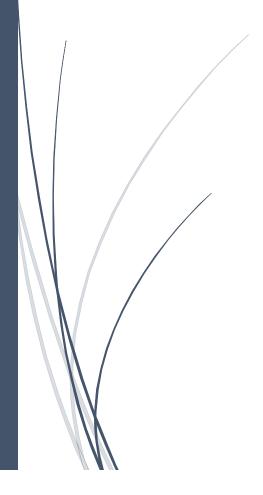
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DESIGN AND ANALYSIS OF ALGORITHMS ASSIGNMENT

Christopher Hsu Chang ID: 18821354



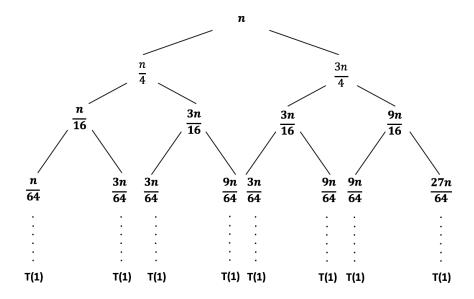
Christopher Hsu Chang COMP3001

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Question 1a:

Show the recurrence tree for $T(n)=T\left(\frac{n}{4}\right)+T\left(\frac{3n}{4}\right)+n$ to guess its asymptotic upper bound complexity. Hint. The textbook shows an example similar to this problem.



 \therefore The Asymptotic upper bound complexity will be O(nlogn), because although the recurrence function has $T\left(\frac{n}{4}\right)$ and $T(\frac{3n}{4})$, it is still represented as a binary tree meaning there will be $\log n$ splits done n times where n is the height of the tree. The height of the tree is $\log_{4/3}$ n and each level will add up to n.

Question 1b:

Use induction to verify your guess in part a).

Prove
$$T(n) = T\left(\frac{n}{4}\right) + T\left(\frac{3n}{4}\right) + n$$
 is $O(nlogn)$,
Assume $T(x) \le cxlogx$ holds when $x = \lfloor n/4 \rfloor$ and $\lfloor 3n/4 \rfloor$

Now Prove for Base Case where n = 1:

$$T(1) = T\left(\left|\frac{(1)}{4}\right|\right) + T\left(\left|\frac{3(1)}{4}\right|\right) + (1)$$

$$= 0 + 0 + 1 = 1$$
Where $1 \le s(1) \lg(1) = 0 \implies 1 \le 0$

Where $1 \le c(1) \lg(1) = 0 \to 1 \le 0$

 $1 \le 0$ is not true!!

But, assume n_0 is greater than 1, so try for base case where n = 2:

$$T(2) = T\left(\left|\frac{(2)}{4}\right|\right) + T\left(\frac{3(2)}{4}\right) + (2)$$

$$= 0 + 1 + 2 = 3$$
Where $3 \le c(2) \lg(2) = 2 \rightarrow 3 \le 2.67$

$$3 \le 2.67$$
Which is not true!

So try n = 3:

$$T(3) = T\left(\left|\frac{(3)}{4}\right|\right) + T\left(\left|\frac{3(2)}{4}\right|\right) + 3$$

$$= 0 + 2 + 3 = 5$$
Where $5 \le c(3) \lg(3) = (1.3333)(4.75) = 6.33$

$$5 \le 6.33$$
 Which is True ©

 $\therefore O(nlogn)$ holds true for values of $c \ge \frac{4}{3}$ and $n \ge 3$ for the upper bound time complexity.

Question 1c:

Use induction to verify if your guess in part a) also applies for its asymptotic lower bound complexity.

Prove
$$T(n)=T\left(\frac{n}{4}\right)+T\left(\frac{3n}{4}\right)+n\geq\Theta(nlogn),$$
 Assume $T(x)\leq cxlogx$ holds when $x=\lfloor n/4\rfloor$ and $\lfloor 3n/4\rfloor$
$$T(n)\geq\frac{cnlgn}{4}+\frac{3cnlgn}{4}+n$$

$$=c\left(\frac{n}{4}\right)\lg\left(\frac{n}{4}\right)+c\left(\frac{3n}{4}\right)\lg\left(\frac{3n}{4}\right)+n$$

$$\geq c\left(\frac{n}{4}\right)\lg(lgn-lg4)+c\left(\frac{3n}{4}\right)(lg3n-lg4)+n$$

$$=\frac{cnlgn}{4}-\frac{cnlg4}{4}+\frac{3cnlg3n}{4}-\frac{3cnlg4}{4}+n$$

$$=cnlgn-cnlg4+3cnlg3n-3cnlg4+4n$$

$$=cnlgn+3cnlg3n+4n-4cnlg4$$
 // Drop 3cnlg3n because the above // line is still larger than this one // Now find for c c2lgn $\leq cnlgn+4n-4cnlg4$ c2lgn $\leq cnlgn+4n-8c$ 0 $\leq 4-8c$ 8c ≤ 4 $c\leq 1/2$ // C must be less than or equal to a half

Now prove for the base case where n = 3, We don't need start at 1 because we have already proven that n = 3 for upper bound so of course it will work for n = 1 and n = 2.

$$T(1) = T\left(\left|\frac{(1)}{4}\right|\right) + T\left(\left|\frac{3(1)}{4}\right|\right) + (1)$$
$$= 0 + 0 + 1 = 1$$
Where $1 \le \left(\frac{1}{2}\right)(1)\lg(1) = 0 \to 0 \le 1$

Which is true but doesn't say much

n = 2:

$$T(2) = T\left(\left|\frac{(2)}{4}\right|\right) + T\left(\frac{3(2)}{4}\right) + (2)$$

$$= 0 + 1 + 2 = 3$$
Where $3 \le \left(\frac{1}{2}\right)(2) \lg(2) = 1 \to 1 \le 3$

 $1 \le 3$ Which is true

n = 3:

$$T(3) = T\left(\left|\frac{(3)}{4}\right|\right) + T\left(\frac{3(3)}{4}\right) + (3)$$

= 0 + 3 + 3 = 6

Where $3 \le \left(\frac{1}{2}\right)(3) \lg(3) = 2.375 \rightarrow 2.375 \le 3$

 $2.75 \le 3$ Which is true!

 $\therefore \Theta(nlogn)$ holds true for values of $c \leq \frac{1}{2}$ and $n \geq 3$ for the lower bound time complexity.

Question 2a Adjacency List:

Represent the Graph as an Adjacency List

- A BCDF/
- B ADE/
- C AF/
- D ABEFG/
- E BDG/
- F ACDG/
- G DEF/

Question 2b Greedy Algorithm:

```
INPUT: G(V, E) in adjacency list format and edgeList which is a
       list of edges that the graph has.
OUTPUT: C
                                // Which are the nodes that generate
                                         the minimum sized vertex cover
Legend : L[x], refers to the Adjacency List of all the Vertices
         edgeList, refers to the List of all the edges
         heap, is the Heap
         C, is the minimum vertex cover
Note: Functions such as BUILD-MAX-HEAP and HEAP-EXTRACT-MAX
       where taken from the lecture slides from Lecture 4
       Heaps.
Algorithm:
    1. For each v in V
    2.
           For each u in L[v]
               count KEYS/DEGREES for node v
    3.
    5. heap = BUILD-MAX-HEAP(G)
    6. While edgeList is not empty
    7.
           curNode = HEAP-EXTRACT-MAX(heap)
    8.
           For each Adjacent Vertex 'v2' in L[curNode]
               edge = curNode + v2
    9.
    10.
               If edge exists inside edgeList
    11.
                   remove edge from edgeList
    12.
                   HEAP DECREASE KEY/DEGREES BY -1 of v2
    13.
           C.append(curNode)
```

Question 2c Time Complexity:

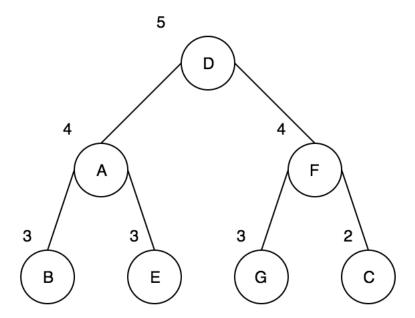
```
INPUT: G(V, E) in adjacency list format and edgeList which is a
       list of edges that the graph has.
OUTPUT: C
                                 // Which are the nodes that generate
                                         the minimum sized vertex cover
Legend : L[x], refers to the Adjacency List of all the Vertices
         edgeList, refers to the List of all the edges
         heap, is the Heap
         C, is the minimum vertex cover
Note: Functions such as BUILD-MAX-HEAP and HEAP-EXTRACT-MAX
       where taken from the lecture slides from Lecture 4
       Heaps.
Algorithm:
    1. For each v in V
                                                                0(V)
    2.
           For each u in L[v]
                                                                O(V)
    3.
               count KEYS/DEGREES for node v
                                                                0(1)
    4.
    5. heap = BUILD-MAX-HEAP(G)
                                                                O(V)
    6. While edgeList is not empty
                                                                O(E)
    7.
           curNode = HEAP-EXTRACT-MAX(heap)
                                                                O(logV)
    8.
           For each Adjacent Vertex 'v2' in L[curNode]
                                                                O(V)
    9.
               edge = curNode + v2
                                                                0(1)
               If edge exists inside edgeList
    10.
                                                                0(1)
    11.
                   remove edge from edgeList
                                                                O(E)
                   HEAP DECREASE KEY/DEGREES BY -1 of v2
                                                                O(logV)
    12.
           C.append(curNode)
    13.
                                                                0(1)
```

Time Complexity = 2EVlog(V)

The time complexity is 2VElog(V) because when you build the heap you are inserting each vertex into the heap V times and then maintaining the heap property by calling max-heapify (From the lectures), which is log(V) but since it is bottlenecked by the for loop of build-max-heap it is still only O(V). What makes this algorithm O(E) is that we are constantly checking if the edgeList is empty because once that list is empty we are certain that we have found the Minimum sized vertex cover. Within the while loop we are also running through the adjacency list to find the correct nodes (seen in line 8) to check if an edge exists in the edgeList and at most that is going to run 2E times because it appears twice in the adjacency list. Log(V) is derived from the HEAP-EXTRACT-MAX and the HEAP-DECREASE KEY functions. In order to extract the max element from the heap the operation is O(1) but it takes O(logV) to maintain the heap-property because you have to trickle-down the heap.

Question 2d Minimum sized vertex cover for given graph:

L[x] = // Same as the list from question 2a)
edgeList = {AB, AC, AD, AF, BD, BE, CF, DE, DF, DG, EG, FG}
C = {}



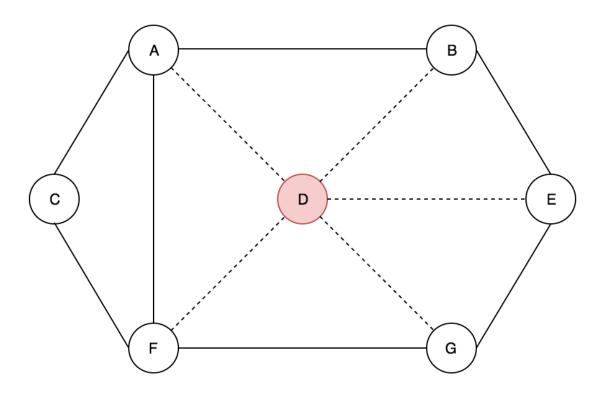
Heap Representation where this is a Max-Heap and the numbers represent the degrees of each vertex. (Line 5)

Line 7: Node D gets extracted from the heap and Max-Heapify is called to maintain the heap property.

Line 8: Going through each Node that is on D's adjacency list. To find any matching edges.

D ABEFG/

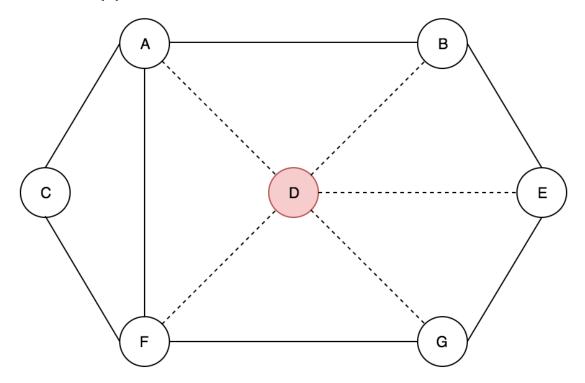
Lines 9-12: Whie it is looping through D's adjacency list, it is checking if an edge exists inside the edgeList. If the edge exists it removes it from the edgeList and decreases the keys of all of the adjacent vertices by 1. Because of this the graph will be missing all the links that was connected to the original node.



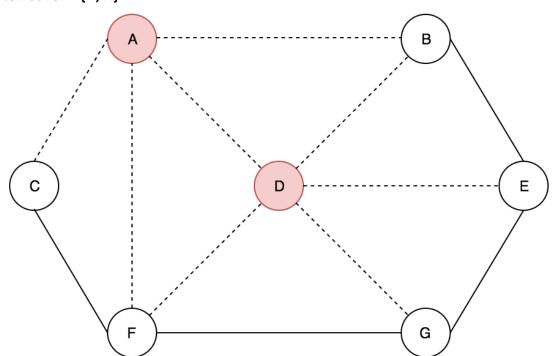
Line 13: The current node that was just extracted gets added onto the vertex cover. $C = \{D\}$

The process show above, is repeated again and again until all of the edges have been covered and the vertex cover will be shown below in the diagram highlighted in red. Note, for cases where the degree is the same number, the node which is in alphabetical order will be chosen.

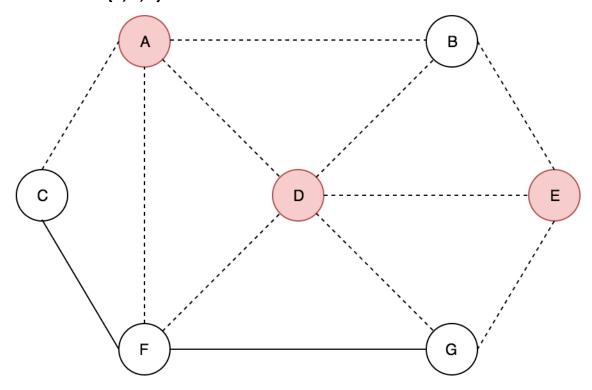
FINAL REPRESENTATION: Vertex Cover = {D}



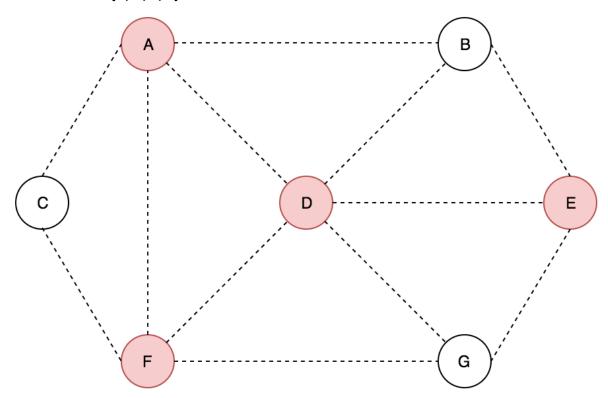
Vertex Cover = {D, A}



Vertex Cover = {D, A, E}

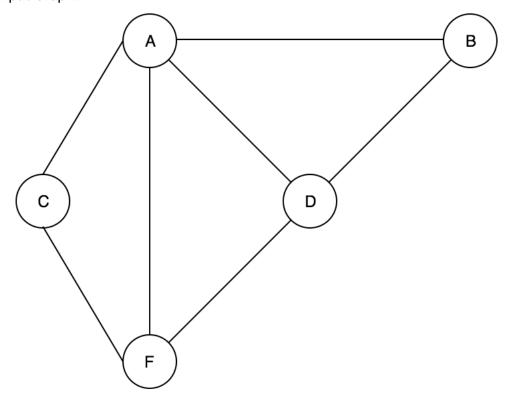


Vertex Cover = {D, A, E, F}

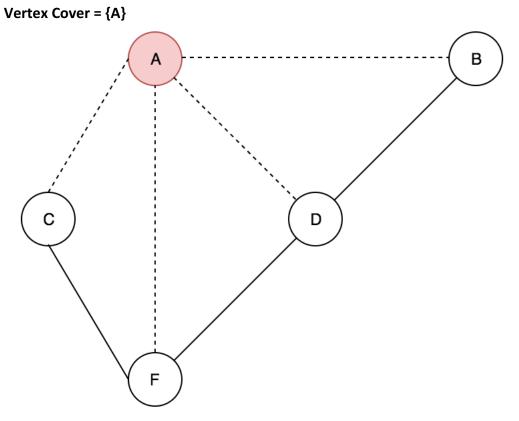


Question 2c Working and Non-working examples:

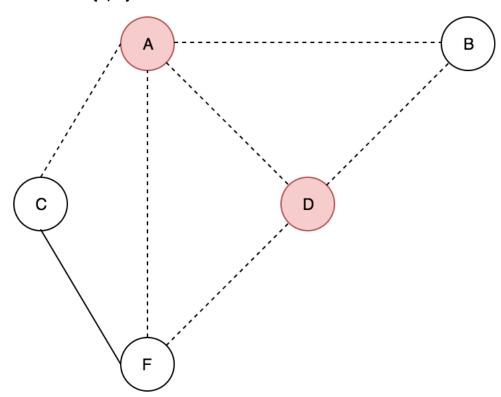
Working: Input Graph:



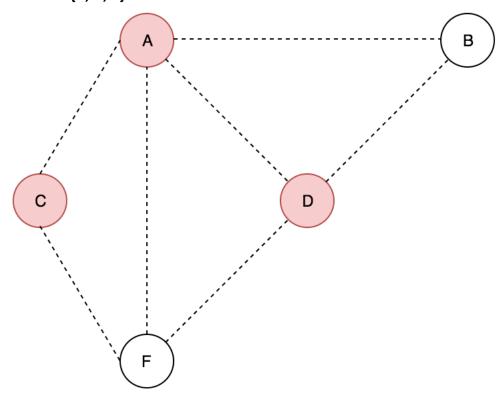
Solution:



Vertex Cover = {A, D}

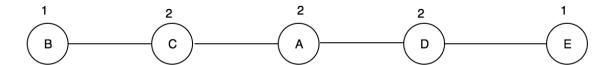


Vertex Cover = {A, D, C}



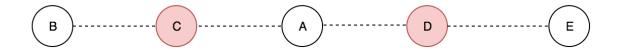
Non - Working:

For the following graph, the algorithm will not work.

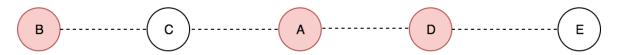


You can easily tell that the minimum vertex cover would be {C, D} that will cover all the edges but according to the algorithm, if the degrees of the maximum node are all the same it will select A first then B then D resulting in 3 nodes being the minimum vertex cover when it can be done in two.

What the vertex cover should be:



What the algorithm produces:



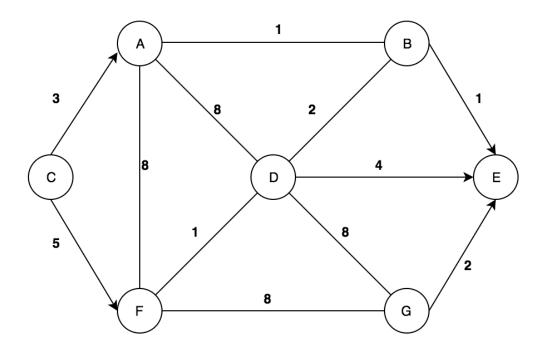
Question 3: Yen's Algorithm Question

Note: For This Question, I used Yen's Algorithm that was abstracted from Wikipedia. The link is given here, https://en.wikipedia.org/wiki/Yen%27s algorithm
, The pseudocode will be given below. Assuming Index starts at zero

```
function YenKSP(Graph, source, sink, K):
     // Determine the shortest path from the source to the sink.
     A[0] = Dijkstra(Graph, source, sink);
2.
3.
     // Initialize the set to store the potential kth shortest path.
4.
     B = [];
5.
6.
     for k from 1 to K:
7.
         // The spur node ranges from the first node to the next to last node in
8.
              the previous k-shortest path
9.
         for i from 0 to size(A[k-1]) - 1:
10.
              // Spur node is retrieved from the previous k-shortest path, k-1.
11.
              spurNode = A[k-1].node(i);
              // The sequence of nodes from the source to the spur node of the
12.
13.
              // previous k-shortest path
14.
              rootPath = A[k-1].nodes(0, i);
15.
16.
17.
          // Goes through each path and removes the links that are part of the
18.
          // previous shortest paths which share the same root path
19.
              for each path p in A:
20.
                  if rootPath == p.nodes(0, i):
21.
                      remove p.edge(i,i + 1) from Graph;
22.
              for each node rootPathNode in rootPath except spurNode:
23.
24.
                  remove rootPathNode from Graph;
25.
27.
              // Calculate the spur path from the spur node to the sink.
28.
              spurPath = Dijkstra(Graph, spurNode, sink);
29.
30.
              // Entire path is made up of the root path and spur path.
31.
              totalPath = rootPath + spurPath;
32.
              // Add the potential k-shortest path to the heap.
33.
              B.append(totalPath);
34.
35.
              // Add back the edges and nodes that were removed from the graph.
36.
              restore edges to Graph;
37.
              restore nodes in rootPath to Graph;
38.
39.
          // This handles the case where there are no spurs paths or an left
          if B is empty:
40.
41.
              break;
42.
43.
          // Sort the potential k-shortest paths by cost.
          B.sort();
44.
          // Add the lowest cost path becomes the k-shortest path.
45.
          A[k] = B[0];
46.
47.
          B.pop();
48.
49.
      return A;
```

Student ID: 18821354

The Graph:



Results for four shortest paths:

•	1. C -> A -> B -> E	Cost = 5
•	2. C -> F -> D -> B -> E	Cost = 9
•	3. C-> A -> B -> D -> E	Cost = 10

• 4. C -> F -> D -> E Cost = 10

Yen's Algorithm after each iteration:

How Dijkstra finds the shortest path for first iteration.

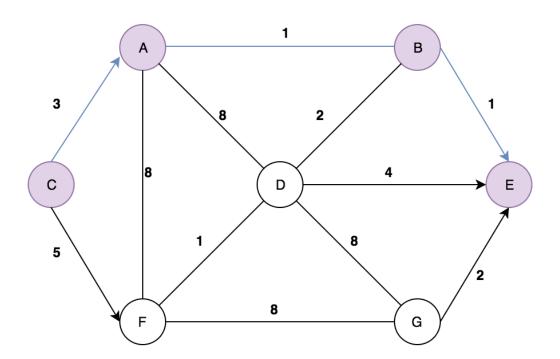
	Α	В	С	D	E	F	G
С	3	∞	0	8	∞	5	8
Α	3	4	0	11	8	5	8
В	3	4	0	6	5	5	8
F	3	4	0	6	5	5	13
D	3	4	0	6	5	5	13
E	3	4	0	6	5	5	13
G	3	4	0	6	5	5	13

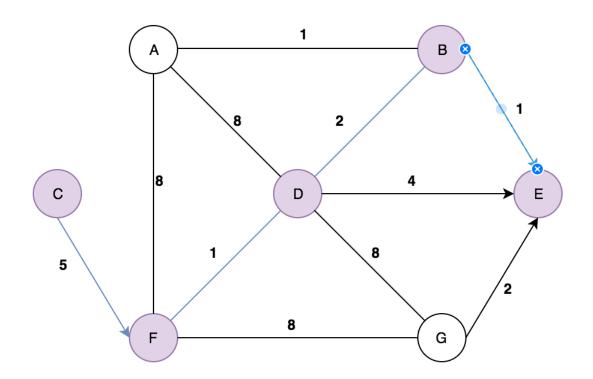
Dijkstra's algorithm gives you shortest paths for from a starting node to all the other nodes while Yen's is an extension of Dijkstra. Instead, it takes a source and destination node and it gives you the path's for the 1st shortest, 2nd shortest 3rd shortest etc. for the distance between source and destination nodes. Starting off with the shortest node. Below will be a graph representation on how Yen's algorithm does this given the pseudocode on page 16 and the graphs shown on each iteration.

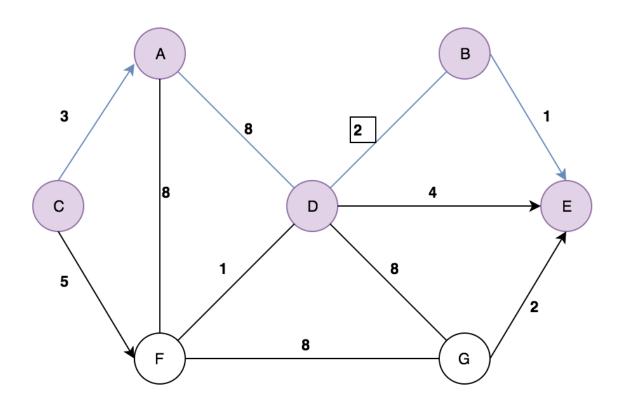
Note: For this part I am assuming indexes start at zero

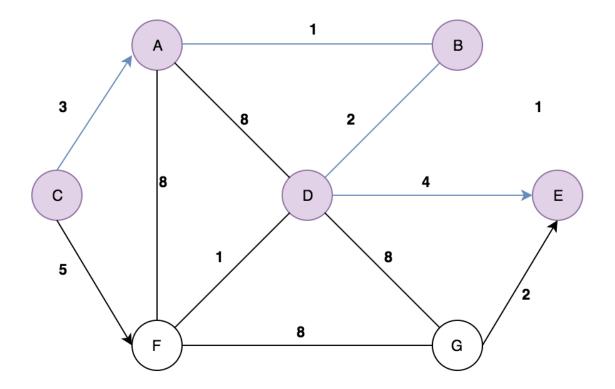
Dijkstra: First Shortest Path as shown in line 2.

A[0] = CABE





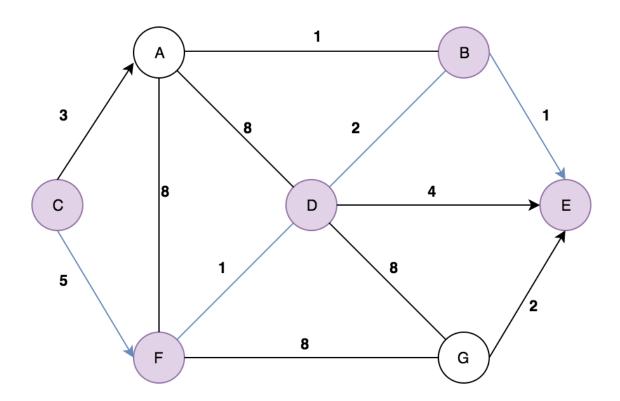




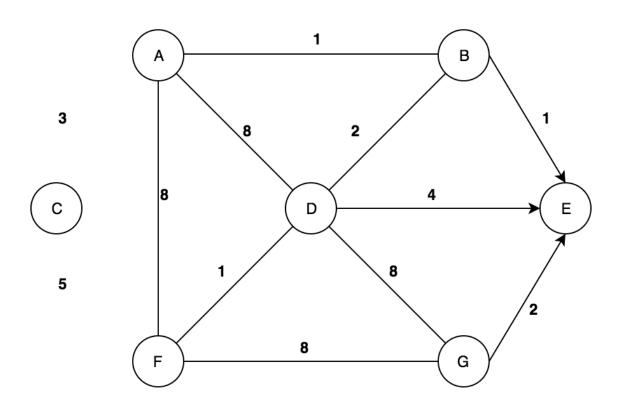
Now, Sort the potential k-shortest paths by costs, then select the first one (2^{nd} Shortest one) as A[k] and pop it off the set. **Lines 44-47**

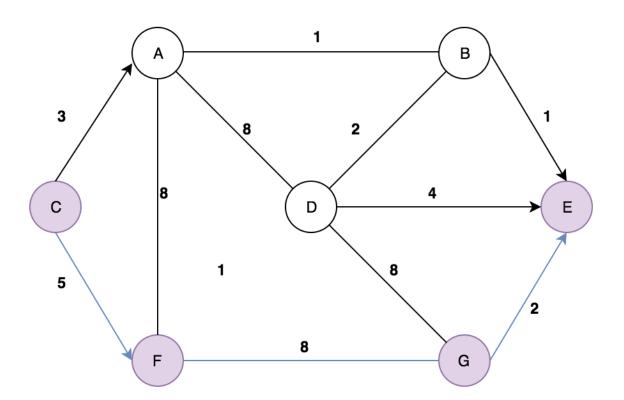
INPUT : B = {CFDBE, CADBE, CABDE} = {9, 14, 10} OUTPUT: B = {CFDBE, CABDE, CADBE} = {9, 10, 14}

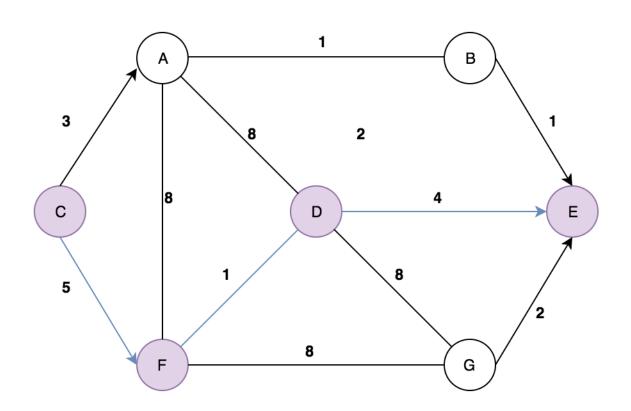
Dijkstra: Second Shortest path as shown in line 2 A[1] = CFDBE



Since, there are no ways on getting the total path, just move onto the next iteration.





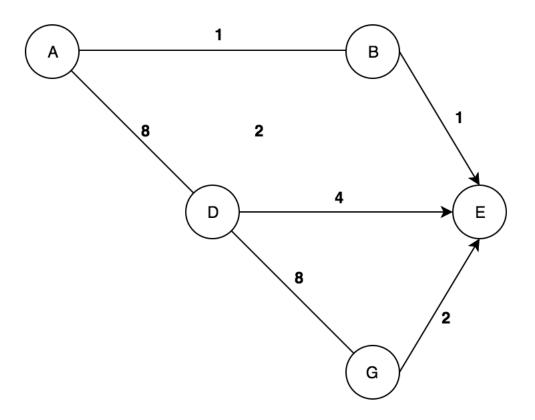


```
When k = 2 and i = 3
spurNode = B  // Line 11
rootPath = CFDB  // Line 14

Remove Edge between B and E  // Lines 19-21
Remove Node C and F  // Lines 23-24

spurPath = CANNOT BE FOUND
totalPath = CANNOT BE FOUND
B = {CADBE, CABDE, CFGE, CFDE}  // Line 33

Since, there are no ways on getting the total path, just move onto the next iteration.
```

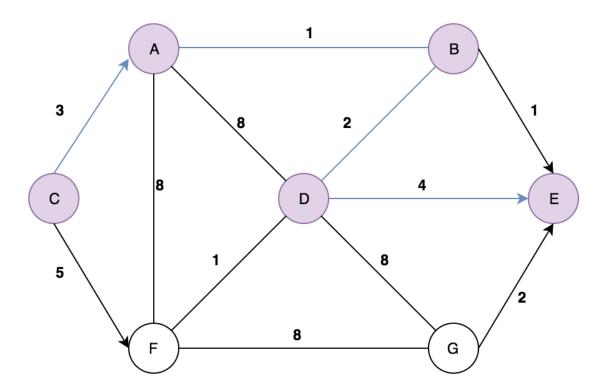


Now, Sort the potential k-shortest paths by costs, then select the first one (3^{rd} Shortest one) as A[k] and pop it off the set. **Lines 44-47**

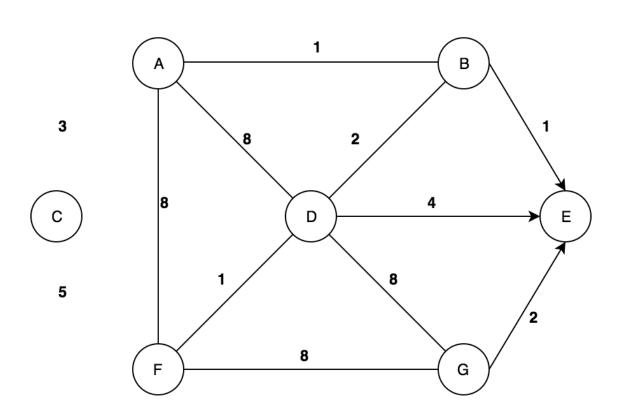
INPUT : B = {CADBE, CABDE, CFGE, CFDE} = {14, 10, 15, 10} OUTPUT: B = {CABDE, CFDE, CADBE, CFGE} = {10, 10, 14, 15}

Note: Since there is a tie between two 10's for cost. Just select the one in alphabetical order

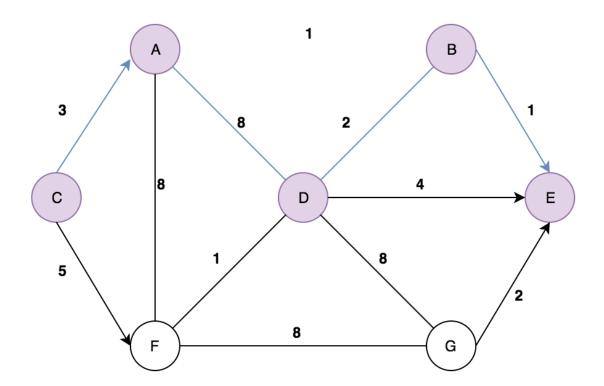
Dijkstra: Third Shortest path as shown in line 2 A[2] = CABDE

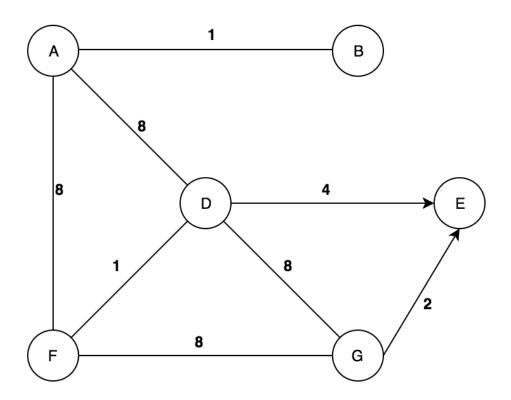


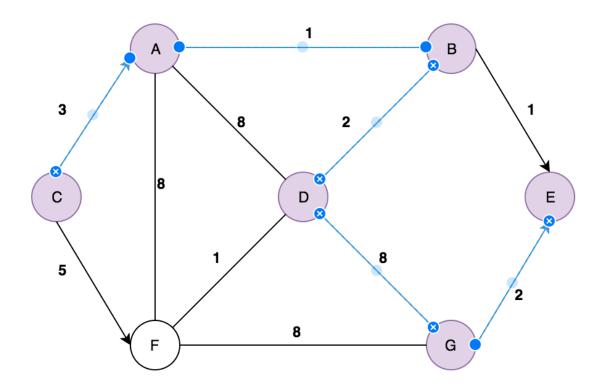
Since, there are no ways on getting the total path, just move onto the next iteration.



Since, the total path CADBE is already in the set it is just updated with the same value, no duplicates can happen in a set



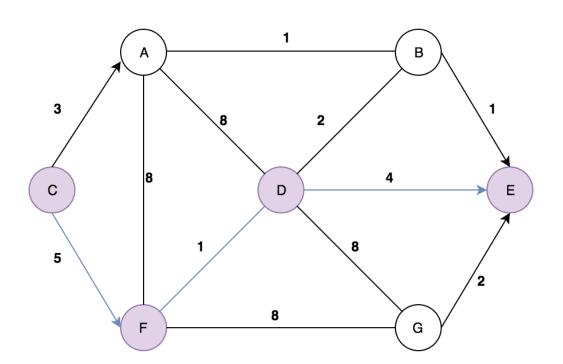




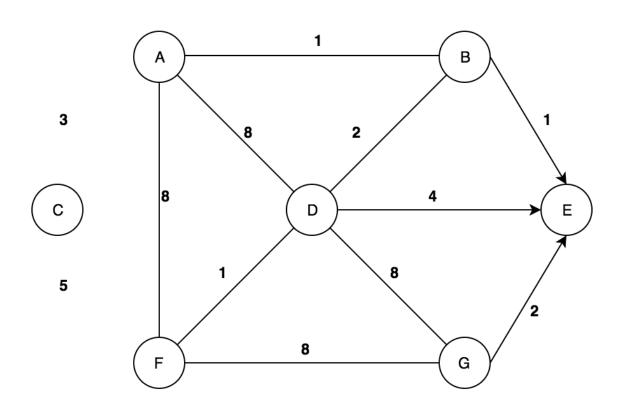
Now, Sort the potential k-shortest paths by costs, then select the first one (4th Shortest one) as A[k] and pop it off the set. **Lines 44-47**

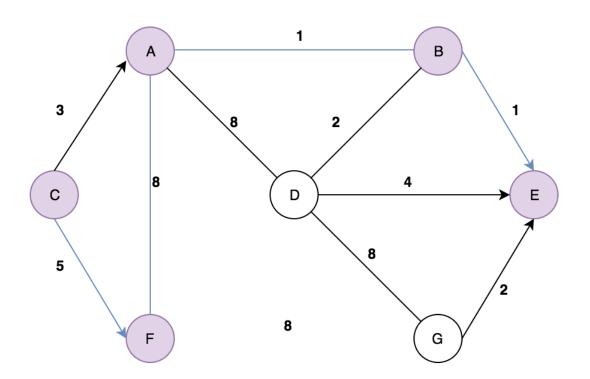
INPUT : B = {CFDE, CADBE, CFGE, CABDGE} = {10, 14, 15, 16} OUTPUT: B = {CFDE, CADBE, CFGE, CABDGE} = {10, 14, 15, 16}

A[4] = CFDE, then pop it from the set.

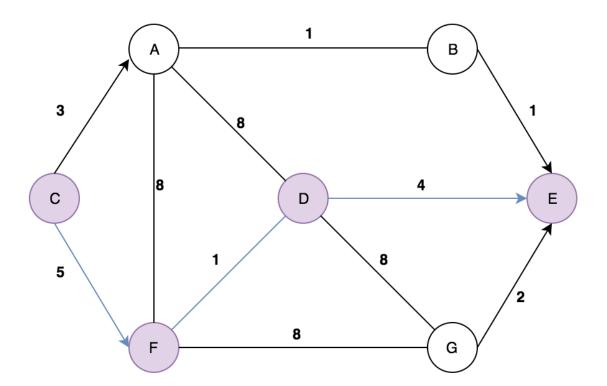


Since, there are no ways on getting the total path, just move onto the next iteration.





NOTE: Since this is the same as Dijkstra's 4^{th} shortest path, it would not be added into the set B.



Question 3b:

Discuss the time complexity of Yen's algorithm. Your complexity derivation must be calculated following each step of the pseudocode of the algorithm.

Note: I'm assuming the nodes of the graph is being stored in an Adjacency List and B is being stored as a set.

```
function YenKSP(Graph, source, sink, K):
  A[0] = Dijkstra(Graph, source, sink);
                                                             0((|E|+|V|)lg|V|)
                                                             0(1)
   for k from 1 to K:
                                                             0(K)
       for i from 0 to size(A[k-1]) - 1:
                                                             0(K-1)
           spurNode = A[k-1].node(i);
                                                             0(1)
           rootPath = A[k-1].nodes(0, i);
                                                             0(1)
           for each path p in A:
                                                             0(K)
               if rootPath == p.nodes(0, i):
                                                             0(1)
                   remove p.edge(i,i + 1) from Graph;
                                                             0(|E|)
           for each node rootPathNode in rootPath except spurNode: 0(V)
               remove rootPathNode from Graph;
                                                             0(V)
           spurPath = Dijkstra(Graph, spurNode, sink);
                                                             0((|E|+|V|)lg|V|)
           totalPath = rootPath + spurPath;
                                                             0(1)
           B.append(totalPath);
                                                             0(1)
           restore edges to Graph;
                                                             0(|E|)
           restore nodes in rootPath to Graph;
                                                             0(V)
       if B is empty:
                                                             0(1)
           break;
                                                             0(1)
                                                             O(nlogn) // Mergesort
       B.sort();
       A[k] = B[0];
                                                             0(1)
       B.pop();
                                                             0(1)
                                                             0(1)
   return A;
```

Time Complexity:

```
O((|E|+|V|)|g|V|)) + O(K + K*E + V + (|E|+|V|)|g|V|) + E + V + nlogn)
= O(|E|+|V||g|V|)*KE
```

Overall, the time complexity of this above implementation of Yen's algorithm, is O(|E| + |V||g|V|) * KE because Yen's algorithm is done the same way as Dijkstra's except done KE times where K is each iteration of the shortest path (eg. 1st shortest, 2nd shortest etc.) and E is the edges of the graph as represented by the first two for loops in the algorithm. Although there are other operations such as nlogn and V they are all bounded by the outer for loop and since O((|E|+|V|)|g|V|) is the most dominating iteration so