# Assignment 2-1 DCGAN Practice

IMVFX Autumn November 9, 2023

Sample Code:

IMVFX\_HW2\_DCGAN.ipynb - Colaboratory (google.com)

Colab tutorial:

IMVFX\_Google\_Colab\_Tutorial.ipynb - Colaboratory

DEEP LEARNING WITH PYTORCH: A 60 MINUTE BLITZ

https://pytorch.org/tutorials/beginner/deep\_learning\_60min\_blitz.html

### **Outline**

- Introduction
- GAN
- The structure of DCGAN
- Requirements
- Reminder
- Submission
- Reference

### Introduction

In this homework, you are going to use DCGAN (Deep Convolutional Generative Adversarial Networks) to generate images.

Use the dataset below to train the model:

• Anime Face dataset (21376 images): Google drive link



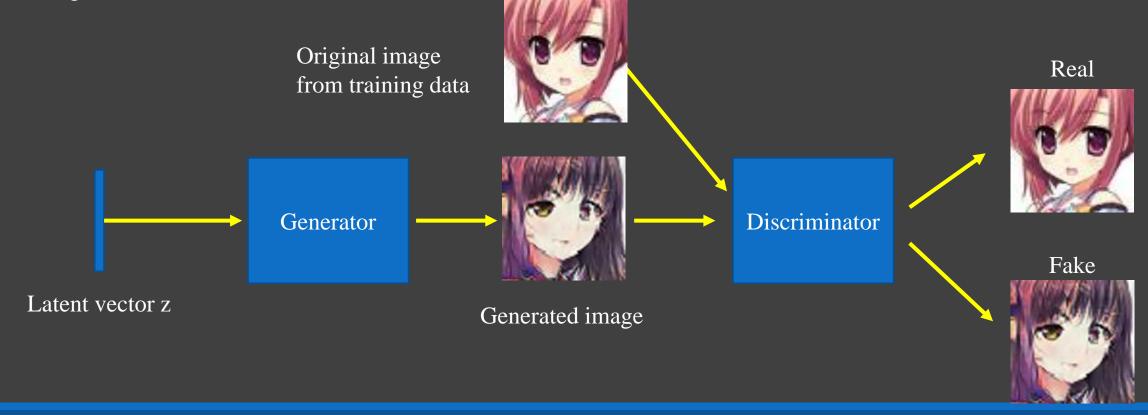
### **GAN**

- 1. GAN is Invented by Ian Goodfellow in 2014.
- 2. Made of two models:
- Generator: Generate the fake data that look like training data.
- Discriminator: Look at a image and output the data is true or fake data
- 3. Try to achieve a equilibrium of the game by training the model.

$$\underset{G}{\operatorname{minmax}}V(D,G) = \mathbb{E}_{x \sim p_{data}(x)} \left[ log D(x) \right] + \mathbb{E}_{z \sim p_{z}(z)} \left[ log (1 - D(G(z))) \right]$$

### The structure of DCGAN

<u>DCGAN</u> introduces a CNN structure on top of the original GAN to enhance the performance of the generative model.



# Steps for training GAN

- 1. Set up the parameters
- 2. Load the data
- 3. Initialize the model weight
- 4. Build DCGAN model (Generator, Discriminator)
- 5. Set up loss functions and optimizers
- 6. Training

### Step 1. Set up the parameters

We need to set up the parameters for training. You can change the parameters to improve the training result. (ex. Set the lr = 0.0005)

```
# Root directory for dataset
dataroot = "anime_face_dataset"
# Number of workers for dataloader
workers = 2
# Batch size during training
batch size = 128
# Spatial size of training images. All images will be resized to this
# size using a transformer.
image size = 64
# Number of channels in the training images. For color images this is 3
# Size of z latent vector (i.e. size of generator input)
nz = 100
# Size of feature maps in generator
ngf = 64
# Size of feature maps in discriminator
ndf = 64
# Number of training epochs
num epochs = 10
# Learning rate for optimizers
1r = 0.0002
# Betal hyperparam for Adam optimizers
beta1 = 0.5
# Number of GPUs available. Use 0 for CPU mode.
ngpu = 1
# Decide which device we want to run on
device = torch.device("cuda:0" if (torch.cuda.is_available() and ngpu > 0) else "cpu")
```

### Step 2. Load the data

Then we can load the data by <a href="ImageFolder">ImageFolder</a>() and make the data loader by <a href="DataLoader">DataLoader</a>().

You can use transformation functions for data augmentation.

### Step 3. Initialize the model weight

From the DCGAN paper, the authors specify that all models should be initialized by normal distribution with mean=0, stdev=0.02.

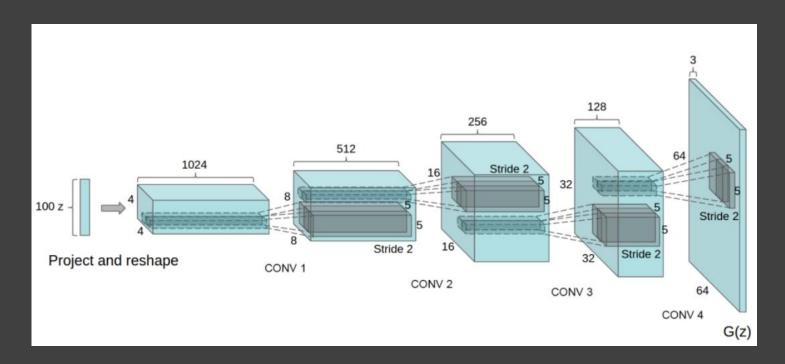
This function is applied to the models immediately after initialization.

```
# custom weights initialization called on netG and netD
def weights_init(m):
    classname = m.__class__.__name__
    if classname.find('Conv') != -1:
        nn.init.normal_(m.weight.data, 0.0, 0.02)
    elif classname.find('BatchNorm') != -1:
        nn.init.normal_(m.weight.data, 1.0, 0.02)
        nn.init.constant_(m.bias.data, 0)
```

# Step 4. Build the DCGAN model - Generator

An illustration of the generator architecture from the DCGAN paper is shown below.

The input of generator is a latent vector z and the output is a image.





### Step 4. Build the DCGAN model - Generator

Define the Generator class that contains two functions:

- \_\_init\_\_():Initialize the layers.(ConvTranspose2d(), BatchNorm2d(), ReLU())
- forward():Forward propagate your input through the layers.

```
Generator Code
class Generator (nn. Module):
   Input shape: (N, in_dim, 1, 1)
   Output shape: (N, nc, image size, image size)
   In our sample code, input/output shape are:
       Input shape: (N, 100, 1, 1)
      Output shape: (N. 3, 64, 64)
   def __init__(self, ngpu):
       super (Generator, self). init ()
      self.ngpu = ngpu
      self.main = nn.Sequential(
          # input is Z, going into a convolution
          nn.ConvTranspose2d( nz, ngf * 8, 4, 1, 0, bias=False),
          nn.BatchNorm2d(ngf * 8),
          nn. ReLU(True),
          # state size. (ngf*8) x 4 x 4
          nn.ConvTranspose2d(ngf * 8, ngf * 4, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ngf * 4),
          nn. ReLU (True),
          # state size. (ngf*4) x 8 x 8
          nn.ConvTranspose2d( ngf * 4, ngf * 2, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ngf * 2),
          nn. ReLU (True),
          # state size. (ngf*2) x 16 x 16
          nn.ConvTranspose2d( ngf * 2, ngf, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ngf),
          nn. ReLU (True),
          # state size. (ngf) x 32 x 32
          nn.ConvTranspose2d( ngf, nc, 4, 2, 1, bias=False),
          nn. Tanh()
          # state size. (nc) x 64 x 64
   def forward(self, input):
```

return self.main(input)

### Step 4. Build the DCGAN model - Generator

After define the model class, create a generator by the class.

Remember to put your generator to the device and apply the weight initialize function.

```
# Create the generator
netG = Generator(ngpu).to(device)

# Handle multi-gpu if desired
if (device.type = 'cuda') and (ngpu > 1):
    netG = nn.DataParallel(netG, list(range(ngpu)))

# Apply the weights_init function to randomly initialize all weights
# to mean=0, stdev=0.2.
netG.apply(weights_init)

# Print the model
print(netG)
```

### Step 4. Build the DCGAN model - Discriminator

Define the Discriminator class that contain two functions:

- \_\_init\_\_():Initialize the layers.(Conv2d(), BatchNorm2d(), LeakyReLU())
- forward():Forward propagate your input through the layers.

```
class Discriminator(nn Module):
   Input shape: (N, nc, image_size, image_size)
   Output shape: (N, )
   In our sample code, input/output are:
      Input shape: (N, 3, 64, 64)
      Output shape: (N, )
  def __init__(self, ngpu):
      super(Discriminator, self).__init__()
      self.ngpu = ngpu
      self.main = nn.Sequential(
          # input is (nc) x 64 x 64
          nn.Conv2d(nc, ndf, 4, 2, 1, bias=False),
          nn.LeakyReLU(0.2, inplace=True),
          # state size. (ndf) x 32 x 32
          nn.Conv2d(ndf, ndf * 2, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ndf * 2),
          nn.LeakyReLU(0.2, inplace=True),
          # state size. (ndf*2) x 16 x 16
          nn.Conv2d(ndf * 2, ndf * 4, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ndf * 4),
          nn.LeakyReLU(0.2, inplace=True),
          # state size. (ndf*4) x 8 x 8
          nn.Conv2d(ndf * 4, ndf * 8, 4, 2, 1, bias=False),
          nn.BatchNorm2d(ndf * 8),
          nn.LeakyReLU(0.2, inplace=True),
          # state size. (ndf*8) x 4 x 4
          nn.Conv2d(ndf * 8, 1, 4, 1, 0, bias=False),
          nn. Sigmoid()
  def forward(self, input):
```

return self.main(input)

### Step 4. Build the DCGAN model - Discriminator

Similar with generator, create a discriminator here. Put the network to device and apply the weight initialize function.

```
# Create the Discriminator
netD = Discriminator(ngpu).to(device)

# Handle multi-gpu if desired
if (device.type = 'cuda') and (ngpu > 1):
    netD = nn.DataParallel(netD, list(range(ngpu)))

# Apply the weights_init function to randomly initialize all weights
# to mean=0, stdev=0.2.
netD.apply(weights_init)

# Print the model
print(netD)
```

### Step 5. Set up loss functions and optimizers

We use BCELoss() and Adam() here.

#### BCE loss function

```
# Initialize BCELoss function
criterion = nn.BCELoss()
```

```
\ell(x,y) = L = \{l_1, \dots, l_N\}^{\top}, \quad l_n = -[y_n \cdot \log x_n + (1-y_n) \cdot \log(1-x_n)]
```

```
# Setup Adam optimizers for both G and D
optimizerD = optim.Adam(netD.parameters(), lr=lr, betas=(beta1, 0.999))
optimizerG = optim.Adam(netG.parameters(), lr=lr, betas=(beta1, 0.999))
```

You can try different optimizers here, such as SGD, Momentum, and so on.

# Step 6. Training

Then we can start training.

GAN is hard to train, in order to get a better result, we need to apply some ganhack tricks in our training loop, include:

- Construct different mini-batches for real and fake images and adjust Generator's objective function to maximize logD(G(z)).
- First, train discriminator: maximize log(D(x)) + log(1 D(G(z))).
- Than train the generator: minimizing  $log(1-D(G(z))) \rightarrow maximize log(D(G(z)))$ .
- Create the noise vector and the label for true and fake data.

```
# Create batch of latent vectors that we will use to visualize
# the progression of the generator
fixed_noise = torch.randn(100, nz, 1, 1, device=device)
# Establish convention for real and fake labels during training
real_label = 1.
fake_label = 0.
```

### Step 6. Training - Train the discriminator

Due to the separate mini-batch suggestion from ganhacks, we will calculate this in two steps.

• Step 1:

Construct a batch of real samples from the training set, forward pass through D, calculate the loss log(D(x)), then backward pass to compute the gradients.

```
## Train with all-real batch
netD.zero_grad()
# Format batch
real_cpu = data[0].to(device)
b_size = real_cpu.size(0)
label = torch.full((b_size,), real_label, dtype=torch.float, device=device)
# Forward pass real batch through D
output = netD(real_cpu).view(-1)
# Calculate loss on all-real batch
errD_real = criterion(output, label)
# Calculate gradients for D in backward pass
errD_real.backward()
```

### Step 6. Training - Train the discriminator

### • Step 2:

Construct a batch of fake samples with the current generator, forward pass this batch through D, calculate the loss log(1-D(G(z))), then backward pass to accumulate the gradients. (So the gradient is computed by forwarding a batch of real and a batch of fake images)

```
## Train with all-fake batch
# Generate batch of latent vectors
noise = torch.randn(b_size, nz, 1, 1, device=device)
# Generate fake image batch with G
fake = netG(noise)
label.fill_(fake_label)
# Classify all fake batch with D
output = netD(fake.detach()).view(-1)
# Calculate D's loss on the all-fake batch
errD_fake = criterion(output, label)
# Calculate the gradients for this batch, accumulated (summed) with previous gradients
errD_fake.backward()
```

### Step 6. Training - Train the discriminator

Remember to call the optimizer's step function after the backward pass to update the model's weights.

```
# Compute error of D as sum over the fake and the real batches
errD = errD_real + errD_fake
# Update D
optimizerD.step()
```

### Step 6. Training - Train the Generator

Forward the fake data but calculate loss with real label.

And remember to call the optimizer's step function after the backward pass.

```
netG.zero_grad()
label.fill_(real_label)  # fake labels are real for generator cost
# Since we just updated D, perform another forward pass of all-fake batch through D
output = netD(fake).view(-1)
# Calculate G's loss based on this output
errG = criterion(output, label)
# Calculate gradients for G
errG.backward()
# Update G
optimizerG.step()
```

# Step 6. Training

Remember to save the model weight when you are training.

You can use torch.save(model, PATH), torch.save(model.state\_dict(), PATH) for saving the model weight.

When you want to evaluate data or trained on pre-trained weight.

You can use torch.load(PATH), model.load\_state\_dict(torch.load(PATH)).

Example on pytorch.org

### For implementation A.1-2, A.1-3

### Plot the loss and save images

For plot loss:

Save the loss values in a list while you are training.

Then use the function in matplotlib.pyplot to plot the loss.

For save images:

Save the images by torchvision.utils.save\_image() or matplotlib.pyplot.savefig().

The fake images from your generator. Save directory The images number per row.

# Interpolation

After finish training your model, interpolate the z vector and generate images to observe how the image changes with different z value.

First, generate latent vectors z1 and z2, then compute their interpolation by

```
v = (1.0 - ratio) * z1 + ratio * z2
```

Compute v at different ratio 0, (1/9), (2/9), ..., (8/9), 1, each ratio will give a different images that you need to save.

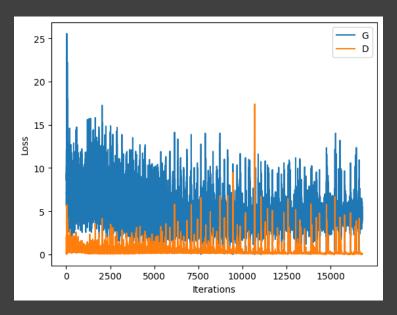
### Requirements - Implementation

Implementation(30%):

- A.1-1 Train a DCGAN model and generate the images. (Please use image size 64\*64) (10%)
- A.1-2 Plot the loss value of discriminator and generator versus training iterations. (Please upload the image to E3) (5%)
- A.1-3 Store your generated images in 5\*5 grid. (Please upload the image to E3) (5%)
- A.1-4 Explore your latent space: Interpolate 3 pairs of z vectors and plot the generated images in 3\*10 grid. (Please upload the image to E3) (10%)

# **Requirements - Implementation**

Example for A.1-2



#### Example for A.1-3



# **Requirements - Implementation**

#### Example for A.1-4



### Requirements - Report

Report(15%): You can write in Chinese or English.

#### **✓** DCGAN

A.2-1 Please provide a brief introduction about your experiments, including details such as setting of hyperparameter, data augmentation techniques used, network structure, etc. (5%)

A.2-2 Place the generated image series from various epochs during the training process here and provide a discussion of your observations. (5%)

A.2-3 Discuss about A.1-4. Please explain how the z vector influence your images here. (5%)

### Reminder

- If you refer any code from GitHub or other open source, you have to properly cite the source and comment on codes belonging to the open source. Otherwise, you will get a penalty of 20 points or more.
- You should work on all the given images.
- It takes much time to train the models, so better to start your work early.
- Feel free to modify any code provided by TA or write the code yourself.

### **Submission**

- 1. Your python source code (.py or .ipynb)
- 2. Your report (Named as report\_<your student ID>.pdf)
- 3. A generated 5\*5 grid image (Named as result.jpg)
- 4. A plot of loss values (Named as loss.jpg)
- 5. An interpolation 3\*10 grid image (Named as interpolation.jpg)

Zip all the files above to <your student ID>\_hw2\_1.zip and upload the zip file to E3 before the deadline.

### Reference

### DCGAN Implementation

https://pytorch.org/tutorials/beginner/dcgan\_faces\_tutorial.html

https://github.com/eriklindernoren/PyTorch-GAN

https://github.com/soumith/ganhacks

https://pytorch.org/tutorials/beginner/saving\_loading\_models.html

#### Data source

https://www.kaggle.com/datasets/soumikrakshit/anime-faces

#### Paper of GAN & DCGAN

https://papers.nips.cc/paper/5423-generative-adversarial-nets.pdf https://arxiv.org/abs/1511.06434

#### State-of-the-art

https://paperswithcode.com/task/conditional-image-generation https://paperswithcode.com/task/image-generation