

# Synthesizing Ground Motion Using Bayesian Optimization in Variational Auto-encoder

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# Background

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- When designing structures, it is necessary to use ground motions (GMs) that accurately reflect the potential impact of seismic events on structures.
- Conventional methods fitting ground motions to a specific response spectrum to fit their expectation of simulation analysis.
- However, even if GMs match the design spectrum, they could still be different to trigger their nonlinear response, which can influence the reliability of structural design.

# Synthesis of Design GM Using Variational Auto-encoder

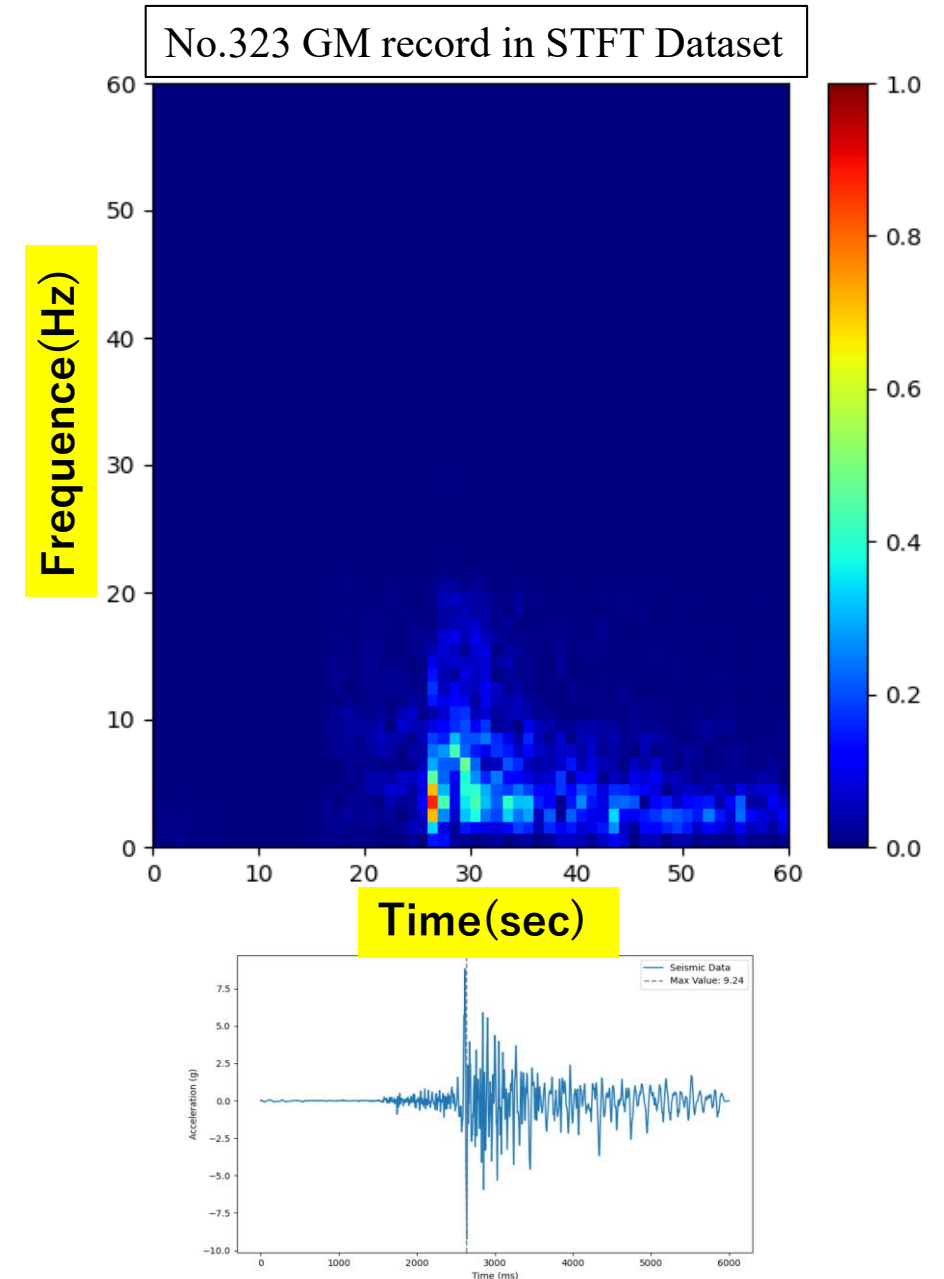
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- The method has been proposed (Lin, 2024) to synthesize GMs that not only matched the design spectrum but also exhibited strong nonlinear intensity.
- To achieve this, they utilized a Variational Auto-encoder as a method:
  - A) Data: STFT (Short Time Fourier Transform), not time history, of GMs are used as the training data, since it gives stable results.
  - B) Train VAE: Learn the underlying patterns within the data.
  - C) Feature Extraction: Represent the key features for synthesis GMs. Features do not have physical meanings.

# STFT Dataset

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- 20,000 GM records from KNET.
- GMs were spectrum-fitted.
- Short-Time Fourier Transform (STFT) was estimated to show Energy Distribution and Frequency Variations in time.



# Synthesis of Design GM Using Variational Auto-encoder

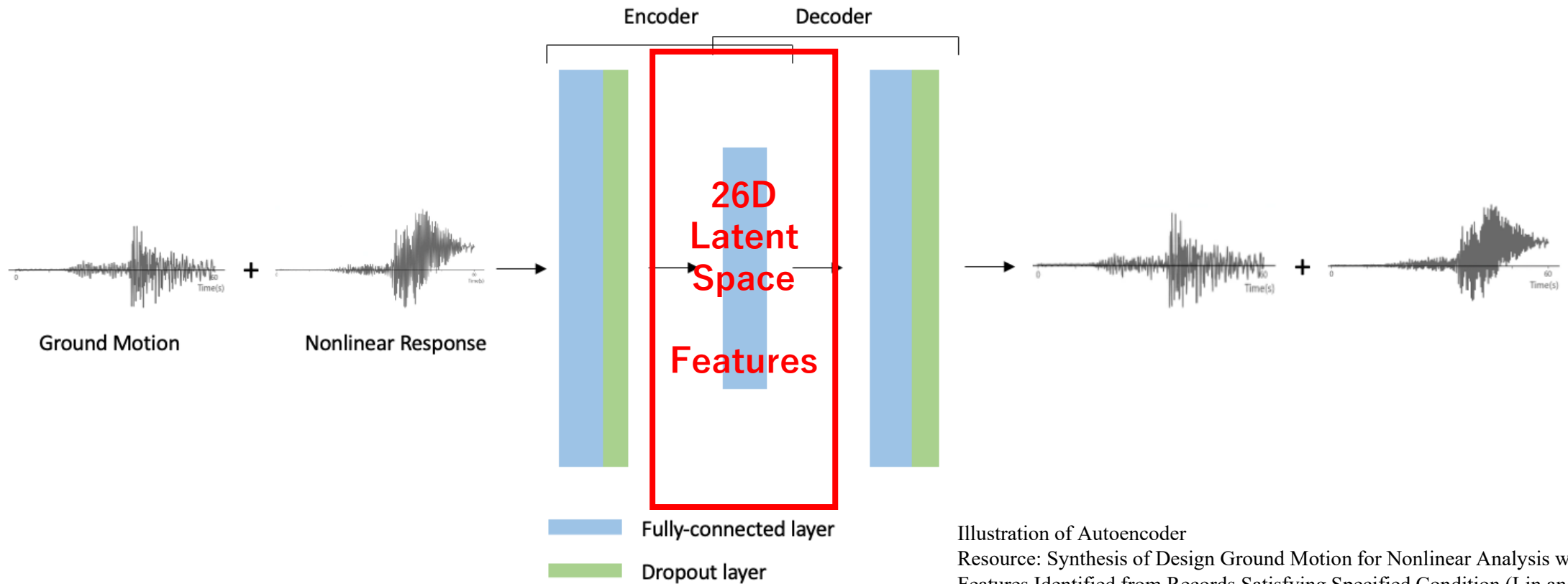


Illustration of Autoencoder

Resource: Synthesis of Design Ground Motion for Nonlinear Analysis with Features Identified from Records Satisfying Specified Condition (Lin and Honda, 2022).

# Objective of Simulation

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- Search the optimal GM in the set of GMs compatible with the design spectrum.
- In the latent space spanned by the features identified by Encoder, gradient method is not applicable, because gradient is not defined.
- Lin update the GM by finding the optimal increment among the candidate assumed by ad-hoc approach.
- →The optimal solution is not assured.

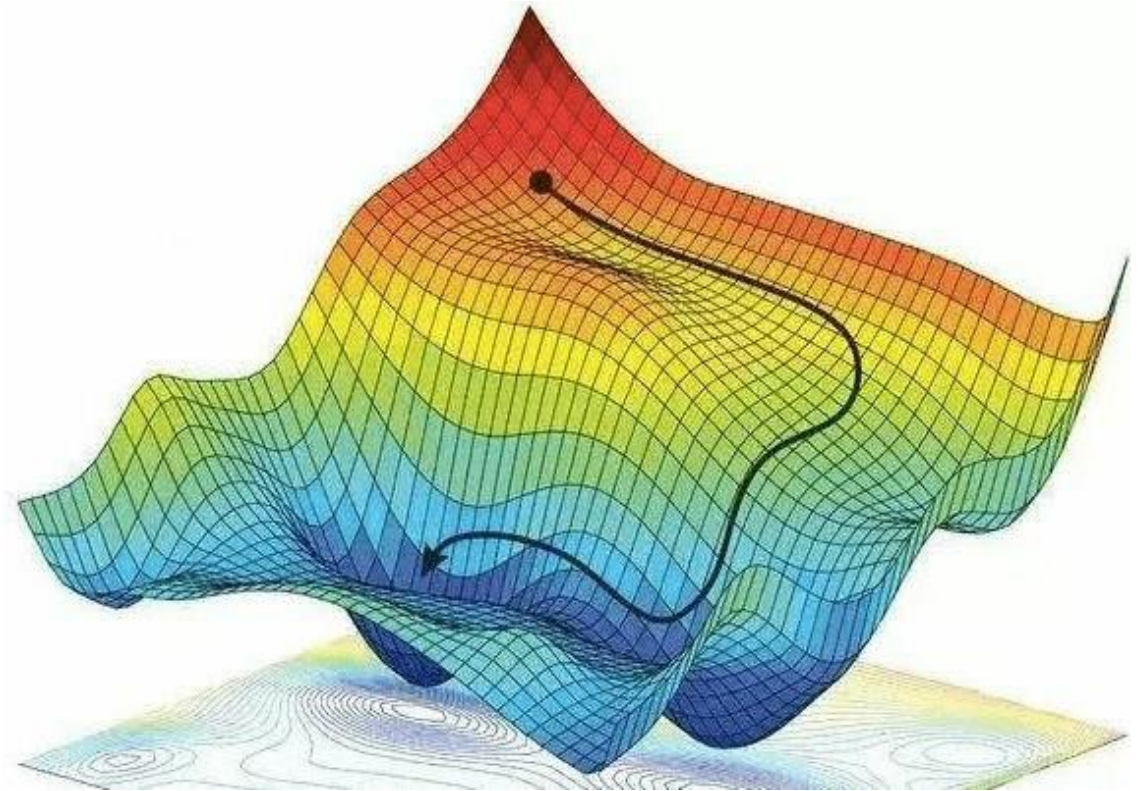


Illustration of Gradient Method.  
(Resource: <https://easyai.tech/ai-definition/gradient-descent/>)

# Research Objective

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- To develop a method to synthesize ground motions efficiently by integrating Variational Auto-encoder with Bayesian optimization.
- To improve the performance of a method based on Variational Autoencoder, which had been proposed by Lin et al., gradient to update the GM is obtained by Bayesian Optimization.

# Bayesian Optimization (BO)

- BO can be used effectively on the VAE in GM synthesis to maximize the specific features by the Exploitation-Exploration Trade-off.
- Exploitation: Focus on assessing already identified good results to optimize.
- Exploration: Focus on assessing new, unstudied areas with potential for good results.

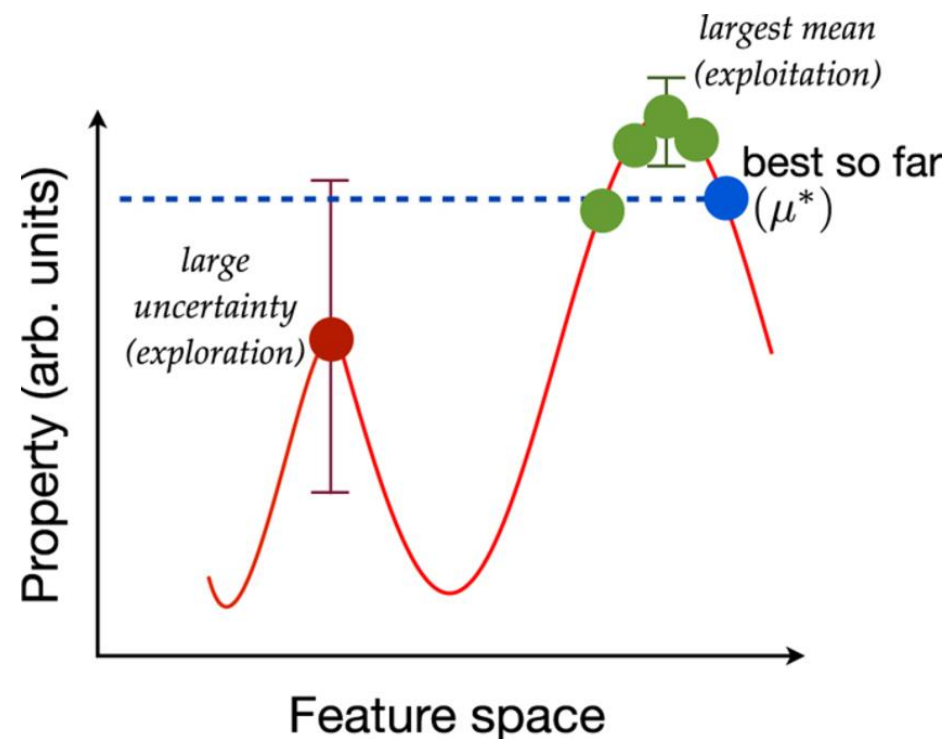
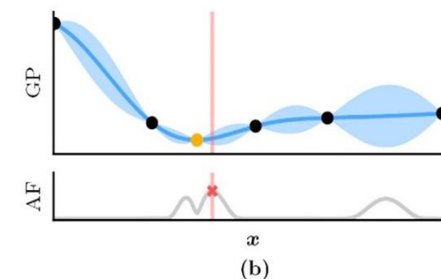
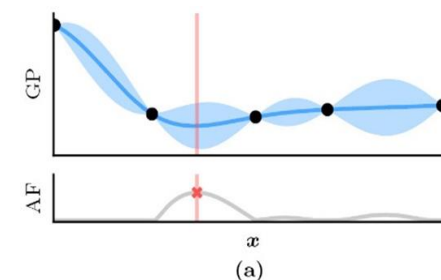
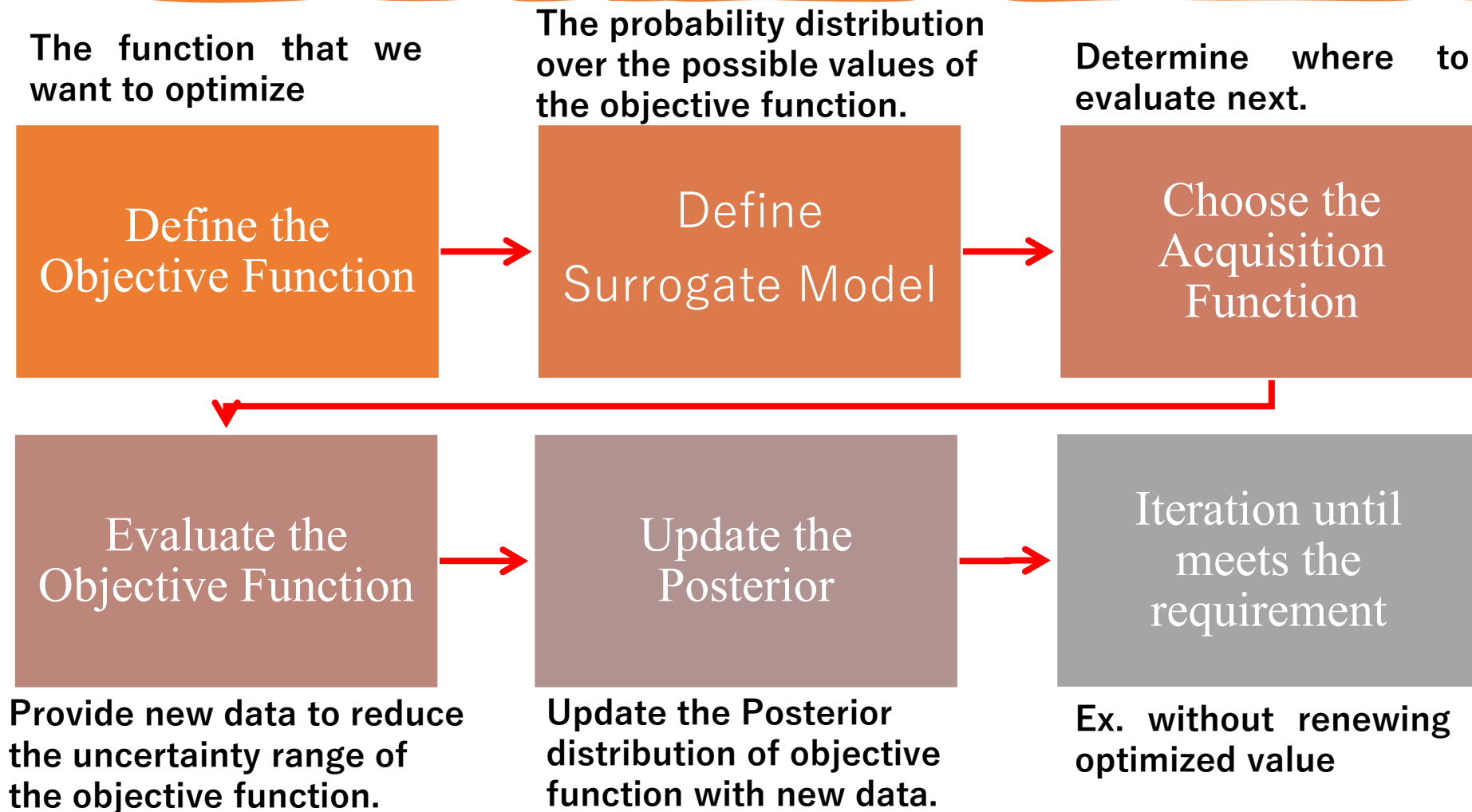


Illustration of Exploration and Exploitation.  
Adaptive Strategies for Materials Design using Uncertainties(Balachandran et al, 2016).



# Bayesian Optimization Procedure



This is the plot of Gaussian Process and Acquisition in an iteration (Öcal et al., 2019).

# Tree-structured Parzen Estimator(TPE)

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- A method is used in the BO.
- More computational efficiency and adaptability to high-dimensional data.
- Use of two different probabilistic models to estimate the probability distribution.
- For a given hyperparameter  $x$ , the model chooses the probability density function  $p(x|y)$  to be categorized into **high-performance** regional model  $l(x)$  and **low-performance** regional model  $g(x)$  according to the sampling value of the objective function  $y(x)$ .

# Numerical Simulations

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Optimize the maximum displacement of an SDOF system within a 95% confidence interval of Peak Ground Acceleration.

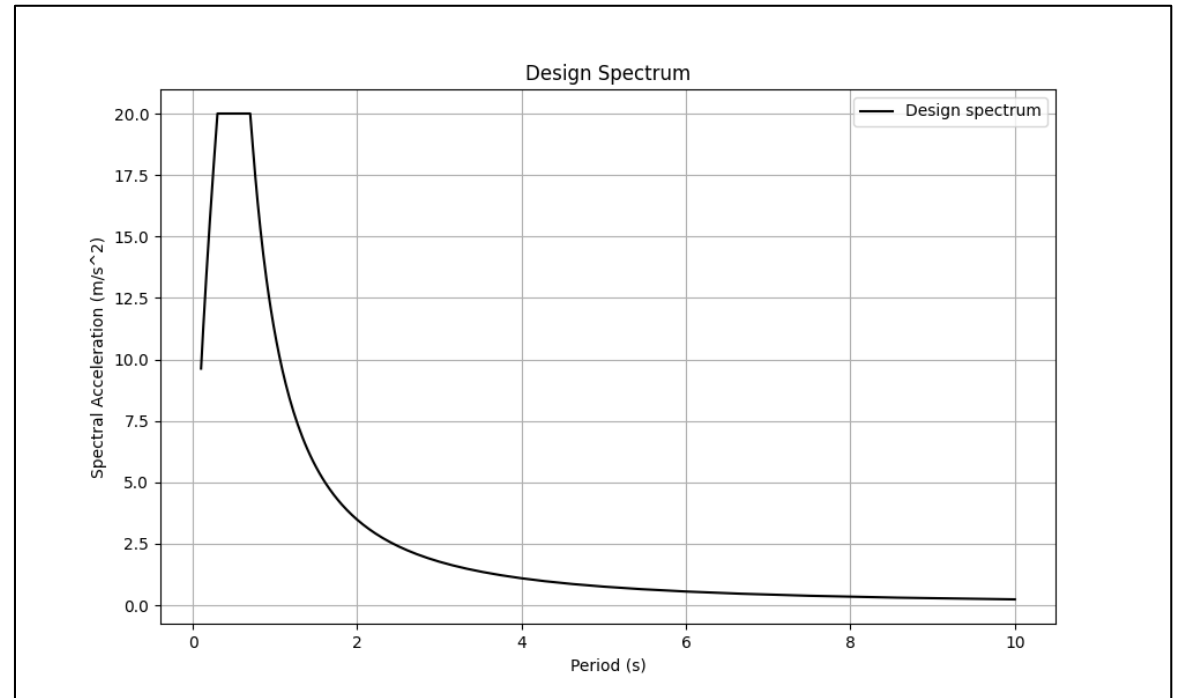
Under the same condition, the only difference is:

- Case 1: Search in One Shot in the Upper and Lower Bound of the 95% confidence interval.
- Case 2: Gradually Search in the Upper and Lower Bound of the 95% confidence interval.

# SDOF System Properties

- Target SDOF Structure
  - Natural Frequency: 0.5Hz
  - Damping coefficient:  $h = 0.05$

- Target Design Spectrum

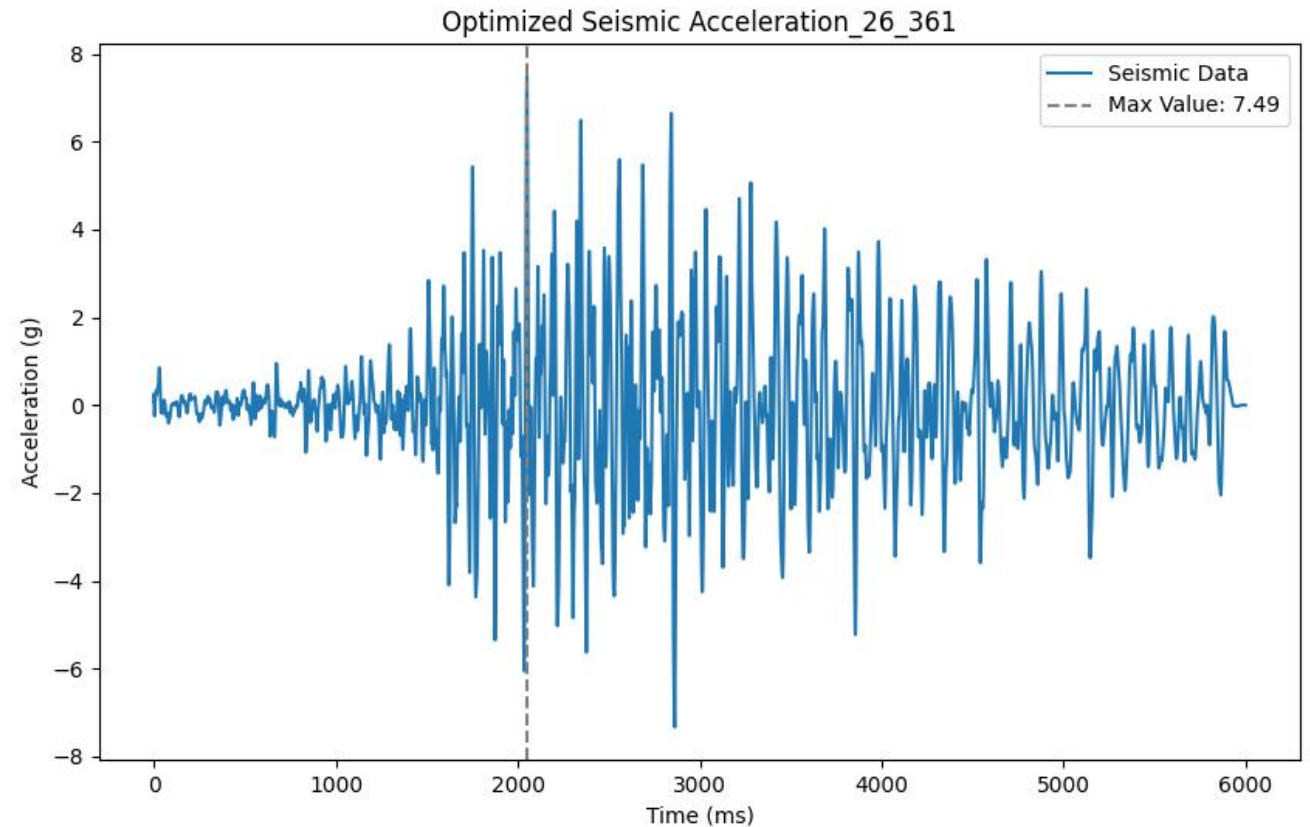
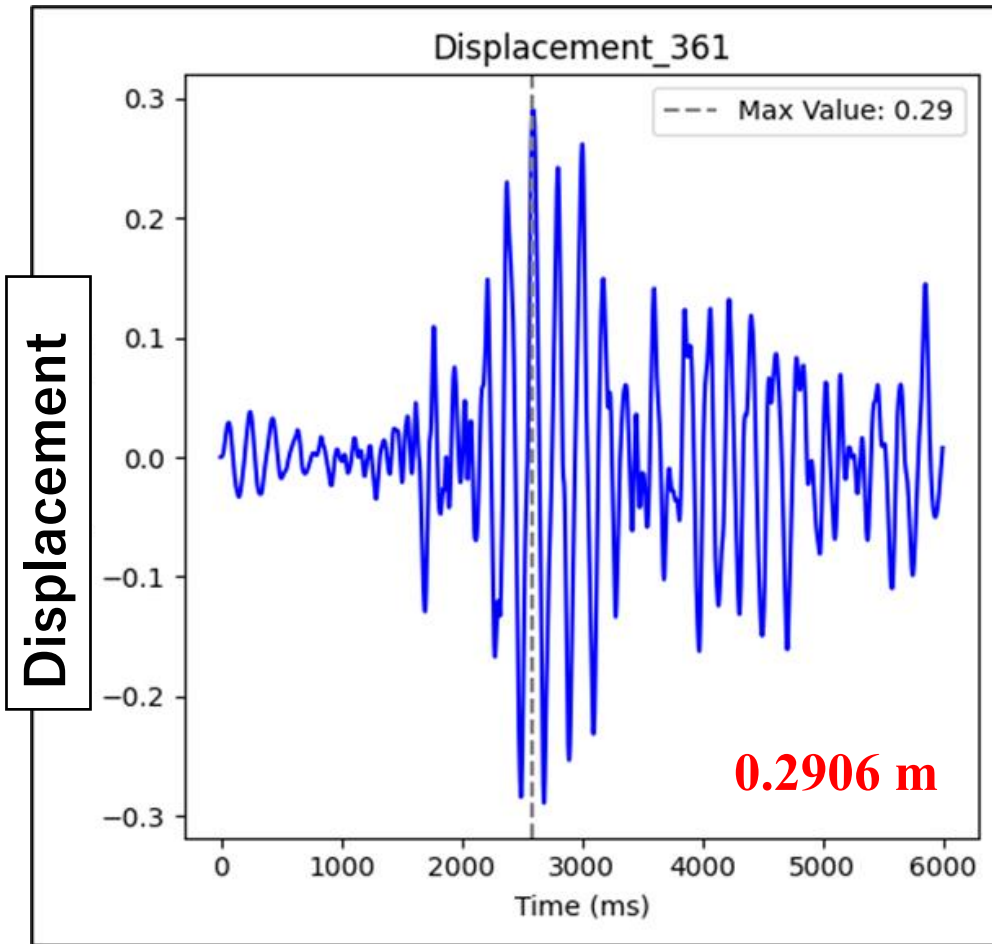


# Case 1: Setting

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- A) Objective Function: Maximum **Displacement** of SDOF.
- B) Searching Space: the 95% confidence interval of **26-dimension** latent space.
- C) Assumed the Upper Bound is bigger than the Lower Bound for easily apply on the BO without any influence on the result.
- D) Early Stopper: if the optimized result does not renew after 200 iterations, the current result is considered the optimized result.

# Case 1: Generated GM and time history of the system



# Case 2: Setting

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- A) Objective Function: Maximum **Displacement** of SDOF.
- B) Searching Space: the 95% confidence interval of **26-dimension** latent space.
- C) Assumed the Upper Bound is bigger than the Lower Bound for easily apply on the BO without any influence on the result.
- D) Early Stopper: if the optimized result does not renew in the next 10 times BO, the current result is considered the optimized result.

# Case 2: Bound Setting

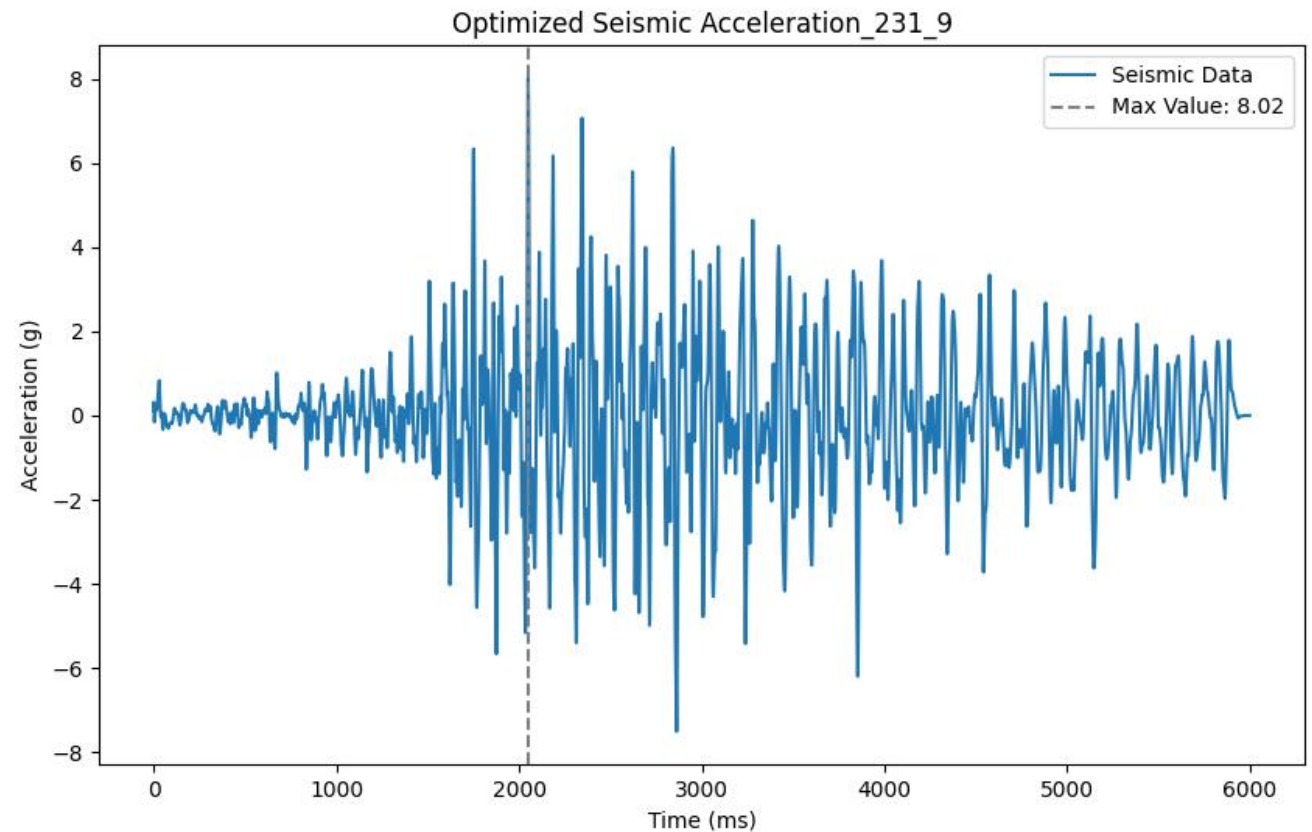
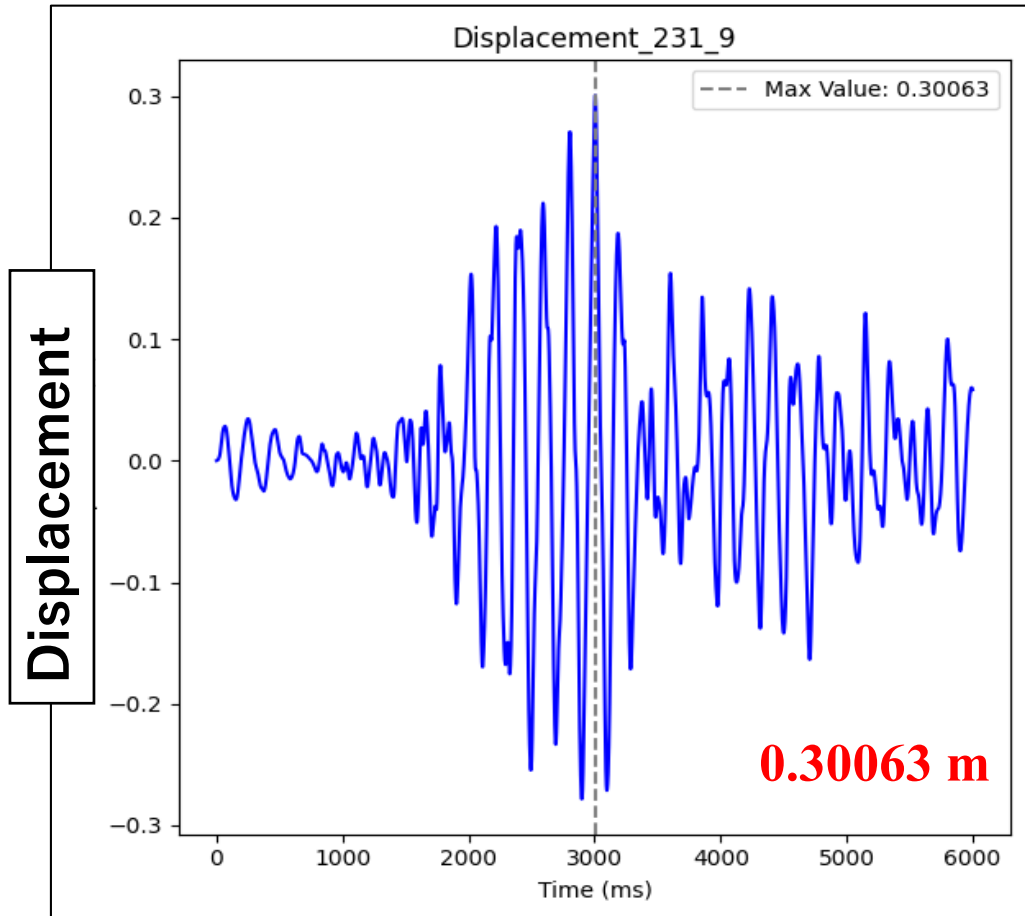
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- A) Set a parameter  $\Delta = \frac{(\text{Upper Bound of 95\%} - \text{Lower Bound of 95\%})}{20}$
- B) Renew: After each time of BO renew the upper bound and lower bound of new iterations with the optimized result +DELTA and -DELTA.
- C) Optimized iterations in each BO: 100 iterations.



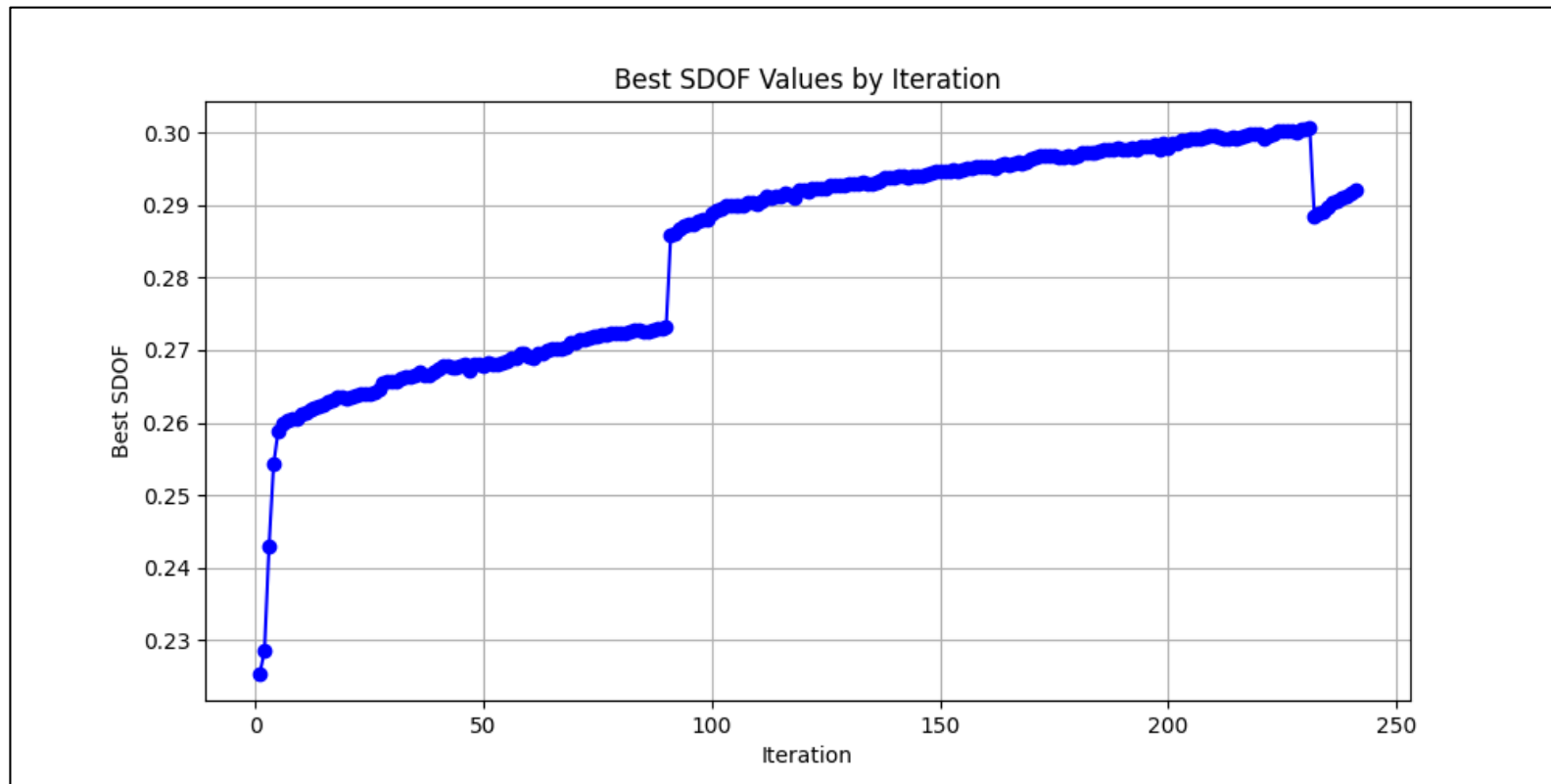


# Case 2: Generated GM and time history of the system



## Case 2: Update path of the peak displacement

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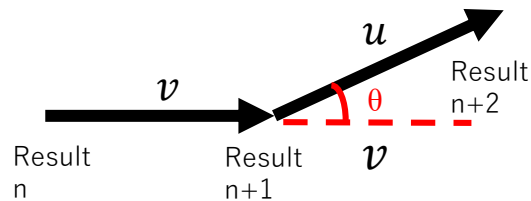


- Result: a total of 241 times of BO.
- The best result happened: the 231 times of BO.
- The optimized result is 0.30063(m).

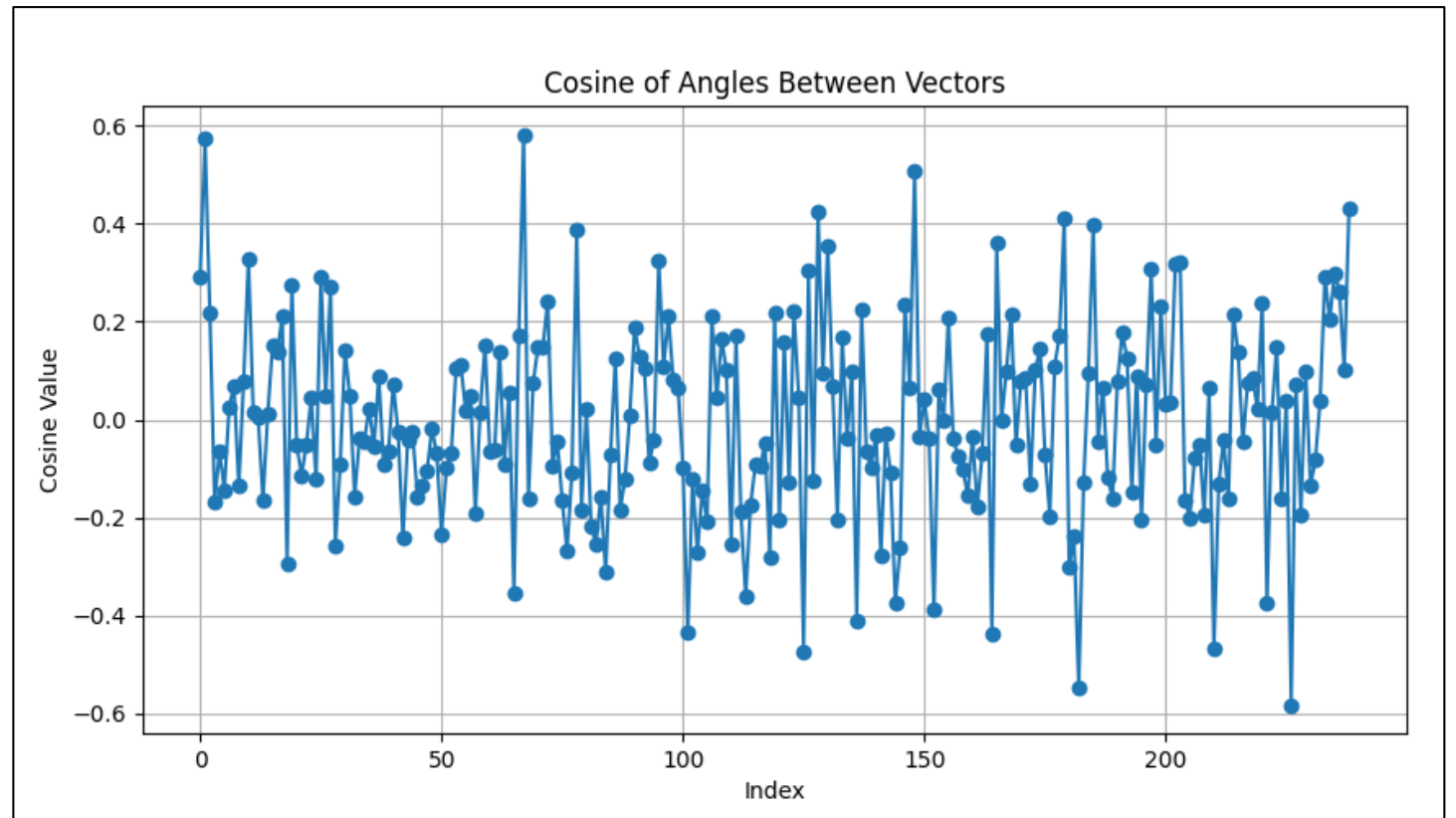
# Case 2: Path of update

$$\cos(\theta) = \frac{u \cdot v}{\|u\| \|v\|}$$

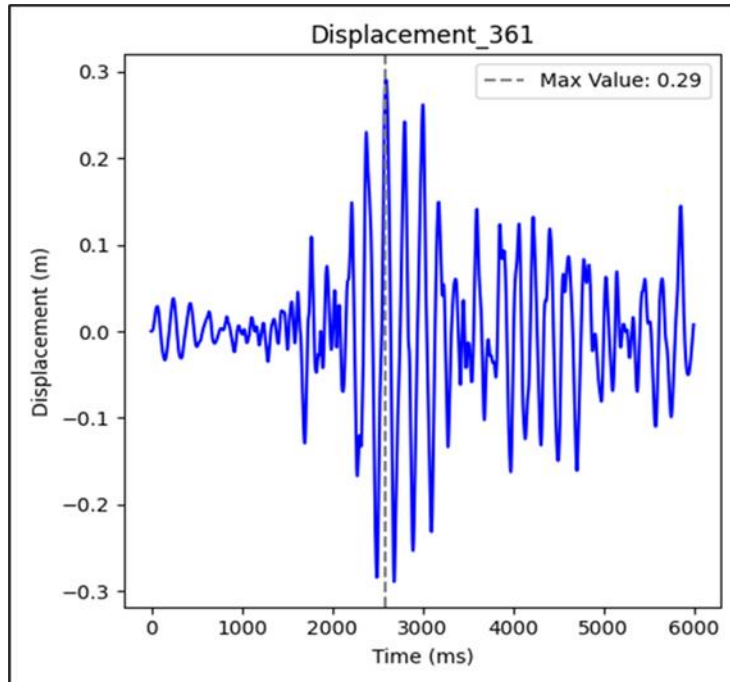
- $\cos(\theta)=1 \rightarrow$  Parallel.
- $\cos(\theta)=0 \rightarrow$  Orthogonal.
- $\cos(\theta)=-1 \rightarrow$  Antiparallel.



To check if the updating path is straight. Since 26-dimension space cannot be plotted, the temporal change of the direction (angle difference).

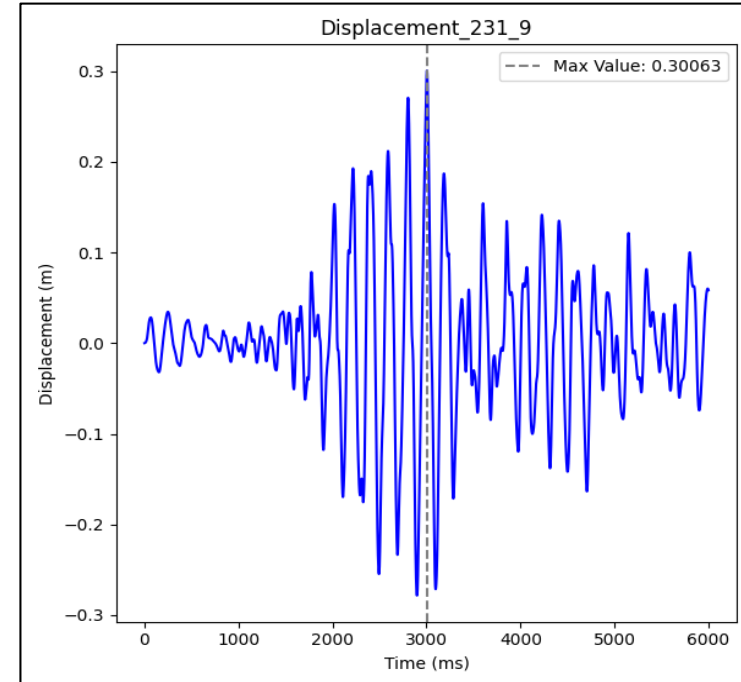


# Comparison of Case 1 & Case 2



Case 1

Total iterations to the best result: 361.  
The optimized result is 0.2906(m).

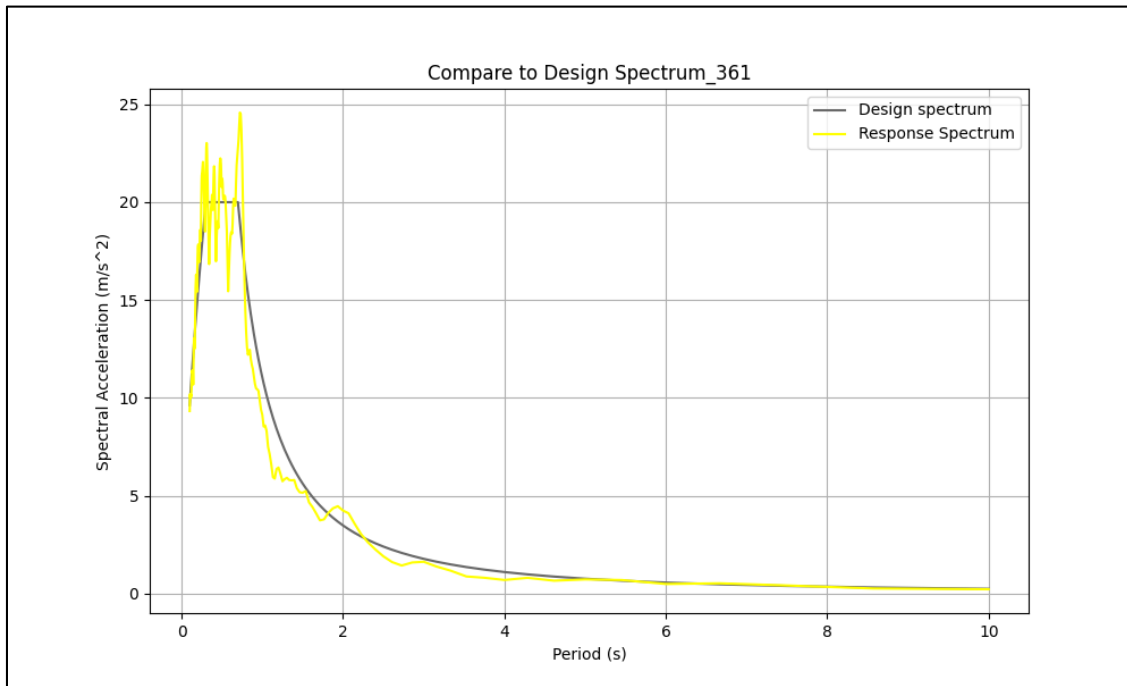


Case 2

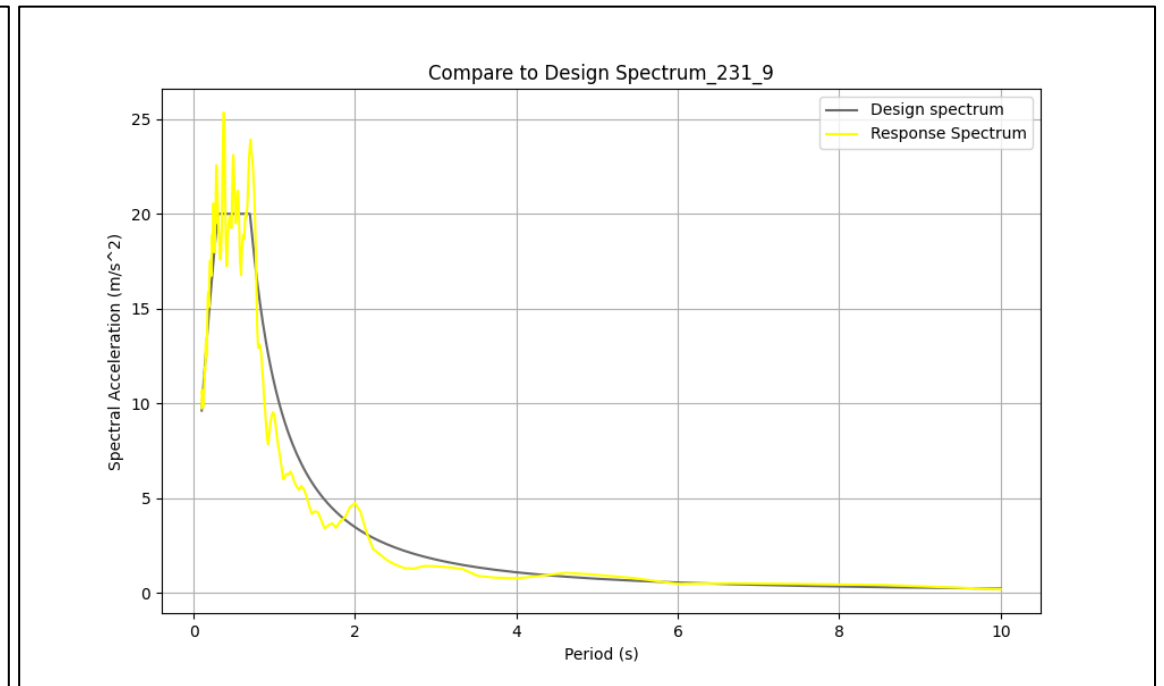
Total iterations to the best result: 23009.  
The optimized result is 0.30063(m).

# Compare to Design Spectrum

Case 1



Case 2



# Summary

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- We try to improve the method to synthesize GMs that are not only compatible with the **design spectrum** but also strong in terms of **nonlinear intensity**.
- The original scheme adopts the best among the randomly selected candidates in the updating process. We proposed to use Bayesian Optimization to find the best update of the GM.
- The proposed method generated good GM. The updating path was not straight to the final GM, indicating the importance of **stepwise search**.
- It was also found, however, that one-shot search with Bayesian Optimization can give closely good GM, too.
- Further study is necessary to see if we can improve the performance of the proposed scheme, such as increasing the number of iterations, etc.

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