

# Combinatorics 2018 Fall

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**Key words:** Intersecting Family, EKR Thm

**Recall:**

**LYM Inequality:**

$\mathcal{F}$  is an antichain in  $(2^{[n]}, \subseteq)$ , then  $\sum_{A \in \mathcal{F}} \binom{n}{|A|}^{-1} \leq 1$ .

**proof:**

A maximal symmetric chain  $\{\phi\} - \{x_1\} - \{x_1, x_2\} - \cdots - \{x_1, \cdots, x_n\}$ , consider a permutation  $\pi = (x_1, x_2, \cdots, x_n)$

Double counting  $S = \{(A, \pi) : A \in \mathcal{F}, \pi \in S_n, \pi \text{ contains } A\}$ , where 'contain' means  $A = \{x_1, \cdots, x_{|A|}\}$

Fix  $A$ ,  $\#\pi \text{ contains } A = |A|!(n - |A|)!$

Fix  $\pi$ , since  $\mathcal{F}$  is an antichain,  $\pi$  contains at most one  $A \in \mathcal{F}$ . Then

$$\sum_{A \in \mathcal{F}} |A|!(n - |A|)! = |S| = \sum_{\pi \in S_n} |\{A \in \mathcal{F} : \pi \text{ contains } A\}| \leq n!$$

$$\Rightarrow \sum_{A \in \mathcal{F}} \binom{n}{|A|}^{-1} \leq 1.$$

□

**Intersecting Family:** A family of sets  $\mathcal{F} \subset 2^{[n]}$  is intersecting if  $\forall A, B \in \mathcal{F}, |A \cap B| \geq 1$

**e.g.**

$$(1) \mathcal{F} = \{A \in [n] : 1 \in a\}, |\mathcal{F}| = 2^{n-1}$$

$$(2) \text{ for } n \text{ odd, } \mathcal{F} = \{A \in [n] : |A| \geq \frac{n+1}{2}\}, |\mathcal{F}| = \sum_{k=\frac{n+1}{2}}^n \binom{n}{k} = 2^{n-1}$$

**Fact:** For any intersecting family  $\mathcal{F} \subset 2^{[n]} \implies |\mathcal{F}| \leq 2^{n-1}$ , because  $\mathcal{F}$  can not contain both  $A$  and  $A^c = [n] \setminus A$

**Uniform case:**  $\mathcal{F} \subset \binom{[n]}{k}$ ,  $\mathcal{F}$  is called  $k$ -uniform.

How large is  $|\mathcal{F}|$  if  $\mathcal{F}$  is intersecting?

e.g.

$$(1) \mathcal{F} = \{A \in \binom{[n]}{k} : 1 \in A\}, |\mathcal{F}| = \binom{n-1}{k-1}$$

$$(2) k \geq \frac{n+1}{2}, \mathcal{F} = \binom{[n]}{k}, |\mathcal{F}| = \binom{n}{k}$$

**Theorem 1 (Erdős-Ko-Rado Thm, EKR).** If  $n \geq 2k$ ,  $\mathcal{F} \subset \binom{[n]}{k}$  is intersecting family, then  $|\mathcal{F}| \leq \binom{n-1}{k-1}$ .

**proof:**

doubling count  $(A, \pi)$ , where  $A \in \mathcal{F}$ ,  $\pi$  is a cyclic permutation vector and  $A \in \mathcal{F}_\pi$  (which means that  $A$  appears as consecutive elements in  $\pi$ )

$$\text{Fix } A, \# \pi = |A|!(n-|A|)! = k!(n-k)!$$

Fix  $\pi$ ,  $\forall A \in \mathcal{F} \cap \mathcal{F}_\pi, \exists 2k-2$  sets  $B \in \mathcal{F}_\pi$  s.t.  $B \cap A \neq \emptyset, B \neq A$ .

But they can be partitioned into  $k-1$  pairs of disjoint subsets. Since  $\mathcal{F}$  is intersecting,  $\mathcal{F}$  contains at most one set of each pair.

$$\implies |\mathcal{F} \cap \mathcal{F}_\pi| \leq k$$

$$\implies |\mathcal{F}| \cdot k!(n-k)! = \sum_{A \in \mathcal{F}} k!(n-k)! = \sum_{\pi} |\mathcal{F} \cap \mathcal{F}_\pi| \leq \sum_{\pi} k = (n-1)!k$$

$$\implies |\mathcal{F}| \leq \binom{n-1}{k-1}$$

□

**Theorem 2 (EKR Extremal Case).** If  $n > 2k$ , then the intersecting family  $\mathcal{F} \subset \binom{[n]}{k}$  with  $|\mathcal{F}| = \binom{n-1}{k-1}$  must be a star. i.e.  $|\mathcal{F}| = \{A \in \binom{[n]}{k} : i \in A\}$  for some  $i \in [n]$

**Note:**  $n = 2k$ , e.g.  $\mathcal{F}$  contains exactly one set of  $(A, A^c)$ ,  $|A| = k$ ,  $|\mathcal{F}| = \binom{2k}{k}/2 = \binom{2k-1}{k-1}$

**proof:**

See from the proof of EKR, if  $|\mathcal{F}| = \binom{n-1}{k-1}$

- (1)  $\forall$  cyclic  $\pi$ ,  $|\mathcal{F} \cap \mathcal{F}_\pi| = k$
- (2) if  $\pi = (a_1, a_2, \dots, a_n)$  cyclic vector, then  $\mathcal{F} \cap \mathcal{F}_\pi = \{A_1, A_2, \dots, A_k\}$ ,  
 $A_j = \{a_j, \dots, a_{j+k-1}\}$ ,  $j = 1, \dots, k$ .

Fix  $\pi$ , let  $\{a_k = A_1 \cap \dots \cap A_k\}$ . If all  $A \in \mathcal{F}$ ,  $a_k \in A$ , then  $\mathcal{F}$  is a star, done. If not,  $\exists A_0 \in \mathcal{F}$ ,  $a_k \notin A_0$ , we will show that  $\mathcal{F} = \binom{A_1 \cup A_k}{k}$ , then  $|\mathcal{F}| = \binom{2k-1}{k} = \binom{2k-1}{k-1} \leq \binom{n-1}{k-1}$  contradiction.

**Claim1:**  $\forall B \in \binom{A_1 \cup A_k \setminus \{a_k\}}{k-1}$ ,  $B \cup \{a_k\} \in \mathcal{F}$ .

Proof:  $B = B_1 \cup B_2$ , where  $B_1 \subset A_1$  and  $B_2 \subset A_k$ . Construct  $\pi' = (A_1 \setminus (B_1 \cup \{a_k\}), B_1, a_k, B_2, A_k \setminus (B_2 \cup a_k))$ . Since  $A_1, A_k \in \mathcal{F}$ , by 2,  $B \cup \{a_k\} = B_1 \cup B_2 \cup \{a_k\} \in \mathcal{F}$

**Claim2:**  $A_0 \subseteq (A_1 \cup A_k) \setminus \{a_k\}$ .

Proof: If  $A_0 \not\subseteq A_1 \cup A_k \setminus \{a_k\}$ ,  $|A_0 \cap (A_1 \cup A_k \setminus \{a_k\})| \leq k-1$ .  $\exists B \subset (A_1 \cup A_k \setminus \{a_k\}) \setminus A_0$ ,  $|B| = k-1$ . By Claim1,  $B \cup \{a_k\} \in \mathcal{F}$ . But  $A_0 \cap (B \cup \{a_k\}) = \emptyset$ . Contradiction.

**Claim3:**  $\binom{A_1 \cup A_k}{k} \subset \mathcal{F}$ .

Proof:  $\forall C \in \binom{A_1 \cup A_k}{k}$ ,  $a_k \notin C$ ,  $C \cap A_0 = \{b_1, b_2, \dots, b_s\}$ .  $\pi = (\dots b_1, \dots, b_s \dots)$ ,  $C \in \mathcal{F}$ .

**Claim4:**  $\mathcal{F} \subset \binom{A_1 \cup A_k}{k}$ .

Proof: If  $\exists B \in \mathcal{F}$ ,  $B \not\subseteq A_1 \cup A_k$ , then  $|B \cap (A_1 \cup A_k)| \leq k-1$ . Then  $\exists C \in \binom{A_1 \cup A_k}{k}$ ,  $C \cap B = \emptyset$ . Therefore,  $C \notin \mathcal{F}$ . But by Claim3, contradiction.

□