

1D monoatomic chain

long wavelength $\omega = v_s q$

short wavelength can ignore the lattice is discrete

Phonon: $E = \hbar\omega$, $p = \hbar q$

$$c(v) = \frac{E}{\hbar\omega} = \frac{1}{c_{ph}/kT} - 1$$

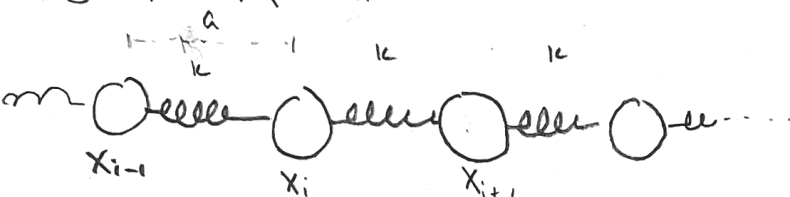
* chain of identical atoms of mass m , separated by distance a

X_n = position of n^{th} atom

X_n^{eq} = equilibrium position of n^{th} atom = na

$\delta X_n = X_n - X_n^{\text{eq}}$ = deviation of atom from eq position

generally! the $\frac{1}{2}kx^2$ or kx "x" is just difference in displacements from eq atoms in neighboring atoms



$$V_{\text{spring}} = \frac{1}{2} k x^2$$

how stretched spring is, based definition of

$$V_{\text{tot}} = \sum_i V(X_{i+1} - X_i) = \sum_i \frac{1}{2} k (X_{i+1} - X_i - a)^2 = \sum_i \frac{k}{2} (\delta X_{i+1} - \delta X_i)^2$$

sum of all springs

total length of the spring

$$\delta X_{i+1} = X_{i+1} - a$$

$$X_{i+1} = \delta X_{i+1} + a$$

$$\delta X_{i+1} + a - \delta X_i + a = a$$

$$\delta X_i = X_i - a \rightarrow X_i = \delta X_i + a$$

$$X_i = \delta X_i + a$$

$$= \delta X_{i+1} - \delta X_i$$

$$F_n = - \frac{\partial V_{\text{tot}}}{\partial X_n} = k (\underbrace{\delta X_{n+1} - \delta X_n}_{\text{force of atom to its right}}) - k (\underbrace{\delta X_n - \delta X_{n-1}}_{\text{force of atom to its left}})$$

← pulling it the other way or pushing it too hard...

$$\delta X_{n+1} - \delta X_n = X_{n+1} - X_n - a = \text{how stretched right spring is}$$

$$\delta X_n - \delta X_{n-1} = X_n - X_{n-1} - a = \text{how stretched left spring is}$$

only add displacements from eq equilibrium to get this x * makes sense if

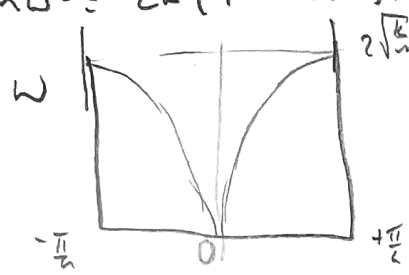
$$F_n = m \ddot{\delta X}_i = k (\delta X_{n+1} + \delta X_{n-1} - 2\delta X_n), \text{ guess } \delta X_n = A e^{i\omega t - ikna} = A e^{i\omega t - ikna}$$

$$\rightarrow -m\omega^2 A e^{i\omega t - ikna} = k A e^{i\omega t} (e^{-ika(n+1)} + e^{-ika(n-1)} - 2e^{-ikna})$$

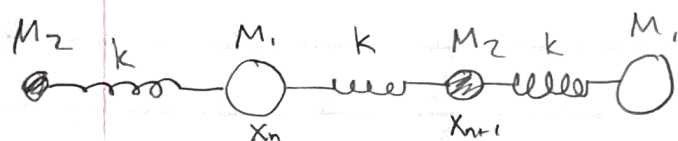
$$\rightarrow m\omega^2 = k (e^{-ika} + e^{ika} - 2)$$

$$\rightarrow m\omega^2 = 2k (1 - \cos(ka)) = 4k \sin^2(\frac{ka}{2}) \rightarrow$$

$$\omega = 2 \sqrt{\frac{k}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$



Chain



EOM:

$$M_1 \delta \ddot{X}_n = k(\delta X_{n+1} - \delta X_n) - k(\delta X_n - \delta X_{n-1})$$

$$M_2 \delta \ddot{X}_{n+1} = k(\delta X_{n+2} - \delta X_{n+1}) - k(\delta X_{n+1} - \delta X_n)$$

Guess: $\delta X_n = A_1 e^{-i\omega t - i g n a}$

$$-M_1 \omega^2 A_1 e^{-i g n a} = k(A_2 e^{-i g(n+1)a} - A_1 e^{-i g n a}) - k(A_1 e^{-i g n a} - A_2 e^{-i g(n-1)a})$$

$$-M_2 \omega^2 A_2 e^{-i g(n+1)a} = k(A_1 e^{-i g(n+2)a} - A_2 e^{-i g(n+1)a}) - k(A_2 e^{-i g(n+1)a} - A_1 e^{-i g n a})$$

$$-M_1 \omega^2 A_1 = k A_2 e^{-i g a} - \underbrace{A_1 k}_{2A_1 k} - k A_1 + A_2 k e^{i g a}$$

$$-M_2 \omega^2 A_2 = k A_1 e^{-i g a} - k A_2 - k A_2 + k A_1 e^{i g a}$$

$$\begin{bmatrix} 2k - M_1 \omega^2 & -k e^{-i g a} & -k e^{i g a} \\ -k e^{-i g a} & -k e^{i g a} & 2k - M_2 \omega^2 \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \quad e^{-i g a} + e^{i g a} = 2 \cos(ga)$$

$$(2k - M_1 \omega^2)(2k - M_2 \omega^2) - k^2 (e^{-i g a} + e^{i g a})^2 = 0$$

$$M_1 M_2 \omega^4 - 2k \omega^2 (M_1 + M_2) + 4k^2 - 4k^2 \cos^2(ga) = 0$$

$$\omega^4 - 2k \omega^2 \frac{M_1 + M_2}{M_1 M_2} + \frac{4k^2}{M_1 M_2} (1 - \cos^2(ga)) = 0$$

$$\omega^2 = k \left(\frac{1}{M_1} + \frac{1}{M_2} \right) \pm \sqrt{4k^2 \left(\frac{1}{M_1} + \frac{1}{M_2} \right) - \frac{4k^2}{M_1 M_2} (1 - \cos^2(ga))}$$

Density of States

$$\omega = \frac{k v}{q}, \quad f = \frac{v}{\lambda}, \quad \frac{2\pi}{\lambda} v = k$$

* think of vibrations in a solid as sound waves,

↳ $u(x) = A e^{i(qx - \omega t)}$ w/ periodic boundary conditions $u(0) = u(L)$

$$e^{iqL} = 1 = e^{i2\pi n} \rightarrow q = n \frac{2\pi}{L}, \quad n = 0, \pm 1, \pm 2, \dots$$

Meaning of density of states:

(total number of oscillation modes between $\omega, \omega + d\omega$) $\left(q, q + dq \right)^V = g(\omega) d\omega$

(1D) $\frac{q}{2\pi/L} = n \rightarrow \frac{1}{2} \left[\left(\frac{q}{2\pi/L} + \frac{dq}{2\pi/L} \right) - \left(\frac{q}{2\pi/L} \right) \right] = g(\omega) d\omega$

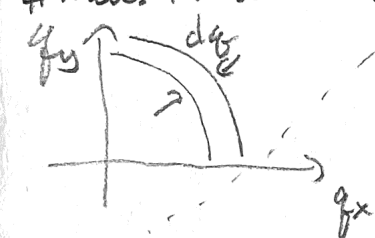
$\left\{ \begin{array}{l} 1 \text{ longitudinal mode} \\ \text{but 1 for each } q \end{array} \right\}$

$$\frac{L}{\pi} \frac{dq}{d\omega} = g(\omega) \rightarrow \boxed{g(\omega) = \frac{L}{\pi} \frac{1}{v}}$$

$$\rightarrow 2 \frac{L}{2\pi} dq = g(\omega) d\omega$$

(2D) $e^{iq_x L} + e^{iq_y L} = 1 \rightarrow q_x = n \frac{2\pi}{L}, \quad q_y = m \frac{2\pi}{L} \rightarrow$ Area in q space corresponds to width in ω space

modes in width $dq \rightarrow$ ring



$$\frac{2\pi q \cdot dq}{\left(\frac{2\pi}{L} \right)^2} = \left[\begin{array}{l} \text{how many unit} \\ \text{areas fit in} \\ \text{ring,} \end{array} \right]$$

for each area (atom) there are 2 modes

$$\rightarrow 2 \frac{2\pi q \cdot dq}{\left(\frac{2\pi}{L} \right)^2} = g(\omega) d\omega$$

density of states
↓
of modes in unit area of solid

unit area

$$\rightarrow \frac{L^2}{\pi} \frac{dq}{d\omega} = g(\omega) = \frac{L^2}{\pi} \frac{\omega}{v^2}$$

(3D) # modes in $dq \rightarrow$ shell

$$\frac{4\pi q^2 dq}{\left(\frac{2\pi}{L} \right)^3} (3) = g(\omega) d\omega \rightarrow g(\omega) = 3 \frac{L^3}{2\pi^2} \left(\frac{\omega}{v} \right)^2 \cdot \frac{1}{v}$$

$\frac{2\pi}{L}$ for same reason but 3D now

3 modes of polarization

How many unit volumes fit in shell, each unit volume (atom) has {3 modes}

$$\boxed{g(\omega) = \frac{3L^3}{2\pi^2} \frac{\omega^2}{v^3}}$$

Specific Heat of Solids

→ tells you → $Q = C \Delta T$ → $C_p = \lim_{Q \rightarrow 0} \frac{Q}{\Delta T} \Big|_{p=\text{constant}}$, $C_v = \lim_{Q \rightarrow 0} \frac{Q}{\Delta T} \Big|_{v=\text{constant}}$

then using $Q = \Delta U + W$ or $\Delta U = \text{heat is transferred to sys by work} = Q - W$

$C_p = \left(\frac{\partial H}{\partial T} \right)_p$, $H_f = U_f + PV_f$, $C_v = \left(\frac{\partial U}{\partial T} \right)_v$ since $W=0$, $Q = \Delta U$
 bec/ $PV = nRT$, $H = U + \frac{PV}{nRT}$

$C_p - C_v = \left(\frac{\partial H}{\partial T} \right)_p - \left(\frac{\partial U}{\partial T} \right)_v = \frac{dU}{dT} + nR - \frac{dU}{dT} = nR$ for ideal gas *

For solids:

$C_p - C_v = \alpha_v^2 \beta_f TV \approx 0$ so $C_p \approx C_v \approx 3R$ or $3k_B$ per atom

Maxwell Boltzmann Distribution

* air molecules around us are moving at different velocities (fast, medium, slow)

So we ask what is the distribution of velocities (therefore energy)

area under curve gives total # particles
 cur apt what is the speed of an air molecule of a gas

probability density as a function of speed v = $\sqrt{\left(\frac{m}{2\pi kT}\right)^3} 4\pi v^2 e^{-\frac{mv^2}{2kT}}$ a weight of how many have

Probability of being in state A = $P_A = \frac{e^{-E_A/kT}}{\sum_i e^{-E_i/kT}}$ ← like a prob density func
 ← Sum of all all states to normalize it, $Z = \text{partition function}$ $E_{avg} = \frac{\sum E_i P_i}{Z}$

Einstein Model

atoms treated as quantum harmonic oscillators w/ $E_n = \hbar\omega(n + \frac{1}{2})$

$\langle E \rangle = \frac{\sum_n E_n e^{-E_n/kT}}{\sum_n e^{-E_n/kT}}$ where the denominator is the partition func, to normalize the numerator
 → numerator is probability density of each state weighted by the corresponding energy

QM, we put this one, is norm factor

$\langle E \rangle = -\frac{\partial}{\partial \beta} \ln \left(\sum_n e^{-\beta E_n} \right) = -\frac{\partial}{\partial \beta} \ln \left(\sum_n e^{-n\hbar\omega\beta} e^{-\frac{\hbar\omega\beta}{2}} \right) = -\frac{\partial}{\partial \beta} \ln \left(e^{-\frac{\hbar\omega\beta}{2}} \sum_n e^{-n\hbar\omega\beta} \right)$

$= -\frac{\partial}{\partial \beta} \ln \left(\frac{e^{\frac{\hbar\omega\beta}{2}}}{e^{\hbar\omega\beta} - 1} \right) = \frac{\hbar\omega}{e^{\hbar\omega/kT} - 1} + \frac{\hbar\omega}{2}$ ← average energy of a atom in quantum SHO

$U = 3N_A \langle E \rangle$ ← 3 der of freedom, $\langle E_j \rangle = \langle E_i \rangle = \langle E \rangle$ so tot energy per mole, $3N_A k_B = 3R$

$C_v = \left(\frac{\partial U}{\partial T} \right)_v = 3R \left(\frac{\hbar\omega}{k_B T} \right)^2 \frac{e^{\hbar\omega/k_B T}}{(e^{\hbar\omega/k_B T} - 1)^2}$
 • at $kT \gg \hbar\omega$ recovers $3k_B$ (high T)
 • at low T, too low, C vanishes rapidly which is bad.

Debye's Calculation

• at low temps $C \propto T^3$ Einstein's doesn't do that
 ↳ solid has waves w/ $\omega(\vec{k}) = v|\vec{k}|$ for each direction of \vec{k} there's 3 modes of oscill.

Since energy is related to ω and thus the number of modes, we need to add all the energies for every mode, still using Einstein's eq for $\langle E(\omega) \rangle$
 # recall: $g(\omega)d\omega = \#$ of modes between $\omega, \omega+d\omega$
 ↳ for each mode we multiply by $\langle E(\omega) \rangle$ bec each one contributes to energy.

$$\langle E \rangle = \int_0^\infty g(\omega) \langle E(\omega) \rangle d\omega$$

$$= \int_0^\infty \frac{3L^3}{2\pi^2} \frac{\omega^2}{v^3} \left(\hbar\omega \left[\frac{1}{e^{\hbar\omega/kT} - 1} + \frac{1}{2} \right] \right) d\omega$$

let $nL^3 = N$, $n = \text{density of states}$
 $\omega_d^3 = 6\pi^2 n v^3$
 $\beta = \frac{1}{kT}$

$$= \frac{9N\hbar}{\omega_d^3} \int_0^\infty \frac{\omega^3}{e^{\beta\hbar\omega} - 1} d\omega + [T \text{ incl constant}]$$

(will be taking derivative)

is actually infinite but will be handled later by a cutoff frequency

let $x = \beta\hbar\omega$

$$\langle E \rangle = \frac{9N\hbar}{\omega_d^3 (\beta\hbar)^4} \int_0^\infty \frac{x^3}{e^x - 1} dx$$

$$\rightarrow \langle E \rangle = 9N \frac{(k_B T)^4 \pi^4}{(\hbar\omega_d)^3 15} + [T. \text{incl}]$$

$$C = \frac{\partial \langle E \rangle}{\partial T} = N k_B \left(\frac{k_B T}{\hbar\omega_d} \right)^3 \frac{12\pi^4}{5} = N k_B \frac{T^3}{(T_{\text{Debye}})^3} \frac{12\pi^4}{5}, \quad T_{\text{Debye}} = \frac{\hbar\omega_d}{k_B}$$

Cutoff freq

$$3N = \int_0^{\omega_{\text{cut-off}}} g(\omega) d\omega \rightarrow \langle E \rangle = \int_0^{\omega_{\text{cut-off}}} g(\omega) \langle E(\omega) \rangle d\omega$$

* still can drop zero-point energy bec temp incl.

* at low T cut-off $\approx \infty$ bec the

$e^{\hbar\omega/kT} \rightarrow \infty$, so $\langle E \rangle \rightarrow 0$ at ω well below $\omega_{\text{cut-off}}$

↳ if you evaluate cutoff w/ $g(\omega)$ you find $\rightarrow \boxed{\omega_{\text{cut-off}} = \omega_d}$