2' AS "CusType",  rs."CusSCD" AS "CusSCD",  rsc."CusSName" AS "CusscdName",  rs."CusId" AS "CusID",  rs."CusName" AS "CusName",  NULL AS "STSName",  NULL AS "CusName2"  FROM  "RptRelationSelf" rs  LEFT JOIN "RptSubCom" rsc ON rsc."CusSCD" = rs."CusSCD"  WHERE  rs."CusSCD" = '2'  AND rs."STSCD" IN (  '1',  '2',  '3',  '4',  '5',  '6',  '7',  '8',  '11',  '13',  '14',  '15',  '16',  '17',  '18',  '19',  '28',  '48',  '49'  )  AND rs."CusCCD" = '2'  UNION ALL  SELECT  '3' AS "CusType",  rs."CusSCD" AS "CusSCD",  rsc."CusSName" AS "CusscdName",  rs."CusId" AS "CusID",  rs."CusName" AS "CusName",  NULL AS "STSName",  NULL AS "CusName2"  FROM  "RptRelationSelf" rs  LEFT JOIN "RptSubCom" rsc ON rsc."CusSCD" = rs."CusSCD"  WHERE  rs."CusSCD" != '1'  AND rs."STSCD" IN (  '1',  '2',  '3',  '4',  '5',  '6',  '7',  '8',  '11',  '13',  '14',  '15',  '16',  '17',  '18',  '19',  '28',  '48',  '49'  )  AND rs."CusCCD" IN (  '1',  '3'  )  ) s0  LEFT JOIN ( SELECT  cm."CustId",  fac."CustNo",  fac."FacmNo",  clm."ClCode1",  clm."ClCode2",  clm."ClNo",  CASE  WHEN clm."ClCode1" IN (  1,  2  ) THEN  case  WHEN nvl(imm."EvaNetWorth", 0) > 0 THEN  nvl(imm."EvaNetWorth", 0)  ELSE  nvl(clm."EvaAmt", 0)  END  WHEN clm."ClCode1" IN (  3,  4  ) THEN  cs."SettingBalance" \* cs."YdClosingPrice"  ELSE  nvl(clm."EvaAmt", 0)  end AS "EvaAmt",  nvl(lbm."DrawdownDate", 0) AS "DrawdownDate",  nvl(lbm."MaturityDate", 0) AS "MaturityDate"  , NVL(lbm."StoreRate", 0) AS "StoreRate",  nvl(lbm."LoanBal", 0) AS "LoanBal"  FROM  "CustMain" cm  LEFT JOIN "FacMain" fac ON fac."CustNo" = cm."CustNo"  LEFT JOIN (  SELECT  "CustNo"  ,  "FacmNo",  MAX("StoreRate") AS "StoreRate",  MIN("DrawdownDate") AS "DrawdownDate",  MAX("MaturityDate") AS "MaturityDate",  SUM("LoanBal") AS "LoanBal"  FROM  "LoanBorMain"  WHERE  "LoanBal" > 0  GROUP BY "CustNo",  "FacmNo"  ) lbm ON lbm."CustNo" = fac."CustNo"  AND lbm."FacmNo" = fac."FacmNo"  LEFT JOIN "ClFac" cf ON cf."CustNo" = FAC."CustNo"  AND CF."FacmNo" = fac."FacmNo"  AND cf."MainFlag" = 'Y'  LEFT JOIN "ClMain" clm ON clm."ClCode1" = cf."ClCode1"  AND clm."ClCode2" = cf."ClCode2"  AND clm."ClNo" = cf."ClNo"  LEFT JOIN "ClImm" imm ON imm."ClCode1" = cf."ClCode1"  AND imm."ClCode2" = cf."ClCode2"  AND imm."ClNo" = cf."ClNo"  LEFT JOIN "ClStock" cs ON cs."ClCode1" = cf."ClCode1"  AND cs."ClCode2" = cf."ClCode2"  AND cs."ClNo" = cf."ClNo"  WHERE  lbm."LoanBal" > 0 ) s1 ON s1."CustId" = trim(s0."CusID") left  JOIN (  SELECT  cf1."ClCode1",  cf1."ClCode2",  cf1."ClNo",  cf1."CustNo",  cf1."FacmNo",  MAX(nvl(cf2."FacmNo", 0)) AS "SameCollateralDifferentFacmNo"  FROM  "ClFac" cf1  LEFT JOIN "ClFac" cf2 ON cf2."ClCode1" = cf1."ClCode1"  AND cf2."ClCode2" = cf1."ClCode2"  AND cf2."ClNo" = cf1."ClNo"  AND cf2."MainFlag" = 'Y'  AND cf2."CustNo" = cf1."CustNo"  AND cf2."FacmNo" <> cf1."FacmNo"  WHERE  cf1."MainFlag" = 'Y'  GROUP BY  cf1."ClCode1",  cf1."ClCode2",  cf1."ClNo",  cf1."CustNo",  cf1."FacmNo"  ) s2 ON s2."CustNo" = s1."CustNo"  AND s2."FacmNo" = s1."FacmNo"  AND s2."ClCode1" = s1."ClCode1"  AND s2."ClCode2" = s1."ClCode2"  AND s2."ClNo" = s1."ClNo"  WHERE s1."CustNo" > 0  ORDER BY  s0."CusType",  s0."CusSCD",  s0."CusID",  s1."FacmNo" |

### 【樣張底稿】:

