# Attention, Self-attention, Transformers, **BERT**

Manaal Faruqui
Google Assistant



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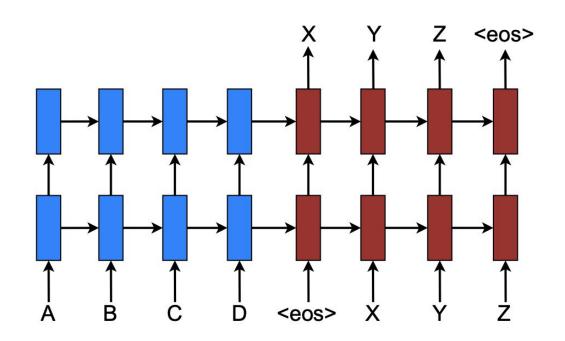
Ankur Parikh,

Jacob Devlin,

Ashish Vaswani

# Attention

#### **Neural Machine Translation**



Sutskever et al (2014)

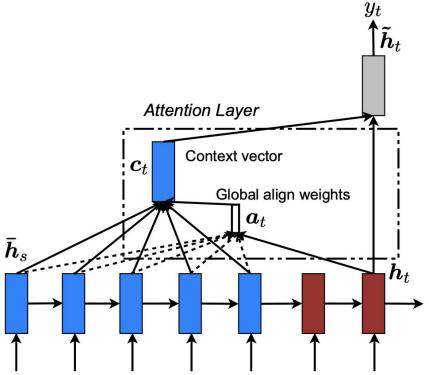


Image: Luong et al (2015)

#### **Neural Machine Translation**

$$\log p(y|x) = \sum_{j=1}^{m} \log p(y_j|y_{< j}, \boldsymbol{s})$$

$$p(y_i|y_{< i}, \boldsymbol{s}) = \operatorname{softmax}(g(\boldsymbol{h}_i))$$

#### Attention in Neural Machine Translation

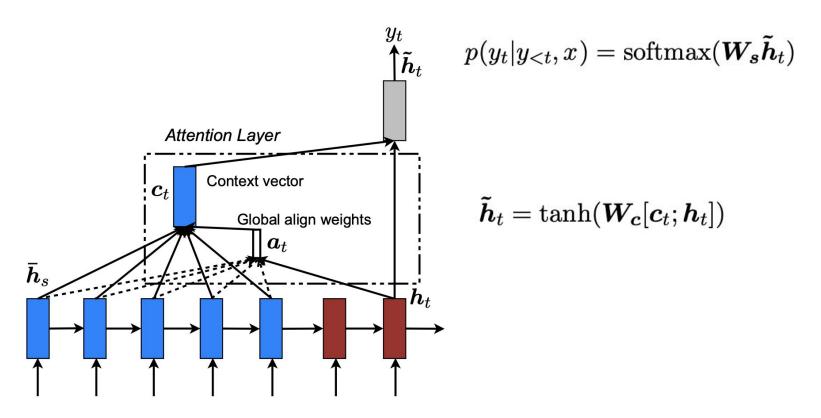
$$\log p(y|x) = \sum_{j=1}^{m} \log p(y_j|y_{< j}, \boldsymbol{s})$$

$$p(y_j|y_{< j}, \boldsymbol{s}) = \operatorname{softmax}(g(\boldsymbol{h}_j))$$

$$ilde{m{h}}_t = anh(m{W}_{m{c}}[m{c}_t;m{h}_t])$$
 —— Augment with source context

$$p(y_t|y_{< t}, x) = \operatorname{softmax}(\boldsymbol{W_s}\tilde{\boldsymbol{h}}_t)$$

#### Attention in Neural Machine Translation



$$P(\mathbf{y}|\mathbf{c}_1,...,\mathbf{c}_T) = \prod_{t=1}^T P(\mathbf{y}_t|\mathbf{y}_1,...,\mathbf{y}_{t-1},\mathbf{c}_t)$$
 $\mathbf{c}_t = \sum_j \alpha_{tj} \mathbf{h}_j$  weighted average of source LSTM states  $lpha_{tj} = \frac{\exp(\epsilon_{tj})}{\sum_j \exp(\epsilon_{tj})}$  weights form a probability distribution  $\epsilon_{tj} = F_{att}(\mathbf{h}_{t-1},\mathbf{h}_j)$ 

some learned function

# Parameterizing Attention

$$\operatorname{score}(m{h}_t, ar{m{h}}_s) = egin{cases} m{h}_t^ op m{h}_s & dot \ m{h}_t^ op m{W}_a ar{m{h}}_s & general \ m{v}_a^ op anh \left(m{W}_a [m{h}_t; ar{m{h}}_s] 
ight) & concat \end{cases}$$

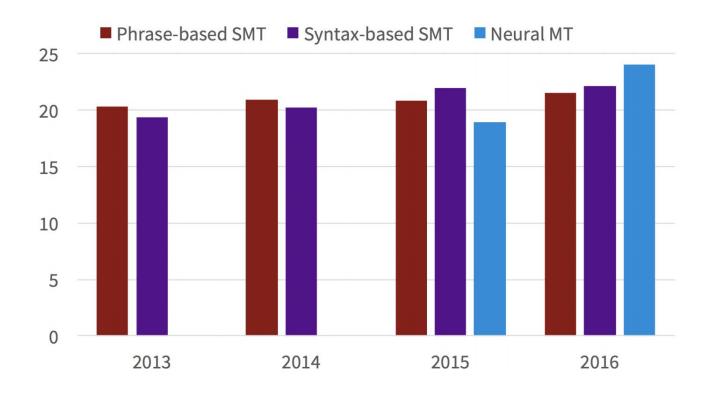
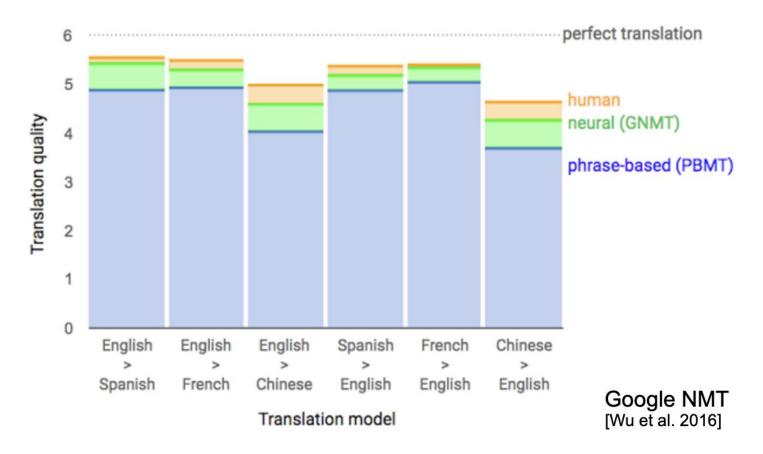
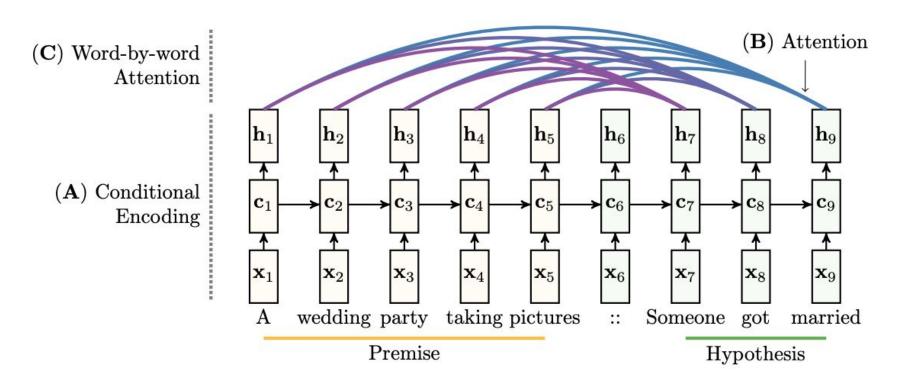


Image: Sennrich (2016)

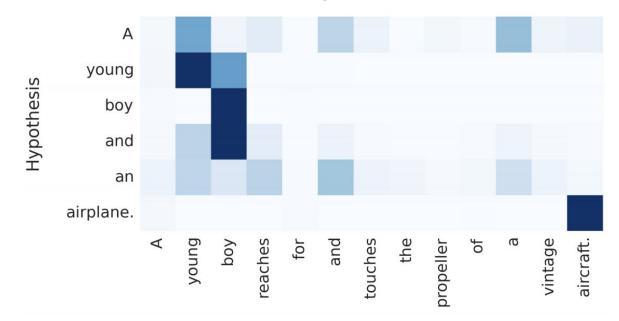




Rocktaschel et al (2016)

# Interpretability of Attention

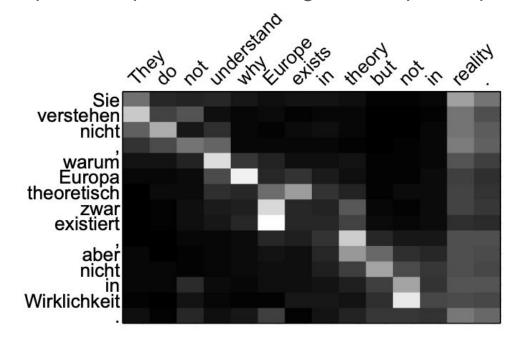
Attention provides probabilistic weights on input sequence



Premise

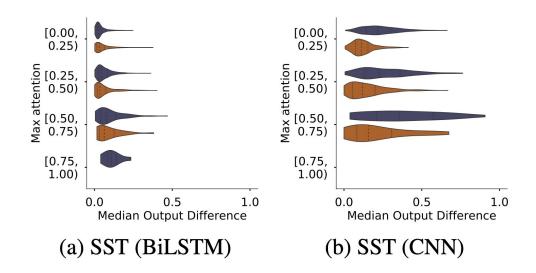
# Interpretability of Attention

Attention provides probabilistic weights on input sequence



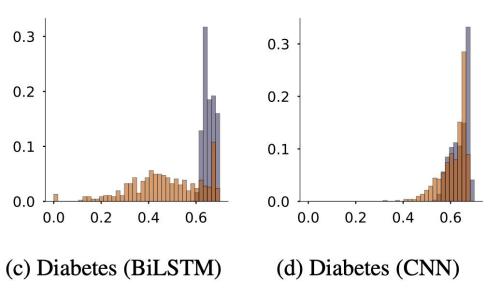
Luong et al (2016)

- Replace attention weights at test time by random vector of weights.
  - The results do not change significantly.



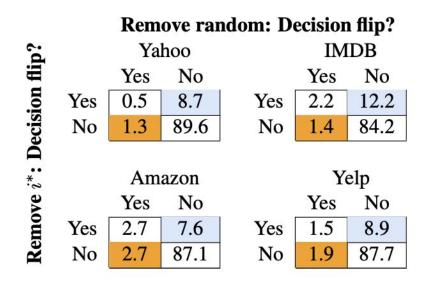
Jain and Wallace (2019)

 Find adversarial weights that are maximally divergent while producing very similar result.



Jain and Wallace (2019)

- Zero out the maximum attention weight (and renormalize)
- Zero out a random attention weight (and renormalize)



Serrano and Smith (2019)

- Attention is interpretable when it's model critical [Ongoing work, Vashishta et al 2019]
- Train models with standard attention layer or random attention layer.

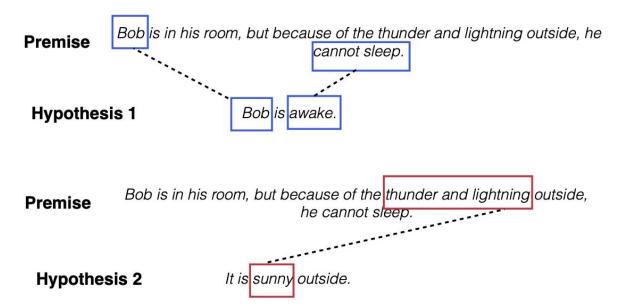
	BLEU
Luong	20.2
Bahdanau	18.2
Random	7.3

	Accuracy
Luong	Similar
Bahdanau	Similar
Random	Similar

**NMT** 

Text classification

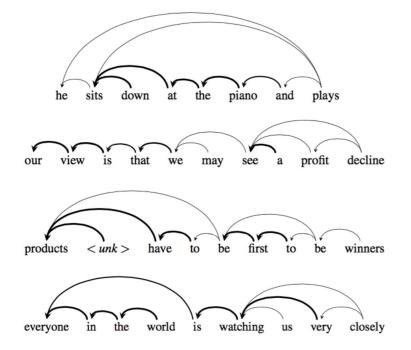
- If attention is so important, how much can we get with just attention?
- Often times, alignment is sufficient, do not need sentence representation



# Self-Attention

#### Self-attention

Construct a context by attending to your-self: <u>Intra-sentence attention</u>

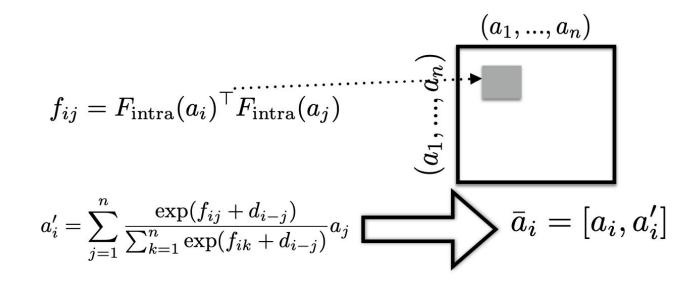


Language modeling

Cheng et al (2016)

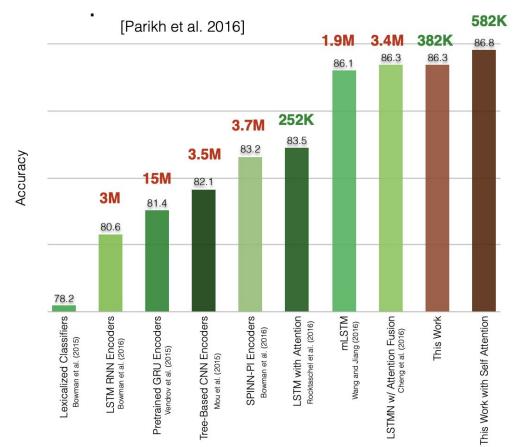
#### Self-attention

- Self Attention without LSTMs
- Use weak word order information via distance bias



Parikh et al (2016), Cheng et al (2016)

#### Self-attention in NLI



Performance on SNLI dataset

**Transformers** 

# Learning Representations of Variable Length Data

Basic building block of sequence-to-sequence learning

Neural machine translation, summarization, QA, ...

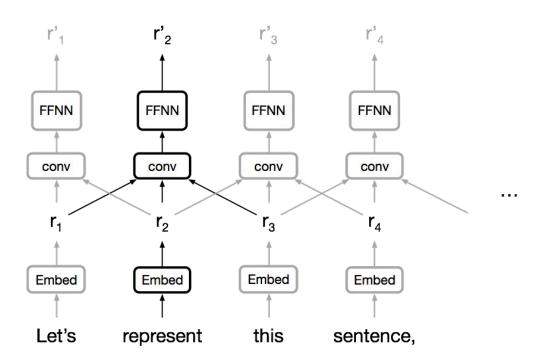
#### Recurrent Neural Networks

- Model of choice for learning variable-length representations.
- Natural fit for sentences and sequences of pixels.
- LSTMs, GRUs and variants dominate recurrent models.

#### But...

- Sequential computation inhibits parallelization.
- No explicit modeling of long and short range dependencies.
- We want to model hierarchy.

#### Convolutional Neural Networks?



#### Convolutional Neural Networks?

Trivial to parallelize (per layer).

Exploits local dependencies

'Interaction distance' between positions linear or logarithmic.

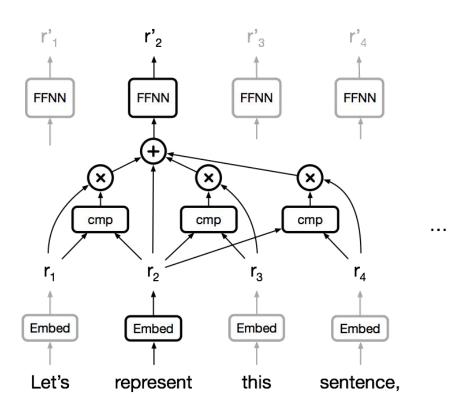
Long-distance dependencies require many layers.

#### Attention

Attention between encoder and decoder is crucial in NMT.

Why not use attention for representations?

### Self-Attention



#### Self-Attention

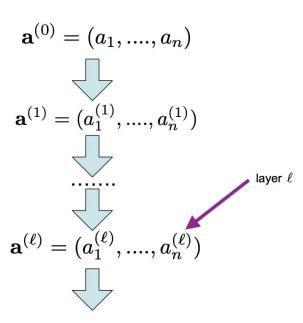
Constant 'path length' between any two positions.

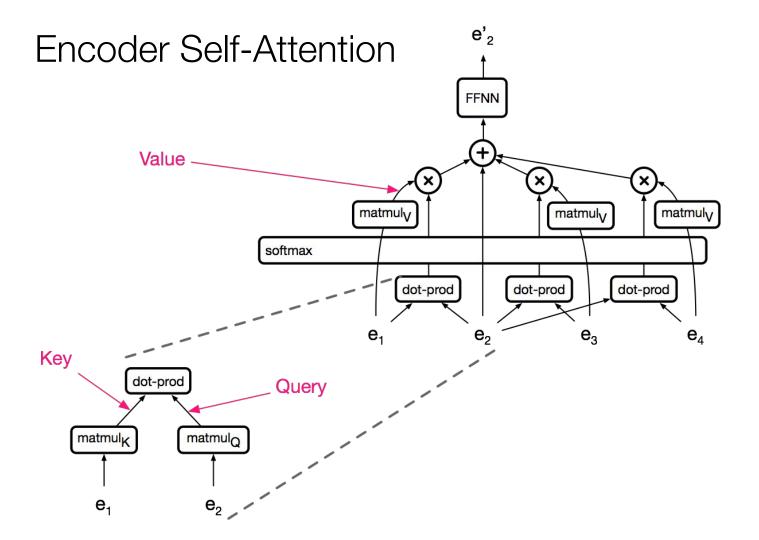
Gating/multiplicative interactions.

Trivial to parallelize (per layer).

# Stacking Self-Attention

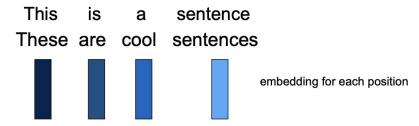
- Just like CNNs and LSTMs can be stacked together, so can self-attention
- Build iteratively transformed representations



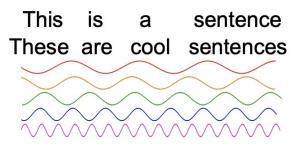


# Position Embeddings

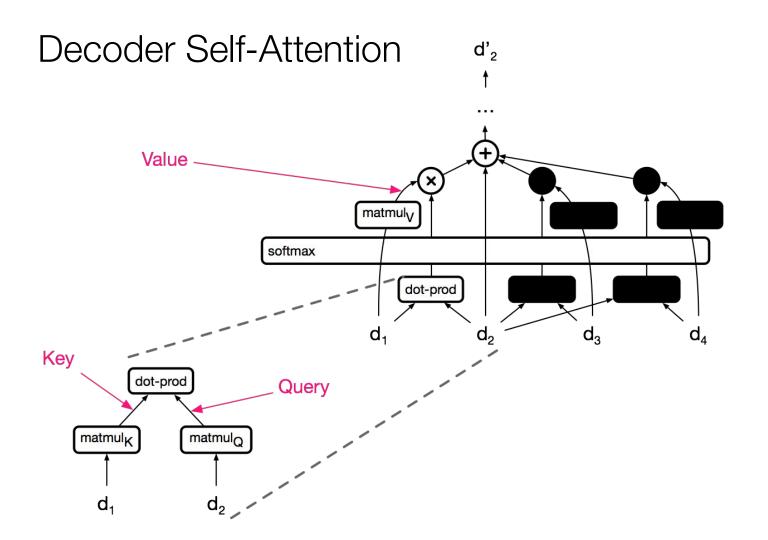
- Position encoding. A weakness of (vanilla) self attention is that unlike CNNs/LSTMs, it has no notion of position.
- Option 1: Learned position embeddings [Gehring et al. 2017]



Option 2: Fixed sinusoids of various frequencies [Vaswani et al 2017]



#### The Transformer Softmax Feed-forward Feed-forward **Encoder-Decoder Attention** Self-Attention Self-Attention **FFNN FFNN FFNN FFNN** Position-wise Feed-forward **FFNN FFNN FFNN FFNN** Position-wise Encoder-Decoder Attention Feed-forward softmax softmax Self-Attention Self-Attention $p_2$ Satz, Let's Representieren wir diesen this represent sentence,



#### Attention is Cheap!

Self-Attention	O(length <sup>2</sup> · dim)				
RNN (LSTM)	O(length · dim²)				
Convolution	O(length · dim² · kernel_width)				

#### Attention is Cheap!

Self-Attention	O(length <sup>2</sup> · dim)	$= 4.10^9$
RNN (LSTM)	O(length · dim²)	= 16·109
Convolution	O(length · dim² · kernel_width)	$= 6.10^9$

length=1000 dim=1000 kernel\_width=3

#### **Transformers**

Table 2: The Transformer achieves better BLEU scores than previous state-of-the-art models on the English-to-German and English-to-French newstest2014 tests at a fraction of the training cost.

Model	BL	EU	Training C	Training Cost (FLOPs)			
Wodel	EN-DE EN-FR		EN-DE	EN-FR			
ByteNet [17]	23.75			,,			
Deep-Att + PosUnk [37]		39.2		$1.0\cdot 10^{20}$			
GNMT + RL [36]	24.6	39.92	$2.3\cdot 10^{19}$	$1.4\cdot 10^{20}$			
ConvS2S [9]	25.16	40.46	$9.6\cdot 10^{18}$	$1.5\cdot 10^{20}$			
MoE [31]	26.03	40.56	$2.0\cdot 10^{19}$	$1.2\cdot 10^{20}$			
Deep-Att + PosUnk Ensemble [37]		40.4		$8.0\cdot 10^{20}$			
GNMT + RL Ensemble [36]	26.30	41.16	$1.8\cdot 10^{20}$	$1.1\cdot 10^{21}$			
ConvS2S Ensemble [9]	26.36	41.29	$7.7\cdot 10^{19}$	$1.2\cdot 10^{21}$			
Transformer (base model)	27.3	38.1	3.3 ·	10 <sup>18</sup>			
Transformer (big)	28.4	41.0	$2.3$ $\cdot$	$2.3\cdot 10^{19}$			

## **BERT**: Pre-training of Deep Bidirectional Transformers for Language Understanding

(Bidirectional Encoder Representations from Transformers)

#### Pre-training in NLP

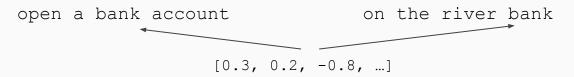
 Word embeddings are the basis of deep learning for NLP

 Word embeddings (word2vec, GloVe) are often pre-trained on text corpus from co-occurrence statistics



#### Contextual Representations

 Problem: Word embeddings are applied in a context free manner



Solution: Train contextual representations on text corpus

```
[0.9, -0.2, 1.6, ...] [-1.9, -0.4, 0.1, ...] 

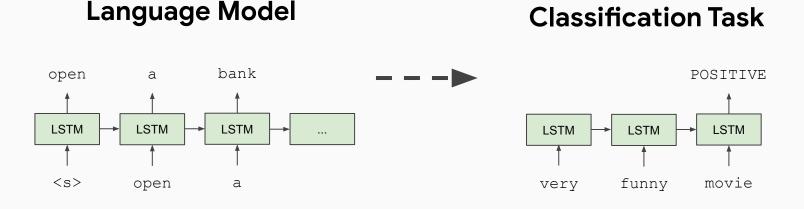
open a bank account on the river bank
```

#### History of Contextual Representations

Train LSTM

• Semi-Supervised Sequence Learning, Google, 2015

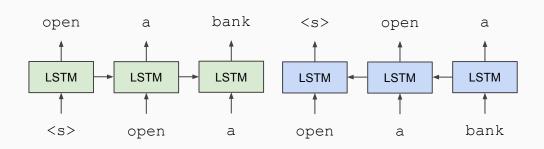
Fine-tune on



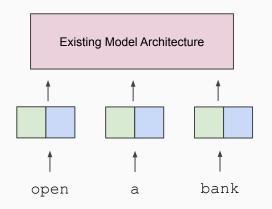
#### History of Contextual Representations

 ELMo: Deep Contextual Word Embeddings, Al2 & University of Washington, 2017

## Train Separate Left-to-Right and Right-to-Left LMs



## Apply as "Pre-trained Embeddings"



#### History of Contextual Representations

 Improving Language Understanding by Generative Pre-Training, OpenAI, 2018

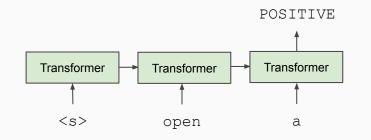
## Train Deep (12-layer) Transformer LM

open

<s>

## open a bank Transformer Transformer Transformer

## Fine-tune on Classification Task

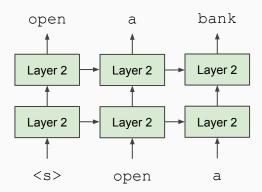


#### Problem with Previous Methods

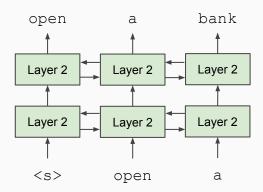
- Problem: Language models only use left context or right context, but language understanding is bidirectional.
- Why are LMs unidirectional?
- <u>Reason 1</u>: Directionality is needed to generate a well-formed probability distribution.
  - We don't care about this.
- Reason 2: Words can "see themselves" in a bidirectional encoder.

#### Unidirectional vs. Bidirectional Models

## Unidirectional context Build representation incrementally



### Bidirectional context Words can "see themselves"



#### Masked LM

- Solution: Mask out k% of the input words, and then predict the masked words
  - Use k = 15%

```
store gallon

the man went to the [MASK] to buy a [MASK] of milk
```

- Too little masking: Too expensive to train
- Too much masking: Not enough context

#### Masked LM

- Problem: Mask token never seen at fine-tuning
- Solution: 15% of the words to predict, but don't replace with [MASK] 100% of the time. Instead:
- 80% of the time, replace with [MASK]
   went to the store → went to the [MASK]
- 10% of the time, replace random word
   went to the store → went to the running
- 10% of the time, keep same
   went to the store → went to the store

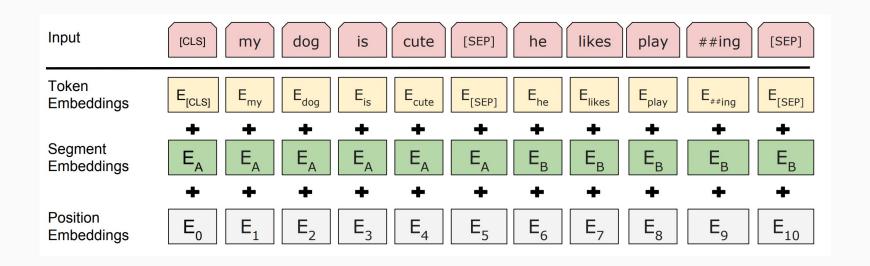
#### **Next Sentence Prediction**

 To learn relationships between sentences, predict whether Sentence B is actual sentence that proceeds Sentence A, or a random sentence

```
Sentence A = The man went to the store.
Sentence B = He bought a gallon of milk.
Label = IsNextSentence
```

```
Sentence A = The man went to the store.
Sentence B = Penguins are flightless.
Label = NotNextSentence
```

#### Input Representation

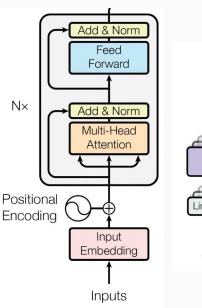


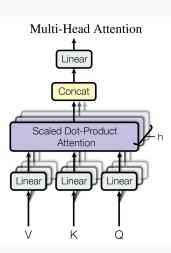
- Use 30,000 WordPiece vocabulary on input.
- Each token is sum of three embeddings

#### Model Architecture

#### Transformer encoder

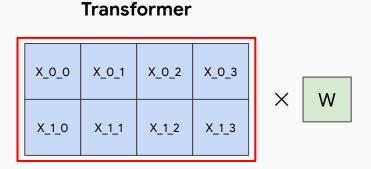
- Multi-headed self attention
  - Models context
- Feed-forward layers
  - Computes non-linear hierarchical features
- Positional embeddings
  - Allows model to learn relative positioning

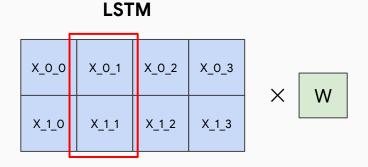




#### Model Architecture

- Empirical advantages of Transformer vs. LSTM:
- 1. Self-attention == no locality bias
  - Long-distance context has "equal opportunity"
- 2. Single multiplication per layer == efficiency on TPU
  - Effective batch size is number of words, not sequences

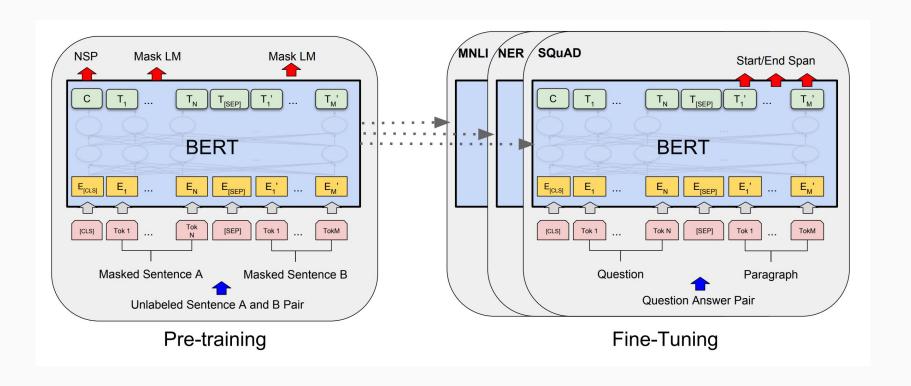




#### **Model Details**

- <u>Data</u>: Wikipedia (2.5B words) + BookCorpus (800M words)
- <u>Batch Size</u>: 131,072 words (1024 sequences \* 128 length or 256 sequences \* 512 length)
- <u>Training Time</u>: 1M steps (~40 epochs)
- Optimizer: AdamW, 1e-4 learning rate, linear decay
- BERT-Base: 12-layer, 768-hidden, 12-head
- BERT-Large: 24-layer, 1024-hidden, 16-head
- Trained on 4x4 or 8x8 TPU slice for 4 days

#### Fine-Tuning Procedure



#### **GLUE Results**

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
$BERT_{LARGE}$	86.7/85.9	<b>72.1</b>	91.1	94.9	60.5	86.5	89.3	<b>70.1</b>	81.9

#### MultiNLI

<u>Premise</u>: Hills and mountains are especially

sanctified in Jainism.

Hypothesis: Jainism hates nature.

<u>Label</u>: Contradiction

#### CoLa

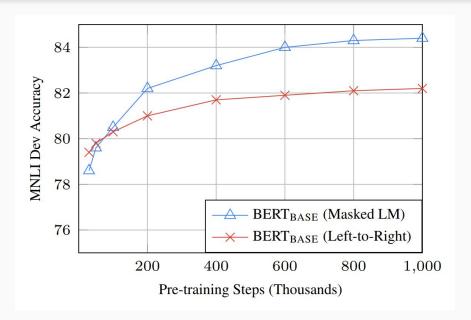
<u>Sentence</u>: The wagon rumbled down the road.

Label: Acceptable

<u>Sentence</u>: The car honked down the road.

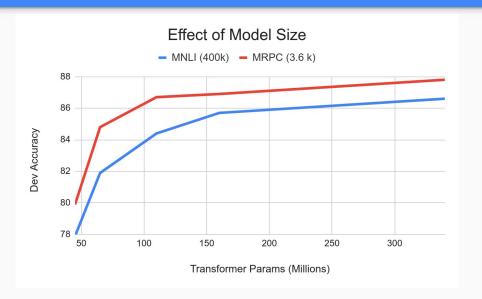
<u>Label</u>: Unacceptable

#### Effect of Directionality and Training Time



- Masked LM takes slightly longer to converge because we only predict 15% instead of 100%
- But absolute results are much better almost immediately

#### **Effect of Model Size**



- Big models help a lot
- Going from 110M -> 340M params helps even on datasets with 3,600 labeled examples
- Improvements have not asymptoted

# **Attention Self-Attention Transformers**

**BERT** 

## Thank you!