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**Monitoring of Federal Criminal Sentences,  
1987–1996**

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1995–1996 Data

United States Sentencing Commission



MONITORING OF FEDERAL CRIMINAL SENTENCES, 1987-1996

(ICPSR 9317)

1995-1996 Data

Principal Investigator

United States Sentencing Commission

First ICPSR Version

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Inter-university Consortium for  
Political and Social Research

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## BIBLIOGRAPHIC CITATION

Publications based on ICPSR data collections should acknowledge those sources by means of bibliographic citations. To ensure that such source attributions are captured for social science bibliographic utilities, citations must appear in footnotes or in the reference section of publications. The bibliographic citation for this data collection is:

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1996 DATA] [Computer file]. ICPSR version.  
Washington, DC: U.S. Sentencing Commission  
[producer], 1996. Ann Arbor, MI: Inter-  
university Consortium for Political and Social  
Research [distributor], 1999.

## REQUEST FOR INFORMATION ON USE OF ICPSR RESOURCES

To provide funding agencies with essential information about use of archival resources and to facilitate the exchange of information about ICPSR participants' research activities, users of ICPSR data are requested to send to ICPSR bibliographic citations for each completed manuscript or thesis abstract. Please indicate in a cover letter which data were used.

## DATA DISCLAIMER

The original collector of the data, ICPSR, and the relevant funding agency bear no responsibility for uses of this collection or for interpretations or inferences based upon such uses.



## DATA COLLECTION DESCRIPTION

United States Sentencing Commission

MONITORING OF FEDERAL CRIMINAL SENTENCES, 1987-1996  
(ICPSR 9317)

SUMMARY: This collection contains information on federal criminal cases sentenced under the Sentencing Guidelines and Policy Statements of the Sentencing Reform Act of 1984. The data files include all cases received by the United States Sentencing Commission that had sentencing dates between November 1, 1987, and September 30, 1996, and were assessed as constitutional. Constitutionality compares each case's sentencing date, circuit, district, and judge to provide uniformity in reporting the cases. The cases are categorized either as New Law, with all offenses occurring after the November 1, 1987, guidelines, or as Mixed Law, with at least one count occurring after the guideline effectiveness date and other counts prior to the guidelines. The Cross-Reference Data files, available only for 1994-1995 and 1995-1996, contain data for guidelines that are cross-referenced or that are considered to be underlying guidelines.

UNIVERSE: All federal criminal court cases entering the court system between 1987 and 1996.

NOTE: (1) There are undocumented codes and blanks present in the data. (2) Users should note that Part 2, 1989 Data contains data for calendar year 1989 only, whereas the other data files are fiscal year datasets. (3) The codebooks are provided as Portable Document Format (PDF) files. The PDF file format was developed by Adobe Systems Incorporated and can be accessed using PDF reader software, such as the Adobe Acrobat Reader. Information on how to obtain a copy of the Acrobat Reader is provided through the ICPSR Website on the Internet.

EXTENT OF COLLECTION: 11 data files + machine-readable documentation (PDF) + SAS data definition statements + SPSS data definition statements

EXTENT OF PROCESSING: CONCHK.ICPSR/ DDEF.ICPSR/ MDATA.PR/  
REFORM.DOC/ UNDOCCHK.PR/ UNDOCCHK.ICPSR

DATA FORMAT: Logical Record Length with SAS and SPSS data definition statements (for Parts 1 and 5-11 only)

Part 1: 1987-1988 Data  
File Structure: rectangular  
Cases: 6,223  
Variables: 90  
Record Length: 236  
Records Per Case: 1

Part 3: 1989-1990 Data  
File Structure: rectangular  
Cases: 29,011  
Variables: 92  
Record Length: 548  
Records Per Case: 1

Part 5: 1991-1992 Data  
File Structure: rectangular  
Cases: 38,258  
Variables: 253  
Record Length: 738  
Records Per Case: 1

Part 7: 1993-1994 Data  
File Structure: rectangular  
Cases: 39,971  
Variables: 251  
Record Length: 731  
Records Per Case: 1

Part 9: 1994-1995 Cross-Reference  
Data  
File Structure: rectangular  
Cases: 38,500  
Variables: 158  
Record Length: 397  
Records Per Case: 1

Part 11: 1995-1996 Cross-Reference  
Data  
File Structure: rectangular  
Cases: 42,436  
Variables: 186  
Record Length: 551  
Records Per Case: 1

Part 2: 1989 Data  
File Structure: rectangular  
Cases: 22,676  
Variables: 90  
Record Length: 226  
Records Per Case: 1

Part 4: 1990-1991 Data  
File Structure: rectangular  
Cases: 33,419  
Variables: 254  
Record Length: 2,591  
Records Per Case: 1

Part 6: 1992-1993 Data  
File Structure: rectangular  
Cases: 42,107  
Variables: 248  
Record Length: 722  
Records Per Case: 1

Part 8: 1994-1995 Data  
File Structure: rectangular  
Cases: 38,500  
Variables: 252  
Record Length: 732  
Records Per Case: 1

Part 10: 1995-1996 Data  
File Structure: rectangular  
Cases: 42,436  
Variables: 254  
Record Length: 762  
Records Per Case: 1

RELATED PUBLICATION:

United States Sentencing Commission. ANNUAL REPORT.  
Washington, DC: United States Government Printing Office, annual.



United States Sentencing Commission  
MONITORING DATABASE DOCUMENTATION  
For Distribution Data set "MONFY96"

\*\*\*\*\*  
The data in this set are coded and entered from case documents furnished to the U.S. Sentencing Commission by district courts and U.S. magistrates. Individuals using these data are requested to report any problems to the U.S. Sentencing Commission.  
\*\*\*\*\*

## General Description of the Database

As documentation is received by the Commission, pertinent information is entered by Office of Monitoring staff into the Module 1 (Receipt Control) data collection system. This system identifies the status of documents in the file, assigns a unique identification number, and collects other sentencing and case-identifying information. Cases identified as involving solely pre-guideline ("old law") offenses, solely petty offenses, or diversionary sentences are eliminated from further processing at this stage, and are excluded from any released data set. For all other cases, detailed data focusing on offense, statutory, and sentence information are collected from the PSR, J&C and SOR in a data collection system known as Module 2. For all relevant cases, variables describing the detailed steps of guideline application, departure status, and reasons for departure in the case are collected and entered in Module 3 (Guideline Application).

Entries into the database are made on a per defendant/per sentencing basis; i.e., each case involves a sentencing event of a single defendant. Multiple counts and multiple indictments are considered a consolidated sentencing if sentenced at the same time by the same judge. Defendants may appear in more than one case in the database if involved in more than one sentencing. Multiple codefendants in the same sentencing will appear as separate cases in the database.

The Codebook of all variables contained in the datafile serves as the primary descriptive reference for the data. (Record layout is included as Attachment 1 to the Codebook.) The Codebook identifies the source and values of each variable and notes any problems or limitations with the variables that have been discovered to date. Particularly for those undertaking analysis of Module 3 Guideline Application variables, the Guidelines Manual, published each amendment year by the U.S. Sentencing Commission, is a valuable tool for data interpretation.

Pursuant to the Policy on Public Access to Sentencing Commission Documents and Data (54 Fed. Reg. 26132 (6/21/89)), all case and defendant identifiers have been removed from the data.

If any issues or questions arise regarding these data, please contact Richard McNeil, Data Administrator, at the U.S. Sentencing Commission, (202) 273-4620.

#### Data Summary

The database "MONFY96" includes all cases received by the U.S. Sentencing Commission as of December 27, 1996, that fulfill the following criteria:

1. Sentencing dates between October 1, 1995 and September 30, 1996 (inclusive);
2. The offense(s) of conviction is(are) either "new law" (all offenses occurred after the 11/1/87 SRA effectiveness date) or "mixed law" (at least one offense occurred after SRA effectiveness; others occurred prior to the SRA).

"MONFY96" contains a total of 42,436 cases sentenced between 10/1/95 and 9/30/96.

#### ISSUES/PROBLEMS OF CONCERN

##### Selection Bias/Generalization of Data

The MONFY96 database includes only guidelines cases that were submitted to the USSC by the courts. As a result, the dataset may be biased by the submission practices of the various districts. If individual districts do not submit files or do so irregularly, these districts will be under represented in the database. Thus, the distribution of any variable correlating to district may be impacted.

A related concern regarding district submission practices involves the extent to which all documents describing the handling of a case are forwarded to the Commission. A case may be established in the data collection system before all case documents are received by the Commission. Missing documents may result in information coded as "missing." The Monitoring Unit communicates with the field periodically to request additional documents for cases in which the files are incomplete.

Cases involving only offenses that occurred prior to November 1, 1987, are sentenced under old law and not submitted to the Commission or included in these data sets. During the first year of guidelines implementation, only 15 percent of cases sentenced federally fell under the Sentencing Reform Act of 1984, due to both old law and constitutionality factors. The proportion of all federally sentenced cases accounted for by cases sentenced under the guidelines has risen steadily since implementation of the guidelines. Though this proportion is large and growing, there should be no attempt to generalize description or analysis of these data to all cases sentenced under federal law. This set represents only the population of cases sentenced pursuant to the

Sentencing Reform Act of 1984 (excluding petty offenses) that were submitted to the USSC.

In order to accurately identify race of the defendant (as previously identified in the Commission's 1988, 1989, 1990, 1991, 1992, 1993, 1994, 1995, and 1996 Annual Reports), two variables must be used to construct race. MONRACE identifies the race of the defendant (e.g., White, Black, American Indian, Alaskan Native, Asian or Pacific Islander), while HISPORIG identifies whether the defendant is of Hispanic ethnic origin. Both variables need to be analyzed together in order to correctly identify Hispanic defendants.

#### "Missing" Values

Entries of "9" codes (or multiple "9's" to fill the width of a field) indicate "Missing" data. Data items are coded as "Missing" for multiple reasons. A "Missing" code for any variable may indicate that the information was missing because the relevant source document has not been received for the case. Information may also be coded as missing when the J&C, PSR, or Statement of Reasons (SOR) source document is received but is incomplete, indeterminable, or illegible.

#### Quality Control

In addition to the ongoing quality control of a sample of cases, a standard set of computerized quality control reports has been established to check for missing information and other errors in the data. These edit reports are processed regularly, and possible error conditions are researched continually and corrected through re-examination of the hard copy case files.

#### Resentenced Cases

Cases in the federal system may be resentenced for several reasons. Depending upon the reason, handling by the Office of Monitoring varies. When a second set of sentencing documents is received for any single case, an assessment is made as to the reason a new sentence was imposed. If the sentence was updated due to an amended or corrected sentence issued by the court, the original sentencing date is retained but the new sentence information is coded. The exceptions to this procedure are sentences modified due to a diminished capacity ruling. For these cases, both the new sentence and the new sentencing date are coded.

If, on the other hand, a "resentence" has occurred as a result of a remand after appeal or based on a later motion by the government due to substantial assistance, a notation is made (see RESENT variable) that a new sentencing has occurred for such a case; the original sentence information is retained throughout the modules. The Resentencing module collects information regarding these resentencings due to appeals or later substantial assistance departures.

## Potential Concerns for Analysis

MONFY96 contains several case types that may cause complications if not considered when analyzing the data. The first potential difficulty involves the use of mixed law cases in the datafile. These cases remain in the file to allow for analysis of such issues as "number of guidelines cases per district." USSC sentencing and guideline variables relevant to mixed law cases encompass information on the new law count(s) only and do not reflect handling of old law counts.

Second, MONFY96 includes cases sentenced pursuant to par 2X5.1 for which no analogous guidelines were found. In some cases this may involve a single count with no guideline calculation involved (see variable SOURCES). In other cases, multiple counts may be involved, only some of which have no analogous guidelines. We suggest that individuals using this file for analysis be aware of this situation and note its potential impact.

A related concern is that the statutory information collected provides for coding a maximum of four counts of conviction with up to three statutes involved in each. Some cases involve more than four counts of conviction, and though these counts would be included in guideline calculations and in the final sentence, they are not reflected in the statutory information coded. Such cases would be identifiable by reference to the variable describing number of counts (NOCOUNTS).

Diversionary sentences, cases involving only petty offenses, cases consisting solely of probation or supervised release violations, or defendants sentenced as juveniles have been excluded from this data file.

CODEBOOK FOR "MONFY96"  
(MONITORING DISTRIBUTION DATA SET)

Records meeting the following criteria have been selected from the Office of Monitoring's ongoing Module 1 Data set:

- \* NEW or MIXED LAW cases only
- \* SENTENCING DATE from 10/1/95 through 9/30/96,  
received by USSC on or before December 27, 1996

Information describing the case, the defendant, statute(s) of conviction, and the final sentence is coded and entered in Module 1 and Module 2. Data collected in the Guidelines Application module (Module 3) describes the guidelines applied, calculation of final offense level, criminal history category, final guideline range and departure information (See Parts V, VI, and VII of this Codebook).

Some data are coded and entered in either Module 2 or Module 3 during an editing cycle (Module 4).

The coding of all variables depends upon the presence of each relevant document in the case file, as well as the completeness and legibility of the information provided in documents that have been submitted. (See the description of document status variables PSRDOC, JANDCDOC, REASDOC, INDIDOC and PLEADOC.)

#### File Descriptions:

File 1 : MONFY96.SDF

File 2 : MONFY96XR.SDF

- \* Produced in SDF format
- \* Number of records = 42,436
- \* Number of fields/variables: 254
- \* File size: 32,421,105 bytes

- \* Produced in SDF format
- \* Number of records = 42,436
- \* Number of fields/variables: 186
- \* File size: 23,467,109 bytes

File 1 contains standard coded information regarding the defendant, statute(s) of conviction, the final sentence, the guidelines applied, calculation of final offense level, criminal history category, final guideline range and departure information as noted above. File 2 contains the data representing the guidelines that are cross referenced or that are underlying guidelines. The actual coding structure for "cross referenced" guidelines are discussed later in these pages. Additionally, both the sentencing and the cross referenced variables for the two Chapter 3 Guidelines(•3A1.4 and •3B1.4) that were added during the fiscal year are included in File 2.

This Codebook is ordered by descriptive categories of information collected. The variable name, type, source, and description are given for each item. A full record layout for the data set, listing variable name, position, type, and length is provided as Attachments 1-A and 1-B.

## I. CASE FILE AND DOCUMENT STATUS INFORMATION

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
RECDATE	DATE	Module 1		The date the first document of the case file was received by the Commission.
USSCIDN	NUM	Module 1		The unique sequentially assigned identification number.
MONCIRC	NUM	Module 1/ /generated		The code indicating the judicial circuit in which the defendant was sentenced, as generated from the entry for judicial district.  99 = Missing or Indeterminable.
DISTRICT	NUM	Module 1		The District Identifier code indicating the district in which the defendant was sentenced, or:  99 = Missing or Indeterminable.  See Attachments 2(a) and 2(b) for a listing of all judicial districts and the corresponding codes.
POOFFICE	CHAR	Module 1		The code indicating the probation office at which the presentence investigation report was prepared, as indicated by the presentence investigation report or the transmittal letter, or:  Z = Missing or Indeterminable  See Attachment 3 for a listing of judicial circuits, judicial districts, and probation office codes.
DEFCNSUL	NUM	Module 1		This variable identifies the type of defense counsel.  1 - Counsel is PRIVATELY RETAINED 2 - Counsel is COURT APPOINTED 3 - Counsel is FEDERAL PUBLIC DEFENDER 4 - Defendant chooses to defend him or herself, PRO SE 5 - Defendant waives the right to defense counsel 77 - Other arrangement for counsel other than data listed above  99 - Information is missing or indeterminable

SENTDATE	DATE	Module 1	The date on which the defendant was sentenced, as indicated on the cover of the Judgment and Commitment Order (the Presentence Investigation Report if the Judgment and Commitment Order as not been received), or:  01JAN01 = Missing or Indeterminable
DOB	DATE	Module 1	Defendant date of birth  01JAN01 = Missing or Indeterminable
PSRDOC	NUM	Module 1	Indicates the presence of a PRESENTENCE INVESTIGATION REPORT in the case file.  0 = Not received, no indication of a waiver 1 = Received a Presentence Investigation Report 2 = Received only a Fact Sheet 6 = Report Under Court Seal, Not Received 7 = Report Under Court Seal, Received 8 = Not Applicable, Report waived or does not exist
JANDCDOC	NUM	Module 1	Indicates the presence of a JUDGMENT AND CONVICTION ORDER in the case file.  0 = Not received 1 = Received 2 = Received Alternate Sentencing Document 6 = Order Under Court Seal, Not Received 7 = Order Under Court Seal, Received
REASDOC	NUM	Module 1	Indicates the presence of STATEMENT OF REASONS in the case file.  0 = Not received 1 = Received, Statement of Reasons form or equivalent 2 = Received, Sentencing Transcript or Partial Sentencing Transcript 6 = Statement\Transcript Under Court Seal, Not Received 7 = Statement\Transcript under Court Seal, Received 8 = Not Applicable, Statement does not exist.

INDIDOC	NUM	Module 1	<p>Indicates the presence of INDICTMENTS in the case file.</p> <p>0 = Not received 1 = Received 6 = Under Court Seal, Not Received 7 = Under Court Seal, Received 8 = Not Applicable, Indictment does not exist</p>
PLEADOC	NUM	Module 1	<p>Indicates the presence of a written PLEA AGREEMENT in the case file.</p> <p>0 = Not received (No indication that a written agreement does not exist) 1 = Received 2 = Other plea document received 6 = Agreement Under Court Seal, Not Received 7 = Agreement Under Court Seal, Received 8 = Not Applicable, Agreement does not exist</p>
OFFDT1	CHAR	Module 2	<p>The month, day, and year of the earliest date that an offense of conviction was concluded (the J&amp;C is the primary source document, and the offense conduct section of the PSR is secondary), or:</p> <p>99/99/99 = Missing or Indeterminable</p>
OFFDT2	CHAR	Module 2	<p>The month, day and year on which the most recent (or only) offense of conviction was concluded (the J&amp;C is the primary source document, and the offense conduct section of the PSR is secondary), or:</p> <p>99/99/99 = Missing or Indeterminable</p>
OLDLAW	NUM	Module 2	<p>Entries in this field are made based on the date(s) the offense was committed for the count(s) of conviction:</p> <p>0 = New Law (all counts on or after 11/01/87) 2 = Mixed Law (multiple counts, some prior to, some after 11/1/87)</p> <p>(NOTE: If a count involves ongoing criminal activity (e.g., conspiracy counts), the offense date is considered to be the date of the last overt act or the date to which the offense continued according to the final charges or indictment.)</p>



RESENT        CHAR   Module 1

Entries in this field indicate instances where a resentencing has occurred for a case in which both the original sentence and the resentence transpired within the same fiscal year, i.e. October 1st thru September 30th.

0 =    Not resented  
X =    Resentence occurred

(NOTE: "Amended" or "corrected" orders are not considered to be resentencings.)

## II. DEFENDANT SOCIOECONOMIC AND BACKGROUND INFORMATION

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
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CITIZEN	NUM	Module 1		Nature of defendant's citizenship.  1 = United States citizen 2 = Resident alien: Legal alien 3 = Illegal alien 4 = Not a United States citizen; Alien status unknown 9 = Missing/Indeterminable
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CITWHERE	NUM	Module 1		Defendant's country of citizenship, when citizenship is known to be not of the United States:
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21 = Argentina
22 = Bahamas
23 = Belize
24 = Bolivia
25 = Canada
26 = Chile
27 = China
28 = Colombia
29 = Cuba
30 = Dominican Republic
31 = Ecuador
32 = El Salvador
33 = France
34 = Germany
35 = Ghana
36 = Great Britain/ United Kingdom/ England
37 = Guatemala
38 = Guyana
39 = Haiti
40 = Honduras
41 = India
42 = Iran
43 = Israel
44 = Italy
45 = Jamaica
46 = Jordan
47 = Korea (North/South)
48 = Lebanon
49 = Mexico
50 = Nicaragua
51 = Nigeria
52 = Pakistan
53 = Panama
54 = Peru
55 = Philippines

56 = USSR/Russia/  
       Republic of Soviet States  
 57 = Thailand  
 58 = Trinidad  
 59 = Venezuela  
 60 = Vietnam (North/South)  
 61 = Afghanistan  
 62 = Albania  
 63 = Antigua  
 64 = Australia  
 65 = Bangladesh  
 66 = Barbados  
 67 = Benin  
 68 = Brazil  
 69 = Costa Rica  
 70 = Greece  
 71 = Guinea  
 72 = Hong Kong  
 73 = Indonesia  
 74 = Iraq  
 75 = Ireland  
 76 = Kuwait  
 77 = OTHER country code  
 78 = Laos  
 79 = Liberia  
 80 = Malaysia  
 81 = Netherlands  
 82 = Poland  
 83 = Romania  
 84 = Portugal  
 85 = Spain  
 86 = St. Kitts  
 87 = Syria  
 88 = Taiwan  
 89 = Togo  
 90 = Yemen  
 91 = Yugoslavia  
 98 = Not Applicable (U.S. Citizen)  
 99 = Missing or Indeterminable

AGE	NUM	Generated DOB/ SENTDATE	Age of defendant at time of sentencing, in years, or:
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999 = Missing or Indeterminable

MONRACE	NUM	Module 1	Defendant's race, as indicated by the PSR:
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1 = White/Caucasian  
 2 = Black  
 3 = American Indian or Alaskan Native  
 4 = Asian or Pacific Islander  
 7 = Other  
 9 = Missing or Indeterminable

HISPORIG NUM Module 1 Defendant's ethnic origin, as indicated by the PSR:

1 = Non-Hispanic  
 2 = Hispanic  
 9 = Missing or Indeterminable

MONSEX NUM Module 1 Defendant's gender, as indicated by the PSR:

0 = Male  
 1 = Female  
 9 = Missing or Indeterminable

EDUCATN NUM Module 1 Defendant's highest level of education completed, as indicated by the PSR:

0 = No schooling  
 1-11 = Actual years of elementary or high school completed  
 12 = High school graduate  
 13 = One year of college / freshman  
 14 = Two years of college / sophomore  
 15 = Three years of college / junior  
 16 = College graduate  
 21 = G.E.D. (General Education Diploma)  
 22 = Trade or vocational degree  
 23 = Associate degree (A.A.)  
 24 = Graduate degree (Master, J.D., M.D., Ph.D., etc.)

If the exact amount of education completed is described only in general terms, the following codes are used:

31 = Some elementary school  
 32 = Some high school  
 33 = Some trade or vocational school  
 34 = Some college  
 35 = Some graduate school  
 36 = Military training  
 37 = Middle school / junior high  
 99 = Missing or Indeterminable

NUMDEPEN NUM Module 1 Number of defendant's dependents (excluding self), or:

- 0 = No dependents
- 97 = Some dependents; exact number unknown
- 99 = Missing or Indeterminable

### III. GENERAL OFFENSE AND STATUTORY INFORMATION

Field Name Type Source Comments Description, Value Codes, Special Notes

PETTYBC NUM Module 2 Indicates whether the offense(s) of conviction include(s) petty offenses (Class B or C misdemeanors or infractions):

- 0 = None of the offenses of conviction include petty offenses or Class B or C Misdemeanors
- 2 = Some of the offense(s) of conviction include petty offenses or Class B or C Misdemeanors

(NOTE: "Petty offense" cases (coded as "1") are screened out of the database on an ongoing basis; no cases identified as involving only "petty offenses" or Class B or C Misdemeanors are included in the distribution Data set.)

OFFTYPE NUM Monitoring/  
/generated Primary offense type for the case, based upon grouped values of offense code (OFFCASE, below). (See Attachment 4 for a description of data collection and a table cross-referencing OFFTYPE and OFFCASE groupings.)

- 1 = Murder
- 2 = Manslaughter
- 3 = Kidnaping/Hostage
- 4 = Sexual Abuse
- 5 = Assault
- 6 = Bank Robbery
- 7 = Other Robbery
- 8 = Extortion
- 9 = Arson
- 10 = Drugs: Trafficking
- 11 = Drugs: Communication Facilities
- 12 = Drugs: Simple Possession
- 13 = Firearms: Use
- 14 = Firearms: Possession, Trafficking
- 15 = Burglary/ Breaking and Entering
- 16 = Auto Theft
- 17 = Larceny
- 18 = Fraud
- 19 = Embezzlement

20 = Forgery/ Counterfeiting  
 21 = Bribery  
 22 = Tax Offenses  
 23 = Money Laundering  
 24 = Racketeering  
 25 = Gambling/ Lottery  
 26 = Civil Rights Offenses  
 27 = Immigration  
 28 = Pornography/ Prostitution  
 29 = Offenses in Prisons  
 30 = Administration of Justice - Related  
     Offenses; including accessory after  
     the fact, misprision of felony,  
     witness tampering  
 31 = Environmental, Game, Fish, and  
     Wildlife Offenses  
 32 = National Defense Offenses  
 33 = Antitrust Violations  
 34 = Food and Drug Offenses  
 35 = Traffic Violations  
 36 = Other Violent Offenses  
 37 = Other Drug Offenses  
 38 = Other Firearms Offenses

Other Offenses (Not Included Above)

39 = Other Property Offenses  
 40 = Other Environmental Offenses  
 41 = Other Miscellaneous Offenses  
 99 = Missing or Indeterminable

OFFCASE      NUM      Monitoring/  
Generated

Offense code for primary offense  
of conviction.

(See Attachment 4 for a description of  
offense code data collection and a table  
cross-referencing OFFTYPE and OFFCASE  
groupings.)

999999 =      Missing or Indeterminable

OFFCODC1      NUM      Monitoring/  
OFFCODC2      Generated  
OFFCODC3  
OFFCODC4

Offense codes for the first,  
second, third, and fourth counts  
of conviction.  
(See Attachment 4 for a description of  
data collection and a table cross-  
referencing OFFTYPE and OFFCASE  
groupings.)

999998 =      Not Applicable

999999 =      Missing or Indeterminable

NOCOUNTS      NUM      Module 2

Number of counts of conviction, as  
determined from the J&C and verified  
with the PSR, or:

93 = 93      or more counts

99 = Relevant document(s) missing or  
number of counts indeterminable

STATMIN NUM Module 3

Total minimum statutory prison  
requirement, in months, as reported by  
the probation officer in the PSR, or:

990 = 990 months or more

996 = Life imprisonment

997 = Death Penalty

999 = Missing or Indeterminable

(NOTE: While every effort is made to  
upgrade statutory minimum information as  
provided in the PSR with statutory  
requirements reflected in the J&C, the  
presence of a statutory minimum is not  
always indicated in the available source  
documents.)

DRUGMIN1 NUM Module 4

Total drug minimum statutory prison  
requirement, in months, as reported by  
the probation officer in the PSR, or:

0 = None

990 = 990 months or more

996 = Life imprisonment

999 = Missing or Indeterminable

GUNMIN1 NUM Module 4

Total minimum statutory prison require-  
ment, in months, as reported by the  
probation officer in the PSR, for a  
conviction of 18 U.S.C. 924 (c)'s, Use  
of a Firearm during a Drug Trafficking  
Offense or Crime of Violence, or:

0 = None

990 = 990 months or more

996 = Life imprisonment

999 = Missing or Indeterminable

GUNMIN2 NUM Module 4

Total minimum statutory prison require-  
ment, in months, as reported by the  
probation officer in the PSR, for a  
conviction of 18 U.S.C. 924(e)'s, Armed  
Career Criminal, or:

0 = None

180 = 180 months

996 = Life imprisonment

999 = Missing or Indeterminable

STATMAX NUM Module 3

Total statutory prison exposure, in  
months, as reported by the probation  
officer in the PSR, or:

990 = 990 months or more  
996 = Life imprisonment  
997 = Death sentence  
999 = Missing or Indeterminable



## Statutory Variables

NOTE: The following group of variables describe up to three statutes each for up to four counts of conviction. In general, order of counts and statutes within counts reflects only the order of their appearance on the Judgment of Conviction and not their importance or seriousness. However, when the case involves more than four counts of conviction, the four counts of conviction with the highest statutory minimum and statutory maximum are captured first. In addition, every effort is made to capture consecutive gun counts of conviction, that is, 18 • 924(c), within the four counts of conviction captured.

Field Name Type Source Comments Description, Value Codes, Special Notes

## First Count

NOSTATS1	NUM	Module 2	Total number of statutes cited as relevant to the first count of conviction referenced in the J&C and/or PSR, or:
			9 = Missing or indeterminable
TITLE1A SECT1A SUBSEC1A	CHAR	Module 2	Title, section, and subsection number, respectively, of SECT1A the first statute referenced in the first count, according to the J&C as confirmed by the PSR, or:
			999                    } 99999                 }       Missing or indeterminable 99999999            }
TITLE1B SECT1B SUBSEC1B	CHAR	Module 2	Title, section, and subsection number, respectively, of the second statute referenced in the first count, SUBSEC1B according to the J&C as confirmed by the PSR, or:
			998                    } 99998                 }       Not applicable 99999998            } 999                    } 99999                 }       Missing or indeterminable 99999999            }
STAT1C	NUM	Module 2	Summary code for third statute referenced for the first count of conviction, according to the J&C as verified by the PSR:
			1    = 18 • 2 2    = 18 • 3 3    = 18 • 371 4    = 18 • 924(c) 5    = 18 • 2113(c) 6    = 18 • 2113(d) 7    = 21 • 841(a)

8 = 21 • 841(a)(1)  
9 = 21 • 841(a)(2)  
10 = 21 • 846  
11 = 21 • 952(a)  
12 = 21 • 952  
13 = 21 • 963  
77 = Other  
98 = Not Applicable; Count contains two or  
fewer statutes  
99 = Missing or Indeterminable

Second through Fourth Counts. Variables describing second through fourth counts are grouped together below. For each listing below, cross-reference for variable descriptions and codes is provided to the comparable first count variable, above. Variable names ending in "2" apply to the second count, ending in "3" to the third count, and ending in "4" to the fourth count.

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
NOSTATS2 NOSTATS3 NOSTATS4	NUM	Module 2		See description of NOSTATS1 above, as applied to second through fourth count, respectively.
TITLE2A TITLE3A TITLE4A	CHAR	Module 2		See description of TITLE1A above, as applied to second through fourth count, respectively.
SECT2A SECT3A SECT4A	CHAR	Module 2		See description of SECT1A above, as applied to second through fourth count, respectively.
SUBSEC2A SUBSEC3A SUBSEC4A	CHAR	Module 2		See description of SUBSEC1A above, as applied to second through fourth count, respectively.
TITLE2B TITLE3B TITLE4B	CHAR	Module 2		See description of TITLE1B above, as applied to second through fourth count, respectively.
SECT2B SECT3B SECT4B	CHAR	Module 2		See description of SECT1B above, as applied to second through fourth count, respectively.
SUBSEC2B SUBSEC3B SUBSEC4B	CHAR	Module 2		See description of SUBSEC1B above, as applied to second through fourth count, respectively.
STAT2C STAT3C STAT4C	NUM	Module 2		See description of STAT1C above, as applied to second through fourth count, respectively.
DRUGTYP1	NUM	Module 2		For those cases that involve drugs, the most serious type of drug involved in the offense conduct. In those cases when more than one type of drug is present, the most serious drug {DRUGTYP1} is identified by assessing which of the drugs would produce the highest base offense level under the •2D1.1 guideline.

1 = Cocaine  
 2 = Cocaine Base, "crack"  
 3 = Heroin  
 4 = Marijuana  
 5 = Hashish or Hashish Oil  
 6 = Methamphetamine  
 7 = Methamphetamine Precursors  
 8 = LSD  
 9 = PCP  
 10 = ICE  
 11 = MDMA, "Ecstasy"  
 12 = Steroids  
 13 = Amphetamine  
 14 = Dilaudid  
 15 = Opium  
 16 = Other non-specified prescription drug  
 17 = Drug paraphernalia  
 18 = Methcathinone  
 19 = Methamphetamine, Actual (100% purity)  
 77 = Other drug type  
 98 = Not Applicable (Non-drug case)  
 99 = Missing or Indeterminable

DRUGTYP2    NUM    Module 2

For those cases that involve 2 or more different types of drugs, the second most serious type of drug involved in offense conduct. In those cases when more than two or more types of drugs are present, the second most serious drug {DRUGTYP2} is identified by assessing which of the drugs would produce the second highest base offense level under the •2D1.1 guideline.

0 = No other drugs involved or mentioned  
 1 = Cocaine  
 2 = Cocaine Base, "crack"  
 3 = Heroin  
 4 = Marijuana  
 5 = Hashish or Hashish Oil  
 6 = Methamphetamine  
 7 = Methamphetamine Precursors  
 8 = LSD  
 9 = PCP  
 10 = ICE  
 11 = MDMA, "Ecstasy"  
 12 = Steroids  
 13 = Amphetamine  
 14 = Dilaudid  
 15 = Opium  
 16 = Other non-specified prescription drug  
 17 = Drug paraphernalia  
 18 = Methcathinone  
 19 = Methamphetamine, Actual (100% purity)  
 77 = Other drug type  
 98 = Not Applicable (Non-drug case)  
 99 = Missing or Indeterminable, or

## IV. DISPOSITION AND SENTENCE INFORMATION

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
------------	------	--------	----------	---

DISPOSIT	NUM	Module 2		Disposition of the defendant's case, according to the J&C and/or the PSR:
----------	-----	----------	--	---

1	=	Guilty plea
2	=	Nolo contendere
3	=	Jury trial
4	=	Trial by judge or bench trial
5	=	Both guilty plea and trial
9	=	Missing or Indeterminable

TOTPRISN	NUM	Module 2		Number of total months imprisonment ordered, or:
----------	-----	----------	--	--

0	=	No prison or less than one month ordered
990	=	990 months or more of imprisonment ordered
991	=	Time served (pre-trial/pre-sentencing detention)
992	=	Less than 1 day imprisonment
996	=	Life imprisonment ordered
997	=	Prison ordered but no term specified
998	=	Death sentence
999	=	Missing or Indeterminable

TOTDAYS	NUM	Module 2		Number of total days imprisonment ordered (above term included in months above), or:
---------	-----	----------	--	--

0	=	No prison ordered, or no days ordered over the amount coded in TOTPRISN
90	=	990 months or more of imprisonment ordered
91	=	Time served (pre-trial/pre-sentencing detention)
92	=	Less than 1 day imprisonment
96	=	Life imprisonment ordered
97	=	Prison ordered but no term specified
98	=	Death sentence
99	=	Missing or Indeterminable

BOPCONF NUM Module 2

Special BOP placement ordered:

- 0 = None Ordered
- 1 = Entire prison sentence to be served in community confinement facility
- 2 = Partial prison sentence to be served in community confinement facility
- 3 = Entire prison sentence to be served in intermittent confinement
- 4 = Partial prison sentence to be served in intermittent confinement
- 5 = Entire prison sentence to be served in intermittent confinement in a community confinement facility
- 6 = Partial prison sentence to be served in intermittent confinement in a community confinement facility
- 7 = Home detention, entire sentence
- 8 = Home detention, partial sentence
- 9 = Boot camp (shock treatment)
- 10 = Substance abuse treatment (alcohol, drugs)
- 11 = Vocational and/or educational training
- 12 = Substance abuse treatment and vocational/educational training
- 13 = Medical treatment
- 14 = Mental health treatment
- 15 = Medical and mental health treatment
- 16 = Combinations of above
- 77 = OTHER
- 98 = Not applicable; no prison term was ordered
- 99 = Missing or Indeterminable

(NOTE: Once an individual is placed in the custody of the Bureau of Prisons, the Bureau of Prisons has complete authority as to placement; however, the Bureau of Prisons informs us that in most cases the courts' requests as to placement for service of sentence are honored. Community confinement and intermittent confinement are ordered more frequently as a condition of probation or supervised release, and thus are supervised by the Probation Service, not the Bureau of Prisons. Sentences of this more typical sort are indicated in the variables labeled "MOCOMCON" and "MOINTCON.")

PROBATN NUM Module 2

Total number of months of probation ordered, or:

0 = No probation ordered  
97 = Probation ordered but no term specified  
99 = Missing or Indeterminable

SUPREL NUM Module 2

Number of months of supervised release ordered, or:

0 = No supervised release ordered  
996 = Life term of supervised release ordered  
997 = Supervised release ordered but no term specified  
999 = Missing or Indeterminable

MOCOMCON NUM Module 2

Total term of community confinement ordered, NUMBER OF MONTHS (excluding special requests to BOP), or:

0 = No community confinement ordered  
97 = Community confinement ordered but no term specified  
98 = Not Applicable; no probation or supervised release ordered  
99 = Missing or Indeterminable

(NOTE: Terms of community confinement expressed in "days" have been rounded up to the nearest number of months. For example, a term of 45 days would be coded as "02" months.)

MOINTCON NUM Module 2

Total term of intermittent confinement ordered, NUMBER OF MONTHS (excluding special requests to BOP), or:

0 = None ordered  
97 = Intermittent confinement ordered but term not specified  
98 = Not Applicable; no probation or supervised release ordered  
99 = Missing or Indeterminable

(NOTE: Terms of intermittent confinement expressed in "days" have been rounded up to the nearest number of months. For example, a term of 45 days would be coded as "02" months.)

MOHOMDET NUM Module 2

Total term of home detention ordered,  
NUMBER OF MONTHS, or:

- 0 = None ordered
- 97 = Home detention ordered but term  
not specified
- 98 = Not Applicable; no probation or  
supervised release ordered
- 99 = Missing or Indeterminable

(NOTE: Terms of home detention expressed  
in "days" have been rounded up to the  
nearest number of months. For example, a  
term of 45 days would be coded as "02"  
months.)

HRCOMSRV NUM Module 2

Total number of hours of community  
service ordered, or:

- 9997 = Community service ordered but  
term not specified
- 9998 = Not Applicable; no probation or  
supervised release ordered
- 9999 = Missing or Indeterminable

TYPEOTHS NUM Module 2

Other type of sentence ordered:

- 0 = None
- 1 = Suspended prison term
- 2 = Pay cost of prosecution
- 3 = Denial of federal benefits
- 77 = Other
- 99 = Missing or Indeterminable

COSTSUP NUM Module 2

Dollar amount of cost of supervision  
ordered, only if ordered separately, or:

- 0 = No mention of any costs of  
supervision, or a clear  
indication that no cost of  
supervision was ordered
- 999997 = Cost of supervision  
ordered but amount not specified
- 999999 = Missing or Indeterminable

NOTE: The cost of supervision is a  
guideline factor that is intended to be  
taken into account in assessing the  
appropriate fine ordered by the court.  
It is anticipated that most courts order  
one total fine amount that considers the  
cost of supervision in determining that  
amount; this total amount is included in  
the following variable, "FINE," if only  
one fine amount is specified.



However, if the court specified one amount as a fine and a second amount labeled as "cost of supervision," the entries were coded separately. The total fine would actually be a combination of "FINE" and "COSTSUP."

The researcher must be aware that if the "COSTSUP" variable is coded as "0," it merely means that the cost of supervision assessment was not ordered separately and may be included in the fine amount reflected in "FINE."

In some cases the courts specify a fine amount and additionally order that "the cost of supervision be paid" (without specifying an actual amount for the cost of supervision). In such cases the fine amount specified in "FINE" is the known fine amount, and the "COSTSUP" variable is coded "999997" (total amount of cost of supervision ordered, but amount not specified).

FINE            NUM    Module 2

Dollar amount of fine ordered (including cost of supervision when fine and cost of supervision are not reported separately), or:

0 =            No mention of fine, or clear indication that no fine was ordered  
 999999997 =    Fine ordered but amount not specified  
 999999999 =    Missing or Indeterminable

(NOTE: The total fine amount includes both the fine and any assessment for the cost of supervision. If the court specified only one fine amount, this variable captures the total. If, however, the court ordered two amounts (fine, plus an additional amount to cover the cost of supervision), the total fine would be obtained by adding together the variables "FINE" and "COSTSUP.")

FINEWAIV       NUM    Module 3

Indication that a fine (or portion of the fine) was waived because of defendant's inability to pay or the burden of the fine upon defendant's dependents. Source document is the SOR, or, if the SOR is missing, positive indications only if such a waiver may be based upon the J&C.

0 =    No such waiver is stated  
 1 =    Waiver is specifically stated  
 9 =    Relevant documents are both missing, or the SOR is missing and the J&C gives no positive indication of a waiver

TOTREST        NUM    Module 2

Dollar amount of total restitution ordered, or:

0=            None; no mention of restitution  
 999999997 =    Restitution ordered but amount not specified  
 999999999 =    Missing or Indeterminable

## V. SUMMARY OF GUIDELINE FACTORS AND RANGE

## Presentence Report as to Guideline Factors and Range

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
------------	------	--------	----------	---

PSROFFLV	NUM	Module 3		Final offense level, as recommended by the probation officer in the PSR, or:
----------	-----	----------	--	--

				93 = Multiple offense levels
				94 = No analogous guidelines
				95 = Conviction solely under 18 • 924(c)
				99 = Missing documentation or offense level indeterminable

PSRCHCPT	NUM	Module 3		Criminal history points, as recommended by the probation officer in the PSR, or:
----------	-----	----------	--	--

				94 = Criminal history points not calculated/no analogous guideline
				95 = Criminal history points not calculated/ conviction solely under 18 • 924(c)
				99 = Final number of criminal history points is indeterminable

PSRCHCAT	NUM	Module 3		Criminal history category (1 - 6), as recommended by the probation officer in the PSR, or:
----------	-----	----------	--	--

				8 = No analogous guidelines or conviction solely under 18 • 924(c)
				9 = Missing or Indeterminable

XGLMINPS	NUM	Module 3		Guideline minimum(in months), as recommended by the probation officer in the PSR, or:
----------	-----	----------	--	---

				993 = Multiple guideline ranges applied
				994 = No analogous guidelines
				995 = Conviction solely under 18 • 924(c)
				996 = "Life" is reported as guideline minimum
				999 = Missing or Indeterminable

XGLMAXPS NUM Module 3

Guideline maximum(in months), as recommended  
By the probation officer in the PSR, or:

993 = Multiple guideline ranges applied

994 = No analogous guidelines

995 = Conviction solely under

18 • 924(c)

996 = "Life" is reported as guideline maximum

999 = Missing or Indeterminable

## Court Findings as to Guideline Factors and Range

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
ACCGDLN	NUM	Module 3		<p>Explicit statement in the SOR by the Court regarding acceptance of the findings and guideline factors from the PSR</p> <p>0 = Court DOES NOT accept  1 = Court DOES accept  8 = No PSR ordered  9 = Missing or Indeterminable</p>
XFOLSOR	NUM	Module 3		<p>Final offense level, as determined by the court and reflected in the SOR, or:</p> <p>93 = Multiple offense levels  94 = No analogous guidelines  95 = Conviction solely under 18 • 924(c)  99 = Missing or Indeterminable</p>
SORCHPT	NUM	Module 3		<p>Final number of criminal history points calculated, as determined by the court and reflected in the SOR, or:</p> <p>94 = Criminal history points not calculated/no analogous guidelines  95 = Criminal history points not calculated/ conviction solely under 18 • 924(c)  99 = Missing or Indeterminable</p>
XCRHISSR	NUM	Module 3		<p>Final criminal history category (1 - 6), as determined by the court and reflected in the SOR, or:</p> <p>8 = No analogous guidelines or conviction solely under 18 • 924(c)  9 = Missing or Indeterminable</p>
XMINSOR	NUM	Module 3		<p>Minimum guideline range for imprisonment, as determined by the court and reflected in the SOR, or:</p> <p>993 = Multiple guideline ranges applied  994 = No analogous guidelines  995 = Conviction solely under 18 • 924(c)  996 = "Life" is reported as guideline minimum</p>

999 = Missing or Indeterminable

XMAXSOR      NUM    Module 3

Maximum guideline range for imprisonment,  
as determined by the court and reflected  
in the SOR, or:

993 = Multiple guideline ranges applied

994 = No analogous guidelines

995 = Conviction solely under  
18 • 924(c)

996 = "Life" is reported as guideline maximum

999 = Missing or Indeterminable

## VI. DETAILED GUIDELINE APPLICATION

## GUIDE TO GUIDELINE APPLICATION DATA ANALYSIS

Accommodating the process of guideline application in a data collection system results in complex data structures and interrelationships. The following conditions require careful navigation among variables for correct analysis: changes in guideline parameters over successive guideline amendment years, grouped multiple calculations in multiple count cases, alternative base offense levels depending upon the facts of the case for some guidelines, the variety of specific offense characteristics available for each guideline applied, and the fact that many variables are collected only when certain values are entered in other variables. For many research purposes, this Codebook does not provide a sufficient reference for analysis of guideline application variables. The information provided in Attachments 5A, 5B, 5C, 5D, 5E, 5F, 5G, and 5H listing Specific Offense Characteristics (SOCs) for each guideline and amendment year will serve as a useful reference. A Guidelines Manual for each relevant amendment year is highly recommended as an important component of correct interpretation and analysis of guidelines application data.

A brief description of the components of guideline application data collection will provide some orientation for the analyst. Further assistance is available from the USSC Offices of Monitoring and Policy Analysis upon request to and approval from the Staff Director.

**SOURCES.** The variable SOURCES is key to the use and interpretation of the detailed guideline application data. In every case, coding efforts are directed toward capturing the process of guideline application as embodied in final court findings. These findings may or may not conform with the recommendations made by the probation officer as reflected in the PSR. The likelihood of a difference between court findings and PSR recommendations may increase in certain areas of inquiry, such as those involving adjustments for acceptance of responsibility. Due to limitations in the availability or clarity of documentation, however, capturing court data is not always possible. When court data are unclear or otherwise unavailable, PSR recommendations as to guideline application are entered instead. Depending upon the nature of research being conducted, analysis of guideline application variables without reference to and selection by the SOURCES indicator may seriously bias results.

A SOURCES value of "1" indicates that guideline application data reflect known court findings. A SOURCES value of "3" or "5" indicates that information entered consists of PSR recommendations only because court findings regarding guideline application are unknown. A SOURCES value of "8" or "9" indicates that all guideline information is missing or not applicable in that case.

**AMENDMENT YEAR.** The guideline amendment year used by practitioners during guideline calculation is critical in interpreting the information coded for the case. The variable AMENDYR captures this information. For guidelines whose provisions have changed over time, reference to amendment year is critical to the interpretation of values collected in guideline application variables.

INTERPRETATION OF GUIDELINE COMPUTATION VARIABLES. Up to five guideline calculations are provided in the data entry process. The variables GDLIN1 through GDLIN5 collect each of up to five sentencing guidelines. The data collection program, using the amendment year entered and the reference databases containing relevant base offense and adjustment options, loops through a series of on-screen prompts to capture the appropriate details of the computation performed as reflected in the case documents. The entire cycle of establishing base offense level and all relevant adjustments to offense level is repeated for each computation. Computations are grouped as needed, and data collection proceeds with case wide criminal history category determination.

The variables collected during this process are keyed to the relevant guideline computation by the numeric indicator at the end of the variable name. (For example, BASES3 captures the base offense level for the guideline named in the variable GDLIN3.) For each guideline, the Specific Offense Characteristic (SOC) adjustment variables, entitled ADJR/S\_B through ADJR/S\_H, capture the number of offense levels added or subtracted by application of the SOC. ADJR/S\_B1 captures the number of offense levels added or subtracted for the first SOC applicable under the first guideline (GDLIN1) in the given amendment year (AMENDYR), ADJR/S\_C1 the second, ADJR/S\_D1 the third, and so on.

To determine the nature of the SOC causing the offense level change captured in any of the adjustment variables, refer to the tables provided in Attachment 5 as an alternative to direct reference to the Guidelines Manual for the relevant amendment year (Attachment 5A references SOC's for Amendment Year 1988, Attachment 5B for Amendment Year 1989, Attachment 5C for Amendment Year 1990, Attachment 5D for Amendment Year 1991, Attachment 5E Amendment Year 1992, Attachment 5F for Amendment Year 1993, Attachment 5G Amendment Year 1994, and Attachment 5H for Amendment Year 1995). SOC offense level adjustment options for each guideline are keyed to descriptions of the specific SOC's described in the Guidelines Manual. Some Chapter Two guidelines have no SOC's and others have one or more. The meaning of adjustment values can only be determined by reference to the Guidelines Manual for the appropriate amendment year.

In order to interpret, for example, the meaning of a value of "3" in the variable ADJR/S\_C1, the researcher would reference the guideline number corresponding to that computation (GDLIN1) and the amendment year (AMENDYR). If the value of GDLIN1 were "2A2.2" and the amendment year were "94," for instance, Attachment 5B, the 1994 SOC Reference listing, would be consulted. The list would indicate that the second SOC adjustment available for guideline 2A2.2 (ADJR/S\_C) refers to use of a firearm in the commission of the offense. Further reference to the 1994 Guidelines Manual would inform the researcher that options for number of levels to be added under this SOC were 3, 4, or 5, depending upon the type of firearm use.

Up to seven SOC adjustments (ADJR/S\_B through ADJR/S\_H) for each guideline computation are provided in the data collection system, though most guidelines have fewer than seven available SOC adjustments.



Adjustment variables not relevant to a particular guideline computation are coded as "not applicable." For example, if the guideline specified in GDLIN1 for the entered AMENDYR has only three available SOC adjustments, only the variables ADJR/S\_B1, ADJR/S\_C1, and ADJR/S\_D1 will be offered to the coder during data entry. The variables ADJR/S\_E1 through ADJR/S\_H1 will be coded as "not applicable."

#### REVISED ENTRY OF CROSS REFERENCED GUIDELINES

The method of capturing cross referenced guidelines was modified during the 1995 fiscal year. In order to provide a clearer representation of how the cross referenced guidelines are computed, three components are identified and coded: the statutory guideline(GDSTAT) that represents the statute of conviction and its reference in the statutory index; the referenced guideline (GDREF), the guideline that is cross referenced; and the sentencing guideline(GDLIN), the guideline that drives the sentence in the case. The sentencing guideline is usually the same as either the statutory or reference guidelines, but it may also be a different or third, separate guideline. Herein, the guidelines coded and their associated data elements will include either the letter "R" for cross referenced guideline or "S" for sentencing guideline to indicate which component is represented. The R or S is usually placed at the end of the variable name except for the variables that address the specific offense characteristic adjustments, e.g. ADJR\_B1 and variables that describe the computations contributing the highest adjusted offense level, e.g. BASERHI.

Other cross reference related variables include the following list . Use of the Underlying Guideline (GDUNDR), is used solely for guideline •2X1.1 to identify the underlying offense. Level Reduction or Enhancement (XREDUCS), addresses the group of guidelines that require a decrease or increase be made to the total adjusted offense level of the cross referenced guideline. Lastly, the variable, Base Adjustments (BASADJS), represents the guidelines that require an decrease or increase to the final offense level of a cross referenced guideline. Both the XREDUCS and BASADJS variables are associated with only the Sentencing guideline.

To illustrate the method of entry for cross references, an example is provided below:

EXAMPLE : STATUTORY GUIDELINE / SENTENCING GUIDELINE THE SAME

CROSS-REFERENCE SOC's USED

LEVEL REDUCTION/ENHANCEMENT APPLIED

DEFENDANT CONVICTED OF 18 U.S.C. •4

The statute of conviction is 18 U.S.C. •4; the Statutory Index indicates that for statute 18 U.S.C. •4, the Chapter 2 guideline is •2X4.1 (Misprision of a Felony). Guideline •2X4.1 references application of an underlying guideline. Because Money Laundering is the underlying offense, the base offense level, Specific Offense Characteristics(SOC's) and Chapter 3 guidelines are entered under the cross referenced guideline •2S1.1 and the associated variables.

Once the final offense level has been determined, the Sentencing guideline is entered and 2X4.1 is applied along with the applicable data in the level reduction/enhancement field.

STATUTORY GUIDELINE:	2X4.1
(GDSTAT)	

CROSS-REFERENCE SOC's ENTERED?	YES
(ENTRREF)	

CROSS-REFERENCE/ALTERNATE GUIDELINE:	2S1.1
(GDREF)	

SENTENCING GUIDELINE:	2X4.1
(GDLINE)	

LEVEL REDUCTION/ENHANCEMENT:	-9
(XREDUCS)	

It is impossible for the researcher to know the nature of the SOC being applied in any of the SOC offense level adjustment variables without specific reference to the guideline being applied and the amendment year. Combining the values of these adjustment variables across guideline computations, across amendment years, or across cases without careful refinement of analysis for guideline-specific reporting would be meaningless.

"HIGHEST COMPUTATION." A group of variables has been created for the convenience of researchers to describe, for each case, the guideline computation with the highest adjusted offense level. If the case involves only a single computation, that single computation is reflected in the "highest computation" variables set. This group of variables is presented in the "Guideline Computation Resulting in the Highest Adjusted Offense Level" section of the codebook. The variable NBRHI references the computation number (1 through 5) that contributed this highest adjusted offense level.

#### Guidelines Applied

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
------------	------	--------	----------	---

SOURCES	NUM	Module 3		
---------	-----	----------	--	--

				Extent to which information coded in detailed guideline application is based upon known final court findings.
--	--	--	--	---

				1 = Information entered represents KNOWN COURT findings:
--	--	--	--	--

				(a) SOR accepts the recommendations of the PSR;
--	--	--	--	---

				(b) SOR makes findings that differ from the recommendations of the PSR and SOR findings are coded;
--	--	--	--	--

				(c) Court's factual findings are unknown, but SOR criminal history category and offense level match PSR recommendations or SOR guideline range matches PSR guideline range (other factors being unknown).
--	--	--	--	---

				3 = Information entered represents PSR RECOMMENDATIONS:
--	--	--	--	---

				SOR contains insufficient information
--	--	--	--	---------------------------------------

to determine court's full findings as to guideline calculation.

- 5 = Information entered represents PSR RECOMMENDATIONS: No SOR document is present.
- 6 = The only counts of conviction are 18 U.S.C. • 924(c) only.
- 8 = Not applicable; there are no analogous guidelines for case.
- 9 = Guideline application data are missing due to multiple separate guideline range calculations because of consolidated cases; incomplete guideline calculations, or indeterminable.

GDSTAT1 GDREF1 GDLINE1  
GDSTAT2 GDREF2 GDLINE2  
GDSTAT3 GDREF3 GDLINE3  
GDSTAT4 GDREF4 GDLINE4  
GDSTAT5 GDREF5 GDLINE5

CHAR Module 3 Chapter Two offense guideline applied, for the statutory, reference and/or sentencing guidelines for the first through fifth guideline computations or:

998.98 = Not Applicable  
999.99 = Missing or Indeterminable

AMENDYR NUM Module 3

The guideline amendment year used in the field as a basis for guideline calculation.

- 88 = 1988 Guidelines Manual
- 89 = 1989 Guidelines Manual
- 90 = 1990 Guidelines Manual
- 91 = 1991 Guidelines Manual
- 92 = 1992 Guidelines Manual
- 93 = 1993 Guidelines Manual
- 94 = 1994 Guidelines Manual
- 95 = 1995 Guidelines Manual
- 98 = Not Applicable; No analogous guidelines; 18 • 924(c) only conviction
- 99 = Missing or Indeterminable

#### OFFENSE LEVEL COMPUTATIONS

BASER1 BASES1 NUM Module 3  
BASER2 BASES2  
BASER3 BASES3  
BASES4 BASES4  
BASER5 BASES5

Base Offense Level for the Chapter Two applied in the relevant (first through fifth) computation, for the reference BASER4 and/or sentencing guidelines, or:

- 98 = Not Applicable
- 99 = Missing or Indeterminable

LOSSGNR1	LOSSGNS1	NUM	Module 3	The dollar amount attributed to the
LOSSGNR2	LOSSGNS2			loss based on the offense conduct.
LOSSGNR3	LOSSGNS3			0-99999996 = The dollar amount
LOSSGNR4	LOSSGNS4			999999997 = Loss amount mention, exact
LOSSGNR5	LOSSGNS5			amount unknown

999999998 = Not Applicable

999999999 = Missing or indeterminable

#### Drug Measure Related Fields

DRGAM1	NUM	Module 3	The drug amount for the first drug type (DRUGTYP1) 38.2789 grams = 38.2789 (numbers are not rounded off)
--------	-----	----------	--

7777777.7777 = An ambiguous amount is  
given, e.g., More than  
1 kilogram

9999999.9997 = The drug amount is as  
range, e.g., At least  
10 grams but less than  
20 grams

9999999.9998 = Not Applicable

9999999.9999 = The drug amount is  
missing or  
indeterminable.

## Drug Measure Related Fields, cont'd

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
------------	------	--------	----------	---

DRGAM2	NUM	Module 3		The drug amount for the second drug type (DRUGTYP2). 38.2789 grams = 38.2789 (numbers are not rounded off)
			7777777.7777	=An ambiguous amount is given, e.g., More than 1 kilogram
			9999999.9997	=The drug amount is specified as range, e.g., At least 10 grams but less than 20 grams
			9999998.9998	=Not Applicable
			9999999.9999	=The drug amount is missing indeterminable.

UNIT1 NUM		Module 3		The unit of measure for the drug amount of the primary drug (DRUGTYP1).
			1	- Gram
			2	- Kilogram
			3	- Pound
			4	- Ounce
			5	- Plant
			6	- Dose
			7	- Gallon
			8	- Quart
			9	- Liter
			10	- Milligram
			11	- Grain
			77	- Greater or Less Than
			97	- Unit Range (eg, 500 grams to 2 kilograms)
			98	- Not Applicable
			99	- Missing or Indeterminable

UNIT2 NUM		Module 3		The unit of measure for the drug amount of the secondary drug (DRUGTYP2).
			1	- Gram
			2	- Kilogram
			3	- Pound
			4	- Ounce
			5	- Plant
			6	- Dose
			7	- Gallon
			8	- Quart
			9	- Liter
			10	- Milligram
			11	- Grain
			77	- Greater or Less Than....
			97	- Unit Range (eg, 500 grams to 2 kilograms)
			98	- Not Applicable
			99	- Missing or Indeterminable

MOREDR	NUM	Module 3	<p>Indication as to whether three or more drugs are used to compute the base offense level.</p> <p>0 = No additional drugs used in base offense level computation.</p> <p>1 = Three or more drugs were included in the calculations of the base offense level.</p> <p>8 = Not Applicable</p> <p>9 = Missing/indeterminable</p>
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#### Cross Reference Related Fields

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
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GDUNDRR1	GDUNDRS1	CHAR	Module 3	For GDLIN 2X1.1 cases only, the Underlying Chapter Two guideline, that represents an act that was attempted, solicited or conspired for relevant first through fifth computations for the reference and/or the sentencing guideline computations, or;
GDUNDRR2	GDUNDRS2			
GDUNDRR3	GDUNDRS3			
GDUNDRR4	GDUNDRS4			
GDUNDRR5	GDUNDRS5			

98 = Not Applicable  
99 = Missing or Indeterminable

XREDUCS1	NUM	Module 3	Chapter Two guidelines that require an increase or decrease to the total adjusted offense level of a cross referenced guideline. Applies to the Sentencing guideline for only the following guidelines; 2D1.13, 2J1.7, 2P1.2, 2X3.1, and 2X4.1, or;
XREDUCS2			
XREDUCS3			
XREDUCS4			
XREDUCS5			

98 = Not Applicable  
99 = Missing or Indeterminable

BASADJS1	NUM	Module 3	Chapter Two guidelines that require an increase or decrease to the base adjustment to the final offence level of the cross referenced guideline. Applies to the Sentencing guideline for only the following guidelines; 2D1.2, 2D1.5, 2D1.8, 2D1.10, 2H1.1, 2H1.3, 2H1.4, 2H1.5, 2H4.1, and 2K1.4, or;
BASADJS2			
BASADJS3			
BASADJS4			
BASADJS5			

98 = Not Applicable  
99 = Missing or Indeterminable

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
Specific Offense Characteristics				
ADJR_B1, ADJR_C1	ADJR_H1	NUM	Module 3	Series of Chapter Two adjustments made to base offense level of the reference and/or the, sentencing guidelines in number of levels (negative or positive for up to seven specific offense characteristics (SOCs) applied under each Chapter Two guideline computation, or:
ADJR_B2, ADJR_C2	ADJR_H2			
ADJR_B3, ADJR_C3	ADJR_H3			
ADJR_B4, ADJR_C4	ADJR_H4			
ADJR_B5, ADJR_C5	ADJR_H5			
ADJS_B1, ADJS_C1	ADJS_H1			0 = Though adjustment was available, none was applied 98 = Not Applicable 99 = Missing or Indeterminable
ADJS_B2, ADJS_C2	ADJS_H2			
ADJS_B3, ADJS_C3	ADJS_H3			
ADJS_B4, ADJS_C4	ADJS_H4			
ADJS_B5, ADJS_C5	ADJS_H5			
(NOTE: As discussed above under GUIDE TO GUIDELINE APPLICATION DATA ANALYSIS, interpretation of values coded in these variables may be done only with reference to AMENDYR, relevant GDLIN or GDREF for each adjustment, and reference to the Guidelines Manual for the AMENDYR entered.)				
Victim-Related Adjustments				
VCTADJR1	VCTADJS1	NUM	Module 3	Indication as to whether any victim-related adjustment was applied, in the relevant guideline computation for the reference and/or sentencing guideline:  0 = No, no victim adjustment applied 1 = Yes, victim adjustment applied 8 = Not Applicable 9 = Missing or Indeterminable
VCTADJR2	VCTADJS2			
VCTADJR3	VCTADJS3			
VCTADJR4	VCTADJS4			
VCTADJR5	VCTADJS5			
VULVCTR1	VULVCTS1	NUM	Module 3	Vulnerable Victim, •3A1.1. Number of levels added due to the involvement of an unusually vulnerable victim, in the relevant guideline computation for the reference and/or sentencing guideline:  0 = No adjustment 2 = Vulnerable Victim adjustment applied 98 = Not Applicable 99 = Missing or Indeterminable
VULVCTR2	VULVCTS2			
VULVCTR3	VULVCTS3			
VULVCTR4	VULVCTS4			
VULVCTR5	VULVCTS5			



OFFVCTR1	OFFVCTS1	NUM	Module 3	Official Victim, •3A1.2.
OFFVCTR2	OFFVCTS2			Number of levels added due to
OFFVCTR3	OFFVCTS3			involvement of a victim who enforcement
OFFVCTR4	OFFVCTS4			or corrections official, in the
OFFVCTR5	OFFVCTS5			relevant guideline computation
				or the reference and/or sentencing
				guideline:
				0 = No adjustment
				3 = Official Victim adjustment applied
				98 = Not Applicable
				99 = Missing or Indeterminable

RSTRVCTR1	RSTRVCTS1	NUM	Module 3	Restraint of Victim, •3A1.3.
RSTRVCTR2	RSTRVCTS2			Number of levels added because victim
RSTRVCTR3	RSTRVCTS3			was physically restrained during
RSTRVCTR4	RSTRVCTS4			the offense, in the relevant guideline
RSTRVCTR5	RSTRVCTS5			computation for the reference and/or
				sentencing guideline:
				0 = No adjustment
				2 = Restraint of Victim adjustment
				applied
				98 = Not Applicable
				99 = Missing or Indeterminable

TERORR1	TERORS1	NUM	Module 3	International Terrorism •3A1.4.
TERORR2	TERORS2			The levels added because the offense
TERORR3	TERORS3			is a felony that involved or intended
TERORR4	TERORS4			to promote international terrorism.
TERORR5	TERORS5			
				12 = No adjustment
				32 = if the resulting offense level
				is less than 32
				98 = Not Applicable
				99 = Missing or Indeterminable
				The Criminal History Category for the
				defendant shall be VI in each such case.

OBSTRCCR1	OBSTRCS1	NUM	Module 3	Obstruction of Justice, •3C1.1.
OBSTRCCR2	OBSTRCS2			Number of levels added because
OBSTRCCR3	OBSTRCS3			defendant willfully obstructed or
OBSTRCCR4	OBSTRCS4			impeded justice, in the relevant
OBSTRCCR5	OBSTRCS5			guideline computation for the reference
				and/or sentencing guideline:
				0 = No adjustment
				2 = Obstruction of Justice adjustment
				applied
				98 = Not Applicable
				99 = Missing or Indeterminable

FLIGHTR1	FLIGHTS1	NUM	Module 3	Reckless Endangerment, •3C1.2.
FLIGHTR2	FLIGHTS2			Number of levels added because
FLIGHTR3	FLIGHTS3			defendant recklessly endangered
FLIGHTR4	FLIGHTS4			another person during flight from
FLIGHTR5	FLIGHTS5			law enforcement, in the relevant
				guideline computation for the reference
				and/or sentencing guideline:
				0 = No adjustment
				2 = Reckless Endangerment during
				Flight adjustment applied
				98 = Not Applicable
				99 = Missing or Indeterminable
				(NOTE: This variable applies only to
				cases whose AMENDYR is 1990 or later.)

#### Role Adjustments

ROLADJR1	ROLADJS1	NUM	Module 3	Indication as to whether a role
ROLADJR2	ROLADJS2			adjustment was applied, for the
ROLADJR4	ROLADJS4			first through fifth guideline
ROLADJR3	ROLADJS3			calculations for the reference
ROLADJR5	ROLADJS5			and/or sentencing guideline:
				0 = No, no Role Adjustment(s) given
				1 = Yes, Role Adjustment(s) given
				8 = Not Applicable
				9 = Missing or Indeterminable

AGGROLR1	AGGROLS1	NUM	Module 3	Aggravating Role, •3B1.1.
AGGROLR2	AGGROLS2			Number of levels added due to defen-
AGGROLR3	AGGROLS3			dant's aggravating role in the offense,
AGGROLR4	AGGROLS4			for the first through fifth
AGGROLR5	AGGROLS5			calculations for the reference and/or
				sentencing guideline:
				0 = No adjustment
				2 = Adjustment applied for role as
				organizer, leader, manager, or
				supervisor in criminal activity
				not described by 3-or 4-level
				adjustments below
				3 = Adjustment applied for role as
				manager or supervisor in criminal
				activity involving five or more
				participants (or otherwise
				extensive)

4 = Adjustment applied for role as organizer or leader of criminal activity involving five or more participants (or otherwise extensive)  
 98 = Not Applicable  
 99 = Missing or Indeterminable

MITROLR1	MITROLS1	NUM	Module 3	Mitigating Role, •3B1.2. Number of levels added due to the defendant's mitigating role in the offense, for the first through fifth calculations for the reference and/or sentencing guideline:
MITROLR2	MITROLS2			
MITROLR3	MITROLS3			
MITROLR4	MITROLS4			
MITROLR5	MITROLS5			

0 = No adjustment  
 -2 = Adjustment applied for minor participation  
 -3 = Adjustment applied for participation between minor and minimal  
 -4 = Adjustment applied for minimal participation  
 98 = Not Applicable  
 99 = Missing or Indeterminable

ABUSPSR1	ABUSPSS1	NUM	Module 3	Abuse of Trust, •3B1.3. Number of levels added due to the defendant's abuse of a position of trust or use of a special skill, for the relevant calculation for the reference and/or sentencing guideline:
ABUSPSR2	ABUSPSS2			
ABUSPSR3	ABUSPSS3			
ABUSPSR4	ABUSPSS4			
ABUSPSR5	ABUSPSS5			

0 = No adjustment  
 2 = Abuse of Trust adjustment applied  
 98 = Not applicable  
 99 = Missing or Indeterminable

ADJOFLR1	ADJOFLS1	NUM	Module 3	Adjusted offense level subtotal derived after all relevant SOC and Chapter Three adjustments have been applied in each of the first through fifth computations respectively for the reference and/or sentencing, or:
ADJOFLR2	ADJOFLS2			
ADJOFLR3	ADJOFLS3			
ADJOFLR4	ADJOFLS4			
ADJOFLR5	ADJOFLS5			

98 = Not Applicable  
 99 = Missing or Indeterminable

USKIDR1	USKIDS1	NUM	Module 3	Use of a Minor to Commit a Crime •3B1.4
USKIDR2	USKIDS2			Number of levels added because the defendant
USKIDR3	USKIDS3			used or attempted to use a person less than
USKIDR4	USKIDS4			eighteen years of age to commit the offense or
USKIDR5	USKIDS5			assist in avoiding detection of, or
				apprehension for, the offense.

2 = Use of a minor  
 98 = Not Applicable  
 99 = Missing or Indeterminable

NOTE: The variables named on the following pages are not separated into reference and sentencing components, but represent the computation(s) as a whole unit.

TOTUNIT	NUM	Module 3	Total number of units used to calculate offense level adjustment to be applied as a result of a multiple count or grouping adjustment, or:
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9.8 = Not Applicable  
 9.9 = Missing or Indeterminable

COADJLEV	NUM	Module 3	Combined adjusted offense level subtotal cumulating all guideline calculations, for cases in which multiple counts have been applied, or:
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98 = Not Applicable  
 99 = Missing or Indeterminable

#### ACCEPTANCE OF RESPONSIBILITY

ACCTRESP	NUM	Module 3	Acceptance of Responsibility, •3E1.1. Number of levels to be subtracted from combined adjusted offense level due to defendant's acceptance of responsibility.
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0 = No adjustment  
 -2 = Adjustment for acceptance of responsibility applied  
 -3 = Adjustment for acceptance of responsibility applied (1992 amendments)  
 98 = Not Applicable  
 99 = Missing or Indeterminable

## GUIDELINE COMPUTATION RESULTING IN HIGHEST ADJUSTED OFFENSE LEVEL

NOTE: For the convenience of researchers, the variables describing the computations contributing the highest adjusted offense level have been entered into a separate set of variables. Just as the aforementioned variables for guideline computation may relate to either a reference guideline(R) and/or a sentencing guideline (S), so do the variables that indicate the highest adjusted offense level. Each variable will include either an "R" or an "S" in its name to indicate which component it represents immediately preceding the "HI" indicator.

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
NBRHI	NUM	Module 3/ generated		The computation number whose adjusted offense level was highest of all computations involved in the case.  1 - 5 = Computation number 8 = Not Applicable 9 = Missing or Indeterminable
GDLINEHI GDSTATHI GDREFHI	CHAR	Module 3 /generated		See description of GDLINE1-5, GDSTAT1-5, and GDREF1-5 above.
BASERHI BASESHI	NUM	Module 3/ generated		See description of BASER1-5 and BASES1-5 above.
ADJR_BHI ADJR_HHI ADJS_B1 ADJS_BHI ADJS_HHI	thru NUM	Module 3/ generated		See description of ADJR_B1 thru ADJR_H1 and ADJS_B1 thru ADJS_H1 above.
VCTAJRHI VCTAJSHI	NUM	Module 3/ generated		See description of VCTADJR1-5 and VCTADJS 1-5 above.
VULVCRHI VULVCSHI	NUM	Module 3/ generated		See description of VULVCTR1-5 and VULVCTS1-5 above.
OFFVCRHI OFFVCSHI	NUM	Module 3/ generated		See description of OFFVCTR1-5 and OFFVCTS1-5 above.
RSTVCRHI RSTVCSHI	NUM	Module 3/ generated		See description of RSTVCR1-5 and RSRVCS1-5 above.

OBSTRRHI OBSTRSHI	NUM	Module 3/ generated	See description of OBSTRCR1-5 and OBSTRCS1-5 above.
FLGHTRHI FLGHTSHI	NUM	Module 3/ generated	See description of FLIGHTR1-5 and FLIGHTS1-5 above.
RLADJRHI RLADJSHI	NUM	Module 3/ generated	See description of ROLADJR1-5 and ROLADJS1-5 above.
AGGRLRHI AGGRLSHI	NUM	Module 3/ generated	See description of AGGROLR1-5 and AGGROLS1-5 above.
MITRLRHI MITRLSHI	NUM	Module 3/ generated	See description of MITROLR1-5 and MITROLS1-5 above.
ABUPSRHI ABUPSSH1	NUM	Module 3/ generated	See description of ABUSPSR1-5 and ABUSPSS1-5 above.
ADJOFRHI ADJOFSHI	NUM	Module 3/ generated	See description of ADJOFLR1-5 and ADJOFLS1-5 above.
USKIDRHI USKIDSHI	NUM	Module 3/ generated	See description of USKIDR1-5 and USKIDS1-5 above.
TERORRHI TERORSHI	NUM	Module 3/ generated	See description of TERORR1-5 and TERORS1-5 above.
LOSSGRHI LOSSGSHI	NUM	Module 3/ generated	See description of LOSSGNR1-5 and LOSSGNS1-5 above.

## CRIMINAL HISTORY CATEGORY ASSIGNMENT

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
CRPTS	NUM	Module 3		Indication as to whether any criminal history points were awarded:  0 = No criminal history points awarded 1 = Yes, criminal history points awarded 8 = Not Applicable 9 = Missing or Indeterminable
Criminal History Points Awarded				
POINT1	NUM	Module 3		Number of one-point incidents (sentences less than 60 days) included in the computation of criminal history, or:  0 = None 98 = Not Applicable 99 = Missing or Indeterminable
POINT2	NUM	Module 3		Number of two-point incidents (sentences between 60 days and 13 months) included in the computation of criminal history, or:  0 = None 98 = Not Applicable 99 = Missing or Indeterminable
POINT3	NUM	Module 3		Number of three-point incidents (sentences over 13 months) included in the computation of criminal history, or:  0 = None 98 = Not Applicable 99 = Missing or Indeterminable
CRIMPTS	NUM	Module 3		Subtotal of criminal history points applied, based on the contributions of one-, two-, and three-point incidents, or:  0 = None 98 = Not Applicable 99 = Missing or Indeterminable

SENTPTS	NUM	Module 3	<p>Number of criminal history points applied for commission of instant offense while under criminal justice sentence under •4A1.1(d):</p> <p>0 = None 2 = Adjustment applied for commission of offense while under criminal justice sentence 98 = Not Applicable 99 = Missing or Indeterminable</p>
REL2PTS	NUM	Module 3	<p>Number of criminal history points applied for commission of instant offense less than two years after release from imprisonment, under •4A1.1(e):</p> <p>0 = None 1 = Adjustment applied for commission of offense less than two years after release, if two-point adjustment for commission of offense while under criminal justice sentence has been applied 2 = Adjustment applied for commission of offense less than two years after release and no adjustment under •4A1.1(d) 98 = Not Applicable 99 = Missing or Indeterminable</p>
VIOL1PTS	NUM	Module 3	<p>Number of criminal history points applied for each prior sentence resulting from a conviction of a crime of violence that did not receive any points under ••4A1.1(a), 4A1.1(b), or 4A1.1(c):</p> <p>0 = No points applied 1 = One point applied 2 = Two points applied 3 = Three points applied 8 = Not applicable 9 = Missing or Indeterminable</p>
TOTCHPTS	NUM	Module 3	<p>Total number of criminal history points applied, or:</p> <p>0 = None 98 = Not Applicable 99 = Missing or Indeterminable</p>



CAROFFAP	NUM	Module 3	<p>Indication as to whether career offender status was applied under •4B1.1:</p> <p>0 = No, no reference to career offender 1 = Yes, career offender applied 8 = Not Applicable 9 = Missing or Indeterminable</p>
CAROFFLV	NUM	Module 3	<p>Adjusted offense level, totaling all computations, if the offense level was changed due to the application of career offender status under •4B1.1 (CAROFFAP = "1"). Incorporates any adjustment applied for acceptance of responsibility:</p> <p>98 = Not Applicable 99 = Missing or Indeterminable</p>
ACCAP	NUM	Module 3	<p>Indication as to whether armed career criminal status was applied under •4B1.4:</p> <p>0 = No, no reference to armed career criminal 1 = Yes, armed career criminal applied 8 = Not Applicable 9 = Missing or Indeterminable</p> <p>(NOTE: This variable applies only to cases whose AMENDYR is 1990 or later.)</p>
ACCOFFLV	NUM	Module 3	<p>Adjusted offense level, totaling all computations, if the offense level was changed due to the application of armed career criminal statute under •4B1.4 (ACCAP = "1"). Incorporates any adjustment applied for acceptance of responsibility:</p> <p>98 = Not Applicable 99 = Missing or Indeterminable</p> <p>(NOTE: This variable applies only to cases whose AMENDYR is 1990 or later.)</p>

ACCCAT      NUM    Module 3

Adjusted criminal history category in the event that the armed career criminal statute was applied and caused a change in the previously subtotaled criminal history category:

4 - 6 = Criminal history category  
8 = Not Applicable  
9 = Missing or Indeterminable

(NOTE: This variable applies only to cases whose AMENDYR is 1990 or later.)

## VII. DEPARTURE INFORMATION

Field Name	Type	Source	Comments	Description, Value Codes, Special Notes
DEPART	NUM	Module 3		Departure status:  0 = No departure 1 = Upward departure 2 = Downward departure 3 = Downward departure based upon defendant's substantial assistance to authorities 8 = Departure information not applicable because guideline information not relevant (e.g., no analogous guidelines) 9 = Missing or Indeterminable
REASON1 REASON2 REASON3	NUM	Module 3		The first, second, and third reason given by the court for the departure sentence imposed:  0 = No reason given 10 - 832 = See Attachment 6 for codes 999 = Missing or Indeterminable

ATTACHMENTS

To

MONFY96      CODEBOOK

## ATTACHMENT 1-A

## Structure and Layout for MONFY96 Dataset

Observations: 42,436  
 Variables: 254  
 Record Length: 762  
 Blocksize: 7,620

Field	Field Name	Type	Width	Dec
1	USSCIDN	Numeric	6	
2	RECDATE	Date	8	
3	DISTRICT	Numeric	2	
4	MONCIRC	Numeric	2	
5	SENTDATE	Date	8	
6	OLDLAW	Numeric	1	
7	JANDCDOC	Numeric	1	
8	PLEADOC	Numeric	1	
9	PSRDOC	Numeric	1	
10	REASDOC	Numeric	1	
11	INDIDOC	Numeric	1	
12	PETTYBC	Numeric	1	
13	RESENT	Character	1	
14	POOFFICE	Character	1	
15	DEFCONSUL	Numeric	2	
16	MONRACE	Numeric	1	
17	HISPORIG	Numeric	1	
18	MONSEX	Numeric	1	
19	EDUCATN	Numeric	2	
20	CITIZEN	Numeric	1	
21	CITWHERE	Numeric	2	
22	AGE	Numeric	3	
23	OFFTYPE	Numeric	2	
24	OFFCASE	Numeric	6	
25	OFFCODC1	Numeric	6	
26	OFFCODC2	Numeric	6	
27	OFFCODC3	Numeric	6	
28	OFFCODC4	Numeric	6	
29	NOCOUNTS	Numeric	3	
30	DISPOSIT	Numeric	1	
31	TOTPRISN	Numeric	4	
32	TOTDAYS	Numeric	2	
33	BOPCONF	Numeric	2	
34	PROBATN	Numeric	3	
35	SUPREL	Numeric	3	
36	MOCOMCON	Numeric	2	
37	MOINTCON	Numeric	2	
38	MOHOMDET	Numeric	2	
39	HRCOMSRV	Numeric	4	
40	TYPEOTHS	Numeric	2	
41	FINE	Numeric	9	
42	COSTSUP	Numeric	6	

43	TOTREST	Numeric	9
44	FINEWAIV	Numeric	1
45	STATMIN	Numeric	3
46	STATMAX	Numeric	3
47	NOSTATS1	Numeric	1
48	TITLE1A	Character	3
49	SECT1A	Character	5
50	SUBSEC1A	Character	8
51	TITLE1B	Character	3
52	SECT1B	Character	5
53	SUBSEC1B	Character	8
54	STAT1C	Numeric	2
55	NOSTATS2	Numeric	1
56	TITLE2A	Character	3
57	SECT2A	Character	5
58	SUBSEC2A	Character	8
59	TITLE2B	Character	3
60	SECT2B	Character	5
61	SUBSEC2B	Character	8
62	STAT2C	Numeric	2
63	NOSTATS3	Numeric	1
64	TITLE3A	Character	3
65	SECT3A	Character	5
66	SUBSEC3A	Character	8
67	TITLE3B	Character	3
68	SECT3B	Character	5
69	SUBSEC3B	Character	8
70	STAT3C	Numeric	2
71	NOSTATS4	Numeric	1
72	TITLE4A	Character	3
73	SECT4A	Character	5
74	SUBSEC4A	Character	8
75	TITLE4B	Character	3
76	SECT4B	Character	5
77	SUBSEC4B	Character	8
78	STAT4C	Numeric	2
79	ACCGDLN	Numeric	1
80	XFOLSOR	Numeric	2
81	XCRHISSR	Numeric	1
82	XMAXSOR	Numeric	3
83	XGLMAXPS	Numeric	3
84	XMINSOR	Numeric	3
85	XGLMINPS	Numeric	3
86	REASON1	Numeric	3
87	REASON2	Numeric	3
88	REASON3	Numeric	3
89	DEPART	Numeric	1
90	PSROFFLV	Numeric	2
91	PSRCHCAT	Numeric	1
92	SORCHPT	Numeric	2
93	PSRCHPT	Numeric	2
94	SOURCES	Numeric	2
95	AMENDYR	Numeric	2
96	GDLINE1	Character	6
97	GDLINE2	Character	6

98	GDLINE3	Character	6
99	GDLINE4	Character	6
100	GDLINE5	Character	6
101	LOSSGNS1	Numeric	9
102	LOSSGNS2	Numeric	9
103	LOSSGNS3	Numeric	9
104	LOSSGNS4	Numeric	9
105	LOSSGNS5	Numeric	9
106	BASES1	Numeric	2
107	BASES2	Numeric	2
108	BASES3	Numeric	2
109	BASES4	Numeric	2
110	BASES5	Numeric	2
111	ADJS_B1	Numeric	3
112	ADJS_B2	Numeric	3
113	ADJS_B3	Numeric	3
114	ADJS_B4	Numeric	3
115	ADJS_B5	Numeric	3
116	ADJS_C1	Numeric	3
117	ADJS_C2	Numeric	3
118	ADJS_C3	Numeric	3
119	ADJS_C4	Numeric	3
120	ADJS_C5	Numeric	3
121	ADJS_D1	Numeric	3
122	ADJS_D2	Numeric	3
123	ADJS_D3	Numeric	3
124	ADJS_D4	Numeric	3
125	ADJS_D5	Numeric	3
126	ADJS_E1	Numeric	3
127	ADJS_E2	Numeric	3
128	ADJS_E3	Numeric	3
129	ADJS_E4	Numeric	3
130	ADJS_E5	Numeric	3
131	ADJS_F1	Numeric	3
132	ADJS_F2	Numeric	3
133	ADJS_F3	Numeric	3
134	ADJS_F4	Numeric	3
135	ADJS_F5	Numeric	3
136	ADJS_G1	Numeric	3
137	ADJS_G2	Numeric	3
138	ADJS_G3	Numeric	3
139	ADJS_G4	Numeric	3
140	ADJS_G5	Numeric	3
141	ADJS_H1	Numeric	3
142	ADJS_H2	Numeric	3
143	ADJS_H3	Numeric	3
144	ADJS_H4	Numeric	3
145	ADJS_H5	Numeric	3
146	ROLADJS1	Numeric	1
147	ROLADJS2	Numeric	1
148	ROLADJS3	Numeric	1
149	ROLADJS4	Numeric	1
150	ROLADJS5	Numeric	1
151	AGGROLS1	Numeric	2
152	AGGROLS2	Numeric	2

153	AGGROLS3	Numeric	2
154	AGGROLS4	Numeric	2
155	AGGROLS5	Numeric	2
156	MITROLS1	Numeric	2
157	MITROLS2	Numeric	2
158	MITROLS3	Numeric	2
159	MITROLS4	Numeric	2
160	MITROLS5	Numeric	2
161	ABUSPSS1	Numeric	2
162	ABUSPSS2	Numeric	2
163	ABUSPSS3	Numeric	2
164	ABUSPSS4	Numeric	2
165	ABUSPSS5	Numeric	2
166	VCTADJS1	Numeric	1
167	VCTADJS2	Numeric	1
168	VCTADJS3	Numeric	1
169	VCTADJS4	Numeric	1
170	VCTADJS5	Numeric	1
171	VULVCTS1	Numeric	2
172	VULVCTS2	Numeric	2
173	VULVCTS3	Numeric	2
174	VULVCTS4	Numeric	2
175	VULVCTS5	Numeric	2
176	OFFVCTS1	Numeric	2
177	OFFVCTS2	Numeric	2
178	OFFVCTS3	Numeric	2
179	OFFVCTS4	Numeric	2
180	OFFVCTS5	Numeric	2
181	RSTVCTS1	Numeric	2
182	RSTVCTS2	Numeric	2
183	RSTVCTS3	Numeric	2
184	RSTVCTS4	Numeric	2
185	RSTVCTS5	Numeric	2
186	OBSTRCS1	Numeric	2
187	OBSTRCS2	Numeric	2
188	OBSTRCS3	Numeric	2
189	OBSTRCS4	Numeric	2
190	OBSTRCS5	Numeric	2
191	FLIGHTS1	Numeric	2
192	FLIGHTS2	Numeric	2
193	FLIGHTS3	Numeric	2
194	FLIGHTS4	Numeric	2
195	FLIGHTS5	Numeric	2
196	ADJOFLS1	Numeric	2
197	ADJOFLS2	Numeric	2
198	ADJOFLS3	Numeric	2
199	ADJOFLS4	Numeric	2
200	ADJOFLS5	Numeric	2
201	TOTUNIT	Numeric	3
202	COADJLEV	Numeric	2
203	CAROFFLV	Numeric	2
204	ACCOFFLV	Numeric	2
205	ACCTRESP	Numeric	2
206	CRPTS	Numeric	1
207	POINT1	Numeric	2



208	POINT2	Numeric	2	
209	POINT3	Numeric	2	
210	SENTPTS	Numeric	2	
211	REL2PTS	Numeric	2	
212	VIOL1PTS	Numeric	1	
213	CRIMPTS	Numeric	2	
214	TOTCHPTS	Numeric	2	
215	CAROFFAP	Numeric	1	
216	ACCAP	Numeric	1	
217	ACCCAT	Numeric	2	
218	NUMDEPEN	Numeric	2	
219	GUNMIN1	Numeric	3	
220	GUNMIN2	Numeric	3	
221	OFFDT1	Character	8	
222	OFFDT2	Character	8	
223	DRUGTYP1	Numeric	2	
224	DRUGTYP2	Numeric	2	
225	DRGAM1	Numeric	12	4
226	DRGAM2	Numeric	12	4
227	UNIT1	Numeric	2	
228	UNIT2	Numeric	2	
229	DRUGMIN1	Numeric	3	
230	MOREDR	Numeric	1	
231	NBRHI	Numeric	1	
232	BASESHI	Numeric	2	
233	GDLINEHI	Character	6	
234	ADJS_BHI	Numeric	3	
235	ADJS_CHI	Numeric	3	
236	ADJS_DHI	Numeric	3	
237	ADJS_EHI	Numeric	3	
238	ADJS_FHI	Numeric	3	
239	ADJS_GHI	Numeric	3	
240	ADJS_HHI	Numeric	3	
241	RLADJSHI	Numeric	1	
242	AGGRLSHI	Numeric	2	
243	MITRLSHI	Numeric	2	
244	ABUPSSHI	Numeric	2	
245	VCTAJSHI	Numeric	1	
246	VULVCSHI	Numeric	2	
247	OFFVCSHI	Numeric	2	
248	RSTVCSHI	Numeric	2	
249	OBSTRSHI	Numeric	2	
250	FLGHTSHI	Numeric	2	
251	ADJOFSHI	Numeric	2	
252	USKIDSHI	Numeric	2	
253	TERORSHI	Numeric	2	
254	LOSSGSHI	Numeric	9	

## ATTACHMENT 1-B

Structure and Layout for MONFY96XR Dataset  
Cross Reference Related Variables

Observations: 42,436  
 Variables: 186  
 Record Length: 551  
 Blocksize: 5510

Field	Field Name	Type	Width	Dec
1	USSCIDN	Numeric	6	
2	NBRHI	Numeric	1	
3	GDSTATHI	Character	6	
4	GDREFHI	Character	6	
5	GDUNRRHI	Character	6	
6	GDUNRSHI	Character	6	
7	XRDUCSHI	Numeric	3	
8	BASADJHI	Numeric	3	
9	ENTRRFHI	Numeric	1	
10	LOSSGRHI	Numeric	9	
11	BASERHI	Numeric	2	
12	ADJR_BHI	Numeric	3	
13	ADJR_CHI	Numeric	3	
14	ADJR_DHI	Numeric	3	
15	ADJR_EHI	Numeric	3	
16	ADJR_FHI	Numeric	3	
17	ADJR_GHI	Numeric	3	
18	ADJR_HHI	Numeric	3	
19	RLADJRHI	Numeric	1	
20	AGGRLRHI	Numeric	2	
21	MITRLRHI	Numeric	2	
22	ABUPSRHI	Numeric	2	
23	VCTAJRHI	Numeric	1	
24	VULVCRHI	Numeric	2	
25	OFFVCRHI	Numeric	2	
26	RSTVCRHI	Numeric	2	
27	OBSTRRHI	Numeric	2	
28	FLGHTRHI	Numeric	2	
29	TERORRHI	Numeric	3	
30	USKIDRHI	Numeric	3	
31	ADJOFRHI	Numeric	2	
32	GDSTAT1	Character	6	
33	GDSTAT2	Character	6	
34	GDSTAT3	Character	6	
35	GDSTAT4	Character	6	
36	GDSTAT5	Character	6	
37	GDREF1	Character	6	
38	GDREF2	Character	6	
39	GDREF3	Character	6	
40	GDREF4	Character	6	
41	GDREF5	Character	6	

42	GDUNDRR1	Character	6
43	GDUNDRR2	Character	6
44	GDUNDRR3	Character	6
45	GDUNDRR4	Character	6
46	GDUNDRR5	Character	6
47	GDUNDRS1	Character	6
48	GDUNDRS2	Character	6
49	GDUNDRS3	Character	6
50	GDUNDRS4	Character	6
51	GDUNDRS5	Character	6
52	XREDUCS1	Numeric	3
53	XREDUCS2	Numeric	3
54	XREDUCS3	Numeric	3
55	XREDUCS4	Numeric	3
56	XREDUCS5	Numeric	3
57	BASADJS1	Numeric	3
58	BASADJS2	Numeric	3
59	BASADJS3	Numeric	3
60	BASADJS4	Numeric	3
61	BASADJS5	Numeric	3
62	ENTRREF1	Numeric	1
63	ENTRREF2	Numeric	1
64	ENTRREF3	Numeric	1
65	ENTRREF4	Numeric	1
66	ENTRREF5	Numeric	1
67	LOSSGNR1	Numeric	9
68	LOSSGNR2	Numeric	9
69	LOSSGNR3	Numeric	9
70	LOSSGNR4	Numeric	9
71	LOSSGNR5	Numeric	9
72	BASER1	Numeric	2
73	BASER2	Numeric	2
74	BASER3	Numeric	2
75	BASER4	Numeric	2
76	BASER5	Numeric	2
77	ADJR_B1	Numeric	3
78	ADJR_B2	Numeric	3
79	ADJR_B3	Numeric	3
80	ADJR_B4	Numeric	3
81	ADJR_B5	Numeric	3
82	ADJR_C1	Numeric	3
83	ADJR_C2	Numeric	3
84	ADJR_C3	Numeric	3
85	ADJR_C4	Numeric	3
86	ADJR_C5	Numeric	3
87	ADJR_D1	Numeric	3
88	ADJR_D2	Numeric	3
89	ADJR_D3	Numeric	3
90	ADJR_D4	Numeric	3
91	ADJR_D5	Numeric	3
92	ADJR_E1	Numeric	3
93	ADJR_E2	Numeric	3
94	ADJR_E3	Numeric	3
95	ADJR_E4	Numeric	3
96	ADJR_E5	Numeric	3

97	ADJR_F1	Numeric	3
98	ADJR_F2	Numeric	3
99	ADJR_F3	Numeric	3
100	ADJR_F4	Numeric	3
101	ADJR_F5	Numeric	3
102	ADJR_G1	Numeric	3
103	ADJR_G2	Numeric	3
104	ADJR_G3	Numeric	3
105	ADJR_G4	Numeric	3
106	ADJR_G5	Numeric	3
107	ADJR_H1	Numeric	3
108	ADJR_H2	Numeric	3
109	ADJR_H3	Numeric	3
110	ADJR_H4	Numeric	3
111	ADJR_H5	Numeric	3
112	ROLADJR1	Numeric	1
113	ROLADJR2	Numeric	1
114	ROLADJR3	Numeric	1
115	ROLADJR4	Numeric	1
116	ROLADJR5	Numeric	1
117	AGGROLR1	Numeric	2
118	AGGROLR2	Numeric	2
119	AGGROLR3	Numeric	2
120	AGGROLR4	Numeric	2
121	AGGROLR5	Numeric	2
122	MITROLR1	Numeric	2
123	MITROLR2	Numeric	2
124	MITROLR3	Numeric	2
125	MITROLR4	Numeric	2
126	MITROLR5	Numeric	2
127	ABUSPSR1	Numeric	2
128	ABUSPSR2	Numeric	2
129	ABUSPSR3	Numeric	2
130	ABUSPSR4	Numeric	2
131	ABUSPSR5	Numeric	2
132	VCTADJR1	Numeric	1
133	VCTADJR2	Numeric	1
134	VCTADJR3	Numeric	1
135	VCTADJR4	Numeric	1
136	VCTADJR5	Numeric	1
137	VULVCTR1	Numeric	2
138	VULVCTR2	Numeric	2
139	VULVCTR3	Numeric	2
140	VULVCTR4	Numeric	2
141	VULVCTR5	Numeric	2
142	OFFVCTR1	Numeric	2
143	OFFVCTR2	Numeric	2
144	OFFVCTR3	Numeric	2
145	OFFVCTR4	Numeric	2
146	OFFVCTR5	Numeric	2
147	RSTVCTR1	Numeric	2
148	RSTVCTR2	Numeric	2
149	RSTVCTR3	Numeric	2
150	RSTVCTR4	Numeric	2
151	RSTVCTR5	Numeric	2

152	OBSTRCR1	Numeric	2
153	OBSTRCR2	Numeric	2
154	OBSTRCR3	Numeric	2
155	OBSTRCR4	Numeric	2
156	OBSTRCR5	Numeric	2
157	FLIGHTR1	Numeric	2
158	FLIGHTR2	Numeric	2
159	FLIGHTR3	Numeric	2
160	FLIGHTR4	Numeric	2
161	FLIGHTR5	Numeric	2
162	TERORR1	Numeric	2
163	TERORR2	Numeric	2
164	TERORR3	Numeric	2
165	TERORR4	Numeric	2
166	TERORR5	Numeric	2
167	USKIDR1	Numeric	2
168	USKIDR2	Numeric	2
169	USKIDR3	Numeric	2
170	USKIDR4	Numeric	2
171	USKIDR5	Numeric	2
172	ADJOFLR1	Numeric	2
173	ADJOFLR2	Numeric	2
174	ADJOFLR3	Numeric	2
175	ADJOFLR4	Numeric	2
176	ADJOFLR5	Numeric	2
177	TERORS1	Numeric	2
178	TERORS2	Numeric	2
179	TERORS3	Numeric	2
180	TERORS4	Numeric	2
181	TERORS5	Numeric	2
182	USKIDS1	Numeric	2
183	USKIDS2	Numeric	2
184	USKIDS3	Numeric	2
185	USKIDS4	Numeric	2
186	USKIDS5	Numeric	2

## ATTACHMENT 2-A

## Codes for U.S. District Courts (Listed by District Code)

District Code	District Name
-----	-----
00	Maine
01	Massachusetts
02	New Hampshire
03	Rhode Island
04	Puerto Rico
05	Connecticut
06	New York, Northern
07	New York, Eastern
08	New York, Southern
09	New York, Western
10	Vermont
11	Delaware
12	New Jersey
13	Pennsylvania, Eastern
14	Pennsylvania, Middle
15	Pennsylvania, Western
16	Maryland
17	North Carolina, Eastern
18	North Carolina, Middle
19	North Carolina, Western
20	South Carolina
22	Virginia, Eastern
23	Virginia, Western
24	West Virginia, Northern
25	West Virginia, Southern
26	Alabama, Northern
27	Alabama, Middle
28	Alabama, Southern
29	Florida, Northern
30	Florida, Middle
31	Florida, Southern
32	Georgia, Northern
33	Georgia, Middle
34	Georgia, Southern
35	Louisiana, Eastern
36	Louisiana, Western
37	Mississippi, Northern
38	Mississippi, Southern
39	Texas, Northern
40	Texas, Eastern
41	Texas, Southern
42	Texas, Western
43	Kentucky, Eastern
44	Kentucky, Western
45	Michigan, Eastern Codes for U.S.
46	Michigan, Western

47	Ohio, Northern
48	Ohio, Southern
49	Tennessee, Eastern
50	Tennessee, Middle
51	Tennessee, Western
52	Illinois, Northern
53	Illinois, Central
54	Illinois, Southern
55	Indiana, Northern
56	Indiana, Southern
57	Wisconsin, Eastern
58	Wisconsin, Western
60	Arkansas, Eastern
61	Arkansas, Western
62	Iowa, Northern
63	Iowa, Southern
64	Minnesota
65	Missouri, Eastern
66	Missouri, Western
67	Nebraska
68	North Dakota
69	South Dakota
70	Arizona
71	California, Northern
72	California, Eastern
73	California, Central
74	California, Southern
75	Hawaii
76	Idaho
77	Montana
78	Nevada
79	Oregon
80	Washington, Eastern
81	Washington, Western
82	Colorado
83	Kansas
84	New Mexico
85	Oklahoma, Northern
86	Oklahoma, Eastern
87	Oklahoma, Western
88	Utah
89	Wyoming
90	District of Columbia
91	Virgin Islands
93	Guam
94	Mariana Islands, Northern
95	Alaska
96	Louisiana, Middle

## ATTACHMENT 2-B

## Codes for U.S. District Courts (Listed by District Name)

DISTRICT Name	DISTRICT Code
-----	-----
Alabama, Middle	27
Alabama, Northern	26
Alabama, Southern	28
Alaska	95
Arizona	70
Arkansas, Eastern	60
Arkansas, Western	61
California, Central	73
California, Eastern	72
California, Northern	71
California, Southern	74
Colorado	82
Connecticut	05
Delaware	11
District of Columbia	90
Florida, Middle	30
Florida, Northern	29
Florida, Southern	31
Georgia, Middle	33
Georgia, Northern	32
Georgia, Southern	34
Guam	93
Hawaii	75
Idaho	76
Illinois, Central	53
Illinois, Northern	52
Illinois, Southern	54
Indiana, Northern	55
Indiana, Southern	56
Iowa, Northern	62
Iowa, Southern	63
Kansas	83
Kentucky, Eastern	43
Kentucky, Western	44
Louisiana, Eastern	35
Louisiana, Middle	96
Louisiana, Western,	36
Maine	00
Mariana Islands, Northern	94
Maryland	16
Massachusetts	01
Michigan, Eastern	45
Michigan, Western	46
Minnesota	64
Mississippi, Northern	37
Mississippi, Southern	38
Missouri, Eastern	65



Missouri, Western	66
Montana	77
Nebraska	67
Nevada	78
New Hampshire	02
New Jersey	12
New Mexico	84
New York, Eastern	07
New York, Northern	06
New York, Southern	08
New York, Western	09
North Carolina, Eastern	17
North Carolina, Middle	18
North Carolina, Western	19
North Dakota	68
Ohio, Northern	47
Ohio, Southern	48
Oklahoma, Eastern	86
Oklahoma, Northern	85
Oklahoma, Western	87
Oregon	79
Pennsylvania, Eastern	13
Pennsylvania, Middle	14
Pennsylvania, Western	15
Puerto Rico	04
Rhode Island	03
South Carolina	20
South Dakota	69
Tennessee, Eastern	49
Tennessee, Middle	50
Tennessee, Western	51
Texas, Eastern	40
Texas, Northern	39
Texas, Southern	41
Texas, Western	42
Utah	88
Vermont	10
Virgin Islands	91
Virginia, Eastern	22
Virginia, Western	23
Washington, Eastern	80
Washington, Western	81
West Virginia, Northern	24
West Virginia, Southern	25
Wisconsin, Eastern	57
Wisconsin, Western	58
Wyoming	89

## ATTACHMENT 3

## Judicial Circuits, Districts, and Probation Offices

Circuit	District	Name	Office Code	Office Name
00	90	District of Columbia	1	Washington, D.C.
00	90	District of Columbia	Z	Missing
01	00	Maine	1	Bangor
01	00	Maine	2	Portland
01	00	Maine	3	Caribou
01	00	Maine	4	Augusta
01	00	Maine	5	Waterville
01	00	Maine	Z	Missing
01	01	Massachusetts	0	Bourne
01	01	Massachusetts	1	Boston
01	01	Massachusetts	2	New Bedford
01	01	Massachusetts	3	Springfield
01	01	Massachusetts	4	Worcester
01	01	Massachusetts	5	Lowell
01	01	Massachusetts	6	Pittsfield
01	01	Massachusetts	7	Ayer
01	01	Massachusetts	8	Hyannis
01	01	Massachusetts	9	Cambridge
01	01	Massachusetts	A	Pembroke
01	01	Massachusetts	Z	Missing
01	02	New Hampshire	1	Concord
01	02	New Hampshire	2	Littleton
01	02	New Hampshire	3	Manchester
01	02	New Hampshire	4	Lancaster
01	02	New Hampshire	Z	Missing
01	03	Rhode Island	1	Providence
01	03	Rhode Island	2	Warwick
01	03	Rhode Island	3	Newport
01	03	Rhode Island	Z	Missing
01	04	Puerto Rico	1	Mayaguez
01	04	Puerto Rico	2	Ponce
01	04	Puerto Rico	3	San Juan
01	04	Puerto Rico	4	Aguadilla
01	04	Puerto Rico	5	Santurce
01	04	Puerto Rico	6	Hato Rey
01	04	Puerto Rico	Z	Missing
02	05	Connecticut	0	West Hartford
02	05	Connecticut	1	Norwalk
02	05	Connecticut	2	Hartford

02	05	Connecticut	3	New Haven
02	05	Connecticut	4	Norwich
02	05	Connecticut	5	Bridgeport
02	05	Connecticut	6	Waterbury
02	05	Connecticut	7	New London
02	05	Connecticut	8	East Hartford
02	05	Connecticut	9	Manchester
02	05	Connecticut	Z	Missing
02	06	New York, Northern	0	Watertown
02	06	New York, Northern	1	Albany
02	06	New York, Northern	2	Auburn
02	06	New York, Northern	3	Binghamton
02	06	New York, Northern	4	Malone
02	06	New York, Northern	5	Syracuse
02	06	New York, Northern	6	Utica
02	06	New York, Northern	7	Canton
02	06	New York, Northern	8	Schenectedy
02	06	New York, Northern	9	Plattsburgh
02	06	New York, Northern	A	Oneonta
02	06	New York, Northern	B	Troy
02	06	New York, Northern	C	Oxford
02	06	New York, Northern	D	Rome
02	06	New York, Northern	Z	Missing
02	07	New York, Eastern	0	Hauppauge
02	07	New York, Eastern	1	Brooklyn
02	07	New York, Eastern	2	Mineola
02	07	New York, Eastern	3	Jamaica
02	07	New York, Eastern	4	Bayside
02	07	New York, Eastern	5	Jackson Heights
02	07	New York, Eastern	6	Long Island City
02	07	New York, Eastern	7	Patchogue
02	07	New York, Eastern	8	Westbury
02	07	New York, Eastern	9	Uniondale
02	07	New York, Eastern	Z	Missing
02	08	New York, Southern	1	New York City
02	08	New York, Southern	2	Warwick
02	08	New York, Southern	3	Kingston
02	08	New York, Southern	4	Poughkeepsie
02	08	New York, Southern	5	Yonkers
02	08	New York, Southern	6	Mamaroneck
02	08	New York, Southern	7	White Plains
02	08	New York, Southern	8	Middletown
02	08	New York, Southern	9	Newberg
02	08	New York, Southern	Z	Missing
02	09	New York, Western	0	Niagara Falls
02	09	New York, Western	1	Buffalo
02	09	New York, Western	2	Canandaigua
02	09	New York, Western	3	Elmira
02	09	New York, Western	4	Jamestown
02	09	New York, Western	5	Lockport

02	09	New York, Western	6	Rochester
02	09	New York, Western	7	Williamsville
02	09	New York, Western	8	Seneca Falls
02	09	New York, Western	9	Olean
02	09	New York, Western	A	Dunkirk
02	09	New York, Western	B	Watkins Glen
02	09	New York, Western	C	Batavia
02	09	New York, Western	D	Mayville
02	09	New York, Western	Z	Missing
02	10	Vermont	1	Brattleboro
02	10	Vermont	2	Burlington
02	10	Vermont	3	Montpelier
02	10	Vermont	4	Newport
02	10	Vermont	5	Rutland
02	10	Vermont	6	Windsor
02	10	Vermont	7	St. Johnsbury
02	10	Vermont	8	St. Albans
02	10	Vermont	9	Bennington
02	10	Vermont	Z	Missing
03	11	Delaware	1	Wilmington
03	11	Delaware	2	Dover
03	11	Delaware	Z	Missing
03	12	New Jersey	0	Asbury Park
03	12	New Jersey	1	Camden
03	12	New Jersey	2	Newark
03	12	New Jersey	3	Trenton
03	12	New Jersey	4	Rutherford
03	12	New Jersey	5	Jersey City
03	12	New Jersey	6	New Brunswick
03	12	New Jersey	7	Woodbury
03	12	New Jersey	8	Morristown
03	12	New Jersey	9	Atlantic City
03	12	New Jersey	A	Newton
03	12	New Jersey	B	Hackensack
03	12	New Jersey	C	Marlton
03	12	New Jersey	D	Paterson
03	12	New Jersey	E	Browns Mills
03	12	New Jersey	Z	Missing
03	13	Pennsylvania, Eastern	1	Easton
03	13	Pennsylvania, Eastern	2	Philadelphia
03	13	Pennsylvania, Eastern	3	Media
03	13	Pennsylvania, Eastern	4	Reading
03	13	Pennsylvania, Eastern	5	Allentown
03	13	Pennsylvania, Eastern	6	Pottsville
03	13	Pennsylvania, Eastern	Z	Missing
03	14	Pennsylvania, Middle	0	Mechanicsburg
03	14	Pennsylvania, Middle	1	Harrisburg
03	14	Pennsylvania, Middle	2	Lewisburg
03	14	Pennsylvania, Middle	3	Scranton

03	14	Pennsylvania, Middle	4	Williamsport
03	14	Pennsylvania, Middle	5	Wilkes-Barre
03	14	Pennsylvania, Middle	6	Stroudsburg
03	14	Pennsylvania, Middle	7	Chambersburg
03	14	Pennsylvania, Middle	8	Pittston
03	14	Pennsylvania, Middle	9	Hawley
03	14	Pennsylvania, Middle	A	Hazleton
03	14	Pennsylvania, Middle	B	Gettysburg
03	14	Pennsylvania, Middle	C	Sayre
03	14	Pennsylvania, Middle	D	Bellefonte
03	14	Pennsylvania, Middle	E	Lemoyne
03	14	Pennsylvania, Middle	F	York
03	14	Pennsylvania, Middle	G	Huntington
03	14	Pennsylvania, Middle	Z	Missing
03	15	Pennsylvania, Western	0	St. Marys
03	15	Pennsylvania, Western	1	Erie
03	15	Pennsylvania, Western	2	Pittsburgh
03	15	Pennsylvania, Western	3	Clearfield
03	15	Pennsylvania, Western	4	Grove City
03	15	Pennsylvania, Western	5	Bradford
03	15	Pennsylvania, Western	6	Altoona
03	15	Pennsylvania, Western	7	Johnston
03	15	Pennsylvania, Western	8	Somerset
03	15	Pennsylvania, Western	9	Uniontown
03	15	Pennsylvania, Western	A	Greensburg
03	15	Pennsylvania, Western	B	Meadville
03	15	Pennsylvania, Western	C	Mercer
03	15	Pennsylvania, Western	D	Clarion
03	15	Pennsylvania, Western	E	Franklin
03	15	Pennsylvania, Western	F	Warren
03	15	Pennsylvania, Western	G	Smethport
03	15	Pennsylvania, Western	H	Ridgeway
03	15	Pennsylvania, Western	Z	Missing
03	91	Virgin Islands	1	Christiansted
03	91	Virgin Islands	2	Frederiksted
03	91	Virgin Islands	3	Charlotte Amalie
03	91	Virgin Islands	4	St. Croix
03	91	Virgin Islands	5	St. Thomas
03	91	Virgin Islands	Z	Missing
04	16	Maryland	0	Hyattsville
04	16	Maryland	1	Baltimore
04	16	Maryland	2	Cumberland
04	16	Maryland	3	Denton
04	16	Maryland	4	Salisbury
04	16	Maryland	5	Hagerstown
04	16	Maryland	6	Bethesda
04	16	Maryland	7	Upper Marlboro
04	16	Maryland	8	Greenbelt
04	16	Maryland	9	District Heights
04	16	Maryland	A	Kensington
04	16	Maryland	B	Rockville
04	16	Maryland	C	Silver Springs

04	16	Maryland	Z	Missing
04	17	North Carolina, Eastern	0	Rocky Mount
04	17	North Carolina, Eastern	1	Clinton
04	17	North Carolina, Eastern	2	Elizabeth City
04	17	North Carolina, Eastern	3	Fayetteville
04	17	North Carolina, Eastern	4	New Bern
04	17	North Carolina, Eastern	5	Raleigh
04	17	North Carolina, Eastern	6	Washington
04	17	North Carolina, Eastern	7	Wilmington
04	17	North Carolina, Eastern	8	Wilson
04	17	North Carolina, Eastern	9	Kinston
04	17	North Carolina, Eastern	A	Dunn
04	17	North Carolina, Eastern	B	Goldsboro
04	17	North Carolina, Eastern	C	Jacksonville
04	17	North Carolina, Eastern	E	Trenton
04	17	North Carolina, Eastern	F	Williamston
04	17	North Carolina, Eastern	Z	Missing
04	18	North Carolina, Middle	0	Concord
04	18	North Carolina, Middle	1	Durham
04	18	North Carolina, Middle	2	Greensboro
04	18	North Carolina, Middle	3	Rockingham
04	18	North Carolina, Middle	4	Salisbury
04	18	North Carolina, Middle	6	Winston-Salem
04	18	North Carolina, Middle	7	Raeford
04	18	North Carolina, Middle	8	Asheboro
04	18	North Carolina, Middle	9	Sanford
04	18	North Carolina, Middle	A	Albemarle
04	18	North Carolina, Middle	B	Biscoe
04	18	North Carolina, Middle	C	Burlington
04	18	North Carolina, Middle	D	Yadkinville
04	18	North Carolina, Middle	E	Welcome
04	18	North Carolina, Middle	F	Mayodan
04	18	North Carolina, Middle	G	Laurinburg
04	18	North Carolina, Middle	Z	Missing
04	19	North Carolina, Western	0	Hendersonville
04	19	North Carolina, Western	1	Asheville
04	19	North Carolina, Western	2	Bryson City
04	19	North Carolina, Western	3	Charlotte
04	19	North Carolina, Western	4	Shelby
04	19	North Carolina, Western	5	Statesville
04	19	North Carolina, Western	6	Rutherfordton
04	19	North Carolina, Western	7	Newton
04	19	North Carolina, Western	8	Gastonia
04	19	North Carolina, Western	9	Brevard
04	19	North Carolina, Western	A	Lenoir
04	19	North Carolina, Western	B	Lincolnton
04	19	North Carolina, Western	C	Morganton
04	19	North Carolina, Western	D	Marion
04	19	North Carolina, Western	E	Great Smoky Natl. Park
04	19	North Carolina, Western	F	Wadesboro
04	19	North Carolina, Western	G	Wilkesboro

04	19	North Carolina, Western H		Hickory
04	19	North Carolina, Western Z		Missing
04	20	South Carolina	0	Rock Hill
04	20	South Carolina	1	Aiken
04	20	South Carolina	2	Charleston
04	20	South Carolina	3	Columbia
04	20	South Carolina	4	Florence
04	20	South Carolina	5	Orangeburg
04	20	South Carolina	6	Greenville
04	20	South Carolina	7	Spartanburg
04	20	South Carolina	8	Anderson
04	20	South Carolina	9	Greenwood
04	20	South Carolina	A	Darlington
04	20	South Carolina	B	Sumter
04	20	South Carolina	C	Bennettsville
04	20	South Carolina	D	Beaufort
04	20	South Carolina	E	Walhalla
04	20	South Carolina	F	Abbeville
04	20	South Carolina	G	Easley
04	20	South Carolina	H	Conway
04	20	South Carolina	Z	Missing
04	22	Virginia, Eastern	0	Fredericksburg
04	22	Virginia, Eastern	1	Alexandria
04	22	Virginia, Eastern	2	Norfolk
04	22	Virginia, Eastern	3	Richmond
04	22	Virginia, Eastern	4	Newport News
04	22	Virginia, Eastern	5	South Hill
04	22	Virginia, Eastern	6	Petersburg
04	22	Virginia, Eastern	7	Exmore
04	22	Virginia, Eastern	8	Hampton
04	22	Virginia, Eastern	9	Williamsburg
04	22	Virginia, Eastern	A	Arlington
04	22	Virginia, Eastern	B	Fairfax
04	22	Virginia, Eastern	C	Mechanicsville
04	22	Virginia, Eastern	D	Falls Church
04	22	Virginia, Eastern	Z	Missing
04	23	Virginia, Western	0	Staunton
04	23	Virginia, Western	1	Abingdon
04	23	Virginia, Western	2	Big Stone Gap
04	23	Virginia, Western	3	Charlottesville
04	23	Virginia, Western	4	Danville
04	23	Virginia, Western	5	Harrisonburg
04	23	Virginia, Western	6	Lynchburg
04	23	Virginia, Western	7	Roanoke
04	23	Virginia, Western	8	Wytheville
04	23	Virginia, Western	9	Rocky Mount
04	23	Virginia, Western	A	Martinsville
04	23	Virginia, Western	B	Wise
04	23	Virginia, Western	C	Tazewell
04	23	Virginia, Western	D	Christiansburg
04	23	Virginia, Western	E	Galax
04	23	Virginia, Western	F	Winchester

04	23	Virginia, Western	G	Bristol
04	23	Virginia, Western	H	Shenandoah
04	23	Virginia, Western	I	Cumberland
04	23	Virginia, Western	J	Big Island
04	23	Virginia, Western	K	Chester
04	23	Virginia, Western	L	Lexington
04	23	Virginia, Western	M	Woodstock
04	23	Virginia, Western	Z	Missing
04	24	West Virginia, Northern	0	Morgantown
04	24	West Virginia, Northern	1	Clarksburg
04	24	West Virginia, Northern	2	Elkins
04	24	West Virginia, Northern	3	Martinsburg
04	24	West Virginia, Northern	5	Wheeling
04	24	West Virginia, Northern	6	Fairmont
04	24	West Virginia, Northern	7	Charleston
04	24	West Virginia, Northern	8	Walton
04	24	West Virginia, Northern	9	Keyser
04	24	West Virginia, Northern	Z	Missing
04	25	West Virginia, Southern	1	Bluefield
04	25	West Virginia, Southern	2	Charleston
04	25	West Virginia, Southern	3	Huntington
04	25	West Virginia, Southern	4	Lewisburg
04	25	West Virginia, Southern	5	Beckley
04	25	West Virginia, Southern	6	Hinton
04	25	West Virginia, Southern	7	Welch
04	25	West Virginia, Southern	8	Logan
04	25	West Virginia, Southern	9	Fayetteville
04	25	West Virginia, Southern	A	Parkersburg
04	25	West Virginia, Southern	Z	Missing
05	36	Louisiana, Western	1	Alexandria
05	36	Louisiana, Western	2	Lake Charles
05	36	Louisiana, Western	3	Monroe
05	36	Louisiana, Western	4	Opelousas
05	36	Louisiana, Western	5	Shreveport
05	36	Louisiana, Western	6	Lafayette
05	36	Louisiana, Western	7	Leesville
05	36	Louisiana, Western	8	Abbeville
05	36	Louisiana, Western	Z	Missing
05	37	Mississippi, Northern	1	Aberdeen
05	37	Mississippi, Northern	2	Clarksdale
05	37	Mississippi, Northern	3	Oxford
05	37	Mississippi, Northern	4	Greenville
05	37	Mississippi, Northern	5	Ackerman
05	37	Mississippi, Northern	6	Kosciusko
05	37	Mississippi, Northern	7	Houston
05	37	Mississippi, Northern	8	Tupelo
05	37	Mississippi, Northern	9	Corinth
05	37	Mississippi, Northern	Z	Missing
05	38	Mississippi, Southern	1	Biloxi
05	38	Mississippi, Southern	2	Hattiesburg



05	38	Mississippi, Southern	3	Jackson
05	38	Mississippi, Southern	4	Meridian
05	38	Mississippi, Southern	5	Vicksburg
05	38	Mississippi, Southern	6	Gulfport
05	38	Mississippi, Southern	7	Natchez
05	38	Mississippi, Southern	Z	Missing
05	39	Texas, Northern	0	Garland
05	39	Texas, Northern	1	Abilene
05	39	Texas, Northern	2	Amarillo
05	39	Texas, Northern	3	Dallas
05	39	Texas, Northern	4	Fort Worth
05	39	Texas, Northern	5	Lubbock
05	39	Texas, Northern	6	San Angelo
05	39	Texas, Northern	7	Wichita Falls
05	39	Texas, Northern	8	Arlington
05	39	Texas, Northern	9	Mineral Wells
05	39	Texas, Northern	A	Southern Forth Worth
05	39	Texas, Northern	Z	Missing
05	35	Louisiana, Eastern	2	NewOrleans
05	35	Louisiana, Eastern	3	Houma
05	35	Louisiana, Eastern	Z	Missing
05	96	Louisiana, Middle	3	Baton Rouge
05	96	Louisiana, Middle	Z	Missing
05	40	Texas, Eastern	0	Plano
05	40	Texas, Eastern	1	Beaumont
05	40	Texas, Eastern	2	Marshall
05	40	Texas, Eastern	3	Paris
05	40	Texas, Eastern	4	Sherman
05	40	Texas, Eastern	5	Texarkana
05	40	Texas, Eastern	6	Tyler
05	40	Texas, Eastern	7	Jefferson
05	40	Texas, Eastern	8	Denison
05	40	Texas, Eastern	9	Lufkin
05	40	Texas, Eastern	Z	Missing
05	41	Texas, Southern	1	Brownsville
05	41	Texas, Southern	2	Corpus Christi
05	41	Texas, Southern	3	Galveston
05	41	Texas, Southern	4	Houston
05	41	Texas, Southern	5	Laredo
05	41	Texas, Southern	6	Victoria
05	41	Texas, Southern	7	Edinburg
05	41	Texas, Southern	8	McAllen
05	41	Texas, Southern	9	Rio Grande City
05	41	Texas, Southern	Z	Missing
05	42	Texas, Western	0	Big Bend Natl. Park
05	42	Texas, Western	1	Austin
05	42	Texas, Western	2	Del Rio

05	42	Texas, Western	3	El Paso
05	42	Texas, Western	4	Pecos
05	42	Texas, Western	5	San Antonio
05	42	Texas, Western	6	Waco
05	42	Texas, Western	7	Midland-Odessa
05	42	Texas, Western	8	Marfa
05	42	Texas, Western	9	Killeen
05	42	Texas, Western	A	Kerrville
05	42	Texas, Western	B	Eagle Pass
05	42	Texas, Western	Z	Missing
06	43	Kentucky, Eastern	0	Ashland
06	43	Kentucky, Eastern	1	Catlettsburg
06	43	Kentucky, Eastern	2	Covington
06	43	Kentucky, Eastern	3	Frankfort
06	43	Kentucky, Eastern	4	Jackson
06	43	Kentucky, Eastern	5	Lexington
06	43	Kentucky, Eastern	6	London
06	43	Kentucky, Eastern	7	Pikeville
06	43	Kentucky, Eastern	8	Richmond
06	43	Kentucky, Eastern	9	Harlan
06	43	Kentucky, Eastern	A	Pineville
06	43	Kentucky, Eastern	B	Winchester
06	43	Kentucky, Eastern	C	Danville
06	43	Kentucky, Eastern	Z	Missing
06	44	Kentucky, Western	1	Bowling Green
06	44	Kentucky, Western	2	Cynthiana
06	44	Kentucky, Western	3	Louisville
06	44	Kentucky, Western	4	Owensboro
06	44	Kentucky, Western	5	Paducah
06	44	Kentucky, Western	6	Greensburg
06	44	Kentucky, Western	7	Lebanon
06	44	Kentucky, Western	8	Mammoth Cave
06	44	Kentucky, Western	9	Glasgow
06	44	Kentucky, Western	A	Nortonville
06	44	Kentucky, Western	B	Hopkinsville
06	44	Kentucky, Western	Z	Missing
06	45	Michigan, Eastern	1	BayCity
06	45	Michigan, Eastern	2	Detroit
06	45	Michigan, Eastern	3	Port Huron
06	45	Michigan, Eastern	4	Flint
06	45	Michigan, Eastern	5	Ann Arbor
06	45	Michigan, Eastern	6	Saginaw
06	45	Michigan, Eastern	Z	Missing
06	46	Michigan, Western	0	Traverse City
06	46	Michigan, Western	1	Grand Rapids
06	46	Michigan, Western	2	Marquette
06	46	Michigan, Western	3	Sault Ste. Marie
06	46	Michigan, Western	4	Kalamazoo
06	46	Michigan, Western	5	Lansing
06	46	Michigan, Western	6	Muskegon
06	46	Michigan, Western	7	Hart

06	46	Michigan, Western	8	Whitehall
06	46	Michigan, Western	9	Montague
06	46	Michigan, Western	A	Benton Harbor
06	46	Michigan, Western	B	Escanaba
06	46	Michigan, Western	C	Ironwood
06	46	Michigan, Western	D	Mason
06	46	Michigan, Western	E	Ontonagon
06	46	Michigan, Western	F	Vicksburg
06	46	Michigan, Western	G	Leland
06	46	Michigan, Western	H	Charlevoix
06	46	Michigan, Western	Z	Missing
06	47	Ohio, Northern	1	Cleveland
06	47	Ohio, Northern	2	Lima
06	47	Ohio, Northern	3	Toledo
06	47	Ohio, Northern	4	Youngstown
06	47	Ohio, Northern	5	Akron
06	47	Ohio, Northern	6	Canton
06	47	Ohio, Northern	7	Delphos
06	47	Ohio, Northern	Z	Missing
06	48	Ohio, Southern	1	Cincinnati
06	48	Ohio, Southern	2	Columbus
06	48	Ohio, Southern	3	Dayton
06	48	Ohio, Southern	4	Steubenville
06	48	Ohio, Southern	5	Springfield
06	48	Ohio, Southern	6	Zanesville
06	48	Ohio, Southern	7	Portsmouth
06	48	Ohio, Southern	8	Chillicothe
06	48	Ohio, Southern	Z	Missing
06	49	Tennessee, Eastern	1	Chattanooga
06	49	Tennessee, Eastern	2	Greenville
06	49	Tennessee, Eastern	3	Knoxville
06	49	Tennessee, Eastern	4	Winchester
06	49	Tennessee, Eastern	5	Johnson City
06	49	Tennessee, Eastern	6	Newport
06	49	Tennessee, Eastern	7	Shelbyville
06	49	Tennessee, Eastern	8	Sweetwater
06	49	Tennessee, Eastern	9	Sevierville
06	49	Tennessee, Eastern	Z	Missing
06	50	Tennessee, Middle	1	Columbia
06	50	Tennessee, Middle	2	Cookeville
06	50	Tennessee, Middle	3	Nashville
06	50	Tennessee, Middle	4	Clarksville
06	50	Tennessee, Middle	5	McEwen
06	50	Tennessee, Middle	6	Lawrenceburg
06	50	Tennessee, Middle	Z	Missing
06	51	Tennessee, Western	1	Jackson
06	51	Tennessee, Western	2	Memphis
06	51	Tennessee, Western	3	Dyersburg
06	51	Tennessee, Western	4	Selmer
06	51	Tennessee, Western	5	Savannah

06	51	Tennessee, Western	Z	Missing
07	52	Illinois, Northern	1	Chicago
07	52	Illinois, Northern	2	Freeport
07	52	Illinois, Northern	3	Rockford
07	52	Illinois, Northern	4	Rochelle
07	52	Illinois, Northern	5	Ottawa
07	52	Illinois, Northern	6	Dixon
07	52	Illinois, Northern	7	Joliet
07	52	Illinois, Northern	8	Glen Ellyn
07	52	Illinois, Northern	9	Waukegan
07	52	Illinois, Northern	Z	Missing
07	53	Illinois, Central	1	Peoria
07	53	Illinois, Central	2	Danville
07	53	Illinois, Central	3	Springfield
07	53	Illinois, Central	4	Rock Island
07	53	Illinois, Central	5	Quincy
07	53	Illinois, Central	C	Champaign/Urbana
07	53	Illinois, Central	Z	Missing
07	54	Illinois, Southern	0	Chester
07	54	Illinois, Southern	1	Cairo
07	54	Illinois, Southern	3	East St. Louis
07	54	Illinois, Southern	4	Benton
07	54	Illinois, Southern	5	Alton
07	54	Illinois, Southern	6	Carbondale
07	54	Illinois, Southern	7	Harrisburg
07	54	Illinois, Southern	8	Mt. Carmel
07	54	Illinois, Southern	9	Lawrenceville
07	54	Illinois, Southern	A	Effingham
07	54	Illinois, Southern	B	Belleville
07	54	Illinois, Southern	C	Marion
07	54	Illinois, Southern	Z	Missing
07	55	Indiana, Northern	1	Fort Wayne
07	55	Indiana, Northern	2	Hammond
07	55	Indiana, Northern	3	South Bend
07	55	Indiana, Northern	4	Lafayette
07	55	Indiana, Northern	5	Peru
07	55	Indiana, Northern	6	Gary
07	55	Indiana, Northern	Z	Missing
07	56	Indiana, Southern	1	Indianapolis
07	56	Indiana, Southern	2	Terre Haute
07	56	Indiana, Southern	3	Evansville
07	56	Indiana, Southern	4	New Albany
07	56	Indiana, Southern	5	Richmond
07	56	Indiana, Southern	6	Linton
07	56	Indiana, Southern	7	Muncie
07	56	Indiana, Southern	Z	Missing
07	57	Wisconsin, Eastern	0	Fond Du Lac
07	57	Wisconsin, Eastern	1	Green Bay
07	57	Wisconsin, Eastern	2	Milwaukee

07	57	Wisconsin, Eastern	3	Oshkosh
07	57	Wisconsin, Eastern	4	Elkhorn
07	57	Wisconsin, Eastern	5	Appleton
07	57	Wisconsin, Eastern	6	Racine
07	57	Wisconsin, Eastern	7	Antigo
07	57	Wisconsin, Eastern	8	Kenosha
07	57	Wisconsin, Eastern	9	Sheboygan
07	57	Wisconsin, Eastern	Z	Missing
07	58	Wisconsin, Western	1	Eau Claire
07	58	Wisconsin, Western	2	LaCrosse
07	58	Wisconsin, Western	3	Madison
07	58	Wisconsin, Western	4	Superior
07	58	Wisconsin, Western	5	Wausau
07	58	Wisconsin, Western	6	Ashland
07	58	Wisconsin, Western	7	Sparta
07	58	Wisconsin, Western	8	Mosinee
07	58	Wisconsin, Western	9	Tomah
07	58	Wisconsin, Western	Z	Missing
08	60	Arkansas, Eastern	1	Batesville
08	60	Arkansas, Eastern	2	Helena
08	60	Arkansas, Eastern	3	Jonesboro
08	60	Arkansas, Eastern	4	Little Rock
08	60	Arkansas, Eastern	5	Pine Bluff
08	60	Arkansas, Eastern	6	Forrest City
08	60	Arkansas, Eastern	7	West Memphis
08	60	Arkansas, Eastern	Z	Missing
08	61	Arkansas, Western	1	El Dorado
08	61	Arkansas, Western	2	Fort Smith
08	61	Arkansas, Western	3	Harrison
08	61	Arkansas, Western	4	Texarkana
08	61	Arkansas, Western	5	Fayetteville
08	61	Arkansas, Western	6	Hot Springs
08	61	Arkansas, Western	7	Camden
08	61	Arkansas, Western	Z	Missing
08	62	Iowa, Northern	1	Cedar Rapids
08	62	Iowa, Northern	2	Dubuque
08	62	Iowa, Northern	3	Fort Dodge
08	62	Iowa, Northern	4	Mason City
08	62	Iowa, Northern	5	Sioux City
08	62	Iowa, Northern	6	Waterloo
08	62	Iowa, Northern	Z	Missing
08	63	Iowa, Southern	1	Council Bluffs
08	63	Iowa, Southern	2	Creston
08	63	Iowa, Southern	3	Davenport
08	63	Iowa, Southern	4	Des Moines
08	63	Iowa, Southern	5	Keokuk
08	63	Iowa, Southern	6	Ottumwa
08	63	Iowa, Southern	7	Burlington
08	63	Iowa, Southern	8	Iowa City
08	63	Iowa, Southern	Z	Missing

08	64	Minnesota	0	Humboldt
08	64	Minnesota	1	Winona
08	64	Minnesota	2	Mankato
08	64	Minnesota	3	St. Paul
08	64	Minnesota	4	Minneapolis
08	64	Minnesota	5	Duluth
08	64	Minnesota	6	Fergus Falls
08	64	Minnesota	7	Rochester
08	64	Minnesota	8	St. Cloud
08	64	Minnesota	9	International Falls
08	64	Minnesota	A	Crookston
08	64	Minnesota	B	Marshall
08	64	Minnesota	C	Bemidji
08	64	Minnesota	D	Moorhead
08	64	Minnesota	Z	Missing
08	65	Missouri, Eastern	1	Cape Girardeau
08	65	Missouri, Eastern	2	Hannibal
08	65	Missouri, Eastern	3	Rolla
08	65	Missouri, Eastern	4	St. Louis
08	65	Missouri, Eastern	5	Mexico
08	65	Missouri, Eastern	6	Jackson
08	65	Missouri, Eastern	7	Moberly
08	65	Missouri, Eastern	8	Van Buren
08	65	Missouri, Eastern	Z	Missing
08	66	Missouri, Western	1	Chillicothe
08	66	Missouri, Western	2	Jefferson City
08	66	Missouri, Western	3	Joplin
08	66	Missouri, Western	4	Kansas City
08	66	Missouri, Western	5	St. Joseph
08	66	Missouri, Western	6	Springfield
08	66	Missouri, Western	7	Lebanon
08	66	Missouri, Western	8	Ozark
08	66	Missouri, Western	Z	Missing
08	67	Nebraska	0	Sidney
08	67	Nebraska	1	Chandron
08	67	Nebraska	2	Grand Island
08	67	Nebraska	3	Hastings
08	67	Nebraska	4	Lincoln
08	67	Nebraska	5	McCook
08	67	Nebraska	6	Norfolk
08	67	Nebraska	7	North Platte
08	67	Nebraska	8	Omaha
08	67	Nebraska	9	Valentine
08	67	Nebraska	A	Falls City
08	67	Nebraska	B	O'Neill
08	67	Nebraska	C	Pender
08	67	Nebraska	D	Cozad
08	67	Nebraska	E	Gering
08	67	Nebraska	Z	Missing
08	68	North Dakota	0	Williston

08	68	North Dakota	1	Bismarck
08	68	North Dakota	2	Grand Forks
08	68	North Dakota	3	Fargo
08	68	North Dakota	4	Minot
08	68	North Dakota	5	Jamestown
08	68	North Dakota	6	Devils Lake
08	68	North Dakota	7	Dickinson
08	68	North Dakota	8	Pembina
08	68	North Dakota	9	Fort Yates
08	68	North Dakota	A	Belcourt
08	68	North Dakota	B	Bottineau
08	68	North Dakota	C	Rugby
08	68	North Dakota	D	Minnewaukan
08	68	North Dakota	Z	Missing
08	69	South Dakota	1	Aberdeen
08	69	South Dakota	2	Deadwood
08	69	South Dakota	3	Pierre
08	69	South Dakota	4	Sioux Falls
08	69	South Dakota	5	Rapid City
08	69	South Dakota	6	Mobridge
08	69	South Dakota	7	Winner
08	69	South Dakota	8	Chamberlain
08	69	South Dakota	Z	Missing
09	70	Arizona	0	Yuma
09	70	Arizona	1	Globe
09	70	Arizona	2	Phoenix
09	70	Arizona	3	Prescott
09	70	Arizona	4	Tucson
09	70	Arizona	5	Florence
09	70	Arizona	6	Flagstaff
09	70	Arizona	7	Holbrook
09	70	Arizona	8	Kingman
09	70	Arizona	9	Nogales
09	70	Arizona	A	Grand Canyon
09	70	Arizona	B	Douglas
09	70	Arizona	C	Tuba City
09	70	Arizona	D	Safford
09	70	Arizona	E	Pinetop
09	70	Arizona	F	Sierra Vista
09	70	Arizona	G	Mesa
09	70	Arizona	H	Northside
09	70	Arizona	I	Glendale
09	70	Arizona	J	Southside
09	70	Arizona	Z	Missing
09	71	California, Northern	0	San Mateo
09	71	California, Northern	1	Eureka
09	71	California, Northern	2	Fremont
09	71	California, Northern	3	San Francisco
09	71	California, Northern	4	Oakland
09	71	California, Northern	5	San Jose
09	71	California, Northern	6	Palo Alto
09	71	California, Northern	7	Monterey

09	71	California, Northern	8	Salinas
09	71	California, Northern	9	Santa Rosa
09	71	California, Northern	A	Santa Cruz
09	71	California, Northern	Z	Missing
09	72	California, Eastern	0	Sequoia Natl. Park
09	72	California, Eastern	1	Fresno
09	72	California, Eastern	2	Sacramento
09	72	California, Eastern	3	Redding
09	72	California, Eastern	4	Alturas
09	72	California, Eastern	5	Bakersfield
09	72	California, Eastern	6	Lassen National Park
09	72	California, Eastern	7	Lone Pine
09	72	California, Eastern	8	Merced
09	72	California, Eastern	9	Modesto
09	72	California, Eastern	A	Stockton
09	72	California, Eastern	B	Susanville
09	72	California, Eastern	C	Yosemite Natl. Park
09	72	California, Eastern	D	Yreka City
09	72	California, Eastern	E	Bishop
09	72	California, Eastern	F	Ceres
09	72	California, Eastern	G	South Lake Tahoe
09	72	California, Eastern	H	Three Rivers
09	72	California, Eastern	J	Visalia
09	72	California, Eastern	K	Auburn
09	72	California, Eastern	Z	Missing
09	73	California, Central	0	Twenty Nine Palms
09	73	California, Central	1	Barstow
09	73	California, Central	2	Los Angeles
09	73	California, Central	3	Lancaster
09	73	California, Central	4	Oxnard
09	73	California, Central	5	Riverside
09	73	California, Central	6	San Bernardino
09	73	California, Central	7	San Luis Obispo
09	73	California, Central	8	Santa Ana
09	73	California, Central	9	Santa Barbara
09	73	California, Central	A	Long Beach
09	73	California, Central	B	Santa Fe Springs
09	73	California, Central	C	Ventura
09	73	California, Central	D	Panorama/Granada Hills
09	73	California, Central	E	West Covina
09	73	California, Central	F	South Bay/Inglewood
09	73	California, Central	G	Laguna
09	73	California, Central	H	Pasadena
09	73	California, Central	Z	Missing
09	74	California, Southern	1	Mineral
09	74	California, Southern	2	El Centro
09	74	California, Southern	3	San Diego
09	74	California, Southern	Z	Missing
09	75	Hawaii	1	Honolulu
09	75	Hawaii	4	Hilo



09	75	Hawaii	6	Wailuku
09	75	Hawaii	7	Eleele
09	75	Hawaii	8	Lihue
09	75	Hawaii	9	Johnston Island
09	75	Hawaii	Z	Missing
09	76	Idaho	1	Boise
09	76	Idaho	2	Coeurd'Alene
09	76	Idaho	3	Moscow
09	76	Idaho	4	Pocatello
09	76	Idaho	5	Idaho Falls
09	76	Idaho	6	Mountain Home
09	76	Idaho	7	Lewiston
09	76	Idaho	8	Twin Falls
09	76	Idaho	9	Caldwell
09	76	Idaho	Z	Missing
09	77	Montana	0	Lewistown
09	77	Montana	1	Billings
09	77	Montana	2	Butte
09	77	Montana	3	Glasgow
09	77	Montana	4	Great Falls
09	77	Montana	5	Havre
09	77	Montana	6	Helena
09	77	Montana	7	Kalispell
09	77	Montana	8	Livingston
09	77	Montana	9	Missoula
09	77	Montana	A	Miles City
09	77	Montana	B	Browning
09	77	Montana	C	Wolf Point
09	77	Montana	D	Libby
09	77	Montana	E	Hardin
09	77	Montana	F	Hamilton
09	77	Montana	G	Cut Bank
09	77	Montana	H	Polson
09	77	Montana	J	Augusta
09	77	Montana	K	Bozeman
09	77	Montana	L	Glacier Natl. Park
09	77	Montana	Z	Missing
09	78	Nevada	1	Carson City
09	78	Nevada	2	Las Vegas
09	78	Nevada	3	Reno
09	78	Nevada	4	Elko
09	78	Nevada	5	Fallon
09	78	Nevada	6	Boulder City
09	78	Nevada	7	Ely
09	78	Nevada	Z	Missing
09	79	Oregon	0	Salem
09	79	Oregon	1	Medford
09	79	Oregon	2	Pendleton
09	79	Oregon	3	Portland
09	79	Oregon	4	Burns
09	79	Oregon	5	Klamath Falls

09	79	Oregon	6	Eugene
09	79	Oregon	7	Corvallis
09	79	Oregon	8	Crater Lake
09	79	Oregon	9	Vale
09	79	Oregon	A	Astoria
09	79	Oregon	B	Hood River
09	79	Oregon	C	Coquille
09	79	Oregon	D	North Bend
09	79	Oregon	E	Bend
09	79	Oregon	F	Baker
09	79	Oregon	Z	Missing
09	95	Alaska	1	Juneau
09	95	Alaska	2	Nome
09	95	Alaska	3	Anchorage
09	95	Alaska	4	Fairbanks
09	95	Alaska	5	Ketchikan
09	95	Alaska	6	Kodiak
09	95	Alaska	Z	Missing
09	80	Washington, Eastern	0	Ephrata
09	80	Washington, Eastern	1	Yakima
09	80	Washington, Eastern	2	Spokane
09	80	Washington, Eastern	3	Walla Walla
09	80	Washington, Eastern	4	Richland
09	80	Washington, Eastern	5	Kennewick
09	80	Washington, Eastern	6	Soap Lake
09	80	Washington, Eastern	7	Colville
09	80	Washington, Eastern	8	Wenatchee
09	80	Washington, Eastern	9	Pasco
09	80	Washington, Eastern	A	Moses Lake
09	80	Washington, Eastern	Z	Missing
09	81	Washington, Western	0	Mt. Rainer Natl. Park
09	81	Washington, Western	1	Bellingham
09	81	Washington, Western	2	Seattle
09	81	Washington, Western	3	Tacoma
09	81	Washington, Western	4	Port Townsend
09	81	Washington, Western	5	Vancouver
09	81	Washington, Western	6	Aberdeen
09	81	Washington, Western	7	Port Angeles
09	81	Washington, Western	8	Everett
09	81	Washington, Western	9	Olympic Natl. Park
09	81	Washington, Western	Z	Missing
09	93	Guam	1	Agana
09	93	Guam	Z	Missing
09	94	North Mariana Islands	1	Saipan
09	94	North Mariana Islands	Z	Missing
10	82	Colorado	0	Mesa Verde Natl. Park
10	82	Colorado	1	Denver

10	82	Colorado	2	Durango
10	82	Colorado	3	Grand Junction
10	82	Colorado	4	Montrose
10	82	Colorado	5	Pueblo
10	82	Colorado	6	Sterling
10	82	Colorado	7	Lamar
10	82	Colorado	8	Colorado Springs
10	82	Colorado	9	Rocky Mt. Natl. Park
10	82	Colorado	A	Estes Park
10	82	Colorado	B	Monte Vista
10	82	Colorado	C	Cortez
10	82	Colorado	D	Boulder
10	82	Colorado	E	Fort Collins
10	82	Colorado	F	Steamboat Springs
10	82	Colorado	G	S.E. Denver (Aurora)
10	82	Colorado	Z	Missing
10	83	Kansas	0	Garden City
10	83	Kansas	1	FortScott
10	83	Kansas	2	Kansas City
10	83	Kansas	3	Leavenworth
10	83	Kansas	4	Salina
10	83	Kansas	5	Topeka
10	83	Kansas	6	Wichita
10	83	Kansas	7	Hutchinson
10	83	Kansas	8	Dodge City
10	83	Kansas	9	Lawrence
10	83	Kansas	A	Hays
10	83	Kansas	B	Junction City
10	83	Kansas	C	Colby
10	83	Kansas	D	Parsons
10	83	Kansas	E	Chanute
10	83	Kansas	Z	Missing
10	84	New Mexico	0	Alamogordo
10	84	New Mexico	1	Albuquerque
10	84	New Mexico	2	Las Cruces
10	84	New Mexico	3	Las Vegas
10	84	New Mexico	4	Raton
10	84	New Mexico	5	Roswell
10	84	New Mexico	6	Santa Fe
10	84	New Mexico	7	Silver City
10	84	New Mexico	8	Gallup
10	84	New Mexico	9	Hobbs
10	84	New Mexico	A	Clovis
10	84	New Mexico	B	Deming
10	84	New Mexico	C	Carlsbad
10	84	New Mexico	D	Tucumcari
10	84	New Mexico	E	Clayton
10	84	New Mexico	F	Farmington
10	84	New Mexico	Z	Missing
10	85	Oklahoma, Northern	1	Bartlesville
10	85	Oklahoma, Northern	2	Miami
10	85	Oklahoma, Northern	3	Pawhuska

10	85	Oklahoma, Northern	4	Tulsa
10	85	Oklahoma, Northern	5	Vinita
10	85	Oklahoma, Northern	Z	Missing
10	86	Oklahoma, Eastern	0	South McAlester
10	86	Oklahoma, Eastern	1	Ada
10	86	Oklahoma, Eastern	2	Ardmore
10	86	Oklahoma, Eastern	4	Durant
10	86	Oklahoma, Eastern	5	Hugo
10	86	Oklahoma, Eastern	6	Muskogee
10	86	Oklahoma, Eastern	7	Okmulgee
10	86	Oklahoma, Eastern	9	Poteau
10	86	Oklahoma, Eastern	A	Sulphur
10	86	Oklahoma, Eastern	Z	Missing
10	87	Oklahoma, Western	0	Chickasha
10	87	Oklahoma, Western	1	Enid
10	87	Oklahoma, Western	2	Guthrie
10	87	Oklahoma, Western	3	Lawton
10	87	Oklahoma, Western	4	Mangum
10	87	Oklahoma, Western	5	Oklahoma City
10	87	Oklahoma, Western	6	Ponca City
10	87	Oklahoma, Western	7	Woodward
10	87	Oklahoma, Western	8	Shawnee
10	87	Oklahoma, Western	9	Pauls Valley
10	87	Oklahoma, Western	A	Clinton
10	87	Oklahoma, Western	B	Altus
10	87	Oklahoma, Western	Z	Missing
10	88	Utah	1	Ogden
10	88	Utah	2	Salt Lake City
10	88	Utah	Z	Missing
10	89	Wyoming	0	Jackson
10	89	Wyoming	1	Casper
10	89	Wyoming	2	Cheyenne
10	89	Wyoming	3	Evanston
10	89	Wyoming	4	Lander
10	89	Wyoming	5	Sheridan
10	89	Wyoming	6	Rawlins
10	89	Wyoming	7	Pinedale
10	89	Wyoming	8	Greybull
10	89	Wyoming	9	Douglas
10	89	Wyoming	A	Buffalo
10	89	Wyoming	B	Wheatland
10	89	Wyoming	C	Worland
10	89	Wyoming	D	Torrington
10	89	Wyoming	E	Green River
10	89	Wyoming	F	Laramie
10	89	Wyoming	G	Gillette
10	89	Wyoming	H	Sundance
10	89	Wyoming	I	Cody
10	89	Wyoming	J	Lusk
10	89	Wyoming	K	Thermopolis
10	89	Wyoming	L	Yellowstone Natl. Park

10	89	Wyoming	M	Basin
10	89	Wyoming	N	Kemmerer
10	89	Wyoming	P	Newcastle
10	89	Wyoming	Z	Missing
11	26	Alabama, Northern	0	Dora
11	26	Alabama, Northern	1	Anniston
11	26	Alabama, Northern	2	Birmingham
11	26	Alabama, Northern	3	Florence
11	26	Alabama, Northern	4	Gadsden
11	26	Alabama, Northern	5	Huntsville
11	26	Alabama, Northern	6	Jasper
11	26	Alabama, Northern	7	Tusaloose
11	26	Alabama, Northern	8	Decatur
11	26	Alabama, Northern	9	Haleyville
11	26	Alabama, Northern	A	Talladega
11	26	Alabama, Northern	Z	Missing
11	27	Alabama, Middle	1	Dothan
11	27	Alabama, Middle	2	Montgomery
11	27	Alabama, Middle	3	Opelika
11	27	Alabama, Middle	4	Prattville
11	27	Alabama, Middle	Z	Missing
11	28	Alabama, Southern	1	Mobile
11	28	Alabama, Southern	2	Selma
11	28	Alabama, Southern	3	Grove Hill
11	28	Alabama, Southern	Z	Missing
11	29	Florida, Northern	1	Gainesville
11	29	Florida, Northern	2	Marianna
11	29	Florida, Northern	3	Pensacola
11	29	Florida, Northern	4	Tallahassee
11	29	Florida, Northern	5	Panama City
11	29	Florida, Northern	6	Fort Walton Beach
11	29	Florida, Northern	Z	Missing
11	30	Florida, Middle	0	Daytona Beach
11	30	Florida, Middle	1	Fernandina
11	30	Florida, Middle	2	Fort Myers
11	30	Florida, Middle	3	Jacksonville
11	30	Florida, Middle	4	Live Oak
11	30	Florida, Middle	5	Ocala
11	30	Florida, Middle	6	Orlando
11	30	Florida, Middle	7	St. Petersburg
11	30	Florida, Middle	8	Tampa
11	30	Florida, Middle	9	Sarasota
11	30	Florida, Middle	A	Titusville
11	30	Florida, Middle	B	Cocoa
11	30	Florida, Middle	C	Lakeland
11	30	Florida, Middle	F	Naples
11	30	Florida, Middle	Z	Missing

11	31	Florida, Southern	0	Fort Lauderdale
11	31	Florida, Southern	1	Miami
11	31	Florida, Southern	2	Fort Pierce
11	31	Florida, Southern	3	Coral Gables
11	31	Florida, Southern	4	Key West
11	31	Florida, Southern	5	North Miami Beach
11	31	Florida, Southern	6	Homestead
11	31	Florida, Southern	9	West Palm Beach
11	31	Florida, Southern	A	Naples
11	31	Florida, Southern	Z	Missing
11	32	Georgia, Northern	1	Atlanta
11	32	Georgia, Northern	2	Gainesville
11	32	Georgia, Northern	3	Newnan
11	32	Georgia, Northern	4	Rome
11	32	Georgia, Northern	5	Clarkesville
11	32	Georgia, Northern	6	Jasper
11	32	Georgia, Northern	Z	Missing
11	33	Georgia, Middle	1	Albany
11	33	Georgia, Middle	2	Americus
11	33	Georgia, Middle	3	Athens
11	33	Georgia, Middle	4	Columbus
11	33	Georgia, Middle	5	Macon
11	33	Georgia, Middle	6	Thomasville
11	33	Georgia, Middle	7	Valdosta
11	33	Georgia, Middle	8	Vienna
11	33	Georgia, Middle	Z	Missing
11	34	Georgia, Southern	1	Augusta
11	34	Georgia, Southern	2	Brunswick
11	34	Georgia, Southern	3	Dublin
11	34	Georgia, Southern	4	Savannah
11	34	Georgia, Southern	5	Waycross
11	34	Georgia, Southern	7	Hinesville
11	34	Georgia, Southern	8	Statesboro
11	34	Georgia, Southern	Z	Missing

## ATTACHMENT 4

## Monitoring Offense Codes

## Data Collection Methodology

An offense code was derived for each of up to four counts of conviction in every case, based upon the statute(s) and elements of the conviction. The "primary" offense code for the case was determined to be the offense code applicable for the count with the highest statutory maximum. If two or more counts were found to have the "highest" statutory maximum, "primary" offense was selected according to the following diminishing priorities:

- violent offenses (including burglary of a residence)
- drug offenses
- firearm offenses
- property offenses
- moral offenses
- other offenses

In the relatively small number of cases involving different offense codes that were similar under this second criterion (e.g., two different property offenses with the same statutory maximum), the type that best represented the nature of the criminal behavior was chosen.

The body of Attachment 4 provides a full listing of all offense codes and descriptions, guideline references, and broad categorical grouping.

## ATTACHMENT 4

## OFFENSE CODES AND TYPES

Offense Code	Description	Guideline
11011	First Degree Murder	2A1.1
11012	Felony with Death Resulting	2A1.1
11021	Second Degree Murder	2A1.2
11031	Voluntary Manslaughter	2A1.3
11041	Involuntary Manslaughter	2A1.4
11051	Conspiracy to Murder (with death)	2A1.5
11052	Conspiracy with Attempt to Murder	2A1.5
11053	Conspiracy with Assault with Attempt to Murder	2A1.5
11054	Conspiracy to Murder	2A1.5
12011	Attempt to Commit Murder	2A2.1
12012	Assault with Intent to Murder	2A2.1
12021	Aggravated Assault	2A2.2
12031	Minor Assault	2A2.3
12041	Obstructing or Impeding Officers	2A2.4
13011	Criminal Sexual Abuse	2A3.1
13021	Sexual Abuse of a Minor	2A3.2
13031	Sexual Abuse of a Ward	2A3.3
13041	Abusive Sexual Contact	2A3.4
14011	Hostage-taking/Kidnapping	2A4.1
14021	Ransom-taking	2A4.2
15011	Aircraft piracy	2A5.1
15021	Interference with Flight Crew	2A5.2
15031	Other Offense Aboard Aircraft	2A5.3
16011	Threatening Communication	2A6.1
21011	Larceny/Theft of Property Not an Automobile	2B1.1
21012	Larceny/Theft of Mail/Post Office	2B1.1
21013	Embezzlement of Property	2B1.1
21014	Embezzlement of Mail/Post Office	2B1.1
21015	Other Theft of Property	2B1.1
21016	Other Theft of Mail/Post Office	2B1.1
21017	Bank Larceny	2B1.1
21018	Bank Embezzlement	2B1.1
21019	Auto Theft Including Parts	2B1.1



21021	Receipt/Possession of Stolen Property Not an Automobile	2B1.2
21022	Receipt/Possession of Stolen Property Automobile or Parts	2B1.2
21031	Destruction of Property	2B1.3
21032	Destruction of Mail	2B1.3
22011	Burglary of a Residence	2B2.1
22021	Bank Burglary	2B2.2
22022	Post Office Burglary	2B2.2
22023	Burglary of a DEA Premises (pharmacy)	2B2.2
22024	Burglary of Other Structure	2B2.2
22031	Trespass	2B2.3
23011	Bank Robbery	2B3.1
23012	Aggravated Bank Robbery	2B3.1
23013	Mail Robbery	2B3.1
23014	Other Robbery	2B3.1
23021	Extortion by Force or Threat	2B3.2
23031	Blackmail	2B3.3
24011	Bribery Bank Loan/Commercial	2B4.1
25011	Counterfeit Bearer Obligations	2B5.1
25021	Forgery/Counterfeit Non-Bearer Obligations	2B5.2
25031	Criminal Infringement of Copyright	2B5.3
25041	Criminal Infringement of Trademark	2B5.4
26011	Altering I.D. Numbers/Trafficking in Motor Vehicles	2B6.1
31011	Bribes Involving Officials	2C1.1
31021	Gratuity Involving Officials	2C1.2
31031	Conflict of Interest	2C1.3
31041	Unauthorized Payment	2C1.4
31051	Payment to Obtain Office	2C1.5
31061	Loan or Gratuity to Bank Examiner	2C1.6

41011	Drug Distribution/Manufacture	2D1.1
41012	Drug Importation/Exportation	2D1.1
41021	Drug Distribution to Persons under 21 years	2D1.2
41022	Drug Distribution Near a School	2D1.2
41023	Drug Distribution Employ Person under 21 years	2D1.2
41041	Drug Distribution/manufacture conspiracy	2D1.4
41051	Continuing Criminal Enterprise	2D1.5
41061	Communication Facility	2D1.6
41071	Drug Paraphernalia	2D1.7
41081	Establish/Rent Drug Establishment	2D1.8
41091	Dangerous Devices to Protect Drugs	2D1.9
41101	Endangering Life while Manufacturing Drugs	2D1.10
41111	Distribution or Possession of Listed Chemicals	2D1.11
41112	Importation/Exportation of Listed Chemicals	2D1.11
42011	Simple Possession of Drugs	2D2.1
42012	Distribution of Small Amount of Marijuana	2D2.1
42021	Forgery or Fraud for Drugs	2D2.2
42031	Operate Common Carrier under the Influence of Drugs	2D2.3
43011	Illegal Registration Number to Obtain Drugs	2D3.1
43021	Regulatory Offense Involving Controlled Substances	2D3.2
51011	Crime Relating to Racketeering	2E1.1
51021	Interstate Travel in Aid of Racketeering	2E1.2
51031	Violent Offenses in Aid of Racketeering	2E1.3
51041	First Degree Murder	2E1.4
51042	Attempt to Commit Murder	2E1.4
51043	Conspiracy to Commit Murder	2E1.4
51051	Violation of Hobbs Act Extortion	2E1.5
51052	Violation of Hobbs Act Robbery	2E1.5

53011	Gambling Offenses	2E3.1
54011	Unlawful Conduct Relating to Contraband Cigarettes	2E4.1
55011	Bribe or Gratuity Affecting Employee Benefit Plan	2E5.1
55021	Theft from Benefit Plans	2E5.2
55022	Embezzlement from Benefit Plans	2E5.2
55031	False Statement in Relation to Employee Retirement Security Act	2E5.3
61011	Fraud and Deceit	2F1.1
61021	Insider Trading	2F1.2
71011	Transportation for Prostitution	2G1.1
71021	Transportation of a Minor for Prostitution	2G1.2
72011	Sexual Exploitation of a Minors	2G2.1
72021	Materials involving the Sexual Exploitation of Minors	2G2.2
72031	Selling/Buying Children for Pornography	2G2.3
73011	Importing/Mailing/Transporting Obscene Matter	2G3.1
73021	Obscene Communications for Commercial Purpose	2G3.2
81011	Conspiracy to Deprive of Civil Rights	2H1.1
81031	Force or Threats to Deny Benefits or Rights	2H1.3
81041	Interference with Civil Right Under Color of Law	2H1.4
81051	Other Deprivations of Rights in Furtherance of Discrimination	2H1.5
82011	Obstructing an Election or Registration	2H2.1

83011	Intercepting Communication or Eavesdropping	2H3.1
83021	Manufacture/Distribution/Possession of Eavesdropping Device	2H3.2
83031	Obstructing Correspondence	2H3.3
84011	Peonage, Servitude, Slave Trade	2H4.1
101011	Contempt	2J1.1
101021	Obstruction of Justice	2J1.2
101031	Perjury or Subornation of Perjury	2J1.3
101041	Impersonation	2J1.4
101051	Failure to Appear by Material Witness	2J1.5
101061	Failure to Appear by Defendant	2J1.6
101071	Commission of an Offense while on Release	2J1.7
101081	Bribery of a Witness	2J1.8
101091	Payment to a Witness	2J1.9
111011	Failure to Report the Theft of Explosives	2K1.1
111021	Improper Storage of Explosives	2K1.2
111031	Unlawful Receipt/Possession or Transportation of Explosives	2K1.3
111041	Arson; Damage by Explosives	2K1.4
111051	Possession of Guns/Explosives on an Aircraft	2K1.5
111052	Possession of Other Weapon on an Aircraft	2K1.5
111061	Recordkeeping Violation Involving Explosives	2K1.6
111071	Use of Fire or Explosives to Commit a Felony	2K1.7
112011	Unlawful Receipt/Possession/ Transportation of Firearms	2K2.1
112041	Use of Firearms during the Commission of a Felony	2K2.4
112051	Possession of Firearms/Explosives in a Federal Facility	2K2.5
112052	Possession of Other Weapons in a Federal Facility	2K2.5

113011	Transport of Hazardous Material in Commerce	2K3.1
113021	Felonious Mailing Injurious Articles	2K3.2
121011	Smuggling/Transporting/Harboring Unlawful Aliens	2L1.1
121021	Unlawfully Entering U.S.	2L1.2
122011	Trafficking in Entry Documents	2L2.1
122021	Fraudulently Acquiring Entry Documents	2L2.2
122031	Trafficking in U.S. Passports	2L2.3
122041	Fraudulently Acquiring U.S. Passports	2L2.4
122051	Failure to Surrender Naturalization Certificate	2L2.5
135011	Evasion of Export Controls	2M5.1
135021	Exportion of Arms/Munitions/ Military Equipment w/o a License	2M5.2
141011	Tampering with Food/Drugs that Involves Risk of Death/Injury	2N1.1
141021	False Information or Tamper with Consumer Products	2N1.2
141031	Tampering with Food or Drugs with Intent to Injure Business	2N1.3
142011	Violation of Regulation Dealing with Food and Drugs	2N2.1
143011	Odometer Laws and Regulations	2N3.1
161011	Escape	2P1.1
161021	Contraband in Prisons	2P1.2
161031	Riots in Federal Facilities	2P1.3
171011	Knowing Endangerment from Hazardous/Toxic Substances	2Q1.1

171021	Mishandling Hazardous Substances	2Q1.2
171031	Mishandling Other Pollutants	2Q1.3
171041	Tampering with the Public Water System	2Q1.4
171051	Threat of Tampering with Public Water System	2Q1.5
171061	Hazardous Devices on Federal Lands	2Q1.6
172011	Specially Protected Fish, Wildlife, and Plants	2Q2.1
181011	Bid-Rigging, Price-fixing, Market Allocation	2R1.1
191011	Laundering of Monetary Instruments	2S1.1
191021	Monetary Transaction from Unlawful Activity	2S1.2
191031	Failure to Report Monetary Transactions	2S1.3
191041	Failure to File Currency Report	2S1.4
201011	Tax Evasion	2T1.1
201021	Failure to File or Pay Taxes	2T1.2
201031	Fraud, False Statements	2T1.3
201041	Aiding/Assisting/Procuring Tax Fraud	2T1.4
201051	Fraud--Tax Returns, Statements, etc.	2T1.5
201061	Failure to Collect or Account for Taxes	2T1.6
201071	Failure to Deposit Taxes in Trust Account	2T1.7
201081	Offenses Relating to Withholding Statements	2T1.8
201091	Conspiracy to Avoid Payment of Taxes	2T1.9
202011	Non-payment of Alcohol or Tobacco Taxes	2T2.1
202021	Regulatory Offenses Involving Alcohol or Tobacco Taxes	2T2.2
203011	Evading Import Duties (smuggling)	2T3.1
203021	Receipt/Transportation of Smuggled Property	2T3.2

243011	Accessory after the Fact	2X3.1
244011	Misprision of a Felony	2X4.1
260011	Other Felonious Property Offense	998.98
260012	Other Misdemeanor Property Offense	998.98
270011	Other Felonious Violent Offense	998.98
270012	Other Misdemeanor Violent Offense	998.98
280011	Other Felonious Drug Offense	998.98
280012	Other Misdemeanr Drug Offense	998.98
280013	Other Drug Forfeiture	998.98
290011	Other Felony	998.98
290012	Other Misdemeanor	998.98
300011	Traffic	998.98
310011	Other Non-drug Forfeiture	998.98

## ATTACHMENT 5-A

2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None
2A1.4	Involuntary Manslaughter	None	None	None
2A2.1	Assault W/Intent to Commit Murder; Conspiracy or Solicitation to Commit Murder; Attempted Murder	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.2	Aggravated Assault	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.3	Minor Assault	None	None	None
2A2.4	Obstructing or Impeding Officers	ADJ_B	(b)(1)	Involved Striking, Beating or Wounding
2A3.1	Criminal Sexual Abuse; Assault w/intent to Commit Criminal Sexual Abuse	ADJ_B	(b)(1)	Abuse accomplished as defined In 18 U.S.C. 2241
		ADJ_C	(b)(2)	Age of Victim
		ADJ_D	(b)(3)	Victim in Custody



		ADJ_E	(b)(4)	Level of Injury to Victim
		ADJ_F	(b)(5)	Victim was Abducted
2A3.2	Criminal Sexual Abuse of a Minor (Statutory Rape) or Attempt to Commit Such Acts	ADJ_B	(b)(1)	Victim in Custody
2A3.3	Criminal Sexual Abuse of a Ward(Statutory Rape) or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt to Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Accomplished as in 18 USC 2241
		ADJ_C	(b)(2)	Accomplished as in 18 USC 2242
2A4.1	Kidnapping, Abduction, Unlawful Restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was kidnapped, abducted, or restrained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted

2A5.2	Interference with Flight Member or Flight Attendant	None	None	None
2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications Carry out Threat	ADJ_B	(b)(1)	Intent to
		ADJ_C	(b)(2)	Single Instance
2B1.1	Larceny, Embezzlement, and Other Forms of Theft	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm, Destructive device, or controlled substance was taken
		ADJ_D	(b)(3)	Theft from the Person of another
		ADJ_E	(b)(4)	Theft Involved more than minimal planning
		ADJ_F	(b)(5)	Undelivered Mail was taken
		ADJ_G	(b)(6)	Organized Criminal activity
2B1.2	Receiving Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Included firearm, destructive device or controlled substance
		ADJ_D	(b)(3)	Person in business of selling stolen property or offense involved more than minimal planning

		ADJ_E	(b)(4)	Organized Criminal activity, 2B1.2(b)(5)
2B1.3	Property Damage or Destruction (Other than by Arson or Explosives)	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning
		ADJ_D	(b)(3)	Undelivered Mail was Destroyed
2B2.1	Burglary of a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or Other Dangerous Weapon was Possessed
2B2.2	Burglary of Other Structures	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_E	(b)(4)	Firearm or Other Dangerous Weapon was possessed

2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
2B3.3	Blackmail and Similar Extortion	ADJ_B	(b)(1)	Loss Table
2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B	(b)(1)	Loss Table
2B5.1	Offenses Involving Counterfeit Obligations of the United States	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Defendant Manufactured Counterfeit Obligation

2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
2B3.3	Blackmail and Similar Extortion	ADJ_B	(b)(1)	Loss Table
2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B	(b)(1)	Loss Table
2B5.1	Offenses Involving Counterfeit Obligations of the United States	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Defendant Manufactured Counterfeit Obligation

2B5.3	Criminal Infringement Copyright	ADJ_B	(b)(1)	Loss of from Table
2B5.4	Criminal Infringement	ADJ_B	(b)(1)	Loss from Table
2B6.1	Altering or Removing Motor Vehicle Identification Numbers, or Trafficking Offense in Motor Vehicles Parts w/Altered or Obliterated Id Numbers	ADJ_B ADJ_C or	(b)(1) (b)(2)	Loss from Table Offense Involved Organized Criminal Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under official Color of Right	ADJ_B	(b)(1)	Loss from Table or Bribe to Influence Elected Official
2C1.2	Offering, Giving, Soliciting, Receiving a Gratuity	ADJ_B	(b)(1)	Loss from Table or Gratuity to Elected Official
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the Government
2C1.4	Payment or Receipt of	None	None	None Unauthorized Compensation
2C1.5	Payments to Obtain Public Office	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness, or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table

2D1.1	Unlawful Manufacturing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses)	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
2D1.2	Involving Juveniles in the Trafficking of Controlled Substances	None	None	None
2D1.3	Distributing Controlled Substances to Individuals Younger than 21years, To Pregnant Women, or Within 1000 Feet of a School or College	None	None	None
2D1.5	Continuing Criminal Enterprise	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia	None	None	None
2D1.8	Renting or Managing a Drug other Dangerous Establishment	ADJ_B	(b)(1)	Firearm or Weapon was Possessed
2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances	None	None	None
2D2.1	Unlawful Possession	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None

2D3.1	Illegal Use of Registration to Manufacture, Distribute, Acquire or Dispense a Controlled Substance	None	None	None
2D3.2	Manufacture of Controlled Substance in Excess of or Unauthorized by Registration Quota	None	None	None
2D3.3	Illegal Use of Registration Number to Distribute or Dispense a Controlled Substance to Another Registrant or Authorized Person	None	None	None
2D3.4	Illegal Transfer or Transshipment of a Controlled Substance	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Abducted or Restrained
2E3.1	Engaging in a Gambling Business	None	None	None
2E3.2	Transmission of Wagering Information	None	None	None



2E3.3	Other Gambling Offenses	ADJ_B	(b)(1)	Part of Commercial Gambling Operation
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Bribery or Gratuity Affecting the Operation of an Employee Welfare or Pension Benefit Plan	ADJ_B	(b)(1)	Defendant was a Fiduciary of the Plan
		ADJ_C	(b)(2)	Loss from Table
2E5.2	Theft or Embezzlement from Employee Pension and Welfare Benefit Plans	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Fiduciary Obligation
		ADJ_D	(b)(3)	Loss from Table
2E5.3	False Statements and Concealment of Facts in Relation to Documents Required by the Employee Retirement Income Security Act	None	None	None
2E5.4	Embezzlement or Theft from Labor Unions in the Private Sector	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Defendant was Officer or in Position of Trust
		ADJ_D	(b)(3)	Loss from Table
2E5.5	Failure to Maintain and Falsification of Records Required by the Labor Management Reporting and Disclosure Act	None	None	None
2E5.6	Prohibited Payments or Money by Employer or Agent to Employees, Representatives or Labor Organizations	ADJ_B	(b)(1)	Loss from Table

2F1.1	Fraud and Deceit	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Multiple Possible Aggravators
		ADJ_D	(b)(3)	Use of Foreign Bank Accounts
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen
2G2.1	Sexually Exploiting a Minor Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve

		ADJ_C	(b)(2)	Retail Value of Material Distributed from Table
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of Material Distributed from Table
		ADJ_C	(b)(2)	Material Portrays Sado-masochism or Violence
2G3.2	Obscene or Indecent Telephone Communications	None	None	None
2H1.1	Going in Disguise to Deprive of Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.2	Conspiracy to Interfere Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.3	Use of Force or Threat of Force to Deny Benefits or Rights in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.4	Interference with Civil Rights Under Color of Law	None	None	None
2H1.5	Other Deprivations of Rights or Benefits in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H2.1	Obstructing an Election or Registration	None	None	None
2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain

2H3.3	Obstructing Correspondence	None	None	None
2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.3	Perjury	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.4	Impersonation	ADJ_B	(b)(1)	False Repre- sentation to Obtain Money or Conduct Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Admin- istration of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Increase for Underlying Offense
2J1.7	Commission of Offense While on Release	ADJ_B	(b)(1)	Increase for Underlying Offense
2J1.8	Bribery of Witness	ADJ_B	(b)(1)	Defendant Interfered
2J1.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify

2K1.1	Failure to Report Theft of Explosives	None	None	None
2K1.2	Improper Storage of Explosives	None	None	None
2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Adjustment for Defendant Conduct
2K1.4	Arson; Property Damage By Explosives	ADJ_B	(b)(1)	Adjustment for Defendant Conduct
2K1.5	Possessing Dangerous Materials While Boarding or Aboard an Aircraft	ADJ_B	(b)(1)	Adjustment for Defendant Conduct
2K1.6	Shipping, Transporting, or Receiving Explosives with Felonious Intent or Knowledge; Using or Carrying Explosives in Certain Crimes	None	None	None
2K2.1	Receipt, Possession, or Transportation of Firearms and Other Weapons by Prohibited Persons	ADJ_B	(b)(1)	Firearm was Stolen or had Altered Serial Number
		ADJ_C	(b)(2)	Firearm Used for Sport or Recreation
2K2.2	Receipt, Possession, or Transportation of Firearms and Other Weapons in Violation of National Firearms Act	ADJ_B	(b)(1)	Firearm Was Stolen or had Altered Serial Number
		ADJ_C	(b)(2)	Firearm Was a Silencer
		ADJ_D	(b)(3)	Firearm Used for Sport or Recreation
2K2.3	Prohibited Transactions in or Shipment of Firearms and Other Weapons	ADJ_B	(b)(1)	Increase for Number of Firearms from Table

		ADJ_C	(b)(2)	Adjustment for Defen- dant's Knowledge or Conduct
2L1.1	Smuggling, Transporting, or Harboring an Unlawful Alien	ADJ_B	(b)(1)	No Profit Motive and No Knowledge that Alien was Excludable
		ADJ_C	(b)(2)	Defendant has Prior Conviction
2L1.2	Unlawfully Entering or Remaining in the United States	None	None	None
2L1.3	Engaging in a Pattern of Employment of Aliens	None	None	None
2L2.1	Trafficking in Evidence of Citizenship or Documents Authorizing Entry	ADJ_B	(b)(1)	Defendant Committed Offense for Profit
2L2.2	Fraudulently Acquiring Evidence of Citizenship or Authorizing Entry for Own Use	None	None	None
2L2.3	Trafficking in a United States Passport	ADJ_B	(b)(1)	Defendant Committed Offense for Profit
2L2.4	Fraudulently Acquiring or Improperly Using a United States Passport	None	None	None
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction of War Material, Premises, or Utilities	None	None	None

2M2.2	Production of Defective War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction of National Defense Material, Premises , or Utilities	None	None	None
2M2.4	Production of Defective National Defense Material, Premises, or Utilities	None	None	None
2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None
2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.6	Disclosure of Classified Cryptographic Information	None	None	None
2M3.7	Unauthorized Disclosure to Foreign Government or a Communist Organization of Classified Information by Government Employee	None	None	None
2M3.8	Receipt of Classified Information	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction

2M5.1	Evasion of Export Controls	None	None	None
2M5.2	Exportation of Arms, or Munitions, Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S. or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	None	None	None
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None
2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None
2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved
		ADJ_C	(b)(2)	Defendant Escaped and Returned Voluntarily



		ADJ_D	(b)(3)	Defendant Was a Correctional Officer
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Correctional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None
2P1.4	Trespass on Bureau of Prisons Facilities	None	None	None
2Q1.1	Knowing Endangerment from Mishandling Hazardous or Toxic Substances, Pesticides or Other Pollutants	None	None	None
2Q1.2	Mishandling of Hazardous or Toxic Substances or Pesticides; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Record- keeping or Reporting Violation

2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public Utilities
		ADJ_D	(b)(3)	Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public Water System	ADJ_B	(b)(1)	Disruption of Public Utilities
		ADJ_C	(b)(2)	Purpose to Influence Government Action
2Q2.1	Specially Protected Fish, Wildlife, and Plants	ADJ_B	(b)(1)	Offense Involved Commercial Purpose
		ADJ_C	(b)(2)	Violation of Quarantine

		ADJ_D	(b)(3)	Market Value from Table or Sub- stantial Quantity
2Q2.2	Lacey Act; Smuggling Unlawfully Dealing in Fish, Wildlife, and Plants	ADJ_B	(b)(1)	Offense Involved Commercial Purpose
		ADJ_C	(b)(2)	Quarantine Violation
		ADJ_D	(b)(3)	Market Value from Table or Sub- stantial Quantity
2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncom- petitive Bids
		ADJ_C	(b)(2)	Volume of Commerce
2S1.1	Laundering of Monetary Instruments	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase Due to Value of Funds
2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase due to Value of Funds
2S1.3	Failure to Report Monetary Transactions; Structuring Transactions to Evade Reporting Requirements	ADJ_B	(b)(1)	Defendant Knew Funds were Criminally Derived

		ADJ_C	(b)(2)	Increase Due to Value of the Funds
2T1.1	Tax Evasion	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.2	Willful Failure to File Return, Supply Information, or Pay Tax	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.3	Fraud and False Statements Under Penalty of Perjury	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.4	Aiding, Assisting Procuring, Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of a Pattern
		ADJ_C	(b)(2)	Sophisticated Means Used
		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None

2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat Tax	ADJ_B	(b)(1)	Conduct Involved Violence or Encouraged Others to Violate Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling)	None	None	None
2T3.2	Receiving or Trafficking in Smuggled Property	None	None	None
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None

		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat Tax	ADJ_B	(b)(1)	Conduct Involved Violence or Encouraged Others to Violate Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling)	None	None	None
2T3.2	Receiving or Trafficking in Smuggled Property	None	None	None
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None
2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None

## ATTACHMENT 5-B

## 1989 AMENDMENT YEAR

## SPECIFIC OFFENSE CHARACTERISTICS (SOC) REFERENCE LIST, BY GUIDELINE

GUIDELINE	GUIDELINE TITLE	ADJUSTMENT VARIABLE	SOC REFERENCE	SOC DESCRIPTION
2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None
2A1.4	Involuntary Manslaughter	None	None	None
2A2.1	Assault W/Intent to Commit Murder; Conspiracy or Solicitation to Commit Murder; Attempted Murder	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.2	Aggravated Assault	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.3	Minor Assault	None	None	None
2A2.4	Obstructing or Impeding Officers Dangerous Weapon Possessed	ADJ_B	(b)(1)	Involved Physical Contact or Dangerous Weapon Possessed
2A3.1	Criminal Sexual Abuse; Attempt or Assault w/intent to Commit Criminal Sexual Abuse	ADJ_B	(b)(1)	Abuse accom- plished as de- fined in 18 U.S.C. 2241
		ADJ_C	(b)(2)	Age of Victim
		ADJ_D	(b)(3)	Victim in Custody
		ADJ_E	(b)(4)	Level of

		ADJ_F	(b)(5)	Injury to Victim Victim was Abducted
2A3.2	Criminal Sexual Abuse of a Minor (Statutory Rape) or Attempt to Commit Such Acts	ADJ_B	(b)(1)	Victim in Custody
2A3.3	Criminal Sexual Abuse of a Ward or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt to Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Victim Under Age of 12
		ADJ_C	(b)(2)	Victim Attained Age 12 But Under Age 16
2A4.1	Kidnapping, Abduction, Unlawful Restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was kidnapped, abducted or restrained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted
2A5.2	Interference with Flight Crew Member or Flight Attendant	None	None	None
2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications	ADJ_B	(b)(1)	Intent to Carry out Threat
		ADJ_C	(b)(2)	Single Instance
2B1.1	Larceny, Embezzlement, and Other	ADJ_B	(b)(1)	Loss from



Forms of Theft		ADJ_C	(b)(2)	Table Firearm, destruc- tive device, or controlled substance was taken
		ADJ_D	(b)(3)	Theft from the Person of another
		ADJ_E	(b)(4)	Theft involved more than minimal planning
		ADJ_F	(b)(5)	Undeliver- ed Mail was taken
		ADJ_G	(b)(6)	Organized scheme to steal vehicle parts
2B1.2	Receiving, Transporting, Transferring, Transmitting or Possessing Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Included firearm, destruc- tive device or controlled substance
		ADJ_D	(b)(3)	Person in business of selling stolen pro- perty or offense involved more than minimal planning
		ADJ_E	(b)(4)	Undeliver- ed mail was taken
		ADJ_F	(b)(5)	Organized scheme to steal vehicle parts
2B1.3	Property Damage or Destruction (Other than by Arson or Explosives)	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal

		ADJ_D	(b)(3)	Planning Undeliver- ed Mail was Destroyed
2B2.1	Burglary of a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destruc- tive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was Possessed
2B2.2	Burglary of Other Structures	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destruc- tive Device or Controlled Substance was Object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was possessed
2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Involved Property of Financial Institu- tion or Post

		ADJ_C	(b)(2)	Office
		ADJ_D	(b)(3)	Firearm Use
		ADJ_E	(b)(4)	Victim
				Injury
		ADJ_F	(b)(5)	Abduction
				or Physical
				Restraint
				Obtaining
				Firearm,
				Destruc-
				tive
				Device or
				Controlled
				Substance
				was Object
		ADJ_G	(b)(6)	Loss from
				Table
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Loss from
				Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim
				Injury
		ADJ_E	(b)(4)	Abduction
				or Physical
				Restraint
2B3.3	Blackmail and Similar Forms of Extortion	ADJ_B	(b)(1)	Loss Table
2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B	(b)(1)	Loss Table
2B5.1	Offenses Involving Counterfeit Bearer Obligations of the United States	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Defendant
				Manufac-
				tured Coun-
				terfeit
				Obligation
2B5.3	Criminal Infringement of Copyright	ADJ_B	(b)(1)	Loss from
				Table
2B5.4	Criminal Infringement of Trademark	ADJ_B	(b)(1)	Loss from
				Table
2B6.1	Altering or Removing Motor Vehicle Identification Numbers, or Trafficking in Motor Vehicles or Parts w/Altered or Obliterated Id Numbers	ADJ_B	(b)(1)	Loss from
				Table
		ADJ_C	(b)(2)	In Business
				of Stolen
				Property
		ADJ_D	(b)(3)	Offense
				Involved
				Organized
				Criminal
				Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under	ADJ_B	(b)(1)	Involved
				More than

	Color of Official Right	ADJ_C	(b)(2)	One Bribe Loss from Table or Bribe to influence elected official
2C1.2	Offering, Giving, Soliciting, or Receiving a Gratuity to Elected Official	ADJ_B	(b)(1)	Involved More One Gratuity
		ADJ_C	(b)(2)	Loss from Table or Gratuity
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the Government
2C1.4	Payment or Receipt of Unauthorized Compensation	None	None	None
2C1.5	Payments to Obtain Public Office	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness, or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table
2D1.1	Unlawful Manufacturing, Importing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses)	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
		ADJ_C	(b)(2)	Defendant Convicted of violat- ing 21 USC 960(a)
2D1.10	Endangering Human Life While Illegally Manufacturing a Controlled Substance	None	None	None
2D1.2	Drug Offenses Occurring Near Protected Locations or Involving Underage or Pregnant Individuals	None	None	None
2D1.5	Continuing Criminal Enterprise	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia	None	None	None
2D1.8	Renting or Managing a Drug Establishment	ADJ_B	(b)(1)	Firearm or other Dangerous

				Weapon was Possessed
2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances	None	None	None
2D2.1	Unlawful Possession	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None
2D3.1	Illegal Use of Registration Number to Manufacture, Distribute, Acquire or Dispense a Controlled Substance	None	None	None
2D3.2	Manufacture of Controlled Substance in Excess of or Unauthorized by Registration Quota	None	None	None
2D3.3	Illegal Use of Registration Number to Distribute or Dispense a Controlled Substance to Another Registrant or Authorized Person	None	None	None
2D3.4	Illegal Transfer or Transshipment of a Controlled Substance	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use Level of Injury Abducted or Restrained
		ADJ_C	(b)(2)	
		ADJ_D	(b)(3)	

2E3.1	Engaging in a Gambling Business	None	None	None
2E3.2	Transmission of Wagering Information	None	None	None
2E3.3	Other Gambling Offenses Operation	ADJ_B	(b)(1)	Part of Commercial Gambling
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Offering, Accepting, or Soliciting a Bribe or Gratuity Affecting the Operation of an Employee Welfare or Pension Benefit Plan	ADJ_B	(b)(1)	Defendant was a Fiduciary of the Plan
		ADJ_C	(b)(2)	Loss from Table
2E5.2	Theft or Embezzlement from Employee Pension and Welfare Benefit Plans	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Fiduciary Obligation
		ADJ_D	(b)(3)	Loss from Table
2E5.3	False Statements and Concealment of Facts in Relation to Documents Required by the Employee Retirement Income Security Act	None	None	None
2E5.4	Embezzlement or Theft from Labor Unions in the Private Sector	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Defendant was Officer or in Position of Trust
		ADJ_D	(b)(3)	Loss from Table
2E5.5	Failure to Maintain and Falsification of Records Required by the Labor Management Reporting and Disclosure Act	None	None	None
2E5.6	Prohibited Payments or Lending of Money by Employer or Agent to Employees, Representatives or Labor Organizations	ADJ_B	(b)(1)	Loss from Table
2F1.1	Fraud and Deceit	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning/ Multiple Victims

		ADJ_D	(b)(3)	Misrepresentation/Violation of Order
		ADJ_E	(b)(4)	Reckless Risk of Serious Bodily Injury
		ADJ_F	(b)(5)	Use of Foreign Bank Accounts
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen
2G2.1	Sexually Exploiting a Minor by Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve
		ADJ_C	(b)(2)	Retail Value of Material Distributed from Table
2G2.3	Selling or Buying of Children for Use in the Production of Pornography	None	None	None
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of

		ADJ_C	(b)(2)	Material Distributed from Table Material Portrays Sadomasochism or Violence
2G3.2	Obscene Telephone Communications for a Commercial Purpose; Broadcasting Obscene Material	ADJ_B	(b)(1)	Age of Receiver or Time of Broadcast
		ADJ_C	(b)(2)	Volume of Commerce
2H1.1	Going in Disguise to Deprive of Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.2	Conspiracy to Interfere with Civil Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.3	Use of Force or Threat of Force to Deny Benefits or Rights in Furtherance of Discrimination; Damage to Religious Real Property	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.4	Interference with Civil Rights Under Color of Law	None	None	None
2H1.5	Other Deprivations of Rights or Benefits in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H2.1	Obstructing an Election or Registration	None	None	None
2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain
2H3.3	Obstructing Correspondence	None	None	None
2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice Threatened Injury	ADJ_B	(b)(1)	Defendant Caused or
		ADJ_C	(b)(2)	Defendant Interfered



2J1.3	Perjury or Subornation of Perjury Threatened Injury	ADJ_B	(b)(1)	Defendant Caused or
		ADJ_C	(b)(2)	Defendant
2J1.4	Impersonation	ADJ_B	(b)(1)	Interfered Committed for Con- ducting Un- lawful Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Administra- tion of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Increase for Under- lying Offense
2J1.8	Bribery of Witness	ADJ_B	(b)(1)	Defendant Interfered
2J1.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify
2K1.1	Failure to Report Theft of Explosives	None	None	None
2K1.2	Improper Storage of Explosives	None	None	None
2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Adjustment for Defen- dant Con- duct
2K1.4	Arson; Property Damage By Use of Explosives	ADJ_B	(b)(1)	Adjustment for Defen- dant Con- duct
2K1.5	Possessing Dangerous Weapons or Materials While Boarding or Aboard an Aircraft	ADJ_B	(b)(1)	Adjustment for Defen- dant Con- duct
2K1.6	Shipping, Transporting, or Receiving Explosives with Felonious Intent or Knowledge; Using or Carrying Explosives in Certain Crimes	None	None	None
2K2.1	Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition	ADJ_B	(b)(1)	Defendant obtained or pos- sessed

				firearm for lawful purposes or collection
		ADJ_C	(b)(2)	Firearm was Stolen or had Altered Serial Number
2K2.2	Unlawful Trafficking and Other Prohibited Transactions Involving Firearms	ADJ_B	(b)(1)	Number of Weapons
		ADJ_C	(b)(2)	Firearm was Stolen or had Altered Serial Number
		ADJ_D	(b)(3)	Statute Convicted Under
2K2.3	Receiving, Transporting, Shipping or Transferring a Firearm or Ammo W/Int. to Commit Another Offense, or W/Knowledge that It will be Used in Committing Another Offense	None	None	None
2K2.5	Possession of Firearms and Dangerous Weapons in Federal Facilities	None	None	None
2L1.1	Smuggling, Transporting, or Harboring an Unlawful Alien	ADJ_B	(b)(1)	No Profit Motive and No Knowl- edge that Alien was Excludable
		ADJ_C	(b)(2)	Defendant has Prior Conviction
		ADJ_D	(b)(3)	Previously Deported
2L1.2	Unlawfully Entering or Remaining in the United States	ADJ_B	(b)(1)	Previously Deported
2L2.1	Trafficking in Evidence of Citizenship or Documents Authorizing Entry	ADJ_B	(b)(1)	Defendant Committed Offense Other than for Profit
2L2.2	Fraudulently Acquiring Evidence of Citizenship or Documents Authorizing Entry for Own Use	ADJ_B	(b)(1)	Previously Deported
2L2.3	Trafficking in a United States Passport	ADJ_B	(b)(1)	Defendant Committed Offense for

				Profit
2L2.4	Fraudulently Acquiring or Improperly Using a United States Passport	ADJ_B	(b)(1)	Previously Deported
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction of War Material, Premises, or Utilities	None	None	None
2M2.2	Production of Defective War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction of National Defense Material, Premises, or Utilities	None	None	None
2M2.4	Production of Defective National Defense Material, Premises, or Utilities	None	None	None
2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None
2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.6	Disclosure of Classified Cryptographic Information	None	None	None
2M3.7	Unauthorized Disclosure to Foreign Government or a Communist Organization of Classified Information by Government Employee	None	None	None
2M3.8	Receipt of Classified Information	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction
2M5.1	Evasion of Export Controls	None	None	None

2M5.2	Exportation of Arms, Munitions, or Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S. or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	None	None	None
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None
2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None
2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved Defendant Escaped and Returned Voluntarily Defendant Was a Correctional Officer
		ADJ_C	(b)(2)	
		ADJ_D	(b)(3)	
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Correctional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None
2Q1.1	Knowing Endangerment Resulting from Mishandling Hazardous or Toxic Substances, Pesticides or	None	None	None

## Other Pollutants

2Q1.2	Mishandling of Hazardous or Toxic Substances or Pesticides; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Record-keeping or Reporting Violation
2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public Utilities
		ADJ_D	(b)(3)	Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public Water System	ADJ_B	(b)(1)	Disruption of Public Utilities
2Q2.1	Specially Protected Fish, Wildlife, and Plants; Smuggling and Otherwise Unlawfully Dealing in Fish, Wildlife, and Plants	ADJ_B	(b)(1)	Offense Involved
		ADJ_C	(b)(2)	Commercial Purpose Violation

		ADJ_D	(b)(3)	of Quarantine Market Value from Table or Substantial Quantity
2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncompeti- tive Bids
		ADJ_C	(b)(2)	Volume of Commerce
2S1.1	Laundering of Monetary Instruments	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase Due to Value of Funds
2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase due to Value of Funds
2S1.3	Failure to Report Monetary Transactions; Structuring Transactions to Evade Reporting Requirements	ADJ_B	(b)(1)	Defendant Knew Funds were Crimi- nally Derived
		ADJ_C	(b)(2)	Increase Due to Value of the Funds
2T1.1	Tax Evasion	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisti- cated Means Used for Concealment
2T1.2	Willful Failure to File Return, Supply Information, or Pay Tax	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisti- cated Means

2T1.3	Fraud and False Statements Under Penalty of Perjury	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.4	Aiding, Assisting Procuring, Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of a Pattern
		ADJ_C	(b)(2)	Sophisticated Means Used
		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat Tax	ADJ_B	(b)(1)	Conduct Involved Violence or Encouraged Others to Violate Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling)	None	None	None
2T3.2	Receiving or Trafficking in Smuggled Property	None	None	None
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None

2A3.2	Criminal Sexual Abuse of a Minor	ADJ_B	(b)(1)	Victim in Custody (Statutory Rape) or Attempt to Commit Such Acts
2A3.3	Criminal Sexual Abuse of a Ward or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt to Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Victim Under Age of 12
		ADJ_C	(b)(2)	Victim Attained Age 12 But Under Age 16
2A4.1	Kidnapping, Abduction, Unlawful Restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was kidnapped, abducted, or re-strained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted
2A5.2	Interference with Flight Crew Member or Flight Attendant	None	None	None
2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications	ADJ_B	(b)(1)	Intent to Carry out Threat
		ADJ_C	(b)(2)	Single Instance
2B1.1	Larceny, Embezzlement, and Other Forms of Theft	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm, destructive device, or



				controlled substance was taken
		ADJ_D	(b)(3)	Theft from the Person of another
		ADJ_E	(b)(4)	Undelivered Mail was taken
		ADJ_F	(b)(5)	Theft involved more than minimal planning
		ADJ_G	(b)(6)	Organized scheme to steal vehicle parts
		ADJ_H	(b)(7)	Jeopardized Safety and Soundness of Financial Institution
2B1.2	Receiving, Transporting, Transferring, Transmitting or Possessing Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Included firearm, destructive device or controlled substance
		ADJ_D	(b)(3)	Undelivered mail was taken
		ADJ_E	(b)(4)	Person in business of selling stolen property or offense involved more than minimal planning
		ADJ_F	(b)(5)	Organized scheme to steal vehicle parts
2B1.3	Property Damage or Destruction (Other than by Arson or Explosives)	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Undelivered Mail was Destroyed
		ADJ_D	(b)(3)	More than Minimal Planning

2B2.1	Burglary of a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was Possessed
2B2.2	Burglary of Other Structures	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was possessed
2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Involved Property of Financial Institution or Post Office
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm,

		ADJ_G	(b)(6)	Destructive Device or Controlled Substance was Object Loss from Table
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
2B3.3	Blackmail and Similar Forms of Extortion	ADJ_B	(b)(1)	Loss Table
2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Jeopardized Safety and Soundness of Financial Institution
2B5.1	Offenses Involving Counterfeit Bearer Obligations of the United States	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Defendant Manufactured Counterfeit Obligation
2B5.3	Criminal Infringement of Copyright	DJ_B	(b)(1)	Loss from Table
2B5.4	Criminal Infringement of Trademark	ADJ_B	(b)(1)	Loss from Table
2B6.1	Altering or Removing Motor Vehicle Identification Numbers, or Trafficking in Motor Vehicles or Parts w/Altered or Obliterated Id Numbers	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	In Business of Stolen Property
		ADJ_D	(b)(3)	Offense Involved Organized Criminal Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under Color of Official Right	ADJ_B	(b)(1)	Involved More than One Bribe
		ADJ_C	(b)(2)	Loss from Table or Bribe to influence elected

				official
2C1.2	Offering, Giving, Soliciting, or Receiving a Gratuity	ADJ_B	(b)(1)	Involved More One Gratuity
		ADJ_C	(b)(2)	Loss from Table or Gratuity to Elected Official
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the Government
2C1.4	Payment or Receipt of Unauthorized Compensation	None	None	None
2C1.5	Payments to Obtain Public Office	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness, or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table
2D1.1	Unlawful Manufacturing, Importing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses)	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
		ADJ_C	(b)(2)	Defendant Convicted of violating 21 USC 960(a)
2D1.10	Endangering Human Life While Illegally Manufacturing a Controlled Substance	None	None	None
2D1.2	Drug Offenses Occurring Near Protected Locations or Involving Underage or Pregnant Individuals	None	None	None
2D1.5	Continuing Criminal Enterprise	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia	None	None	None
2D1.8	Renting or Managing a Drug Establishment	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed

2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances	None	None	None
2D2.1	Unlawful Possession	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None
2D3.1	Illegal Use of Registration Number to Manufacture, Distribute, Acquire or Dispense a Controlled Substance	None	None	None
2D3.2	Manufacture of Controlled Substance in Excess of or Unauthorized by Registration Quota	None	None	None
2D3.3	Illegal Use of Registration Number to Distribute or Dispense a Controlled Substance to Another Registrant or Authorized Person	None	None	None
2D3.4	Illegal Transfer or Transshipment of a Controlled Substance	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Abducted or Restrained
2E3.1	Engaging in a Gambling Business	None	None	None
2E3.2	Transmission of Wagering	None	None	None

	Information			
2E3.3	Other Gambling Offenses	ADJ_B	(b)(1)	Part of Commercial Gambling Operation
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Offering, Accepting, or Soliciting a Bribe or Gratuity Affecting the Operation of an Employee Welfare or Pension Benefit Plan	ADJ_B	(b)(1)	Defendant was a Fiduciary of the Plan
		ADJ_C	(b)(2)	Loss from Table
2E5.2	Theft or Embezzlement from Employee Pension and Welfare Benefit Plans	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Fiduciary Obligation
		ADJ_D	(b)(3)	Loss from Table
2E5.3	False Statements and Concealment of Facts in Relation to Documents Required by the Employee Retirement Income Security Act	None	None	None
2E5.4	Embezzlement or Theft from Labor Unions in the Private Sector	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Defendant was Officer or in Position of Trust
		ADJ_D	(b)(3)	Loss from Table
2E5.5	Failure to Maintain and Falsification of Records Required by the Labor Management Reporting and Disclosure Act	None	None	None
2E5.6	Prohibited Payments or Lending of Money by Employer or Agent to Employees, Representatives or Labor Organizations	ADJ_B	(b)(1)	Loss from Table
2F1.1	Fraud and Deceit	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning/ Multiple Victims
		ADJ_D	(b)(3)	Misrepresent/Viola-

		ADJ_E	(b)(4)	Reckless Risk of Serious Bodily Injury
		ADJ_F	(b)(5)	Use of Foreign Bank Accounts
		ADJ_G	(b)(6)	Jeopardized Safety and Soundness of Financial Institution
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen
2G2.1	Sexually Exploiting a Minor by Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
		ADJ_C	(b)(2)	Custodial or Supervisory Status
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve
		ADJ_C	(b)(2)	Retail Value of Material

		ADJ_D	(b)(3)	Distributed from Table Sadistic or Masochistic Conduct or Violence
2G2.3	Selling or Buying of Children for Use in the Production of Pornography	None	None	None
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of Material Distributed from Table
		ADJ_C	(b)(2)	Material Portrays Sadomasochism or Violence
2G3.2	Obscene Telephone Communications for a Commercial Purpose; Broadcasting Obscene Material	ADJ_B	(b)(1)	Age of Receiver or Time of Broadcast
		ADJ_C	(b)(2)	Volume of Commerce
2H1.1	Going in Disguise to Deprive of Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.3	Use of Force or Threat of Force to Deny Benefits or Rights in Furtherance of Discrimination; Damage to Religious Real Property	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.4	Interference with Civil Rights Under Color of Law	None	None	None
2H1.5	Other Deprivations of Rights or Benefits in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H2.1	Obstructing an Election or Registration	None	None	None
2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain
2H3.3	Obstructing Correspondence	None	None	None



2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice Threatened Injury	ADJ_B	(b)(1)	Defendant Caused or
		ADJ_C	(b)(2)	Defendant Interfered
2J1.3	Perjury or Subornation of Perjury	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.4	Impersonation	ADJ_B	(b)(1)	Committed for Con- ducting Unlawful Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Admini- stration of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Adjustment for Type of Facility
		ADJ_C	(b)(2)	Increase for Under- lying Offense
2J1.8	Bribery of Witness	ADJ_B	(b)(1)	Defendant Interfered
2J1.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify
2K1.1	Failure to Report Theft of Explosives	None	None	None
2K1.2	Improper Storage of Explosives	None	None	None
2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Adjustment for Defen- dant Con- duct
2K1.4	Arson; Property Damage By Use of Explosives	ADJ_B	(b)(1)	Committed to Conceal Another Offense
2K1.5	Possessing Dangerous Weapons or	ADJ_B	(b)(1)	Adjustment

	Materials While Boarding or Aboard an Aircraft			for De- fendant Conduct
2K1.6	Shipping, Transporting, or Receiving Explosives with Felonious Intent or Knowledge; Using or Carrying Explosives in Certain Crimes	None	None	None
2K2.1	Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition	ADJ_B	(b)(1)	Defendant obtained or possessed firearm for lawful pur- poses or collection
		ADJ_C	(b)(2)	Firearm was Stolen or had Altered Serial Number
2K2.2	Unlawful Trafficking and Other Prohibited Transactions Involving Firearms	ADJ_B	(b)(1)	Number of Weapons
		ADJ_C	(b)(2)	Firearm Was Stolen or had Altered Serial Number
		ADJ_D	(b)(3)	Statute Convicted Under
2K2.3	Receiving, Transporting, Shipping or Transferring a Firearm or Ammo W/Int. to Commit Another Offense, or W/Knowledge that It will be Used in Committing Another Offense	None	None	None
2K2.5	Possession of Firearms and Dangerous Weapons in Federal Facilities	None	None	None
2L1.1	Smuggling, Transporting, or Harboring an Unlawful Alien	ADJ_B	(b)(1)	No Profit Motive and No Know- ledge that Alien was Ex- cludable
		ADJ_C	(b)(2)	Defendant has Prior Conviction
		ADJ_D	(b)(3)	Previously Deported
2L1.2	Unlawfully Entering or Remaining in the United States	ADJ_B	(b)(1)	Previously Deported

2L2.1	Trafficking in Evidence of Citizenship or Documents Authorizing Entry	ADJ_B	(b)(1)	Defendant Committed Offense Other than for Profit
2L2.2	Fraudulently Acquiring Evidence of Citizenship or Documents Authorizing Entry for Own Use	ADJ_B	(b)(1)	Previously Deported
2L2.3	Trafficking in a United States Passport	ADJ_B	(b)(1)	Defendant Committed Offense for Profit
2L2.4	Fraudulently Acquiring or Improperly Using a United States Passport	ADJ_B	(b)(1)	Previously Deported
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction of War Material, Premises, or Utilities	None	None	None
2M2.2	Production of Defective War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction of National Defense Material, Premises, or Utilities	None	None	None
2M2.4	Production of Defective National Defense Material, Premises, or Utilities	None	None	None
2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None
2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.6	Disclosure of Classified Cryptographic Information	None	None	None
2M3.7	Unauthorized Disclosure to Foreign Government or a Communist Organization of Classified Information by Government Employee	None	None	None

2M3.8	Receipt of Classified Information	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction
2M5.1	Evasion of Export Controls	None	None	None
2M5.2	Exportation of Arms, Munitions, or Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S. or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	None	None	None
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None
2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None
2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved Defendant Escaped and Returned Voluntarily Escaped from Non-Secure Custody Defendant
		ADJ_C	(b)(2)	
		ADJ_D	(b)(3)	
		ADJ_E	(b)(4)	

				Was a Cor- rectional Officer
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Cor- rectional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None
2Q1.1	Knowing Endangerment Resulting from Mishandling Hazardous or Toxic Substances, Pesticides or Other Pollutants	None	None	None
2Q1.2	Mishandling of Hazardous or Toxic Substances or Pesticides; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Record- keeping or Reporting Violation
2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public

		ADJ_D	(b)(3)	Utilities Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public Water System	ADJ_B	(b)(1)	Disruption of Public Utilities
2Q2.1	Specially Protected Fish, Wildlife, and Plants; Smuggling and Otherwise Unlawfully Dealing in Fish, Wildlife, and Plants	ADJ_B	(b)(1)	Offense Involved Commercial Purpose
		ADJ_C	(b)(2)	Violation of Quarantine Market Value
		ADJ_D	(b)(3)	from Table or Substan- tial Quan- tity
2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncompet- itive Bids
		ADJ_C	(b)(2)	Volume of Commerce
2S1.1	Laundering of Monetary Instruments	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase Due to Value of Funds
2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase due to Value of Funds
2S1.3	Failure to Report Monetary Transactions; Structuring Transactions to Evade Reporting Requirements	ADJ_B	(b)(1)	Defendant Knew Funds were Crim- inally Derived
		ADJ_C	(b)(2)	Increase Due to Value of

				the Funds
2T1.1	Tax Evasion	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.2	Willful Failure to File Return, Supply Information, or Pay Tax	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.3	Fraud and False Statements Under Penalty of Perjury	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.4	Aiding, Assisting Procuring, Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of a Pattern
		ADJ_C	(b)(2)	Sophisticated Means Used
		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat Tax	ADJ_B	(b)(1)	Conduct Involved Violence or Encouraged Others to Violate

				Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling)	None	None	None
2T3.2	Receiving or Trafficking in Smuggled Property	None	None	None
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None



## ATTACHMENT 5-D

## 1991 AMENDMENT YEAR

## SPECIFIC OFFENSE CHARACTERISTICS (SOC) REFERENCE LIST, BY GUIDELINE

GUIDELINE	GUIDELINE TITLE	ADJUSTMENT VARIABLE	SOC REFERENCE	SOC DESCRIPTION
2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None
2A1.4	Involuntary Manslaughter	None	None	None
2A1.5	Offer or Receipt of Pecuniary	ADJ_B	(b)(1)	Offer or Receipt of Value Pecuniary Value
2A2.1	Assault W/Intent to Commit Murder; or Solicitation to Commit Murder; Attempted Murder	ADJ_B	(b)(1)	Degree of Injury
		ADJ_C	(b)(2)	Conspiracy Motivated by Money
2A2.2	Aggravated Assault	ADJ_B	(b)(1)	More than Minimal
		ADJ_C	(b)(2)	Planning
		ADJ_D	(b)(3)	Use of Firearm
		ADJ_E	(b)(4)	Level of Injury Motivated by Money
2A2.3	Minor Assault	None	None	None
2A2.4	Obstructing or Impeding Officers	ADJ_B	(b)(1)	Involved Physical Contact Dangerous Weapon Possessed
2A3.1	Criminal Sexual Abuse; Attempt or Assault w/intent to Commit Criminal Sexual Abuse	ADJ_B	(b)(1)	Abuse accomp- lished as defined in 18 USC 2241
		ADJ_C	(b)(2)	Age of Victim
		ADJ_D	(b)(3)	Victim in Custody
		ADJ_E	(b)(4)	Level of

		ADJ_F	(b)(5)	Injury to Victim Victim was Abducted
2A3.2	Criminal Sexual Abuse of a Minor (Statutory Rape) or Attempt to Commit Such Acts	ADJ_B	(b)(1)	Victim in Custody
2A3.3	Criminal Sexual Abuse of a Ward or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Victim Under Age of 12
		ADJ_C	(b)(2)	Victim Attained Age 12 But Under Age 16
		ADJ_D	b)(3)	Victim was in custody, care, or supervisory control of the defendant
2A4.1	Kidnapping, Abduction, Unlawful Restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was sexually exploited
		ADJ_G	(b)(6)	Victim a minor, exchanged for money
		ADJ_H	(b)(7)	Victim was kidnapped, abducted, or restrained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted
2A5.2	Interference with Flight Crew Member or Flight Attendant	None	None	None

2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications	ADJ_B	(b)(1)	Intent to Carry out Threat
		ADJ_C	(b)(2)	Single Instance
2B1.1	Larceny, Embezzlement, and Other Forms of Theft	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm, destructive device, or controlled substance was taken
		ADJ_D	(b)(3)	Theft from the Person of another
		ADJ_E	(b)(4)	Undelivered Mail was taken
		ADJ_F	(b)(5)	Theft involved more than minimal planning
		ADJ_G	(b)(6)	Organized scheme to steal vehicle parts
		ADJ_H	(b)(7)	Jeopardized Safety and Soundness of Financial Institution
2B1.2	Receiving, Transporting, Transmitting or Possessing Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Transferring, Included firearm, destructive device or controlled substance
		ADJ_D	(b)(3)	Undelivered mail was taken
		ADJ_E	(b)(4)	Person in business of selling stolen property or offense

				involved more than minimal planning Organized scheme to steal ve- hicle parts
2B1.3	Property Damage or Destruction	ADJ_F	(b)(5)	
		ADJ_B	(b)(1)	Loss from Table (Other than by Arson or Explosives)
		ADJ_C	(b)(2)	Undelivered Mail was Destroyed
		ADJ_D	(b)(3)	More than Minimal Planning
2B2.1	Burglary of a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was Possessed object
2B2.2	Burglary of Other Structures	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was possessed
2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at

				Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Involved Property of Financial Institution or Post Office
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_G	(b)(6)	Loss from Table
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Threat of death, bodily injury, or kidnapping
		ADJ_C	(b)(2)	Loss from table
		ADJ_D	(b)(3)	Firearm use or prepara- tion invol- ving threat of death, bodily injury, kidnapping, or product tampering
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_E	(b)(4)	Victim injury
		ADJ_F	(b)(5)	Abduction or physical restraint
2B3.3	Blackmail and Similar Forms of Extortion	ADJ_B	(b)(1)	Loss Table
2B4.1	Bribery in Procurement of Bank	ADJ_B	(b)(1)	Loss Table

		ADJ_C	(b)(2)	Loan and Other Commercial Bribery Jeopardized Safety and Soundness of Financial Institution
2B5.1	Offenses Involving Counterfeit Bearer Obligations of the United States	ADJ_B ADJ_C	(b)(1) (b)(2)	Loss Table Defendant Manufac- tured Counterfeit Obligation
2B5.3	Criminal Infringement of Copyright	ADJ_B	(b)(1)	Loss from Table
2B5.4	Criminal Infringement of Trademark	ADJ_B	(b)(1)	Loss from Table
2B6.1	Altering or Removing Motor Vehicle	ADJ_B	(b)(1)	Loss from Table Identifica- tion Numbers or Traffick- ing in Motor Vehicles or Parts w/ Altered or Obliterated Id Numbers
		ADJ_C	(b)(2)	In Business of Stolen Property
		ADJ_D	(b)(3)	Offense Involved Organized Criminal Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under Color of Official Right	ADJ_B ADJ_C	(b)(1) (b)(2)	Involved More than One Bribe Loss from Table or Bribe to influence elected official
2C1.2	Offering, Giving, Soliciting, or Receiving a Gratuity	ADJ_B	(b)(1)	Involved More Than One

		ADJ_C	(b)(2)	Gratuity Loss from Table or Gratuity to Elected Official
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the Government
2C1.4	Payment or Receipt of Unauthorized Compensation	None	None	None
2C1.5	Payments to Obtain Public Office	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table
2C1.7	Fraud Involving Deprivation of Intangible Right to Honest Services of Public Officials; Conspiracy to Defraud by Interference with Governmental Functions	ADJ_B	(b)(1)	Loss to government or involved public of- ficial
2D1.1	Unlawful Manufacturing, Importing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses)	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
		ADJ_C	(b)(2)	Defendant Convicted of violat- ing 21 USC 960(a)
2D1.10	Endangering Human Life While Illegally Manufacturing a Controlled Substance	None	None	None
2D1.11	Unlawfully Distributing, Exporting or Possessing a Listed Chemical	ADJ_B	(b)(1)	Dangerous weapon (including Importing, Exporting, or firearm) was posses- sed Posses- sing a Listed Chemical
		ADJ_C	(b)(2)	Defendant was convic- ted of vio- lating 21 USC 841

(d)(2),  
(g)(1), or  
960 (d)(2)

2D1.12	Unlawful Possession, Manufacture, Distribution, or Importation of Prohibited Flask or Equipment	None	None	None
2D1.13	Structuring Chemical Transactions or Creating a Chemical Mixture to Evade Reporting or Recordkeeping Requirements; Presenting False ID to Obtain a Listed Chemical	None	None	None
2D1.2	Drug Offenses Occurring Near Protected Locations or Involving Underage or Pregnant Individuals	None	None	None
2D1.5	Continuing Criminal Enterprise	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia	None	None	None
2D1.8	Renting or Managing a Drug Establishment	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances	None	None	None
2D2.1	Unlawful Possession	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None
2D3.1	Illegal Use of Registration Number to Manufacture, Distribute, Acquire or Dispense a Controlled Substance	None	None	None
2D3.2	Manufacture of Controlled Substance in Excess of or Unauthorized by Registration Quota	None	None	None
2D3.3	Illegal Use of Registration Number to Distribute or Dispense a	None	None	None



	Controlled Substance to Another Registrant or Authorized Person			
2D3.4	Illegal Transfer or Transshipment of a Controlled Substance	None	None	None
2D3.5	Violation of Recordkeeping or Reporting Requirements for Listed Chemicals and Certain Machines	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Abducted or Restrained
2E3.1	Engaging in a Gambling Business	None	None	None
2E3.2	Transmission of Wagering Information	None	None	None
2E3.3	Other Gambling Offenses	ADJ_B	(b)(1)	Part of Commercial Gambling Operation
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Offering, Accepting, or Soliciting Bribe or Gratuity Affecting the Operation of an Employee Welfare or Pension Benefit Plan	ADJ_B	(b)(1)	Defendant was a Fiduciary of the Plan
		ADJ_C	(b)(2)	Loss from Table
2E5.2	Theft or Embezzlement from Employee Pension and Welfare Benefit Plans	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Fiduciary Obligation
		ADJ_D	(b)(3)	Loss from

				Table
2E5.3	False Statements and Concealment of Facts in Relation to Documents Required by the Employee Retirement Income Security Act	None	None	None
2E5.4	Embezzlement or Theft from Labor Unions in the Private Sector	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Defendant was Officer or in Position of Trust
		ADJ_D	(b)(3)	Loss from Table
2E5.5	Failure to Maintain and Falsification of Records Required by the Labor Management Reporting and Disclosure Act	None	None	None
2E5.6	Prohibited Payments or Lending of Money by Employer or Agent to Employees, Representatives or Labor Organizations	ADJ_B	(b)(1)	Loss from Table
2F1.1	Fraud and Deceit	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning/ Multiple Victims
		ADJ_D	(b)(3)	Misrepresentation/Violation of Order
		ADJ_E	(b)(4)	Reckless Risk of Serious Bodily Injury
		ADJ_F	(b)(5)	Use of Foreign Bank Accounts
		ADJ_G	(b)(6)	Jeopardized Safety and Soundness of Financial Institution
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual	ADJ_B	(b)(1)	Defendant Used Force

	Conduct			or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen
		ADJ_E	(b)(4)	Defendant was parent, relative, or legal guardian
2G2.1	Sexually Exploiting a Minor by Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
		ADJ_C	(b)(2)	Custodial or Supervisory Status
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve
		ADJ_C	(b)(2)	Retail Value of Material Distributed from Table
		ADJ_D	(b)(3)	Sadistic or Masochistic Conduct or Violence
		ADJ_E	(b)(4)	Pattern of activity involving sexual abuse or exploitation of a minor
2G2.3	Selling or Buying of Children for Use in the Production of Pornography	None	None	None
2G2.4	Receipt or Possession of Materials	ADJ_B	(b)(1)	Material

	Depicting a Minor Engaged in Sexually Explicit Conduct			involved prepubescent minor or minor under 12
		ADJ_C	(b)(2)	Possession of ten or more items portraying sexual abuse of a minor
2G2.5	Recordkeeping Offenses Involving the Production of Sexually Explicit Materials	None	None	None
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of Material Distributed from Table
		ADJ_C	(b)(2)	Material Portrays Sadomasochism or Violence
2G3.2	Obscene Telephone Communications Broadcasting Obscene Material	ADJ_B	(b)(1)	Age of Receiver or Time of or a Commercial Purpose; Broadcast
		ADJ_C	(b)(2)	Volume of Commerce
2H1.1	Going in Disguise to Deprive of Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.3	Use of Force or Threat of Force to Deny Benefits or Rights in Furtherance of Discrimination; Damage to Religious Real Property	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.4	Interference with Civil Rights Under Color of Law	None	None	None
2H1.5	Other Deprivations of Rights or Benefits in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H2.1	Obstructing an Election or Registration	None	None	None

2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain
2H3.3	Obstructing Correspondence	None	None	None
2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.3	Perjury or Subornation of Perjury	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.4	Impersonation	ADJ_B	(b)(1)	Committed for Conducting Unlawful Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Administration of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Adjustment for Type of Facility
		ADJ_C	(b)(2)	Increase for Underlying Offense
2J1.8	Bribery of Witness	ADJ_B	(b)(1)	Defendant Interfered
2J1.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify
2K1.1	Failure to Report Theft of Explosives	None	None	None
2K1.2	Improper Storage of Explosives	None	None	None

2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Amount of Explosives
		ADJ_C	(b)(2)	Stolen explosives
		ADJ_D	(b)(3)	Explosives in connection with another felony
2K1.4	Arson; Property Damage By Use of Explosives	ADJ_B	(b)(1)	Committed to Conceal Another Offense
2K1.5	Possessing Dangerous Weapons or Materials While Boarding or Aboard an Aircraft	ADJ_B	(b)(1)	Adjustment for Defendant Conduct
2K1.6	Licensee Recordkeeping Violations Involving Explosive Materials	None	None	None
2K2.1	Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition	ADJ_B	(b)(1)	Number of firearms
		ADJ_C	(b)(2)	Possession for sporting if purposes only (0 if no, 1 yes)
		ADJ_D	(b)(3)	Involved destructive device
		ADJ_E	(b)(4)	Involved stolen firearms or ones with altered or erased serial number
		ADJ_F	(b)(5)	Firearms in connection with another felony
2K2.5	Possession of Firearms and Dangerous Weapons in Federal Facilities zone	ADJ_B	(b)(1)	Possessed firearm in federal court facility or school
2L1.1	Smuggling, Transporting, or Harboring an Unlawful Alien	ADJ_B	(b)(1)	No Profit Motive and No Knowledge

		ADJ_C	(b)(2)	that Alien was Exclud- able Defendant has Prior Conviction
		ADJ_D	(b)(3)	Previously Deported
2L1.2	Unlawfully Entering or Remaining United States	ADJ_B	(b)(1)	Previously Deported in the
		ADJ_C	(b)(2)	Previously deported after con- viction for aggravated felony
2L2.1	Trafficking in Evidence of Citizenship or Documents	ADJ_B	(b)(1)	Defendant Committed Offense Authorizing Entry Other than for Profit
2L2.2	Fraudulently Acquiring Evidence of Citizenship or Documents	ADJ_B	(b)(1)	Previously Deported Authorizing Entry for Own Use
2L2.3	Trafficking in a United States Passport	ADJ_B	(b)(1)	Defendant Committed Offense for Profit
2L2.4	Fraudulently Acquiring or Improperly Using a United States Passport	ADJ_B	(b)(1)	Previously Deported
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction of War Material, Premises, or Utilities	None	None	None
2M2.2	Production of Defective War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction of National Defense Material, Premises, or Utilities	None	None	None
2M2.4	Production of Defective National Defense Material, Premises, or Utilities	None	None	None

2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None
2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.6	Disclosure of Classified Cryptographic Information	None	None	None
2M3.7	Unauthorized Disclosure to Foreign Government or a Communist Organization of Classified Information by Government Employee	None	None	None
2M3.8	Receipt of Classified Information	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction
2M5.1	Evasion of Export Controls	None	None	None
2M5.2	Exportation of Arms, Munitions, or Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S. or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	ADJ_B	(b)(1)	Victim injury
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None



2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None
2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved
		ADJ_C	(b)(2)	Defendant Escaped and Returned
		ADJ_D	(b)(3)	Voluntarily Escaped from Non-Secure Custody
		ADJ_E	(b)(e)	Defendant Was a Correctional Officer
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Correctional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None
2Q1.1	Knowing Endangerment Resulting from Mishandling Hazardous or Toxic Substances, Pesticides or Other Pollutants	None	None	None
2Q1.2	Mishandling of Hazardous or Toxic or Pesticides; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Record-

				keeping or Reporting Violation and Falsifi- cation
2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public Utilities
		ADJ_D	(b)(3)	Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public System Utilities	ADJ_B	(b)(1)	Disruption of Public Water
2Q2.1	Specially Protected Fish, Wildlife, and Plants; Smuggling and Otherwise Unlawfully Dealing in Fish, Wildlife, and Plants	ADJ_B	(b)(1)	Offense Involved Commercial Purpose
		ADJ_C	(b)(2)	Violation of Quarantine
		ADJ_D	(b)(3)	Market Value from Table or Substan- tial Quantity
2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncompeti- tive Bids
		ADJ_C	(b)(2)	Volume of Commerce

				from Table
2S1.1	Laundering of Monetary Instruments	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds Increase Due to Value of Funds
		ADJ_C	(b)(2)	
2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds Increase due to Value of Funds
		ADJ_C	(b)(2)	
2S1.3	Failure to Report Monetary Transactions; Structuring Transactions to Evade Reporting Requirements	ADJ_B	(b)(1)	Defendant Knew Funds were Criminally Derived Increase Due to Value of the Funds
		ADJ_C	(b)(2)	
2S1.4	Failure to File Currency and Monetary Instrument Report	ADJ_B	(b)(1)	Defendant knew funds were criminally derived
		ADJ_C	(b)(2)	Defendant knew funds were intended to promote criminal activity
		ADJ_D	(b)(3)	Value of funds from table
2T1.1	Tax Evasion	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.2	Willful Failure to File Return, Information, or Pay Tax	ADJ_B	(b)(1)	Defendant's Failure to Supply

		ADJ_C	(b)(2)	Report Funds Sophisticated Means Used for Concealment
2T1.3	Fraud and False Statements Under Penalty of Perjury	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.4	Aiding, Assisting Procuring, a Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of Pattern
		ADJ_C	(b)(2)	Sophisticated Means Used
		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat tax	ADJ_B	(b)(1)	Conduct Involved Violence Encouraged Others to Violate Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling)	ADJ_B	(b)(1)	Sophisticated means used to impede discovery of offense

2T3.2	Receiving or Trafficking in Smuggled Property	ADJ_B	(b)(1)	Sophisti- cated means used to impede dis- covery of offense
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprison of Felony	None	None	None

## ATTACHMENT 5-E

## 1992 AMENDMENT YEAR

## SPECIFIC OFFENSE CHARACTERISTICS (SOC) REFERENCE LIST, BY GUIDELINE

GUIDELINE	GUIDELINE TITLE	ADJUSTMENT VARIABLE	SOC REFERENCE	SOC DESCRIPTION
2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None
2A1.4	Involuntary Manslaughter	None	None	None
2A1.5	Offer or Receipt of Pecuniary Value	ADJ_B	(b)(1)	Offer or Receipt of Pecuniary Value
2A2.1	Assault W/Intent to Commit Murder; Conspiracy or Solicitation to Commit Murder; Attempted Murder	ADJ_B	(b)(1)	Degree of Injury
		ADJ_C	(b)(2)	Motivated by Money
2A2.2	Aggravated Assault	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.3	Minor Assault	None	None	None
2A2.4	Obstructing or Impeding Officers	ADJ_B	(b)(1)	Involved Physical Contact or Dangerous Weapon Possessed
2A3.1	Criminal Sexual Abuse; Attempt to Commit Criminal Sexual Abuse	ADJ_B	(b)(1)	Abuse accomplished as defined in 18 U.S.C. 2241
		ADJ_C	(b)(2)	Age of

		ADJ_D	(b)(3)	Victim Victim in Custody of Defendant, or a Person Held in Custody of Correc- tional Facility
		ADJ_E	(b)(4)	Level of Injury to Victim
		ADJ_F	(b)(5)	Victim was Abducted
2A3.2	Criminal Sexual Abuse of a Minor (Statutory Rape) or Attempt to Commit Such Acts	ADJ_B	(b)(1)	Victim in Custody
2A3.3	Criminal Sexual Abuse of a Ward or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt to Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Victim Under Age of 12
		ADJ_C	(b)(2)	Victim Attained Age 12 But Under Age 16
		ADJ_D	(b)(3)	Victim was in custody, care, or supervisory control of the defend- ant
2A4.1	Kidnapping, Abduction, Unlawful restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was sexually exploited
		ADJ_G	(b)(6)	Victim a minor, exchanged for money
		ADJ_H	(b)(7)	Victim was kidnapped, abducted,

				or restrained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted
2A5.2	Interference with Flight Crew Member or Flight Attendant	None	None	None
2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications	ADJ_B	(b)(1)	Intent to Carry out Threat
		ADJ_C	(b)(2)	Single Instance
2B1.1	Larceny, Embezzlement, and Other Forms of Theft	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Firearm, destructive device, or controlled substance was taken
		ADJ_D	(b)(3)	Theft from the Person of another
		ADJ_E	(b)(4)	Undelivered Mail was taken
		ADJ_F	(b)(5)	Theft involved more than minimal planning
		ADJ_G	(b)(6)	Organized scheme to steal vehicle parts
		ADJ_H	(b)(7)	Jeopardized Safety and Soundness of Financial Institution
2B1.2	Receiving, Transporting, Transferring, Transmitting or Possessing Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Included firearm, destructive device or controlled substance



		ADJ_D	(b)(3)	Undelivered mail was taken
		ADJ_E	(b)(4)	Person in business of selling stolen property or offense involved more than minimal planning
		ADJ_F	(b)(5)	Organized scheme to steal ve- hicle parts
2B1.3	Property Damage or Destruction (Other than by Arson or Explosives)	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Undelivered Mail was Destroyed
		ADJ_D	(b)(3)	More than Minimal Planning
2B2.1	Burglary of a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was Possessed
2B2.2	Burglary of Other Structures	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_E	(b)(4)	Firearm or other Dangerous

				Weapon was possessed
2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Involved Property of Financial Institution or Post Office
		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm, Destructive Device or Controlled Substance
		ADJ_G	(b)(6)	was Object Loss from Table
2B3.2	Extortion by Force or Threat of Injury or Serious Damage	ADJ_B	(b)(1)	Threat of death, bodily injury, or kidnapping
		ADJ_C	(b)(2)	Loss from table
		ADJ_D	(b)(3)	Firearm use or preparation involving threat of death, bodily injury, kidnapping, or product tampering
		ADJ_E	(b)(4)	Victim injury
		ADJ_F	(b)(5)	Abduction or physical restraint
2B3.3	Blackmail and Similar Forms of Extortion	ADJ_B	(b)(1)	Loss Table

2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B ADJ_C	(b)(1) (b)(2)	Loss Table Jeopardized Safety and Soundness of Finan- cial Institution
2B5.1	Offenses Involving Counterfeit Bearer Obligations of the United States	ADJ_B ADJ_C	(b)(1) (b)(2)	Loss Table Defendant Manu- factured Counterfeit Obligation
2B5.3	Criminal Infringement of Copyright	ADJ_B	(b)(1)	Loss from Table
2B5.4	Criminal Infringement of Trademark	ADJ_B	(b)(1)	Loss from Table
2B6.1	Altering or Removing Motor Vehicle Identification Numbers, or Trafficking in Motor Vehicles or Parts w/Altered or Obliterated Id Numbers	ADJ_B  ADJ_C  ADJ_D	(b)(1)  (b)(2)  (b)(3)	Loss from Table  In Business of Stolen Property Offense Involved Organized Criminal Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under Color of Official Right	ADJ_B  ADJ_C	(b)(1)  (b)(2)	Involved More than One Bribe Loss from Table or Bribe to influence elected official
2C1.2	Offering, Giving, Soliciting, or Receiving a Gratuity	ADJ_B  ADJ_C	(b)(1)  (b)(2)	Involved More than One Gratuity Loss from Table or Gratuity to Elected Official
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the

				Government
2C1.4	Payment or Receipt of Unauthorized Compensation	None	None	None
2C1.5	Payments to Obtain Public Office	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness, or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table
2C1.7	Fraud Involving Deprivation of Intangible Right to Honest Services of Public Officials; Conspiracy to Defraud by Interference with Governmental Functions	ADJ_B	(b)(1)	Loss to government or involved public official
2D1.1	Unlawful Manufacturing, Importing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses); Attempt or Conspiracy	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
		ADJ_C	(b)(2)	Defendant Convicted of Unlawfully Importing or Exporting a Controlled Substance
2D1.10	Endangering Human Life While Illegally Manufacturing a Controlled Substance; Attempt or Conspiracy	None	None	None
2D1.11	Unlawfully Distributing, Importing, Exporting, or Possessing a Listed Chemical; Attempt or Conspiracy	ADJ_B	(b)(1)	Dangerous weapon (including firearm) was possessed
		ADJ_C	(b)(2)	Defendant was convicted of violating 21 USC 841 (d)(2), (g)(1), or 960
2D1.12	Unlawful Possession, Manufacture, Distribution, or Importation of Prohibited Flask or Equipment; Attempt or Conspiracy	None	None	None
2D1.13	Structuring Chemical Transactions or Creating Chemical Mixture to	None	None	None

	Evade Reporting Requirements; Presenting False ID to Obtain Listed Chemical; Attempt or Conspiracy			
2D1.2	Drug Offenses Occurring Near Protected Locations or Involving Underage or Pregnant Individuals; Attempt or Conspiracy	None	None	None
2D1.5	Continuing Criminal Enterprise; Attempt or Conspiracy	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense; Attempt or Conspiracy	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia; Attempt or Conspiracy	None	None	None
2D1.8	Renting or Managing a Drug Establishment; Attempt or Conspiracy	None	None	None
2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances; Attempt or Conspiracy	None	None	None
2D2.1	Unlawful Possession; Attempt or Conspiracy	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge; Attempt or Conspiracy	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None
2D3.1	Illegal Use of Registration Number to Manufacture, Distribute, Acquire or Dispense a Controlled Substance; Attempt or Conspiracy	None	None	None
2D3.2	Manufacture of Controlled Substance in Excess of or Unauthorized by Registration Quota; Attempt or Conspiracy	None	None	None
2D3.3	Illegal Use of Registration Number to Distribute or Dispense a Controlled Substance to Another Registrant or Authorized Person; Attempt or Conspiracy	None	None	None

2D3.4	Illegal Transfer or Transshipment of a Controlled Substance; Attempt or Conspiracy	None	None	None
2D3.5	Violation of Recordkeeping or Reporting Requirements for Listed Chemicals and Certain Machines; Attempt or Conspiracy	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Abducted or Restrained
2E3.1	Engaging in a Gambling Business	None	None	None
2E3.2	Transmission of Wagering Information	None	None	None
2E3.3	Other Gambling Offenses	ADJ_B	(b)(1)	Part of Commercial Gambling Operation
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Offering, Accepting, or Soliciting a Bribe or Gratuity Affecting the Operation of an Employee Welfare or Pension Benefit Plan	ADJ_B	(b)(1)	Defendant was a Fiduciary of the Plan
		ADJ_C	(b)(2)	Loss from Table
2E5.2	Theft or Embezzlement from Employee Pension and Welfare Benefit Plans	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Fiduciary Obligation
		ADJ_D	(b)(3)	Loss from

## Table

2E5.3	False Statements and Concealment of Facts in Relation to Documents Required by the Employee Retirement Income Security Act	None	None	None
2E5.4	Embezzlement or Theft from Labor Unions in the Private Sector	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Defendant was Officer or in Position of Trust
		ADJ_D	(b)(3)	Loss from Table
2E5.5	Failure to Maintain and Falsification of Records Required by the Labor Management Reporting and Disclosure Act	None	None	None
E5.6	Prohibited Payments or Lending of Money by Employer or Agent to Employees, Representatives or Labor Organizations	ADJ_B	(b)(1)	Loss from Table
2F1.1	Fraud and Deceit	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning/ Multiple Victims
		AJ_D	(b)(3)	Misrepresent/ Violation of Order
		ADJ_E	(b)(4)	Reckless Risk of Serious Bodily Injury
		ADJ_F	(b)(5)	Use of Foreign Bank Accounts
		ADJ_G	(b)(6)	Jeopardized Safety and Soundness of Financial Institution
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual	ADJ_B	(b)(1)	Defendant Used

	Conduct			Force or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen
		ADJ_E	(b)(4)	Defendant was parent, relative, or legal guardian
2G2.1	Sexually Exploiting a Minor by Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
		ADJ_C	(b)(2)	Custodial or Supervisory Status
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve
		ADJ_C	(b)(2)	Retail Value of Material Distributed from Table
		ADJ_D	(b)(3)	Sadistic or Masochistic Conduct or Violence
		ADJ_E	(b)(4)	Pattern of activity involving sexual abuse or exploitation of a minor
2G2.3	Selling or Buying of Children for Use in the Production of Pornography	None	None	None



2G2.4	Receipt or Possession of Materials Depicting a Minor Engaged in Sexually Explicit Conduct	ADJ_B	(b)(1)	Material involved prepubes- cent minor or minor under 12
		ADJ_C	(b)(2)	Possession of ten or more items portraying sexual abuse of a minor
2G2.5	Recordkeeping Offenses Involving the Production of Sexually Explicit Materials	None	None	None
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of Material Distributed from Table
		ADJ_C	(b)(2)	Material Portrays Sadomaso- chism or Violence
2G3.2	Obscene Telephone Communications for a Commercial Purpose; Broadcasting Obscene Material	ADJ_B	(b)(1)	Age of Receiver or Time of Broadcast
		ADJ_C	(b)(2)	Volume of Commerce
2H1.1	Going in Disguise to Deprive of Rights	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.3	Use of Force or Threat of Force to Deny Benefits or Rights in Furtherance of Discrimination; Damage to Religious Real Property	ADJ_B	(b)(1)	Defendant was a Public Official
2H1.4	Interference with Civil Rights Under Color of Law	None	None	None
2H1.5	Other Deprivations of Rights or Benefits in Furtherance of Discrimination	ADJ_B	(b)(1)	Defendant was a Public Official
2H2.1	Obstructing an Election or Registration	None	None	None
2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain

				Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain
2H3.3	Obstructing Correspondence	None	None	None
2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.3	Perjury or Subornation of Perjury	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.4	Impersonation	ADJ_B	(b)(1)	Committed for Conducting Unlawful Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Admini- stration of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Adjustment for Type of Facility
		ADJ_C	(b)(2)	Increase for Under- lying Offense
2J1.8	Bribery of Witness	ADJ_B	(b)(1)	Defendant Interfered
2J9.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify
2K1.1	Failure to Report Theft of Explosives	None	None	None
2K1.2	Improper Storage of Explosives	None	None	None

2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Amount of Explosives
		ADJ_C	(b)(2)	Stolen explosives
		ADJ_D	(b)(3)	Explosives in connection with another felony
2K1.4	Arson; Property Damage By Use of Explosives	ADJ_B	(b)(1)	Committed to Conceal Another Offense
2K1.5	Possessing Dangerous Weapons or Materials While Boarding or Aboard an Aircraft	ADJ_B	(b)(1)	Offense Committed Wilfully Without Regard to or Reckless Disregard of Safety of Human Life
2K1.6	Licensee Recordkeeping Violations Involving Explosive Materials	None	None	None
2K2.1	Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition	ADJ_B	(b)(1)	Number of firearms
		ADJ_C	(b)(2)	Possession for sporting purposes only and no unlawful discharge (0 if no, 1 if yes)
		ADJ_D	(b)(3)	Involved destructive device
		ADJ_E	(b)(4)	Involved stolen firearms or ones with altered or erased serial number
		ADJ_F	(b)(5)	Firearms in connection with another felony

2K2.5	Possession of Firearms and Dangerous Weapons in Federal Facilities	ADJ_B	(b)(1)	Possessed firearm in federal court facility or school zone
2L1.1	Smuggling, Transporting, or Harboring an Unlawful Alien	ADJ_B	(b)(1)	No Profit Motive and No Knowledge that Alien was Excludable
		ADJ_C	(b)(2)	Offense Involved Smuggling, Transporting, or Harboring 6 or More Unlawful Aliens
		ADJ_D	(b)(3)	Previously Deported
2L1.2	Unlawfully Entering or Remaining in the United States	ADJ_B	(b)(1)	Previously Deported
		ADJ_C	(b)(2)	Previously deported after conviction for aggravated felony
2L2.1	Trafficking in Documents Relating to Naturalization, Citizenship, or Legal Resident Status; False Statement; Fraudulent Marriage	ADJ_B	(b)(1)	Defendant Committed Offense Other than for Profit
		ADJ_C	(b)(2)	Offense Involved Six or More Sets of Documents
2L2.2	Fraudulently Acquiring Documents Relating to Naturalization, Citizenship, or Legal Resident Status for Own Use; False Personation or Fraudulent Marriage	ADJ_B	(b)(1)	Previously Deported
2L2.3	Trafficking in a United States Passport	ADJ_B	(b)(1)	Defendant Committed Offense Other Than for Profit
		ADJ_C	(b)(2)	Offense Involved Six or More Passports

2L2.4	Fraudulently Acquiring or Improperly Using a United States Passport	ADJ_B	(b)(1)	Previously Deported
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction of War Material, Premises, or Utilities	None	None	None
2M2.2	Production of Defective War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction of National Defense Material, Premises, or Utilities	None	None	None
2M2.4	Production of Defective National Defense Material, Premises, or Utilities	None	None	None
2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None
2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.6	Disclosure of Classified Cryptographic Information	None	None	None
2M3.7	Unauthorized Disclosure to Foreign Government or a Communist Organization of Classified Information by Government Employee	None	None	None
2M3.8	Receipt of Classified Information	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction
2M5.1	Evasion of Export Controls	None	None	None

2M5.2	Exportation of Arms, Munitions, or Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S. or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	ADJ_B	(b)(1)	Victim injury
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None
2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None
2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved Defendant Escaped and Returned Voluntarily Escaped from Non-Secure Custody Defendant Was a Correctional Officer
		ADJ_C	(b)(2)	
		ADJ_D	(b)(3)	
		ADJ_E	(b)(4)	
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Correctional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None

2Q1.1	Knowing Endangerment Resulting from Mishandling Hazardous or Toxic Substances, Pesticides or Other Pollutants	None	None	None
2Q1.2	Mishandling of Hazardous or Toxic Substances or Pesticides; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Record-keeping or Reporting Violation
2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public Utilities
		ADJ_D	(b)(3)	Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public Water System	ADJ_B	(b)(1)	Disruption of Public Utilities
2Q2.1	Specially Protected Fish,	ADJ_B	(b)(1)	Offense

	Wildlife, and Plants; Smuggling and Otherwise Unlawfully Dealing in Fish, Wildlife, and Plants			Was Committed for Pecuniary Gain or Otherwise Involved a Commercial Purpose or Involved Pattern of Similar Violations
		ADJ_C	(b)(2)	Violation of Quarantine or Created a Significant Risk of Infection or Disease Transmission Harmful to Humans, Fish, Wildlife, or Plants
		ADJ_D	(b)(3)	Market Value from Table or Offense Involved Marine Mammals Listed as Depleted or Endangered Fish, Wildlife, or Plants
2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncompetitive Bids
		ADJ_C	(b)(2)	Volume of Commerce from Table
2S1.1	Laundrying of Monetary Instruments	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal Proceeds
		ADJ_C	(b)(2)	Increase Due to Value of Funds



2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds were
		ADJ_C	(b)(2)	Illegal Proceeds Increase due to Value of Funds
2S1.3	Failure to Report Monetary Transactions; Structuring Transactions to Evade Reporting Requirements	ADJ_B	(b)(1)	Defendant Knew Funds were Criminally Derived
		ADJ_C	(b)(2)	Increase Due to Value of the Funds
2S1.4	Failure to File Currency and Monetary Instrument Report	ADJ_B	(b)(1)	Defendant Knew Funds were Criminally Derived
		ADJ_C	(b)(2)	Defendant Knew Funds were intended to promote criminal activity
		ADJ_D	(b)(3)	Value of funds from table
2T1.1	Tax Evasion	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.2	Willful Failure to File Return, Supply Information, or Pay Tax	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.3	Fraud and False Statements Under Penalty of Perjury	ADJ_B	(b)(1)	Defendant's Failure to Report Funds

		ADJ_C	(b)(2)	Sophisticated Means Used for Concealment
2T1.4	Aiding, Assisting Procuring, Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of a Pattern
		ADJ_C	(b)(2)	Sophisticated Means Used
		ADJ_D	(b)(3)	Defendant's Business was Preparing Returns
2T1.5	Fraudulent Returns, Statements, or Other Documents	None	None	None
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impair, Impede or Defeat Tax	ADJ_B	(b)(1)	Conduct Involved Violence Encouraged Others to Violate Internal Revenue Laws
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling); Receiving or Trafficking in Smuggled Property.	ADJ_B	(b)(1)	Sophisticated means used to impede discovery of offense
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None

## ATTACHMENT 5-F

1994 AMENDMENT YEAR

## SPECIFIC OFFENSE CHARACTERISTICS (SOC) REFERENCE LIST, BY GUIDELINE

GUIDELINE	GUIDELINE TITLE	ADJUSTMENT VARIABLE	SOC REFERENCE	SOC DESCRIPTION
2A1.1	First Degree Murder	None	None	None
2A1.2	Second Degree Murder	None	None	None
2A1.3	Voluntary Manslaughter	None	None	None
2A1.4	Involuntary Manslaughter	None	None	None
2A1.5	Offer or Receipt of Pecuniary Value	ADJ_B	(b)(1)	Offer or Receipt of Pecuniary Value
2A2.1	Assault W/Intent to Commit Murder;Conspiracy or Solicitation to Commit Murder; Attempted Murder	ADJ_B	(b)(1)	Degree of Injury
		ADJ_C	(b)(2)	Motivated by Money
2A2.2	Aggravated Assault	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Use of Firearm
		ADJ_D	(b)(3)	Level of Injury
		ADJ_E	(b)(4)	Motivated by Money
2A2.3	Minor Assault Bodily Injury to Minor Under the Age of Sixteen	ADJ_B	(b)(1)	Substantial
2A2.4	Obstructing or Impeding Officers	ADJ_B	(b)(1)	Involved Physical Contact or Dangerous Weapon Possessed

2A3.1	Criminal Sexual Abuse; Attempt to Commit Criminal Sexual Abuse	ADJ_B	(b)(1)	Abuse accomplished as defined in 18 U.S.C. 2241
		ADJ_C	(b)(2)	Victim was at least twelve years, but not yet sixteen years
		ADJ_D	(b)(3)	Victim in Custody of Defendant, or a Person Held in Custody of Correctional Facility
		ADJ_E	(b)(4)	Level of Injury to Victim
		ADJ_F	(b)(5)	Victim was Abducted
2A3.2	Criminal Sexual Abuse of a Minor (Statutory Rape) or Attempt to Commit Such Acts	ADJ_B	(b)(1)	Victim in Custody
2A3.3	Criminal Sexual Abuse of a Ward or Attempt to Commit Such Acts	None	None	None
2A3.4	Abusive Sexual Contact or Attempt to Commit Abusive Sexual Contact	ADJ_B	(b)(1)	Victim Under Age 12
		ADJ_C	(b)(2)	Victim Attained Age 12 But Under Age 16
		ADJ_D	(b)(3)	Victim was in custody, care, or supervisory control of the defendant
2A4.1	Kidnapping, Abduction, Unlawful Restraint	ADJ_B	(b)(1)	Ransom demand or demand upon government

		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Dangerous Weapon Used
		ADJ_E	(b)(4)	Time Period Held Before Release
		ADJ_F	(b)(5)	Victim was sexually exploited
		ADJ_G	(b)(6)	Victim a minor, exchanged for money
		ADJ_H	(b)(7)	Victim was kidnapped, abducted or restrained
2A4.2	Demanding or Receiving Ransom Money	None	None	None
2A5.1	Aircraft Piracy or Attempted Aircraft Piracy	ADJ_B	(b)(1)	Death Resulted
2A5.2	Interference with Flight Crew Member or Flight Attendant	None	None	None
2A5.3	Committing Certain Crimes Aboard Aircraft	None	None	None
2A6.1	Threatening Communications	ADJ_B	(b)(1)	Offense Involved Conduct Evidencing Intent to Carry out Such Threat
		ADJ_C	(b)(2)	(b)(1) Does Not Apply and Offense Involved Single Instance Evidencing Little or No Deliberation
2B1.1	Larceny, Embezzlement, Theft; Receiving Stolen Property	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Theft from the Person of another
		ADJ_D	(b)(3)	Undelivered Mail taken, stolen property received was undelivered U.S. mail

		ADJ_E	(b)(4)	More than minimal planning; receiving/selling stolen property
		ADJ_F	(b)(5)	Organized scheme to steal vehicle parts
		ADJ_G	(b)(6)	Jeopardized Safety and Soundness of Financial Institution
2B1.3	Property Damage or Destruction (Other than by Arson or Explosives)	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	Undelivered Mail was Destroyed
		ADJ_D	(b)(3)	More than Minimal Planning
2B2.1	Burglary of a Residence or a Structure Other Than a Residence	ADJ_B	(b)(1)	More than Minimal Planning
		ADJ_C	(b)(2)	Loss from Table
		ADJ_D	(b)(3)	Obtaining Firearm, Destructive Device, or Controlled Substance was object
		ADJ_E	(b)(4)	Firearm or other Dangerous Weapon was Possessed
2B2.3	Trespass	ADJ_B	(b)(1)	Trespass at Secured Government Facility
		ADJ_C	(b)(2)	Firearm or Other Dangerous Weapon was possessed
2B3.1	Robbery	ADJ_B	(b)(1)	Property of Financial Institution or Post Office was Taken, or Taking of Property was Object of Offense; Offense Involved Carjacking

		ADJ_C	(b)(2)	Firearm Use
		ADJ_D	(b)(3)	Victim Injury
		ADJ_E	(b)(4)	Abduction or Physical Restraint
		ADJ_F	(b)(5)	Obtaining Firearm, Destructive Device or Controlled Substance was Object
		ADJ_G	(b)(6)	Loss from Table
2B3.2	Extortion by Force or Threat of Injury bodily injury, or kidnapping	ADJ_B	(b)(1)	Threat of death, or Serious Damage
		ADJ_C	(b)(2)	Loss from table
		ADJ_D	(b)(3)	Firearm use or preparation involving threat of death, bodily injury, kidnapping, or product tampering
		ADJ_E	(b)(4)	Victim injury
		ADJ_F	(b)(5)	Abduction or physical restraint
2B3.3	Blackmail and Similar	ADJ_B	(b)(1)	Loss Table Forms of Extortion
2B4.1	Bribery in Procurement of Bank Loan and Other Commercial Bribery	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Jeopardized Safety and Soundness of Financial Institution
2B5.1	Offenses Involving Counterfeit Bearer Obligations of the United States	ADJ_B	(b)(1)	Loss Table
		ADJ_C	(b)(2)	Defendant Manufactured Counterfeit Obligation

		ADJ_D	(b)(3)	Dangerous Weapon, Including Firearm, Possessed
2B5.3	Criminal Infringement of Copyright or Trademark	ADJ_B	(b)(1)	Loss from Table
2B6.1	Altering or Removing Motor Vehicle Identification Numbers, or Trafficking in Motor Vehicles or Parts w/Altered or Obliterated Id Number	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	In Business of Stolen Property
		ADJ_D	(b)(3)	Offense Involved Organized Criminal Activity
2C1.1	Offering, Giving, Soliciting, or Receiving a Bribe; Extortion Under Color of Official Right	ADJ_B	(b)(1)	Involved More than One Bribe
		ADJ_C	(b)(2)	Loss from Table or Bribe to influence elected official
2C1.2	Offering, Giving, Soliciting, or Receiving a Gratuity	ADJ_B	(b)(1)	Involved More One Gratuity
		ADJ_C	(b)(2)	Loss from Table or Gratuity to Elected Official
2C1.3	Conflict of Interest	ADJ_B	(b)(1)	Actual or Planned Harm to the Government
2C1.4	Payment or Receipt of Unauthorized Compensation	None	None	None
2C1.5	Payments to Obtain	None	None	None
2C1.6	Loan or Gratuity to Bank Examiner, or Gratuity for Adjustment of Farm Indebtedness, or Procuring Bank Loan, or Discount of Comm. Paper	ADJ_B	(b)(1)	Loss from Table



2C1.7	Fraud Involving Deprivation of Intangible Right to Honest Services of Public Officials; Conspiracy to Defraud by Interference with Government	ADJ_B	(b)(1)	Loss to Government or Involved Public Official
2D1.1	Unlawful Manufacturing, Importing, Exporting, or Trafficking (Including Possession with Intent to Commit These Offenses); Attempt or Conspiracy	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon was Possessed
		ADJ_C	(b)(2)	Defendant Convicted of Unlawfully Importing or Exporting a Controlled Substance
		ADJ_D	(b)(3)	Distribution of a Controlled Substance in a Prison, Correctional Facility, or Detention Facility
		ADJ_E	(b)(4)	Defendant Meets Criteria of 5C1.2 Subsection (1)-(5), Limitation of Applicability of Statutory Minimum Sentences in Certain Cases
2D1.10	Endangering Human Life While Illegally Manufacturing a Controlled Substance; Attempt or Conspiracy	None	None	None
2D1.11	Unlawfully Distributing, Importing, Exporting, or Possessing a Listed Chemical; Attempt or Conspiracy	ADJ_B	(b)(1)	Dangerous weapon (including firearm) was possessed
		ADJ_C	(b)(2)	Defendant was convicted of violating 21 USC 841 (d)(2), (g)(1), or 960 (d)(2)

2D1.12	Unlawful Possession, Manufacture, Distribution, or Importation of Prohibited Flask or Equipment; Attempt or Conspiracy	None	None	None
2D1.13	Structuring Chemical Transactions or Creating Chemical Mixture to Evade Reporting Requirements; Presenting False ID to Obtain Listed Chemicals	None	None	None
2D1.2	Drug Offenses Occurring Near Protected Locations or Involving Underage or Pregnant Individuals; Attempt or Conspiracy	None	None	None
2D1.5	Continuing Criminal Enterprise; Attempt or Conspiracy	None	None	None
2D1.6	Use of Communication Facility in Committing Drug Offense; Attempt or Conspiracy	None	None	None
2D1.7	Unlawful Interstate Sale and Transporting of Drug Paraphernalia; Attempt or Conspiracy	None	None	None
2D1.8	Renting or Managing a Drug Establishment; Attempt or Conspiracy	None	None	None
2D1.9	Placing or Maintaining Dangerous Devices on Federal Property to Protect the Unlawful Production of Controlled Substances; Attempt or Conspiracy	None	None	None
2D2.1	Unlawful Possession; Attempt or Conspiracy	None	None	None
2D2.2	Acquiring a Controlled Substance by Forgery, Fraud, Deception, or Subterfuge; Attempt or Conspiracy	None	None	None
2D2.3	Operating or Directing the Operation of a Common Carrier Under the Influence of Alcohol or Drugs	None	None	None

2D3.1	Illegal Use of Registration Number to Manufacture, Distribute, Acquire or Dispense a Controlled Substance; Attempt or Conspiracy	None	None	None
2D3.2	Regulatory Offenses Involving Controlled Substances; Attempt or Conspiracy	None	None	None
2E1.1	Unlawful Conduct Relating to Racketeer Influenced and Corrupt Organizations	None	None	None
2E1.2	Interstate or Foreign Travel or Transportation in Aid of a Racketeering Enterprise	None	None	None
2E1.3	Violent Crimes in Aid of Racketeering Activity	None	None	None
2E1.4	Use of Interstate Commerce Facilities in the Commission of Murder-For-Hire	None	None	None
2E2.1	Making, Financing, or Collecting an Extortionate Extension of Credit	ADJ_B	(b)(1)	Firearm or other Dangerous Weapon Use
		ADJ_C	(b)(2)	Level of Injury
		ADJ_D	(b)(3)	Abducted or Restrained
2E3.1	Gambling Offenses	None	None	None
2E4.1	Unlawful Conduct Relating to Contraband Cigarettes	None	None	None
2E5.1	Bribe or Gratuity Affecting Employee Welfare or Pension Plan; Prohibited Payments or Lending Money by Employer to Employees	ADJ_B	(b)(1)	Defendant was a Fiduciary member the Benefit Plan or Organization
		ADJ_C	(b)(2)	Loss from Table
2E5.3	False Statements, Concealment of Facts Related to Documents Required by E.R.I.S.A.; Failure to Maintain and Falsification of Records	None	None	None

2F1.1	Fraud and Deceit; Forgery; Offenses Involving Altered or Counterfeit Instruments the United States	ADJ_B	(b)(1)	Loss from Table
		ADJ_C	(b)(2)	More than Minimal Planning/Multiple Victims
		ADJ_D	(b)(3)	Misrepresen- tation/Violation of Order Not Addressed Elsewhere in the Guidelines
		ADJ_E	(b)(4)	Reckless Risk of Serious Bodily Injury or Possession of a Dangerous Weapon (Including a Firearm)
		ADJ_F	(b)(5)	Use of Foreign Bank Accounts
		ADJ_G	(b)(6)	Jeopardized Safety and Soundness of Financial Institution
2F1.2	Insider Trading	ADJ_B	(b)(1)	Loss from Table
2G1.1	Transportation for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
2G1.2	Transportation of a Minor for the Purpose of Prostitution or Prohibited Sexual Conduct	ADJ_B	(b)(1)	Defendant Used Force or Coercion by Drugs
		ADJ_C	(b)(2)	Transportation of minor under age of Twelve
		ADJ_D	(b)(3)	Transportation of minor at least Twelve but under age of Sixteen

		ADJ_E	(b)(4)	Defendant was parent, relative, or legal guardian
2G2.1	Sexually Exploiting a Minor by Production of Sexually Explicit Visual or Printed Material	ADJ_B	(b)(1)	Minor was under age of Twelve
		ADJ_C	(b)(2)	Custodial or Supervisory Status
2G2.2	Transporting, Receiving, or Trafficking in Material Involving the Sexual Exploitation of a Minor	ADJ_B	(b)(1)	Material involved minor under age of Twelve
		ADJ_C	(b)(2)	Retail Value of Material Distributed from Table
		ADJ_D	(b)(3)	Sadistic or Masochistic Conduct or Violence
		ADJ_E	(b)(4)	Pattern of activity involving sexual abuse or exploitation of a minor
2G2.3	Selling or Buying of Children for Use in the Production of Pornography	None	None	None
2G2.4	Receipt or Possession of Materials Depicting a Minor Engaged in prepubescent minor or Sexually Explicit Conduct	ADJ_B	(b)(1)	Material involved minor under Twelve
		ADJ_C	(b)(2)	Possession of ten or more items portraying sexual abuse of a minor
2G2.5	Recordkeeping Offenses Involving the Production of Sexually Explicit Materials	None	None	None
2G3.1	Importing, Mailing, or Transporting Obscene Matter	ADJ_B	(b)(1)	Retail Value of Material Distributed from Table

		ADJ_C	(b)(2)	Material Portrays Sadomasochism or Violence
2G3.2	Obscene Telephone Communications for a Commercial Purpose; Broadcasting Obscene Material	ADJ_B	(b)(1)	Age of Receiver or Time of Broadcast
		ADJ_C	(b)(2)	Volume of Commerce
2H1.1	Offenses Involving Individual	ADJ_B	(b)(1)	Defendant was a Public Official or Offense Committed Under the Color of Law
2H2.1	Obstructing an Election or Registration	None	None	None
2H3.1	Interception of Communications or Eavesdropping	ADJ_B	(b)(1)	Purpose to Obtain Economic Gain
2H3.2	Manufacturing, Distributing, Advertising, or Possessing an Eavesdropping Device	ADJ_B	(b)(1)	Committed for Pecuniary Gain
2H3.3	Obstructing Correspondence	None	None	None
2H4.1	Peonage, Involuntary Servitude, and Slave Trade	None	None	None
2J1.2	Obstruction of Justice	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Defendant Interfered
2J1.3	Perjury or Subornation of Perjury; or Bribery of Witness	ADJ_B	(b)(1)	Defendant Caused or Threatened Injury
		ADJ_C	(b)(2)	Perjury, Subornation of Perjury, or Witness Bribery Resulted in Substantial Interference with Administration of Justice

2J1.4	Impersonation	ADJ_B	(b)(1)	Committed for Conducting Unlawful Arrest
2J1.5	Failure to Appear by Material Witness	ADJ_B	(b)(1)	Defendant Interfered with the Administration of Justice
2J1.6	Failure to Appear by Defendant	ADJ_B	(b)(1)	Adjustment for Type of Facility
		ADJ_C	(b)(2)	Increase for Underlying Offense
2J1.7	Commission of Offense Release	None	None	None
2J1.9	Payment to Witness	ADJ_B	(b)(1)	Payment for Refusal to Testify
2K1.1	Failure to Report Theft of Explosive Materials; Improper Storage of Explosive Materials	None	None	None
2K1.3	Unlawfully Trafficking In, Receiving, or Transporting Explosives	ADJ_B	(b)(1)	Amount of Explosives
		ADJ_C	(b)(2)	Stolen explosives
		ADJ_D	(b)(3)	Explosives in connection with another felony
2K1.4	Arson; Property Damage By Use of Explosives	ADJ_B	(b)(1)	Committed to Conceal Another Offense
2K1.5	Possessing Dangerous Weapons or Materials While Boarding or Aboard an Aircraft Life	ADJ_B	(b)(1)	Offense Committed Without Regard to Safety or Reckless Disregard of Human
2K1.6	Licensee Recordkeeping Violations Involving Explosive Materials	None	None	None
2K2.1	Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition	ADJ_B	(b)(1)	Number of firearms

		ADJ_C	(b)(2)	Possession for sporting purposes only and no unlawful discharge (0 if no,1 if yes)
		ADJ_D	(b)(3)	Involved destructive device
		ADJ_E	(b)(4)	Involved stolen firearms or ones with altered or erased serial number
		ADJ_F	(b)(5)	Firearms in connection with another felony
2K2.5	Possession of Firearms and Dangerous Weapons in facility or Facilities; Possession or Discharge of Firearm in School Zone	ADJ_B	(b)(1)	Possessed firearm in Federal court or school zone
2K3.2	Feloniously Mailing Injurious Articles	None	None	None
2L1.1	Smuggling, Transporting, and Harboring an Unlawful Alien or No Knowledge that Alien was Excludable	ADJ_B	(b)(1)	No Profit Motive
		ADJ_C	(b)(2)	Offense Involved Smuggling, Transporting, or Harboring 6 or More Unlawful Aliens
		ADJ_D	(b)(3)	Previously Deported
2L1.2	Unlawfully Entering or Remaining in the United States	ADJ_B	(b)(1)	Previously Deported
		ADJ_C	(b)(2)	Previously deported after conviction for aggravated felony
2L2.1	Trafficking in Document for Naturalization, Citizenship, Legal Resident Status or U.S. Passport; False Statement of Another's Citizenship or Immigration Status	ADJ_B	(b)(1)	Defendant Committed Offense Other than for Profit



		ADJ_C	(b)(2)	Offense Involved Six of Another; Fraudulent Marriage or More Documents or Passports
		ADJ_D	(b)(3)	Defendant Had Knowledge, Belief or Reason to Believe Passport or Visa was to be Used to Facilitate a Felony Offense
2L2.2	Fraudulently Acquiring Docs for Naturalization Etc for Own Use;False Personation/Fraudulent Marriage; Fraudulently Acquiring U.S. Passport	ADJ_B	(b)(1)	Previously Deported
2L2.5	Failure to Surrender Canceled Naturalization Certificate	None	None	None
2M1.1	Treason	None	None	None
2M2.1	Destruction or Production of War Material, Premises, or Utilities	None	None	None
2M2.3	Destruction or Production of National Defense Material,Premises, or Utilities	None	None	None
2M3.1	Gathering or Transmitting National Defense Information to Aid a Foreign Government	None	None	None
2M3.2	Gathering National Defense Information	None	None	None
2M3.3	Transmitting National Defense Information; Disclosure of Classified Cryptographic Info;Govt Employee Discloses Classified Info; Unauthorized Receipt of Classified Information	None	None	None
2M3.4	Losing National Defense Information	None	None	None

2M3.5	Tampering with Restricted Data Concerning Atomic Energy	None	None	None
2M3.9	Disclosure of Information Identifying a Covert Agent	None	None	None
2M4.1	Failure to Register and Evasion of Military Service	ADJ_B	(b)(1)	Offense Occurred During Period of Induction
2M5.1	Evasion of Export Controls	None	None	None
2M5.2	Exportation of Arms, Munitions, or Military Equipment or Services Without Required Validated Export License	None	None	None
2M6.1	Unlawful Acquisition, Alteration, Use, Transfer, or Possession of Nuclear Material, Weapons, or Facilities	ADJ_B	(b)(1)	Intent to Injure U.S., or Aid Foreign Nation
2M6.2	Violation of Other Federal Atomic Energy Agency Statutes, Rules and Regulations	None	None	None
2N1.1	Tampering or Attempting to Tamper Involving Risk of Death or Serious Injury	ADJ_B	(b)(1)	Victim injury
2N1.2	Providing False Information or Threatening to Tamper with Consumer Products	None	None	None
2N1.3	Tampering With Intent to Injure Business	None	None	None
2N2.1	Violations of Statutes and Regulations Dealing With Any Food, Drug, Biological Product, Device, Cosmetic, or Agricultural Product	None	None	None
2N3.1	Odometer Laws and Regulations	None	None	None

2P1.1	Escape, Instigating or Assisting Escape	ADJ_B	(b)(1)	Use or Threat of Force Involved
		ADJ_C	(b)(2)	Defendant Escaped and Returned Voluntarily
		ADJ_D	(b)(3)	Escaped from Non- Secure Custody
		ADJ_E	(b)(4)	Defendant Was a Correctional Officer
2P1.2	Providing or Possessing Contraband in Prison	ADJ_B	(b)(1)	Defendant Was a Correctional Officer
2P1.3	Engaging In, Inciting or Attempting to Incite a Riot Involving Persons in a Facility for Official Detention	None	None	None
2Q1.1	Knowing Endangerment Resulting from Mishandling Hazardous or Toxic Substances, Pesticides or Other Pollutants	None	None	None
2Q1.2	Mishandling of Hazardous or Toxic Substances or Pesticides; Recordkeeping, Tampering, and Falsification; Unlawfully Transporting Hazard	ADJ_B	(b)(1)	Ongoing Behavior or Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
		ADJ_G	(b)(6)	Simple Recordkeeping or Reporting Violation

2Q1.3	Mishandling of Other Environmental Pollutants; Recordkeeping, Tampering, and Falsification	ADJ_B	(b)(1)	Ongoing Behavior Discharge of a Pollutant
		ADJ_C	(b)(2)	Substantial Likelihood of Death
		ADJ_D	(b)(3)	Disruption of Public Utilities
		ADJ_E	(b)(4)	Handling without a Permit
2Q1.4	Tampering or Attempted Tampering with Public Water System	ADJ_B	(b)(1)	Risk of Death or Serious Injury
		ADJ_C	(b)(2)	Disruption of Public Utilities
		ADJ_D	(b)(3)	Ongoing Behavior
		ADJ_E	(b)(4)	Purpose to Influence Government Action
2Q1.5	Threatened Tampering with Public Water System	ADJ_B	(b)(1)	Disruption of Public Utilities
2Q2.1	Offenses Involving Fish, Wildlife, and Plants; for Pecuniary Gain or Involved a Commercial Purpose or Involved Pattern of Similar Violations	ADJ_B	(b)(1)	Offense Was Committed
		ADJ_C	(b)(2)	Violation of Quarantine or Created a Significant Risk of Infestation or Disease Transmission Harmful to Humans, Fish, Wildlife, or Plants
		ADJ_D	(b)(3)	Market Value from Table or Offense Involved Marine Mammals Listed as Depleted or Endangered Fish, Wildlife, or Plants

2R1.1	Bid-Rigging, Price-Fixing or Market-Allocation Agreements Among Competitors	ADJ_B	(b)(1)	Agreement to Submit Noncompetitive Bids
		ADJ_C	(b)(2)	Volume of Commerce from Table
2S1.1	Laundering of Monetary Instruments Proceeds	ADJ_B	(b)(1)	Defendant Knew Funds were Illegal
		ADJ_C	(b)(2)	Increase Due to Value of Funds
2S1.2	Engaging in Monetary Transactions in Property Derived from Specified Unlawful Activity	ADJ_B	(b)(1)	Defendant Knew Funds Were Illegal Proceeds
		ADJ_C	(b)(2)	Increase due to Value of Funds
2S1.3	Structuring Transactions to Evade Reporting Reqs; Failure to Report Cash/ Monetary Transactions; Failure to File Currency & Monetary Instrument Report; Filing False Reports to Promote	ADJ_B	(b)(1)	Defendant Knew or believed That Funds Were Proceeds of Unlawful Activity, or were Intended Unlawful Activity
		ADJ_C	(b)(2)	(A)(1) not applied; no reckless disregard of source; funds proceeds of lawful activity; funds for lawful purpose (0 if no, 1 if yes)
2T1.1	Tax Evasion; Wilful Failure to File Return, Supply Information or Pay Tax; Fraudulent or False Returns, Statements, or Other Documents	ADJ_B	(b)(1)	Defendant's Failure to Report Funds
		ADJ_C	(b)(2)	Sophisticated Means Used to Impede Discovery of the Existence or Extent of the Offense

2T1.4	Aiding, Assisting Procuring, Counseling, or Advising Tax Fraud	ADJ_B	(b)(1)	Offense was Part of a Pattern or Scheme; Defendant was in the Business of Preparing or Assisting in Preparation of Tax Returns
		ADJ_C	(b)(2)	Sophisticated Means Were Used to Impede Discovery of the Existence or Extent of the Offense
2T1.6	Failing to Collect or Truthfully Account for and Pay Over Tax	None	None	None
2T1.7	Failing to Deposit Collected Taxes in Trust Account as Required After Notice	None	None	None
2T1.8	Offenses Relating to Withholding Statements	None	None	None
2T1.9	Conspiracy to Impede, Impair, Obstruct or Defeat Tax of Revenue; Encouraged Others to Violate Internal Revenue Laws or Impede Revenue Collection	ADJ_B	(b)(1)	Conduct Involved Violence To Impede Collection
2T2.1	Non-Payment of Taxes	None	None	None
2T2.2	Regulatory Offenses	None	None	None
2T3.1	Evading Import Duties or Restrictions (Smuggling); Receiving or Trafficking in Smuggled Property	ADJ_B	(b)(1)	Sophisticated means to used to impede discovery of the nature or existence of offense
2X1.1	Attempt, Solicitation, or Conspiracy	ADJ_B	(b)(1)	Was this an attempt?
		ADJ_C	(b)(2)	Was this a conspiracy?
		ADJ_D	(b)(3)	Was this a solicitation?

ATTACHMENT 5-G

1994 AMENDMENT YEAR

NO AMENDMENTS WERE MADE TO ANY  
SPECIFIC OFFENSE CHARACTERISTICS  
FOR THIS AMENDMENT YEAR.

## ATTACHMENT 5-H

1996 AMENDMENT YEAR

## SPECIFIC OFFENSE CHARACTERISTICS (SOC) REFERENCE LIST, BY GUIDELINE

GUIDELINE	GUIDELINE TITLE	ADJUSTMENT VARIABLE	SOC REFERENCE	SOC DESCRIPTION
2X2.1	Aiding and Abetting	None	None	None
2X3.1	Accessory After the Fact	None	None	None
2X4.1	Misprision of Felony	None	None	None
2X5.1	Other Offenses	None	None	None



## ATTACHMENT 6

## CODES FOR DEPARTURE REASONS

## Reasons for Departure

000 - No reason given

## General Guideline Provisions/Policy Statements

010 -	( 5G1.3)	Convictions on related counts
011 -	( 5H1.1)	Age
012 -	( 5H1.2)	Education and vocational skills
013 -	( 5H1.3)	Mental and emotional conditions
014 -	( 5H1.4)	Physical condition
015 -	( 5H1.4)	Drug dependence and alcohol abuse
016 -	( 5H1.5)	Previous employment record
017 -	( 5H1.6)	Family ties and responsibilities
018 -	( 5H1.6)	Community ties
019 -	( 5K1.1)	Substantial assistance at motion
020 -	(not 5K1.1)	Cooperation without motion
021 -		Cooperation (motion unknown)
022 -	( 5K2.0)	Several persons injured
023 -	( 5K2.1)	Death
024 -	( 5K2.2)	Physical injury
025 -	( 5K2.3)	Extreme psychological injury
026 -	( 5K2.4)	Abduction or unlawful restraint
027 -	( 5K2.5)	Property damage or loss
028 -	( 5K2.6)	Weapons and dangerous instrumentalities
029 -	( 5K2.7)	Disruption of governmental function
030 -	( 5K2.8)	Extreme conduct
031 -	( 5K2.9)	Criminal purpose
032 -	( 5K2.10)	Victim's conduct
033 -	( 5K2.11)	Lesser harm
034 -	( 5K2.12)	Coercion and duress
035 -	( 5K2.13)	Diminished capacity
036 -	( 5K2.14)	Public welfare

## Specific Guideline Departure (Chapter 2) Suggested by the Commission

100 -	( 2A1.1)	Death not caused intentionally
101 -	( 2A2.1, 2A2.2)	Degree of injury falls between two categories
102 -	( 2A2.4)	Significant disruption of governmental function
103 -	( 2A3.2)	Criminal sexual act for commercial purpose
104 -	( 2A5.3)	Intentionally or recklessly endangering safety of aircraft or passengers
106 -	( 2B1.3)	Monetary value does not reflect extent of harm
107 -	( 2B2.1, 2B2.2)	Weapon use
108 -	( 2B3.1)	Intended to murder the victim
109 -	( 2B3.1)	Death results
101 -	( 2B3.1, 2B3.2)	Degree of injury falls between two categories
106 -	( 2C1.1)	Amount of bribe does not reflect extent of harm

## CODES FOR DEPARTURE REASONS, CONT'D

## Specific Guideline Departure (Chapter 2) Suggested by the Commission

110 -	( 2C1.1)	Systematic or pervasive corruption of governmental function
111 -	( 2D1.1)	Unusually high drug purity
112 -	( 2D1.1)	Unusually high drug amount
113 -	( 2D1.4)	Not capable of providing negotiated amount
114 -	( 2D1.5)	Sanctioned use of violence in enterprise
115 -	( 2D1.5)	Drug amount in enterprise substantially exceeds level 36
116 -	( 2D1.5)	Extremely large number of people managed in the enterprise
117 -	( 2F1.1)	Several enumerated enhancements apply
106 -	( 2F1.1)	Dollar loss does not capture extent of harm or seriousness
118 -	( 2F1.1)	Loss substantially exceeds maximum from loss table
119 -	( 2F1.1)	Dollar loss overstates seriousness of offense
120 -	( 2F1.1)	Fraudulent statements could be covered by more specific statute
121 -	( 2G1.1)	Offense did not involve profit nor physical force or coercion
122 -	( 2G1.1, 2G1.2, 2H2.1)	Bodily injury
123 -	( 2H2.1)	Significant property damage
124 -	( 2H2.1)	Corrupting a public official
122 -	( 2J1.2, 2J1.3)	Bodily injury
107 -	( 2J1.2, 2J1.3)	Weapon use
123 -	( 2J1.2, 2J1.3)	Significant property damage
122 -	( 2K1.4)	Bodily injury
125 -	( 2L1.1)	Large number of aliens
126 -	( 2L1.1)	Dangerous or inhumane treatment
127 -	( 2L2.2, 2L2.4)	Deported on one or more prior occasions
128 -	( 2M3.1, 2M3.7, 2M3.9)	Revelation causes little or no harm
129 -	( 2M3.1, 2M3.7, 2M3.9)	President or designee authorizes to protect national security
130 -	( 2M5.1, 2M5.2)	During war or armed conflict
131 -	( 2M5.1, 2M5.2)	Extreme threat to national security
132 -	( 2M5.1, 2M5.2)	Extreme volume of commerce involved
133 -	( 2M5.1, 2M5.2)	Extreme planning or sophistication
134 -	( 2M5.1, 2M5.2)	Extreme number of multiple occurrences
109 -	( 2N1.1, 2N1.2, 2N1.3, 2N2.1)	Death results
122 -	( 2N1.1, 2N1.2, 2N1.3, 2N2.1)	Bodily injury
135 -	( 2N1.1, 2N1.2, 2N1.3, 2N2.1)	Extreme psychological injury
123 -	( 2N1.1, 2N1.2, 2N1.3, 2N2.1)	Substantial property damage
136 -	( 2N1.1, 2N1.2, 2N1.3, 2N2.1)	Substantial monetary loss
137 -	( 2N2.1)	Negligence involved
109 -	( 2P1.1, 2P1.3, 2Q1.1, 2Q1.2)	Death results
122 -	( 2P1.1, 2P1.3)	Bodily injury
138 -	( 2Q1.1, 2Q1.2)	Serious bodily injury
137 -	( 2Q1.2)	Negligence involved
139 -	( 2Q1.2, 2Q1.3)	Harm resulting from emission, release or discharge
140 -	( 2Q1.2, 2Q1.3)	Harm resulting from quantity and nature of substance or pollutant
141 -	( 2Q1.2, 2Q1.3)	Harm resulting from duration of offense
142 -	( 2Q1.2, 2Q1.3)	Harm resulting from risk
143 -	( 2Q1.2, 2Q1.3)	Nature of risk
144 -	( 2Q1.2, 2Q1.3)	Number of people at risk
145 -	( 2Q1.2, 2Q1.3)	Nature of contamination
146 -	( 2Q1.2, 2Q1.3)	Similar conduct by civil adjudication
147 -	( 2Q1.2, 2Q1.3)	Failure to comply with administrative order
137 -	( 2Q1.3)	Negligence involved

## CODES FOR DEPARTURE REASONS, CONT'D

## Specific Guideline Departure (Chapter 2) Suggested by the Commission

- 148 - ( 2T1.6) Tax evasion and embezzlement
- 149 - ( 2T1.8) Attempting to evade taxes
- 150 - ( 2T2.1) More than tax evasion
- 151 - ( 2T3.1, 2T3.2) Importation of drugs, obscene materials, firearms, pelts of endangered species

## Specific Guideline Departure (Chapter 3) Suggested by the Commission

- 152 - ( 2T3.1) Duties may not adequately reflect harm
- 200 - ( 3A1.2) Official victim
- 201 - ( 3C1.1) Further obstruction of justice

## Multiple Counts

- 250 - ( 3D1.4) Offenses significantly more than 5 units
- 251 - ( 3D1.4) Most serious offense too high for other offense to receive units
- 252 - ( 3D1.4) Several minor offenses result in too many units

## ( 4A1.3) Adequacy of Criminal History

- 300 - Pattern of conduct
- 301 - Pending cases
- 302 - Related cases
- 303 - Old cases
- 304 - General adequacy of criminal history; does not reflect seriousness of criminal history
- 305 - Outside applicable time period, but similar misconduct
- 306 - Outside applicable time period, but substantial portion of income
- 307 - Significance or similarity of past conduct
- 308 - Other adequacy of criminal history
- 309 - Criminal history category over represents the defendant's involvement
- 310 - Prior criminal record - risk of future criminal conduct

## Disagree with Enhancements Under the Guidelines

- 400 - Unconvicted behavior added under relevant conduct
- 401 - ( 4B1.3) Criminal livelihood
- 402 - ( 4B1.1) Career offender
- 403 - Role in the offense

## Plea Agreement

- 500 - Pursuant to a plea agreement
- 501 - Due to stipulations
- 502 - Other plea agreement reason

## CODES FOR DEPARTURE REASONS, CONT'D

## Other Reasons

- 650 - Mule/Role in the offense
- 651 - Interpolation
- 652 - Deportation
- 653 - Local conditions
- 654 - Adequate to meet the purposes of sentencing
- 655 - Deterrence
- 656 - Prey to other inmates
- 657 - Range is not below range approved by Parole Commission
- 658 - Charge/Plea does not reflect the seriousness of the offense
- 659 - Guidelines do not reflect the seriousness of the offense
- 660 - Guidelines do reflect the seriousness of the offense
- 661 - No prior record/first offender
- 662 - To put in line with co-defendants
- 663 - High speed chase

## Other Reasons

- 664 - Lack of culpability/ accountability of defendant
- 665 - Lost job/punishment enough
- 666 - Lack of available facilities/ overcrowding
- 667 - Drug amount not taken into account by guidelines
- 668 - Drug purity not taken into account by guidelines
- 669 - Indigent background
- 670 - Sentenced at statutory minimum
- 671 - Time or cost involved in the investigation
- 672 - Defendant's propensity for violence
- 673 - Acceptance of responsibility
- 674 - Limited/Minor prior record
- 675 - Drug amount (general)
- 676 - Rehabilitation
- 677 - Restitution
- 678 - Incapacitation
- 679 - Punishment
- 680 - Nature/Seriousness of the offense
- 681 - First felony conviction
- 682 - Counseling/treatment (general)
- 683 - On-going nature of activity
- 684 - Dollar amount in line with ability to pay
- 685 - Seizure/forfeiture of assets
- 686 - Community service in lieu of a fine
- 687 - Cover costs of supervision
- 688 - Dollar amount involved in crime (general)
- 689 - Minors involved
- 690 - Untruthful testimony
- 691 - Terrifying the victim
- 692 - Other drug
- 693 - Recode to missing
- 694 - Reduce disparity between similar cases and codefendants
- 695 - Currently receiving punishment under state or federal jurisdiction

## Other Reasons

- 696 - Proximity to the border; ease in crossing
- 697 - Defendant's positive background/good character
- 698 - Defendant's negative background/bad character
- 699 - Military record
- 821 - Substantial assistance motion likely
- 822 - Defendant was police officer or ex-police officer
- 823 - Offense behavior was an isolated incident/aberrant behavior
- 824 - A lower sentence gives the defendant a chance to become productive member of society
- 825 - ( 5K2.16) Voluntary Disclosure
- 826 - Lack of youthful guidance
- 827 - Delay in the prosecution: evidentiary concerns
- 828 - Time Served
- 829 - Reverse Sting
- 830 - Child Abuse (Childhood Abuse/Battered Child Syndrome)
- 831 - Remorse
- 832 - Abuse of Trust/Skill/Position

## No real reasons provided

- 700 - Not representative of the "heartland"
- 701 - Guidelines too high/Offense level over represented
- 702 - Guidelines too low/Offense level under represented
- 703 - ( 5K2.0) General aggravating or mitigating circumstance
- 777 - Other (SPECIFY)