## Combinatorics 2018 Fall

Taught by: Professor Xiande Zhang

2018.10.29

Key words: SDR, Latin Rectangle, Permutation Matrix

Theorem 1. (Hall's marriage Theorem) The sets of  $S_1, S_2, \dots, S_m$  has a  $SDR \iff \bigcup_{i \in I} S_i \ge |I|$  for  $I \subset [m]$  (Hall's condition).

Corollary 1. |X| = n,  $|S_i| = r$ ,  $S_i \subset X$ ,  $i \in [m].s.t. |\{i : x \in S_i\}| = d$  for all  $x \in X$ . If  $m \le n$ , then  $S_1, \dots, S_m$  have a SDR.

Theorem 2. Suppose elements in X are colored by either red or blue.  $S_i \subset X, i \in [m]$ , then  $S_1, \dots, S_m$  have a SDR with  $\leq t$  red elements iff  $S_1, \dots, S_m$  have a SDR and  $\forall I \subset [m], \bigcup_{i \in I} S_i$  has  $\geq |I| - t$  blue elements.

proof:

 $\overline{\overset{\bullet}{n}}\Longrightarrow\overset{\bullet}{\text{"}}$  Let  $x_1,\cdots,x_m$  be a SDR of  $S_1,\cdots,S_m$  with  $\leq t$  red elements. then  $\forall I\subset [m],\ \{x_i,i\in I\}$  has at least |I|-t blue elements  $\Rightarrow \cup_{i\in I}S_i$  has at least |I|-t blue elements.

"\( ==" \text{Let } R \) be the set of red elements in X. If  $|R| \leq t$ , trivial. Assume |R| > t, let  $S_{m+1} = S_{m+2} = \cdots = S_{m+r} = R$ , where r = |R| - t. then  $S_1, \dots, S_m$  have a SDR with  $\leq t$  red elements  $\iff S_1, \dots, S_m, S_{m+1}, \dots, S_{m+r}$  have a SDR. So we need to check Hall's condition for  $S_1, \dots, S_{m+r}$ , let  $Y = \bigcup_{i \in I} S_i$ , if  $I \subset [m]$ , then  $|Y| \geq |I|$  since  $S_1, \dots, S_m$  have a SDR. if  $I = J_1 \cup J_2$ , where  $J_1 \subset [m], J_2 \subset [m+1, m+r]$ , then  $|J_2| \leq |R| - t, |Y| = |\bigcup_{i \in J_1} (S_i \setminus R)| + |R| \geq |J_1| - t + |R| = |J_1| + (|R| - t) \geq |J_1| + |J_2| = |I|$ .

## **Application**

**Def**: A  $r \times n (r \leq n)$  Latin rectangle is  $r \times n$  matrix over [n] s.t.numbers  $1, 2, \dots, n$  occurs once in each row and  $\leq$  once in each column. A Latin square is an  $n \times n$  Latin rectangle.

<u>Theorem</u> 3. (Evans conjecture) If fewer than n cells of an  $n \times n$  matrix are filled, then one can always complete it into a Latin square.

**Theorem 4.** If r < n, then any given  $r \times n$  Latin rectangle can be extended to an  $(r + 1) \times n$  Latin rectangle.

## proofs

Let  $\overline{\mathbf{R}}$  be  $r \times n$  LR, For  $j \in [n]$ , let  $S_j$  be the set of integers in [n] which don't occur in the j-th column. Then it suffices to prove  $S_1, \dots, S_n$  have a SDR. Since  $|S_j| = n - r$ , and each  $i \in [n], i$  occurs in n - r sets  $S_j$ , by Corollary 1,  $S_1, \dots, S_n$  have a SDR.

**Def:** An  $n \times n$  matrix  $A = \{A_{ij}\}$  with  $a_{ij} \geq 0$  is called doubly stochastic if  $\sum_{j=1}^{n} a_{ij} = \sum_{i=1}^{n} a_{ij} = 1$  for  $\forall i, j \in [n]$ . If  $a_{ij} = 0$  or 1, then it is a permutation matrix.

<u>Theorem</u> 5. (Birkhoff) Every doubly stochastic matrix A is a convex combination of permutation matrixes, that is,  $\exists$  permutation matrixes  $P_1, \dots, P_s$  and non-negative reals  $\lambda_1, \dots, \lambda_s$  s.t. $A = \sum_{i=1}^s \lambda_i P_i$  and  $\sum_{i=1}^s \lambda_i = 1$ .

## proof:

Let  $\overline{A}$  be an  $n \times n$  doubly stochastic matrix, let m be the number of non-zero entries in A, then  $m \geq n$ . prove by induction on m. If m = n, then each non-zero entry is 1, so A itself is a permutation matrix. If m > n and the results holds for matrices with < m non-zero entries. Define  $S_i = \{j : a_{ij} > 0\}, i \in [n]$ . If for some of the sets  $S_{i_1}, S_{i_2}, \cdots, S_{i_k}, |\bigcup_{i=1}^k S_{i_k}| \leq k-1$ , that is all non-zero entries in rows  $i_1, \cdots, i_k$  occupy at most k-1 columns, say columns  $j_1, \cdots, j_{k-1}$ , if count by rows, we have the sum is k, but if count by columns, the sum is at most k-1, a contradiction. By Hall's Theorem, there is a SDR  $j_1 \in S_1, j_2 \in S_2, \cdots, j_n \in S_n$ . Take a permutation matrix  $P_1 =$ 

 $(P_{ij})$  with entries  $p_{ij}=1$  iff  $j=j_i$ . Let  $\lambda_1=\min\{a_{1j_1},\cdots,a_{nj_n}\}$ . and consider  $B_1=A-\lambda_1P_1$ . By definition of  $S_i$ , we have  $\lambda_1>0$ , matrix  $B_1$  has at most m-1 non-zero entries, and the row sum and column sum of  $B_1$  is  $1-\lambda_1$ . Let  $A_1=\frac{1}{1-\lambda_1}B_1$ , then  $A_1$  is a doubly stochastic matrix with less than m non-zero entries. By assumption  $A_1=\mu_2P_2+\cdots+\mu_sP_s$  a convex combination. Hence,  $A=\lambda_1P_1+(1-\lambda_1)A_1=\lambda_1P_1+(1-\lambda_1)\mu_2P_2+\cdots+(1-\lambda_1)\mu_sP_s$ . Since  $\sum_{i=2}^s\mu_i=1$ , we have  $\lambda_1+(1-\lambda_1)(\sum\mu_i)=1$ .

**Def:** Let  $S_1, \dots, S_m \subseteq X = \{x_1, \dots, x_n\}$ , and  $M = (a_{ij})$  be the corresponding incidence matrix. The **permanent** of M is

$$Per(M) = \sum_{(i_1, \dots, i_m) \in S_n(m)} a_{i_1 1} a_{i_2 2} \dots a_{i_m m},$$

where  $S_n(m)$  is the set of all vectors of length m over [n] without repetition.

Fact:  $Per(M) = \sharp different SDR's of S_1, \dots, S_m$ .

**Def:** Let A be a 0-1 matrix. Two 1's are dependent if they are in the same row or the same column, otherwise, they are independent.

<u>Theorem</u> 6. (König) Let A be an  $m \times n$  0-1 matrix, then  $max \sharp independent 1$ 's  $r = the min \sharp rows$  and columns R required to cover all 1's in A.

**<u>proof</u>**: Clearly,  $R \geq r$ , since we can find r independent 1's and every row or column covers at most one of them.

Now we show  $r \geq R$ . Assume that some a rows and b columns cover all 1's and a + b = R. We may assume the first a rows and the first b columns cover all the 1's. Write A as the form

$$A = \begin{pmatrix} B_{a \times b} & C_{a \times (n-b)} \\ D_{(m-a) \times b} & E_{(m-a) \times (n-b)} \end{pmatrix},$$

with no 1 in  $E_{(m-a)\times(n-b)}$ . If we can show that there are a independent 1's in C and b independent 1's in D, then we find at least a+b independent 1's, so we have  $r \geq a+b=R$ .

For each  $1 \le i \le a$ , let  $S_i = \{j : c_{ij} = 1\} \subseteq [n-b]$ . If  $S_1, \dots, S_a$  have an SDR, then we find a independent 1's in C If not, by Hall's

theorem, there are some  $k \in [a]$  sets, say  $S_{i_1}, \cdots, S_{i_k}$ , such that  $\begin{vmatrix} k \\ \bigcup_{j=1}^k S_{i_j} \end{vmatrix} < k$ , i.e. the 1's in these k rows occupy at most k-1 columns of C, say  $j_1, \cdots, j_{k-1}$ . Then the first b columns of A, the columns  $j_1, \cdots, j_{k-1}$  of C and the first a rows of A deleting the rows  $i_1, \cdots, i_k$  will cover all 1's in A. So we find b+(k-1)+a-k=a+b-1 rows and columns cover all 1's in A, contradiction!