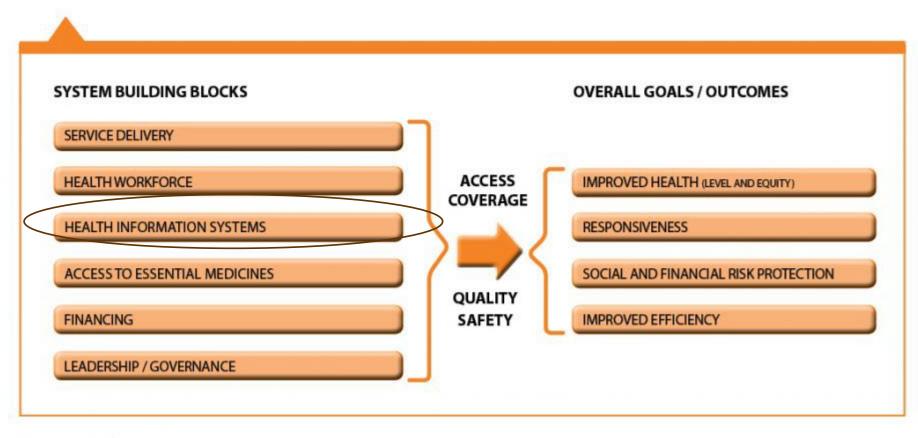
Designing and Implementing Health Information Systems

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28 AUG 2024

What is a Health Information System?

Figure 1. The WHO Health Systems Framework



Source: Reference c

The organisations, institutions, people and resources whose primary purpose is to promote, restore or maintain health.

Box 2. Selected definitions of HISs

HISs are systems providing information support to decision-making at all levels of the health system, which incorporate information generated by both population-based and institution-based data sources (8).

HISs can be defined as infrastructures for the monitoring of health activities, population health outcomes, and policies with a significant impact on health. They encompass the people, institutions, legislation, interinstitutional relationships, values, technologies, and standards that contribute to the different stages of data processing. These stages include the collection, analysis, storage, transmission, display, dissemination, and further utilization of data and information from various sources. The goal of health information systems is to allow all professional and lay users within and outside the health sector to use, interpret, and share information and to transform it into knowledge (9).

An HIS refers to a system involving producers, users, and other factors contributing to the production and use of health information. Health information generated by an HIS supports evidence-informed decision-making at every level of a health system (10).

The HIS provides the underpinnings for decision-making and has four key functions: (i) data generation, (ii) compilation, (iii) analysis and synthesis, and (iv) communication and use. The HIS collects data from health and other relevant sectors, analyses the data and ensures their overall quality, relevance and timeliness, and converts the data into information for health-related decision-making (11).

An HIS is the total of resources, stakeholders, activities and outputs enabling evidence-informed health policy-making (12).

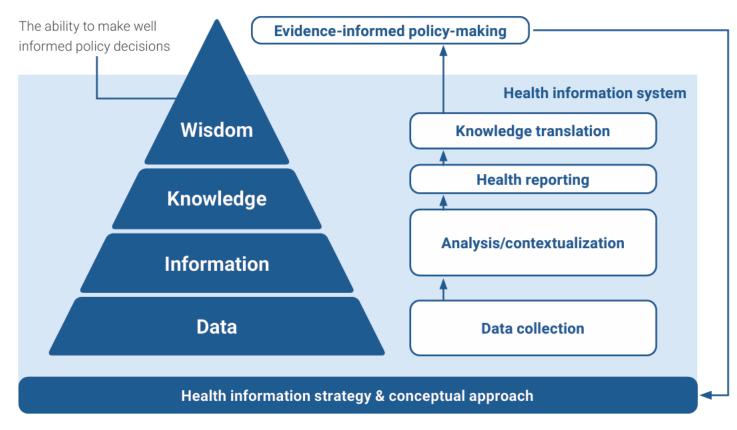
Health Information System - Definitions

"integrated effort to collect, process, report and use health information and knowledge to influence policy-making, programme action and research".

"a set of interrelated components that collect, process, store and distribute information to support the decisionmaking process and assist in the control of health organizations"

"a system of interrelated constituents that collect, process, store and distribute data and information to support the decision-making process, assist in the control of health organizations and enhance healthcare applications".

Fig. 2. Population health monitoring model combining health information system outputs and activities



Note: The levels in the data, information, knowledge and wisdom hierarchy, also known as the knowledge hierarchy or information pyramid, can be seen as the outputs an HIS generates by performing the activities depicted on the right side of the figure. Thus, when these HIS activities are performed, the information pyramid can be climbed to reach evidence-informed policy-making at the top.

Socio **Technical** Tools (Computers, software, (HC providers, patients) paper-based records)

People

What is a Health Information System Used for?

What is a Health Information System Used for?

Individual-Level

Health Facility-Level

Population-level

Public Health Surveillance Clinical decision-making

Resource needs, purchasing drugs, equipment, outreach planning

Information about those who use services AND those who don't (household surveys)

Epidemics, timely basis for action

What are the main functions of the HIS?

Data Generation

Compilation

Analysis and Synthesis

Communication and Use

Four key functions of HIS Underpinnings of decision-making

HIS Design

HIS Design

1. Identifying information needs and indicators

2. Defining data sources and developing data collection instruments

3. Developing data transmission and data processing procedures

4. Ensuring use of the information

5. Planning for the required HIS resources

6. Developing a set of organisational rules for HIS management

HIS Management

HIS Design:

Identifying information needs and indicators

HIS Design: Identifying information needs

What information is needed to take decisions at each of these levels?

Individual-Level

Clinical decision-making

Health Facility-Level

Resource needs, purchasing drugs, equipment, outreach planning

Population-level

Information about those who use services AND those who don't (household surveys)

Public Health Surveillance

Epidemics, timely basis for action

FACILITY ESSENTIAL DATASET FOR A HEALTH

Special Program Activities

Routine Service Activities

- Epidemiological Surveillance
- **Administrative Systems**

Population

- Maternal & reproductive health
- Child health & nutrition
- Chronic illness
- HIV/AIDS, STI and TB
- Minor ailments
- Non-priority activities
- Notifiable diseases
- Environmental health
- Infrastructure, equipment
- Human resources
- Drugs, transport, laboratories, finances, budget, staff
- Census: age, sex, place
- Births & deaths registration

Priority setting

Limited number of feasible indicators

Figure 11: Information collected at PHC facilities

DATA ELEMENT GROUPS



NIDS Data Elements 2016 NDoH

DATA ELEMENTS NIDS



Home Organisational Units Indicators Data Elements Validation Rules Submissions

Data File: NIDS Integrated DATA ELEMENTS ▼ Search: DataElement Name Groups: Please Choose: ▼ Download: Please Choose: Version: NIDS 2020 Search Y Short Name UID Data Element Name All DS-TB client death All DS-TB death X8j2Z6m4O8d All DS-TB client in treatment outcome cohort T2uU41Q5SCd All DS-TB outcome cohort All DS-TB client lost to follow-up TxU0Yvaz8VC All DS-TB LTF All DS-TB clients treatment failure qEI5l93Lq0l DS-TB failure All DS-TB client successfully completed treatment All DS-TB success Q4m0E3c8D7p All DS-TB treatment start SZriUtR2k7z All DS-TB start Rx Antenatal 1st visit 20 weeks or later N2m4W6w3N4r ANC 1st visit >=20w Antenatal 1st visit before 20 weeks Cl4D9i5T6j2 ANC 1st visit < 20w Antenatal already on ART at 1st visit ANC already ART 1st visit Afp9c3hcKx9 Antenatal client HIV re-test K2j3J8b4H6c ANC HIV re-test Antenatal HIV 1st test cx6F4m5U5v2 ANC HIV 1st test Antenatal HIV 1st test positive Cb3X7x9P7b2 ANC HIV 1st test pos Antenatal HIV re-test positive J1a6l8c1G2k ANC HIV re-test pos Antenatal known HIV positive but NOT on ART at 1st visit cq3K6v5R5e3 ANC known HIV pos not ART Antenatal start on ART X1d4L2l6W1k ANC start ART

DATA DEFINITIONS

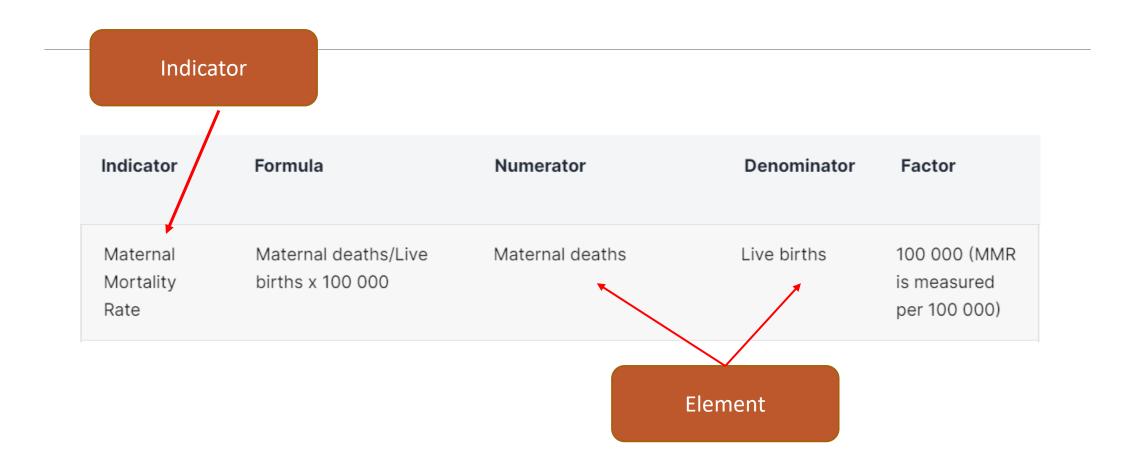
Data Field	Definitions	
PHC headcount under 5 years	All individual patients not yet reached five years (60 months) of age attending the facility during the period.	
PHC headcount 5 years and older	All individual patients five years (60 months) and older attending the facility during the period.	
DOTS visit – Facility	Directly Observed Treatment System visit (usually daily) by a diagnosed tuberculosis patient to receive medication.	
Nurse clinical work days (PHC)	The number of actual work days by nurses, irrespective of rank, used to perform Primary Health Care services in the month.	
First antenatal visit	A first visit by a pregnant woman to a health facility for the primary purpose of receiving antenatal care.	
Follow-up antenatal visit	Any antenatal visit other than a first antenatal visit.	
Tet Tox 3 rd /booster dose to pregnant woman	The final Tet Tox dose given to a pregnant woman. Women who have proof of being fully immunised during a previous pregnancy need only a single booster.	
Oral pill cycle	A packet (cycle) of oral contraceptives issued to a woman.	
Nuristerate injection	Any Nuristerate (Norethisterone enantate) injection given into a woman between 15 and 45 years.	
Depo-provera/Petogen injection	Depo-provera/Petogen (Medroxyprogesterone acetate) injection given to a woman between 15 and 45 years.	
Condoms distributed	Condom that has been given out or taken from distribution points in facilities or elsewhere (including campaigns).	
Referred for Termination of Pregnancy	A client referred to a facility that provides Termination of Pregnancy Services.	

Table 3: Partial list of the DHIS data definitions

DATA DEFINITIONS

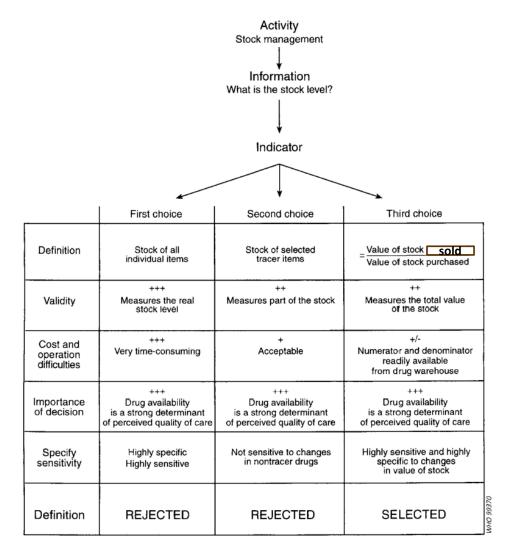
DE Group	TB monthly
Data element name	DS-TB Bacteriologically confirmed under 5 years
Bulleted definition	Children under 5 years who were bacteriologically confirmed with GeneXpert, or culture and DST as Rifampicin-Susceptible TB (RS-TB)
Extended Definition	None
Use and Context	Monitors trends in early identification of clients with DS-TB in health care facilities
Inclusions	INCLUDE All children with confirmed Drug-Susceptible TB, including pulmonary and extra-pulmonary TB;
Exclusions	EXCLUDE children diagnosed with Rifampicin Resistant (RR) TB; EXCLUDE children clinically diagnosed only
Collected by	Clinician
Collection points	Clinics, CHCs, Hospitals
Frequency	Monthly
Tools	TB Identification register

DATA ELEMENTS VS INDICATORS IN DHIS



Selecting Indicators

- What is the indicator supposed to measure (validity?)
- What will be the cost of measuring the data?
- What is the relative importance of the subject matter and decision to be made based on the indicator? (relevance)
- Does the indicator actually capture changes that occur in the situation under study (specificity)?
- Is the change shown by the indicator a true change in the situation under study? (sensitivity)?



HIS Design:

Defining data sources and developing data collection instruments

HIS Design: Defining data sources

What source of information can we use at each of these levels?

Individual-Level

Clinical decision-making (patient files: paper/electronic)

Health Facility-Level

Resource needs, purchasing drugs, equipment, outreach planning

Population-level

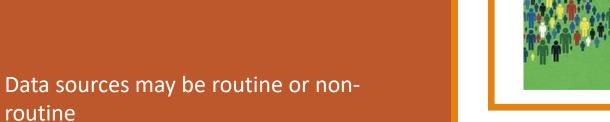
Information about those who use services (service delivery – RHIS)

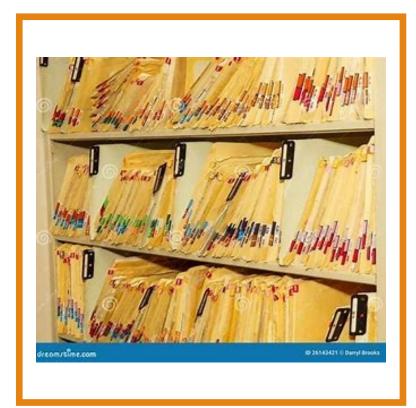
AND those who don't (household surveys)

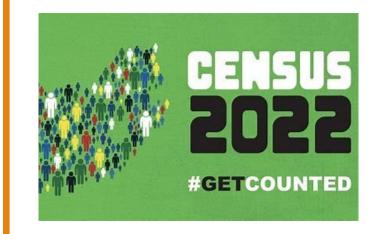
Public Health Surveillance

Epidemics, timely basis for action

DATA SOURCES





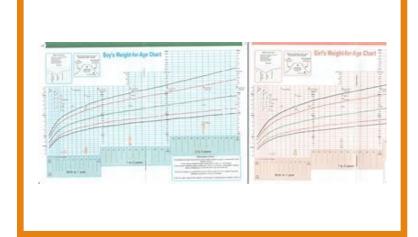




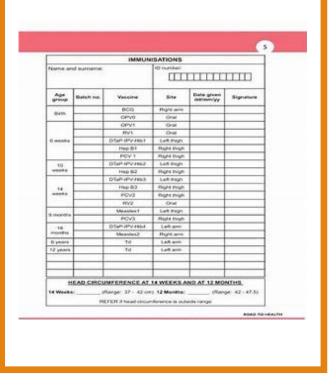
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PATIENT-HELD RECORDS









HIS Design: Data Collection Instruments

- **Layout:** Is the flow logical? Is there enough space to fill out the required information?
- •Clarity: Are the instructions clear and helpful?
- **Burden:** How much time and effort does it take to complete the form?
- •Feasible: Is it possible to collect the information from this setting? e.g. if lab-information is required
- Duplication: Is this information collected elsewhere?

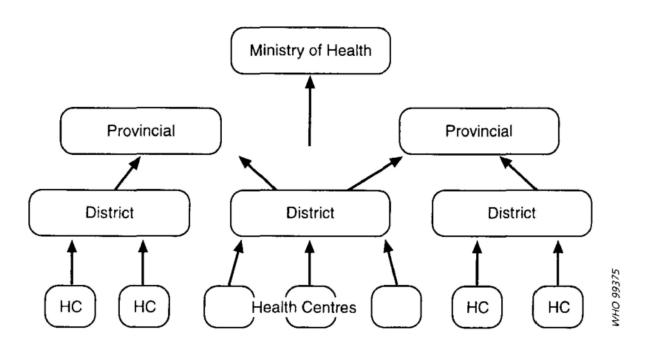
HIS Design:

Defining data transmission and data processing procedures

Data Transmission

Transfer of raw data from the lowest level to higher levels of a health system for the purpose of data processing.

Recognition that raw data collected at the lower level may not be in a form or of sufficient quality to be useful for decision-making.



DAILY WEEKLY MONTHLY •1. Collect data during each •1st: Validated clinician/service Interim aggregation & validation patient/client contact point summary to facility manager •2. Validate data •5th : Validated facility summary •3. Calculate sub-totals submitted for capturing •4. Capture data (selected •10th : Facility level capturing, facilities) validation and export to subdistrict level completed 20th: Sub-district level capturing, Feedback in 5 days down to MONTHLY import, validation & export facility level completed 30th: District level import, Feedback in 5 days down to sub-MONTHLY validation & export completed district level Provincial import, 45 days after reporting Feedback in 5 days to MONTHLY validation & export period district level completed Feedback 60 days after National import, 50 days after reporting MONTHLY validation & saving on reporting period to period server completed provinces

DHMIS POLICY

Data Processing

DATA CLEANING

- Missing Records
- Duplicated Records
- Implausible values
- Contradicting values
- Inconsistency with other known information

RE-ARRANGE THE DATA TO FORM A SUMMARY SET OF VARIABLES

- Tabulations
- Statistical analyses

What are the characteristics of good quality data?

Data Quality

Characteristic	How it's measured
Accuracy	Is the information correct in every detail?
Completeness	How comprehensive is the information?
Reliability	Does the information contradict other trusted resources?
Relevance	Do you really need this information?
Timeliness	How up- to-date is information? Can it be used for real-time reporting?

Data Quality

Inappropriate data collection instruments and procedures

e.g. adapting a DCI from a different setting without making changes for the context

Poorly defined indicators

Illegible forms - photocopying

Poor recording and reporting

e.g. non-submission of data from a health facility (no personnel)

Poor understanding of indicator

Purposeful reporting of false data

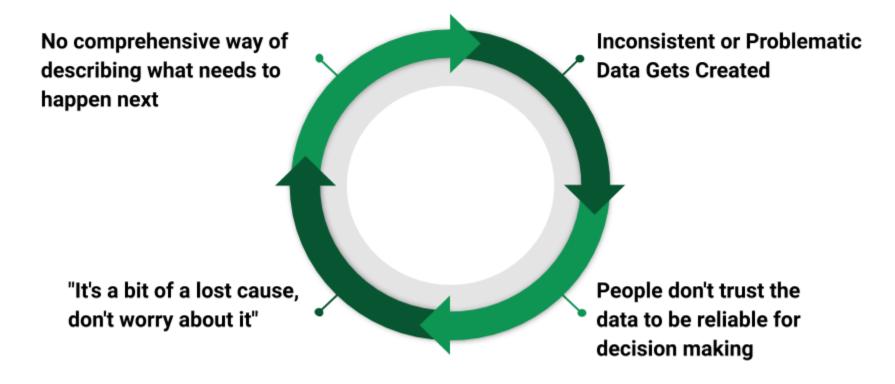
Errors in data processing

e.g. coding errors – ICD10

Tabulation error

Linkage error

The Data Quality Vicious Cycle



DataKind

What are some ways you can think of to improve data quality?

Data Quality

- Simple design less room for error
- •Standardise procedures and definitions e.g. NIDS/ DHMIS policy/ SOPs
- User involvement in design
- Testing/ piloting data collection instruments
- Training and refresher training
- Incentive structure
- •Monitoring data quality audits/ comparison with other data sources/flag potential errors e.g. data validation reports
- Feedback



HIS Design:

Ensuring use of the information

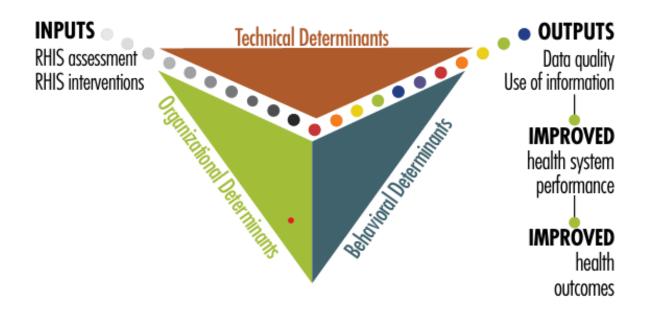
When is data/ information used?

When is data/ information NOT used?

Does good quality data ensure use?

Ensuring use of information

Figure 1. PRISM Framework



PRISM : Performance of Routine Information System Management

Behavioural Determinants:

The knowledge, skills, attitudes, values, and motivation of the people who collect, analyze, and use health data

Technical Determinants:

The RHIS design, data collection forms, processes, systems, and methods

Organisational Determinants:

Information culture, structure, resources, roles, and responsibilities of key contributors at each level of the health system

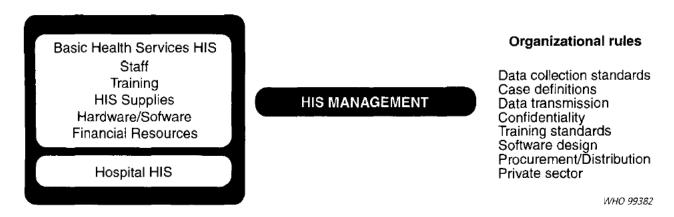
What are some ways you can think of to improve data use in decision-making?

Improving use of data for decision making

- Ownership and relevance of the data
 - Users involved in data needs assessment, indicator selection, DCI development, etc.
- Validity and reliability
 - In design phase and in implementation (monitoring)
- Data at the level required
 - Aggregation or disaggregation of data user needs
- Data Visualisation
 - Easily interpreted
- Timeliness
 - Complement the health system planning cycle
- •Understanding when/where decisions are made
 - E.g. How to structure programme review meetings

HIS Design: HIS Management -Resources and Organisational Rules

Elements of health information system (HIS) management



Equipment: requirements for different

settings/facilities

Staff: What HIS responsibilities do staff have? Clinical

staff vs non-clinical staff?

Training: What are the training requirements

Financial: How do we fund HIS implementation -

programme-specific/ system-wide

Governance: Who has the responsibility to manage the HIS?

Steering committee: Multidisciplinary team, oversee the

strategic direction, address user needs

Data collection standards (e.g. ICD 10), NIDS

Data transmission schedules/timelines (e.g. DHMIS policy)

Privacy/confidentiality/access rights

Standards for training/equipment

M&E systems – data quality – audit/intervention/ improvement

Other relevant terms

Electronic Health Record (EHR)/EMR

Have you heard of an EHR?

- What is it?
- •How is it different to what we have been talking about?

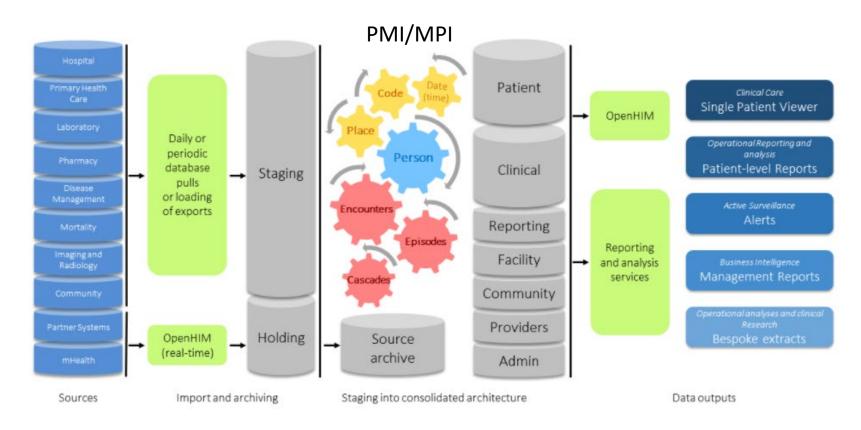
Electronic Health Record

- Sometimes referred to as EMR
- Collects health and demographic information about an individual
- Longitudinal
- •Ideally contains data across health delivery sites
 - Electronic Patient Record (EPR) usually used to refer to information at 1 site only
- Some allow for patients to access their own records

Health Information Exchange (HIE)

- •Health information exchange (HIE), involves the electronic transfer of health information between health care organizations according to nationally recognized standards
- •Concept– the idea that all health data should be readily available to the patient and clinicians at the point of care, regardless of where that data was generated.
- Potential use cases:
 - Access to more comprehensive clinical information better decision making for clinicians
 - More accurate information about disease burden and can be aggregated/ disaggregated as needed
 - Clinical governance ability to "audit" service delivery easily and design targeted interventions
 - Better understanding of health-seeking behaviour and service utilisation
 - Datasets for health research simplifies the research process

Health Information Exchange (HIE)



Western Cape Provincial Health Data Centre (PHDC)

Resources

- Design and Implementation of Health Information Systems. Edited by Theo Lippeveld, Rainer Sauerborn, Claude Bodart. WHO 2000
- The benefits of health information exchange: an updated systematic review. Nir Menachemi, Saurabh Rahurkar, Christopher A Harle, Joshua R Vest. Journal of the American Medical Informatics Association
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- Support tool to strengthen health information systems: guidance for health information system assessment and strategy development. Copenhagen: WHO Regional Office for Europe; 2021