

Gyrokinetic Electrostatic Simulations of Drift Modes in Dipole Configuration

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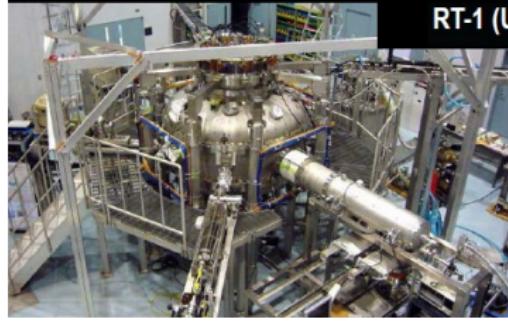
Two goals: magnetic confinement fusion (Hasegawa1987) & space environment



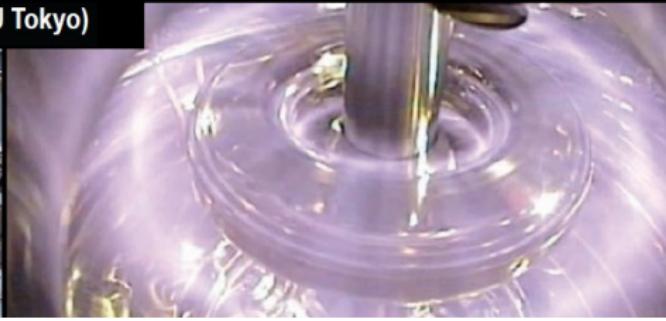
LDX (MIT/Columbia)



M. Mauel, 2015, APS



RT-1 (U Tokyo)



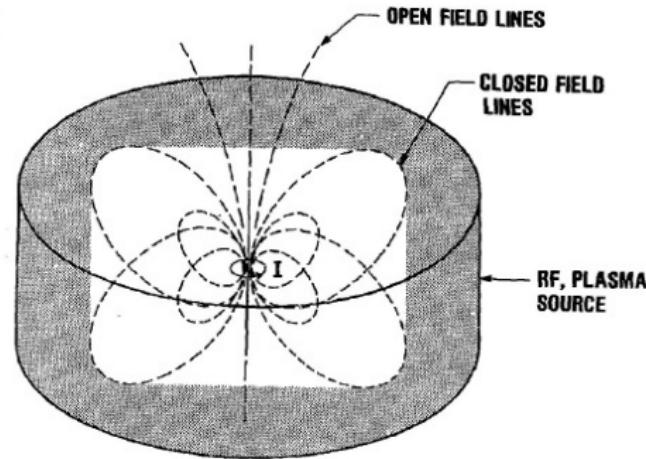
Laboratory dipole, LDX (MIT), CTX(Columbia) and RT-1 (Tokyo). Also, HDX:
~¥2B, Harbin, China.

For magnetic confinement fusion (Hasegawa1987)

Does magnetospheric physics apply to magnetic confinement in the laboratory?

Mauel15APS

- **Levitate** a small, high-current superconducting current ring within a very large vacuum vessel
- **Inject** heating power and a source of plasma particles at outer edge (SOL)
- **Somehow drive** low-frequency fluctuations that create radial transport, preserve (μ, J) , and sustain “centrally-peaked” profiles at marginal stability
- **Achieve** high beta, $\beta \geq 1$, steady-state, and link space and fusion studies



Akira Hasegawa, *Comments on Plasma Physics and Controlled Fusion* 11, 147 (1987)

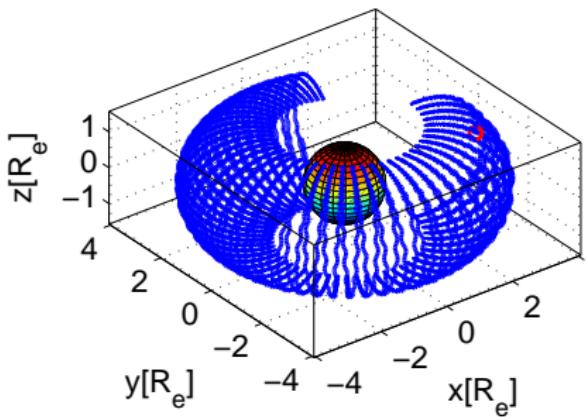
Motivation of building LDX.

Perfect confinement of charged particles in ideal magnetic dipole field, $\mathbf{F} = \mathbf{v} \times \mathbf{B}$,

$$\mathbf{B}(r) = \nabla\Psi(\mathbf{r}), \Psi(\mathbf{r}) = \frac{\mu_0}{4\pi r^3} \mathbf{M} \cdot \mathbf{r} = \frac{\mu_0}{4\pi r^2} \mathbf{M} \cdot \hat{\mathbf{r}}.$$

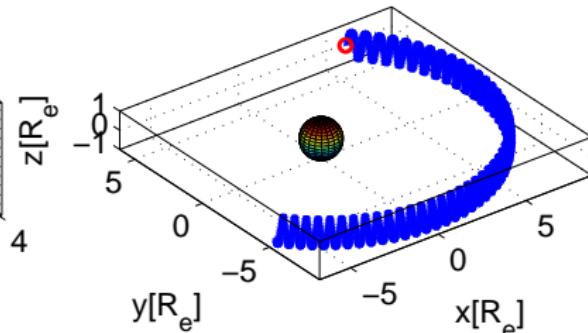
$1.0e+007\text{eV}$, $m=1.84e+003m_e$

pitch angle 20°



$2.0e+005\text{eV}$, $m=1.84e+003m_e$

pitch angle 80°



Collision and turbulence lead transport. Two major (electrostatic) instabilities: **interchange and entropy¹ modes**. Driven by gradients (∇T and ∇n).

¹More accurate: electrostatic drift mode.

1. Gyrokinetic-Poisson equations to solve

- 3D nonlinear

$$\frac{d}{dt} f_\alpha(\mathbf{X}, \mu, v_{\parallel}, t) = \left[\frac{\partial}{\partial t} + \dot{\mathbf{X}} \cdot \bar{\nabla} + v_{\parallel} \frac{\partial}{\partial v_{\parallel}} \right] f_\alpha = C_\alpha f_\alpha, \quad (1)$$

$$\frac{\tau}{\lambda_D^2} (\Phi - \tilde{\Phi}) = 4\pi e (\bar{n}_i - n_e), \quad (2)$$

$$\dot{\mathbf{X}} = v_{\parallel} \mathbf{b} + \mathbf{v}_E + \mathbf{v}_d, \quad \mathbf{v}_E = \frac{\mathbf{b} \times \nabla \bar{\Phi}}{B}. \quad (3)$$

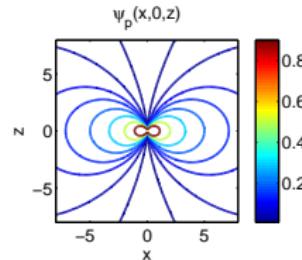
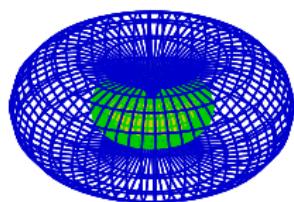
- 1D linear

$$f_j = -\frac{q_j}{T_j} F_{0j} \phi + J_0(k_{\perp} \rho) h_j, \quad (4)$$

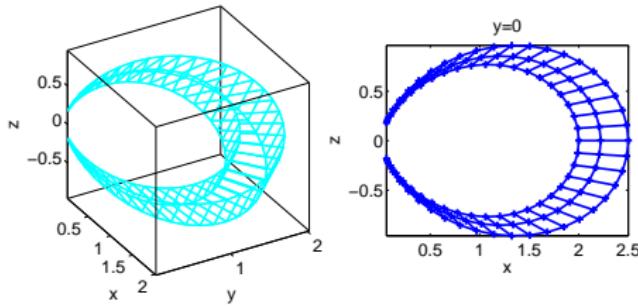
$$(\omega - \Omega_d + i v_{\parallel} \partial_{\parallel}) h = -(\omega - \Omega_*) q \phi \frac{\partial F_0}{\partial \epsilon} J_0(k_{\perp} \rho), \quad (5)$$

$$\int f_i d\nu^3 = \int f_e d\nu^3. \quad (6)$$

$\mathbf{B} = \nabla\phi \times \nabla\psi_p$, $\psi_p = \frac{M}{r} \sin^2 \theta$. Flux coordinates (χ, ψ, ζ) can be $\chi = \theta$, $\psi = \frac{M \sin^2 \theta}{r}$, $\zeta = \phi$. Flux surface



coordinate grids



To save the computation cost by using the symmetries.

• Gyrokinetic equation

$$\frac{d}{dt} f_\alpha(\mathbf{x}, \mu, v_{\parallel}, t) = \left[\frac{\partial}{\partial t} + \dot{\mathbf{x}} \cdot \bar{\nabla} + v_{\parallel} \frac{\partial}{\partial v_{\parallel}} \right] f_\alpha = C_\alpha f_\alpha. \quad (7)$$

• Guiding center (GC) phase space coordinates $(\chi, \psi, \zeta, v_{\parallel}, \mu, \xi)$. GC Lagrangian

$$L = \frac{e}{c} \left\{ (\psi + \delta A_\zeta) \dot{\zeta} + \left(\alpha + \frac{v_{\parallel}}{\Omega} \right) B_\chi \dot{\chi} + \left[\left(\alpha + \frac{v_{\parallel}}{\Omega} \right) B_\psi + \delta A_\psi \right] \dot{\psi} \right\} + \frac{mc}{e} \mu \dot{\xi} - \mu B - \frac{1}{2} m v_{\perp}^2 - e \Phi, \quad (8)$$

$\Omega = eB/mc$, $\mu = mv_{\perp}^2/(2B)$. For equations of motion, $\dot{\mu} = 0$ and $\dot{\xi} = eB/mc$.

• Electrostatic $\delta\Phi = \delta\Phi(\chi, \psi, \zeta)$, $\delta\mathbf{B} = 0$

$$\dot{\chi} = \frac{1}{B_\chi} [c B_\psi \partial_\zeta \Phi + v_{\parallel} B], \quad (9)$$

$$\dot{\psi} = -\left(\frac{c}{e}\right) e \partial_\zeta \Phi, \quad (10)$$

$$\dot{\zeta} = \left(\frac{c}{e}\right) \left(\partial_\psi - \frac{B_\psi}{B_\chi} \partial_\chi \right) (\mu B + e\Phi) + \frac{v_{\parallel}^2}{\Omega} \left(\partial_\psi - \frac{B_\psi}{B_\chi} \partial_\chi \right) B, \quad (11)$$

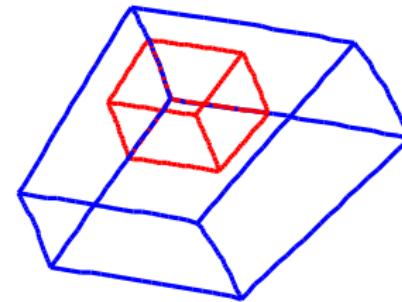
$$\dot{v}_{\parallel} = -\Omega \left[\frac{v_{\parallel}}{\Omega} \frac{1}{B} \left(\frac{c}{e}\right) (e \partial_\zeta \Phi) \left(\partial_\psi - \frac{B_\psi}{B_\chi} \partial_\chi \right) B + \left(\frac{c}{e}\right) \frac{1}{B_\chi} \partial_\chi (\mu B + e\Phi) \right]. \quad (12)$$

Particle-in-cell

- Interpolation between particles (makers) (χ, ψ, ζ) to grids $(\chi_i, \psi_j, \zeta_k)$

Weight

$$W = \frac{\int_{\chi}^{\chi_{i+1}} \int_{\psi}^{\psi_{j+1}} \int_{\zeta}^{\zeta_{k+1}} J d\chi d\psi d\zeta}{\int_{\chi_i}^{\chi_{i+1}} \int_{\psi_j}^{\psi_{j+1}} \int_{\zeta_k}^{\zeta_{k+1}} J d\chi d\psi d\zeta}$$



$$W = W_\chi W_\psi W_\zeta = \left(1 - \frac{\int_{\chi_i}^{\chi} \sin^7 \chi d\chi}{\int_{\chi_i}^{\chi_{i+1}} \sin^7 \chi d\chi}\right) \left(1 - \frac{1/\psi_j^3 - 1/\psi_{j+1}^3}{1/\psi_j^3 - 1/\psi_{j+1}^3}\right) \left(1 - \frac{\zeta - \zeta_k}{\zeta_{k+1} - \zeta_k}\right).$$

- δf method to reduce noise

$$\frac{dw}{dt} = -(1-w) \frac{df_0}{f_0 dt}, \quad w = \delta f / f$$

- Initial Maxwellian $f_0 = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{v_{||}^2 + v_{\perp}^2}{2}\right) v_{\perp}$, $\mu = 2v_{\perp}^2/B$

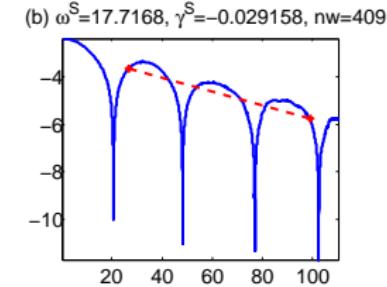
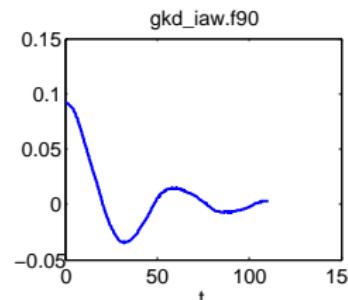
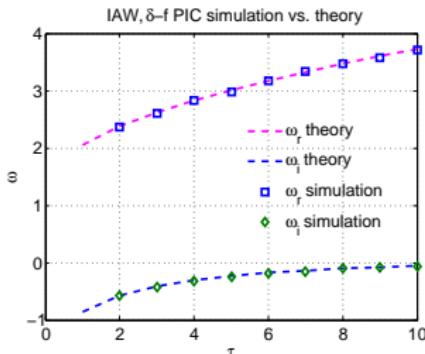
Simple test case: IAW. Adiabatic electron $\delta n_e = e\delta\phi/T_e$, quasi-neutrality $\delta n_i = \delta n_e$, thus:

$$\frac{1}{\tau} e\delta\phi/T_i = \delta n_i = \int \delta f_i dv, \quad (\tau = T_e/T_i). \quad (13)$$

Slab limit (ES1D) dispersion relation

$$D(\omega, k) = 1 - \frac{\tau}{2} Z'(\zeta_i), \quad (14)$$

$$Z'(\zeta) = -2(1 + \zeta Z), \quad \zeta_i = \omega/k_{\parallel}v_{ti}.$$



We have built the framework for 3D nonlinear simulation (code name: GKD), but only tested uniform IAW at this stage. Future works: 3D drift modes.

2. Linear 0D dispersion relation²

Dipole or Z-pinch, safety factor and shear $q = s = 0$, simpler than tokamak. For simplicity, also $k_{\parallel} = 0$ and $k_r = 0$, i.e., $k = k_{\perp}$.

$$D(\omega, k) = \sum_{\alpha} \frac{1}{T_{\alpha}} \left\{ 1 - \int dv^3 \frac{[\omega - \Omega_*(v)] J_0 \langle J_0(k_{\perp} \rho) \rangle_b F(v)}{[\omega - \langle \Omega_d(v) \rangle_b]} \right\} = 0. \quad (15)$$

The only difference between dipole and Z-pinch [Ricci06] is the bounce average terms $\langle J_0 \rangle_b$ and $\langle \Omega_d \rangle_b$, with $\langle \cdot \rangle_b = \oint dl / v_{\parallel}$.

With Maxwellian F_0 , after normalization by v_{ti}/R and add k_{\parallel} term

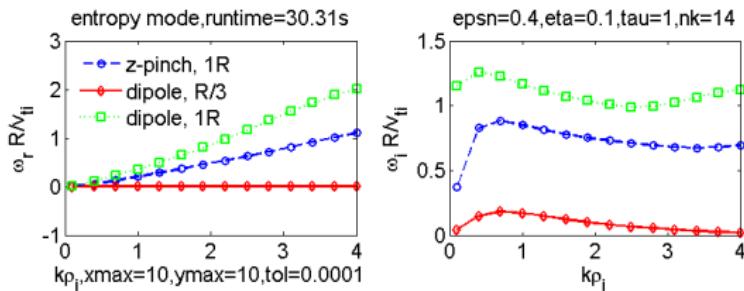
$$D(\omega, k) = \sum_{\alpha} \frac{1}{T_{\alpha}} \left\{ 1 - \frac{1}{\sqrt{2\pi}} \int \frac{[\omega - \Omega_*(y)] J_0 J_{0b}(k_{\alpha} y_{\perp}) e^{-\frac{y^2}{2}}}{[\omega - k_{z\alpha} y_{\parallel} - \Omega_{db}(y)]} dy_{\perp} dy_{\parallel} \right\} = 0. \quad (16)$$

$$\begin{aligned} \Omega_{*\alpha} &= \omega_{d\alpha} [\kappa_n + \kappa_T (y^2/2 - 3/2)], \quad \kappa_n = L_n^{-1} R, \quad L_n^{-1} = -d \ln n / dr, \quad k_{zi} = k_{\parallel} R, \\ k_{ze} &= k_{\parallel} R \sqrt{\tau m_i / m_e}, \quad \omega_{di} = k, \quad \omega_{de} = k \tau q_i / q_e, \quad k_i = k, \quad k_e = k \frac{q_i}{q_e} \sqrt{\tau m_e / m_i}, \\ y^2 &= y_{\parallel}^2 + y_{\perp}^2, \quad \Omega_d = \omega_{d\alpha} (y_{\parallel}^2 + y_{\perp}^2 / 2). \end{aligned}$$

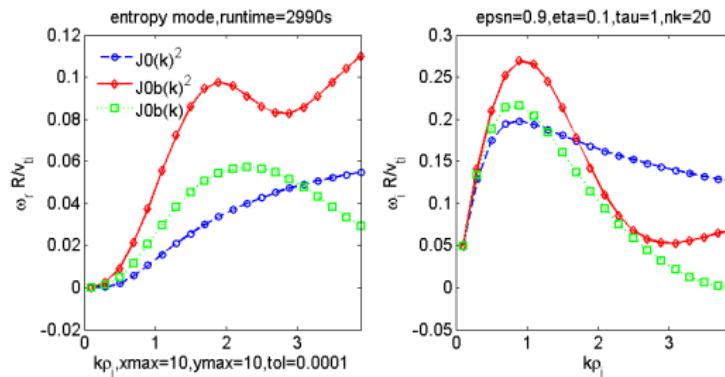
Note: dipole, Ω_d should be Ω_{db} & $\omega_{di} = 3k$ due to the curvature radius $R_c = R/3$.

²Numerical approaches: H. S. Xie, Y. Y. Li, Z. X. Lu, W. K. Ou and B. Li, Comparisons and Applications of Four Independent Numerical Approaches for Linear Gyrokinetic Drift Modes, 2017, submitted to PoP.

Curvature stabilize the mode, bounce average Ω_d destabilize the solution

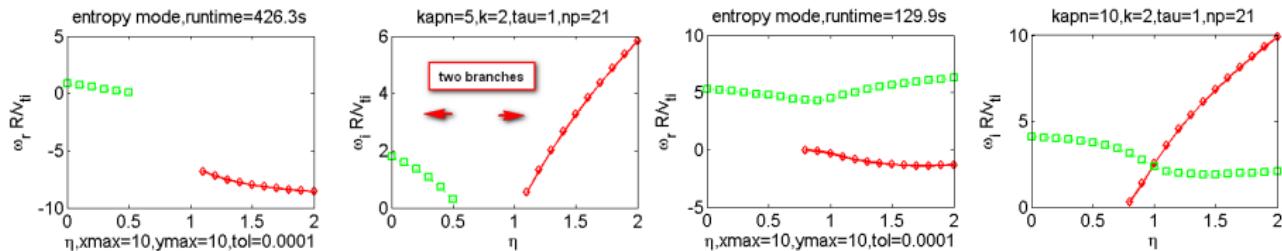


Bounce average of J_0 change the solution quantitatively

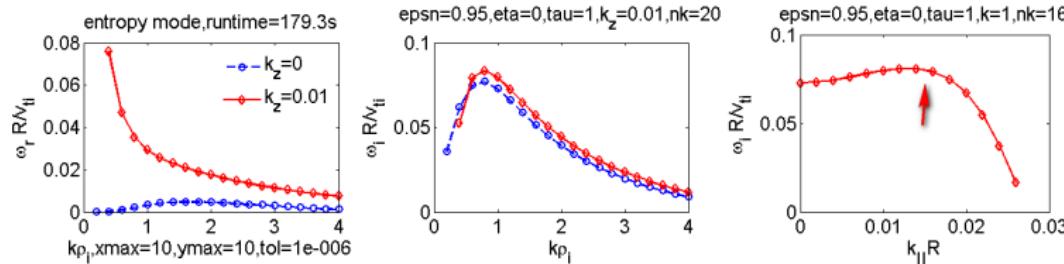


Thus, curvature radius $R \rightarrow R/3$ is the most important effect.

Two branches, $\eta \equiv \kappa_T / \kappa_n \lesssim 0.7$ similar to electron drift mode, $\eta \gtrsim 0.7$ similar to ion temperature gradient (ITG) mode

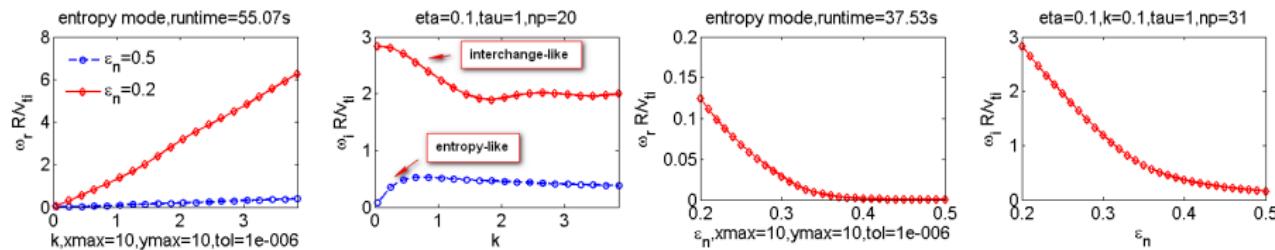


The nonlinear physics of these two branches are very different. See later.

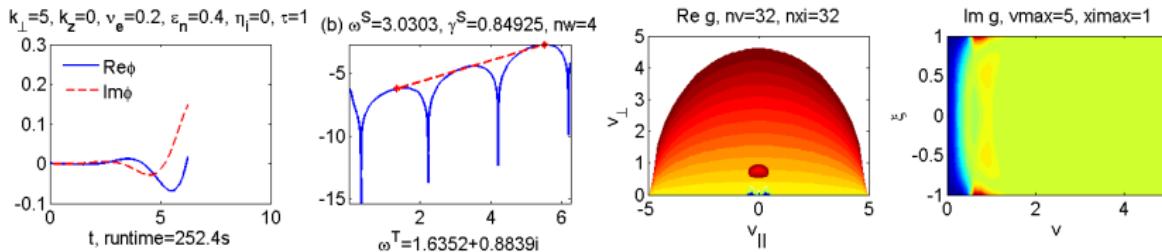


The most unstable mode is $k_{\parallel} R \sim 0.015 \neq 0$.

Interchange-like mode ($\epsilon_n = 0.2, k \rightarrow 0, \gamma \rightarrow \gamma_0 \neq 0$) vs entropy-like mode ($\epsilon_n = 0.5, k \rightarrow 0, \gamma \rightarrow 0$)



Collision [$C(g_e) = \hat{v}_e(v) \frac{\partial}{\partial \xi} (1 - \xi^2) \frac{\partial g_e}{\partial \xi}$, $\xi = v_{||}/v$] slightly stabilize the mode (via initial value simulation)



$$\nu_e = 0 \rightarrow 0.2, \omega = 1.64 + 0.88i \rightarrow 1.51 + 0.85i$$

3. Linear 1D PIC simulation

The gyrokinetic system

$$\frac{d\xi}{dt} = \frac{v_{\parallel}}{\kappa}, \quad (17)$$

$$\frac{dw}{dt} = -i\omega_{Ds}w - i(\omega_{Ds} - \omega_{*s}^T) \frac{q_s}{T_s} J_0 \phi - v_{\parallel} \frac{1}{\kappa} \frac{q_s}{T_s} [J_0 \partial_{\xi} \phi - J_1 \partial_{\xi} (k_{\perp} \rho_s) \phi], \quad (18)$$

$$\left(1 + \frac{1}{\tau_e} - \Gamma_{0i} - \frac{1}{\tau_e} \Gamma_{0e}\right) \phi = \int J_{0i} g_i d^3 v - \int J_{0e} g_e d^3 v. \quad (19)$$

To avoid the $\pm v_{\parallel}$ of treating the turning point, we add a new equation to calculate v_{\parallel}

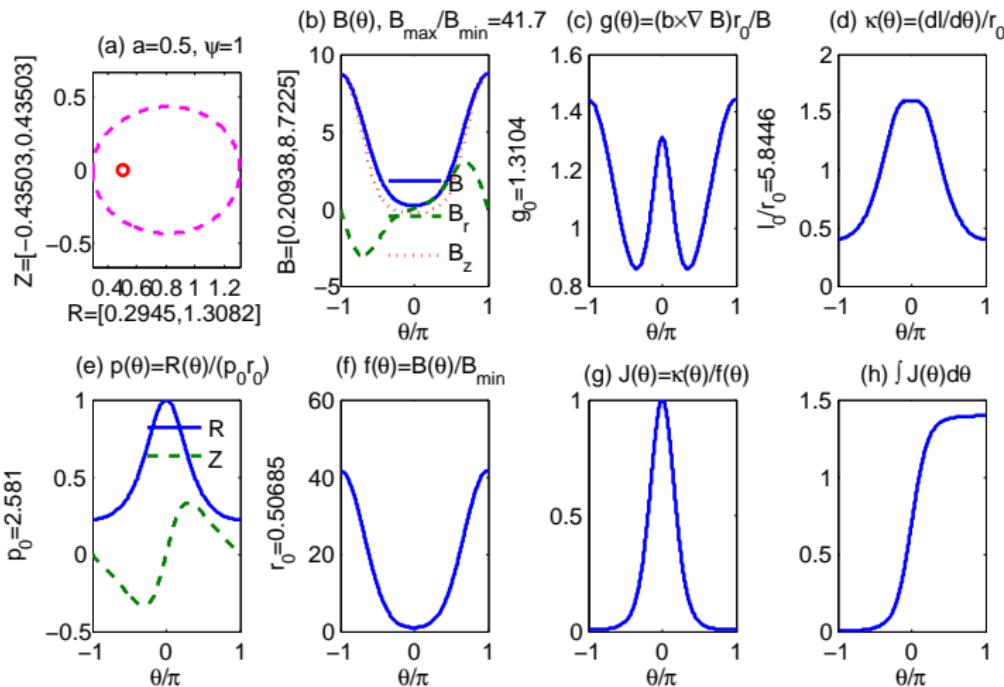
$$\frac{dv_{\parallel}}{dt} = -\frac{v^2 \lambda}{2r_0} \frac{1}{\kappa} \frac{df}{d\xi} \rightarrow -\frac{v^2 \lambda}{2} \frac{1}{\kappa} \frac{df}{d\xi}. \quad (20)$$

$w = g/F_0$ is particle weight. $\xi = \theta - \pi/2$ (point dipole) or $\xi = \theta$ (ring dipole) is the field line coordinate.

To understand:

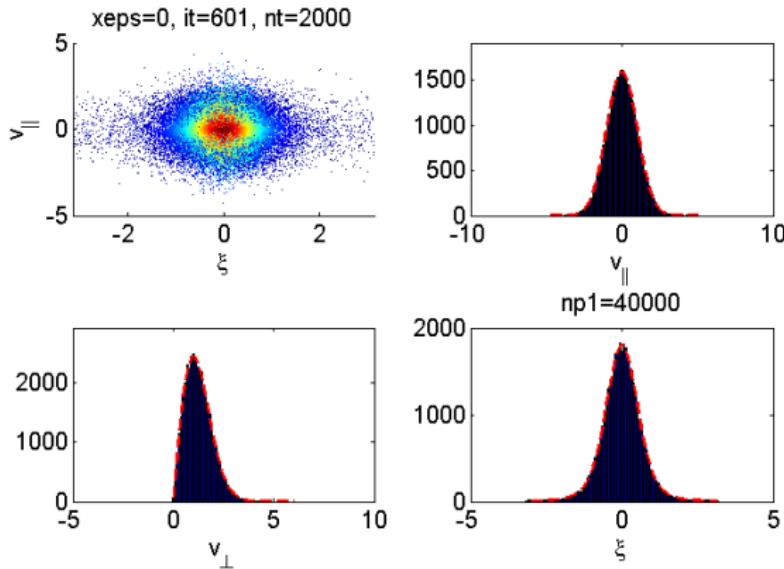
- passing particles (z-pinch) vs trapped particles (dipole)
- $s = 0$ vs $s \neq 0$ (tokamak)

Typical current loop/ring dipole configuration parameters

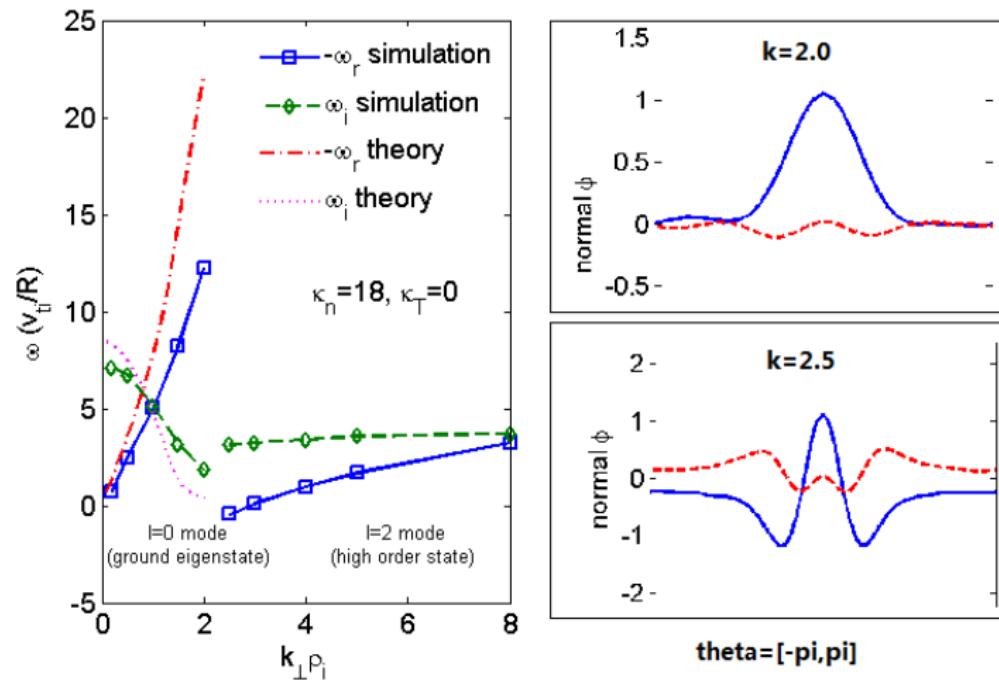


The above field line dynamic model can also be easily used to other configurations such as FRC and tokamak under s- α ballooning space.

Distribution function $F(\theta, v_{\parallel}, v_{\perp}, t) = F(\theta, v_{\parallel}, v_{\perp}, t = 0) = F_M$



Note: Initial loading $F(\theta) \propto J(\theta) = \kappa(\theta)/f(\theta)$.

Scan $k_{\perp}\rho_i$ (gkd1d code, f90 + mpi)

Against to previous result of $k_{\parallel} \sim 0$ mode dominate, a high order $k_{\parallel} \neq 0$ mode is most unstable at larger $k_{\perp}\rho_i$ for strong gradient $\kappa_n = 18$. **New!!**

4. Preliminary nonlinear results

At small k_{\perp} limit, $\Gamma_0 = 1 - b_i$, $b_i \rightarrow \nabla_{\perp}^2$. Gyrokinetic Poisson equation becomes $\nabla_{\perp}^2 \delta\phi = \delta n_e - \delta n_i$.

$\hat{\mathbf{b}} = \hat{\mathbf{z}} + \theta \hat{\mathbf{y}}$, $\theta \ll 1$, in 1D limit, we solve

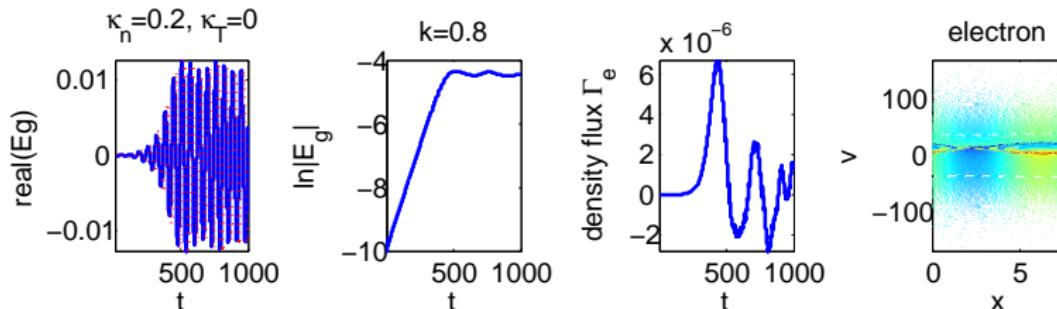
$$\begin{aligned} & \partial_t \delta f + \theta v_{\parallel} \partial_y \delta f - \alpha \theta \partial_y \phi \partial_{v_{\parallel}} \delta f \\ &= -[\kappa_n + \kappa_T (v_{\parallel}^2 / 2v_t^2 - 1/2)] \partial_y \phi f_0 - \alpha \theta \partial_y \phi (v_{\parallel} / v_t^2) f_0, \end{aligned} \quad (21)$$

$$\partial_{yy} \delta \phi = \delta n_e - \delta n_i. \quad (22)$$

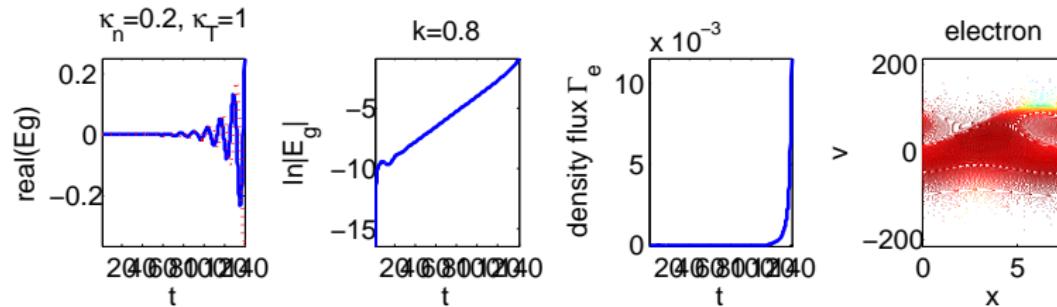
The $E \times B$ nonlinearity is omitted, only keep parallel nonlinearity. For simplification, only ω_* , no ω_d .

The model similar to Parker&Lee1993, but include κ_T .

$\eta < 1$ branch, parallel nonlinearity **can** lead saturation



$\eta > 1$ branch, parallel nonlinearity **cannot** lead saturation

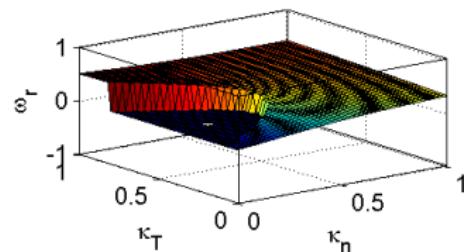


The saturation mechanism should be different for $\eta < \eta_c$ (electron branch) and $\eta > \eta_c$ (ion branch) branches.

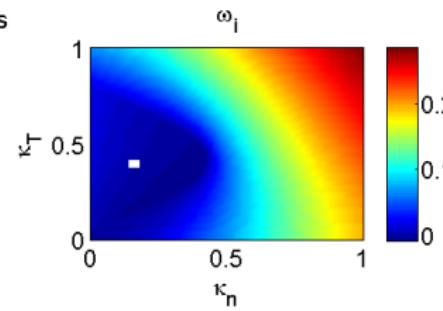
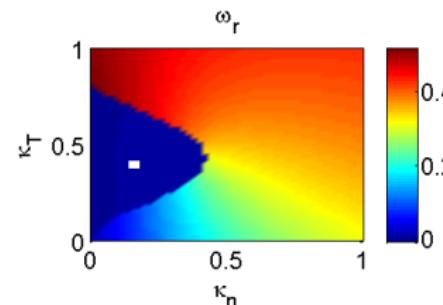
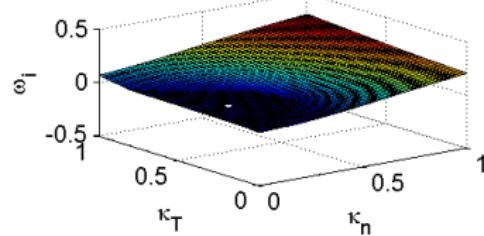
Backup

Linear physics in (κ_n, κ_T) space

$$T_i=1, m_i=1837, \theta=0.01, k=0.7$$



$$nx=51, ny=51, tol=0.001, runtime=1087.52s$$



Physics can be different for different density and temperature gradients.