

# Essence of DOT calculus

# System D<:

## Syntax

$x, y, z$	<b>Variable</b>	$S, T, U ::=$	<b>Type</b>
$v ::=$	<b>Value</b>	$\top$	top type
$\{A = T\}$	type tag	$\perp$	bottom type
$\lambda(x:T)t$	lambda	$\{A : S..T\}$	type declaration
$s, t, u ::=$	<b>Term</b>	$x.A$	type projection
$x$	variable	$\forall(x:S)T$	dependent function
$v$	value		
$x\ y$	application		
<b>let</b> $x = t$ <b>in</b> $u$	let		

## Evaluation

$$t \longrightarrow t$$

$\text{let } x = v \text{ in } e[x\ y] \longrightarrow \text{let } x = v \text{ in } e[[z := y]t] \quad \text{if } v = \lambda(z:T)t$   
 $\text{let } x = y \text{ in } t \longrightarrow [x := y]t$   
 $\text{let } x = \text{let } y = s \text{ in } t \text{ in } u \longrightarrow \text{let } y = s \text{ in let } x = t \text{ in } u$   
 $e[t] \longrightarrow e[u] \quad \text{if } t \longrightarrow u$   
 $\text{where } e ::= [] \mid \text{let } x = [] \text{ in } t \mid \text{let } x = v \text{ in } e$

## Syntax

$x, y, z$	Variable
$v ::=$	Value
$\{A = T\}$	type tag
$\lambda(x:T)t$	lambda
$s, t, u ::=$	Term
$x$	variable
$v$	value
$x\ y$	application ここで変数しか使えない
<b>let</b> $x = t$ <b>in</b> $u$	let
$S, T, U ::=$	Type
$\top$	top type
$\perp$	bottom type
$\{A:S..T\}$	type declaration
$x.A.$	type projection
$\forall(x:S)T$	dependent function

## Evaluation $t \rightarrow t$

$\text{let } x = v \text{ in } e[x\ y] \dashrightarrow \text{let } x = v \text{ in } e[[z := y]t] \quad \text{If } v = \lambda(z:T)t$   
 $\text{let } x = y \text{ in } t \dashrightarrow [x := y]t$   
 $\text{let } x = \text{let } y = s \text{ in } t \text{ in } u \dashrightarrow \text{let } y = s \text{ in let } x = t \text{ in } u$   
 $e[t] \dashrightarrow e[u] \text{ if } t \dashrightarrow u$   
 $\text{where } e ::= [] \mid \text{let } x = [] \text{ in } t \mid \text{let } x = v \text{ in } e$

# System D<sub><</sub>:

## Type Assignment

$$\boxed{\Gamma \vdash t : T}$$

$$\begin{array}{c} \Gamma, x : T, \Gamma' \vdash x : T \quad (\text{VAR}) \\[10pt] \frac{\Gamma, x : T \vdash t : U \quad x \notin \text{fv}(T)}{\Gamma \vdash \lambda(x:T)t : \forall(x:T)U} \quad (\text{ALL-I}) \\[10pt] \frac{\Gamma \vdash t : T \quad \Gamma, x : T \vdash u : U \quad x \notin \text{fv}(U)}{\Gamma \vdash \text{let } x = t \text{ in } u : U} \quad (\text{LET}) \\[10pt] \frac{\Gamma \vdash t : T \quad \Gamma \vdash T <: U}{\Gamma \vdash t : U} \quad (\text{SUB}) \\[10pt] \frac{\Gamma \vdash x : \forall(z:S)T \quad \Gamma \vdash y : S}{\Gamma \vdash x y : [z := y]T} \quad (\text{ALL-E}) \\[10pt] \Gamma \vdash \{A = T\} : \{A : T..T\} \quad (\text{TYP-I}) \end{array}$$

## Subtyping

$$\boxed{\Gamma \vdash T <: T}$$

$$\begin{array}{c} \Gamma \vdash T <: \top \quad (\text{TOP}) \\[10pt] \Gamma \vdash T <: T \quad (\text{REFL}) \\[10pt] \frac{\Gamma \vdash x : \{A : S..T\}}{\Gamma \vdash S <: x.A} \quad (<:-\text{SEL}) \\[10pt] \frac{\Gamma \vdash S_2 <: S_1 \quad \Gamma, x : S_2 \vdash T_1 <: T_2}{\Gamma \vdash \forall(x:S_1)T_1 <: \forall(x:S_2)T_2} \quad (\text{ALL-}<:-\text{ALL}) \\[10pt] \Gamma \vdash \perp <: T \quad (\text{BOT}) \\[10pt] \frac{\Gamma \vdash S <: T \quad \Gamma \vdash T <: U}{\Gamma \vdash S <: U} \quad (\text{TRANS}) \\[10pt] \frac{\Gamma \vdash x : \{A : S..T\}}{\Gamma \vdash x.A <: T} \quad (\text{SEL-}<:) \\[10pt] \frac{\Gamma \vdash S_2 <: S_1 \quad \Gamma \vdash T_1 <: T_2}{\Gamma \vdash \{A : S_1..T_1\} <: \{A : S_2..T_2\}} \quad (\text{TYP-}<:-\text{TYP}) \end{array}$$

**Fig. 1.** System D<sub><</sub>:

## Type Assignment $\Gamma \vdash t : T$

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## Subtyping $\Gamma \vdash T <: T$

$$\begin{array}{c} \Gamma \vdash T <: \top \quad (\text{Top}) \\ \Gamma \vdash \perp <: T \quad (\text{Bot}) \\ \Gamma \vdash T <: T \quad (\text{Ref1}) \\[10pt] \frac{\Gamma \vdash S <: T \quad \Gamma \vdash T <: U}{\Gamma \vdash S <: U} \quad (\text{Trans}) \\[10pt] \frac{\Gamma \vdash x : \{A:S..T\}}{\Gamma \vdash S <: x.A} \quad (<:-\text{Sel}) \\[10pt] \frac{\Gamma \vdash x : \{A:S..T\}}{\Gamma \vdash x.A <: T} \quad (\text{Sel-}<:) \\[10pt] \frac{\Gamma \vdash S_2 <: S_1 \quad \Gamma, x : S_2 \vdash T_1 <: T_2}{\Gamma \vdash \forall(x:S_1)T_1 <: \forall(x:S_2)T_2} \quad (\text{All-}<:-\text{All}) \\[10pt] \frac{\Gamma \vdash S_2 <: S_1 \quad \Gamma \vdash T_1 <: T_2}{\Gamma \vdash \{A:S_1..T_1\} <: \{A:S_2..T_2\}} \quad (\text{Typ-}<:-\text{Typ}) \end{array}$$

# System D<:

```

 $\Gamma, x:T, \Gamma' \vdash x : T$  % (Var)

 $\frac{\Gamma \vdash t : T, \Gamma \vdash T <: U}{\Gamma \vdash t : U.}$  (Sub)

 $\frac{\Gamma, x:T \vdash t : U, \text{/+ } x \in \text{fv}(T)}{\Gamma \vdash \lambda(x : T)t : \forall(x : T)U.}$  (All-I)

 $\frac{\Gamma \vdash x:\forall(z : S)T \quad \Gamma \vdash y : S}{\Gamma \vdash x y : [x := y]T.}$  (All-E)

 $\frac{\Gamma \vdash t : T \quad \Gamma, x : T \vdash u : U, \text{/+ } x \in \text{fv}(T)}{\Gamma \vdash x y : [x := y]T.}$  (Let)

 $\Gamma \vdash \{A=T\} : \{A:T..T\}. \quad \text{(Typ-I)}$ 

% Subtyping  $\Gamma \vdash T <: T$ 

 $\Gamma \vdash T <: T. \quad \text{\% (Top)}$ 
 $\Gamma \vdash \perp <: T. \quad \text{\% (Bot)}$ 
 $\Gamma \vdash T <: T. \quad \text{\% (Refl)}$ 
/*
 $\frac{\Gamma \vdash S <: T, \Gamma \vdash T <: U}{\Gamma \vdash S <: U.}$  (Trans)

 $\frac{\Gamma \vdash x : \{A:S..T\}}{\Gamma \vdash S <: x.>A.}$  (<:-Sel)

 $\frac{\Gamma \vdash x : \{A:S..T\}}{\Gamma \vdash x.A <: T.}$  (Sel-<:)

 $\frac{\Gamma \vdash S2 <: S1, \Gamma, x : S2 \vdash T1 <: T2}{\Gamma \vdash \forall(x:S1)T1 <: \forall(x:S2)T2.}$  (All-<:-All)

 $\frac{\Gamma \vdash S2 <: S1, \Gamma \vdash T1 <: T2}{\Gamma \vdash \{A:S1..T1\} <: \{A:S2..T2\}.}$  (Typ-<:-Typ)
```

```

 $\Gamma, x:T, \Gamma' \vdash x : T$  % (Var)

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 $\frac{\Gamma \vdash S2 <: S1, \Gamma, x : S2 \vdash T1 <: T2}{\Gamma \vdash \forall(x:S1)T1 <: \forall(x:S2)T2.}$  (All-<:-All)

 $\frac{\Gamma \vdash S2 <: S1, \Gamma \vdash T1 <: T2}{\Gamma \vdash \{A:S1..T1\} <: \{A:S2..T2\}.}$  (Typ-<:-Typ)
```

$$\Gamma \vdash x y : [x := y]T.$$

$$\Gamma \vdash \{A=T\} : \{A:T..T\}. \quad (\text{Typ-I})$$

% Subtyping  $\Gamma \vdash T <: T$

$$\Gamma \vdash T <: \top. \%(\text{Top})$$

$$\Gamma \vdash \perp <: T. \%(\text{Bot})$$

$$\Gamma \vdash T <: T. \%(\text{Refl})$$

/\*

$$\Gamma \vdash S <: T, \Gamma \vdash T <: U$$

---%----- (Trans)

$$\Gamma \vdash S <: U.$$

# A正規化

$$\begin{array}{ll} M ::= & V \\ & | (\text{let } (x \ M_1) \ M_2) \\ & | (\text{if0 } M_1 \ M_2 \ M_3) \\ & | (M \ M_1 \ \dots \ M_n) \\ & | (O \ M_1 \ \dots \ M_n) \\ V ::= & c \mid x \mid (\lambda x_1 \dots x_n. M) \end{array} \quad \begin{array}{ll} V \in & \text{Values} \\ c \in & \text{Constants} \\ x \in & \text{Variables} \\ O \in & \text{Primitive Operations} \end{array}$$

Figure 1: Abstract Syntax of Core Scheme (CS)

Semantics: Let  $M \in CS$ ,

$$eval_d(M) = c \quad \text{if} \quad \langle M, \emptyset, \text{stop} \rangle \mapsto^* \langle \text{stop}, c \rangle.$$

Data Specifications:

$$\begin{array}{llll} S \in State_d & = & CS \times Env_d \times Cont_d \mid Cont_d \times Value_d & \text{(machine states)} \\ E \in Env_d & = & Variables \twoheadrightarrow Value_d & \text{(environments)} \\ V^* \in Value_d & = & c \mid \langle \text{cl } x_1 \dots x_n, M, E \rangle & \text{(machine values)} \\ K \in Cont_d & = & \text{stop} \mid \langle \text{ap } \langle \dots, V^*, \bullet, M, \dots \rangle, E, K \rangle \mid \langle \text{lt } x, M, E, K \rangle \\ & & \mid \langle \text{if } M_1, M_2, E, K \rangle \mid \langle \text{pr } O, \langle \dots, V^*, \bullet, M, \dots \rangle, E, K \rangle & \text{(continuations)} \end{array}$$

Transition Rules:

$$\begin{array}{l} \langle V, E, K \rangle \mapsto \langle K, \gamma(V, E) \rangle \\ \langle (\text{let } (x \ M_1) \ M_2), E, K \rangle \mapsto \langle M_1, E, \langle \text{lt } x, M_2, E, K \rangle \rangle \\ \langle (\text{if0 } M_1 \ M_2 \ M_3), E, K \rangle \mapsto \langle M_1, E, \langle \text{if } M_2, M_3, E, K \rangle \rangle \\ \langle (M \ M_1 \ \dots \ M_n), E, K \rangle \mapsto \langle M, E, \langle \text{ap } \langle \bullet, M_1, \dots, M_n \rangle, E, K \rangle \rangle \\ \langle (O \ M_1 \ M_2 \ \dots \ M_n), E, K \rangle \mapsto \langle M_1, E, \langle \text{pr } O, \langle \bullet, M_2, \dots, M_n \rangle, E, K \rangle \rangle \end{array}$$
$$\begin{array}{l} \langle \langle \text{lt } x, M, E, K \rangle, V^* \rangle \mapsto \langle M, E[x := V^*], K \rangle \\ \langle \langle \text{if } M_1, M_2, E, K \rangle, 0 \rangle \mapsto \langle M_1, E, K \rangle \\ \langle \langle \text{if } M_1, M_2, E, K \rangle, V^* \rangle \mapsto \langle M_2, E, K \rangle \quad \text{where } V^* \neq 0 \\ \langle \langle \text{ap } \langle \dots, V_i^*, \bullet, M, \dots \rangle, E, K \rangle, V_{i+1}^* \rangle \mapsto \langle M, E, \langle \text{ap } \langle \dots, V_i^*, V_{i+1}^*, \bullet, \dots \rangle, E, K \rangle \rangle \\ \langle \langle \text{ap } V^*, V_1^*, \dots, \bullet \rangle, E, K \rangle, V_n^* \rangle \mapsto \langle M', E'[x_1 := V_1^*, \dots, x_n := V_n^*], K \rangle \quad \text{if } V^* = \langle \text{cl } x_1 \dots x_n, M', E' \rangle \\ \langle \langle \text{pr } O, \langle \dots, V_i^*, \bullet, M, \dots \rangle, E, K \rangle, V_{i+1}^* \rangle \mapsto \langle M, E, \langle \text{pr } O, \langle \dots, V_i^*, V_{i+1}^*, \bullet, \dots \rangle, E, K \rangle \rangle \\ \langle \langle \text{pr } O, \langle V_1^*, \dots, \bullet \rangle, E, K \rangle, V_n^* \rangle \mapsto \langle K, \delta(O, V_1^*, \dots, V_n^*) \rangle \quad \text{if } \delta(O, V_1^*, \dots, V_n^*) \text{ is defined} \end{array}$$

Converting syntactic values to machine values:

$$\begin{array}{ll} \gamma(c, E) & = \quad c \\ \gamma(x, E) & = \quad E(x) \\ \gamma((\lambda x_1 \dots x_n. M), E) & = \quad \langle \text{cl } x_1 \dots x_n, M, E \rangle \end{array}$$

Figure 2: The CEK-machine

A正規形の論文

The Essence of Compiling with Continuations - Flanagan, Sabry, Duba, Felleisen (ResearchIndex) という論文で解説されています。

Figure 1: Abstract Syntax of Core Scheme (CS)

$$\begin{array}{ll} M ::= & V \\ & | (\text{let } (X \ M1) \ M1) \quad V \in \text{Values} \\ & | (\text{if0 } M1 \ M2 \ M3) \quad c \in \text{Constants} \\ & | (M \ M1 \ \dots \ Mn) \quad x \in \text{Variables} \\ & | (O \ M1 \ \dots \ Mn) \quad O \in \text{Primitive Operation} \end{array}$$
$$V ::= c \mid x \mid (\lambda x_1 \dots x_n. M)$$

コアのSchemeの構文はLISPの方言なので、カッコで囲まれた文法で、値かlet, if, 関数適用があり、値は定数か、変数か、多引数のλ抽象があるということですね。

Translation Rules: 変換規則

$\langle V, E, K \rangle \mapsto \langle K, \gamma(V, E) \rangle$  値は、継続Kと値環境から得られた変数になるのかな。

$\gamma(c, E) = c$  定数ならそのまま

$\gamma(x, E) = E(x)$  変数なら環境から値を取り出す

$\gamma((\lambda x_1 \dots x_n. M), E) = \langle \text{cl } x_1 \dots x_n, M, E \rangle$  λ抽象なら、

# A正規化

## Syntax

$x, y, z$	Variable
$v ::=$	Value
$\{A = T\}$	type tag
$\lambda(x:T)t$	lambda
$s, t, u ::=$	Term
$x$	variable
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$x\ y$	application ここで変数しか使えない
$\text{let } x = t \text{ in } u$	let
$S, T, U ::=$	Type
$T$	top type
$\perp$	bottom type
$\{A:S..T\}$	type declaration
$x.A.$	type projection
$\forall(x:S)T$	dependent function

## Evaluation $t \rightarrow t$

```
let x = v in e[x y] ---> let x = v in e[[z := y]t]    If v =  $\lambda(z:T)t$ 
let x = y in t ---> [x := y]t
let x = let y = s in t in u ---> let y = s in let x = t in u
e[t] ---> e[u] if t ---> u
                        where e ::= []    let x = [] in t | let x = v in e
```

## A正規形の論文

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```
v({_=_}).
v( $\lambda(_\rightarrow_)$ ).
```

```
genid(E,E_,X) :- E_ is E + 1, format(atom(X),'.x~d',[E]).
```

```
anf_trans(E,X,E,Hole,Hole,X) :- atom(X).
anf_trans(E,V,E_,Hole,let(X=V,Hole),X) :- v(V), genid(E,E_,X).
anf_trans(E,S$T,E_,Hole,let(Z=Hole2,Hole),Z) :-
    anf_trans(E,S,Hole1,E1,S_,X),
    anf_trans(E1,T,Hole2,E2,T_,Y),
    Hole1=T_,Hole2=X$Y,
    genid(E2,E_,Z).
anf_trans(E,let(X=T,U),Hole,E_,let(X=T_,U_),Y) :-
    anf_trans(E,T,Hole1,E1,T_,X),Hole1= let(X=T_,U_),
    anf_trans(E1,U,Hole,E_,U_,Y).
```

anf\_trans述語は、環境E、項Tを受け取り穴(Hole)と変換式、対応する変数名を返します。

holeは、継続式を置き換えるための入れ物で、束縛のないProlog

変数です。返却された項の中には、必ず穴が空いているので穴に変数を設定することで、継続の式を設定できます。

s, t, u ::= Term

x    variable

v    value

x y                      application

Llet x = t in u        let

s, t, u ::= Term

x    variable

v    value

x y  
application

$s, t, u ::=$	Term	$x.A$	type projection
$x$	variable	$\forall(x:S)T$	dependent function
$v$	value		
$x y$	application		
$\text{let } x = t \text{ in } u$	let		

Evaluation

$$t \longrightarrow t$$

$$\begin{aligned} \text{let } x = v \text{ in } e[x y] &\longrightarrow \text{let } x = v \text{ in } e[[z := y]t] & \text{if } v = \lambda(z:T)t \\ \text{let } x = y \text{ in } t &\longrightarrow [x := y]t \\ \text{let } x = \text{let } y = s \text{ in } t \text{ in } u &\longrightarrow \text{let } y = s \text{ in let } x = t \text{ in } u \\ e[t] &\longrightarrow e[u] & \text{if } t \longrightarrow u \\ \text{where } e ::= [] \mid \text{let } x = [] \text{ in } t \mid \text{let } x = v \text{ in } e \end{aligned}$$

Type Assignment

$$\Gamma \vdash t : T$$

$$\begin{aligned} \Gamma, x : T, \Gamma' \vdash x : T & \quad (\text{VAR}) & \frac{\Gamma \vdash t : T \quad \Gamma \vdash T <: U}{\Gamma \vdash t : U} & \quad (\text{SUB}) \\ \frac{\Gamma, x : T \vdash t : U \quad x \notin \text{fv}(T)}{\Gamma \vdash \lambda(x:T)t : \forall(x:T)U} & \quad (\text{ALL-I}) & \frac{\Gamma \vdash x : \forall(z:S)T \quad \Gamma \vdash y : S}{\Gamma \vdash x y : [z := y]T} & \quad (\text{ALL-E}) \\ \frac{\Gamma \vdash t : T \quad \Gamma, x : T \vdash u : U \quad x \notin \text{fv}(U)}{\Gamma \vdash \text{let } x = t \text{ in } u : U} & \quad (\text{LET}) & \Gamma \vdash \{A = T\} : \{A : T..T\} & \quad (\text{TYP-I}) \end{aligned}$$

Subtyping

$$\Gamma \vdash T <: T$$

$$\begin{aligned} \Gamma \vdash T <: \top & \quad (\text{TOP}) & \Gamma \vdash \perp <: T & \quad (\text{BOT}) \\ \Gamma \vdash T <: T & \quad (\text{REFL}) & \frac{\Gamma \vdash S <: T \quad \Gamma \vdash T <: U}{\Gamma \vdash S <: U} & \quad (\text{TRANS}) \\ \frac{\Gamma \vdash x : \{A : S..T\}}{\Gamma \vdash S <: x.A} & \quad (<:-\text{SEL}) & \frac{\Gamma \vdash x : \{A : S..T\}}{\Gamma \vdash x.A <: T} & \quad (\text{SEL-}<:) \\ \Gamma \vdash S_2 <: S_1 & & \Gamma \vdash S_1 <: S_2 \quad \Gamma \vdash T_1 <: T_2 & \\ \Gamma \vdash S_1 <: S_2 \quad \Gamma \vdash T_1 <: T_2 & & \Gamma \vdash S_1 <: S_2 \quad \Gamma \vdash T_1 <: T_2 & \end{aligned}$$