

# Combinatorics 2018 Fall

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**Key words:** bijection, combinatorial method

**Proposition 1.**

- (1)  $\binom{n}{k} = \binom{n}{n-k}$ .
- (2) (Pascal Triangle)  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$ .

proof:

- (1)  $|X| = n$ . Define  $f : \binom{X}{k} \rightarrow \binom{X}{n-k}$ ,  $A \mapsto X \setminus A$ . It's easy to check that  $f$  is a bijection.
- (2)  $|X| = n$ . Separate  $\binom{X}{k}$  into two parts, and fix an element  $t \in X$ .

- $\#\{\text{all } k\text{-subsets containing } t\} = \binom{n-1}{k-1}$ .
- $\#\{\text{all } k\text{-subsets avoiding } t\} = \binom{n-1}{k}$ .

Combine these two situations, and we prove (2). □

**Selections with repetition**

**Proposition 2.** # integer solutions to  $x_1 + \cdots + x_n = k$  where  $x_i > 0$  is  $\binom{k-1}{n-1}$ .

proof: This question is equivalent to *How many ways are there of distributing  $k$  sweets to  $n$  children such that each child has at least one sweet.*

Lay out the sweets in a single row of length  $k$ , and cut it into  $n$  pieces. Then give the sweets of the  $i$ -th piece to child  $i$ , which means that we need  $n-1$  cuts from  $k-1$  possibles.

$$\Rightarrow \binom{k-1}{n-1}.$$

□

**Proposition 3.** # integer solutions to  $x_1 + \cdots + x_n = k$  where  $x_i \geq 0$  is  $\binom{n+k-1}{n-1}$ .

proof: Let  $A = \{\text{integer solutions to } x_1 + \cdots + x_n = k, x_i \geq 0\}$ .

$B = \{\text{integer solutions to } y_1 + \cdots + y_n = n+k, y_i > 0\}$ .

Define  $f : A \rightarrow B$ ,  $(x_1, \cdots, x_n) \mapsto (y_1, \cdots, y_n)$  by  $y_i = x_i + 1$ ,  $i \in [n]$ .

CHECK:  $f$  is a bijection.

(1)  $f$  is well-defined: If  $(x_1, \cdots, x_n) \in A$ , then  $(y_1, \cdots, y_n) \in B$ .

(2)  $f$  is injective.

(3)  $f$  is surjective.

$$\Rightarrow |A| = |B| = \binom{n+k-1}{n-1}$$

□

**Proposition 4.**  $X = [n]$ ,  $A = \{(a_1, \cdots, a_r) : a_i \in X, 1 \leq a_1 \leq a_2 \leq \cdots \leq a_r \leq n, a_{i+1} - a_i \geq k+1, i \in [r-1]\}$ . Then

$$|A| = \binom{n-k(r-1)}{r}.$$

proof: Let  $B = \{(b_1, \cdots, b_{r+1}) : b_1 + \cdots + b_{r+1} = n-1, b_1 \geq 0, b_i \geq k+1, i = 2, \cdots, r, b_{r+1} \geq 0\}$ .

Define  $f : A \rightarrow B$ ,  $(a_1, \cdots, a_r) \mapsto (b_1, \cdots, b_{r+1})$  by

$$b_1 = a_1 - 1 \geq 0.$$

$$b_i = a_i - a_{i-1} \geq k + 1, i = 2, \dots, r.$$

$$b_{r+1} = n - a_r \geq 0.$$

It's easy to check that  $f$  is a bijection.

Now, let  $C = \{(c_1, \dots, c_{r+1}) : c_1 + \dots + c_{r+1} = n - 1 - (k + 1)(r - 1), c_1, \dots, c_{r+1} \geq 0\}$ .

Define  $g : B \rightarrow C, (b_1, \dots, b_{r+1}) \mapsto (c_1, \dots, c_{r+1})$  by

$$c_1 = b_1 \geq 0.$$

$$c_i = b_i - (k + 1) \geq 0, i = 2, \dots, r.$$

$$c_{r+1} = b_{r+1} \geq 0.$$

Also, it's easy to check that  $g$  is a bijection. Hence,

$$|A| = |B| = |C| = \binom{n - 1 - (k + 1)(r - 1) + (r + 1) - 1}{(r + 1) - 1} = \binom{n - k(r - 1)}{r}. \square$$

## Arrangements with repetition

**Proposition 5.**  $X = \{x_1, \dots, x_n\}$ ,  $B = \{\text{all vectors of length } r \text{ over } X \text{ such that } x_i \text{ occurs } a_i \text{ times in each vector, } i \in [n], \sum_{i=1}^n a_i = r\}$ .

Then,

$$|B| = \frac{r!}{a_1! a_2! \dots a_n!}.$$

proof: Trivial. □

**Corollary.** (Multinomial Theorem)

$$(x_1 + x_2 + \dots + x_n)^r = \sum_{a_1 + a_2 + \dots + a_n = r} \frac{r!}{a_1! a_2! \dots a_n!} x_1^{a_1} \dots x_n^{a_n}.$$

proof:

$$(x_1 + x_2 + \dots + x_n)^r = \sum_{1 \leq i_1, i_2, \dots, i_r \leq n} x_{i_1} x_{i_2} \dots x_{i_r}$$

The coefficient of  $x_1^{a_1} \cdots x_n^{a_n}$  is equal to the number of vectors  $(i_1, \dots, i_n)$  over  $[n]$  such that  $i$  occurs  $a_i$  times. By Proposition 5, we get this theorem.  $\square$

**Remark:**

(1) **(Binomial Theorem)** If  $n = 2$ ,  $x_1 = a$ ,  $x_2 = b$ , then we get

$$(a + b)^r = \sum_{i=0}^r \binom{r}{i} a^i b^{r-i}.$$

(2) If  $a = b = 1$ , then we get  $2^r = \sum_{i=0}^r \binom{r}{i}$

**Partition:**  $X = R_1 \cup R_2 \cup \cdots \cup R_n$ . There are two cases:

- unordered partition  $\{R_1, R_2, \dots, R_n\} = \{R_2, R_1, \dots, R_n\}$ .
- ordered partition  $(R_1, R_2, \dots, R_n) \neq (R_2, R_1, \dots, R_n)$ .

**Proposition 6.**  $|X| = r$ ,  $A = \{\text{ordered partitions of } X \text{ into } n \text{ parts such that the } i\text{-th part has size } a_i, i \in [n], \sum_{i=1}^n a_i = r\}$ . Then

$$|A| = \frac{r!}{a_1! a_2! \cdots a_n!}.$$

proof: This question is equivalent to *How many ways are there of partitioning  $r$  students into class  $1, \dots, n$  such that class  $i$  has  $a_i$*

*students.* There are  $\binom{r}{a_1}$  ways of choosing the students in class 1.

Then, there are  $\binom{r-a_1}{a_2}$  ways of choosing the students in class 2.

$\dots$  So, there are  $\binom{r}{a_1} \binom{r-a_1}{a_2} \binom{r-a_1-a_2}{a_3} \cdots \binom{r-a_1-\cdots-a_{n-1}}{a_n}$  ways to partition the students as required, which is exactly  $\frac{r!}{a_1! a_2! \cdots a_n!}$ .

**Exercise.** Find the connection between Proposition 5 and Proposition 6.

**Proposition 7.**  $|X| = r$ ,  $S = \{\text{unordered partitions of } X \text{ such that there are } k_i \text{ blocks of size } i, i \in [n], \sum_{i=1}^n ik_i = r\}$ . Then,

$$|S| = \frac{r!}{(1!)^{k_1}(2!)^{k_2} \dots (r!)^{k_r} k_1! k_2! \dots k_r!}.$$

proof: This question is equivalent to *How many ways are there of partitioning  $r$  students into different unordered groups such that there are  $k_i$  groups having  $i$  students.*

First, there are  $\binom{r}{k_1 \cdot 1}$  ways of choosing the students in groups of size 1. To partition these students into these  $k_1$  unordered groups, there are  $\frac{(k_1 \cdot 1)!}{(1!)^{k_1} (k_1!)}$  ways.

Similarly, for  $i = 2, \dots, n$ , there are  $\binom{r - k_1 \cdot 1 - \dots - k_{i-1} \cdot (i-1)}{k_i \cdot i}$  ways of choosing the students in groups of size  $i$ . To partition these students into these  $k_i$  unordered groups, there are  $\frac{(k_i \cdot i)!}{(i!)^{k_i} (k_i!)}$  ways. So, after simple calculations, we get the desired result.

## Stirling Number of the 2nd kind

**Def:**  $S(r, n)$  is the number of partitions of  $[r]$  into  $n$  unordered non-empty subsets.

$$S(r, n) = \sum_{\substack{m \\ \sum_{i=1}^m k_i = n, \sum_{i=1}^m ik_i = r}} \frac{r!}{(1!)^{k_1}(2!)^{k_2} \dots (m!)^{k_m} k_1! k_2! \dots k_m!}.$$

**Remark:**  $S(r, 1) = 1$        $S(r, r) = 1$

**Exercise.**  $S(r, 2) = ?$

**Theorem (Vandermonde's Identity)**

$$(1) \quad \binom{m+n}{r} = \sum_{i=0}^r \binom{n}{i} \binom{m}{r-i} = \sum_{i+j=r} \binom{n}{i} \binom{m}{j}.$$

$$(2) \quad \binom{m+n}{r+m} = \sum_{i-j=r} \binom{n}{i} \binom{m}{j}.$$

proof:

(1)

$$\begin{aligned} (1+x)^{m+n} &= (1+x)^m (1+x)^n \\ \Rightarrow \sum_{r=0}^{m+n} \binom{m+n}{r} x^r &= \sum_{i=0}^n \binom{n}{i} x^i \cdot \sum_{j=0}^m \binom{m}{j} x^j \end{aligned}$$

Compute the coefficient of  $x^r$  for both sides, and we get

$$\binom{m+n}{r} = \sum_{i+j=r} \binom{n}{i} \binom{m}{j} = \sum_{i=0}^r \binom{n}{i} \binom{m}{r-i}.$$

(2)

$$\sum_{i-j=r} \binom{n}{i} \binom{m}{j} = \sum_{i-j=r} \binom{n}{i} \binom{m}{m-j} = \sum_{i+(m-j)=m+r} \binom{n}{i} \binom{m}{m-j} = \binom{m+n}{r+m}. \square$$

**Exercise.**

$$(1) \quad \sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}.$$

$$(2) \quad \sum_{k=0}^n \binom{m}{k} \binom{n}{p+k} = \binom{n+m}{p+m}.$$

$$(3) \quad \sum_{k=1}^m \binom{m}{k} \binom{n-1}{k-1} = \binom{n+m-1}{n}.$$

$$(4) \quad \binom{n}{k} \binom{k}{m} = \binom{n}{m} \binom{n-m}{k-m} \quad (n \geq k \geq m).$$

$$(5) \quad \sum_{k=0}^n \binom{n}{k} \binom{k}{m} = \binom{n}{m} 2^{n-m}.$$