

Condensed phase and dark reactions of atmospheric nitrogen oxides

by

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## Abstract

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Nitrogen oxides ( $\text{NO}_x \equiv \text{NO} + \text{NO}_2$ ) influence both the gas and aerosol phases of tropospheric chemistry, with impacts on air quality, climate, and nutrient cycling in ecosystems. The lifetime of  $\text{NO}_x$  in the atmosphere is controlled by conversion to its permanent oxidative sinks: organic nitrates ( $\text{RONO}_2$ ) and nitric acid ( $\text{HNO}_3$ ). Much of the previous observational work to understand the lifetime and fate of  $\text{NO}_x$  has focused on summer conditions when the daytime  $\text{NO}_x$  lifetime is relatively short (2-4 hr) and  $\text{NO}_x$  plays a key role in tropospheric  $\text{O}_3$  production. Here I use observations from four aircraft experiments to provide new constraints on the lifetime and fate of  $\text{NO}_x$  and its oxidation products in urban areas by exploring the importance of condensed phase and dark reactions. First, I examine the lifetime and fate of  $\text{NO}_x$  during wintertime conditions in the Northeast US and show that  $\text{NO}_x$  loss is dominated by nocturnal, condensed phase reactions that produce  $\text{HNO}_3$ . Second, I examine the importance of nocturnal production of  $\text{RONO}_2$  as a loss pathway for  $\text{NO}_x$  in three chemically distinct locations in the US and Korea. Finally, I examine the fate of  $\text{RONO}_2$ , an important oxidative sink of  $\text{NO}_x$ , by assessing its partitioning into the condensed phase and its role in secondary organic aerosol (SOA) formation using observations from Korea as an example of urban chemistry.

Although urban  $\text{NO}_x$  lifetimes have been examined extensively during summertime conditions, wintertime  $\text{NO}_x$  chemistry has been comparatively less studied. I use measurements of  $\text{NO}_x$  and its oxidation products from the aircraft-based WINTER (Wintertime INvestigation of Transport, Emissions, and Reactivity) experiment over the northeastern United States during February – March 2015 to describe the urban  $\text{NO}_x$  lifetime during conditions when days are shorter, actinic flux is reduced, and temperatures are colder. By analyzing regional outflow from the East Coast, I show that  $\text{NO}_x$  is long lived during the winter, with a longer daytime lifetime (29 hr) than nighttime lifetime (6.3 hr). Moreover, I demonstrate that wintertime urban  $\text{NO}_x$  emissions have an overall lifetime controlled by the nighttime conversion of  $\text{NO}_x$  to  $\text{HNO}_3$  via heterogeneous chemistry.

In warm, rural environments dominated by biogenic emissions, nocturnal  $\text{NO}_3^-$ -initiated production of  $\text{RONO}_2$  is known to be competitive with daytime OH-initiated  $\text{RONO}_2$  production. However, in urban areas, OH-initiated production of  $\text{RONO}_2$  has been assumed dominant and  $\text{NO}_3^-$ -initiated production considered negligible. I show evidence for nighttime  $\text{RONO}_2$  production similar in magnitude to daytime production during the three aircraft campaigns in chemically distinct summertime environments: Studies of Emissions and Atmospheric Composition, Clouds, and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS, 2013) in the rural Southeastern United States, Front Range Air Pollution and Photochemistry Experiment (FRAPPÉ, 2014) in the Colorado Front Range, and Korea-United States Air Quality Study (KORUS-AQ, 2016) around the megacity of Seoul, South Korea. During each campaign, morning observations show  $\text{RONO}_2$  enhancements at constant, near-background  $\text{O}_x$  ( $\equiv \text{O}_3 + \text{NO}_2$ ) concentrations, indicating that the  $\text{RONO}_2$  are from a non-photochemical source, whereas afternoon observations show a strong correlation between  $\text{RONO}_2$  and  $\text{O}_x$  resulting from photochemical production. Furthermore, I show that there are sufficient precursors for nocturnal  $\text{RONO}_2$  formation during all three campaigns.

Finally, I examine the fate of  $\text{RONO}_2$  using observations from KORUS-AQ during May – June 2016. I use measurements of particle-phase  $\text{RONO}_2$  and total (gas + particle)  $\text{RONO}_2$  to explore the phase partitioning of  $\text{RONO}_2$  and the contribution of organic nitrates to SOA production. These measurements show that about 1/4 of  $\text{RONO}_2$  is in the condensed phase, and from our observations, I estimate that  $\approx 15\%$  of the organic aerosol (OA) mass can be attributed to  $\text{RONO}_2$ . I observe that the fraction of  $\text{RONO}_2$  in the condensed phase increases with total OA concentration, evidence that equilibrium absorptive partitioning controls the phase distribution of  $\text{RONO}_2$ . I use our observations in conjunction with the Community Multiscale Air Quality (CMAQ) Modeling System to show that our current understanding of  $\text{RONO}_2$  chemistry can only account for one third of the observed  $\text{RONO}_2$ ; there is a large missing source of semi-volatile, anthropogenically-derived  $\text{RONO}_2$  around Seoul.

### Dedication

To all those who have been told they can't, they don't know enough, they're not good enough, or they don't belong;

To all those who have worked tirelessly with no credit, those who have been pushed out because of their 'otherness';

You are seen, you are heard, you are worthy, and you belong.

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# Chapter 1

## Introduction

### 1.1 Impacts of NO<sub>x</sub> in the atmosphere

Though atmospheric trace gases make up less than 0.1% of the gases in the atmosphere, they drive chemistry in the atmosphere that affects human health, climate, and ecosystem health. Nitrogen oxides ( $\text{NO}_x \equiv \text{NO} + \text{NO}_2$ ) are one class of such trace gases that affect both the gas and aerosol phases of tropospheric chemistry.

$\text{NO}_x$  regulates the concentrations of atmospheric oxidants, including hydroxyl radicals ( $\text{OH}$ ), nitrate radicals ( $\text{NO}_3$ ), and ozone ( $\text{O}_3$ ).  $\text{O}_3$  production is a non-linear function of  $\text{NO}_x$  concentration, and  $\text{NO}_x$  also affects the formation of inorganic nitrate aerosol through production of nitric acid ( $\text{HNO}_3$ ). Through its impact on tropospheric oxidation and through production of organic nitrates,  $\text{NO}_x$  plays a role in secondary organic aerosol (SOA) production.

$\text{NO}_x$ ,  $\text{O}_3$ , and aerosol particles are all detrimental to human health, and air pollution causes 3.3 million premature deaths every year worldwide (Lelieveld et al., 2015). Improvements in air quality in the United States have been made since the passage of the Clean Air Act in 1970, thereby increasing life expectancy (Pope, Ezzati, and Dockery, 2009). However, there are still areas in the United States with poor air quality, and poor air quality in the United States disproportionately affects the poor and people of color (e.g., Maantay, 2007; Miranda et al., 2011; Demetillo et al., 2020).

Trace gases, including  $\text{NO}_x$ , affect climate by influencing aerosol particle composition and concentration, which has both direct and indirect effects on radiative forcing. Moreover, the oxidative capacity of the atmosphere, which is influenced by  $\text{NO}_x$  concentrations, controls the lifetime of greenhouse gases such as methane.

Nitrogen oxides also affect the health of ecosystems and agricultural crops.  $\text{O}_3$ , produced via chemistry involving  $\text{NO}_x$ , is detrimental to crop health. Oxidation of  $\text{NO}_x$  generates nitric acid ( $\text{HNO}_3$ ) which contributes to acid rain and nitrogen deposition.

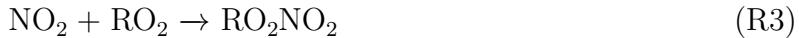
## 1.2 Nitrogen oxides: sources and sinks

$\text{NO}_x$  is emitted to the atmosphere as NO through a variety of combustion processes, including fossil fuel combustion and biomass burning. Such anthropogenic processes are the dominant  $\text{NO}_x$  sources in and around urban areas. Natural sources of  $\text{NO}_x$  to the atmosphere include soil bacteria and lightning.

In recent decades, the US has made large strides in decreasing  $\text{NO}_x$  emissions. Consistent  $\text{NO}_x$  reductions of 7% per year in US cities (Russell, Valin, and Cohen, 2012; Laughner and Cohen, 2019) have been achieved using catalytic converters on vehicles and through engineering emission controls on power plants (e.g., Frost et al., 2006; Kim et al., 2006; Ban-Weiss et al., 2008; Bishop and Stedman, 2008; Millstein and Harley, 2010; Dallmann and Harley, 2010; Parrish et al., 2011).

### Photochemical $\text{NO}_x$ loss

During the daytime when photochemistry is dominant,  $\text{NO}_x$  is lost through radical termination reactions with  $\text{HO}_x$  ( $\equiv \text{OH} + \text{HO}_2 + \text{RO}_2$ ) radicals. Reaction of  $\text{NO}_2$  with OH produces nitric acid ( $\text{HNO}_3$ , R1). The minor products of reaction of NO with  $\text{RO}_2$  (branching ratio  $\alpha$ ) are alkyl and multifunctional organic nitrates ( $\text{RONO}_2$ , R2). Finally, reaction of  $\text{NO}_2$  and  $\text{RO}_2$  radicals generates peroxy nitrates ( $\text{RO}_2\text{NO}_2$ , R3).



In the troposphere,  $\text{HNO}_3$  and  $\text{RONO}_2$  are both considered relatively permanent sinks of  $\text{NO}_x$ .  $\text{HNO}_3$  typically undergoes wet and dry deposition, with a lifetime of a few days, or can be incorporated into aerosol particles as inorganic nitrate ( $\text{NO}_3^-$ ).  $\text{RONO}_2$  can also deposit, condense onto aerosol particles, or hydrolyze to produce  $\text{HNO}_3$ . Peroxy nitrates, however, are temporary sinks of  $\text{NO}_x$ , as they easily dissociate to regenerate  $\text{NO}_2$ .  $\text{RO}_2\text{NO}_2$  are stabilized by lower temperatures like those of the upper troposphere. When lofted after production in an urban area,  $\text{RO}_2\text{NO}_2$  can be transported downwind, resulting in the release of  $\text{NO}_2$  away from the urban core.

Historically,  $\text{HNO}_3$  production was considered the primary pathway for  $\text{NO}_x$  loss. However, production of  $\text{RONO}_2$  competitive with  $\text{HNO}_3$  production has been observed in urban areas, including Houston, TX (Rosen et al., 2004) and Mexico City (Farmer et al., 2011), as well as in rural regions, including over the boreal forest (Browne et al., 2013), in the southeast US (Romer et al., 2016), and in a forested region of southwest Germany (Sobanski et al., 2017).

Understanding the balance of  $\text{NO}_x$  sinks is important as it affects the overall lifetime of  $\text{NO}_x$  (Romer et al., 2016), including the dependence of  $\text{NO}_x$  loss on temperature (Romer

et al., 2018). Formation of low volatility RONO<sub>2</sub> can also contribute to secondary organic aerosol formation (e.g., Rollins et al., 2012; Pye et al., 2015; Zare et al., 2019). Moreover, the balance of radical termination reactions affects O<sub>3</sub> production rates (Perring et al., 2010; Farmer et al., 2011; Edwards et al., 2013; Lee et al., 2014b). As NO<sub>x</sub> emissions in the US have decreased over the last two decades (Russell, Valin, and Cohen, 2012; Laughner and Cohen, 2019), many US cities have shifted from a regime in which HNO<sub>3</sub> is the dominant NO<sub>x</sub> sink to one in which RONO<sub>2</sub> is the dominant sink of NO<sub>x</sub> (Romer Present, Zare, and Cohen, 2020).

## Nocturnal NO<sub>x</sub> loss

At night, NO<sub>x</sub> is also lost to HNO<sub>3</sub> and RONO<sub>2</sub> through dark reactions initiated by NO<sub>3</sub> oxidation. NO<sub>3</sub> is produced by reaction of NO<sub>2</sub> with O<sub>3</sub> (R4) and is lost via photolysis (R5, R6) and reaction with NO (R7). NO<sub>3</sub> concentrations can build up in the residual layer at night where there is neither sunlight nor fresh NO emissions.



Nocturnal NO<sub>3</sub>-initiated production of HNO<sub>3</sub> involves multi-phase chemistry. First, NO<sub>3</sub> reacts with NO<sub>2</sub> to produce N<sub>2</sub>O<sub>5</sub> in reversible thermal equilibrium (R8). N<sub>2</sub>O<sub>5</sub> can undergo heterogeneous hydrolysis to produce 2 HNO<sub>3</sub> (R9). In the presence of aerosol containing chloride ions (e.g., sea salt aerosol), reaction of N<sub>2</sub>O<sub>5</sub> with HCl will produce ClNO<sub>2</sub> and HNO<sub>3</sub> (R10). HNO<sub>3</sub> is also a product of NO<sub>3</sub> oxidation of aldehydes.



Nocturnal production of RONO<sub>2</sub> involves NO<sub>3</sub> oxidation of alkenes, via addition to the double bond (R11) with yield  $\beta$ .



Significant production of RONO<sub>2</sub> from NO<sub>3</sub> oxidation has been observed previously in areas dominated by biogenic emissions, including forested regions of Colorado (Fry et al.,

2013), Finland (Sobanski et al., 2017), and Germany (Liebmann et al., 2019). Studies focused on RONO<sub>2</sub> derived from isoprene have found NO<sub>3</sub>-initiated production to be competitive with OH-initiated production of isoprene nitrates using measurements from the Southeastern US (Starn et al., 1998; Xiong et al., 2015), using an observationally-constrained model of the eastern US (Horowitz et al., 2007), and using a global model (Kuhlmann et al., 2004).

### 1.3 Current understanding of organic nitrate chemistry

Our understanding of organic nitrates in the atmosphere has improved in recent years, due in large part to advances in measurement techniques for both total organic nitrates tRONO<sub>2</sub>, speciated RONO<sub>2</sub>, and particle phase organic nitrates pRONO<sub>2</sub>.

Instruments combining thermal dissociation (TD) with a method of NO<sub>2</sub> detection can measure tRONO<sub>2</sub>. In such a measurement scheme, tRONO<sub>2</sub> is thermally dissociated into RO and NO<sub>2</sub>, NO<sub>2</sub> is detected, and the tRONO<sub>2</sub> concentration is determined by subtracting off the ambient NO<sub>2</sub> concentration. Such schemes were first developed with laser induced fluorescence (LIF) detection of NO<sub>2</sub> (Day et al., 2002) and have since also been used with cavity ring down spectroscopy (CRDS) (Thieser et al., 2016; Womack et al., 2017).

Recent advances in using chemical ionization mass spectrometry (CIMS) have allowed for detailed measurements of speciated nitrates, including many nitrates derived from isoprene (Crounse et al., 2013; Lee et al., 2016). Other mass spectrometry techniques (e.g., GC-MS, PTR-MS) have also been used to measure speciated organic nitrates (e.g., Schneider et al., 1998; deGouw et al., 2003).

Laboratory studies over recent years have also allowed for better constraints on  $\alpha$  and  $\beta$ , the yields of RONO<sub>2</sub> production in the NO + RO<sub>2</sub> reaction (R2) and the NO<sub>3</sub> + alkene reaction (R11), respectively. Many of these advances have been made for isoprene, one of the most ubiquitous volatile organic compounds (VOCs) in the atmosphere. The yield of RONO<sub>2</sub> from reaction of isoprene-derived RO<sub>2</sub> radicals with NO is now understood to be 11-15%, higher than previously recognized (Teng, Crounse, and Wennberg, 2017; Wennberg et al., 2018). Yield of RONO<sub>2</sub> from NO<sub>3</sub> oxidation of isoprene is higher, varying in the range of 65-80% (Perring et al., 2009; Rollins et al., 2009; Kwan et al., 2012).

Depending on the properties of the backbone R group of RONO<sub>2</sub>, RONO<sub>2</sub> lifetimes can vary from hours to days. Loss of RONO<sub>2</sub> can occur through photolysis, oxidation, deposition, partitioning into the aerosol phase, and/or hydrolysis to form HNO<sub>3</sub>. Oxidation and photolysis may recycle NO<sub>x</sub> to the atmosphere, whereas deposition and hydrolysis serve as permanent sinks of NO<sub>x</sub>. Recent studies have shown that 45% of RONO<sub>2</sub> in the Southeast US undergo rapid hydrolysis to form HNO<sub>3</sub>, which is quickly lost to deposition (Romer et al., 2016; Zare et al., 2019). RONO<sub>2</sub> that partition into the aerosol phase have recently emerged as an important constituent of organic aerosol (OA) (e.g., Rollins et al., 2012; Pye et al., 2015; Lee et al., 2016; Kiendler-Scharr et al., 2016; Zare et al., 2019).

## 1.4 Current understanding of the contribution of RONO<sub>2</sub> to organic aerosol

Organic aerosol constitutes a large, and often dominant, fraction of tropospheric aerosol mass (Heald et al., 2005; Murphy et al., 2006a; Zhang et al., 2007). Much of this organic aerosol is secondary, produced from the volatile organic compounds that are sufficiently oxidized in the atmosphere to be condensable and/or water soluble (Gouw et al., 2005; Goldstein and Galbally, 2007; Zhang et al., 2007; Gouw et al., 2008). The chemical and physical processes that control SOA production, however, are complex and currently highly uncertain (Heald et al., 2005; Volkamer et al., 2006; Hallquist et al., 2009; Heald et al., 2010; Hayes et al., 2015; Woody et al., 2016; Ma et al., 2017; Shrivastava et al., 2017; Tsimpidi et al., 2017; Nault et al., 2018).

The partitioning of semi-volatile organic compounds (SVOCs) and water-soluble organic compounds between the gas and condensed phases is an important source of SOA (Donahue et al., 2006; Ding et al., 2008; Wozniak, Bauer, and Dickhut, 2012). Typically, gas-particle partitioning of SVOCs is described by equilibrium absorptive partitioning theory, where the fraction of a given SVOC in the particle phase is controlled exclusively by its vapor pressure and the mass of the absorbing (in this case, organic) aerosol (Pankow, 1994; Donahue et al., 2006). If the VOC is water-soluble, then its gas-particle partitioning is generally described by Henry's Law, where the fraction in the aqueous aerosol phase is defined by its solubility in pure water (Kroll et al., 2005; Ervens, Turpin, and Weber, 2011).

Particle phase organic nitrates (pRONO<sub>2</sub>) have recently emerged as a crucial but poorly understood component of SOA (e.g., Rollins et al., 2012; Fry et al., 2013; Ayres et al., 2015; Xu et al., 2015b; Pye et al., 2015; Fisher et al., 2016; Lee et al., 2016; Lee et al., 2019). The addition of a nitrate functional group reduces the saturation concentration of a given molecule by approximately 2.5 orders of magnitude (Pankow and Asher, 2008), thereby generating a lower volatility compound that may condense to form SOA. Moreover, <sup>14</sup>C measurements have indicated that the carbon in OA is largely biogenic in origin, but correlations between OA and anthropogenic trace gases (e.g., NO<sub>x</sub>) indicate SOA has an anthropogenic origin (Weber et al., 2007). Because many of the lowest volatility organic nitrates are produced from the oxidation of monoterpenes in the presence of anthropogenically emitted NO<sub>x</sub>, it is plausible to assume that a large fraction of organic nitrate aerosol will have a biogenically-derived carbon backbone despite being produced in a series of reactions involving anthropogenically-produced NO<sub>x</sub>.

Recent lab studies have improved our understanding of the yield of pRONO<sub>2</sub> from VOC oxidation in the presence of NO<sub>x</sub> (Ng et al., 2008; Brown et al., 2009; Rollins et al., 2009; Fry et al., 2009; Fry et al., 2014). Field and modeling studies have shown that pRONO<sub>2</sub> are an important component of SOA in areas dominated by biogenic emissions, including the Southeast US (Ayres et al., 2015; Xu et al., 2015b; Pye et al., 2015; Fisher et al., 2016; Lee et al., 2016; Zare et al., 2019), in the Rocky Mountains (Fry et al., 2013), across Europe (Kiendler-Scharr et al., 2016), in the boreal forest (Hao et al., 2014), in the Central Valley

of California (Rollins et al., 2012; Rollins et al., 2013), and in rural areas of both northern and southern China (Zhu et al., 2016; Xu et al., 2020; Zhu et al., 2021). A number of field studies have also found significant contributions of pRONO<sub>2</sub> to SOA in regions of oil and gas production, including the Alberta Oil Sands (Lee et al., 2019) and in the Uintah Basin (Lee et al., 2015), and in Chinese cities (Zhang et al., 2016; Yu et al., 2019).

## 1.5 Dissertation aims

In this dissertation, I use observations from four aircraft experiments to provide new constraints on the lifetime and fate of NO<sub>x</sub> and its oxidation products in urban areas by exploring the importance of condensed phase and dark reactions. In each urban area, I show that condensed phase and dark reactions of NO<sub>x</sub> are significant sinks of tropospheric NO<sub>x</sub>.

In Chapter 2, I examine wintertime conditions in the Northeastern United States using data from the WINTER (Wintertime INvestigation of Transport, Emissions, and Reactivity) campaign in 2015. I show that most NO<sub>x</sub> loss occurs from dark, condensed phase conversion of NO<sub>x</sub> to HNO<sub>3</sub> via heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub>.

In Chapter 3, I examine data from three different summertime chemical environments: Studies of Emissions and Atmospheric Composition, Clouds, and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS, 2013) in the rural Southeastern United States, Front Range Air Pollution and Photochemistry Experiment (FRAPPÉ, 2014) in the Colorado Front Range, and Korea-United States Air Quality Study (KORUS-AQ, 2016) around the mega-city of Seoul, South Korea. In each distinct environment, I show that nocturnal NO<sub>3</sub>-initiated production of RONO<sub>2</sub> is competitive with daytime OH-initiated production of RONO<sub>2</sub>.

In Chapter 4, I examine the phase partitioning of RONO<sub>2</sub> and its role in SOA production in the mega-city of Seoul, South Korea using data from KORUS-AQ. I show that  $\approx 15\%$  of the organic aerosol mass can be attributed to RONO<sub>2</sub>, but our current state-of-the-science understanding of RONO<sub>2</sub> chemistry can only account for one third of the observed RONO<sub>2</sub>. I propose some possible mechanisms for this large missing source of semi-volatile, anthropogenically-derived RONO<sub>2</sub> around Seoul.

Lastly, I conclude by offering some predictions about the future role of RONO<sub>2</sub> in urban NO<sub>x</sub> and SOA chemistry, and I suggest questions to guide future research in this area.

## Chapter 2

# **NO<sub>x</sub> lifetime and NO<sub>y</sub> partitioning during WINTER**

Adapted from H. S. Kenagy et al. (2018). NO<sub>x</sub> lifetime and NO<sub>y</sub> partitioning during WINTER, *Journal of Geophysical Research: Atmospheres*, 123, 9813-9827. <https://doi.org/10.1029/2018JD028736>

### 2.1 Introduction

Nitrogen oxides ( $\text{NO}_x \equiv \text{NO} + \text{NO}_2$ ) influence both the gas and aerosol phases of tropospheric chemistry, with impacts on air quality, climate, and nutrient cycling in ecosystems. In the atmosphere, NO<sub>x</sub> regulates oxidants, such as nitrate radicals ( $\text{NO}_3$ ), hydroxyl radicals ( $\text{OH}$ ), and ozone ( $\text{O}_3$ ). Ozone is both a respiratory irritant and a greenhouse gas, and its production is a non-linear function of NO<sub>x</sub> concentration. Through its influence on the tropospheric oxidant budget, NO<sub>x</sub> also controls the lifetime of greenhouse gases such as methane. Moreover, NO<sub>x</sub> affects the formation of inorganic nitrate aerosol (e.g., Guo et al., 2016; Mezuman, Bauer, and Tsigaridis, 2016; Bian et al., 2017) and secondary organic aerosol (SOA) through its impacts on tropospheric oxidation and through the formation of organic nitrates (e.g., Rollins et al., 2012; Fry et al., 2014; Lee et al., 2014b; Ayres et al., 2015; Fisher et al., 2016; Kiendler-Scharr et al., 2016; Lee et al., 2016; Ng et al., 2017).

NO<sub>x</sub> is emitted to the atmosphere as NO both anthropogenically, through fossil fuel combustion, agriculture, and biomass burning, (e.g., Dallmann and Harley, 2010; Mebust and Cohen, 2014) as well as naturally, from soil bacteria and lightning (e.g., Schumann and Huntrieser, 2007; Hudman et al., 2012). Once emitted, NO<sub>x</sub> typically undergoes a series of oxidative transformations to higher oxides of nitrogen, some of which are then removed from the atmosphere via deposition.

In the presence of sunlight, NO<sub>x</sub> is oxidized by HO<sub>x</sub> radicals ( $\text{HO}_x \equiv \text{HO}_2 + \text{RO}_2 + \text{OH}$ ), which are produced mainly through photolytic reactions. Oxidation reactions R12, R13, and R14, as shown in Fig. 2.1, are the primary daytime NO<sub>x</sub> sinks with the products: peroxy

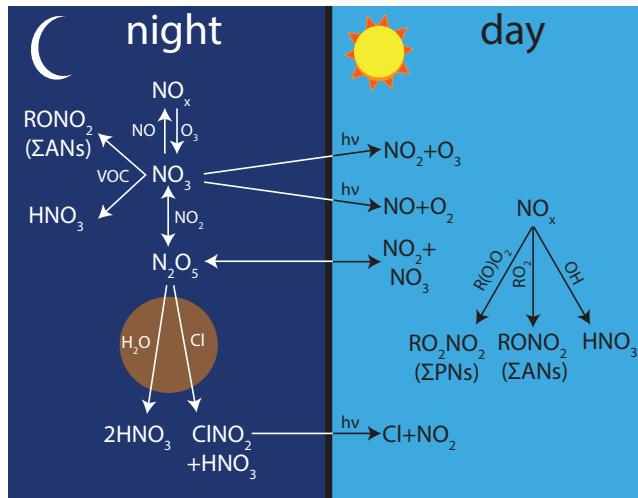
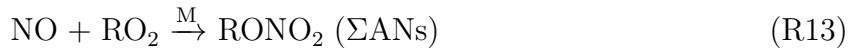
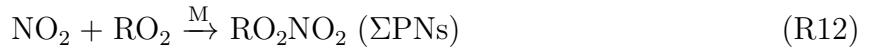
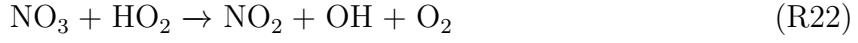
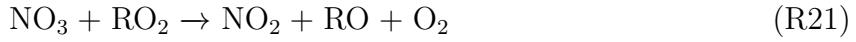
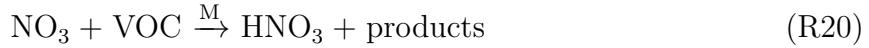


Figure 2.1: Schematic of NO<sub>x</sub> oxidation reactions. The right panel contains daytime reactions, while the left panel contains nighttime reactions.

nitrates (RO<sub>2</sub>NO<sub>2</sub>, noted as a class as Σ PNs), alkyl nitrates (RONO<sub>2</sub>, noted as a class as Σ ANs), and nitric acid (HNO<sub>3</sub>), respectively. Depending on the conditions, these NO<sub>x</sub> sinks may be temporary, allowing NO<sub>x</sub> to be re-released back to the atmosphere, or they may be permanent, with sink species eventually being deposited out of the atmosphere.



NO<sub>3</sub> is formed via reaction between NO<sub>2</sub> and O<sub>3</sub> (R15) and is lost via photolysis, reaction with NO, and reaction with VOCs (e.g., Aldener et al., 2006; Liebmann et al., 2018a; Liebmann et al., 2018b). NO<sub>3</sub> can be an important NO<sub>x</sub> intermediate at night when there is neither sunlight nor high concentrations of NO present to remove it. At night, NO<sub>3</sub> can react with another NO<sub>2</sub> molecule to form N<sub>2</sub>O<sub>5</sub> (R16), as shown in Fig. 2.1. Upon collision with aerosol, N<sub>2</sub>O<sub>5</sub> can hydrolyze to form nitric acid (R17) or, in the presence of aerosol-phase chloride, will react to form nitryl chloride and nitric acid (R18). Alternatively, NO<sub>3</sub> reacts with alkenes at night (with branching ratio  $\alpha$ ) to generate alkyl nitrates (R19) and with volatile organic compounds (VOCs) to form nitric acid (R20). NO<sub>3</sub> also reacts with RO<sub>2</sub> and HO<sub>2</sub> radicals (R21, R22) to recycle NO<sub>x</sub> (Stone et al., 2014).



The rate of R17 depends on the heterogeneous uptake coefficient for N<sub>2</sub>O<sub>5</sub> ( $\gamma_{\text{N}_2\text{O}_5}$ ).  $\gamma_{\text{N}_2\text{O}_5}$  represents the reaction probability of N<sub>2</sub>O<sub>5</sub> on aerosol and depends on both aerosol composition and ambient conditions. The rate of R18 depends on the yield for ClNO<sub>2</sub> formation, which depends on aerosol liquid water content and particulate chloride concentrations (Bertram and Thornton, 2009). At sunrise, N<sub>2</sub>O<sub>5</sub> will thermally dissociate and both NO<sub>3</sub> and ClNO<sub>2</sub> will photolyze, thereby re-releasing NO<sub>x</sub>.

Urban NO<sub>x</sub> chemistry and the reaction set above have been studied extensively during summertime conditions when typical daytime NO<sub>x</sub> lifetimes are 2–11 h (e.g., Ryerson et al., 1998; Nunnermacker et al., 2000; Dillon et al., 2002; Ryerson, 2003; Alvarado et al., 2010; Valin, Russell, and Cohen, 2013; Romer et al., 2016). In contrast, wintertime NO<sub>x</sub> chemistry, which we expect to differ from summertime chemistry, has been studied considerably less. Evaporative and biogenic VOC emissions are much less important in winter than in summer. The colder temperatures of wintertime slow reactions with activation barriers and accelerate 3-body reactions (e.g., Lee et al., 2014b). Moreover, winter is characterized by shorter days and reduced solar radiation, causing a decrease in the role of photolysis and shifting the balance of daytime oxidation and nighttime chemistry.

Previous model- and observation-based studies of wintertime chemistry have shown that a large fraction of NO<sub>x</sub> loss occurs as a result of nighttime N<sub>2</sub>O<sub>5</sub> chemistry (e.g., Dentener and Crutzen, 1993; Evans and Jacob, 2005; Davis, Bhate, and Foley, 2008; Alexander et al., 2009; Macintyre and Evans, 2010; Wagner et al., 2013; Wild et al., 2016) and that ClNO<sub>2</sub> can function as an important winter NO<sub>x</sub> reservoir at night (Riedel et al., 2013). Crowley et al. (2011) showed that, during the late autumn in Southern Spain, nocturnal NO<sub>x</sub> loss was dominated by the reaction of NO<sub>3</sub> with VOCs and that daytime and nighttime NO<sub>x</sub> losses were comparable. During a wintertime field campaign in the Uintah Basin in Utah, USA (a rural region with intensive oil and gas operations), Lee et al. (2014b) observed that alkyl nitrate formation was accelerated at low temperatures and dominated chemical NO<sub>x</sub> loss during the snow-free winter of 2012 when there was little NO<sub>x</sub> oxidation. Wild et al. (2016) showed that HNO<sub>3</sub> production via heterogeneous chemistry of N<sub>2</sub>O<sub>5</sub> dominated NO<sub>x</sub> loss during 2013 and 2014 winters when there was more NO<sub>x</sub> oxidation in the Uintah Basin.

These prior studies show that NO<sub>x</sub> lifetimes during winter vary and that key mechanisms depend on the interplay of emissions and meteorology. Here we explore that interplay in continental outflow to gain quantitative insights into processes and mechanisms. We use data from the 2015 aircraft-based WINTER (Wintertime INvestigation of Transport, Emissions, and Reactivity) campaign over the eastern United States to constrain the daytime and nighttime NO<sub>x</sub> lifetime under wintertime conditions in urban environments. We determine the most important wintertime sinks of NO<sub>x</sub> during both day and night, and we estimate wintertime rates of mixing between the boundary layer and the free troposphere and rates of HNO<sub>3</sub> deposition. Lastly, we investigate the impact of winter nighttime chemistry on the odd-oxygen budget.

## 2.2 Instrumentation/measurements

The WINTER campaign took place aboard the NSF/NCAR C-130 aircraft during February and March 2015. It consisted of 13 research flights out of Norfolk, VA which covered the eastern US as well as the Atlantic Ocean during both day and night.

The aircraft was outfitted with a suite of instruments measuring gas and aerosol composition. Those used in this analysis are detailed in Table 2.1. Additionally, the aircraft was outfitted with instrumentation measuring temperature, pressure, and wind speed. The GEOS-Chem chemical transport model ([www.geos-chem.org](http://www.geos-chem.org)) was run for each flight path. The WINTER campaign simulations used model version 10-01 driven by meteorological fields from NASA GMAO's GEOS-5 FP system. The model has been described in detail previously (Bey et al., 2001; Mao et al., 2010; Parrella et al., 2012; Travis et al., 2016).

## 2.3 Results and analysis

Imagery of NO<sub>2</sub> vertical column density from the OMI satellite during the period of the WINTER campaign in Fig. 2.2 shows that the corridor between Washington, D.C. and New York City (DC-NYC corridor) has high NO<sub>2</sub> concentrations relative to the surrounding regions. Here, we analyze the regional outflow that moves east from DC-NYC corridor over the Atlantic Ocean, since the average measured wind direction was 274° (i.e., from west to east), with a standard deviation of 33° (calculated using the Yamartino method (Yamartino, 1984)). We then analyze the isolated outflow since there are no important sources of NO<sub>x</sub> over the ocean aside from ship plumes, which were not sampled during the aircraft transects included in this analysis.

We parameterize the East Coast with a polynomial fit, as shown by the black line in Fig. 2.2. We then calculate the distance of each measurement from the East Coast and use the average wind speed measured on each flight during either day or night (shown in Table 2.2) to calculate the time each measured air parcel originated on the East Coast. We consider coastal measurements (time zero) to be those within 25 km of the black line in Fig. 2.2. We

Table 2.1: Summary of instrumentation from the WINTER campaign used in this analysis.

Instrument/Method	Species Measured	Reference
TD-LIF <sup>a</sup>	NO <sub>2</sub> , $\Sigma$ ANs, $\Sigma$ PN <sub>s</sub>	Day et al. (2002)
HRTToF-CIMS <sup>b</sup>	HNO <sub>3</sub> , N <sub>2</sub> O <sub>5</sub> , ClNO <sub>2</sub>	Kercher, Riedel, and Thornton (2009) and Lee et al. (2014a)
CRDS <sup>c</sup>	NO, NO <sub>2</sub> , O <sub>3</sub>	Fuchs et al. (2009) and Wagner et al. (2011)
	N <sub>2</sub> O <sub>5</sub>	Washenfelder et al. (2011) and Wild et al. (2014)
	N <sub>2</sub> O <sub>5</sub>	Dubé et al. (2006) and Fuchs et al. (2008)
		Wagner et al. (2011)
CRDS with thermal dissociation	total NO <sub>y</sub>	Wild et al. (2014)
UVV resonance fluorescence	CO	Gerbig et al. (1999)
CL <sup>d</sup>	NO, O <sub>3</sub> , total NO <sub>y</sub>	Ridley et al. (1994)
TOGA <sup>e</sup>	suite of VOCs	Apel et al. (2015)
PCASP <sup>f</sup>	aerosol surface area	Strapp, Leaitch, and Liu (1992)
AMS <sup>g</sup>	aerosol nitrate (PM <sub>1</sub> )	DeCarlo et al. (2006) and Canagaratna et al. (2007)
filter with IC	aerosol nitrate (PM <sub>4</sub> )	Dunlea et al. (2009) and Schroder et al. (2018)
		Dibb et al. (1999) and Dibb, Talbot, and Scheuer (2000)

<sup>a</sup> Thermal Dissociation Laser Induced Fluorescence (University of California, Berkeley)<sup>b</sup> Iodide-adduct High-Resolution Time-of-Flight Chemical Ionization Spectrometer (University of Washington)<sup>c</sup> Cavity Ring Down Spectrometer (NOAA)<sup>d</sup> Chemiluminescence detector (NCAR)<sup>e</sup> Trace Organic Gas Analyzer (NCAR)<sup>f</sup> Passive Cavity Aerosol Spectrometer Probe (NCAR)<sup>g</sup> Aerosol Mass Spectrometer (University of Colorado, Boulder)<sup>h</sup> filter-sampling system used to collect PM<sub>4</sub> with subsequent post-flight ion chromatography (University of New Hampshire)

categorize data into day (using flights 1, 3, and 4) and night (using flights 1, 3, 4, and 6) periods, considering only points whose entire trajectory from the East Coast to location of measurement took place during daylight or darkness, respectively. During WINTER, average sunrise occurred at 06:45 and sunset at 17:30. Although flights 5 and 8 measured nighttime outflow from the DC-NYC corridor, we omit these from our analysis due to anomalously high (flight 5, mean nighttime wind speed 16.1 m s<sup>-1</sup>) and anomalously low (flight 8, mean nighttime wind speed 2.7 m s<sup>-1</sup>) wind speeds, compared to the mean nighttime boundary layer wind speed over the ocean during the campaign of 8.1 m s<sup>-1</sup>. Flight tracks used are shown in Fig. 2.3, and the average wind speed, temperature, and altitude sampled during each flight are shown in Table 2.2.

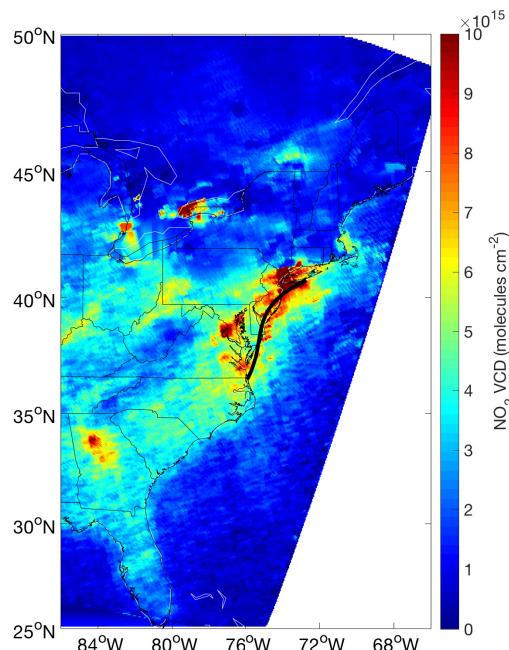


Figure 2.2: OMI NO<sub>2</sub> vertical column density (VCD) during the WINTER campaign. The DC-NYC corridor, represented by the black line, has high NO<sub>2</sub> concentrations. The outflow from this corridor moves out over the Atlantic Ocean since wind moves from west to east. For reference, 1° longitude corresponds to 85 km at 40° N.

We then analyze the average concentrations of components of NO<sub>y</sub> (NO<sub>x</sub>, Σ PNs, Σ ANs, HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, ClNO<sub>2</sub>, and aerosol-phase NO<sub>3</sub><sup>-</sup>) as a function of time elapsed since leaving the East Coast, as shown in Fig. 2.4. In this analysis, we use chemiluminescence measurements of NO and total NO<sub>y</sub>; TD-LIF measurements of NO<sub>2</sub>, Σ ANs, and Σ PNs; HRTToF-CIMS measurements of HNO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, and ClNO<sub>2</sub>; and CRDS measurements of O<sub>3</sub>. For aerosol-

Table 2.2: Average ( $\pm 1\sigma$ ) wind speeds, temperatures, and altitudes sampled below 1,000 m over the ocean during flights used in analysis.

Flight Num.	day			night		
	Wind Speed (m s <sup>-1</sup> )	Temperature (K)	Altitude (m)	Wind Speed (m s <sup>-1</sup> )	Temperature (K)	Altitude (m)
1	6.28 $\pm$ 1.81	267.7 $\pm$ 1.3	219 $\pm$ 142	7.79 $\pm$ 1.44	267.1 $\pm$ 2.4	303 $\pm$ 216
3	9.10 $\pm$ 1.64	272.5 $\pm$ 2.2	318 $\pm$ 117	9.79 $\pm$ 2.20	271.8 $\pm$ 2.4	386 $\pm$ 182
4	4.14 $\pm$ 3.31	270.7 $\pm$ 1.7	436 $\pm$ 199	3.75 $\pm$ 1.22	276.1 $\pm$ 2.3	416 $\pm$ 126
6	—	—	—	8.96 $\pm$ 1.32	277.1 $\pm$ 2.1	350 $\pm$ 192

phase NO<sub>3</sub><sup>-</sup>, we use the maximum of the AMS measurements of aerosol-phase inorganic NO<sub>3</sub><sup>-</sup> and the filter-collected IC-analyzed NO<sub>3</sub><sup>-</sup>. We include in Fig. 2.4 only measurements taken in the boundary layer. We use GEOS-Chem estimates (Rienecker et al., 2008; Molod et al., 2012) of the boundary layer height (BLH) which agree with our aircraft observations of vertical profiles of relative humidity and ozone (not shown). Over the ocean during the day on flights 1, 3, and 4, the GEOS-Chem BLH was 780 m, and during the night on flights 1, 3, 4, and 6, the GEOS-Chem BLH was 610 m.

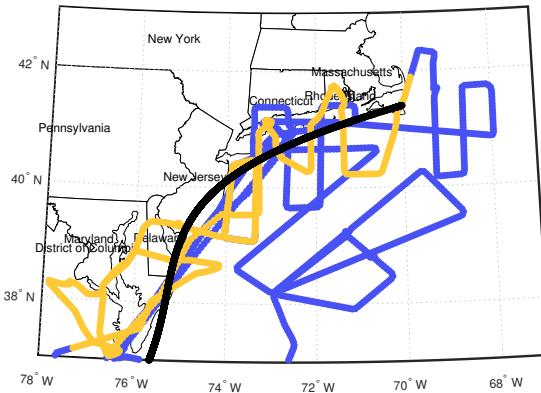


Figure 2.3: Map of flight tracks used in analysis of regional outflow. Blue tracks correspond to data taken at night (flights 1, 3, 4, and 6), whereas orange tracks correspond to data taken during the day (flights 1, 3, and 4). The parameterized coast line of the DC-NYC corridor is shown in black. We consider coastal measurements (time zero) to be those within 25 km of this line.

Our analysis assumes westerly winds that remain constant during a given flight, but yields a regional average of the observations of the East Coast outflow. Of course, there are local variations in all of the parameters assessed hereafter, but we present an average case

of the conditions during WINTER.

## Daytime chemistry

The daytime evolution of the East Coast outflow shown in Fig. 2.4 suggests that NO<sub>x</sub> has an e-folding lifetime well in excess of 10 hours during winter daytime. Within the first 7 h of evolution, total NO<sub>y</sub> decreases by 16% which corresponds to a cumulative loss of 1 ppb of NO<sub>y</sub> to deposition and mixing with the free troposphere. After 7 h of evolution, NO<sub>x</sub> is still the dominant fraction of NO<sub>y</sub> (80%). Fitting the decay of NO<sub>x</sub> as a function of time elapsed since leaving the East Coast indicates the e-folding lifetime for NO<sub>x</sub> is 29 (-8, +16) h (range of lifetime estimates described in Appendix A.3). This lifetime estimate accounts for NO<sub>x</sub> loss due to chemistry, deposition, and mixing with the free troposphere. Of the NO<sub>x</sub> sinks present in the daytime in Fig. 2.4, HNO<sub>3</sub> is present in the highest concentration, which suggests it is the primary daytime chemical NO<sub>x</sub> sink.

We then calculate the production rates of each daytime NO<sub>x</sub> sink compound over the ocean, as described in Appendix A.1. We find the average ( $\pm$  95% confidence interval)  $P(\Sigma \text{ANs})$  is 0.39 ( $\pm$  0.07) ppt h<sup>-1</sup>, the average  $P(\Sigma \text{PNs})$  is 5.1 ( $\pm$  0.4) ppt h<sup>-1</sup>, and the average  $P(\text{HNO}_3)$  is 50 ( $\pm$  4) ppt h<sup>-1</sup>, using GEOS-Chem estimates of OH concentrations (average 0.01 ppt in boundary layer). These production rates confirm that  $\Sigma \text{ANs}$  and  $\Sigma \text{PNs}$  are relatively unimportant daytime NO<sub>x</sub> sinks whereas HNO<sub>3</sub> production is the dominant, albeit small, daytime NO<sub>x</sub> sink reaction pathway.

## Nighttime chemistry

The nighttime outflow evolution shown in Fig. 2.4 demonstrates that NO<sub>x</sub> is shorter-lived at night than during the day. Fitting the decay of NO<sub>x</sub> as a function of time elapsed since leaving the East Coast yields an e-folding lifetime of 6.3 (-0.5, +0.6) h, which takes into account both chemical and physical loss processes. Initially, NO<sub>x</sub> is the dominant component (75%) of NO<sub>y</sub>. 50% (4.5 ppb) of NO<sub>y</sub> is lost to deposition and mixing with the free troposphere during the first 9 h of evolution, and after 9 h of evolution, HNO<sub>3</sub> is the dominant fraction (55%) of NO<sub>y</sub>.

At night, there is evidence of NO<sub>x</sub> conversion to NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>,  $\Sigma \text{ANs}$ , HNO<sub>3</sub>, and ClNO<sub>2</sub>. Of these nighttime NO<sub>x</sub> sinks, on average HNO<sub>3</sub> is present in the highest concentration. There was significant variation observed during different flights in the ratio of ClNO<sub>2</sub> to HNO<sub>3</sub> (nighttime boundary layer outflow average = 0.26, standard deviation = 0.44), which is not captured in the averages shown in Fig. 2.4. We calculate the average production rate of HNO<sub>3</sub> (Eq. A.8) from N<sub>2</sub>O<sub>5</sub> reactions on aerosol surfaces over the ocean at night, as described in Appendix A.2, assuming a constant ClNO<sub>2</sub> yield. We find the average  $P(\text{HNO}_3)$  at night to be 350 ( $\pm$  30) ppt h<sup>-1</sup>, seven times the daytime  $P(\text{HNO}_3)$  of 50 ppt h<sup>-1</sup>.

Table 2.3: Summary of calculated parameters related to NO<sub>x</sub> lifetime during the daytime and nighttime. Production rates of sinks with only minor contributions to the NO<sub>x</sub> lifetime are not shown.

	day	night
NO <sub>x</sub> lifetime (h)	29	6.3
P(HNO <sub>3</sub> ) (ppt h <sup>-1</sup> )	50	350

## 2.4 2-Box model to constrain mixing and deposition rates

To understand if the chemistry described above is sufficient to describe the observations, we construct a two-box model with detailed chemistry and observationally-constrained initial conditions, and solve iteratively to estimate mixing rates between the boundary layer and the free troposphere ( $k_{mix}$ ), the heterogeneous uptake coefficient for N<sub>2</sub>O<sub>5</sub> ( $\gamma_{N_2O_5}$ ), and the rate of HNO<sub>3</sub> deposition ( $k_{dep}(HNO_3)$ ). The bottom of the two boxes represents the boundary layer, with chemistry occurring at 273 K and 1000 hPa, and the top box represents the free troposphere, with chemistry occurring at 253 K and 600 hPa. These temperatures and pressures are representative of average conditions during WINTER and are shown schematically in Fig. 2.5. During WINTER, the continental air advecting over the ocean is colder than the water at the ocean's surface. This generates a convective mixing process that leads to a relatively deep marine boundary layer, making a two-box model appropriate for this analysis.

Reaction rates used in the model are detailed in Supplemental Information and were obtained from Burkholder et al. (2015), Master Chemical Mechanism v. 3.3.1 (Jenkin, Saunders, and Pilling, 1997; Saunders et al., 2003; Jenkin et al., 2003; Bloss et al., 2005; Jenkin, Young, and Rickard, 2015), Wilson et al. (2006), Tyndall et al. (2001), and Atkinson et al. (2006). For model runs representing daytime conditions, the reaction of NO<sub>2</sub> with OH (R14) is included and the model is run for 11 hours (average length of day during WINTER). HNO<sub>3</sub> photolysis is neglected since the average HNO<sub>3</sub> photolysis frequency measured during WINTER flights 1, 3, and 4 corresponds to a photolysis lifetime exceeding 10<sup>3</sup> h. For model runs representing nighttime conditions, reactions R15, R16, R17, R18, R19 (with included alkenes: butene, isoprene,  $\alpha$ -pinene, and  $\beta$ -pinene), and R20 (with included VOCs: methane, ethane, propane, formaldehyde, acetaldehyde, propanal, butanal, MACR, ethyl benzene, o-xylene, m-xylene, p-xylene, 1,2,4-trimethyl benzene, 1,2,3-trimethyl benzene, dimethyl sulfide) and are included and the model is run for 13 hours (average length of night during WINTER).

Aerosol nitrate is not included in the box model analysis because there is no net partitioning of gas-phase nitric acid into aerosol nitrate observed during the evolution of the average outflow. Aerosol nitrate remains as 10% of total NO<sub>y</sub> throughout the outflow, as seen in Fig. 2.4. Because aerosol deposition is slow ( $\sim$  1 week) on the timescales of our model ( $\sim$

hours), the constant proportion of NO<sub>y</sub> as aerosol nitrate indicates that any change in aerosol nitrate concentration is purely a product of dilution/mixing (i.e., not from net movement of gas-phase nitric acid into aerosol). Nitric acid remains in the gas phase because of low aerosol pH (Guo et al., 2016). Consequently, aerosol nitrate does not affect the net NO<sub>x</sub> loss on the timescales of our model.

Nighttime heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub> (R17) is included with rate  $\frac{1}{4} \times \bar{c}_{\text{N}_2\text{O}_5} \times \text{SA} \times \gamma_{\text{N}_2\text{O}_5} \times [\text{N}_2\text{O}_5]$  (see Appendix A.2). Aerosol surface area (SA) was held constant at the median wet aerosol surface area measured over the ocean during WINTER ( $200 \mu\text{m}^2\text{cm}^{-3}$  in boundary layer,  $27 \mu\text{m}^2\text{cm}^{-3}$  in free troposphere), though there was significant variation in the observed aerosol surface area. Surface area of sea salt aerosol (approximated as surface area of super-micron aerosols) were estimated to be 3% of total aerosol surface area in the DC-NYC outflow, so the heterogeneous reaction of N<sub>2</sub>O<sub>5</sub> with chloride-containing aerosol is represented by  $0.03 \times \frac{1}{4} \times \bar{c}_{\text{N}_2\text{O}_5} \times \text{SA} \times \gamma_{\text{N}_2\text{O}_5} \times [\text{N}_2\text{O}_5]$ , ignoring chlorine displacement from sea spray and assuming  $\gamma_{\text{N}_2\text{O}_5}$  does not vary significantly with sea salt content.

Initial conditions for all species in the model are set using the average measurements at the East Coast between Washington, D.C. and New York City. OH concentrations during the day are fixed to the average OH concentration estimated by GEOS-Chem (0.01 ppt in the boundary layer; 0.04 ppt in the free troposphere). Schroder et al. (2018) determined the GEOS-Chem estimates of OH to be reasonable in the NYC plume. Exchange of all species between boxes is allowed to represent mixing between the boundary layer and the free troposphere, and HNO<sub>3</sub> is removed from the bottom box via deposition.

We estimate the mixing rate ( $k_{mix}$ ) by iteratively adjusting  $k_{mix}$  and solving the model until the model-to-observation percent root mean square deviation (RMSD) is minimized for CO. CO chemistry is negligible on the time scales included in our model, so it is only affected by mixing between the boundary layer and the free troposphere and by horizontal dispersion. Setting initial CO concentrations to the average measurements at the East Coast (161 ppb in the boundary layer, 98 ppb in the free troposphere) and solving iteratively yields a daytime estimate of the mixing lifetime of 24 h and a nighttime estimate of the mixing lifetime of 15 h. We attribute the difference in mixing lifetimes between night and day to a difference in observed wind speed. The median horizontal wind speed in the DC-NYC outflow during the day was  $6.5 \text{ m s}^{-1}$  whereas the median horizontal wind speed at night was  $8.3 \text{ m s}^{-1}$ . The average vertical gust component of the wind vector in the regional outflow was also larger at night ( $-0.14 \text{ m s}^{-1}$ ) than during the day ( $-0.082 \text{ m s}^{-1}$ ). A larger wind speed at night, which has been observed previously off the coast of the northeast US (Archer et al., 2016), would generate more turbulence and decrease the mixing lifetime. Additionally, during winter, the ocean surface temperature is typically warmer than the cold air outflow. At night this temperature gradient is larger, generating vertical instability and convective mixing (Archer et al., 2016).

During nighttime model runs, the heterogeneous uptake coefficient of N<sub>2</sub>O<sub>5</sub> ( $\gamma_{\text{N}_2\text{O}_5}$ ) was then estimated by iteratively adjusting  $\gamma_{\text{N}_2\text{O}_5}$  while holding  $k_{mix}$  constant and solving the model until model-to-observation percent RMSD is minimized for N<sub>2</sub>O<sub>5</sub>. This step was not done for the daytime version of the model since N<sub>2</sub>O<sub>5</sub> chemistry is not relevant during

the day. We estimate  $\gamma_{\text{N}_2\text{O}_5} = 0.013$ . This compares reasonably with the wintertime N<sub>2</sub>O<sub>5</sub> uptake coefficients derived by McDuffie et al. (2018) for the entire WINTER campaign which ranged over four orders of magnitude with a median of 0.0143 and a most frequent value of 0.018. Over the ocean, McDuffie et al. (2018) derived a median N<sub>2</sub>O<sub>5</sub> uptake coefficient of 0.017. McDuffie et al. (2018) also explore correlations between  $\gamma_{\text{N}_2\text{O}_5}$  and both aerosol composition and meteorological conditions and compare observed values of  $\gamma_{\text{N}_2\text{O}_5}$  during WINTER to available literature parameterizations. Fibiger et al. (2018) derived a very low uptake coefficient of  $7 \times 10^{-4}$  in a coal-fired power plant plume in Georgia during WINTER, but the low values observed in Georgia were not representative of the average values derived for flights over the ocean.

Finally, we estimate the deposition rate of HNO<sub>3</sub> ( $k_{dep}(\text{HNO}_3)$ ) by varying  $k_{dep}(\text{HNO}_3)$  iteratively while holding  $k_{mix}$  and (at night)  $\gamma_{\text{N}_2\text{O}_5}$  constant until the maximum HNO<sub>3</sub> in the model matches the maximum observed HNO<sub>3</sub>. Our model analysis constrains the deposition lifetime of HNO<sub>3</sub> to 29 h during the day and 20 h at night. Like for the trend in  $k_{mix}$ , a larger wind speed at night generates more turbulence and increases the nighttime deposition rate of HNO<sub>3</sub>.

Our estimation of the daytime deposition rate of HNO<sub>3</sub> is sensitive to model uncertainty in OH concentrations. Our estimation of the nighttime deposition rate of HNO<sub>3</sub> is linked to the yield of ClNO<sub>2</sub> from N<sub>2</sub>O<sub>5</sub> heterogeneous reactions. In our model, we use a constant sea salt aerosol fraction as an estimate of ClNO<sub>2</sub> yield and assume a constant  $\gamma_{\text{N}_2\text{O}_5}$  that does not vary with sea salt content. These constant parameters do not account for the observed variability in the ClNO<sub>2</sub>/HNO<sub>3</sub> ratio. Additionally, if the sea salt aerosol fraction underestimates (overestimates) the ClNO<sub>2</sub> yield or if a single  $\gamma_{\text{N}_2\text{O}_5}$  value underestimates (overestimates)  $\gamma_{\text{N}_2\text{O}_5}$  on chloride-containing aerosols,  $k_{dep}(\text{HNO}_3)$  will be overestimated (underestimated). Moreover, we do not include deposition of N<sub>2</sub>O<sub>5</sub> nor ClNO<sub>2</sub> to the ocean in our model, which could lead to an overestimation of  $\gamma_{\text{N}_2\text{O}_5}$  and a slight overestimation of HNO<sub>3</sub> production, resulting in an overestimation of  $k_{dep}(\text{HNO}_3)$ .

We estimate the deposition velocity ( $v_{dep}$ ) of HNO<sub>3</sub> as

$$v_{dep} = \text{BLH} \times k_{dep}(\text{HNO}_3) \quad (2.1)$$

using GEOS-Chem estimates of the BLH. Over the ocean during the day on flights 1, 3, and 4, the GEOS-Chem BLH was 780 m, yielding a deposition velocity of 0.75 cm s<sup>-1</sup>. Over the ocean during the night on flights 1, 3, 4, and 6, the GEOS-Chem BLH was 610 m, yielding a deposition velocity of 0.85 cm s<sup>-1</sup>. Similarly, Brown et al. (2004) calculated a nitric acid deposition velocity of 1.2 cm s<sup>-1</sup> off the East Coast of the US during summer. However, despite similar deposition velocities during both seasons, more nitric acid is deposited in coastal marine environments during winter than during summer. In summer, warm continental air advects over cold water, which isolates the shallow marine boundary layer and allows for long distance transport above the boundary layer where it is not subject to deposition near the coast (Neuman et al., 2006). In contrast, during winter, cold air advects over warmer water which generates mixing and leads to a deeper marine boundary layer (Seidel et al.,

Table 2.4: Table of parameters included in box model.

	day		night	
	boundary layer	free troposphere	boundary layer	free troposphere
[OH] (ppt)	0.01	0.04	–	–
SA ( $\mu\text{m}^2\text{cm}^{-3}$ )	–	–	200	27
T (K)	273	253	273	253
P (hPa)	1000	600	1000	600
$k_{dep}(\text{HNO}_3)$ (h <sup>-1</sup> )	1/29	–	1/20	–
$k_{mix}$ (h <sup>-1</sup> )	1/24	1/24	1/15	1/15
$\gamma(\text{N}_2\text{O}_5)$	–	–	0.013	0.013

2012) that allows for significant coastal nitric acid deposition.

A summary of the parameters included in the final two-box model is shown in Table 2.4 and the outputs of the two-box model with these parameters along with average observations are shown in Fig. 2.6. The output indicates that daytime chemistry can be described with reasonable accuracy by considering HNO<sub>3</sub> as the only chemical sink of NO<sub>x</sub>. The nighttime model captures the conversion of NO<sub>x</sub> to NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>,  $\Sigma$  ANs, HNO<sub>3</sub>, and ClNO<sub>2</sub>, with HNO<sub>3</sub> as the major NO<sub>x</sub> sink. During both day and night, NO<sub>y</sub> loss in the boundary layer is dominated by mixing into the free troposphere rather than by deposition.

## 2.5 Integrated NO<sub>x</sub> loss and impacts on odd-oxygen budget

We integrate the production rates of each NO<sub>x</sub> sink in our two-box model over the course of 24 h, with 11 h of day and 13 h of night, to calculate the integrated NO<sub>x</sub> loss via each reaction. HNO<sub>3</sub> has the largest integrated production, and is thus the largest sink of NO<sub>x</sub>. However, the nighttime multi-phase N<sub>2</sub>O<sub>5</sub> chemistry that converts NO<sub>x</sub> to HNO<sub>3</sub> has a more significant impact than the photochemical daytime reaction of NO<sub>2</sub> with OH that leads to HNO<sub>3</sub> production. During the day, 10% of initial NO<sub>x</sub> (500 ppt) is lost to HNO<sub>3</sub>, whereas 64% (4500 ppt) of initial nighttime NO<sub>x</sub> is converted to HNO<sub>3</sub> overnight via N<sub>2</sub>O<sub>5</sub> chemistry. At night, an additional 0.7% of initial NO<sub>x</sub> (50 ppt) is lost to HNO<sub>3</sub> from reaction of NO<sub>3</sub> with VOCs and DMS, 0.9% of initial NO<sub>x</sub> (60 ppt) is lost to alkyl nitrates produced via NO<sub>3</sub> reaction with alkenes, and 1.2% of initial NO<sub>x</sub> (90 ppt) is converted to ClNO<sub>2</sub> via heterogeneous chemistry of N<sub>2</sub>O<sub>5</sub> on sea salt aerosol (though ClNO<sub>2</sub> concentrations did vary significantly between flights, indicating variation in ClNO<sub>2</sub> yield not accounted for in our analysis). In the summer marine boundary layer off the East Coast of the US, only 1/3 of HNO<sub>3</sub> production occurs during the night (Brown et al., 2004), whereas during the East Coast outflow measured during WINTER, 90% of HNO<sub>3</sub> production occurs at night. The boundary layer is ∼ 25% shallower at night than during the day (610 m at night vs. 780

m during the day). This is not enough of a difference to perturb the balance of nighttime chemistry dominating NO<sub>x</sub> loss even after accounting for the volume over which the processes occur. Thus, in contrast to summertime when NO<sub>x</sub> chemistry is controlled by daytime photochemistry with OH serving as the primary oxidant, wintertime NO<sub>x</sub> loss is dominated by nighttime multi-phase oxidation with O<sub>3</sub> as the primary oxidant.

Most wintertime oxidation of NO<sub>x</sub> leads to the formation of HNO<sub>3</sub>, which is then eventually deposited. Formation of peroxy nitrates is found to be negligible and have little effect in the near coastal region. However, some of the nighttime NO<sub>x</sub> sinks are temporary and re-release NO<sub>x</sub> when they are photolyzed or thermally dissociated at sunrise. The amount of NO<sub>x</sub> re-released in the morning corresponds to the amount of NO<sub>x</sub> stored in NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, and ClNO<sub>2</sub> reservoirs. In our model, after one night of chemical evolution following emission, these NO<sub>x</sub> reservoirs contain 400 ppt of NO<sub>x</sub> (6% of NO<sub>x</sub> concentration at East Coast), which is re-released in the morning.

O<sub>3</sub> is lost overnight through conversion of NO<sub>x</sub> to HNO<sub>3</sub> via N<sub>2</sub>O<sub>5</sub> dark reactions (R15, R16, R17). When HNO<sub>3</sub> is produced via heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub> (R17), the dominant NO<sub>x</sub> loss mechanism at night, each molecule of HNO<sub>3</sub> generated corresponds to a loss of 1.5 molecules of O<sub>3</sub> (Brown et al., 2006). In our two-box model, 4400 ppt of HNO<sub>3</sub> is produced overnight through N<sub>2</sub>O<sub>5</sub> hydrolysis, implying a loss of 6600 ppt of O<sub>3</sub> overnight. The average nighttime O<sub>3</sub> concentration in the DC-NYC outflow is 38 ppb, so approximately 15% of O<sub>3</sub> is lost overnight through N<sub>2</sub>O<sub>5</sub> dark reactions. O<sub>3</sub> concentrations in the boundary layer remain relatively constant throughout the region at night. This is because chemical loss of O<sub>3</sub> is roughly balanced by mixing down of higher O<sub>3</sub> concentrations from the free troposphere (49 ppb at the East Coast). This balance was confirmed by our model runs.

Photochemical O<sub>3</sub> production is reduced in the winter when compared to summer because of low sun angles and reduced daylight hours with precursor concentrations that are only slightly elevated. In addition to reduced O<sub>3</sub> production, we infer significant boundary layer loss of O<sub>3</sub> in the east coast outflow resulting from nighttime NO<sub>x</sub> chemistry during winter. The presence of VOCs influences the balance between daytime O<sub>3</sub> production and nighttime O<sub>3</sub> loss, as VOCs are required for photochemical O<sub>3</sub> production and can also react with NO<sub>3</sub> to destroy O<sub>3</sub> at night. The extent of nocturnal O<sub>3</sub> destruction is also dependent on the concentrations and composition of aerosol particles, since these affect the rate of heterogeneous reactions of N<sub>2</sub>O<sub>5</sub>.

## 2.6 Conclusions

Analysis of the DC-NYC marine outflow indicates that NO<sub>x</sub> has a longer daytime lifetime (29 h) than nighttime lifetime (6.3 h) during winter. We constrain the rates of the chemical and physical loss processes that contribute to the overall NO<sub>x</sub> lifetime during winter in urban areas. Chemically, we conclude that HNO<sub>3</sub> is the primary NO<sub>x</sub> sink during both day and night, whereas peroxy nitrates and alkyl nitrates are relatively small NO<sub>x</sub> sinks since VOC reactivity is so low. Thus, the wintertime NO<sub>x</sub> lifetime is controlled primarily by HNO<sub>3</sub>

production, and nighttime chemistry removes more NO<sub>x</sub> than does daytime chemistry. The shorter days and reduced sunlight characteristic of winter slow daytime chemistry by reducing OH concentrations and, consequently, VOC reactivity. At night, a shallower planetary boundary layer increases NO<sub>x</sub> concentrations, thereby increasing the importance of N<sub>2</sub>O<sub>5</sub> chemistry which scales with the square of NO<sub>x</sub> concentration (R15, R16). Additionally, colder temperatures shift N<sub>2</sub>O<sub>5</sub> equilibrium to the right, further increasing the importance of nighttime chemistry. Physically, we estimate a winter daytime mixing rate of 24 h, a nighttime mixing rate of 15 h, a daytime HNO<sub>3</sub> deposition lifetime of 29 h, and a nighttime HNO<sub>3</sub> deposition lifetime of 20 h. Lastly, we observe that approximately 15% of O<sub>3</sub> is removed at night via the dark reactions of N<sub>2</sub>O<sub>5</sub>, demonstrating that urban NO<sub>x</sub> emissions impact O<sub>3</sub> concentrations differently in summer versus in winter.

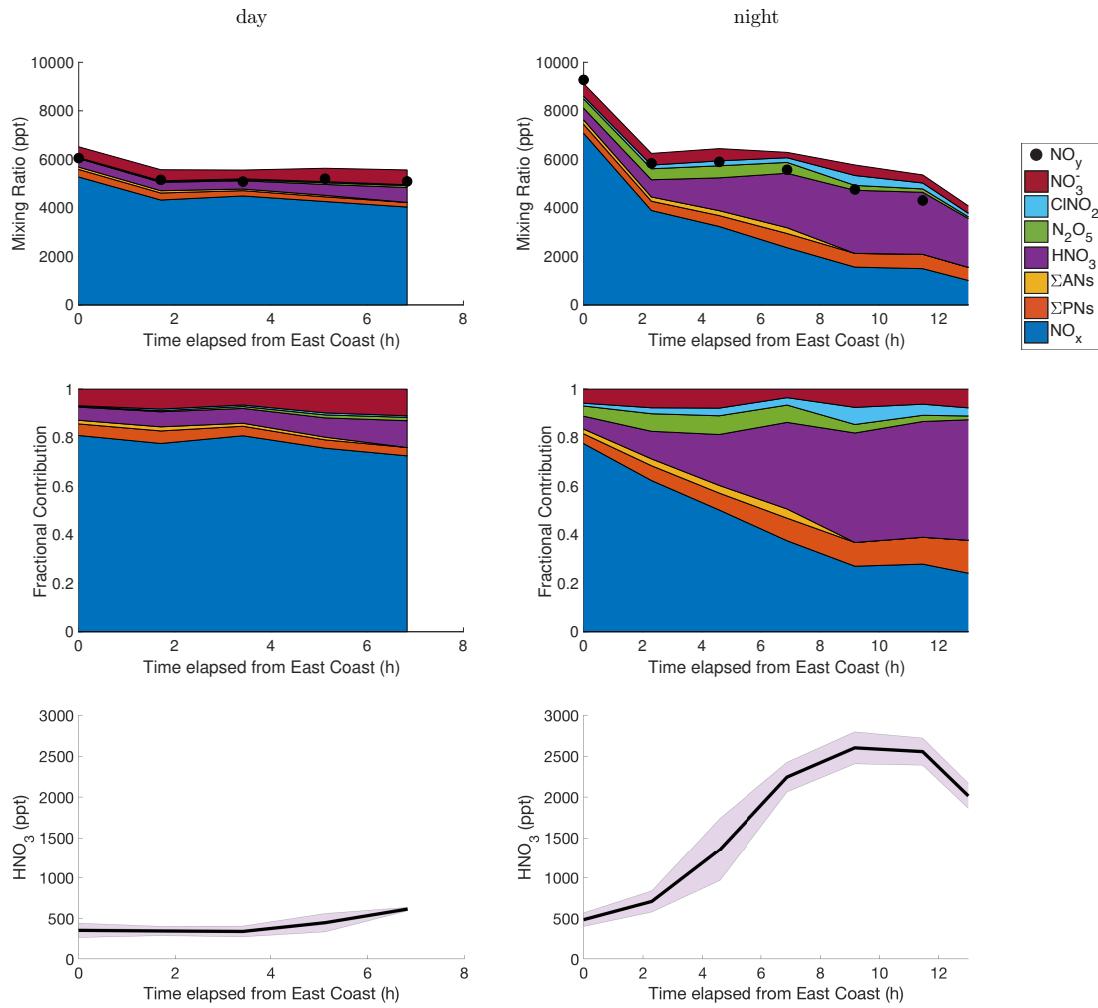


Figure 2.4: Mixing ratios (top) of species contributing to  $\text{NO}_y$ , fractional contribution (middle) of species contributing to  $\text{NO}_y$ , and growth of  $\text{HNO}_3$  (bottom) during daytime hours (left) and nighttime hours (right) shown as a function time elapsed from the East Coast. Time elapsed from the East Coast is calculated by dividing the distance of each measurement from the East Coast by the average wind speed measured on each flight. Data are then binned and averaged as a function of time elapsed (day bins = 1.7 h; night bins = 2.3 h). In the bottom panel, shading represents a 95% confidence interval. Flights 1, 3, and 4 are used in daytime calculations and flights 1, 3, 4, and 6 are used in nighttime calculations. Only boundary layer data is included (below 780 m during the day, below 610 m at night).

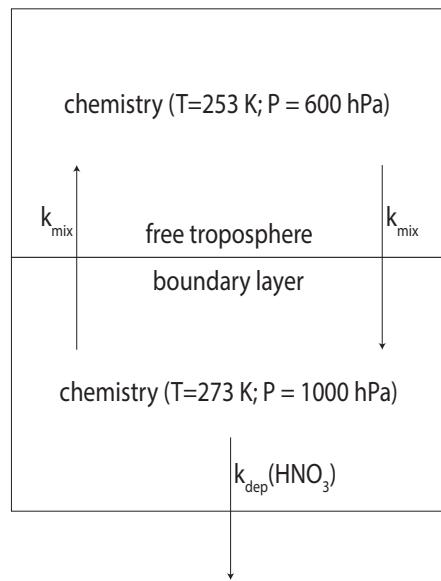


Figure 2.5: Schematic of the overall processes represented in the two-box model.  $k_{mix}$  represents the mixing rate of air between the boundary layer and the free troposphere. Chemistry occurs in both the boundary layer and the free troposphere, at temperatures and pressures representative of average conditions. HNO<sub>3</sub> has a deposition rate  $k_{dep}(\text{HNO}_3)$ .

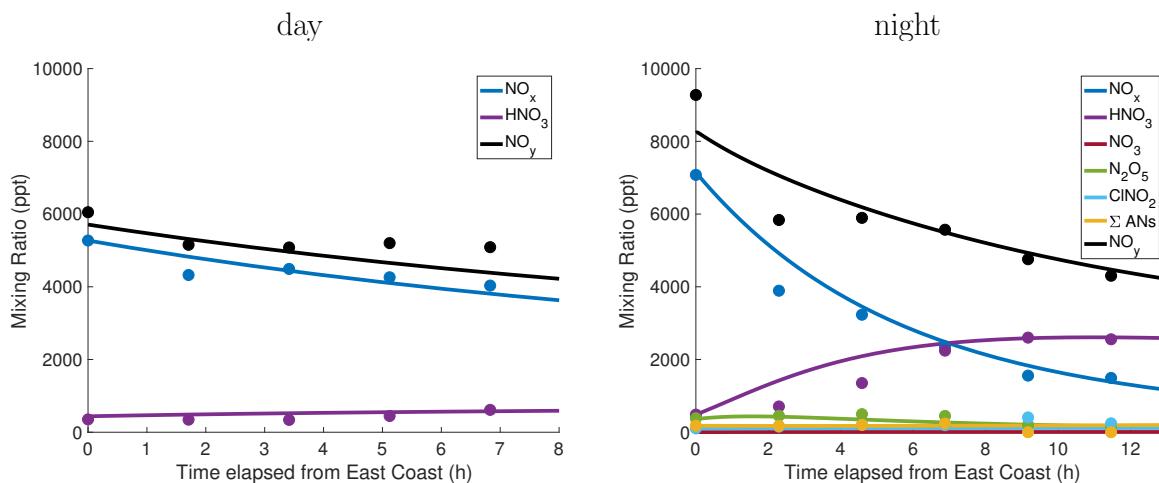


Figure 2.6: Concentrations in the boundary layer calculated in the 2-box model (lines) and average concentrations measured in the DC-NYC outflow (points) during the day (left) and at night (right).

# Chapter 3

## Evidence of nighttime production of organic nitrates during SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ

Adapted from H. S. Kenagy et al. (2020). Evidence of nighttime production of organic nitrates during SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ. *Geophysical Research Letters*, 47, e202GL087860. <https://doi.org/10.1029/2020GL087860>

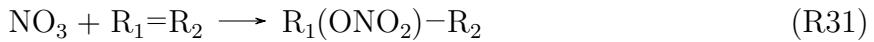
### 3.1 Introduction

Nitrogen oxides ( $\text{NO}_x \equiv \text{NO} + \text{NO}_2$ ) are important tropospheric oxidants that contribute to ozone ( $\text{O}_3$ ) formation, secondary aerosol production, and nitrogen deposition to ecosystems. Alkyl and multifunctional organic nitrates ( $\text{RONO}_2$ ) are an oxidative sink of  $\text{NO}_x$ . Previous studies have shown that  $\text{RONO}_2$  production is a significant  $\text{NO}_x$  loss pathway (Day et al., 2003), especially as urban  $\text{NO}_x$  concentrations decrease (Perring, Pusede, and Cohen, 2013; Romer Present, Zare, and Cohen, 2020). Organic nitrates can be generated through both daytime photochemical oxidation pathways initiated by OH and nighttime oxidation pathways initiated by  $\text{NO}_3^-$ .

During the day,  $\text{RONO}_2$  is produced photochemically as a radical termination step in a series of reactions between oxidized VOCs (volatile organic compounds) and  $\text{NO}_x$  (shown in Figure 3.1). VOCs are oxidized by OH to form organic peroxy radicals,  $\text{RO}_2$  (R23). Reaction between NO and organic peroxy radicals can result in formation of an organic nitrate (R24, minor pathway, branching ratio  $\alpha$ ). The major pathway for the reaction between  $\text{RO}_2$  and NO (R25), however, continues radical propagation to form two ozone molecules (R26, R27, R28). Consequently, this daytime chemistry produces both  $\text{O}_x$  ( $\equiv \text{O}_3 + \text{NO}_2$ ) and  $\text{RONO}_2$  so, if photochemistry is dominant, we expect a correlation between  $\text{O}_x$  and  $\text{RONO}_2$ . Typically, chain lengths are such that we expect 6-20  $\text{O}_x$  for each  $\text{RONO}_2$  (Perring, Pusede, and Cohen, 2013).



At night, RONO<sub>2</sub> is produced from alkenes via addition of NO<sub>3</sub> to a double bond (R31), as shown in Figure 3.1. NO<sub>3</sub> is formed from reaction between NO<sub>2</sub> and O<sub>3</sub> (R30). During the day, NO<sub>3</sub> is lost quickly via reaction with NO or via photolysis. In the nocturnal residual layer removed from fresh NO emissions, NO<sub>3</sub> concentrations can build up and react with alkenes. Two O<sub>3</sub> molecules are consumed in the production of NO<sub>3</sub> (R29 followed by R30), meaning that nighttime RONO<sub>2</sub> formation is a net sink of O<sub>x</sub>. Consequently, we do not expect a positive correlation between RONO<sub>2</sub> and O<sub>x</sub> if NO<sub>3</sub> is the dominant oxidant, and we might even expect a weak negative correlation.



The fate of NO<sub>x</sub> at night is controlled by the balance of two NO<sub>3</sub> reaction pathways. First, NO<sub>x</sub> can be lost via NO<sub>3</sub> reaction with alkenes, as described above. Second, NO<sub>3</sub> can be lost at night via reaction with NO<sub>2</sub> to form N<sub>2</sub>O<sub>5</sub> in thermal equilibrium, followed by aerosol uptake and heterogeneous hydrolysis to produce HNO<sub>3</sub> and ClNO<sub>2</sub>. In certain environments, NO<sub>3</sub> may also react with species such as dimethyl sulfide, aldehydes, and peroxy radicals. The competition between these reaction pathways is controlled by both the relative availability of alkenes and by the fate of N<sub>2</sub>O<sub>5</sub>. Nighttime RONO<sub>2</sub> production increases in environments with high biogenic alkene emissions (isoprene, monoterpenes) and in environments with high anthropogenic alkene emissions, particularly where either of these two emission sources is sustained overnight. The N<sub>2</sub>O<sub>5</sub> loss pathway becomes less competitive with RONO<sub>2</sub> formation in environments with low aerosol surface area and small heterogeneous uptake coefficients for N<sub>2</sub>O<sub>5</sub> ( $\gamma(\text{N}_2\text{O}_5)$ ), as these decrease the rate of heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub>. Additionally, higher temperatures shift the N<sub>2</sub>O<sub>5</sub> equilibrium towards dissociation, making N<sub>2</sub>O<sub>5</sub> formation less favorable, while also increasing the rate of bimolecular NO<sub>3</sub> reactions with alkenes. Thus, nighttime RONO<sub>2</sub> formation is most favorable in environments with high alkene emissions, low aerosol surface area, small  $\gamma(\text{N}_2\text{O}_5)$ , and high temperatures.

There is reason to suspect that RONO<sub>2</sub> production from nighttime NO<sub>3</sub> oxidation of VOCs could be competitive with RONO<sub>2</sub> production from photochemical OH oxidation.

Because it is removed from fresh overnight NO emissions, a chemically active residual layer characteristic of many nighttime environments can contain elevated NO<sub>3</sub> concentrations as well as VOC emissions from late in the day. Moreover, RONO<sub>2</sub> yields from NO<sub>3</sub>-initiated oxidation (20-80%) are far larger than RONO<sub>2</sub> yields from OH-initiated oxidation of VOCs (0.1-35%) (Perring, Pusede, and Cohen, 2013 and references within). Even if NO<sub>3</sub> oxidation represents a smaller fraction of total VOC oxidation than OH oxidation, the larger RONO<sub>2</sub> yields could make RONO<sub>2</sub> production from NO<sub>3</sub> oxidation competitive with RONO<sub>2</sub> production from OH oxidation.

A number of recent studies have shown that NO<sub>3</sub> oxidation can be a significant source of RONO<sub>2</sub> in regions dominated by biogenic VOC emissions. In forested regions of Colorado, Finland, and Germany, nighttime concentrations of RONO<sub>2</sub> were found to be comparable to daytime RONO<sub>2</sub> concentrations (Fry et al., 2013; Sobanski et al., 2017; Liebmann et al., 2019). Other studies have found NO<sub>3</sub>-initiated formation of isoprene nitrates to be competitive with OH-initiated formation of isoprene nitrates in the Southeastern United States (Starn et al., 1998; Xiong et al., 2015), in an observationally-constrained model of the eastern United States (Horowitz et al., 2007), and in a global model (Kuhlmann et al., 2004).

Moreover, NO<sub>3</sub> oxidation has been shown to be a significant source of organic aerosol in the Central Valley of California (Rollins et al., 2012), the Southeastern United States (Ayres et al., 2015; Lee et al., 2016; Xu et al., 2015b; Xu et al., 2015a; Pye et al., 2015; Fisher et al., 2016), in a forested region of Colorado (Fry et al., 2013), in rural Southwestern Germany (Huang et al., 2019), throughout Europe (Kiendler-Scharr et al., 2016), and in the Alberta oil sands (Lee et al., 2019).

Though NO<sub>3</sub> chemistry has been shown to be an important source of RONO<sub>2</sub> and secondary organic aerosol in rural regions dominated by biogenic emissions, nocturnal NO<sub>3</sub>-initiated RONO<sub>2</sub> formation has often been considered negligible in comparison to daytime OH-initiated production of RONO<sub>2</sub> in urban environments. In this study, we present evidence for significant nighttime RONO<sub>2</sub> production using measurements of O<sub>x</sub> and RONO<sub>2</sub> from three aircraft-based field campaigns in distinct summertime environments. First, we show evidence for significant nighttime RONO<sub>2</sub> production in the rural southeastern United States during SEAC<sup>4</sup>RS, an area with high biogenic emissions. Second, we show similarly high nighttime RONO<sub>2</sub> production in two urban areas: in the Colorado Front Range during FRAPPÉ, which is affected by both high urban and oil/gas emissions, as well as in and around the megacity of Seoul during KORUS-AQ. In each location, we show that the expected linear relationship between O<sub>x</sub> and RONO<sub>2</sub> is observed during the afternoon. However, during the morning hours, the relationship between O<sub>x</sub> and RONO<sub>2</sub> shows evidence of nighttime RONO<sub>2</sub> production. We support this conclusion further by assessing precursor availability for nighttime RONO<sub>2</sub> production.

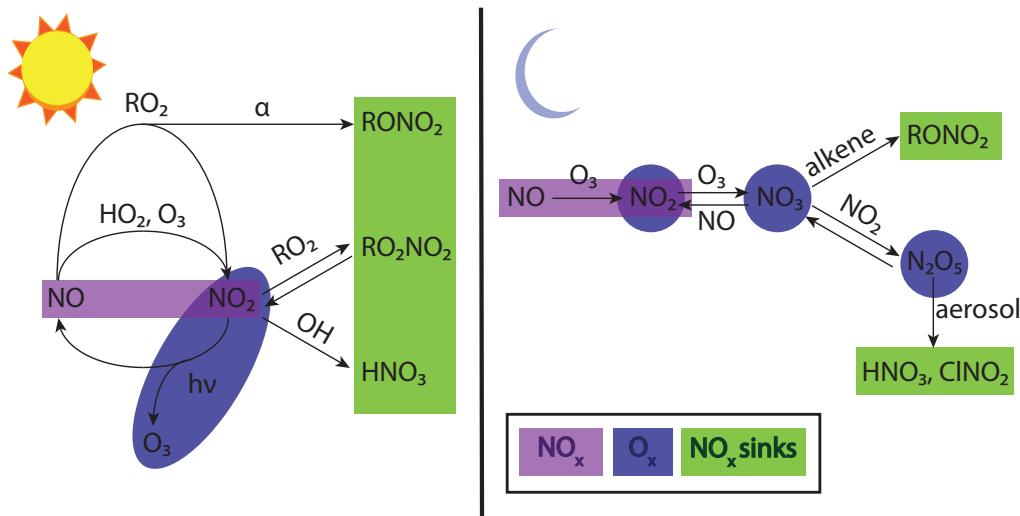


Figure 3.1: Schematic of daytime (left) and nighttime (right) NO<sub>x</sub> chemistry.

## 3.2 Measurements

### SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ aircraft campaigns

The Studies of Emissions and Atmospheric Composition, Clouds, and Climate Coupling by Regional Surveys (SEAC<sup>4</sup>RS) campaign took place during August-September 2013 in the Southeastern and Western US (Toon et al., 2016). This analysis uses observations from the NASA DC-8 aircraft which flew 19 primarily daytime research flights out of Ellington Field, near Houston, TX.

The Front Range Air Pollution and Photochemistry Éperiment (FRAPPÉ) took place during July - August 2014 in the Northern Front Range Metropolitan Area (NFRMA) of Colorado (Flocke et al., 2020). This analysis uses observations from the NSF/NCAR C-130 aircraft which flew fifteen daytime research flights out of the Rocky Mountain Metropolitan Airport in Jefferson County, CO.

The Korea-United States Air Quality Study (KORUS-AQ) campaign took place during May and June 2016 over South Korea and the Yellow Sea (Nault et al., 2018). This analysis uses observations from the NASA DC-8 aircraft which flew 20 daytime research flights out of Pyeongtaek, South Korea ( $\approx$  60 km south of Seoul).

## Instrumentation

During all three campaigns, measurements of NO<sub>2</sub> and total RONO<sub>2</sub> (including both gas-phase and particle-phase RONO<sub>2</sub>) were made by the UC Berkeley thermal dissociation laser induced fluorescence (TD-LIF) instrument (Day et al., 2002; Wooldridge et al., 2010). Briefly, one channel of the instrument measures NO<sub>2</sub> by laser induced fluorescence. Two other channels first flow air through a heated quartz oven. One channel is set at 180°C, the temperature at which peroxy nitrates (RO<sub>2</sub>NO<sub>2</sub>) dissociate into RO<sub>2</sub> and NO<sub>2</sub>. The second is set at 360°C, the temperature at which RONO<sub>2</sub> dissociate into RO + NO<sub>2</sub>. The difference in NO<sub>2</sub> detected in adjacent channels gives the mixing ratio for each class of compounds: the RO<sub>2</sub>NO<sub>2</sub> mixing ratio is the difference between the 180°C channel and the unheated channel, and the RONO<sub>2</sub> mixing ratio is the difference between the 360°C channel and the 180°C channel.

O<sub>3</sub> and NO were measured by chemiluminescence. During SEAC<sup>4</sup>RS, O<sub>3</sub> and NO were measured by the NOAA NO<sub>y</sub>O<sub>3</sub> instrument (Ryerson et al., 1999; Ryerson, Williams, and Fehsenfeld, 2000). During FRAPPÉ and KORUS-AQ, O<sub>3</sub> and NO were measured by the NCAR chemiluminescence instrument (Ridley et al., 1994; Weinheimer et al., 1994).

Alkenes were measured by whole air sampling (WAS) (Colman et al., 2001; Simpson et al., 2011) and trace organic gas analyzer (TOGA) (Apel et al., 2015). For SEAC<sup>4</sup>RS and KORUS-AQ, we use WAS measurements of propene, butenes, isoprene, α-pinene, and β-pinene. During FRAPPÉ, we use WAS measurements of propene, isoprene, α-pinene, and β-pinene and TOGA measurements of butenes and limonene.

Instrument details, including accuracy and sampling interval, can be found in Table S1 of the Supporting Information. We use 1-minute averaged data, and we consider only boundary layer data (below 1 km) during SEAC<sup>4</sup>RS and KORUS-AQ and data below 2 km during FRAPPÉ.

## 3.3 Observations and results

### O<sub>x</sub> versus RONO<sub>2</sub>

The relationship between O<sub>x</sub> and RONO<sub>2</sub> during each campaign is shown in Figure 3.2 (plots of the relationship between O<sub>x</sub> and RONO<sub>2</sub> during each flight within each campaign are shown in Figures S1-S6). During all three campaigns, during the afternoon hours (13:00 - 19:00 local time) when photochemistry is most active, there is a positive, linear relationship between O<sub>x</sub> and RONO<sub>2</sub>, indicating that photochemical production of both O<sub>x</sub> and RONO<sub>2</sub> is occurring. The slope of the relationship between O<sub>x</sub> and RONO<sub>2</sub> mixing ratios is indicative of the branching ratio between O<sub>x</sub> and RONO<sub>2</sub> production. From Figure 3.2, during SEAC<sup>4</sup>RS, 29 O<sub>x</sub> are produced for each RONO<sub>2</sub>. Chain lengths are shorter during FRAPPÉ, where 13 O<sub>x</sub> are produced for each RONO<sub>2</sub>, and longer during KORUS-AQ, where 43 O<sub>x</sub> are produced for each RONO<sub>2</sub>.

During the morning hours (before 11:00 local time) before peak photochemistry occurs, however, the relationship between O<sub>x</sub> mixing ratios and RONO<sub>2</sub> mixing ratios has a flat (zero) slope. At a relatively constant observed O<sub>x</sub> mixing ratio, a wide range of RONO<sub>2</sub> mixing ratios were observed. This indicates that O<sub>x</sub> and RONO<sub>2</sub> are not produced from the same pathway. Instead, the high levels of RONO<sub>2</sub> at relatively low levels of O<sub>x</sub> suggest that many of the observed RONO<sub>2</sub> were produced via a non-photochemical pathway that produces RONO<sub>2</sub> without generating O<sub>x</sub>. Since this trend is only observed in the morning, and not in the afternoon, it is indicative of a large source of RONO<sub>2</sub> produced from NO<sub>3</sub> oxidation overnight.

We also explored the effects of O<sub>3</sub> deposition and nighttime dynamics, but neither could sufficiently explain the observed trend. Estimating an approximate O<sub>3</sub> deposition velocity of 0.5 cm s<sup>-1</sup> (e.g., Lenschow, Pearson, and Stankov, 1981; Colbeck and Harrison, 1985) and boundary layer height of 1 km, the lifetime of O<sub>3</sub> to deposition is 56 hours, far longer than the chemical timescales relevant to this analysis. Entrainment of air from aloft could also affect observed morning mixing ratios, but would have the same relative effect on both O<sub>3</sub> and RONO<sub>2</sub>. Consequently, neither O<sub>3</sub> deposition nor entrainment can explain the lack of correlation between O<sub>x</sub> and RONO<sub>2</sub> in the morning; the observed effect can only be explained by significant nocturnal production of RONO<sub>2</sub>.

## Precursors for nighttime RONO<sub>2</sub> production

As additional evidence for nighttime RONO<sub>2</sub> production, we assess the availability of precursors to RONO<sub>2</sub> production, namely NO<sub>3</sub> and alkenes. We report average morning (before 11:00 local time) mixing ratios of RONO<sub>2</sub>, alkenes, and NO<sub>x</sub> in Table 3.1. The abundance of NO<sub>x</sub> and alkenes observed in the morning indicates that these precursors are not depleted by overnight chemistry; rather, the non-zero concentrations of precursors in the morning suggests that NO<sub>3</sub>-initiated RONO<sub>2</sub> production chemistry is sustained overnight and occurs until daybreak.

During SEAC<sup>4</sup>RS, there were insufficient morning alkene measurements to report meaningful averages. However, Edwards et al. (2017) report airborne measurements which show that the nocturnal residual layer in the Southeastern US is rich in isoprene, evidence that there is an abundance of alkenes available overnight to form alkyl nitrates.

Moreover, we use the observed morning mixing ratios of NO<sub>x</sub>, O<sub>3</sub>, and alkenes to calculate lower bounds on the integrated overnight production of NO<sub>3</sub> (Eqn. 3.1), the instantaneous production rate of RONO<sub>2</sub> (Eqn. 3.2), and the instantaneous production rate of alkenes + O<sub>3</sub> (Eqn. 3.3).

$$\int P(\text{NO}_3) = \text{NO}_{x,\text{initial}} (1 - \exp(-t \times k_{\text{NO}_3 + \text{O}_3} \times \text{O}_3)) \quad (3.1)$$

$$P(\text{RONO}_2) = \sum_i \alpha_i \times k_{\text{NO}_3 + \text{alkene}_i} \times [\text{alkene}_i] \times [\text{NO}_3] \quad (3.2)$$

$$\text{Rate}(\text{O}_3 + \text{alkene}) = \sum_i k_{\text{O}_3 + \text{alkene}_i} \times [\text{alkene}_i] \times [\text{O}_3] \quad (3.3)$$

Table 3.1: Table of the average RONO<sub>2</sub>, alkene, and NO<sub>x</sub> concentrations in morning (before 11:00 local time), integrated overnight production of NO<sub>3</sub>, instantaneous production rate of RONO<sub>2</sub>, and instantaneous reaction rate of alkenes with O<sub>3</sub>. All calculations were performed with morning precursor observations and can therefore be considered a lower bound. There are insufficient morning SEAC<sup>4</sup>RS measurements due to data sparsity to report meaningful morning average alkene mixing ratios.

	SEAC <sup>4</sup> RS	FRAPPÉ	KORUS-AQ
RONO <sub>2</sub> (ppb)	0.12	0.98	0.56
propene (ppt)	N/A	98	129
butene (ppt)	N/A	39	60
isoprene (ppt)	N/A	109	54
$\alpha$ -pinene (ppt)	N/A	11	15
$\beta$ -pinene (ppt)	N/A	9.0	11
limonene (ppt)	N/A	4.8	N/A
NO <sub>x</sub> (ppb)	0.43	8.1	4.4
$\int P(\text{NO}_3) \text{ (ppb)}^a$	0.23	5.2	2.9
$P(\text{RONO}_2) \text{ (ppb/hour)}^{a,b,c}$	N/A	2.3	1.3
alkene + O <sub>3</sub> rate (ppb/hour) <sup>a,b</sup>	N/A	0.021	0.108

<sup>a</sup>Calculated from morning (before 11:00 local time) precursor observations.

<sup>b</sup>Rate constants from MCM v3.3.1 are used.

<sup>c</sup>Nitrate yields used are from Perring, Pusede, and Cohen (2013) and references therein.

As shown in Table 3.1, the integrated production of NO<sub>3</sub> exceeds the observed morning mixing ratios of RONO<sub>2</sub>, and the production rates of RONO<sub>2</sub> calculated from morning observations are more than sufficiently fast to account for the morning observations of RONO<sub>2</sub>. Lastly, the rate of VOC (ethyne, ethene, propene, MACR, MVK, isoprene, butene,  $\alpha$ -pinene,  $\beta$ -pinene, and limonene) oxidation by O<sub>3</sub> is at least an order of magnitude smaller than the production rate of RONO<sub>2</sub>, indicating that NO<sub>3</sub> is the dominant nocturnal alkene oxidant in these environments.

### 3.4 Discussion and conclusion

We show evidence of significant nighttime RONO<sub>2</sub> production during three aircraft campaigns in three distinct locations: the rural southeastern United States dominated by biogenic emissions (SEAC<sup>4</sup>RS), the Colorado Front Range dominated by a combination of urban and oil/gas emissions (FRAPPÉ), and the megacity of Seoul dominated by urban emissions (KORUS-AQ). Though, in urban areas, nighttime production of RONO<sub>2</sub> has often been considered negligible in comparison to daytime production, we show evidence for nighttime RONO<sub>2</sub> production that results in morning RONO<sub>2</sub> mixing ratios of similar magnitude to afternoon observations of RONO<sub>2</sub> in all three of these distinct environments.

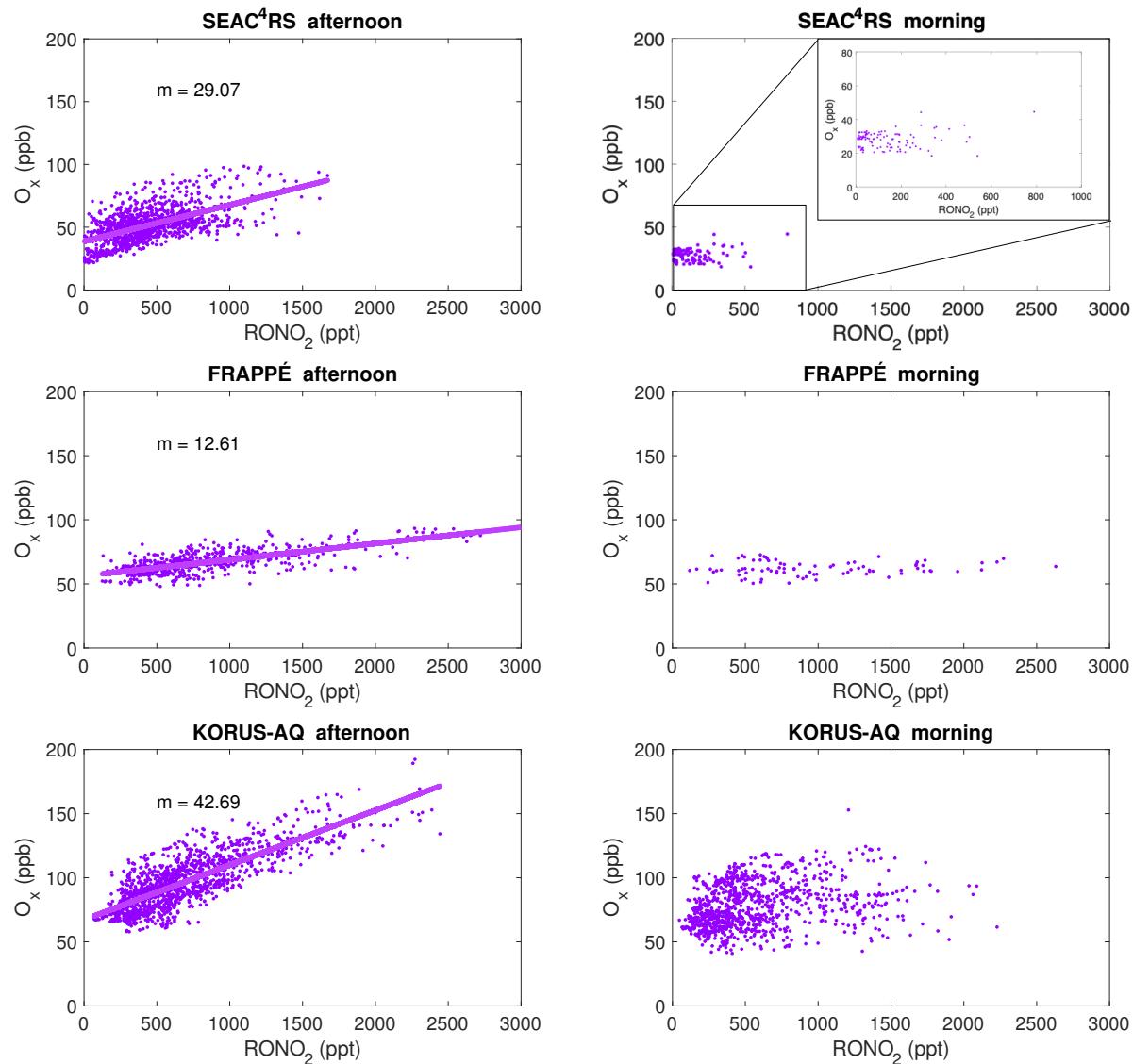


Figure 3.2: Plots of  $O_x$  vs.  $RONO_2$  during SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ during afternoon (left, 13:00 - 19:00 local time) and morning (right, before 11:00 local time). Only data in the boundary layer (< 1 km for SEAC<sup>4</sup>RS and KORUS-AQ, < 2 km for FRAPPÉ) are included. York linear fits (with slopes labeled as  $m$ ) to the afternoon data are shown.

Rapid nighttime RONO<sub>2</sub> production impacts our understanding of the lifetime and fate of NO<sub>x</sub> at night. Evidence for nighttime RONO<sub>2</sub> production indicates that HNO<sub>3</sub> and ClNO<sub>2</sub> produced via heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub> are not necessarily the dominant nighttime sinks of NO<sub>x</sub>, consistent with other aircraft-based nighttime urban NO<sub>3</sub> budgets (Brown et al., 2011). In environments with low aerosol loading, high temperatures, and an abundance of alkenes, RONO<sub>2</sub> production can be the dominant nighttime NO<sub>x</sub> sink. Significant nocturnal NO<sub>3</sub>-initiated RONO<sub>2</sub> production in urban areas also has implications for substantial overnight secondary organic aerosol production in and around cities.

We explore the effects of temperature, alkenes, and aerosol surface area on the fraction of NO<sub>x</sub> lost as RONO<sub>2</sub> (defined as  $\frac{P(\text{RONO}_2)}{P(\text{RONO}_2) + P(\text{HNO}_3)}$ ) at night in Figure 3.3, assuming an initial NO<sub>2</sub> concentration, constant O<sub>3</sub>, pressure, and  $\gamma(\text{N}_2\text{O}_5)$ , and NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in steady-state (see Appendix A). Under these model conditions, the temperature, pressure, alkenes, NO<sub>2</sub>, O<sub>3</sub> and aerosol surface area measured in the evening (after 16:30 local time) during FRAPPÉ and SEAC<sup>4</sup>RS indicate that RONO<sub>2</sub> is the dominant sink of NO<sub>x</sub> at night, and during KORUS-AQ indicate that overnight NO<sub>x</sub> loss is evenly split between N<sub>2</sub>O<sub>5</sub> loss and RONO<sub>2</sub> production. This is consistent with a tower-based measurement in Seoul in 2015 which showed rapid NO<sub>3</sub>-BVOC chemistry (Brown et al., 2017). For contrast, during the WINTER campaign (aircraft campaign over NE US, Feb-Mar 2015), low temperatures and low alkene concentrations lead to NO<sub>x</sub> loss at night dominated by N<sub>2</sub>O<sub>5</sub> hydrolysis (Kenagy et al., 2018). Histograms of the distribution of the fraction of NO<sub>x</sub> lost as RONO<sub>2</sub> calculated from evening observations of NO<sub>2</sub>, O<sub>3</sub>, alkenes, temperature, and pressure during each campaign can be found in Figures S7-S9.

Here we have presented evidence for a significant, and sometimes dominant, nighttime source of RONO<sub>2</sub> using airborne, daytime measurements. Further measurements of the diel cycles of RONO<sub>2</sub> and its precursors would be of use to further elucidate the relative importance of the different mechanisms for RONO<sub>2</sub> formation. Additionally, measurements of the diel cycle of RONO<sub>2</sub> could provide insights into the fate of daytime- and nighttime-produced RONO<sub>2</sub> by showing whether they remain in the gas phase or partition into particles and whether hydrolysis, oxidation, or deposition dominates loss of RONO<sub>2</sub>.

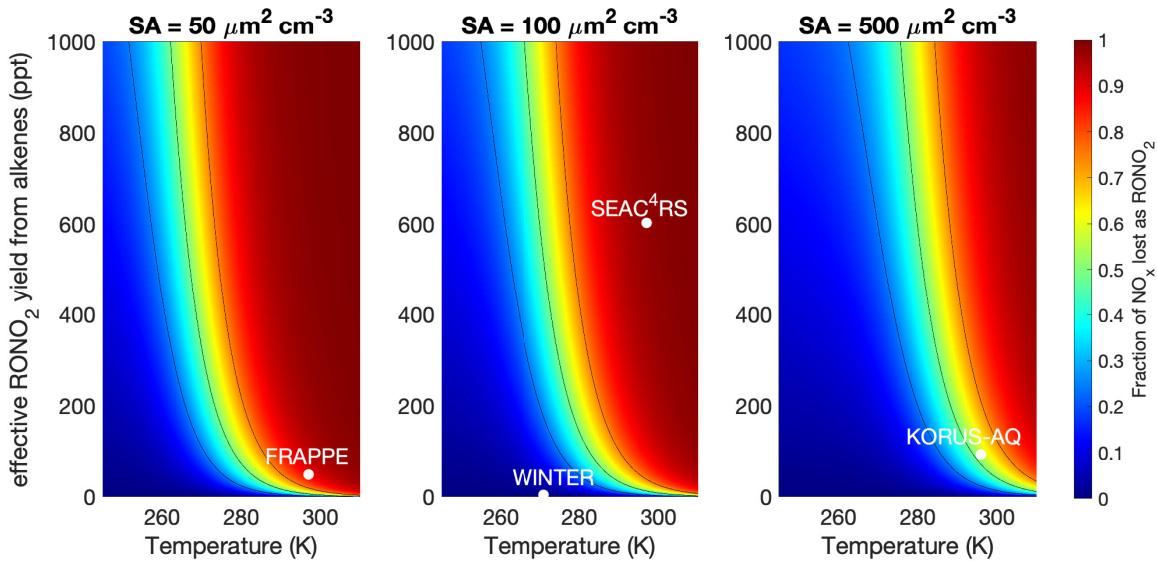


Figure 3.3: Fraction of  $\text{NO}_x$  lost as  $\text{RONO}_2$  (defined as  $\frac{P(\text{RONO}_2)}{P(\text{RONO}_2) + P(\text{HNO}_3)}$  overnight), shown as a function of temperature and effective  $\text{RONO}_2$  yield from alkenes ( $\sum_i \alpha_i [\text{alkene}]_i$ ) for three different aerosol surface areas ( $\text{SA} = 50, 100, \text{ and } 500 \mu\text{m}^2 \text{cm}^{-3}$ ). We assume an initial  $\text{NO}_2$  concentration (1 ppb), constant  $\text{O}_3$  (40 ppb), constant pressure (1013 hPa), constant  $\gamma(\text{N}_2\text{O}_5)$  (0.04), and  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$  in steady-state. Black contour lines correspond to 25%, 50% and 75% of  $\text{NO}_x$  lost as  $\text{RONO}_2$ . Average evening (after 16:30 local time) conditions during SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ are shown. Average conditions during WINTER (NSF aircraft campaign over Northeastern US during Feb-Mar 2015) are also shown as an example of conditions during which  $\text{N}_2\text{O}_5$  loss is the dominant nighttime sink of  $\text{NO}_x$  (Kenagy et al., 2018).

## Chapter 4

# Contribution of organic nitrates to organic aerosol over South Korea during KORUS-AQ

### 4.1 Introduction

Organic aerosol (OA) constitutes a large, and often dominant, fraction of tropospheric aerosol mass (Heald et al., 2005; Murphy et al., 2006a; Zhang et al., 2007). Much of this organic aerosol is secondary (secondary organic aerosol, SOA), produced from volatile organic compounds (VOCs) that are sufficiently oxidized in the atmosphere to be condensable and/or water-soluble (Gouw et al., 2005; Gouw et al., 2008; Goldstein and Galbally, 2007; Jimenez et al., 2009; Hodzic et al., 2020). The chemical and physical processes that control SOA production, however, are complex and currently highly uncertain (Heald et al., 2005; Volkamer et al., 2006; Hallquist et al., 2009; Heald et al., 2010; Hayes et al., 2015; Woody et al., 2016; Ma et al., 2017; Shrivastava et al., 2017; Tsimpidi et al., 2017).

Particle phase organic nitrates ( $\text{pRONO}_2$ ) have recently emerged as a significant component of SOA in areas dominated by biogenic emissions, including the Southeast US (Ayres et al., 2015; Pye et al., 2015; Xu et al., 2015b; Fisher et al., 2016; Lee et al., 2016; Zare et al., 2019), in the Rocky Mountains (Fry et al., 2013), across Europe (Kiendler-Scharr et al., 2016), in the boreal forest (Hao et al., 2014), in the California Central Valley (Rollins et al., 2012; Rollins et al., 2013), and in rural areas of both northern and southern China (Zhu et al., 2016; Xu et al., 2021; Zhu et al., 2021). A number of studies have also found significant contributions of  $\text{pRONO}_2$  to SOA in regions of oil and gas production, including the Alberta Oil Sands (Lee et al., 2019) and in the Uintah Basin (Lee et al., 2015). Recent observations have shown that organic nitrates are a significant contributor to OA in Chinese cities (Zhang et al., 2016; Yu et al., 2019). Specifically, Yu et al. (2019) found that organic nitrates make up 9 – 25% of OA during spring - autumn in urban Shenzhen, and the dominant precursors to  $\text{pRONO}_2$  included both biogenic ( $\alpha$ -pinene, limonene, and camphene)

and anthropogenic (styrene) VOCs.

Organic nitrates are produced from the oxidation of VOCs in the presence of  $\text{NO}_x$ , as shown in Figure 4.1. During the daytime when photochemistry is active, OH oxidation of VOCs generates  $\text{RO}_2$  radicals (R32). The minor product (branching ratio  $\alpha$ ) of the reaction of NO with  $\text{RO}_2$  radicals are gas-phase organic nitrates ( $\text{gRONO}_2$ , R33). In the nocturnal residual layer away from fresh NO emissions,  $\text{NO}_3$  radicals can add to the double bonds of alkenes to generate  $\text{gRONO}_2$  (R34) (e.g., Fry et al., 2018).



If the  $\text{RONO}_2$  generated from either the OH-initiated or  $\text{NO}_3$ -initiated reaction pathways have sufficiently low volatility, they may partition into the aerosol phase as particle-phase organic nitrates,  $\text{pRONO}_2$  (Figure 4.1). The addition of a nitrate functional group reduces the saturation concentration of a given molecule by approximately 2.5 orders of magnitude (Pankow and Asher, 2008), thereby generating a lower volatility compound that may condense to form SOA.

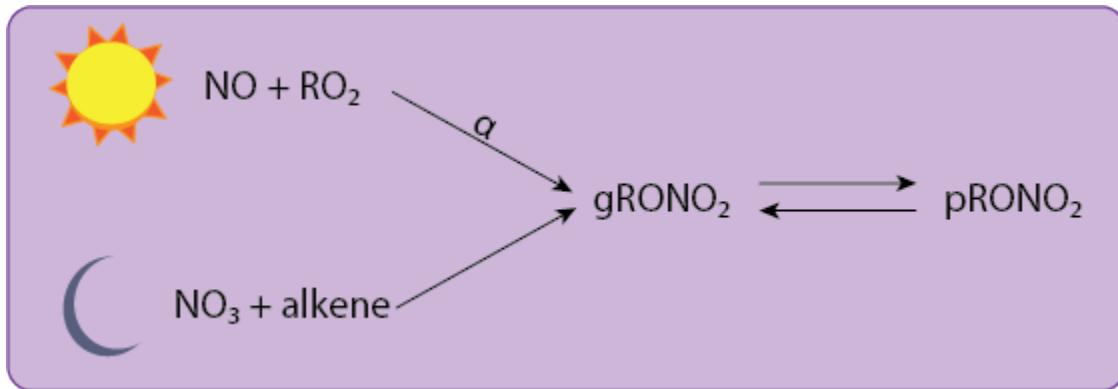
In this study, we examine the contribution of  $\text{pRONO}_2$  to OA in Seoul, Korea. As a megacity, Seoul has a complex mixture of urban emissions, including from a number of chemical facilities and from transport of emissions from China, that contribute to the aerosol burden (Nault et al., 2018; Jordan et al., 2020), though Nault et al. (2018) determined that the dominant precursors for SOA production in Seoul were locally emitted VOCs. To better understand the sources of SOA in Seoul, here we aim to quantify the contribution of  $\text{pRONO}_2$  to the total OA mass and determine the precursors and processes that control the production of  $\text{pRONO}_2$  in Korea using observations from the 2016 Korea-United States Air Quality (KORUS-AQ) measurement campaign.

## 4.2 Methods

Here, we introduce the KORUS-AQ campaign, the TD-LIF measurements of  $\text{pRONO}_2$  and  $\text{tRONO}_2$ , the CU-AMS measurements of  $\text{pRONO}_2$  and OA, and our CMAQ simulations of  $\text{RONO}_2$  over Northeast Asia during the time period of the KORUS-AQ campaign.

### KORUS-AQ

The KORUS-AQ campaign took place during May and June 2016 over the Korean peninsula and the Yellow Sea. Seoul, Korea is bordered to the west by the Yellow Sea and Gyeonggi Bay and bordered to the north, east, and south by forested and mountainous regions (Park et al., 2017). During KORUS-AQ, winds were typically from the west or northwest, meaning

Figure 4.1: Schematic of RONO<sub>2</sub> production and phase partitioning.

that air over the Yellow Sea can be considered ‘background’ air for Seoul (Kim et al., 2017). There are a number of large industrial facilities along the Northwest coast of South Korea, including the Daesan petrochemical complex which produces large amounts of VOC emissions (Fried et al., 2020).

This analysis uses observations from the NASA DC-8 which flew 20 research flights out of Pyeongtaek, South Korea ( $\approx$  60 km south of Seoul). Flights typically began around 08:00 LT (KST). During a typical flight, three missed approaches were performed over the Seoul Air Base (within 15 km of Seoul city center): one soon after takeoff around 08:00 LT, one around 12:00 LT, and one prior to landing around 15:00 LT. Each missed approach included 15-45 minutes of observations within the boundary layer in the Seoul Metropolitan Area. Flights also consisted of transects west of Seoul over the Yellow Sea, south of Seoul to Jeju, and/or southeast of Seoul to Busan at varying altitudes, as shown in Figure 4.2.

## TD-LIF measurements of tRONO<sub>2</sub> and pRONO<sub>2</sub>

Measurements of tRONO<sub>2</sub> (gas + particle) were made using the UC Berkeley thermal dissociation laser induced fluorescence (TD-LIF) instrument (Day et al., 2002; Wooldridge et al., 2010). Briefly, one channel of the instrument measures NO<sub>2</sub> by laser induced fluorescence (LIF). Two other channels first flow air through a heated quartz oven. One channel is set at 180°C, the temperature at which peroxy nitrates (RO<sub>2</sub>NO<sub>2</sub>) dissociate into RO<sub>2</sub> and NO<sub>2</sub>. The second is set at 360°C, the temperature at which RONO<sub>2</sub> dissociate into RO + NO<sub>2</sub>. The difference in NO<sub>2</sub> detected in adjacent channels gives the mixing ratio for each class of compounds: the RO<sub>2</sub>NO<sub>2</sub> mixing ratio corresponds to the difference between the 180°C channel and the unheated channel, and the RONO<sub>2</sub> mixing ratio corresponds to the difference between the 360°C channel and the 180°C channel.

pRONO<sub>2</sub> concentrations were measured using a fourth channel configured as described in Rollins et al. (2010). Before entering the heated section of the instrument, air passes

through a 10 cm long activated carbon honeycomb denuder with an inner diameter of 2 cm which removes gas-phase compounds. The particles that remain are then rapidly heated to vaporize the aerosols and dissociate the RONO<sub>2</sub> molecules present into RO and NO<sub>2</sub>. NO<sub>2</sub> is then detected via LIF, giving a measurement of pRONO<sub>2</sub>. We estimate a limit of detection of 20 ppt of pRONO<sub>2</sub>, or 0.055  $\mu\text{g m}^{-3}$  of NO<sub>3</sub>. Though inorganic nitrate compounds will also be vaporized, volatile inorganic nitrate salts form HNO<sub>3</sub> when vaporized (Womack et al., 2017) and will therefore not interfere in this measurement. Empirical and theoretical studies confirm that NO<sub>2</sub>, HNO<sub>3</sub>, and gas-phase organic nitrates are all removed at nearly 100% efficiency in the charcoal denuder, while particles greater than 100 nm in diameter are transmitted with over 95% efficiency (Rollins et al., 2010). Furthermore, during KORUS-AQ the denuder could be bypassed with a pair of 3-way valves, as shown in Figure C.1. When bypassed, the NO<sub>2</sub> calibration mixture reached the pRONO<sub>2</sub> LIF cell. When not bypassed, the NO<sub>2</sub> calibration events served as checks for NO<sub>2</sub> breaking through the denuder. No breakthrough was detectable throughout the deployment.

KORUS-AQ is the first time pRONO<sub>2</sub> measurements have been made with TD-LIF on aircraft. Previous ground-based measurements of pRONO<sub>2</sub> by the TD-LIF were made in the Rocky Mountains during BEACHON-RoMBAS (Fry et al., 2013), in the Uintah Basin (Lee et al., 2015), in the Southeast US during SOAS (Lee et al., 2016), and in the California Central Valley during CalNex (Rollins et al., 2013).

We apply a small correction for the loss of charged particles to TD-LIF measurements of tRONO<sub>2</sub> and pRONO<sub>2</sub>. In the TD-LIF inlet configuration during KORUS-AQ, air for all channels goes through 10 - 20 cm of PFA Teflon before heating. We performed a series of laboratory experiments (detailed in Section C.1) to determine the loss of charged particles in these lengths of PFA Teflon tubing. Taking into account the ambient distribution of charged particles (Wiedensohler, 1988) and the observed aerosol size distribution during KORUS-AQ, there is less than 20% loss for charged particles with diameters less than 280 nm in the TD-LIF inlet.

We also apply a correction for inertial losses of particles in the TD-LIF inlet. We model the inertial losses on the two bends (90° and 98°) in the inlet (see Section C.1) for varying particle sizes. We apply the size-dependent modeled losses to the aerosol volume distribution measured by laser aerosol spectrometer (LAS, Langley LARGE group). On average, we estimate that the TD-LIF observes  $\approx 60\%$  of the particles observed by LAS. We apply both particle loss corrections (charged and inertial) to both the pRONO<sub>2</sub> and tRONO<sub>2</sub> TD-LIF measurements.

## CU-AMS measurements of pRONO<sub>2</sub>

A second measurement of pRONO<sub>2</sub> was made by the University of Colorado-Boulder high-resolution time-of-flight aerosol mass spectrometer (CU-AMS, Aerodyne Research, Inc.). The CU-AMS also measured organic aerosol (OA) concentrations. A description of the CU-AMS aircraft sampling can be found in DeCarlo et al. (2006) and Nault et al. (2018).

The CU-AMS uses  $\text{NO}_x$  ion ratios ( $\text{NO}_2^+/\text{NO}^+$ ) to differentiate between inorganic nitrate ( $\text{NH}_4\text{NO}_3$ ) and organic nitrate (pRONO<sub>2</sub>) (Fry et al., 2013), described further in Section C.2. Uncertainties in this method are greatest when pRONO<sub>2</sub> < 20% of the measured nitrate; those measurements have been removed from this analysis.

The high  $\text{NH}_4\text{NO}_3$  loadings during KORUS-AQ create uncertainty for the CU-AMS measurement of pRONO<sub>2</sub>, and though we applied a series of corrections for particle loss in the TD-LIF inlet (described in Section 4.2), we could not entirely reconcile the differences between the two measurements. Since the two measurements may be prone to larger uncertainties under different aerosol size and composition conditions, we conduct the following analyses using both the TD-LIF and CU-AMS pRONO<sub>2</sub> measurements separately and treat them as upper and lower bounds. A comparison of the TD-LIF and CU-AMS measurements, both before and after corrections, can be seen in Figure C.9.

Because the TD-LIF and CU-AMS pRONO<sub>2</sub> measurements do not agree perfectly, we also use a CU-AMS-adjusted tRONO<sub>2</sub> to ensure a consistent comparison. In the following calculations that use CU-AMS pRONO<sub>2</sub>, we subtract the TD-LIF pRONO<sub>2</sub> measurement from the TD-LIF tRONO<sub>2</sub> measurement to give an estimate of the gas-phase RONO<sub>2</sub> measured by the TD-LIF (gRONO<sub>2</sub>). We then add the CU-AMS pRONO<sub>2</sub> to the estimated TD-LIF gRONO<sub>2</sub> to generate the CU-AMS-adjusted tRONO<sub>2</sub>.

## CMAQ modeling of RONO<sub>2</sub> chemistry and phase partitioning

We ran the Community Multiscale Air Quality Modeling System (CMAQ) model v5.2 (Wyat Appel et al., 2018; Kelly et al., 2019) with the RACM2\_Berkeley2.1 chemical mechanism (Zare et al., 2018; Zare et al., 2019) over Northeast Asia with a 15-km horizontal grid and 27 vertical layers. Meteorological fields were generated by WRF v3.8.1 and processed for use in CMAQ by MCIP v4.5 (Otte and Pleim, 2010). The simulation period was April 17, 2016 - June 12, 2016, with the first 14 days as a spin-up period to minimize the impact of initial conditions.

We used the KORUSv5.0 anthropogenic emissions inventory developed at Konkuk University based on the CREATE emission inventory (Woo et al., 2020), MEGANv2.1 biogenic emissions (Guenther et al., 2012), and FINNv1.5 fire emissions (Wiedinmyer et al., 2011), all processed through the Sparse Matrix Operator Kernel Emissions (SMOKE) system (Houyoux et al., 2000). The KORUSv5.0 emissions inventory was prepared using the SAPRC07T AERO6 mechanism, which we then converted to RACM2\_Berkeley2.1 (detailed in Table C.1).

We made a few adjustments to the emissions inventory informed by a series of comparisons between CMAQ modeled VOC concentrations and aircraft VOC measurements made with whole air samples (WAS) analyzed with multi-column gas chromatography (Simpson et al., 2020). We increased monoterpene emissions by a factor of three to improve the magnitude agreement between modeled and observed concentrations of monoterpenes (see Figure C.12). Note we expect monoterpenes in Korea to have both biogenic as well as anthropogenic sources (McDonald et al., 2018; Gkatzelis et al., 2021).

Comparison between modeled and observed BTEX (benzene, toluene, ethyl benzene, and xylenes) indicated that these species were also underestimated in the emissions inventory (see Figure C.12). We updated BTEX emissions over the Daesan petrochemical complex to match emission fluxes calculated from observations using a mass balance approach by Fried et al. (2020). Elsewhere, we note that the spatial pattern of modeled TOL (defined as toluene and less reactive aromatics, for measurement comparison purposes we approximate as the sum of toluene and ethyl benzene) corresponds well to the spatial pattern of the sum of measured toluene and ethyl benzene (see Figure C.10). However, without any emissions corrections, the model underestimates boundary layer TOL by a factor of 1.4. We also note that measurements of other reactive aromatics (xylenes and 1,2,4-trimethyl benzene) correlate well with the sum of measured toluene and ethyl benzene (see Figure C.11). As such, we scale TOL emissions by 1.4 and define the emissions of the other reactive aromatics based on their measured ratios to the sum of toluene and ethyl benzene. We use measured oxylene as a proxy for model species XYO, the sum of measured m-xylene and 1,2,4-trimethyl benzene as a proxy for model species XYM, and measured p-xylene as a proxy for model species XYP. This method results in defining XYO as  $0.05 \times$  TOL, XYM as  $0.08 \times$  TOL, and XYP as  $0.07 \times$  TOL.

We use the default initial conditions and boundary conditions from the initial condition (ICON) and boundary condition (BCON) processors in CMAQ v5.2. However, measurements of isoprene-derived nitrates by Caltech's Chemical Ionization Mass Spectrometer (CIT-CIMS) (Crounse et al., 2006) indicated that longer-lived propanone nitrate and ethanal nitrate were underestimated in CMAQ. Consequently, we increased the boundary and initial condition concentrations of propanone nitrate and ethanal nitrate to match the CIT-CIMS observations of both nitrates over the Yellow Sea (propanone nitrate = 21.5 ppt; ethanal nitrate = 4.1 ppt).

The original RACM2 (Regional Atmospheric Chemistry Mechanism) mechanism (Goliff, Stockwell, and Lawson, 2013) is available in CMAQ v5.0.2 and later versions (Sarwar et al., 2013). Browne et al. (2014) modified the mechanism to RACM2\_Berkeley to expand the organic nitrate chemistry. New species, along with their corresponding oxidation rates and branching ratios, were added to further classify anthropogenic nitrates (Carter and Atkinson, 1989; Middleton, Stockwell, and Carter, 1990; Arey et al., 2001) and to represent monoterpene nitrates (Jenkin, Saunders, and Pilling, 1997; Saunders et al., 2003; Leungsakul, Jeffries, and Kamens, 2005; Spittler et al., 2006). The parameterization of OH-initiated isoprene oxidation was also updated (Paulot et al., 2009a; Paulot et al., 2009b; Crounse et al., 2011). RACM2\_Berkeley was evaluated using aircraft observations over the Canadian boreal forest (Browne et al., 2014).

RACM2\_Berkeley was updated to RACM2\_Berkeley2 in Zare et al. (2018) to reflect recent advances in the representation of OH- and  $\text{NO}_3^-$  initiated BVOC oxidation under both low- and high- $\text{NO}_x$  conditions, with a focus on a detailed representation of nitrates derived from  $\text{NO}_3^-$ -initiated oxidation of isoprene and on the fate of the most important individual biogenically-derived organic nitrates. Deposition rates were also updated.

Zare et al. (2019) revised RACM2\_Berkeley2 to RACM2\_Berkeley2.1 to include an ex-

plicit representation of multi-phase organic nitrate formation and loss, including vapor-pressure driven partitioning into organic aerosol, aqueous-phase uptake, and condensed-phase reactions. Further updates were also done to explicitly represent isoprene nitrates from  $\text{NO}_3$  oxidation that are subject to reactive uptake to the aerosol phase. As such, the RACM2\_Berkeley2.1 mechanism represents our current understanding of  $\text{RONO}_2$  chemistry and phase partitioning. Zare et al. (2019) evaluated this mechanism (implemented in CMAQ) using observations from the Southern Oxidant and Aerosol Study (SOAS) campaign in the Southeast US during summer 2013. Inclusion of the particle-phase pathways for  $\text{RONO}_2$  improved the model-measurement agreement for  $\text{tRONO}_2$ , and the modeled fraction of  $\text{tRONO}_2$  in the particle phase ( $F_p$ ) was within the range of observed  $F_p$ .

To compare modeled and measured concentrations, we sample CMAQ coincidentally in time (hourly resolution) and horizontal space with each observation. All comparisons in the following analysis use boundary layer measurements (< 1,000 m) and the average of the bottom three model layers.

## 4.3 Results

Maps of average TD-LIF measured and CMAQ modeled  $\text{tRONO}_2$  used in the following analysis are shown in Figure 4.2. Both the measurements and model indicate that  $\text{tRONO}_2$  concentrations are highest in and around Seoul. However, the model consistently underpredicts  $\text{tRONO}_2$  concentrations throughout the region. For reference, CMAQ predicts that > 95% of  $\text{pRONO}_2$  are derived from vapor-pressure dependent partitioning into organic aerosol, whereas < 5% of  $\text{pRONO}_2$  enter the particle phase through aqueous pathways, similar to what Zare et al. (2019) found for the Southeast US.

### **$\text{RONO}_2$ partition into the aerosol phase and can be a significant contribution to SOA**

We explore the average phase partitioning behavior of  $\text{RONO}_2$  during KORUS-AQ in Figure 4.3. Our observations from both the TD-LIF and CU-AMS indicate that, on average, 1/4 of  $\text{tRONO}_2$  is in the condensed phase and therefore contributes to the OA burden. We also consider a line, drawn above most measurement means, that represents a reasonable upper limit of 35% for the fraction of  $\text{tRONO}_2$  in the particle phase.

To quantify the contribution of  $\text{pRONO}_2$  to total OA concentrations, we assume an average molecular weight for  $\text{pRONO}_2$  of  $300 \text{ g mol}^{-1}$  (Rollins et al., 2013). We expect condensable  $\text{RONO}_2$  to be highly oxidized, contain at least one nitrate group (molecular weight =  $62 \text{ g mol}^{-1}$ ) and to therefore have relatively high masses. With this assumption, we estimate that  $\approx 15\%$  of the OA mass can be attributed to  $\text{pRONO}_2$ , as shown in Figure 4.3. We again consider a reasonable upper limit, drawn above most measurement means, to estimate that a maximum of 40% of OA can be attributed to  $\text{pRONO}_2$ . This is within the range of  $\text{pRONO}_2$  contributions to OA mass measured across Europe (42%) (Kiendler-Scharr

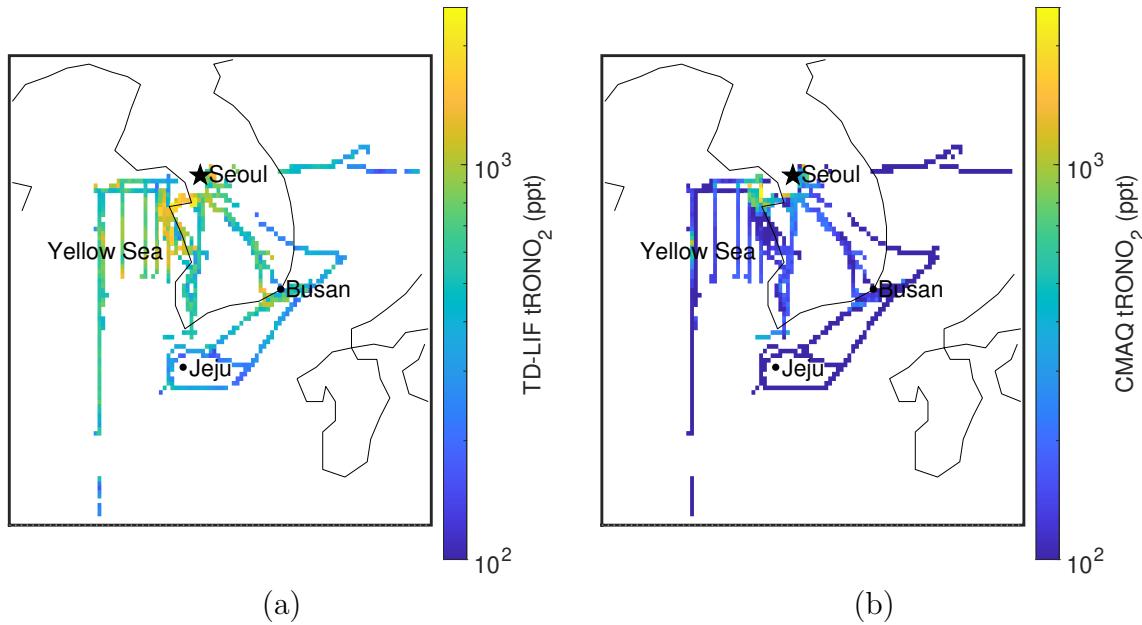


Figure 4.2: Maps of average (a) TD-LIF measured and (b) CMAQ modeled tRONO<sub>2</sub> on a log scale, gridded to 0.1°. Seoul, Jeju, Busan, and the Yellow Sea are labeled for reference.

et al., 2016), in a suite of studies across the eastern US, western US and Europe (5-73%) (Ng et al., 2017), and in recent studies in urban and rural China (9-28%) (Yu et al., 2019; Xu et al., 2021).

## Observations indicate RONO<sub>2</sub> phase partitioning is controlled by absorptive partitioning into OA

Previous studies have shown that vapor pressure controls the phase of organic nitrates (Rollins et al., 2013; Zare et al., 2019). This equilibrium absorptive partitioning follows Raoult's Law: the fraction of RONO<sub>2</sub> in the particle phase increases with increasing mass of the absorbing or solvating aerosol, namely total organic aerosol (Donahue et al., 2006; Pankow, 1994). Accordingly, the equilibrium fraction of an individual RONO<sub>2</sub> species *i* in the particle phase ( $F_{p,i}$ ) is given by

$$F_{p,i} = \frac{C_{p,i}}{C_i} = \frac{C_{OA}/C_i^*(T)}{1 + C_{OA}/C_i^*(T)} = \left(1 + \frac{C_i^*(T)}{C_{OA}}\right)^{-1} \quad (4.1)$$

Here,  $C_{p,i}$  and  $C_i$  are the particle phase and total concentrations of species *i*, respectively.  $C_i^*(T)$  is the temperature-dependent saturation concentration ( $\mu\text{g m}^{-3}$ ) of species *i*, and  $C_{OA}$  is the concentration of total OA.

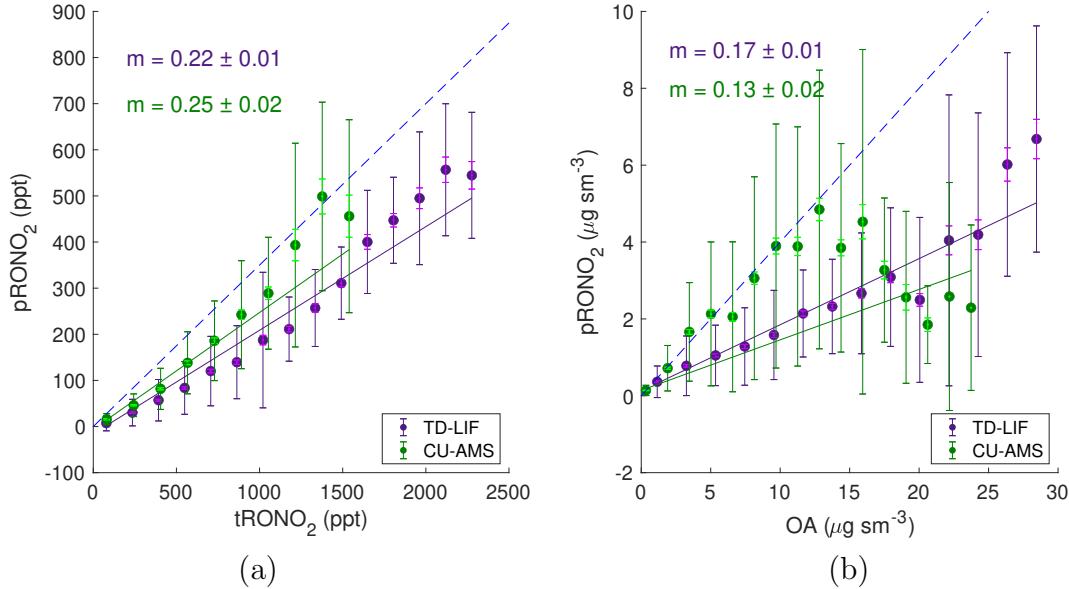


Figure 4.3: (a) Plot of pRONO<sub>2</sub> versus tRONO<sub>2</sub> mixing ratios as measured by TD-LIF and CU-AMS. Data are binned by tRONO<sub>2</sub> mixing ratio, and the average pRONO<sub>2</sub> in each bin is plotted. The York fit shown corresponds to the average fraction of RONO<sub>2</sub> in the particle phase ( $F_p$ ). We draw an estimated upper limit ( $\approx 35\%$ ) for the fraction of RONO<sub>2</sub> in the particle phase, as shown in the blue dashed line, drawn above the mean of most measurements. (b) Plot of pRONO<sub>2</sub> mass concentration (using an estimated average molecular weight of 300 g mol<sup>-1</sup>) versus OA mass concentration. Data are binned by OA concentration, and the average pRONO<sub>2</sub> in each bin is plotted. The York fit shown corresponds to the average fraction OA mass that can be attributed to pRONO<sub>2</sub>. Again, we draw an estimated upper limit ( $\approx 40\%$ ) for the fraction of OA mass attributable to pRONO<sub>2</sub>, as shown in the blue dashed line, drawn above most measurement means. We do not understand why AMS data above 15  $\mu\text{g m}^{-3}$  deviates so strongly from the trend measured at lower OA concentrations. In both plots, the larger, dark colored error bars correspond to the standard deviation of measurements within each bin to represent observed variability; the smaller, light colored error bars correspond to the standard error of measurements within each bin to represent measurement uncertainty. We apply a threshold requirement of 20 observations per bin to include in plot.

For both the TD-LIF and CU-AMS measurements of pRONO<sub>2</sub>, the fraction of RONO<sub>2</sub> in the particle phase ( $F_p$ ) increases with increasing OA concentration and increases with decreasing temperature, as shown in Figure 4.4. Assuming the speciation of RONO<sub>2</sub> is invariant with temperature, these relationships between  $F_p$ , OA, and temperature indicate that the phase partitioning of RONO<sub>2</sub> during KORUS-AQ is indeed controlled by equilibrium absorptive partitioning.

To determine the volatility distribution of RONO<sub>2</sub> observed during KORUS-AQ, we define a saturation concentration basis set of  $\{C_j^*\} = \{3, 30, 300\} \mu\text{g m}^{-3}$ , following the convention of Donahue et al. (2006). Though we expect some RONO<sub>2</sub> species to have volatilities outside of this range, because the OA concentrations we observe during KORUS-AQ do not exceed  $40 \mu\text{g m}^{-3}$  we cannot reasonably constrain volatilities outside of this defined basis set. Given this basis set, the total fraction of organic nitrates in the particle phase ( $F_{p,tot}$ ) can be represented as

$$F_{p,tot} = \frac{\sum_i C_i F_{p,i}}{\sum_i C_i} = \sum_{j=1}^n f_j \left(1 + \frac{C_j^*}{C_{OA}}\right)^{-1} \quad (4.2)$$

Here,  $f_j$  is the fraction of organic nitrates that can be classified as having saturation concentration  $C_j^*$ , and  $n = 3$  for the basis set defined earlier.

We solve for each  $f_j$ , the fraction of organic nitrates that can be represented as having saturation concentration  $C_j^*$ , in Equation 4.2 using our observations of  $F_{p,tot}$  (= pRONO<sub>2</sub> / RONO<sub>2</sub>) and organic aerosol concentrations ( $C_{OA}$ ). Moreover, because saturation concentration is dependent on temperature, we separate the observations into a series of temperature bins and solve for fitting parameters  $f_j$  in each temperature bin, as shown in Figure 4.4 for both TD-LIF and CU-AMS observations. As expected, organic nitrates become less volatile at lower temperatures. At all temperatures, 10–39% of organic nitrates can be represented with  $C^* \leq 3 \mu\text{g m}^{-3}$ , meaning they will dominantly be condensed at the average observed organic aerosol concentrations of  $\approx 9.8 \mu\text{g m}^{-3}$ . At high temperatures ( $\approx 300$  K), 73–76% of organic nitrates can be represented with  $C^* \geq 300 \mu\text{g m}^{-3}$ , meaning that they will dominantly remain in the gas phase at observed OA concentrations. At low temperatures ( $\approx 286$  K), the TD-LIF measurements suggest that 67% of organic nitrates can be represented with  $C^* \geq 300 \mu\text{g m}^{-3}$  and the CU-AMS measurements suggest 61% of organic nitrates can be represented with  $C^* \geq 30 \mu\text{g m}^{-3}$ .

We also fit the data to Equation 4.2 using an empirical relationship between  $C^*$  and  $\Delta H_{vap}$  from Epstein, Riipinen, and Donahue (2010) to examine the variation of RONO<sub>2</sub> volatilities observed at different temperatures but referenced to 300 K. Figure C.15 shows the distribution of  $C^*(300 \text{ K})$  for RONO<sub>2</sub> during KORUS-AQ.

## CMAQ modeling misses a large source of semivolatile, anthropogenically-derived RONO<sub>2</sub>

Our CMAQ simulation underpredicts measured tRONO<sub>2</sub> concentrations by a factor of  $\approx 3$ , as shown by the slopes reported in Table 4.1 and plotted in Figure C.14. Moreover, our

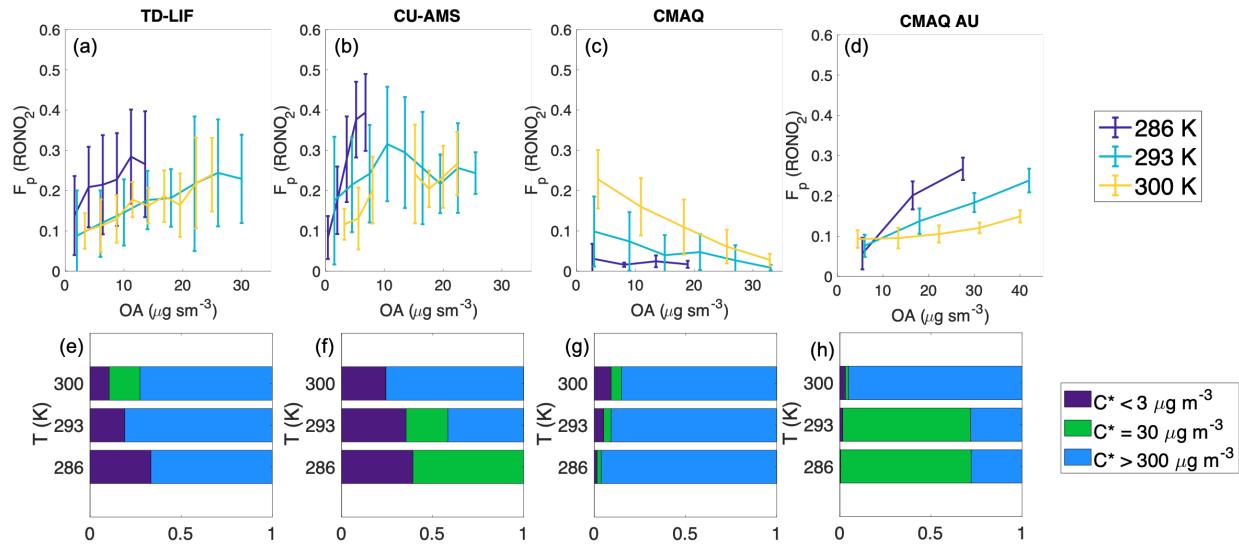


Figure 4.4: [Top] Plots of the fraction of  $\text{RONO}_2$  in the particle phase ( $F_p$ ) versus OA concentration. Data were separated into three temperature bins (centered at 286, 293, and 300 K) and binned by OA concentration. The average  $F_p$  in each OA bin is plotted, and error bars represent the standard deviation of  $F_p$  in each bin. As suggested by absorptive partitioning theory, measured  $F_p$  increases with increasing available solvating aerosol (in this case, OA). [Bottom] Temperature-dependent fractional distribution ( $f_j$ ) of saturation concentrations ( $C_j^*$ ) fit to a volatility basis set. Each set of plots is shown for the TD-LIF measurements (a,e), the CU-AMS measurements (b,f), unmodified CMAQ output (c,g), and CMAQ output with an unknown source of  $\text{RONO}_2$  added (d,h).

CMAQ simulation underpredicts measured p $\text{RONO}_2$  concentrations by a factor of  $\approx 10$ , indicating that the  $\text{RONO}_2$  in CMAQ are too volatile. These underpredictions for both t $\text{RONO}_2$  and p $\text{RONO}_2$  indicate that our simulation is missing a large source of condensable  $\text{RONO}_2$ .

To help determine the origin of the missing source of  $\text{RONO}_2$ , we examine the correlation between the model-measurement  $\text{RONO}_2$  difference ( $\text{RONO}_{2,\text{diff}}$ ) and measurements of various VOC classes. We find  $R^2 < 0.05$  for the correlation between  $\text{RONO}_{2,\text{diff}}$  and both isoprene and  $\alpha$ -pinene, whereas there are relatively stronger correlations between  $\text{RONO}_{2,\text{diff}}$  and anthropogenic alkanes ( $R^2 = 0.15$ ), alkenes ( $R^2 = 0.12$ ), aromatics ( $R^2 = 0.23$ ), and aldehydes ( $R^2 = 0.67$ ). The weak correlations between the  $\text{RONO}_{2,\text{diff}}$  and VOCs of biogenic origin and the relatively stronger correlations between  $\text{RONO}_{2,\text{diff}}$  and VOCs of anthropogenic origin suggest that the missing source of condensable  $\text{RONO}_2$  is derived from anthropogenic VOCs.

Furthermore, the RACM2\_Berkeley2.1 mechanism was initially tested and validated on a regional scale over the Southeast US, an area dominated by biogenic emissions (Zare et al.,

2018; Zare et al., 2019). Additionally, as described in Section 2.4, we adjusted the emissions of monoterpenes to improve the model-measurement agreement for biogenic VOCs. Though we expect some change in the oxidation product distribution between low- $\text{NO}_x$  environments (e.g., Southeast US) and high- $\text{NO}_x$  environments (e.g., Seoul), we are reasonably confident that our CMAQ simulation is accurately capturing the production and fate of RONO<sub>2</sub> derived from biogenic VOCs. We therefore attribute the missing source of RONO<sub>2</sub> in our simulations to RONO<sub>2</sub> of anthropogenic origin. This previous work evaluating RACM2\_Berkeley2.1 in the Southeast US (Zare et al., 2018; Zare et al., 2019) did not look at urban RONO<sub>2</sub> in the US, but we have no reason to suspect that this missing source of condensable RONO<sub>2</sub> is not a general phenomenon.

The relationship between CMAQ-modeled  $F_p(\text{RONO}_2)$ , OA, and temperature is shown in Figure 4.4c. In contrast to the observations (Figure 4.4a,b) and in contrast with absorptive partitioning theory, the modeled  $F_p$  increases with increasing temperature and decreases with increasing OA. Exploration of the speciated distribution of modeled RONO<sub>2</sub> (shown in Figure C.16) indicates that the increase in modeled  $F_p$  with temperature is driven largely by a temperature-dependent change in the RONO<sub>2</sub> speciation. The phase partitioning of each RONO<sub>2</sub> species is controlled by absorptive partitioning, meaning the fraction of an individual RONO<sub>2</sub> species in the particle phase increases with decreasing temperature. However, the modeled increase in the total concentration of low-volatility monoterpene nitrates (HONIT) with temperature is larger than the modeled change in concentration of other higher-volatility nitrates with temperature. As a result, the concentration of pRONO<sub>2</sub> increases with increasing temperature faster than the concentration of gRONO<sub>2</sub> increases with temperature, causing the total  $F_p$  to increase with increasing temperature. This modeled relationship between  $F_p$  and temperature stands in stark disagreement with the observations and therefore indicates that the species distribution of RONO<sub>2</sub> over Korea is incorrectly captured in our CMAQ simulation.

To test and quantify our hypothesis that our CMAQ simulation is missing a large source of condensable, anthropogenic RONO<sub>2</sub>, we test the effect of adding an additional source of RONO<sub>2</sub>. Because our CMAQ simulation underpredicts measured tRONO<sub>2</sub> concentrations by a factor of  $\approx 3$  (Table 4.1), we assign this additional source to have double the concentration of the existing simulated RONO<sub>2</sub>. To determine the average volatility of this missing source of RONO<sub>2</sub>, we iteratively vary its assigned  $C^*$  by order of magnitude (e.g.,  $C^* = 30, 300, 3000 \mu\text{g m}^{-3}$ ) and use an empirical relationship between  $C^*$  and  $\Delta H_{vap}$  from Epstein, Riipinen, and Donahue (2010). We find the best agreement between modeled and measured pRONO<sub>2</sub> and  $F_p$  with  $C^* = 300 \mu\text{g m}^{-3}$  as shown in Table C.2. Though comparison between modeled and measured RONO<sub>2</sub> remains relatively scattered (see Figure C.14) and the missing source likely includes a variety of molecules with a range of volatilities, adding this missing semivolatile RONO<sub>2</sub> source improves the magnitude of the model-measurement agreement for tRONO<sub>2</sub>, pRONO<sub>2</sub>, and  $F_p$ , as shown in Table 4.1. Moreover, as shown in Figure 4.4, addition of this unknown source of relatively condensable RONO<sub>2</sub> results in an increase in  $F_p$  with decreasing temperature and increasing OA concentration. This relationship between  $F_p$ , temperature, and OA is in agreement with the observations and with equilibrium absorptive partitioning

Table 4.1: Comparison of the York fit slopes between measured (TD-LIF and CU-AMS) and CMAQ modeled concentrations of tRONO<sub>2</sub>, pRONO<sub>2</sub>, and  $F_p$ . Comparison is shown for both the unmodified CMAQ output and CMAQ output with an unknown source of condensable RONO<sub>2</sub> added. Scatter plots of these comparisons can be seen in Figure C.14.

	tRONO <sub>2</sub>		pRONO <sub>2</sub>		$F_p$	
	TD-LIF	CU-AMS	TD-LIF	CU-AMS	TD-LIF	CU-AMS
CMAQ	0.30	0.35	0.12	0.09	0.56	0.35
CMAQ add unknown	0.88	0.98	0.92	0.79	0.61	0.44

theory.

## 4.4 Discussion

The RACM2\_Berkeley2.1 mechanism represents our state-of-the-science understanding of RONO<sub>2</sub> chemistry, where the only sources of semi-volatile RONO<sub>2</sub> are biogenic. However, this mechanism only captures one third of the RONO<sub>2</sub> production over the Korean peninsula. Moreover, the unknown source of organic nitrates consists of RONO<sub>2</sub> that are lower volatility than most of the existing RONO<sub>2</sub> in the model. Consequently, our current understanding of RONO<sub>2</sub> chemistry is missing pathways for semivolatile RONO<sub>2</sub> production as a result of either missing oxidation pathways (first- or multi-generation, bimolecular or unimolecular) or an underestimation of RONO<sub>2</sub> yields.

Because the known chemistry can only account for one third of the observed RONO<sub>2</sub>, the missing source is approximately double in magnitude to the known sources. During KORUS-AQ, the average reactivity of all measured VOCs with OH was 2.4 s<sup>-1</sup>, and the effective average RONO<sub>2</sub> yield ( $\alpha$ ), weighted by reactivity, was 1.3%. If the unknown source of RONO<sub>2</sub> has a low  $\alpha$  of 1%, the missing reactivity must be  $\approx 3$  s<sup>-1</sup>. On the other hand, if the unknown source of RONO<sub>2</sub> has a higher  $\alpha$  of 20%, the missing reactivity must be  $\approx 0.15$  s<sup>-1</sup>. For reference, during KORUS-AQ the average isoprene reactivity was 0.051 s<sup>-1</sup> and the average toluene reactivity was 0.054 s<sup>-1</sup>.

We hypothesize three potential missing sources of semivolatile RONO<sub>2</sub>: (1) missing source(s) of semi- and intermediate-volatility organic compounds (S/IVOCs) that are oxidized to RONO<sub>2</sub>; (2) unrepresented autoxidation mechanisms that produce highly oxygenated organic peroxy radicals (RO<sub>2</sub>) which could react with NO to form RONO<sub>2</sub>; or (3) more generations of bimolecular oxidation than are currently represented.

S/IVOCs are considered major SOA precursors (e.g., Robinson et al., 2007; Grieshop et al., 2009; Dzepina et al., 2009; Hodzic et al., 2010; Pye and Seinfeld, 2010; Dzepina et al., 2011; Zhao et al., 2014; Hayes et al., 2015; Ortega et al., 2016; Ma et al., 2017; Nault et al., 2018), but their concentrations are challenging to measure in the atmosphere due to condensation within instruments (e.g., Pagonis et al., 2017), and their chemistry is difficult to measure in chamber experiments due to wall loss (e.g., Zhang et al., 2014). Nault et al.

(2018) concluded that, during KORUS-AQ, S/IVOCs and reactive aromatics contributed to 70% of the total SOA over Seoul. Because they are emitted with relatively low volatility, oxidation of S/IVOCs to form RONO<sub>2</sub> could contribute to the missing source of semivolatile RONO<sub>2</sub>. Because the addition of a nitrate group decreases a molecule's volatility by  $\approx 2.5$  orders of magnitude (Pankow and Asher, 2008), a missing RONO<sub>2</sub> source with saturation concentration  $300 \mu\text{g m}^{-3}$  implies a precursor with  $C^* = 10^5 \mu\text{g m}^{-3}$ , namely an IVOC. The contribution of S/IVOCs to pRONO<sub>2</sub> is not unprecedented; Lee et al. (2019) determined that much of the pRONO<sub>2</sub> formation in the Alberta oil sands occurred via photo-oxidation of IVOCs under high-NO<sub>x</sub> conditions.

Autoxidation, a mechanism involving an intramolecular hydrogen-shift followed by addition of molecular oxygen in RO<sub>2</sub> radicals, can quickly (in seconds) generate highly oxygenated molecules, or HOMs (Crounse et al., 2013; Bianchi et al., 2019 and references therein). Because of their high oxygen content, HOMs have significantly reduced volatility compared to their parent VOCs (e.g., Tröstl et al., 2016; Ehn et al., 2014; Mutzel et al., 2015). While most previous studies of HOMs have focused on autoxidation of RO<sub>2</sub> derived from biogenic VOCs, theoretical calculations by Wang et al. (2017) indicate that substituted benzenes, which were measured in high abundance during KORUS-AQ (Simpson et al., 2020; Fried et al., 2020), may also produce HOMs through autoxidation of bicyclic peroxy radicals. Although autoxidation becomes relatively more competitive with bimolecular oxidation pathways as NO<sub>x</sub> decreases, absolute rates of autoxidation increase with increasing NO<sub>x</sub> due to increased oxidant availability (Pye et al., 2019). In Korea's high-NO<sub>x</sub> environment, autoxidation may generate highly oxidized RO<sub>2</sub> which could produce RONO<sub>2</sub> via reaction with NO (R33).

Additionally, multiple recent studies have suggested that multi-generation OH oxidation of aromatics can lead to highly oxygenated oxidation products, many of which, particularly under high-NO<sub>x</sub> conditions, contain nitrogen (e.g., Tsiligiannis et al., 2019; Garmash et al., 2020; Cheng et al., 2021). Some of these nitrogen-containing products are likely organic nitrates, but the nitrogen-containing product distribution also includes peroxy nitrates and nitro aromatics. Because aromatics are a large contributor to total VOCs over Korea (Simpson et al., 2020; Fried et al., 2020), there could be significant production of semivolatile, multi-functional, oxygenated organic nitrates from multi-generation oxidation of aromatic VOCs.

## 4.5 Conclusions

Exploration of the phase partitioning of RONO<sub>2</sub> over the Korean peninsula using our aircraft-based measurements of pRONO<sub>2</sub> and tRONO<sub>2</sub> during KORUS-AQ, as an example of urban chemistry, indicate that organic nitrates contribute  $\approx 15\%$  of the total OA. This significant contribution of organic nitrates to the OA burden, as has been observed elsewhere, reinforces the notion that a better understanding of the processes that control the production, loss, and phase partitioning of RONO<sub>2</sub> are crucial for understanding the processes that control SOA production and loss. Our current understanding of RONO<sub>2</sub> chemistry can only explain

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one third of the observed RONO<sub>2</sub> in Korea and is therefore missing a source of semi-volatile, anthropogenically-derived RONO<sub>2</sub> in and around Seoul. We recommend further laboratory and field research to determine the source VOCs and mechanisms that drive the production of this missing source of organic nitrates.

# Chapter 5

## Conclusion

### 5.1 Summary

The work presented here leverages data from four aircraft experiments in conjunction with modeling to provide new constraints on the lifetime and fate of NO<sub>x</sub> in urban environments. I focus particularly on the importance of nighttime chemistry and condensed phase chemistry.

In Chapter 2, I showed that the wintertime lifetime of NO<sub>x</sub> in the Northeast US is controlled by nocturnal multiphase chemistry. The dominant wintertime sink of NO<sub>x</sub> in this environment is HNO<sub>3</sub> formed via heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub>, resulting in a nighttime NO<sub>x</sub> lifetime of  $\approx$  6 hours. Daytime NO<sub>x</sub> loss in this environment is much slower, with a NO<sub>x</sub> lifetime of 29 hours.

In Chapter 3, I showed that nocturnal, NO<sub>3</sub>-initiated production of RONO<sub>2</sub> is competitive with daytime OH-initiated RONO<sub>2</sub> production in three distinct chemical environments: the rural Southeast US, the Colorado Front Range, and the mega-city of Seoul, South Korea. Though nocturnal NO<sub>3</sub>-initiated RONO<sub>2</sub> production has typically been assumed negligible in urban environments, I show that nighttime RONO<sub>2</sub> production is similar in magnitude to daytime production in all three of these regions.

Finally, in Chapter 4 I examined the contribution of condensed RONO<sub>2</sub> to the organic aerosol budget in Seoul, South Korea. I find that  $\approx$  15% of the organic aerosol mass can be attributed to RONO<sub>2</sub>, similar in magnitude to recent observations in other areas of the world. However, our current understanding of RONO<sub>2</sub> chemistry can only explain half of the observed RONO<sub>2</sub>.

### 5.2 Predictions about future urban NO<sub>x</sub> chemistry

Over the past few decades, US cities have enacted emission controls on VOCs which have been particularly effective for temperature-independent VOC sources. As a result, much of the remaining VOC abundance is emitted from temperature-dependent sources via evaporation or biogenic processes. Recent studies have shown that VOC concentrations in Los Angeles

are now steeply temperature dependent, causing both  $O_3$  and organic aerosol to also be temperature dependent (Nussbaumer and Cohen, 2020; Nussbaumer and Cohen, 2021). In the nearby San Joaquin Valley, Pusede et al. (2014) found that a large fraction of VOC emissions are temperature dependent, causing  $O_3$  exceedances to also depend strongly on temperature.

As the proportion of electric cars and trucks increases, we expect further reductions in urban  $NO_x$  and VOC emissions. As cities continue to shift to low- $NO_x$  chemical regimes with VOC reactivity (VOCR) controlled primarily by temperature, the emission patterns and dominant chemical reactions change. For example, Romer Present, Zare, and Cohen (2020) showed that recent changes in  $NO_x$  and VOC emissions have led to an increase in the importance of  $RONO_2$  as a loss pathway for  $NO_x$  and a corresponding decrease in the importance of  $HNO_3$  as a sink of  $NO_x$ .

We set up a simple steady-state model to predict how  $RONO_2$  chemistry will change as urban  $NO_x$  emissions continue to decrease and as VOC emissions become more strongly temperature-dependent. For daytime photochemistry, we adopt the steady-state approximations detailed in Murphy et al. (2006b). For nighttime dark reactions, we use the steady-state approximations detailed in Chapter 2 of this dissertation. Nussbaumer and Cohen (2021) found that, in Los Angeles, VOC concentrations increase by a factor of  $\approx 2.5$  from  $20^\circ\text{C}$  to  $40^\circ\text{C}$ . As an illustrative model, we adopt this temperature dependence and assign a hypothetical VOCR increase from 3 to  $7.5 \text{ s}^{-1}$  from  $20^\circ\text{C}$  to  $40^\circ\text{C}$ . Further, we estimate that the  $RONO_2$  in this hypothetical urban scenario can be described by a volatility basis set with  $C^* = \{5 \times 10^0, 5 \times 10^2, 5 \times 10^4\} \mu\text{g m}^{-3}$  with an enthalphy of vaporization of  $80 \text{ kJ mol}^{-1}$ . We also adopt the temperature dependence of organic aerosol in Los Angeles determined in Nussbaumer and Cohen (2021) and assume it to be invariant with  $NO_x$ . Our daytime steady-state model assumes a constant  $P(HO_x)$  of  $6.25 \times 10^6 \text{ molec cm}^{-3} \text{ s}^{-1}$ , a constant  $NO_2:NO$  ratio of 4, and a constant branching ratio  $\alpha$  of 0.04. Our nighttime steady-state model assumes a constant  $O_3$  mixing ratio of 40 ppb, a constant heterogeneous uptake coefficient of  $N_2O_5$  ( $\gamma_{N_2O_5}$ ) of 0.04, and a constant aerosol surface area of  $100 \mu\text{m}^2 \text{ cm}^{-3}$ .

We predict how the balance of  $NO_x$  sinks will change under low- $NO_x$  regimes when VOC emissions are highly temperature dependent, as shown in Figure 5.1. We predict that the fraction of  $NO_x$  lost as  $RONO_2$  increases as  $NO_x$  decreases during both day and night. We also predict that the fraction of  $NO_x$  lost as  $RONO_2$  will increase with temperature, but that this increase will be steeper for nocturnal chemistry than for photochemistry.

In this scenario, the contribution of p $RONO_2$  to the organic aerosol mass will change as  $NO_x$  emissions continue to decrease and as VOC emissions continue to be highly temperature dependent. As shown in Figure 5.1, the contribution of photochemically-produced p $RONO_2$  to OA peaks at intermediate  $NO_x$  concentrations and low temperatures. The contribution of  $RONO_2$  produced from  $NO_3$  chemistry decreases with decreasing  $NO_x$  concentration and is relatively invariant with temperature. However, these predictions could be complicated by changes in the  $RONO_2$  product distribution (and thus  $RONO_2$  vapor pressure distribution) as  $NO_x$  concentrations change, including by changes in the relative importance of autoxidation mechanisms with changes in  $NO_x$  concentrations. The steady-state model used here does

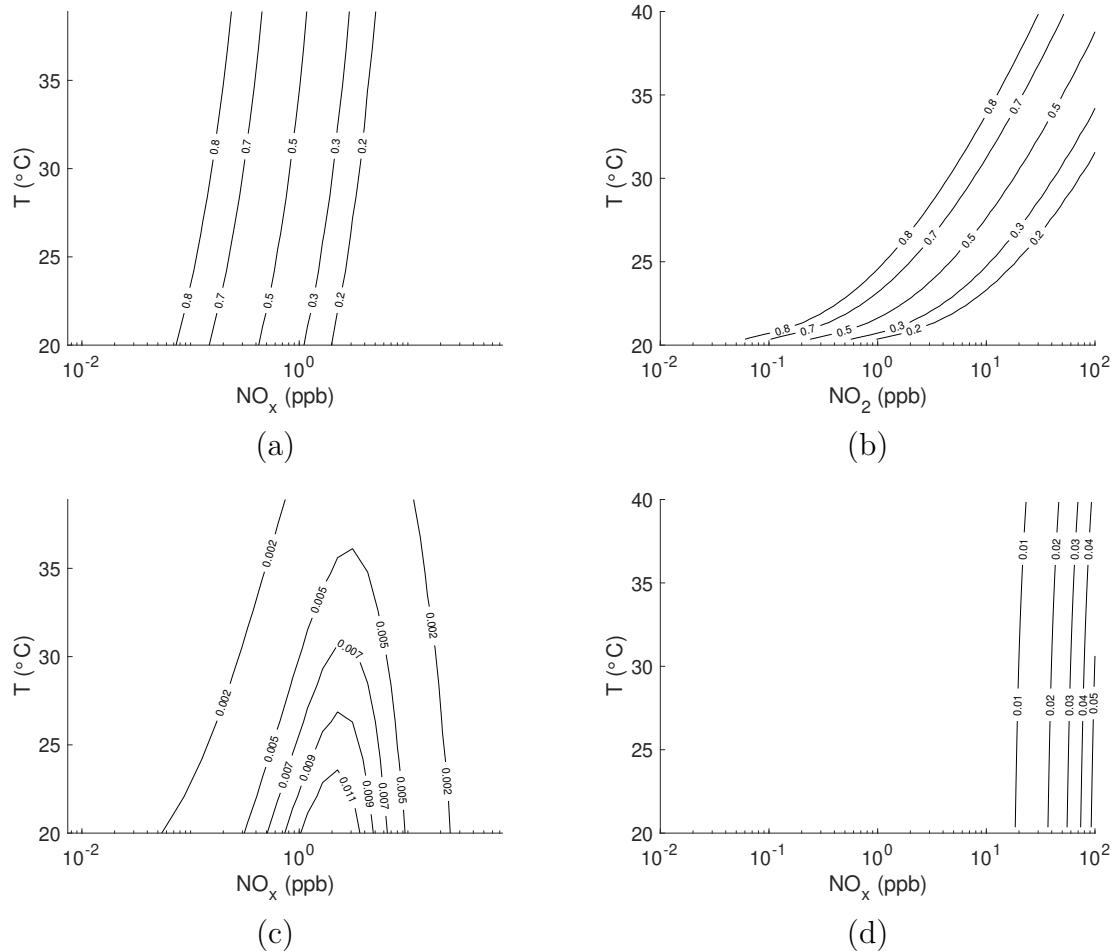


Figure 5.1: Plots of the fraction of  $\text{NO}_x$  lost as  $\text{RONO}_2$  during (a) daytime photochemistry and (b) nocturnal chemistry, and plots of the fraction of OA attributable to one hour of  $\text{RONO}_2$  production during (c) daytime photochemistry and (d) nocturnal chemistry, as a function of temperature and  $\text{NO}_x$  concentration. Parameterized temperature dependence includes changes in VOCR with temperature, changes in  $\text{N}_2\text{O}_5$  equilibrium with temperature (for nighttime calculations), and changes in saturation concentration with temperature (for aerosol calculations). Calculations were done with a steady-state model.

not account for these possible changes.

### 5.3 Questions about the future of NO<sub>x</sub> chemistry

The work presented here raises a number of further questions about the future of NO<sub>x</sub> chemistry. Here I offer a number of questions to guide further research in this area.

- As urban areas shift to low-NO<sub>x</sub> chemical regimes with VOC emissions largely temperature dependent, are the predictions outlined in Section 5.2 correct? The steady-state model used in our predictions does not account for changes in oxidation product distributions as NO<sub>x</sub> concentrations change. What effect will possible changes in product distributions have on NO<sub>x</sub> lifetime and organic aerosol production?
- Chapter 3 discusses the importance of nocturnal RONO<sub>2</sub> production for NO<sub>x</sub> loss in a rural area, an area with both urban and oil/gas emissions, and a megacity. Are there other environments in which NO<sub>3</sub>-initiated production of RONO<sub>2</sub> is important for understanding the NO<sub>x</sub> lifetime?
- Chapter 4 discusses the contribution of pRONO<sub>2</sub> to OA in Seoul. Is the contribution similar in other cities? How does this understanding of a substantial contribution of pRONO<sub>2</sub> to OA mass influence our understanding of aerosol lifetime?
- Autoxidation becomes a more important process in lower NO<sub>x</sub> regimes. What role do autoxidation mechanisms play in the production of RONO<sub>2</sub>? A combination of further laboratory and field studies will be important for characterizing these mechanisms.
- Are semi- and intermediate-volatility organic compounds (S/IVOCs) important precursors of RONO<sub>2</sub>? Measurement capabilities of S/IVOCs are currently limited. Further research into measurement techniques, oxidation mechanisms, and RONO<sub>2</sub> yields of S/IVOCs could lead to important insights related to production of condensable RONO<sub>2</sub>.

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## Appendix A

# Supporting information for “NO<sub>x</sub> lifetime and NO<sub>y</sub> partitioning during WINTER”

### A.1 Daytime production rate calculations

We calculate the daytime production of alkyl nitrates via Reaction R13 as

$$P(\Sigma \text{ ANs}) = \sum_i \alpha_i f_{\text{NO}_i} k_{\text{OH}+\text{RH}_i} [\text{OH}] [\text{RH}_i] \quad (\text{A.1})$$

where

$$f_{\text{NO}_i} = \frac{k_{\text{RO}_{2i}+\text{NO}} [\text{NO}]}{k_{\text{RO}_{2i}+\text{NO}} [\text{NO}] + k_{\text{RO}_{2i}+\text{HO}_2} [\text{HO}_2] + k_{\text{RO}_{2i}+\text{RO}_2} [\text{RO}_2]} \approx 1 \text{ during WINTER} \quad (\text{A.2})$$

We approximate  $f_{\text{NO}} \approx 1$  since  $[\text{NO}] \gg [\text{HO}_2]$ ,  $[\text{RO}_2]$  during WINTER. We use the following VOCs: methane, ethane, propane, n-butane, n-pentane, i-butane, i-pentane, 2-methyl pentane, 3-methyl pentane, n-hexane, n-heptane, isoprene, methacrolein, methyl vinyl ketone,  $\alpha$ -pinene,  $\beta$ -pinene, butanal, 1-butene, benzene, toluene, o-xylene, m-xylene, p-xylene, ethyl benzene, 1,2,4-trimethyl benzene, and 1,2,3-trimethyl benzene (Perring, Pusede, and Cohen, 2013). All  $\alpha$  values were taken from Perring, Pusede, and Cohen (2013). We calculate the daytime production of peroxy nitrates as (LaFranchi et al., 2009)

$$P(\Sigma \text{ PNs}) = \beta \times \alpha_{\text{CH}_3\text{CHO}} \times k_{\text{CH}_3\text{CHO}} \times [\text{OH}] [\text{CH}_3\text{CHO}] \quad (\text{A.3})$$

where

$$\beta = \frac{k_{\text{RC(O)O}_2+\text{NO}_2}[\text{NO}_2]}{k_{\text{RC(O)O}_2+\text{NO}_2}[\text{NO}_2] + k_{\text{RC(O)O}_2+\text{NO}}[\text{NO}] + k_{\text{RC(O)O}_2+\text{HO}_2}[\text{HO}_2] + k_{\text{RC(O)O}_2+\text{RO}_2}[\text{RO}_2]} \quad (\text{A.4})$$

We estimate [RO<sub>2</sub>] as (Browne et al., 2013)

$$[\text{RO}_2] = \frac{-k_{\text{HO}_2+\text{RO}_2}[\text{HO}_2] - k_{\text{NO}+\text{RO}_2}[\text{NO}] + \sqrt{x}}{4 \times k_{\text{RO}_2+\text{RO}_2}} \quad (\text{A.5})$$

where

$$x = (k_{\text{HO}_2+\text{RO}_2}[\text{HO}_2] + k_{\text{NO}+\text{RO}_2}[\text{NO}])^2 + 8 \times k_{\text{RO}_2+\text{RO}_2} \times P(\text{RO}_2) \quad (\text{A.6})$$

We calculate daytime production of nitric acid as

$$P(\text{HNO}_3) = k_{\text{OH}+\text{NO}_2}[\text{OH}][\text{NO}_2] \quad (\text{A.7})$$

## A.2 Nighttime production rate calculations

We calculate the average production rate of HNO<sub>3</sub> (Eq. A.8) from N<sub>2</sub>O<sub>5</sub> reactions on aerosol surfaces at night as two times the rate of R17 plus the rate of R18 since R17 produces two molecules of nitric acid for each molecule of N<sub>2</sub>O<sub>5</sub> consumed and R18 produces one molecule of nitric acid for each molecule of N<sub>2</sub>O<sub>5</sub> consumed:

$$P(\text{HNO}_3) = \frac{1}{4} \times \bar{c}_{\text{N}_2\text{O}_5} \times \gamma_{\text{N}_2\text{O}_5} \times [\text{N}_2\text{O}_5] \times (2 \times \text{SA} + \text{SA}_{\text{sea salt}}) \quad (\text{A.8})$$

Here  $\bar{c}_{\text{N}_2\text{O}_5}$  represents the mean molecular speed of N<sub>2</sub>O<sub>5</sub> and  $\gamma_{\text{N}_2\text{O}_5}$  represents the heterogeneous uptake coefficient for N<sub>2</sub>O<sub>5</sub>. The rate of R17 is proportional to the wet surface area (SA) of aerosol particles and the rate of R18 is proportional to the surface area of chloride-containing aerosol particles (SA<sub>sea salt</sub>). This rate is valid for small values of  $\gamma_{\text{N}_2\text{O}_5}$  and small particles (i.e., not diffusion-limited regimes) (Sutugin and Fuchs, 1970).

We estimate  $\gamma_{\text{N}_2\text{O}_5}$  using our 2-box model described in Sect. 2.4. For simplicity, we use the same  $\gamma_{\text{N}_2\text{O}_5}$  for all aerosols regardless of sea salt content, though this coefficient can vary.

Wet aerosol surface area is calculated using the dry aerosol surface area measured by the passive cavity aerosol spectrometer probe corrected for hygroscopic growth. We estimate the wet aerosol surface area by applying growth factors as a function of the measured relative humidity. The growth factors are calculated with the E-AIM model (<http://www.aim.env.uea.ac.uk/aim/aim.php>) assuming that the submicron aerosol is composed of NH<sub>4</sub>NO<sub>3</sub>. We use the median wet aerosol surface area over the ocean for SA, though there was variation in the observed aerosol surface area.

We use the average fraction of total aerosol surface area that is attributable to sea salt aerosols as a simple proxy for ClNO<sub>2</sub> yield, though chlorine can be displaced from sea spray and repartitioned into smaller aerosol particles, and there are additional factors that contribute to ClNO<sub>2</sub> yield (e.g., Bertram and Thornton, 2009; Wagner et al., 2012; Riedel et al.,

2013). To estimate the surface area of sea salt aerosols ( $SA_{\text{sea salt}}$ ), we assume that sea salt mass is concentrated in the super-micron particle size range. Particles with diameter 1-10  $\mu\text{m}$  (super-micron) contribute an average of 3% ( $\pm 3\%$ , 1 standard deviation) to the total aerosol surface area at night over the ocean during WINTER, so we define  $SA_{\text{sea salt}} = 0.03 \times SA$ . This approach does not account for any variation in the ClNO<sub>2</sub> yield and may underestimate ClNO<sub>2</sub> yield because it ignores any contribution of sub-micron chloride-containing aerosols to ClNO<sub>2</sub> formation. Sub-micron aerosols dominate urban aerosol surface area and, consequently, dominate N<sub>2</sub>O<sub>5</sub> uptake onto aerosols.

### A.3 Uncertainty calculations

We estimate the range of NO<sub>x</sub> e-folding lifetimes ( $\tau_{\text{total}}$ , accounting for both physical and chemical loss processes) using the bivariate York fitting method (York et al., 2004) which accounts for variability in both the  $x$  and  $y$  variables. We assume variability in  $x$  (time elapsed from East Coast) is dominated by the variation in wind speed during a given flight. The average fractional  $1\sigma$  variation in wind speed within each day or night subset of each flight used in this analysis was 30%, so we assign a fractional uncertainty of 30% in  $x$ . We estimate variability in  $y$  ([NO<sub>x</sub>]) as the  $1\sigma$  variation in the observed NO<sub>x</sub> concentration in each time bin.

Since HNO<sub>3</sub> is the dominant sink of NO<sub>x</sub> during both day and night, we assume variability in the chemical lifetime of NO<sub>x</sub> ( $\tau_{\text{chem.}}$ ) is dominated by variation in the rate of conversion of NO<sub>x</sub> to HNO<sub>3</sub>. We assume the uncertainty in the daytime chemical lifetime of NO<sub>x</sub> lost to HNO<sub>3</sub> is dominated by uncertainty in modeled OH concentrations which we estimate as  $1\sigma$  variation in modeled [OH]. We estimate the variability in the nighttime chemical lifetime of NO<sub>x</sub> from uncertainties in [NO], [NO<sub>2</sub>], [N<sub>2</sub>O<sub>5</sub>], aerosol surface area, and  $\gamma_{\text{N}_2\text{O}_5}$ . We incorporate CL instrument uncertainty for [NO] = 10% (Ridley et al., 1994), TD-LIF instrument uncertainty for [NO<sub>2</sub>] = 10% (Day et al., 2002), HRToF-CIMS instrument uncertainty for [N<sub>2</sub>O<sub>5</sub>] = 30% (Kercher, Riedel, and Thornton, 2009; Lee et al., 2014a), and PCASP uncertainty for aerosol surface area = 41% (Strapp, Leaitch, and Liu, 1992).

We have defined the e-folding lifetime of NO<sub>x</sub> and the chemical lifetime of NO<sub>x</sub> as first order with respect to the concentration of NO<sub>x</sub>, but we have defined the mixing lifetime of NO<sub>x</sub> as first order with respect to the concentration gradient of NO<sub>x</sub> between the boundary layer (BL) and the free troposphere (FT). If we make the assumption that  $[\text{NO}_x]_{\text{FT}} \ll [\text{NO}_x]_{\text{BL}}$  such that  $[\text{NO}_x]_{\text{BL}} - [\text{NO}_x]_{\text{FT}} \approx [\text{NO}_x]_{\text{BL}}$ , then

$$\frac{1}{\tau_{\text{total}}} = \frac{1}{\tau_{\text{chem.}}} + \frac{1}{\tau_{\text{mix}}} \quad (\text{A.9})$$

Accounting for the estimated variability in  $\tau_{\text{total}}$  and  $\tau_{\text{chem.}}$ , we estimate the variability in  $\tau_{\text{mix}}$  to be 8.2 h during the day and 10.7 h at night.

## Appendix B

**Supporting information for “Evidence of nighttime production of organic nitrates during SEAC<sup>4</sup>RS, FRAPPÉ, and KORUS-AQ”**

Table B.1: Description of instrumentation used in analysis

Species	Technique	Accuracy	Sampling interval	Reference
NO <sub>2</sub> , RONO <sub>2</sub>	TD-LIF <sup>a</sup>	15%	10 s	Day et al., 2002; Wooldridge et al., 2010
O <sub>3</sub> , NO	CL <sup>b</sup>	3-15%	1 s	Ryerson et al., 1999
O <sub>3</sub> , NO	CL <sup>c</sup>	5-10%	1 s	Ryerson, Williams, and Fehsenfeld, 2000
alkenes	WAS <sup>d</sup>	1-5%	30-60 s	Ridley et al., 1994; Weinheimer et al., 1994
alkenes	TOGA <sup>e</sup>	<15%	2 min	Colman et al., 2001; Simpson et al., 2011 Apel et al., 2015

<sup>a</sup> UC Berkeley Thermal Dissociation-Laser Induced Fluorescence

<sup>b</sup> NOAA chemiluminescence, used during SEAC<sup>4</sup>RS

<sup>c</sup> NCAR chemiluminescence, used during FRAPPÉ and KORUS-AQ

<sup>d</sup> UC Irvine Whole Air Sampling with gas chromatography

<sup>e</sup> NCAR Trace Organic Gas Analyzer

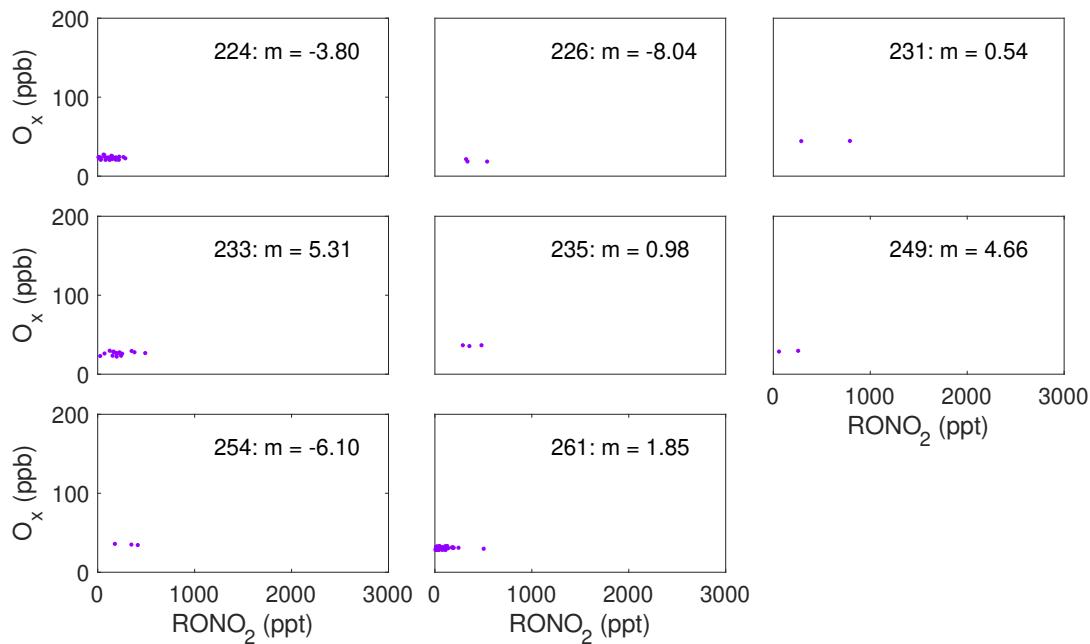


Figure B.1: Plots of  $O_x$  vs.  $RONO_2$  during SEAC<sup>4</sup>RS during morning (before 11:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit ( $m$ ). Only data in the boundary layer (< 1 km) are included.

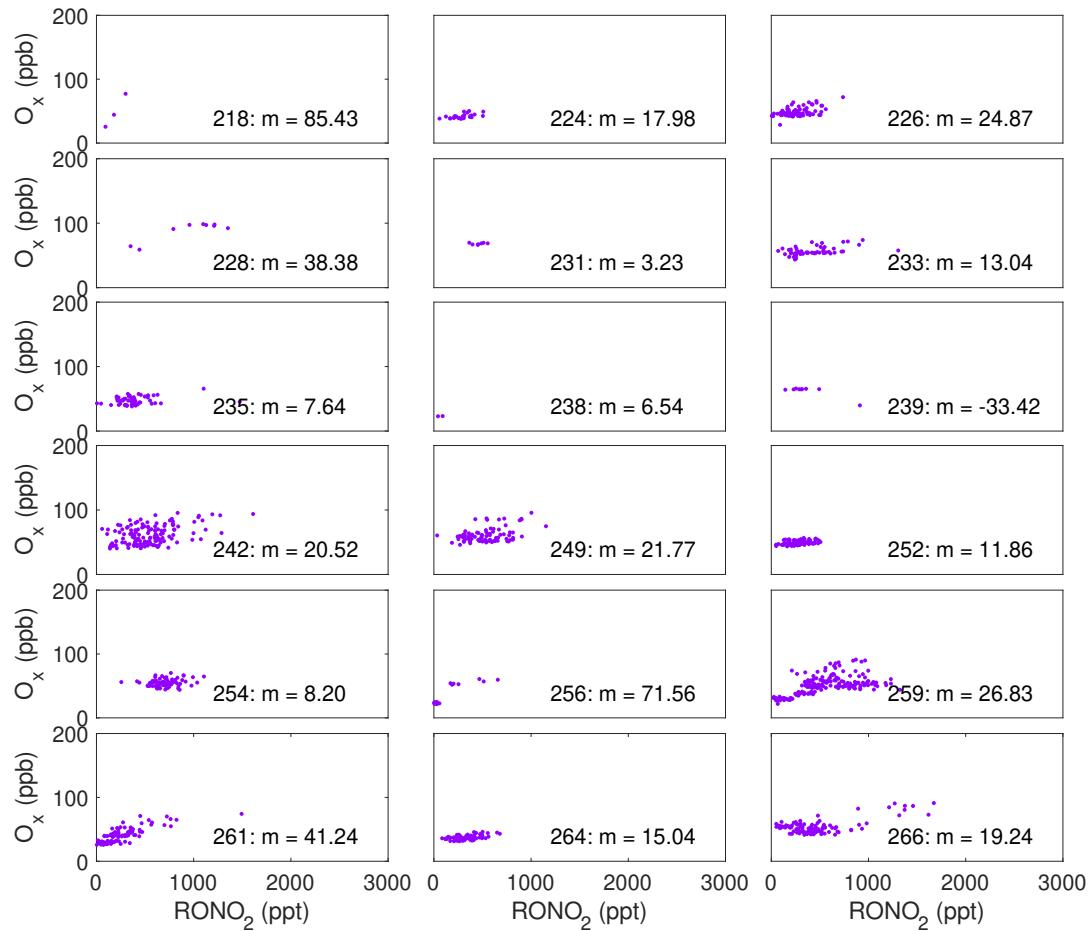


Figure B.2: Plots of O<sub>x</sub> vs. RONO<sub>2</sub> during SEAC<sup>4</sup>RS during afternoon (13:00 - 19:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit (m). Only data in the boundary layer (< 1 km) are included.

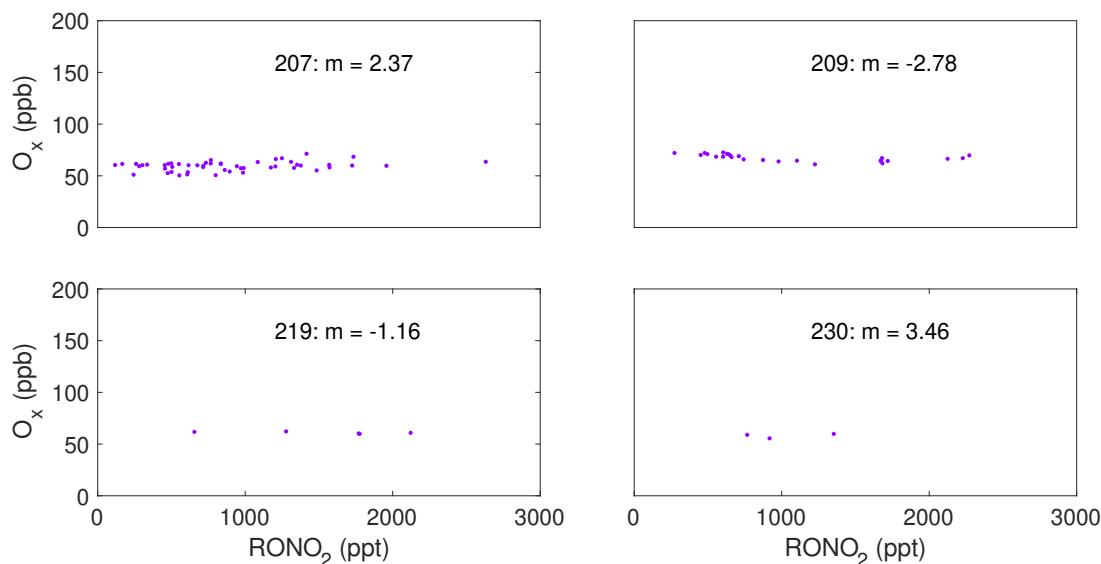


Figure B.3: Plots of  $O_x$  vs.  $RONO_2$  during FRAPPÉ during morning (before 11:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit ( $m$ ). Only data in the boundary layer (< 2 km) are included.

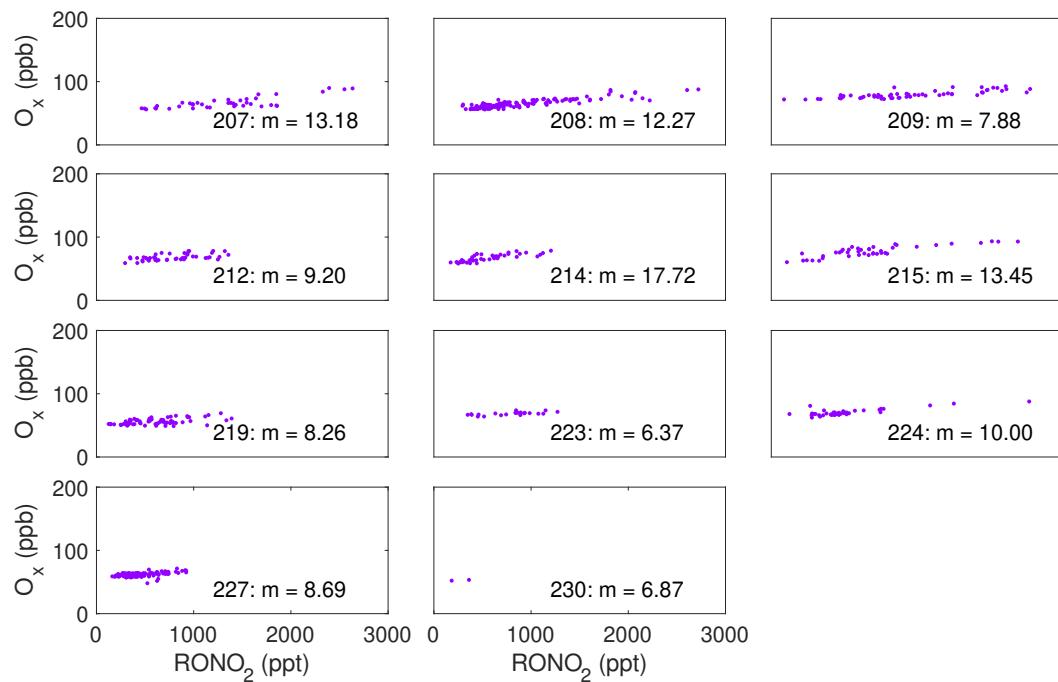


Figure B.4: Plots of  $O_x$  vs.  $RONO_2$  during FRAPPÉ during afternoon (13:00 - 19:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit ( $m$ ). Only data in the boundary layer (< 2 km) are included.

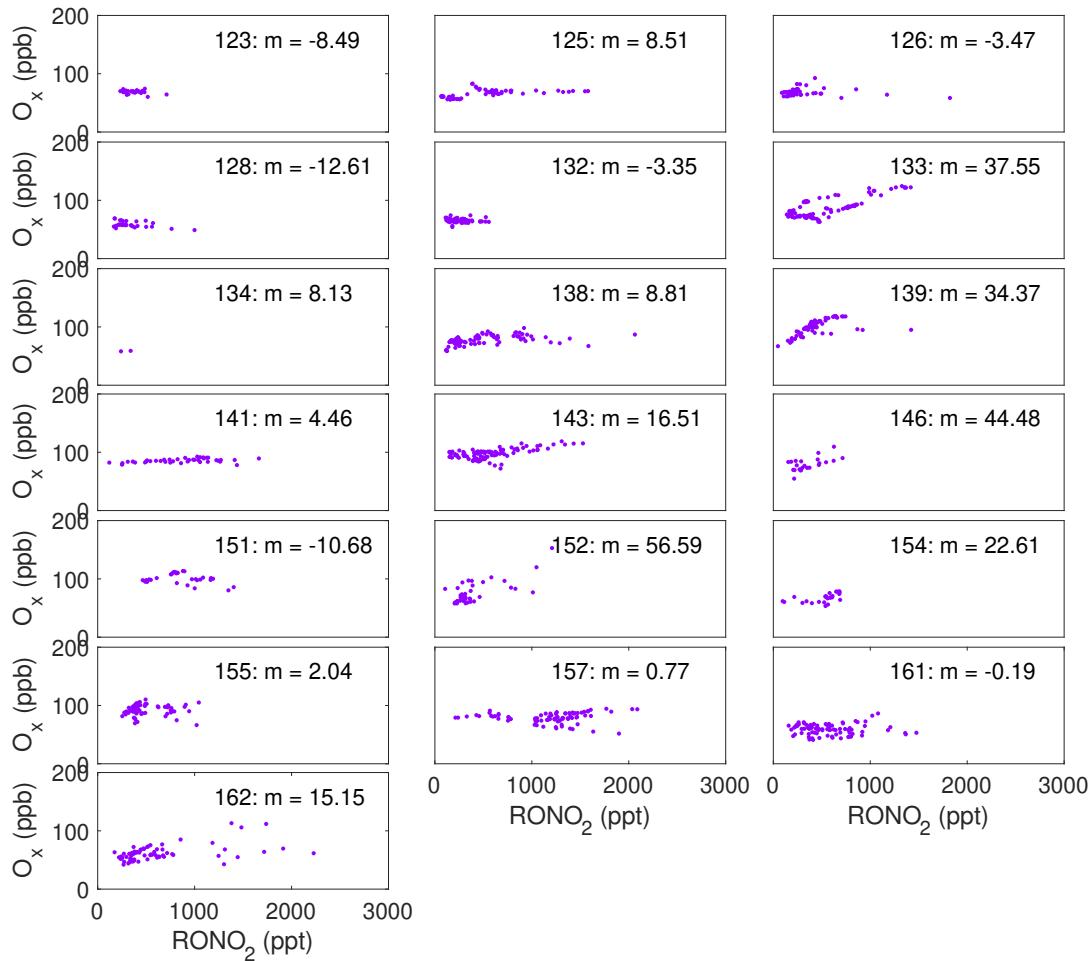


Figure B.5: Plots of O<sub>x</sub> vs. RONO<sub>2</sub> during KORUS-AQ during morning (before 11:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit (m). Only data in the boundary layer (< 1 km) are included.

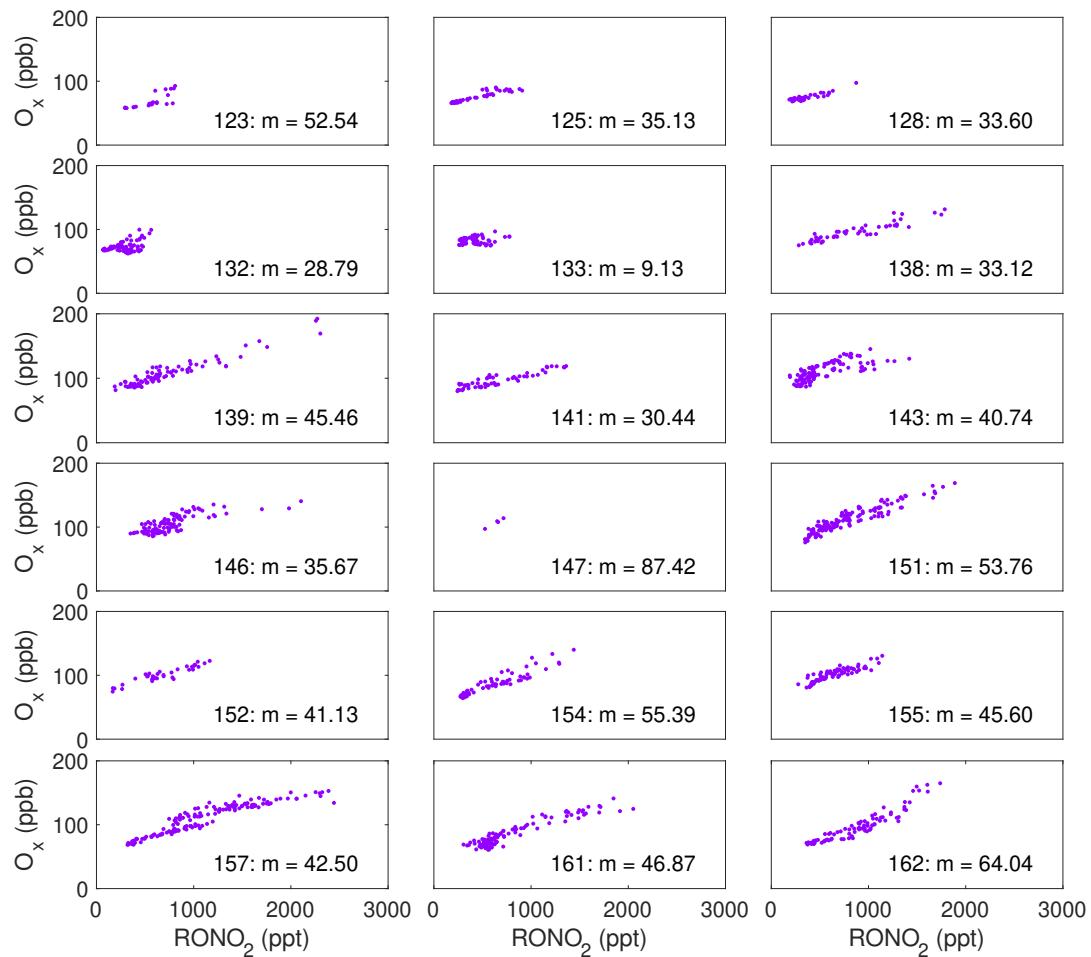


Figure B.6: Plots of  $O_x$  vs.  $RONO_2$  during KORUS-AQ during afternoon (13:00 - 19:00 local time) for each flight day. Plots are labeled by flight date (Julian day) and the slope of a York linear fit ( $m$ ). Only data in the boundary layer (< 1 km) are included.

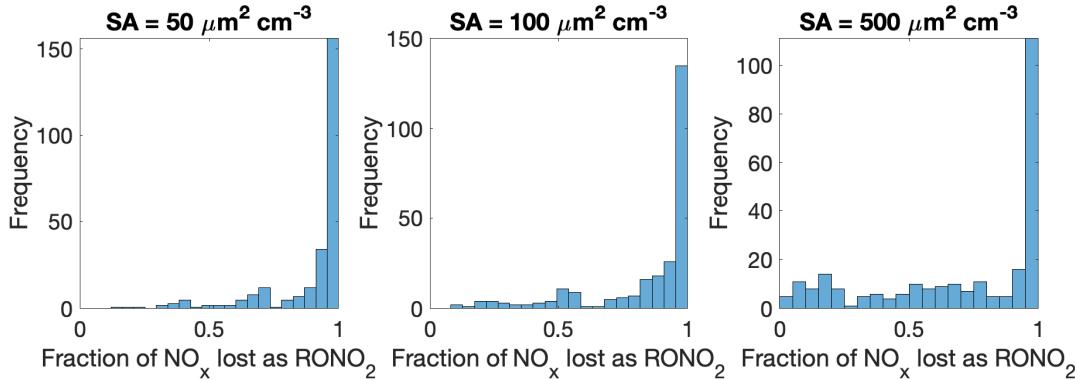


Figure B.7: Histograms of the fraction of  $\text{NO}_x$  lost as  $\text{RONO}_2$  (defined as  $\frac{P(\text{RONO}_2)}{P(\text{RONO}_2)+P(\text{HNO}_3)}$  overnight) calculated from evening observations (after 16:30) of temperature, pressure, alkenes,  $\text{NO}_2$ , and  $\text{O}_3$  during SEAC<sup>4</sup>RS for three different aerosol surface areas ( $\text{SA} = 50, 100,$  and  $500 \mu\text{m}^2 \text{cm}^{-3}$ ) assuming  $\gamma(\text{N}_2\text{O}_5) = 0.04$  and  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$  in steady-state.

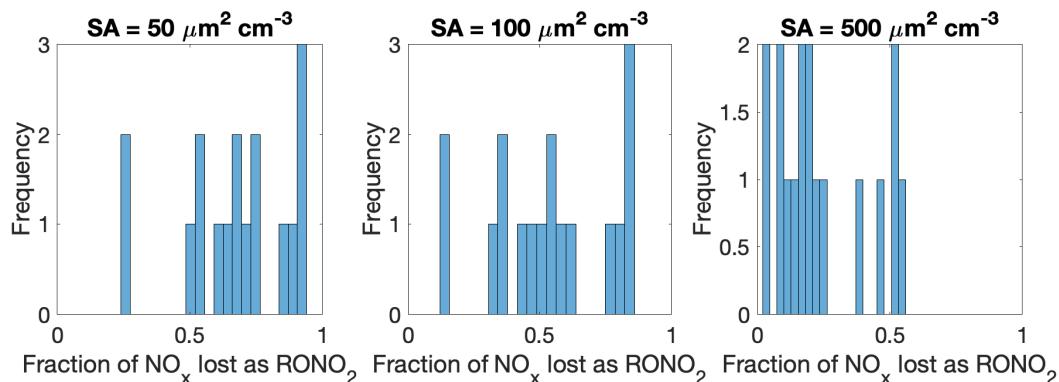


Figure B.8: Histograms of the fraction of  $\text{NO}_x$  lost as  $\text{RONO}_2$  (defined as  $\frac{P(\text{RONO}_2)}{P(\text{RONO}_2)+P(\text{HNO}_3)}$  overnight) calculated from evening observations (after 16:30) of temperature, pressure, alkenes,  $\text{NO}_2$ , and  $\text{O}_3$  during FRAPPÉ for three different aerosol surface areas ( $\text{SA} = 50, 100,$  and  $500 \mu\text{m}^2 \text{cm}^{-3}$ ) assuming  $\gamma(\text{N}_2\text{O}_5) = 0.04$  and  $\text{NO}_3$  and  $\text{N}_2\text{O}_5$  in steady-state.

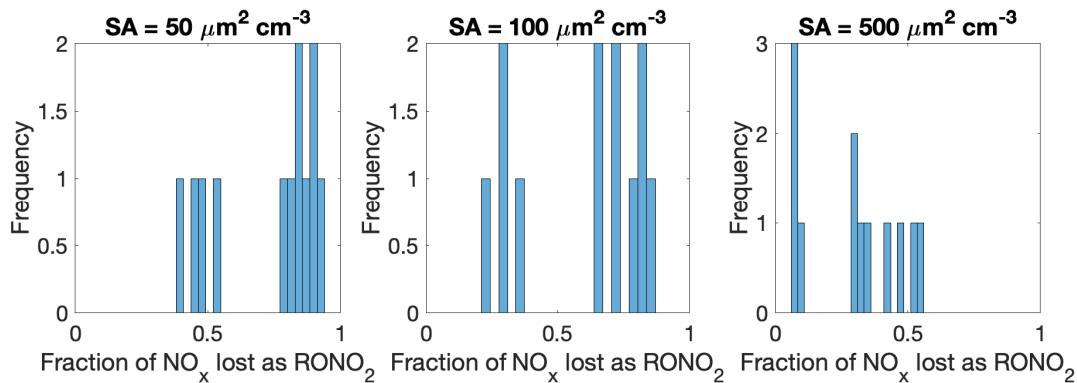


Figure B.9: Histograms of the fraction of NO<sub>x</sub> lost as RONO<sub>2</sub> (defined as  $\frac{P(\text{RONO}_2)}{P(\text{RONO}_2)+P(\text{HNO}_3)}$ ) overnight) calculated from evening observations (after 16:30) of temperature, pressure, alkenes, NO<sub>2</sub>, and O<sub>3</sub> during KORUS-AQ for three different aerosol surface areas (SA = 50, 100, and 500  $\mu\text{m}^2 \text{cm}^{-3}$ ) assuming  $\gamma(\text{N}_2\text{O}_5) = 0.04$  and NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> in steady-state.

## Appendix C

# Supporting information for “Contribution of organic nitrates to organic aerosol over South Korea during KORUS-AQ”

### C.1 Particle loss corrections applied to TD-LIF measurements

In the TD-LIF inlet (as shown in Figure C.1), air for all four channels goes through 10 cm of 1/4" outer diameter PFA Teflon tubing before heating. In addition to this, the pRONO<sub>2</sub> channel has 12 cm of antistatic polyurethane, the denuder, 80 cm of antistatic polyurethane, and 8 cm of PFA before heating, all of which have 1/4" outer diameter.

We conducted particle charge loss experiments with PFA Teflon tubing to determine what particle losses could occur in the TD-LIF inlet. We sampled charged particles through both 10 cm and 20 cm lengths of PFA Teflon; the results were the same between both lengths. During the experiment (as shown in Figure C.2), we size-selected ambient particles (in the laboratory) using a differential mobility analyzer (TSI Inc., Model 3081). We alternately sampled through PFA Teflon for 1-2 minutes, then sampled through stainless steel for the same amount, and finally compared the concentrations of particles sampled through each tubing material with a condensation particle counter (TSI Inc., Model 3075).

We sampled both positively and negatively charged particles, and found that they both behave similarly, though we found larger variability in the loss of negatively charged particles (as shown in Figure C.3). We found that particle loss decreases with increasing aerosol size. This makes sense as the electrophoretic force from the charges on the Teflon wall is the same for all particles that have a single charge (most of the charged particles), but the migration velocity scales inversely with the squared diameter.

Taking into account the ambient distribution of charged particles (Wiedensohler, 1988),

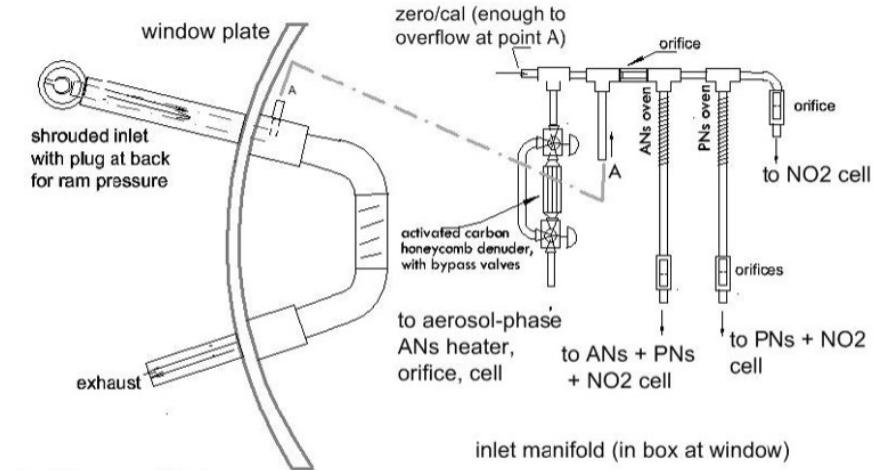


Figure C.1: Inlet diagram for TD-LIF during KORUS-AQ.

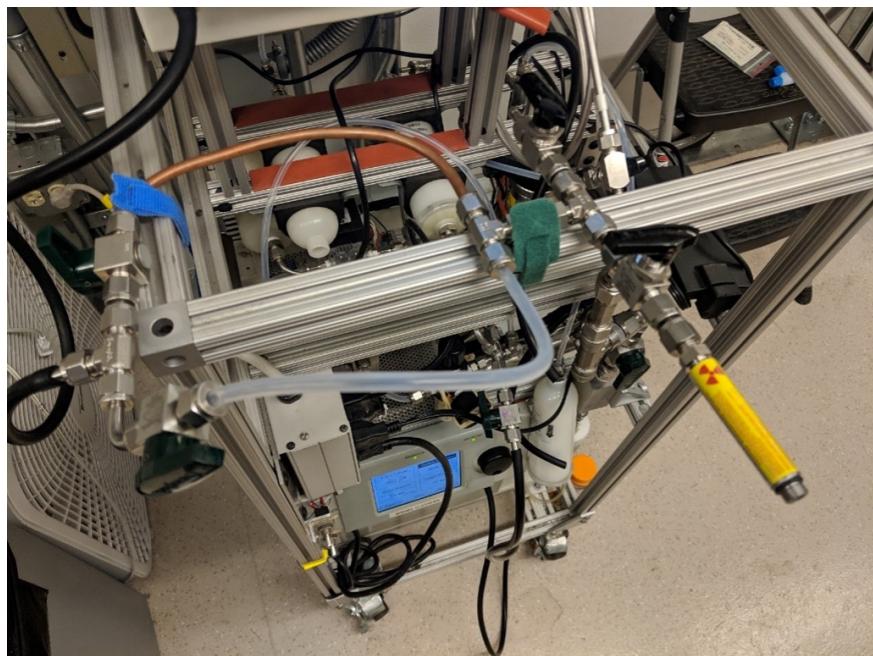


Figure C.2: Experimental set-up to investigate charged particle losses.

APPENDIX C. SUPPORTING INFORMATION FOR “CONTRIBUTION OF ORGANIC NITRATES TO ORGANIC AEROSOL OVER SOUTH KOREA DURING KORUS-AQ”

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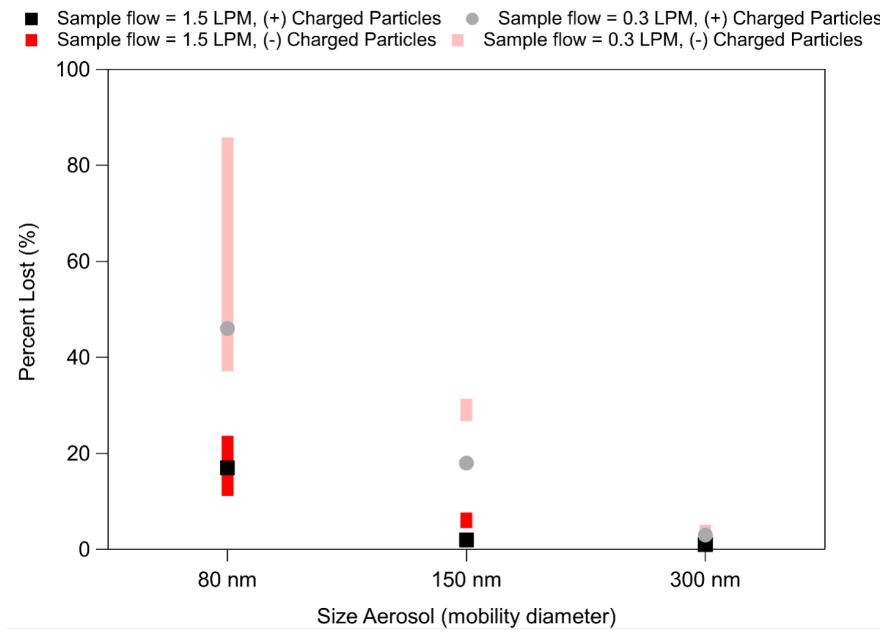


Figure C.3: The percent loss for all charged particles through 20 cm Teflon tubing at two different flow rates (0.3 and 1.5 LPM) at 3 different particle diameters. There was minimal variability in the positively charged particles; therefore, only showing the mean. However, there was larger variability in the negatively charged particles; therefore, showing the range as a par.

there is < 20% loss for particles with diameters between 20 and 280 nm (Figure C.4). Comparing this with the average mass distribution observed by the CU-AMS (Figure C.3), there is not much observed mass at the diameters where most of the charged particle losses may occur (0 to 100 nm). Thus, losses of charged particles are small for the size distribution of aerosol observed during KORUS-AQ.

We also investigated inertial losses of particles on bends and inlet. The calculations consider non-isokinetic sampling, diffusion, turbulent inertial deposition, inertial deposition in a bend, and inertial deposition in a contraction (Hinds, 1998; McNaughton et al., 2007; Von Der Weiden, Drewnick, and Borrmann, 2009). We calculated particle transmission for two regions of the TD-LIF inlet (Figure C.1): (1) the first bend that is 98°, with a corresponding reduction in velocity by a factor of  $\approx$  3-4, and a decrease in the inner diameter to 3 cm; and (2) the second bend that is 90°, with a corresponding reduction in velocity by nearly an order of magnitude, and a reduction in inner diameter from 3 to 0.435 cm. These two critical areas lead to a loss of particles to surfaces (< 90% transmission) for particles with diameters > 180 nm (Figure C.4). The diameter where  $\approx$  50% of particles are detected ( $d_{50}$ ) is  $\approx$  335 nm, which corresponds to the CU-AMS observed peak mass distribution (Figure

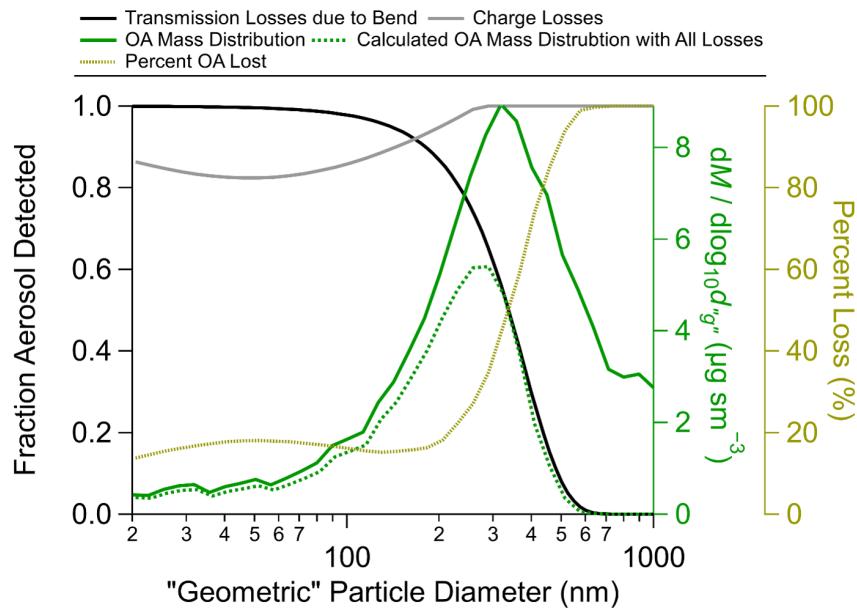


Figure C.4: (Left) Comparisons of particles potentially detected by TD-LIF due to losses of particles by (gray) charge or (black) bends. (Right, green) Average observed mass distribution of organic aerosol for KORUS-AQ (solid) and calculated mass distribution potentially observed by TD-LIF due to losses (dashed). (Right, gold) Percent loss of particles, by size, due to the combined losses from charged particles and bends.

C.4). There is a near-linear increase in the percent of particles lost between 200 and 578 nm (Figure C.4).

To correct the TD-LIF measurements for particle loss, we examined the volume distribution measured by the Langley LARGE group laser aerosol spectrometer (LAS), as shown in Figure C.5. We calculated the fraction of particles lost in the TD-LIF by applying the percent lost (shown in Figure C.4) to the LAS volume distribution (Figure C.5). The resulting fraction of particles observed by the TD-LIF is shown as a time series in Figure C.6. Because the peak in the measured volume distribution is consistently near the TD-LIF  $d_{50}$ , on average, the TD-LIF observes  $\approx 60\%$  of the particles observed by the LAS. However, the LAS may have been saturated at high volume concentrations (Nault et al., 2018), meaning this correction factor may be an upper limit of particles observed by the TD-LIF. We used this calculated fraction of particles observed by the TD-LIF (shown in Figure C.6) to correct both the pRONO<sub>2</sub> and tRONO<sub>2</sub> TD-LIF measurements.

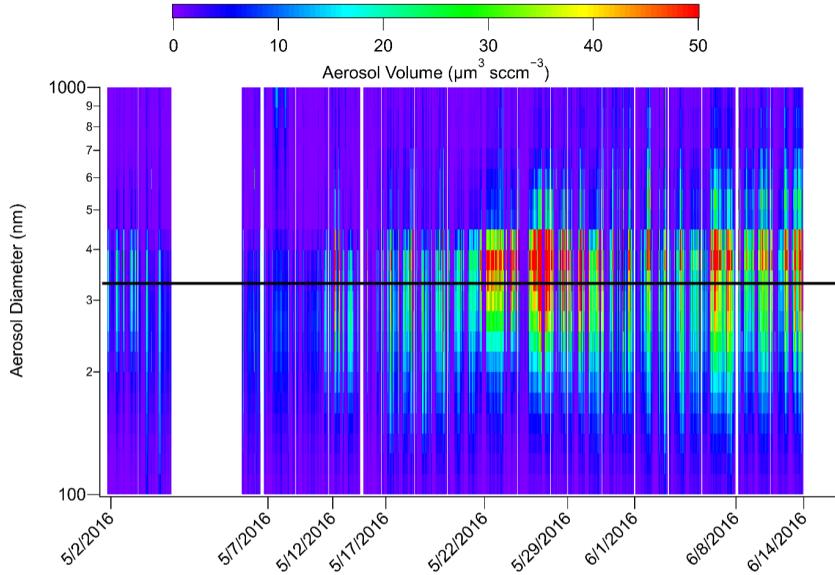


Figure C.5: Time series of the volume distribution, as measured by the Langley LARGE laser aerosol spectrometer, during KORUS-AQ. The black line represents the TD-LIF  $d_{50}$  due to inertial particle losses from bends.

## C.2 CU-AMS measurements of pRONO<sub>2</sub>

The CU-AMS distinguishes organic pRONO<sub>2</sub> from inorganic NH<sub>4</sub>NO<sub>3</sub> using the measured NO<sub>x</sub> ion ratios (NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup>). Apportionment was calculated according to the equation introduced by Farmer et al. (2010) (where NO<sup>+</sup>/NO<sub>2</sub><sup>+</sup> ratios were used), but following the formulation using NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratios, as applied in Fry et al. (2013). Figure C.7 shows the measured NO<sub>x</sub> ratio vs the NO<sub>3</sub> detected by the CU-AMS. NH<sub>4</sub>NO<sub>3</sub> calibrations to determine the NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratio for NH<sub>4</sub>NO<sub>3</sub> showed that this ratio remained very stable at 0.97 during KORUS-AQ. The calibration ratio for pRONO<sub>2</sub> is a factor of 2.83 lower, following the “ratio-of-ratio” estimation method first introduced by Fry et al. (2013). At high total NO<sub>3</sub> mass concentrations, the NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratio reached the calibration value of 0.97, indicating that this ratio can be reliably used to differentiate the NH<sub>4</sub>NO<sub>3</sub> and pRONO<sub>2</sub>.

We also performed a series of checks to make sure NaNO<sub>3</sub> was not biasing the CU-AMS pRONO<sub>2</sub> measurements. When NaNO<sub>3</sub> thermally decomposes on the CU-AMS vaporizer, the NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratio is more similar to pRONO<sub>2</sub> than NH<sub>4</sub>NO<sub>3</sub>. For NaNO<sub>3</sub> interference in the CU-AMS to occur, however, particles containing NaNO<sub>3</sub> would have to be sufficiently small for the CU-AMS to sample (< 1 μm), which is atypical. To look for evidence of any interference, we calculate ‘excess’ Na, which is the amount of Na not directly bound with Cl. A comparison of ‘excess’ Na with the CU-AMS pRONO<sub>2</sub> measurement (Figure C.8)

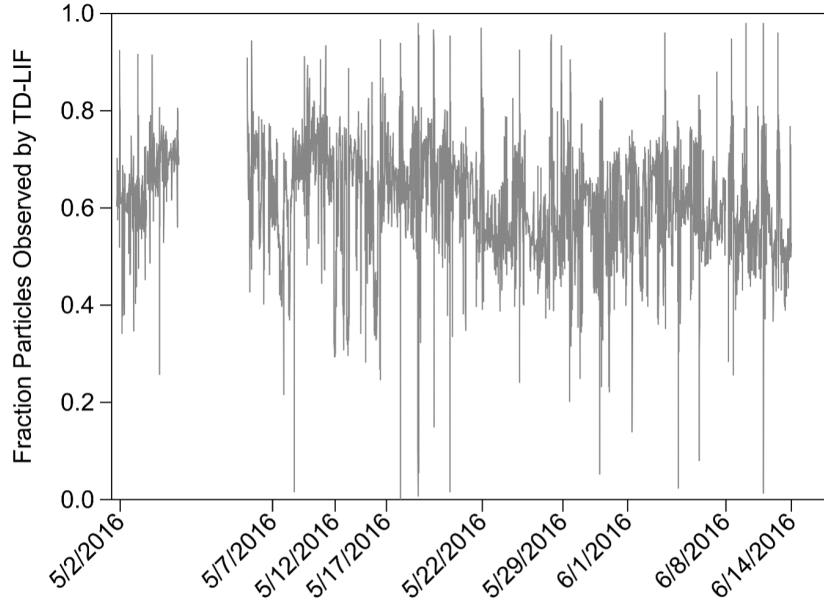


Figure C.6: Time series of the fraction of particles potentially observed by the TD-LIF during KORUS-AQ based on the LAS volume distribution measurements.

shows that, above the limit of detection for Na, there is no correlation of CU-AMS pRONO<sub>2</sub> with ‘excess’ Na. Moreover, NaNO<sub>3</sub> mostly comes from fresh NaCl reacting with HNO<sub>3</sub> in a large pollution plume, meaning most NaNO<sub>3</sub> will occur over the sea or during periods of sea breeze. RF20 sampled a lot of marine air, which could contain NaNO<sub>3</sub>. As shown in Figure C.8, there is not an anti-correlation between NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> and Na ( $R^2 \approx 0.4$ ), further confirming that CU-AMS pRONO<sub>2</sub> measurements are not biased by NaNO<sub>3</sub>.

### C.3 Comparison of TD-LIF and CU-AMS pRONO<sub>2</sub> measurements

We show a comparison of the TD-LIF and CU-AMS measurements of pRONO<sub>2</sub> in Figure C.9. Before corrections, on average the CU-AMS pRONO<sub>2</sub> measurements are 2.48 times higher than the TD-LIF pRONO<sub>2</sub> measurements. After applying corrections to the TD-LIF measurements to account for particle loss and after screening out CU-AMS data where pRONO<sub>2</sub> is < 20% of the total measured aerosol nitrate, the two measurements agree within a factor of two.

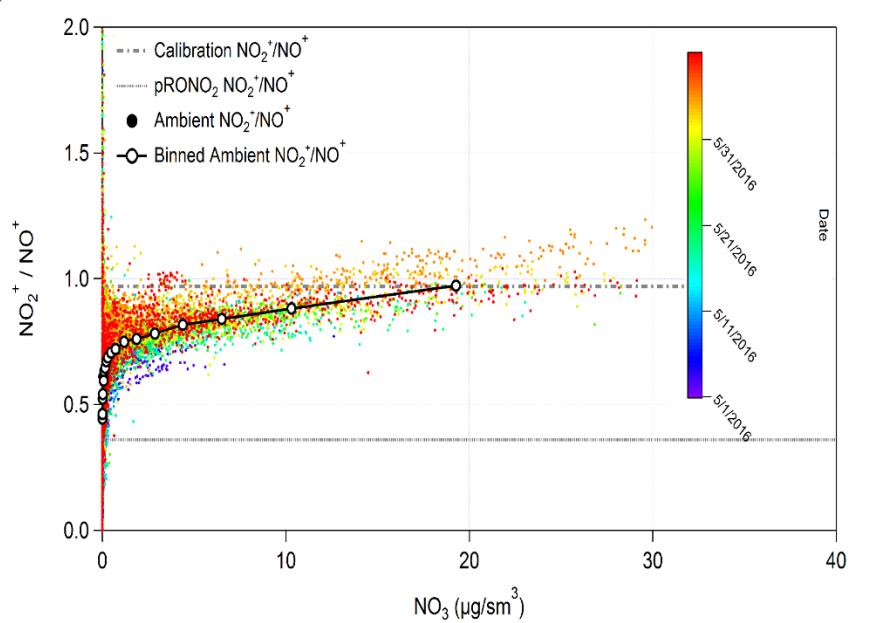


Figure C.7: The  $\text{NO}_2^+/\text{NO}^+$  ratio versus total  $\text{NO}_3$  measured by the CU-AMS, colored by date of measurement. Also shown are the calibration standard ratios for  $\text{NH}_4\text{NO}_3$  and for pRONO<sub>2</sub>.

## C.4 CMAQ emissions

The KORUSv5.0 emissions inventory was prepared using the SAPRC07T AERO6 mechanism, which we then converted to RACM2\_Berkeley2.1, as detailed in Table C.1.

As detailed in the main text, BTEX and monoterpene emissions were updated to better match observations. A comparison between the model-measurement agreement for the unchanged emissions and the altered emissions is shown in Figure C.12.

## C.5 Model-measurement comparison

As a test of model efficacy, we show a comparison between measured and modeled trace gas mixing ratios ( $\text{NO}_x, \text{O}_3, \text{O}_x$ ) and OA concentrations at two of the ground measurement sites during KORUS-AQ in Figure C.13.

Scatter plots comparing modeled and measured tRONO<sub>2</sub>, pRONO<sub>2</sub>, and  $F_p$  are shown in Figure C.14, for both TD-LIF and CU-AMS measurements and for both unmodified and modified (unknown source added) CMAQ output. Results of iterative tests to determine the volatility of the unknown RONO<sub>2</sub> source are shown in Table C.2. The temperature-dependent fractional distribution ( $f_j$ ) of saturation concentrations at 300 K ( $C_j(300 \text{ K})$ ) fit

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Table C.1: Table describing the emissions inventory conversion from SAPRC07T AERO<sup>95</sup> to RACM2\_Berkeley2.1. Species definitions can be found in the Carter (2015) database. Emissions of species marked with an asterick (\*) over the Daesan petrochemical complex were further modified to match emission fluxes calculated from observations using a mass balance approach by Fried et al. (2020).

SAPRC07T AERO6	RACM2_Berkeley2.1	SAPRC07T AERO6	RACM2_Berkeley2.1
CO	CO	SESQ	SESQ
NO	NO	3 TERP	LIM
NO2	NO2	3 APIN	API
NH3	NH3	BENZ	BEN*
SO2	SO2	1.4 (TOLU + ARO1)	TOL*
HONO	HONO	0.05 (TOLU + ARO1)	XYO*
CH3	CH4	0.08 (TOLU + ARO1)	XYM*
HCL	HCL	0.07 (TOLU + ARO1)	XYP*
CL2	CL2	ACYE	ACE
ACET	ACT	ETOH	EOH
ALK1	ETH	FACD	ORA1
ALK2 + ALK3	HC3	AACD	ORA2
0.9 ALK4	HC5	SULF	SULF
0.1 ALK4	ROH	SOAALK	SOALLK
0.85 ALK5	HC8	NAPH	NAPH
0.05 ALK5	ETEG	NROG	NR
0.10 ALK5	ROH	NVOL	NVOL
BACL + MGLY	MGLY	PEC	PEC
BALD	BALD	PNO3	PNO3
CCHO	ACD	PSO4	PSO4
0.5 CRES	PHEN	POC	POC
0.5 CRES	CSL	PMC	PMC
OLE1 + PRPE	OLT	PCL	PCL
0.85 OLE2	OLI	PNH4	PNH5
0.15 OLE2	DIEN	PNA	PNA
RCHO	ALD	PK	PK
ETHE	ETE	PNCOM	PNCOM
GLY	GLY	PCA	PCA
HCHO	HCHO	PFE	PFE
IPRD	UALD	PAL	PAL
ISOP	ISO	PSI	PSI
MACR + ACRO	MACR	PH2O	PH2O
0.5 MEK	MEK	PMG	PMG
0.5 MEK	HKET	PTI	PTI
PRD2	KET	PMN	PMN
MEOH	MOH	PMOTHR	PMOTHR
MVK	MVK		

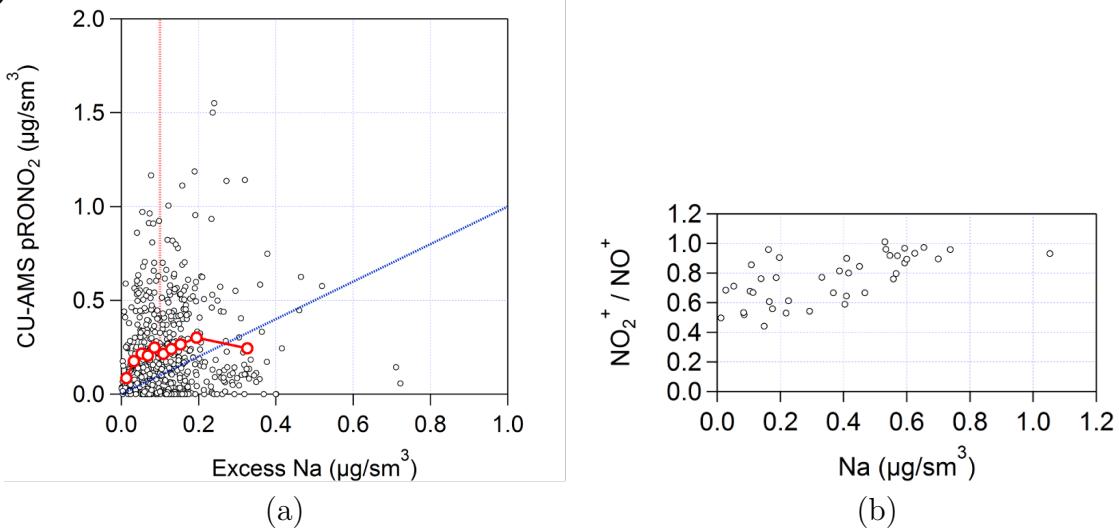


Figure C.8: (a) Plot of CU-AMS measurement of pRONO<sub>2</sub> versus ‘excess Na’ (amount of Na not directly bound with Cl). The blue line is a 1:1 line, the red dashed line indicates the limit of detection (LOD) for filtered Na, and the red circles are deciles. A large fraction of pRONO<sub>2</sub> occurs below the Na LOD. Above the LOD, there is no correlation of CU-AMS pRONO<sub>2</sub> with excess Na. (b) Plot of NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> ratio versus Na mass concentration during RF20, which included a lot of marine air sampling. There is no anti-correlation between NO<sub>2</sub><sup>+</sup>/NO<sup>+</sup> and Na ( $R^2 \approx 0.4$ ).

Table C.2: Comparison of the York fit slopes between measured (TD-LIF and CU-AMS) and CMAQ modeled concentrations of pRONO<sub>2</sub> and  $F_p$ . Comparison is shown for the unmodified CMAQ output and CMAQ output with an unknown source of condensable RONO<sub>2</sub> added, with varied  $C^*$  assigned to the unknown RONO<sub>2</sub>.

	pRONO <sub>2</sub>		$F_p$	
	TD-LIF	CU-AMS	TD-LIF	CU-AMS
CMAQ	0.12	0.09	0.54	0.34
CMAQ add unknown with $C^* = 3,000 \mu\text{g m}^{-3}$	0.21	0.18	0.23	0.15
CMAQ add unknown with $C^* = 300 \mu\text{g m}^{-3}$	0.94	0.81	0.61	0.44
CMAQ add unknown with $C^* = 30 \mu\text{g m}^{-3}$	3.62	2.82	2.31	1.66

to a volatility basis set are shown in Figure C.15.

## C.6 CMAQ modeled RONO<sub>2</sub> speciation

The CMAQ modeled speciation of tRONO<sub>2</sub> and pRONO<sub>2</sub> is shown in Figure C.16.

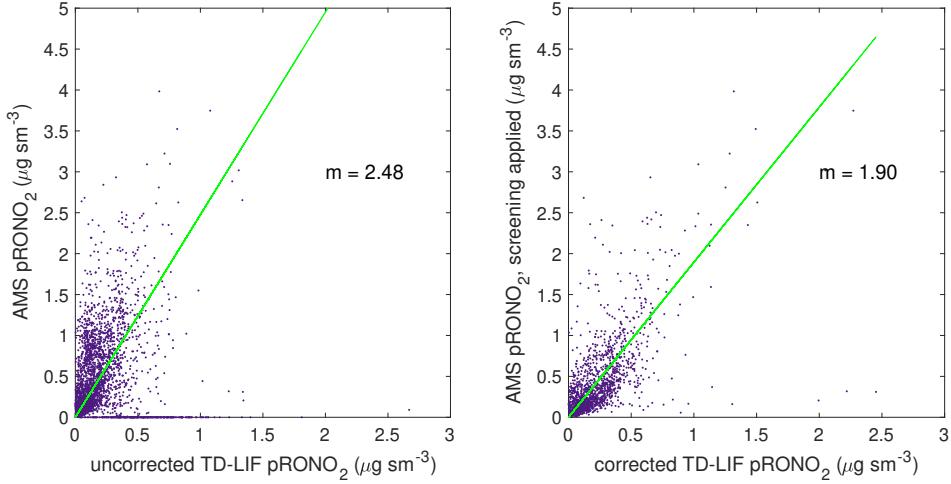


Figure C.9: Comparison of (left) AMS pRONO<sub>2</sub> measurements versus uncorrected TD-LIF pRONO<sub>2</sub> measurements and (right) AMS pRONO<sub>2</sub> measurements with a screening applied versus corrected TD-LIF pRONO<sub>2</sub> measurements. The screening applied to the AMS measurements removes data where pRONO<sub>2</sub> is less than 20% of total measured aerosol nitrate since these pRONO<sub>2</sub> measurements have high uncertainty and high noise.

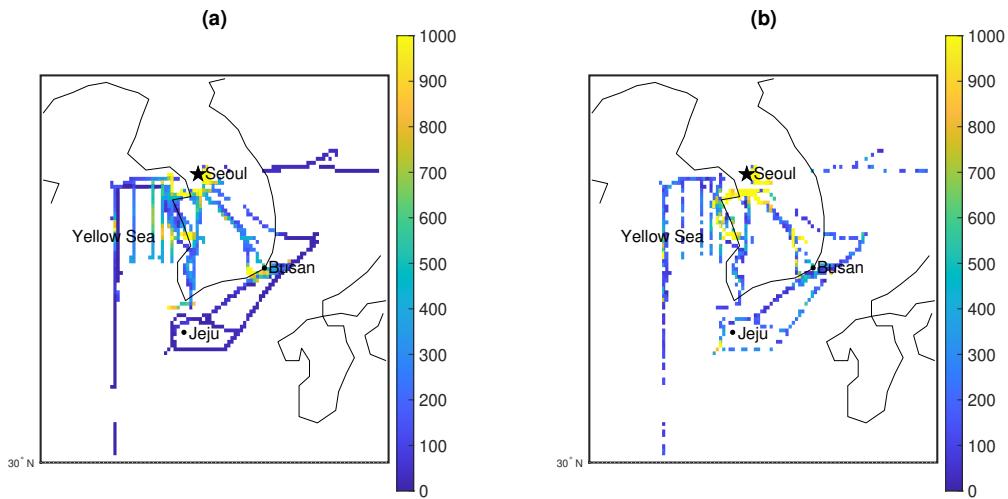


Figure C.10: Maps of (a) modeled TOL (toluene and less reactive aromatics) before emission modifications (ppt) and (b) the sum of WAS measured toluene and ethylbenzene (ppt). In both cases, peak toluene occurs in similar geographic areas.

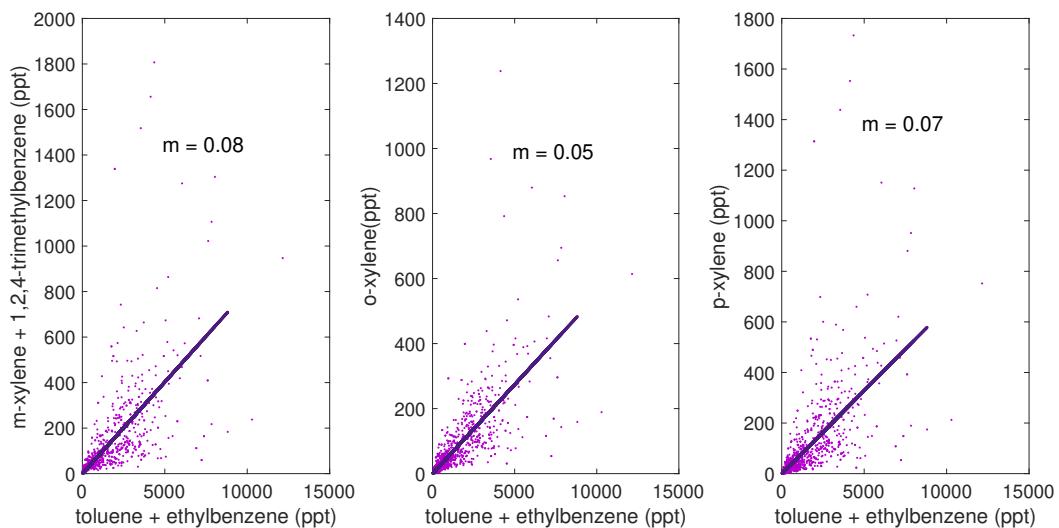


Figure C.11: Plots of WAS measured reactive aromatics (m-xylene, 1,2,4-trimethylbenzene, o-xylene, and p-xylene) versus the sum of WAS measured toluene and ethylbenzene. The slope of a York fit is shown in each plot. We use the slopes between these species to scale the reactive aromatics in the emissions inventory.

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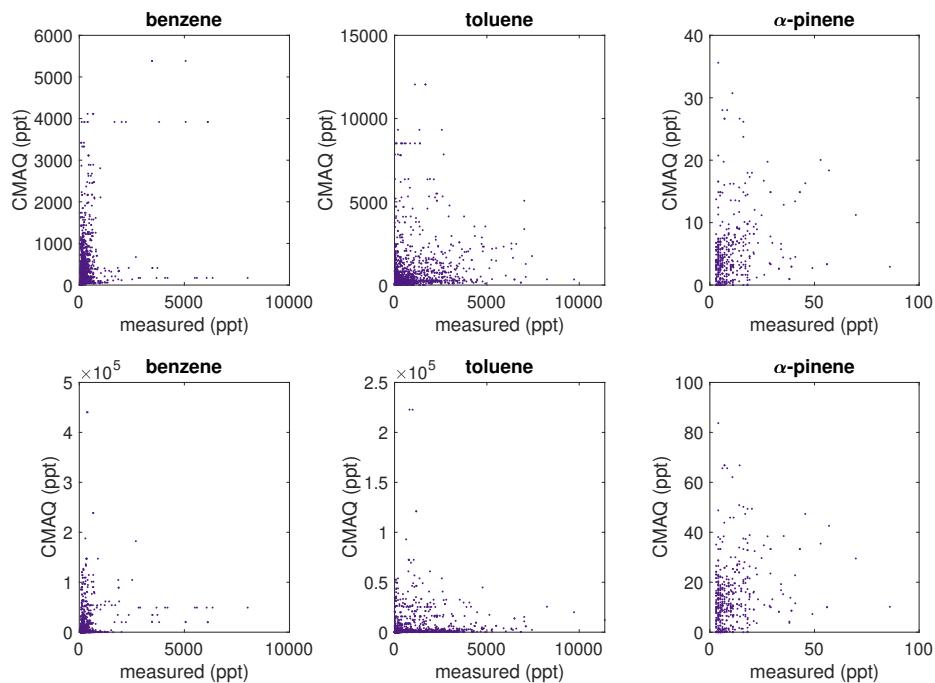


Figure C.12: Model-measurement comparison of benzene, toluene, and  $\alpha$ -pinene for (top row) unchanged emissions and (bottom row) updated emissions using whole air sampling (WAS) measurements.

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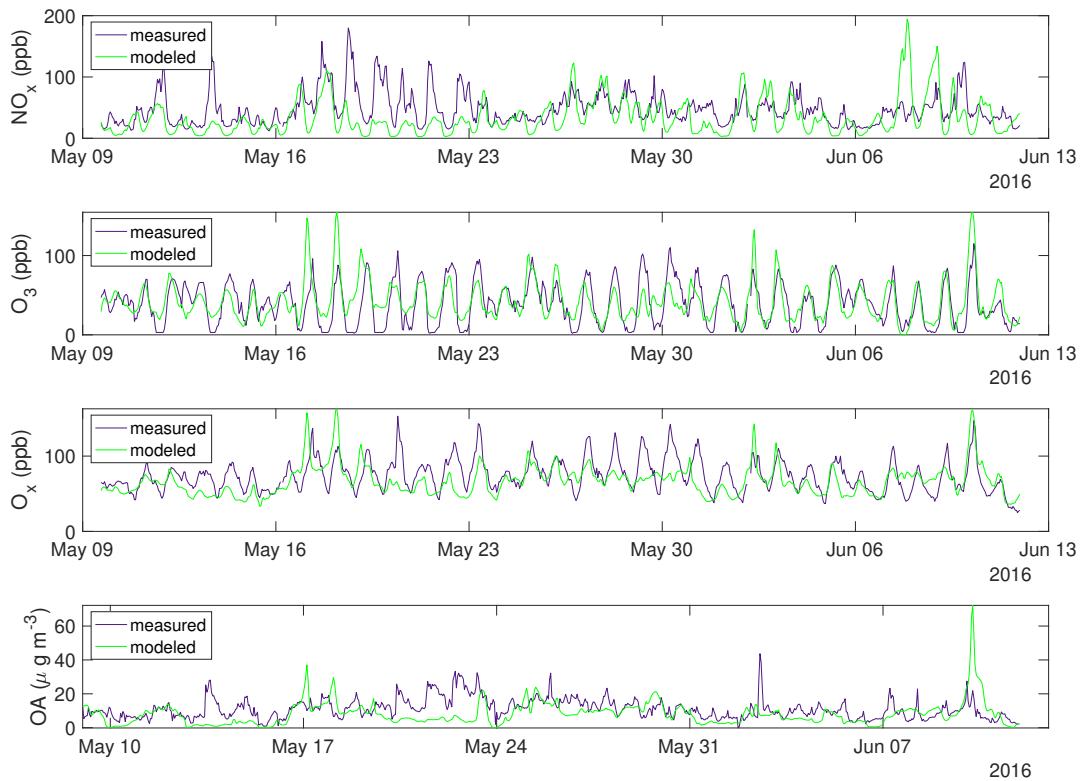


Figure C.13: Comparison between measured and modeled mixing ratios of  $\text{NO}_x$ ,  $\text{O}_3$ , and  $\text{O}_x$  ( $\equiv \text{O}_3 + \text{NO}_2$ ) at the Olympic Park ground site and comparison between measured and modeled concentrations of organic aerosol at KIST during KORUS-AQ as a test of model efficacy. The model is able to successfully capture the diurnal patterns in  $\text{NO}_x$  and  $\text{O}_3$  and the regional OA background concentration.

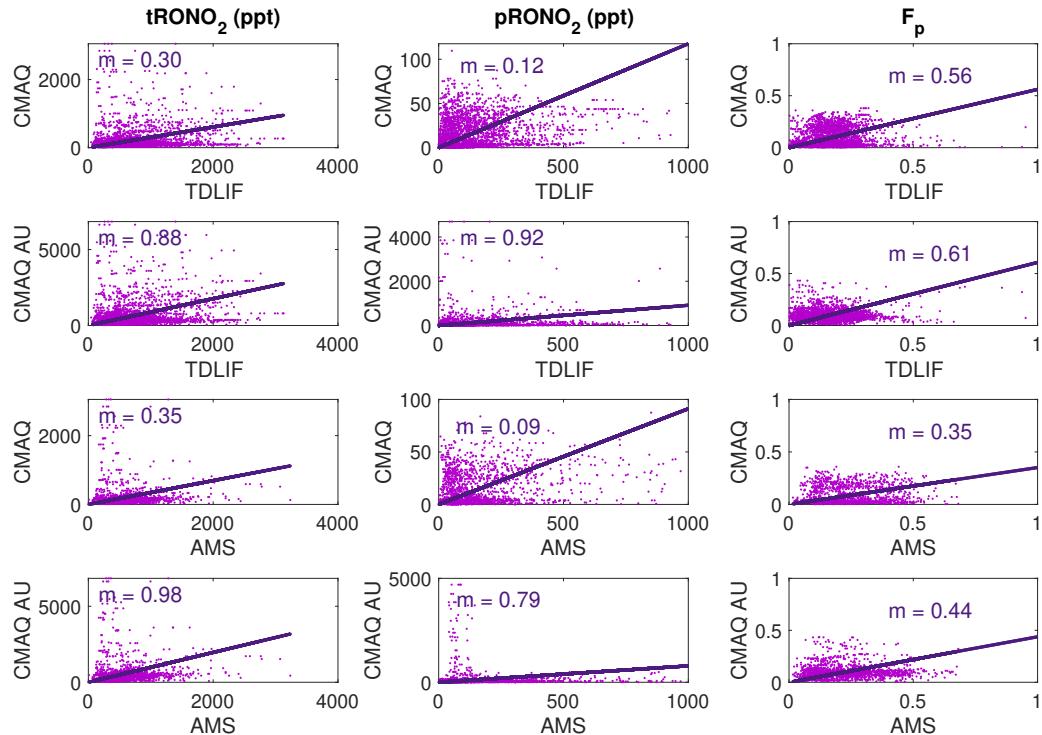


Figure C.14: Plots comparing modeled (CMAQ) and measured (TD-LIF and CU-AMS) tRONO<sub>2</sub> mixing ratios (left column, ppt), pRONO<sub>2</sub> mixing ratios (middle column, ppt), and  $F_p$  (right column, unitless). The top row compares TD-LIF measurements with unmodified CMAQ output, the second row compares TD-LIF measurements with modified (add unknown, AU) CMAQ output, the third row compares AMS measurements with unmodified CMAQ output, and the bottom row compares AMS measurements with modified (add unknown, AU) CMAQ output.

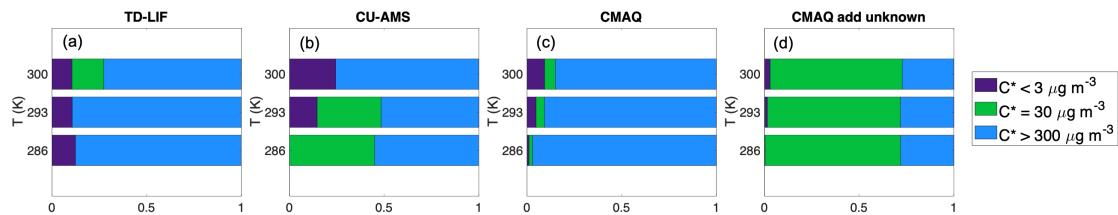


Figure C.15: Temperature-dependent fractional distribution ( $f_j$ ) of saturation concentrations at 300 K ( $C_j^*(300 \text{ K})$ ) fit to a volatility basis set.  $C_j^*$  at ambient temperatures were converted to  $C_j^*(300 \text{ K})$  using the empirical relationship between  $\Delta H$  and  $C^*$  and the Clausius-Clapeyron equation. Each plot is shown for the TD-LIF measurements, the CU-AMS measurements, unmodified CMAQ output, and CMAQ output with an unknown source of  $\text{RONO}_2$  added.

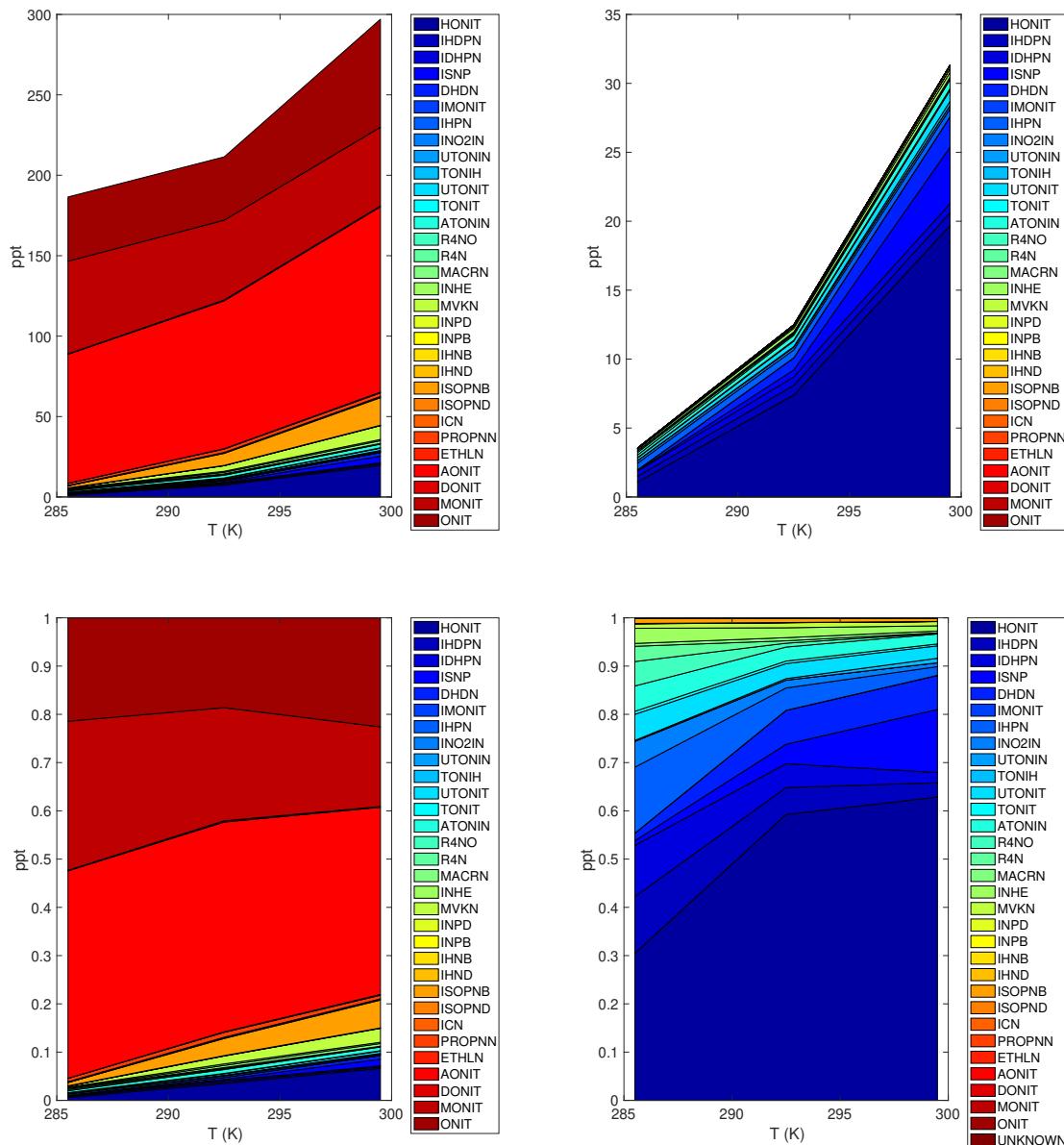


Figure C.16: CMAQ-modeled RONO<sub>2</sub> speciation for (left) tRONO<sub>2</sub> and (right) pRONO<sub>2</sub>. Mixing ratios are shown in the top row, and the fractional, normalized speciation is shown in the bottom row. Species are ordered by vapor pressure: as the colors move towards red, species are more volatile and as the colors move towards blue, the species have lower volatility. Definitions of species names can be found in Browne et al. (2013) and Zare et al. (2019).

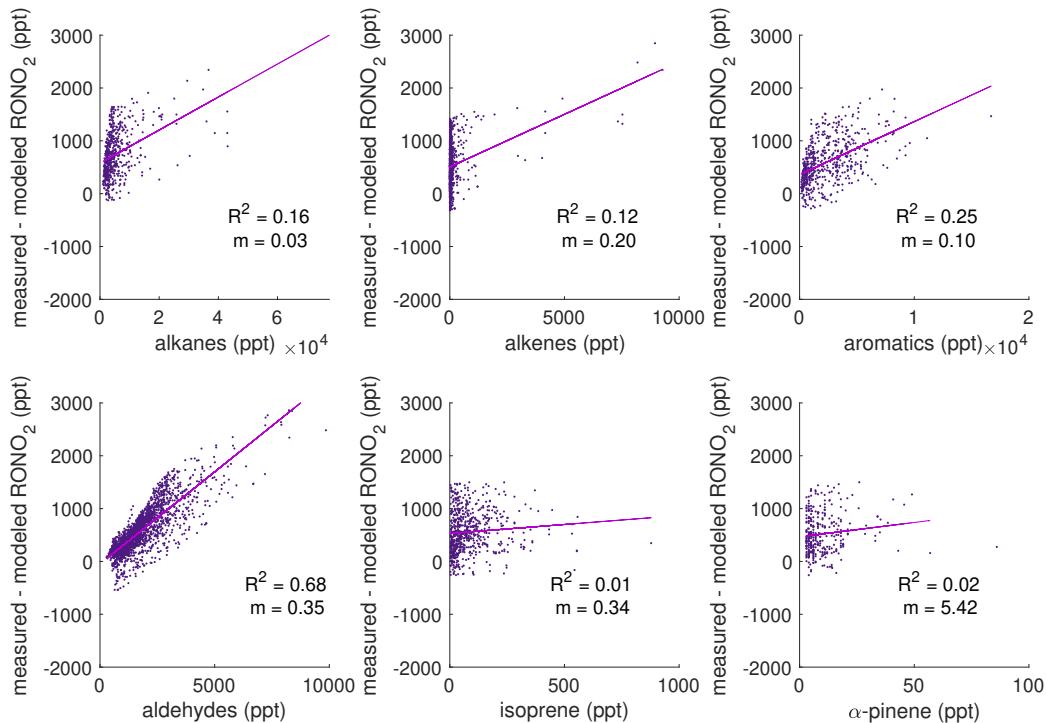


Figure C.17: Comparisons between the difference in between TD-LIF measured and CMAQ modeled  $\text{RONO}_2$  ( $\text{RONO}_{2,\text{diff}}$ ) and various VOCs and VOC classes. Outliers, defined as data  $> 1.5\sigma$  on from either side of a linear fit through the data, have been removed. A second linear fit was then calculated once the outliers had been removed; this second fit is shown here. The  $R^2$  and slope ( $m$ ) of each linear fit is shown. Relatively weak correlations ( $R^2 < 0.05$ ) between the  $\text{RONO}_{2,\text{diff}}$  and both isoprene and  $\alpha$ -pinene suggest that the missing source of  $\text{RONO}_2$  is not biogenic in origin. The relatively stronger correlations between  $\text{RONO}_{2,\text{diff}}$  and alkanes, aromatics, and aldehydes suggest an anthropogenic origin for the missing  $\text{RONO}_2$ .