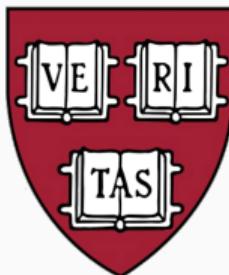


Paramagnon fractionalization theory of the cuprate pseudogap

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February 8, 2023

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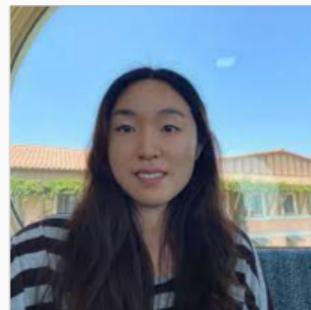
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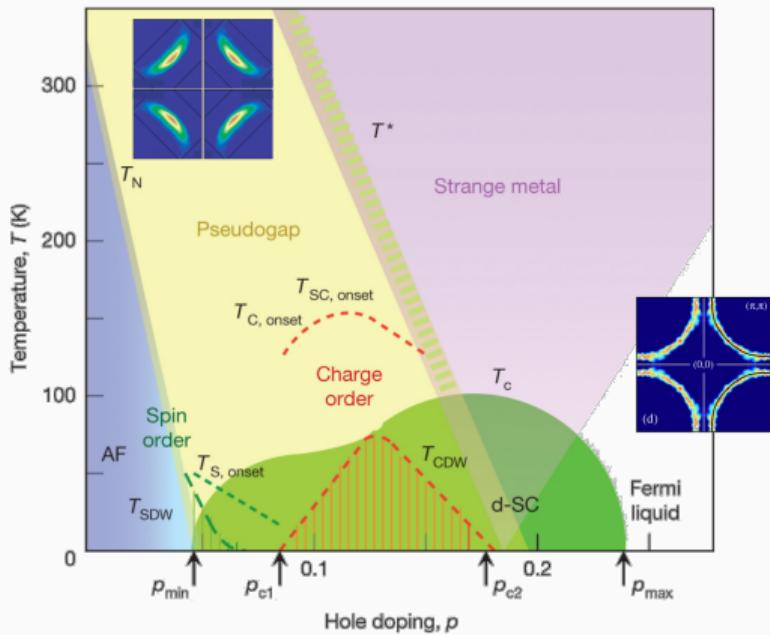


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Long-standing mystery in cuprates - the nature of the pseudogap



View the pseudogap metal as a quantum state, which could be stable at $T = 0$ under suitable conditions

Goal: construct a mean-field theory that captures both FL and pseudogap metals

Keimer et al., “From Quantum Matter to High-Temperature Superconductivity in Copper Oxides”.

Paramagnon theory of the Hubbard model

Starting point: Hubbard-Stratonovich transformation in particle-hole channel

$$H_U = - \sum_{i < j} t_{ij} \left[c_{i\alpha}^\dagger c_{i\alpha} + c_{j\alpha}^\dagger c_{j\alpha} \right] + \sum_i [-\mu(n_{i\uparrow} + n_{i\downarrow}) + Un_{i\uparrow}n_{i\downarrow}]$$

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$$U \left(n_{i\uparrow} - \frac{1}{2} \right) \left(n_{i\downarrow} - \frac{1}{2} \right) = -\frac{2U}{3} \mathbf{S}_i^2 + \frac{U}{4}$$

Paramagnon theory of the Hubbard model

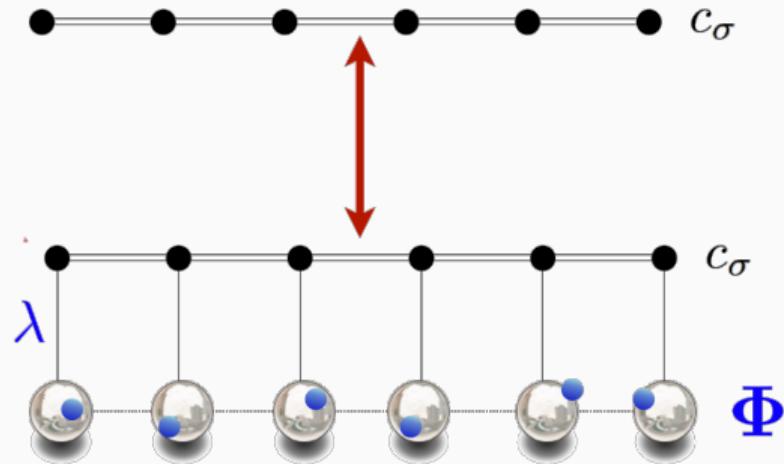
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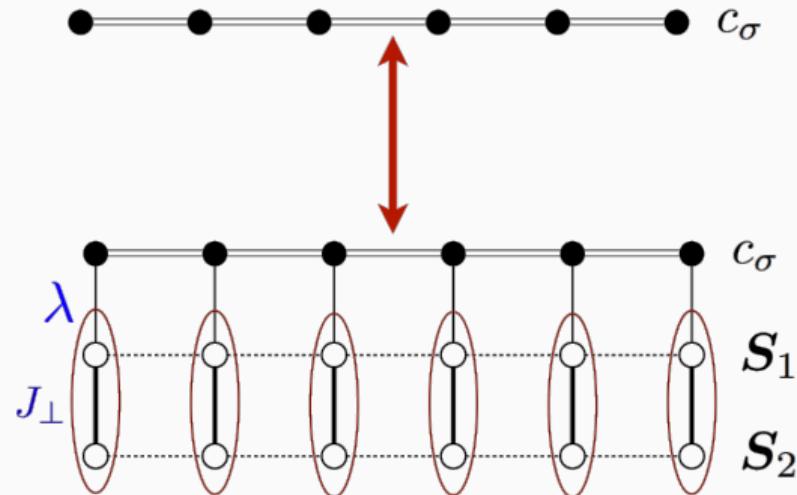
$$\exp \left(\frac{2U}{3} \sum_i \int d\tau \mathbf{S}_i^2 \right) = \int \mathcal{D}\Phi_i(\tau) \exp \left(- \sum_i \int d\tau \left[\frac{3}{8U} \Phi_i^2 + \Phi_i \cdot c_{i\alpha}^\dagger \frac{\sigma_{\alpha\beta}}{2} c_{i\beta} \right] \right)$$

Paramagnon theory of the Hubbard model



$$H = \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}} c_{\mathbf{p}\alpha}^\dagger c_{\mathbf{p}\alpha} - \lambda \sum_i c_{i\alpha}^\dagger \frac{\sigma_{\alpha\beta}}{2} c_{i\beta} \cdot \Phi_i + \frac{J_\perp}{2} \mathbf{P}_{\Phi i}^2 + \sum_i V(\Phi_i)$$

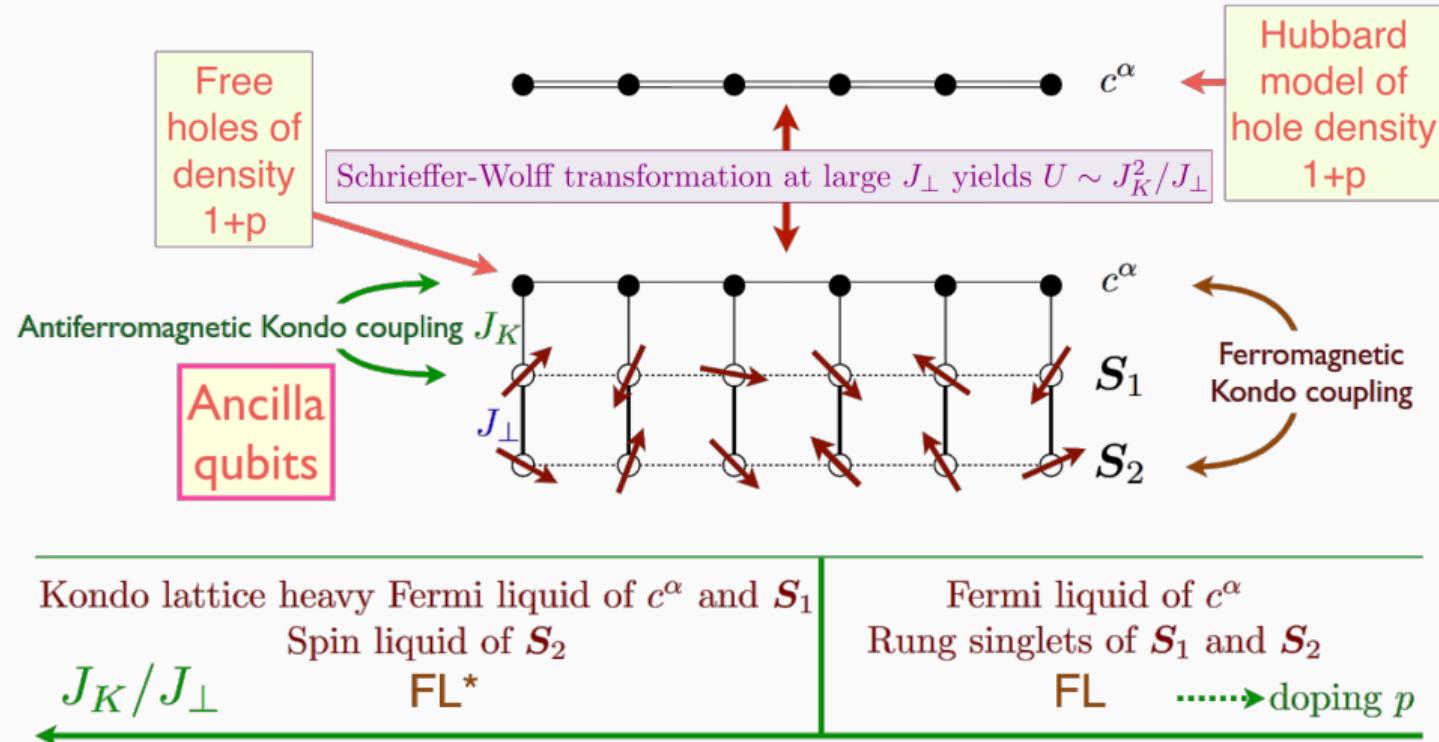
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Represent $\ell = 0, 1$ excitations as antiferromagnetic spin pair, $\Phi_i = \frac{1}{\sqrt{3}} (\mathbf{S}_{2i} - \mathbf{S}_{1i})$

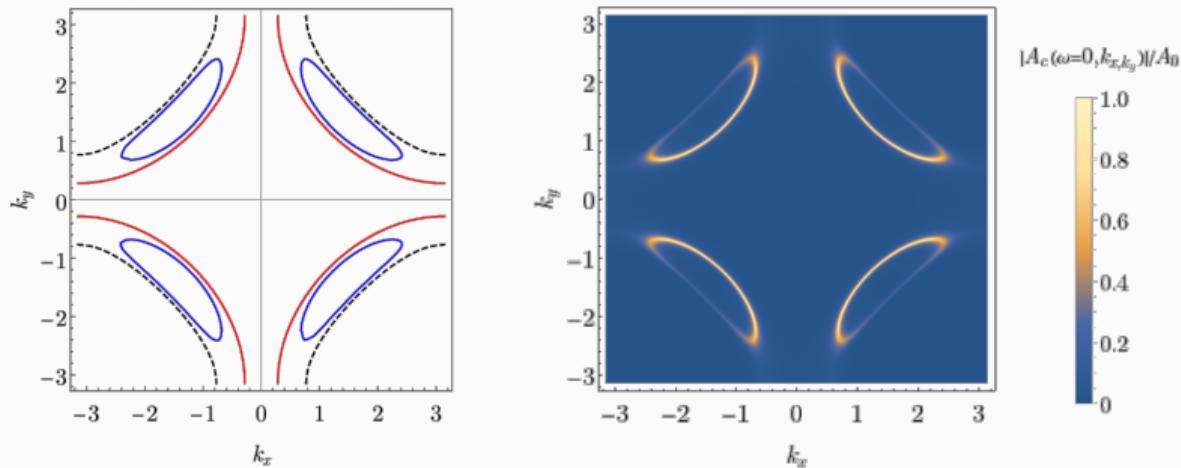
Mean-field phase diagram of the pseudogap metal



FL* phase qualitatively captures pseudogap features

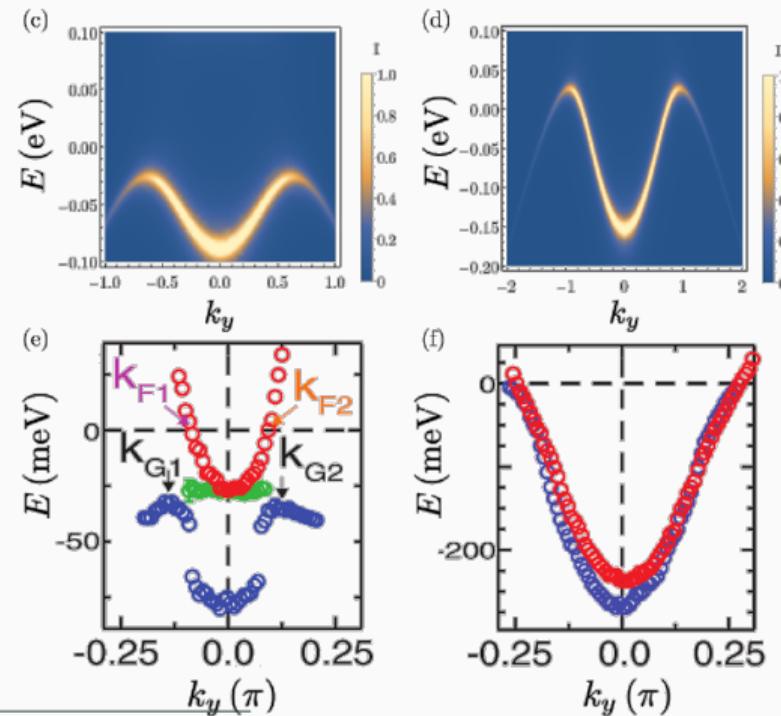
$$\mathbf{S}_{n,i} = f_{n,i,\alpha}^\dagger \frac{\sigma_{\alpha\beta}}{2} f_{n,i,\beta} \quad \sum_\alpha f_{n,i,\alpha}^\dagger f_{n,i,\alpha} = 1$$

Non-zero hybridization between c and f_1 leads to FL* phase.



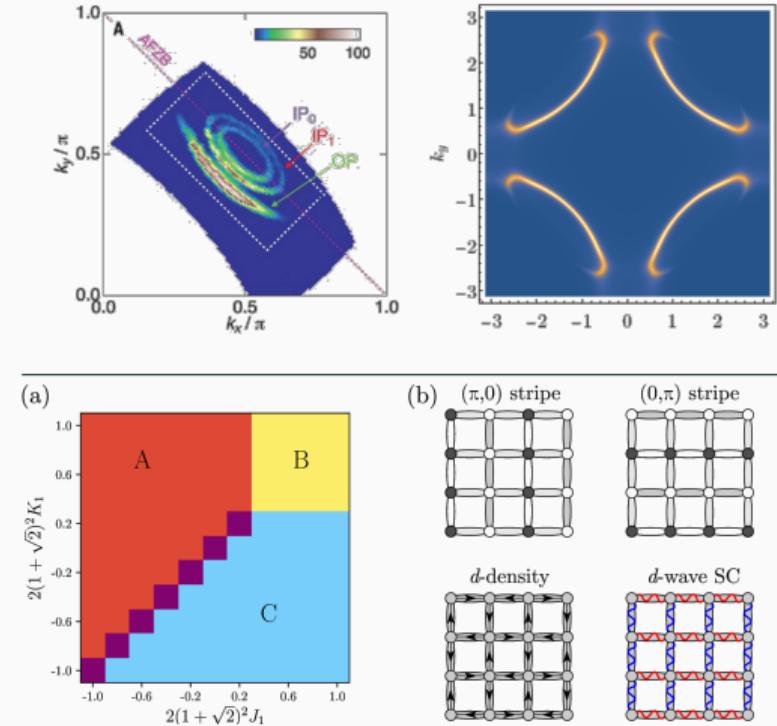
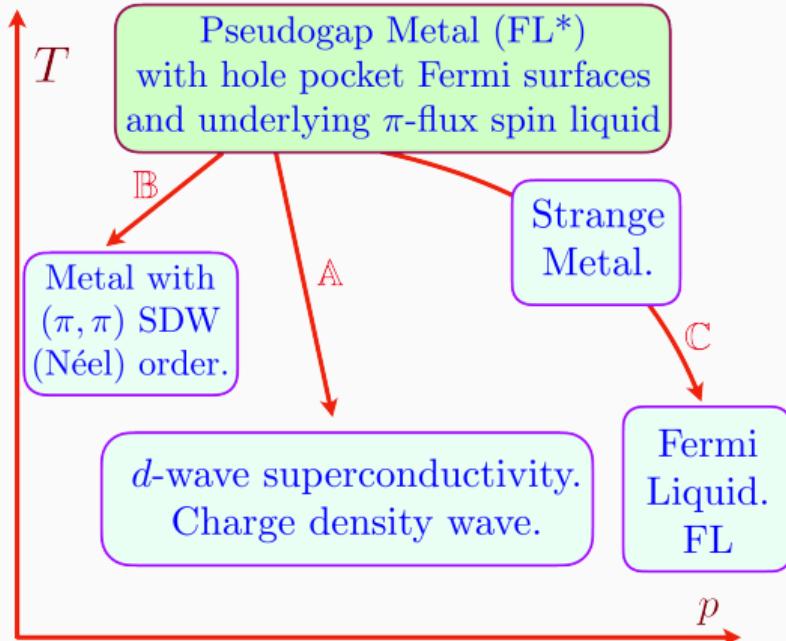
$$H = - \sum_{i,j} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{i,j} t_{1,ij} f_{1i\sigma}^\dagger f_{1j\sigma} + \sum_i B \left(c_{i\sigma}^\dagger f_{1i\sigma} + f_{1i\sigma}^\dagger c_{i\sigma} \right)$$

FL* phase qualitatively captures pseudogap features



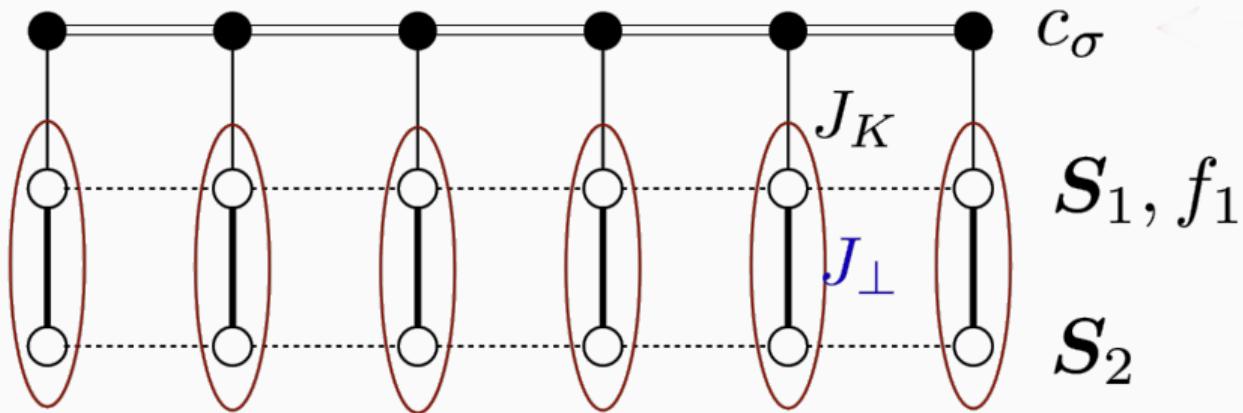
He et al., “From a Single-Band Metal to a High-Temperature Superconductor via Two Thermal Phase Transitions”.

Instabilities to ordered phases described by second ancilla layer



Kunisada et al., “Observation of Small Fermi Pockets Protected by Clean CuO₂ Sheets of a High-Tc Superconductor”.

Paramagnon fractionalization admits trial wavefunctions



$$|\psi_0\rangle = |\text{Slater}[c, f_1, f_2]\rangle$$

$$|\psi\rangle = [\text{Projection on to rung singlets}] |\psi_0\rangle$$

$$\text{FL} : |\psi_0\rangle = |\psi_c\rangle \otimes |f_1, f_2\rangle$$

$$\text{FL}^* : |\psi_0\rangle = |\psi_c, f_1\rangle \otimes |f_2\rangle$$

How energetically favorable is FL*?