Illusory NPI licensing of both 'ever' and 'any' when linear position is controlled Mechelle Wu, Amman Khurana and Dave Kush (University of Toronto)

Linguistic illusions can provide insights into the mechanisms of language comprehension. particularly how memory representations are managed. One type of illusion involves negative polarity items (NPIs), which require a downward-entailing operator (licensor) in a higher structural position [1]. Illusory licensing effects have been observed with adverbial NPIs like English ever and German jemals [2-4]: unlicensed ever is judged more acceptable and causes less processing difficulty in the presence of an irrelevant licensor (no critics in 1) than in sentences where a licensor is absent. Parker and Phillips (2016) [4] examined whether susceptibility to illusory licensing is a general property of NPIs in English comparing ever and any. In speeded judgment and self-paced reading (SPR) studies, they found clear illusory licensing effects with ever, but not with any. The authors speculated that the divergent effects arose due to the different positions that ever and any occupied in their test sentences: ever was linearly closer to the constituent containing the intrusive licensor than any was. [5] also observed differences between ever and any, which they similarly attributed to a distance effect. To our knowledge, neither [4] nor [5] directly tested the linear distance hypothesis as an explanation for the lack of effect with any. Doing so is important, however, because alternative explanations for the absence of illusions with any are possible: inherent lexical differences in susceptibility to illusions between the NPIs or low statistical power (only 18-24 participants were tested in [4]) could account for the discrepancy. We followed up on [4,5] with a higher-powered SPR experiment that tested whether the illusory licensing effect observed for ever can be replicated for any when the linear position of the two NPIs is held constant. If similar effects are found for both ever and any, it is possible to preserve a general theory of the (faulty) licensing mechanisms that give rise to illusory effects. If we only find effects with ever, it would suggest that position differences alone cannot account for the disparity, prompting revision of existing theories.

Design. 36 items were adapted from [4], Exp 1. Items followed a 2 x 3 design varying *licensor position* (grammatical | irrelevant | no licensor) and *NPI type* (ever | any). Table 1 contains an example. Sentences started with a subject NP modified by a relative clause (RC) and followed by a main clause predicate containing the NPI. In [4,5], linear distance between the end of the RC and the NPI differed between any and ever sentences. Ever was preceded by an auxiliary. Any was preceded by the auxiliary and main verb. To remedy this discrepancy, we omitted the auxiliary before any in our items. Furthermore, to prevent difficulty associated with adjacency of the RC verb and main verb, we inserted a prepositional adjunct at the end of the RC.

Analysis. Log-transformed RTs were analyzed using linear mixed effects regression. Models included main effects for *NPI* (ever v. any) and Licensing and their interaction. Licensing was Helmert coded to test for two separate contrasts: Grammaticality (Grammatical v. {No Licensor, Irrelevant Licensor}) and Illusion (No Licensor v. Irrelevant Licensor). We used the maximal random effect structure that converged: $LogRT \sim npi*lic + (1+lic||subj) + (1+lic||item)$. If ever and any differ in their susceptibility illusory licensing, we expected an NPI × Illusion interaction. If both are susceptible, we expected a main effect of Illusion.

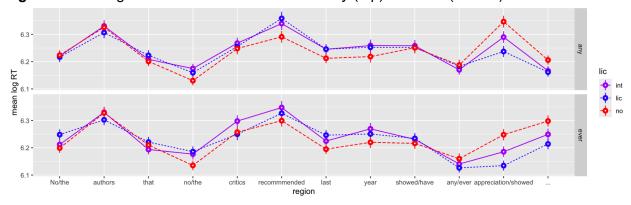
Results (SPR, N=101 after exclusion). Average region-by-region Log RTs can be seen in Figure 1. No theoretically relevant effects were observed in the critical NPI region. In the spillover region, there was a main effect of Grammaticality: RTs were shorter on average in *Grammatical* conditions than in *Ungrammatical* conditions (t = 4.88). There was also a main effect of Illusion: RTs were shorter in *Irrelevant Licensor* conditions than in *No Licensor* conditions (t = 3.02). Illusion did not interact with NPI (t < 1). Planned comparisons show that the illusory licensing effect was significant with both ever (t = -2.52) and any (t = -2.13). Our results suggest that any is also susceptible to illusory licensing and support the distance-based explanation of their absence in previous work.

- (1) *The authors that [no critics recommended] have ever received a prize.
- (2) *The authors that [the critics recommended] have ever received a prize.

Table 1. Sample set of experimental items

ever	Grammatical Licensor (lic)	No authors [that the critics recommended that year] have ever received acknowledgment for a best-selling novel.
	Irrelevant Licensor (int)	The authors [that <i>no critics</i> recommended that year] have ever received acknowledgment for a best-selling novel.
	No Licensor (no)	The authors [that the critics recommended that year] have ever received acknowledgment for a best-selling novel.
any	Grammatical Licensor (lic)	No authors [that the critics recommended that year] received any acknowledgement for a best-selling novel.
	Irrelevant Licensor (int)	The authors [that <i>no critics</i> recommended that year] received any acknowledgement for a best-selling novel.
	No Licensor (no)	The authors [that the critics recommended that year] received any acknowledgement for a best-selling novel.

Figure 1. Reading times for sentences with NPIs any (top) and ever (bottom)



References

[1] Ladusaw, W. 1979. UT Austin PhD Dissertation; [2] Vasishth, S., Brüssow, S., Lewis, R. L., & Drenhaus, H. 2008. *Cognitive Science*; [3] Xiang, M., Dillon, B., Phillips, C. 2009. *Brain & Language*; [4] Parker, D. & Phillips, C. 2016. *Cognition*. [5] Muller, H., Joly, C., de Dios Flores, I., Resnik, P., & Phillips, C. 2020. *Poster at 33rd CUNY Conference*