Article

Evaluating statistical machine learning algorithms for classifying dominant algae in Juam Lake and Tamjin Lake, Republic of Korea

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**Abstract:** South Korea’s National Institute of Environmental Research (NIER) operates an algae alert system to monitor water quality at public water supply source sites. Accurate prediction of dominant harmful cyanobacteria genera, such as *Aphanizomenon*, *Anabaena*, *Oscillatoria*, and *Microcystis*, is crucial for managing water source contamination risks. This study utilized data collected between January 2017 and December 2022 from Juam Lake and Tamjin Lake, which are representative water supply source sites in the Yeongsan River and Seomjin River basins. We performed an exploratory data analysis on the water quality parameters monitored to understand overall fluctuations. Using data from 2017 to 2021 as training data and 2022 data as test data, we compared the dominant algae classification accuracy of 11 statistical machine learning algorithms. The results indicated that the optimal algorithm varied depending on the survey site and evaluation criteria, highlighting the unique environmental characteristics of each site. By predicting dominant algae in advance, we can better prepare for water source contamination accidents. Our findings demonstrate the applicability of machine learning algorithms as efficient tools for managing water quality in water supply source systems using monitoring data.

**Keywords:** water quality; Yeongsan River; Seomjin River; correlation analysis; self-organizing map; statistical machine learning algorithm; classification

1. Introduction

In South Korea, sites crucial for providing potable water to local residents are designated and managed as water protection zones. The extreme drought in the Honam region of South Korea in 2022 underscored the importance of managing water supply sources. To safeguard the water quality of these sources, the Korean government established the algae alert system in 1998. The system minimizes toxic effects caused by harmful cyanobacteria by issuing alerts based on harmful cyanobacteria cell counts: Caution (at least 1,000 cells for two consecutive times), Warning (at least 10,000 cellsfor two consecutive times), Outbreak (at least 1,000,000 cells for two consecutive times), and Release (number of cyanobacteria cells below the alert threshold for two consecutive times) [1-3]. Specifically, four representative genera of harmful cyanobacteria, *Aphanizomenon*, *Anabaena*, *Oscillatoria*, and *Microcystis*, release harmful toxins causing acute liver disease in humans [4] and threatening the aquatic ecosystem stability [5]. Researchers have explored various reduction methods to control the occurrence of these harmful cyanobacteria, such as algal blocking mat (ABM), a physical method; plant-mineral composite (PMC), a chemical method; and Unio douglasiae, a biological method [6-8].

Additionally, recent studies have actively explored the evaluation of water quality-related data, and the prediction of changes in specific water quality parameters using various statistical machine learning techniques. Kim, H. G. (2017) assessed the suitability of the artificial neural network technique for predicting the chlorophyll-a concentration at the Maegok site, a midstream location in South Korea’s Nakdong River [9]. Moreover, Lee et al. (2020) investigated methods for predicting chlorophyll-a concentrations using four statistical machine learning algorithms at the Kangjeong-Goryeong Weir and Dalseong Weir sites, which are representative weir sites in the Nakdong River system in South Korea [10]. Bui et al. (2020) also conducted a study to predict the Water Quality Index (WQI) based on water quality parameters using 16 novel hybrid machine learning algorithms [11]. However, the study was limited in its ability to compare the performance of various algorithms. The primary difference between these previous and current studies is that the former focused on accurately predicting the measured values of the water quality parameter chlorophyll-a (a continuous variable). In contrast, this study aims to accurately classify dominant algae (a categorical variable). Nutrients such as nitrogen (N) and phosphorus (P), as well as water quality parameters like water temperature, are the most influential factors in algal growth. However, hydraulic/hydrological factors, such as water level and water storage capacity, also play a role, necessitating the consideration of all factors [12].

Therefore, considering the diverse variables related to water quality and hydraulic/hydrological factors, accurately predicting the dominant algae can enable authorities to prepare rapidly for water pollution accidents caused by algae. We utilized the water quality monitoring network data, algae alert system data, and hydraulic/hydrological data in Juam Lake and Tamjin Lake. These representative water supply sources in the Yeongsan River and Seomjin River systems had measurements taken at seven-day intervals from January 2017 to December 2022 through the NIER Water Environment Information System. We compared and analyzed various statistical machine learning algorithms to determine their accuracy in classifying the dominant algae. By developing and implementing a predictive method for dominant algae occurrences, we aim to provide a more efficient approach to water quality management.

2. Materials and Methods

2.1. Study Area

This study focused on Juam Lake and Tamjin Lake, two representative water supply sources in the Yeongsan River and Seomjin River systems in South Korea. The NIER Yeongsan River Environment Research Laboratory collects weekly samples to monitor water quality and respond to the algae alert system from: the dam front (J1, 127°14'26.74"/35°03'23.78") and Shinpyeong Bridge (J2, 127°13'59.11"/35°00'50.37") at Juam Lake; the dam front (T1, 126°52'52.01"/34°45'07.09") and Yuchi stream confluence (T2, 126°52'11.82"/34°46'02.99") at Tamjin Lake. Additionally, the Korea Water Resources Corporation conducts daily measurements of hydraulic/hydrological variables, such as water storage capacity.

Juam Lake is formed by the freshwater of Juam Dam, which has a height of 58 m and a length of 330 m. It is located in Daegwang-ri, Juam-myeon, Suncheon-si, Jeollanam-do, has a total basin area of 1,029.41 km², and a total water storage capacity of 457×10⁶ tons. Juam Dam supplies about 640×10³ tons of potable water to the western part of Jeollanam-do, including Gwangju, Naju, Mokpo, and Hwasun [13]. Tamjin Lake is an artificial lake created by the construction of Jangheung Dam, which has a height of 53 m and a length of 403 m. It has a total basin area of 193 km² and a total water storage capacity of 191×10⁶ tons. It is located in Yuchi-myeon, Jangheung-gun, Jeollanam-do, and supplies 73×10⁶ tons of living water to nine cities and counties in Jeollanam-do [14]. Figure 1 shows the sampling sites in Juam Lake and Tamjin Lake.

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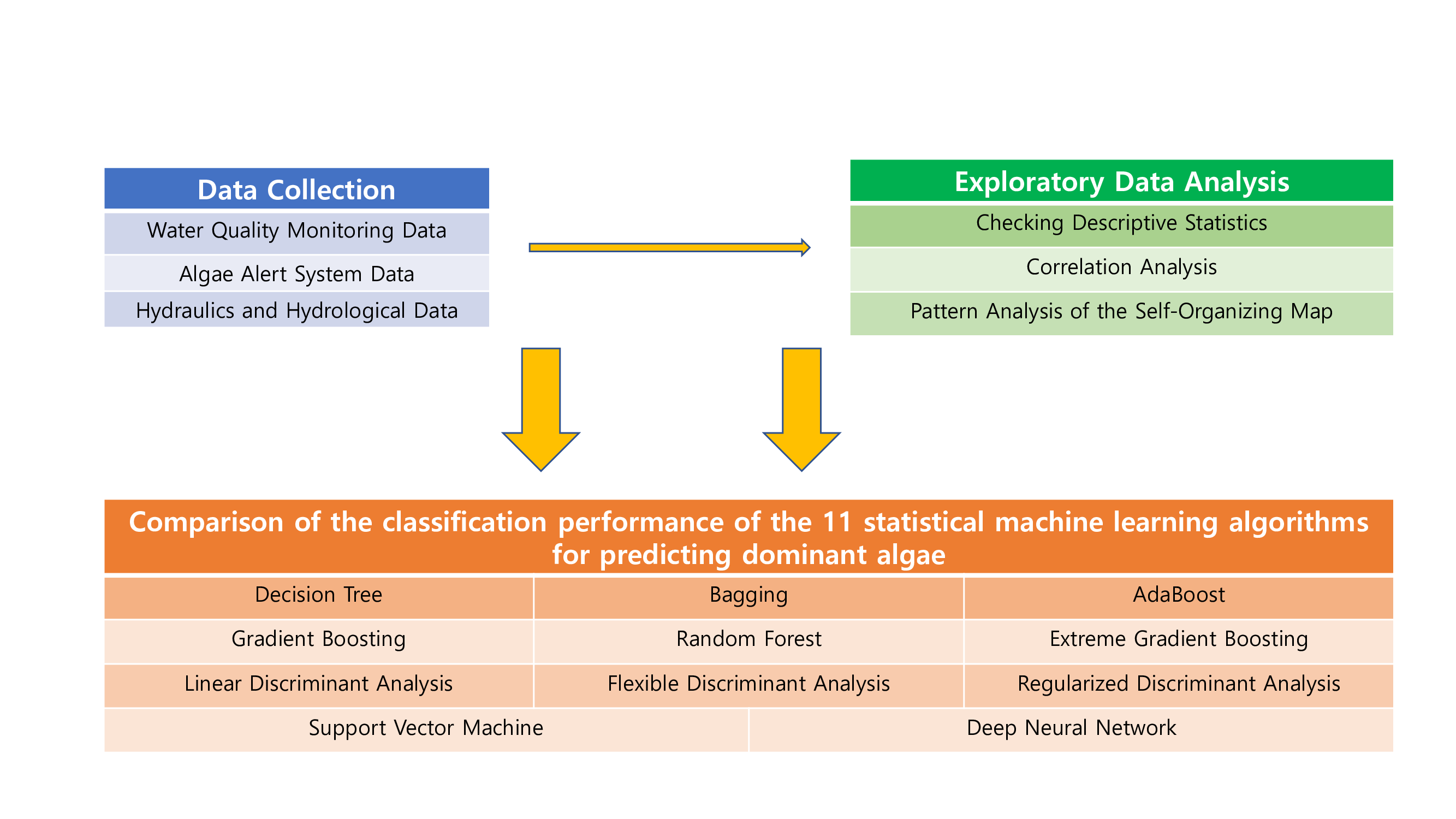
**Figure 1.** Sampling sites in Juam Lake and Tamjin Lake.

2.2. Data Collection

To conduct a comprehensive analysis, we collected and organized hydraulic/hydrological data, algae alert system data, and water quality monitoring network data from the survey sites. These data were measured at seven-day intervals from January 2017 to December 2022 and were obtained through the NIER Water Environment Information System. The number of observations for each sampling site was as follows: in Juam Lake, 307 observations at both the dam front (J1) and Shinpyeong Bridge (J2) sites; in Tamjin Lake, 304 observations each at the dam front (T1) and Yuchi Stream Confluence (T2) sites. Overall, this study includes a total of 1,222 observations. Tables 1 display the variables of the collected data and Figure 2 presents a methodology flowchart illustrating the structure and process of this study.

**Table 1.** Data variables used in this study.

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| --- | --- | --- |
| **Response variable (categorical)** | **Explanatory variables (continuous)** | |
| Dominant Algae  (based on total cell count) | Water Quality | Hydraulic/Hydrological |
| Cyanophytes  Diatoms  Chlorophytes  Others | Biological Oxygen Demand (BOD), mg  Chemical Oxygen Demand (COD), mg  Total Nitrogen (TN), mg  Total Phosphorus (TP), mg  Total Organic Carbon (TOC), mg  Suspended Solids (SS), mg  Electrical Conductivity (EC), μS  pH  Dissolved Oxygen (DO), mg  Temperature, ℃  Turbidity, NTU  Transparency, m  Chlorophyll a (Chla), mg | LowWaterLevel, cm  Inflow Rate (Inflow), cms  Discharge Rate (Discharge), cms  Water Storage Capacity  (Reservoir), 10,000 m³ |



**Figure 2.** Methodology flowchart used in this study.

Of the variables listed in Table 1, BOD, COD, TN, TP, TOC, SS, and EC were collected from the water quality monitoring network data, while pH, DO, Temperature, Turbidity, Transparency, Chla, and Dominant Algae were obtained from the algae alert system data. The remaining variables, LowWaterLevel, Inflow, Discharge, and Reservoir, were collected from the National Water Resources Management Information System (http://www.wamis.go.kr/). The genera of algae that were found at the sampling sites when the data was measured are presented in Table 2. Figure 3 shows line graphs of the monthly mean number of algae cells occurring during the survey period, divided according to the survey site and type of algae. Based on the results in Table 2 and Figure 3, during the survey period, chlorophytes or diatoms tended to dominate in spring, cyanophytes in early summer and summer, and chlorophytes along with diatoms in autumn and early winter [15]. All of the data analyses in this study were performed through the program R version 4.2.1.

Table 2. Genera of algae that were identified in water samples collected from sampling sites.

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| --- | --- | --- | --- | --- |
| Cyanophytes | | Diatoms | Chlorophytes | Others |
| normal | harmful |
| *Aphanocapsa*  *Chroococcus*  *Merismopedia*  *Phormidium*  *Pseudanabaena*  *Worinochinia* | *Anabaena*  *Aphanizomenon*  *Microcystis*  *Oscillatoria* | *Acanthoceras*  *Achnanthes*  *Asterionella*  *Attheya*  *Aulacoseira*  *Coccoineis*  *Cyclotella*  *Cymbella*  *Fragilaria*  *Gomphonema*  *Melosira*  *Navicula*  *Nitzschia*  *Rhizosolenia*  *Stephanodiscus*  *Surirella*  *Synedra* | *Actinastrum*  *Ankistrodesmus*  *Ankyra*  *Chlamydomonas*  *Chlorella*  *Chodatella*  *Closteriopsis*  *Closterium*  *Coelastrum*  *Coenochloris*  *Cosmarium*  *Crucigenia*  *Dictyosphaerium*  *Dimorphococcus*  *Elakatothrix*  *Euastrum*  *Eudorina*  *Eunotia*  *Gloeocystis*  *Golenkinia*  *Gonium*  *Kirchnerionella*  *Micractinium*  *Monoraphidium*  *Mougeotia*  *Nephrocystium*  *Oocystis*  *Pandorina*  *Pectodictyon*  *Pediastrum*  *Scenedesmus*  *Schroederia*  *Selenastrum*  *Sphaerocystis*  *Spondylosium*  *Staurastrum*  *Tetraedron*  *Tetrastrum*  *Treubaria* | *Ceratium*  *Cryptomonas*  *Dinobryon*  *Euglena*  *Kephyrion*  *Mallomonas*  *Peridinium*  *Phacus*  *Strombomonas*  *Trachelomonas* |

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|  | **Figure 3.** Line graphs of average algal cell count at sampling sites J1, J2, T1, and T2 from January 2017 to November 2022. |

2.3. Methods

This section describes the data analysis methods employed in this study. We start with exploratory data analysis, which includes correlation analysis and pattern analysis using a self-organizing map (SOM) to examine the overall distribution of water quality parameters and the hydraulic/hydrological variables included in the analysis data. We also briefly explain the principles of 11 statistical machine learning algorithms used to compare predictive power for dominant algae classification.

2.3.1. Exploratory Data Analysis

An exploratory data analysis was performed to investigate the overall characteristics before analyzing the data [16]. While no specific analysis method or process exists, researchers may prefer different methods depending on their objectives. Generally, the first step is to determine whether the variables included in the data are continuous or categorical. The mean, standard deviation, density, and other distributional characteristics were calculated for continuous variables. For categorical variables, the number of categories and the number of observations for each category were examined. In this study, we employed correlation analysis to investigate the relationship between water quality parameters and hydraulic/hydrological parameters, along with pattern analysis using an SOM to visually confirm the results.

1. Correlation Analysis

Correlation is a widely used statistical analysis method for investigating the relationships between continuous variables in data. For this purpose, the Pearson correlation coefficient was calculated as shown in Equation (1), and a significance test was conducted on the resulting coefficient. Generally, the validity of the analysis results can be confirmed only when normality is assumed to be satisfied through a normality test, such as the Shapiro-Wilk (SW) test [17]. However, this method is limited because it can only be applied when the variables have the properties of random variables that satisfy independency. Since all measurement variables in this study are time series data measured over a period rather than random variables that satisfy independence, the Jarque-Bera (JB) test method is more appropriate [18].

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|  | (1) |

However, most environment-related measurement variables typically do not satisfy normality and fluctuate considerably. Consequently, the analysis results lose reliability if conducted using a Pearson correlation coefficient for data with such variables. Therefore, we performed the correlation analysis using the Spearman correlation coefficient, a non-parametric method that analyzes correlation based on ranks, as expressed in Equation (2).

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|  | (2) |

1. Pattern Analysis using SOM

An SOM is an artificial neural network technique that simultaneously performs dimension reduction and clustering [19]. With this technique, numerous nodes in high-dimensional data are clustered through competition. Based on the winning node that emerges from this competition, the learning results that preserve similarity as much as possible in the reduced dimensions are obtained. This principle is illustrated in Figure 4.

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**Figure 4.** Schematic diagram of a self-organizing map.

This process repeats the algorithm shown in Equation (3) until convergence, and the th lattice vector at time is updated.

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|  | (3) |

In the above Equation (3), η is a learning rate parameter that reduces the learning rate to prevent overfitting, and λ is a parameter that makes the neighborhood size larger for the winning node and smaller for the distant nodes.

Through SOMs, Jung et al. (2020) performed a pattern analysis based on the water quality parameters measured at 28 sampling sites in the Nakdong River system in South Korea [20]. They used a grading process through cluster analysis according to the characteristics of each site to determine which branches should be prioritized for management and proposed policies based on these findings. In this study, we performed a pattern analysis on 17 measurement variables using this method and identified correlations between them.

2.3.2. Statistical Machine Learning algorithm for dominant algae classification

We compared the performance of 11 statistical machine learning algorithms for classifying the dominant algae at each survey site. Detailed explanations of the principles of the applied algorithms can be found in the literature [21-22].

1. Decision Tree (DT)

A decision tree is a method for creating a decision model with a tree-like structure. It typically involves the growing step, the pruning step, the optimal tree model selection step, and the testing step. The impurity of nodes is reviewed to select the optimal separation criteria for pruning. Mean squared error, such as Equation (4), is used for regression, and the Gini coefficient, such as Equation (5), or entropy coefficient, such as Equation (6), is used for classification. Compared to other algorithms, decision trees are visually simple and relatively easy to interpret [23]. This makes it easier to identify which variables were used as the main criteria when predicting the dominant algae.

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| --- | --- |
|  | (4) |
|  | (5) |
|  | (6) |

1. Bagging Method

Bagging method involves sampling with replacement, which allows observations extracted from the analysis data to be re-extracted to extract multiple samples () for the analysis, creates multiple decision tree models () based on these, and then averages () the prediction results obtained through this, or performs multiple voting () based on the classification results to derive the conclusion. “Mode” refers to the value with the highest frequency. Bagging technique greatly reduces the variance of the created model compared to that of the decision tree model which is created once, as it uses the survey with replacement method [24-25]. This makes it likely to perform better than simple decision trees in predicting dominant algae. Figure 5 illustrates the principle of the bagging method.

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| Diagram  Description automatically generated |

**Figure 5.** Schematic diagram of the bagging method.

1. AdaBoost (Ada)

AdaBoost is a boosting algorithm that combines multiple weak learners with low prediction performance through weights to create a strong learner with improved performance. By correcting or supplementing incorrectly predicted or classified instances from previous steps, it can yield more accurate results. The algorithm creates a strong learner by taking a weighted linear combination of multiple weak learners, as shown in Equation (7).

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|  | (7) |

where is the final strong learner obtained, are the weak learners, and are the weights of the weak learners. Figure 6 illustrates the principle of AdaBoost. A more detailed explanation can be found in the literature [26].

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| Diagram  Description automatically generated |

**Figure 6.** Schematic diagram of the AdaBoost method.

1. Gradient Boosting (GB)

Gradient boosting involves repeatedly using the gradient to create a model, and then using the residual from this to create another model. This process reduces the part that the previous model could not explain, thereby reducing bias. However, there is a risk of overfitting which occurs when the model overlearns the training data. If the given training data is and the previously created model is , then gradient boosting goes through the process of finding the function that models the residual, which is the difference between the actual value and the predicted value, as shown in Equation (8).

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|  | (8) |

After the function is found through this process, the new model is updated as shown in Equation (9).

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|  | (9) |

where parameter is the learning rate, which reduces the risk of overfitting. Figure 7 illustrates the principle of gradient boosting. A more detailed explanation can be found in the study by Natekin et al. [27].

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| Diagram  Description automatically generated |

**Figure 7.** Schematic diagram of the gradient boosting method.

1. Random Forest (RF)

Random forest was proposed to address the shortcomings of bagging and operates on a similar principle. Like bagging, it involves extracting multiple samples with replacements from the training data and fitting multiple decision tree models through them. However, in random forest, only a subset of the variables is randomly selected and used for each sample. This improves the prediction or classification performance compared to bagging. The types of variables selected for each sample differ, reducing the correlation between each sample that can occur with bagging. This can greatly enhance prediction or classification performance [28]. As a result, random forest is likely to have better predictive power for dominant algae than bagging.

1. Extreme Gradient Boosting (XGB)

Extreme gradient boosting is an improved method that addresses the slow execution time and overfitting risks of gradient boosting by supporting parallel learning. Regular gradient boosting does not have an in-built mechanism to regulate overfitting, but extreme gradient boosting has a self-regulating function that makes it more stable and durable. It can also independently conduct cross-validation tests and has an early stopping function that detects overfitting in advance. Traditionally, after randomly dividing the training data into parts, data are used as new training data and the remaining data are used as new test data to evaluate the performance of the algorithm. The cross-validation test performs this process on all parts of the data, as shown in Figure 8. A detailed explanation can be found in a paper by Chen et al. [29].

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| Chart, bar chart  Description automatically generated |

**Figure 8.** Example of -fold cross-validation test.

1. Linear Discriminant Analysis (LDA)

Linear discriminant analysis is a classification method using R. A. Fisher’s linear decision boundary. The given data is projected on a specific one-dimensional axis, followed by a process that finds the optimal straight line that properly distinguishes the categories. To find this straight line, it is necessary to ensure that the means of the categories differ as much as possible and that the variances within each category are small. This process makes it possible to find the linear decision boundary, as shown in Figure 9. A more detailed explanation can be found in an article by Izenman, A. J. [30].

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| Diagram  Description automatically generated |

**Figure 9.** Schematic diagram of the linear discriminant analysis method.

1. Flexible Discriminant Analysis (FDA)

Flexible discriminant analysis is a method that addresses the limitations of linear discriminant analysis, which relies on linear decision boundaries. Instead, it uses splines to create a non-linear decision boundary for classification. In reality, the distribution of variables in data rarely exhibits linear relationships, and most cases have complex non-linear distributions, making it difficult to apply linear discriminant analysis. This is where flexible discriminant analysis comes in, as it allows for non-linear relationships to be captured and better classification accuracy to be achieved. The problem of non-linear relationships can be addressed through flexible discriminant analysis [31]

1. Regularized Discriminant Analysis (RDA)

When the data contain many explanatory variables, regularized discriminant analysis improves the estimation of the covariance matrix through regularization (e.g., shrinkage) to create a decision boundary with better classification performance. It can be viewed as a generalized method of linear discriminant analysis, which uses a linear decision boundary, and quadratic discriminant analysis, which uses a quadratic curved decision boundary. For this, the optimal parameter is estimated based on the training data; if = , then linear discriminant analysis is performed, and if = , then quadratic discriminant analysis is performed. Here, , which serves as the weight for the linear decision boundary and quadratic curved decision boundary [32].

1. Support Vector Machine (SVM)

Support vector machine is a classification algorithm that maximizes the margin, i.e., the distance between the decision boundary and the support vectors, to perform prediction or classification. To move the original data in an input space with a complex non-linear distribution to a high-dimensional feature space, SVM uses the kernel trick. This technique applies a mapping function without the need to set an appropriate transformation function beforehand. This converts the data into a linear distribution and makes it easier to find the decision boundary [33]. Figure 10 illustrates this concept. This study uses the radial basis kernel, shown in Equation (10), which is known to be the most flexible kernel type for all data distributions. This kernel is widely applicable to water quality-related data, such as the data used in this study. Figure 11 illustrates the principle of the support vector machine, and a detailed explanation can be found in the study by Pisner et al. [34].

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|  | (10) |

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**Figure 10.** Schematic diagram of the kernel trick.

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| Chart, scatter chart  Description automatically generated |

**Figure 11.** Schematic diagram that illustrates the concept of support vector machine.

1. Deep Neural Network (DNN)

A deep neural network is a model in the form of a neural network created by constructing multiple hidden layers between the input and output layers. Through this, it is possible to model the complex non-linear relationship between the response variable and explanatory variable in the data. The model is trained through a backpropagation algorithm that updates the weights through stochastic gradient descent, as shown in Equation (11).

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|  | (11) |

where is the parameter that controls the learning rate, and is the cost function. Typically, before executing a deep neural network, the appropriate activation function and cost function are determined according to the analysis conditions. In multiclass classification, the activation function is set to a softmax function, as shown in Equation (12), and the cost function is set to a cross entropy function, as shown in Equation (13). A detailed explanation of deep neural networks can be found in a paper by Montavon et al. [35].

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| --- | --- |
|  | (12) |
|  | (13) |

3. Results

3.1. Data Analysis

3.1.1. Exploratory Data Analysis for Monitoring Data

Based on the data collected for this study, the descriptive statistics of the variables for each sampling site are organized in Table 3. It shows an overview of the distributions of measurement variables for each sampling site [36]. We also calculated the JB test p-value for each variable to determine the normality test results. To identify the overall distribution of each explanatory variable, seven descriptive statistics were calculated: mean, standard deviation, median, minimum, maximum, skewness, and kurtosis. Skewness has a positive value when the tail is long towards the right and a negative value when the tail is long towards the left. A kurtosis value > 0 indicates that the center of the distribution is sharp, and a value < 0 suggests that the center of the distribution is smooth [37]. According to Table 4, none of the measurement variables showed a value of 0 for skewness or kurtosis at any of the sampling sites.

Furthermore, except for pH at all sampling sites, the JB test p-value was significantly lower than the significance level of 0.05. Hence, since normality is often violated, the Spearman correlation coefficient must be used instead of the Pearson correlation coefficient in the correlation analysis [38].

To better visualize the results, Figure 12 presents boxplots of the parameters at each survey site. In general, the Tamjin Lake sampling sites had higher water quality parameter values and hydraulic/hydrological data values than those in Tamjin Lake. However, the turbidity and transparency values were higher in the Juam Lake sampling sites than those at Tamjin Lake, while DO and temperature showed similar trends in the sampling sites of both lakes.

Table 3. Descriptive statistics of the water quality and hydraulic/hydrological parameters at each survey site.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Survey Site | Statistics | BOD  (mg ) | COD  (mg ) | TN  (mg ) | TP  (mg ) | TOC  (mg ) | SS  (mg ) | EC  (μS ) | pH | DO  (mg ) | Temperature  (℃) | Turbidity  (NTU) | Transparency  (m) | Chla  (mg ) | LowWaterLevel  (cm) | Inflow  (cms) | Discharge  (cms) | Reservoir  (10,000㎥) |
| J1 | mean | 0.95 | 3.3 | 0.68 | 0.01 | 2.34 | 1.8 | 81.8 | 7.26 | 8.62 | 14.16 | 2.32 | 3.17 | 3.33 | 7260.49 | 3.61 | 4.12 | 9945.48 |
| sd | 0.38 | 0.43 | 0.13 | 0.01 | 0.41 | 1.04 | 8.82 | 0.43 | 2.22 | 5.63 | 2.01 | 1 | 2.69 | 620.75 | 11.33 | 7.7 | 3298.51 |
| median | 0.9 | 3.3 | 0.66 | 0.01 | 2.3 | 1.5 | 80 | 7.2 | 8.5 | 14.7 | 1.7 | 3.2 | 2.7 | 7238 | 0.8 | 2.7 | 9968 |
| min | 0.4 | 2.4 | 0.44 | 0 | 1.4 | 0.5 | 62 | 6.1 | 4.6 | 2.1 | 0.1 | 0.7 | 0.3 | 6167 | 0 | 1.7 | 3552 |
| max | 2.6 | 4.7 | 1.08 | 0.05 | 3.5 | 10.6 | 101 | 8.8 | 12.9 | 24.6 | 15.4 | 7.2 | 25.2 | 9638.62 | 162.63 | 93.21 | 16947 |
| skewness | 1.31 | 0.59 | 0.38 | 1.13 | 0.86 | 3.1 | 0.45 | 0.12 | 0.07 | -0.09 | 3.08 | 0.27 | 3.6 | 1.43 | 9.92 | 8.26 | 0.05 |
| kurtosis | 2.44 | 0.19 | -0.45 | 2.49 | 0.47 | 17.92 | -0.76 | 0.03 | -1.33 | -1.3 | 14.73 | 0.4 | 19.16 | 4.05 | 127.3 | 78.22 | -0.68 |
| J-B test  p-value | 0.0000 | 0.0001 | 0.0071 | 0.0000 | 0.0000 | 0.0000 | 0.0002 | 0.6839 | 0.0000 | 0.0000 | 0.0000 | 0.0517 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0536 |
| J2 | mean | 0.95 | 3.3 | 0.68 | 0.01 | 2.34 | 1.8 | 81.8 | 7.31 | 8.8 | 14.83 | 2.43 | 3.12 | 3.72 | 7260.49 | 3.61 | 4.12 | 9945.48 |
| sd | 0.38 | 0.43 | 0.13 | 0.01 | 0.41 | 1.04 | 8.82 | 0.45 | 2.17 | 6 | 3.58 | 0.93 | 2.16 | 620.75 | 11.33 | 7.7 | 3298.51 |
| median | 0.9 | 3.3 | 0.66 | 0.01 | 2.3 | 1.5 | 80 | 7.3 | 8.8 | 15.2 | 1.6 | 3 | 3.4 | 7238 | 0.8 | 2.7 | 9968 |
| min | 0.4 | 2.4 | 0.44 | 0 | 1.4 | 0.5 | 62 | 5.8 | 4.1 | 2.1 | 0.1 | 0.7 | 0.2 | 6167 | 0 | 1.7 | 3552 |
| max | 2.6 | 4.7 | 1.08 | 0.05 | 3.5 | 10.6 | 101 | 8.6 | 12.9 | 25.9 | 34.6 | 6 | 22.2 | 9638.62 | 162.63 | 93.21 | 16947 |
| skewness | 1.31 | 0.59 | 0.38 | 1.13 | 0.86 | 3.1 | 0.45 | -0.16 | -0.07 | -0.08 | 5.6 | 0.34 | 2.97 | 1.43 | 9.92 | 8.26 | 0.05 |
| kurtosis | 2.44 | 0.19 | -0.45 | 2.49 | 0.47 | 17.92 | -0.76 | 0.42 | -1.11 | -1.31 | 38 | 0.43 | 18.68 | 4.05 | 127.3 | 78.22 | -0.68 |
| J-B test  p-value | 0.0000 | 0.0001 | 0.0071 | 0.0000 | 0.0000 | 0.0000 | 0.0002 | 0.1423 | 0.0004 | 0.0000 | 0.0000 | 0.0137 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0536 |
| T1 | mean | 2.27 | 5.41 | 1.63 | 0.1 | 3.87 | 10.63 | 185.99 | 7.27 | 9.17 | 13.81 | 2.42 | 2.63 | 5.16 | 9908.66 | 15.01 | 17.03 | 23247.54 |
| sd | 1.36 | 1.57 | 0.66 | 0.04 | 1 | 11.02 | 65.12 | 0.42 | 2.19 | 5.47 | 2.84 | 0.73 | 2.93 | 724.55 | 39.11 | 37.24 | 8015.62 |
| median | 2 | 5 | 1.47 | 0.09 | 3.7 | 8.4 | 178 | 7.3 | 9.1 | 14.5 | 1.7 | 2.6 | 4.4 | 10063 | 3.7 | 11.38 | 23560 |
| min | 0.5 | 2.6 | 0.64 | 0.03 | 1.9 | 1.3 | 68 | 6.1 | 4.8 | 1.5 | 0.1 | 1 | 0.4 | 6805 | 0 | 1.94 | 7105 |
| max | 8.8 | 13 | 4.94 | 0.23 | 6.7 | 93.2 | 600 | 8.6 | 13.6 | 24.8 | 36 | 7 | 19 | 10704 | 310.63 | 464.6 | 37807 |
| skewness | 2.01 | 1.32 | 1.75 | 0.91 | 0.49 | 4.08 | 1.53 | 0.17 | 0.03 | -0.19 | 6.65 | 1.01 | 1.41 | -2.52 | 4.58 | 8.54 | -0.08 |
| kurtosis | 5.42 | 2.53 | 4.61 | 0.14 | -0.25 | 20.93 | 5.79 | -0.05 | -1.13 | -1.08 | 66.11 | 3.87 | 2.85 | 8.1 | 23.37 | 83.5 | -0.96 |
| J-B test  p-value | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0016 | 0.0000 | 0.0000 | 0.4793 | 0.0003 | 0.0003 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0029 |
| T2 | mean | 2.27 | 5.41 | 1.63 | 0.1 | 3.87 | 10.63 | 185.99 | 7.39 | 8.84 | 13.72 | 2.41 | 2.56 | 4.87 | 9908.66 | 15.01 | 17.03 | 23247.54 |
| sd | 1.36 | 1.57 | 0.66 | 0.04 | 1 | 11.02 | 65.12 | 0.59 | 2.34 | 5.51 | 2.24 | 0.73 | 3.04 | 724.55 | 39.11 | 37.24 | 8015.62 |
| median | 2 | 5 | 1.47 | 0.09 | 3.7 | 8.4 | 178 | 7.3 | 8.7 | 14.15 | 1.8 | 2.5 | 4.1 | 10063 | 3.7 | 11.38 | 23560 |
| min | 0.5 | 2.6 | 0.64 | 0.03 | 1.9 | 1.3 | 68 | 5.6 | 4.1 | 1.7 | 0.1 | 1 | 0 | 6805 | 0 | 1.94 | 7105 |
| max | 8.8 | 13 | 4.94 | 0.23 | 6.7 | 93.2 | 600 | 9 | 13.2 | 28 | 20.7 | 7 | 17.6 | 10704 | 310.63 | 464.6 | 37807 |
| skewness | 2.01 | 1.32 | 1.75 | 0.91 | 0.49 | 4.08 | 1.53 | -0.01 | 0.03 | -0.04 | 4.05 | 1.09 | 1.26 | -2.52 | 4.58 | 8.54 | -0.08 |
| kurtosis | 5.42 | 2.53 | 4.61 | 0.14 | -0.25 | 20.93 | 5.79 | 0.03 | -1.17 | -0.96 | 23.38 | 4.22 | 1.76 | 8.1 | 23.37 | 83.5 | -0.96 |
| J-B test  p-value | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0016 | 0.0000 | 0.0000 | 0.9832 | 0.0002 | 0.0033 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0029 |

|  |  |  |
| --- | --- | --- |
|  |  | Chart, box and whisker chart  Description automatically generatedsa  Sample sites |

Figure 12. Boxplot of the data obtained at the four sampling sites J1, J2, T1, and T2.

Table 4 presents a contingency table of the variable ‘Dominant Algae’, a categorical variable. The table indicates that diatoms were dominant at all sampling sites during the monitoring period, followed by chlorophytes, cyanophytes, and other algae.

Table 4. Contingency table of variable ‘Dominant Algae’.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Survey Site | **Cyanophytes** | **Diatoms** | **Chlorophytes** | **Others** |
| J1 | 23 | 215 | 52 | 17 |
| J2 | 31 | 218 | 49 | 9 |
| T1 | 14 | 250 | 36 | 4 |
| T2 | 12 | 250 | 33 | 9 |

3.1.2. Correlation Analysis and SOM Pattern Analysis

In Section 3.1.1., we confirmed that the Spearman correlation coefficient, a non-parametric method using rank, must be applied for the correlation analysis. On this basis, we performed a correlation analysis for each sampling site, the results of which are shown in Figure 13. The figures for each sampling site show the calculated Spearman correlation coefficients. According to the results of the correlation analysis, there were variations in the results at each survey site; however, in general, the water quality parameters that were mutually related (BOD, COD, TN, TP, etc.) showed positive correlations, while the water quality and hydraulic/hydrological variables showed negative correlations. Pattern analysis of the SOMs supports these results, as shown in Figures 14–17. The analysis helped identify the overall movement of the measurement variables at each survey site during the survey period. The water quality parameters that exhibited significant positive correlations in the correlation analysis showed similar patterns, while the water quality and hydraulic/hydrological variables that exhibited significant negative correlations showed opposite patterns. However, it should be noted that since this study used time series data, which are measured over a certain period and are not independent, calculating the normality test p-value for each time-dependent measurement variable and performing a correlation analysis and interpretation based on this has limitations [39].

|  |  |
| --- | --- |
| Chart, bar chart  Description automatically generated  (a)  (a) | Chart, bar chart  Description automatically generated  (b)  (b) |
| Chart  Description automatically generated  (c)  (c) | Chart  Description automatically generated  (d)  (d) |
| 1.0 0.5 0 -0.5 -1  Chart  Description automatically generated | |

**Figure 13.** Correlation matrix showing Spearman’s correlation analysis of water quality parameters at survey sites (a) J1, (b) J2, (c) T1, and (d) T2. The numbers inside the boxes represent the Spearman correlation coefficients.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

**Figure 14.** Self-organizing map for sampling site J1.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

**Figure 15.** Self-organizing map for sampling site J2.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

**Figure 16.** Self-organizing map for sampling site T1.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

**Figure 17.** Self-organizing map for sampling site T2.

3.2. Comparison of the Performance of the Statistical Machine Learning Algorithms

This section presents the results of analyzing the dominant algae classification accuracy using 11 statistical machine learning algorithms. The training data consisted of the measurements from 2017 to 2021 at each survey site, while the test data was the remaining measurements from 2022. To evaluate the classification accuracy, three representative criteria were used: accuracy, sensitivity, and specificity [40]. These criteria were calculated using a confusion matrix that organized the actual correct answers and the predicted answers from the classification, as shown in Table 5.

**Table 5.** Confusion matrix of dominant algae classification

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | | Predicted | | | |
| Cyanophytes | Diatoms | Chlorophytes | Others |
| Actual | Cyanophytes |  |  |  |  |
| Diatom |  |  |  |  |
| Chlorophytes |  |  |  |  |
| Others |  |  |  |  |

“Accuracy” simply refers to the ratio of observations that match the correct answer through classification among all observations and can be calculated as shown in Equation (14) using the table above.

|  |  |
| --- | --- |
|  | (14) |

The advantages of accuracy are that it is easy to calculate and can be understood intuitively. However, as it simply takes the arithmetic average, the imbalance between each class can be severe when using imbalanced data. To compensate for this shortcoming, we calculated sensitivity and specificity for the four algae categories (cyanophytes, diatoms, chlorophytes, and others), calculated weighted sensitivity and weighted specificity by taking the weighted average according to the number of data, and used these two metrics as additional criteria to evaluate the algorithms. Sensitivity and specificity can be understood through the binary confusion matrix shown in Table 6.

**Table 6.** Binary Confusion Matrix

|  |  |  |  |
| --- | --- | --- | --- |
|  | | **Predicted** | |
| **Positive** | **Negative** |
| **Actual** | **Positive** | True Positive(TP) | False Negative(FN) |
| **Negative** | False Positive(FP) | True Negative(TN) |

Sensitivity is the ratio of observations properly classified as positive out of those that are actually positive, and specificity is the ratio of observations properly classified as negative out of those that are actually negative [41]. Both ratios range from 0 to 1, with values closer to 1 indicating better algorithm performance. This is expressed in Equation (15).

|  |  |
| --- | --- |
|  | (15) |

For multiclass classification with at least three classes of categorical variables, as in this study, sensitivity and specificity are calculated using the binary confusion matrix for each class, and weighted sensitivity and weighted specificity, the weighted average of each, are used [42]. Hence, to create a binary confusion matrix for the diatoms category, we can set diatoms to “positive” and the remaining categories (cyanophytes, chlorophytes, and others) to “negative”. The weighted sensitivity and weighted specificity are expressed in Equation (16). , , , and is the serial number for each category, the probability of being included in each category, and the sensitivity and specificity for each category, respectively.

|  |  |
| --- | --- |
|  | (16) |

Moreover, there is a trade-off relationship between sensitivity and specificity, where one decreases if the other increases [43]. Therefore, we additionally defined G mean, which can serve as a suitable supplementary point for these two metrics. This was obtained by taking the square root of the product of weighted sensitivity and weighted specificity as in Equation (17). We applied this form because the measurement data is imbalanced towards the diatoms category.

|  |  |
| --- | --- |
|  | (17) |

**3.2.1 Tree-based algorithm for Assessing Variable Importance**

In this study, the classification performance of five tree-based algorithms, namely bagging, AdaBoost, gradient boosting, random forest, and extreme gradient boosting, was compared. Each algorithm computes variable importance to determine which explanatory variable has the most influence on the response variable [44]. Variable importance increases as the reduction of the Gini coefficient or the sum of squared errors increases. In extreme gradient boosting, variable importance is calculated using three measurement criteria: gain, cover, and frequency.

Figure 18 presents graphs of the error calculated when applying the random forest algorithm based on the training data at each sampling site. The OOB (out-of-bag) error in the legend refers to the error obtained by using the remaining data not included in sampling with replacement, which allows duplication, from the training data as validation data [45] The other items in the legend indicate the probability of an incorrect answer calculated as the error for each category when the dominant algae are classified as cyanophytes, diatoms, chlorophytes, or other algae. Figure 18 demonstrates that each error converges to a specific value as the number of tree models used in random forest increases. The probability of error is the lowest when probabilistically judging that the dominant algae are diatoms. This confirms that the most frequent time points during the survey period were those when diatoms dominated. Figure 19 presents the cross-validation tests conducted by extreme gradient boosting, wherein the point indicating the smallest mlogloss error value was considered as the best iteration. As illustrated in Fig. 19, the mlogloss error value progressively decreased with each iteration for the training data, but increased after a certain point for the test data, indicating overfitting [46]. Therefore, one of the advantages of extreme gradient boosting is that it reduces the risk of overfitting through cross-validation.

|  |  |
| --- | --- |
|  |  |
| **(a) J1** | **(b) J2** |
|  |  |
| **(c) T1** | **(d) T2** |
| **Legend** |  |
| Chart, histogram  Description automatically generated |  |

**Figure 18.** Graph of error in Random Forest for sampling site (a) J1, (b) J2, (c) T1, and (d) T2.

|  |  |
| --- | --- |
|  |  |
| **(a) J1** | **(b) J2** |
|  |  |
| **(c) T1** | **(d) T2** |
| **class**  Chart, line chart  Description automatically generated |  |

**Figure 19.** Cross-validation test in Extreme Gradient Boosting for sampling site (a) J1, (b) J2, (c) T1, and (d) T2.

Using this process, the variable importance of each algorithm for the training data by survey site was calculated, and the results are shown in Tables 7 and 8. Based on the results, the variable importance calculations varied depending on the survey site and algorithm. Overall, temperature and DO (dissolved oxygen) were more important than other measurement variables in determining and classifying the dominant algae at a specific point for each survey site. This observation suggests a high correlation between water temperature and oxygen in terms of the possibility of algae occurrence.

These results align with the findings of Woo et al. (2020), who reported that the amount of harmful cyanobacteria occurring at nine water supply source sites in the main stream of the Nakdong River in South Korea from 2012 to 2019 was highly correlated with water temperature and dissolved oxygen [47]. However, at the Tamjin Lake-Yuchi River confluence(T2) site, the variable importance of nutrient-related measurement variables such as BOD, TN, and Chla was relatively high, surpassing that of DO. At the Tamjin Lake dam front (T1) site, the variable importance of EC was relatively high. This indicates that nutrients like nitrogen and phosphorus have a more significant influence on algae growth at the Tamjin Lake site compared to the Juam Lake site.

**Table 7.** Variable Importance of Explanatory Variables for dominant algae classification (Bagging, AdaBoost, Gradient Boosting, Random Forest). The top three measurement variable values, based on variable importance for each survey site and algorithm, are bolded. In instances where identical values are present, both variables are bolded.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Algorithm** | **Bagging** | | | | **AdaBoost** | | | | **Gradient Boosting** | | | | **Random Forest** | | | |
| **site** | **J1** | **J2** | **T1** | **T2** | **J1** | **J2** | **T1** | **T2** | **J1** | **J2** | **T1** | **T2** | **J1** | **J2** | **T1** | **T2** |
| **BOD** | 1.5151 | 1.2076 | 1.4199 | **10.9415** | 5.3688 | 2.3051 | 3.2752 | 6.1182 | 4.4015 | 3.5559 | 3.6883 | **8.5063** | 5.9707 | 4.3431 | 3.6987 | 5.0601 |
| **COD** | 3.9329 | 0.6218 | 3.3253 | 0.9804 | 3.8681 | 4.3541 | 5.9501 | 6.1057 | 3.4951 | 2.4247 | 2.3878 | 3.2978 | 4.6970 | 3.5746 | 3.0373 | 2.7818 |
| **TN** | **7.6162** | 3.3504 | 4.7121 | 6.3081 | 7.1730 | 7.1124 | 4.8650 | **12.1125** | **6.8504** | 4.5037 | 3.9922 | 7.0974 | 6.9187 | 4.7123 | 5.0819 | **7.0660** |
| **TP** | 1.4593 | 0.9727 | 1.4096 | 1.7724 | 5.2335 | 5.1272 | 4.5811 | 5.5618 | 3.4535 | 2.7191 | 2.9967 | 3.0749 | 5.2055 | 4.1090 | 3.5175 | 3.0822 |
| **TOC** | 1.5957 | 1.0353 | 3.9916 | 2.2245 | 2.9938 | 3.6203 | 3.6343 | 5.8841 | 2.1803 | 2.9575 | 3.4477 | 4.5815 | 3.9918 | 4.1497 | 3.5106 | 3.6138 |
| **SS** | 1.7521 | 1.6342 | 2.6280 | 3.3955 | 6.5863 | 5.8286 | 7.2618 | 5.5634 | 4.9319 | 3.1961 | 5.3275 | **8.4605** | 6.3064 | 3.9993 | 3.9027 | 4.3123 |
| **EC** | 4.7823 | 3.7292 | **4.7572** | 2.3607 | 5.4583 | 4.9772 | **8.4684** | 6.4223 | 4.1840 | 3.5315 | 5.1276 | 4.0014 | 6.4564 | 4.5444 | 4.7248 | 3.4153 |
| **pH** | 4.7826 | 4.8354 | 0.6660 | 0.5151 | 4.7689 | **9.6268** | 3.5256 | 5.3030 | 5.3392 | 5.3432 | 1.4144 | 1.7538 | 5.1586 | 5.6326 | 2.6790 | 3.1063 |
| **DO** | **28.3646** | 3.9285 | **37.4578** | 1.2421 | **10.3830** | 7.9277 | **9.3204** | 3.2858 | **18.9131** | **11.9789** | **22.1672** | 3.7293 | **14.9578** | **12.9253** | **10.5199** | 4.7113 |
| **Temperature** | **26.6748** | **57.0166** | 4.5654 | **40.1099** | **8.7429** | **15.3805** | **11.5727** | **8.2058** | **15.4682** | **29.3555** | **8.6348** | **24.7510** | **14.2645** | **20.5988** | **6.3262** | **9.8519** |
| **Turbidity** | 1.3844 | **5.6681** | 1.8321 | 1.0291 | 5.9557 | 4.3917 | 7.1061 | 7.4450 | 3.7155 | 5.8834 | 3.8942 | 2.7514 | 5.3849 | 6.3450 | 3.1732 | 3.5382 |
| **Transparency** | 0.9296 | 0.8488 | 0.6438 | 6.6063 | 4.8024 | 3.5518 | 2.8340 | 3.3015 | 2.6789 | 1.4298 | 2.2568 | 3.0288 | 3.8831 | 3.3025 | 2.1322 | 2.5311 |
| **Chla** | 2.9024 | 2.9814 | 2.5859 | **10.6339** | 6.1437 | 6.5267 | 7.3452 | **11.5679** | 4.5390 | 5.3894 | 8.4450 | 8.2374 | 5.5247 | 4.8334 | 4.2982 | **5.5031** |
| **LowWaterLevel** | 5.4858 | **8.8656** | **24.4891** | 6.2134 | **8.3114** | **8.8755** | 6.2390 | 4.1642 | 6.4153 | **7.5803** | **11.3711** | 5.7199 | 6.4287 | **7.0199** | **6.3847** | 4.3711 |
| **Inflow** | 2.6177 | 1.5479 | 1.4467 | 1.2481 | 6.6966 | 5.5075 | 8.0738 | 3.6056 | 6.0363 | 5.1891 | 5.8343 | 3.6946 | 5.3530 | 4.3713 | 4.1482 | 2.3601 |
| **Discharge** | 3.9374 | 1.7066 | 2.8512 | 4.3660 | 7.0177 | 4.7818 | 5.0124 | 5.2433 | 6.4306 | 2.5860 | 7.2105 | 6.5851 | **7.6860** | 5.9045 | 5.4156 | 4.0187 |
| **Reservoir** | 0.2672 | 0.0500 | 1.2183 | 0.0531 | 0.4960 | 0.1051 | 0.9349 | 0.1097 | 0.9672 | 2.3757 | 1.8039 | 0.7290 | 6.6168 | 6.8222 | 6.0186 | 4.5432 |

**Table 8.** Variable importance of explanatory variables for dominant algae classification using extreme gradient boosting. The top three measurement variable values, based on variable importance for each survey site and algorithm, are bolded. In instances where identical values are present, both variables are bolded.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Method** | **Gain** | | | | **Cover** | | | | **Frequency** | | | | |
| **Site** | **J1** | **J2** | **T1** | **T2** | **J1** | **J2** | **T1** | **T2** | **J1** | **J2** | **T1** | **T2** |
| BOD | 0.0437 | 0.0191 | 0.0168 | 0.0817 | 0.0409 | 0.0394 | 0.0100 | 0.0332 | 0.0501 | 0.0487 | 0.0365 | 0.0601 |
| COD | 0.0447 | 0.0168 | 0.0361 | 0.0549 | 0.0324 | 0.0096 | 0.0621 | **0.1455** | 0.0537 | 0.0254 | 0.0547 | **0.1148** |
| TN | 0.0623 | 0.0515 | 0.0527 | **0.0951** | 0.0569 | 0.0350 | 0.0502 | **0.1691** | 0.0590 | 0.0742 | **0.0833** | **0.1257** |
| TP | 0.0284 | 0.0217 | 0.0264 | 0.0380 | 0.0747 | 0.0108 | 0.0259 | 0.0222 | 0.0555 | 0.0318 | 0.0547 | 0.0437 |
| TOC | 0.0201 | 0.0199 | 0.0669 | 0.0731 | 0.0240 | 0.0188 | **0.0936** | 0.0384 | 0.0358 | 0.0424 | 0.0781 | 0.0738 |
| SS | 0.0460 | 0.0276 | 0.0190 | 0.0604 | 0.0625 | 0.0251 | 0.0198 | 0.1181 | 0.0537 | 0.0403 | 0.0469 | 0.0902 |
| EC | 0.0546 | 0.0592 | 0.0774 | 0.0411 | 0.0445 | 0.0328 | **0.1580** | 0.0173 | 0.0644 | 0.0657 | **0.1016** | 0.0574 |
| pH | 0.0613 | 0.0626 | 0.0333 | 0.0130 | **0.0857** | **0.1221** | 0.0202 | 0.0580 | 0.0698 | 0.0869 | 0.0443 | 0.0410 |
| DO | **0.2037** | **0.1009** | **0.2566** | 0.0059 | **0.1660** | 0.1083 | **0.2462** | 0.0034 | **0.1002** | **0.1102** | **0.1224** | 0.0164 |
| Temperature | **0.1870** | **0.3645** | **0.0880** | **0.2813** | **0.1396** | **0.1797** | 0.0584 | **0.2365** | **0.1091** | **0.0890** | 0.0599 | **0.1175** |
| Turbidity | 0.0416 | 0.0593 | 0.0236 | 0.0304 | 0.0210 | 0.0682 | 0.0328 | 0.0185 | 0.0519 | 0.0678 | 0.0469 | 0.0492 |
| Transparency | 0.0196 | 0.0125 | 0.0097 | 0.0341 | 0.0193 | 0.0465 | 0.0061 | 0.0168 | 0.0358 | 0.0318 | 0.0234 | 0.0301 |
| Chla | 0.0247 | 0.0586 | 0.0516 | **0.1026** | 0.0462 | 0.0809 | 0.0441 | 0.0550 | 0.0465 | **0.1017** | 0.0677 | 0.0984 |
| LowWaterLevel | **0.0650** | **0.0693** | **0.1378** | 0.0090 | 0.0500 | **0.1382** | 0.0480 | 0.0093 | **0.0751** | 0.0742 | 0.0443 | 0.0164 |
| Inflow | 0.0304 | 0.0306 | 0.0602 | 0.0148 | 0.0460 | 0.0305 | 0.0569 | 0.0110 | 0.0608 | 0.0508 | **0.0833** | 0.0219 |
| Discharge | 0.0581 | 0.0258 | 0.0203 | 0.0644 | 0.0809 | 0.0541 | 0.0354 | 0.0478 | 0.0680 | 0.0593 | 0.0339 | 0.0437 |
| Reservoir | 0.0089 | 0.0000 | 0.0234 | 0.0000 | 0.0092 | 0.0000 | 0.0323 | 0.0000 | 0.0107 | 0.0000 | 0.0182 | 0.0000 |

3.2.2 Comparison of algorithms based on four criteria

To compare the dominant algae classification performance of the 11 statistical machine learning algorithms explained in Section 2.6, we used the measurements at each survey site from 2017 to 2021 as the training data and the remaining measurements from 2022 as the test data. Each algorithm was trained using the training data, and the classification performance was compared based on accuracy, weighted sensitivity, weighted specificity, and G mean according to the test data. Table 9 presents the calculations of these four criteria for each algorithm based on the classification results by survey site, and Table 10 displays the best algorithms based on the four criteria.

The results show that the optimal algorithm varied depending on the survey site and evaluation criteria. Moreover, our findings indicated that algorithms with complex structures and training processes do not always yield optimal performance, and even simple algorithms can sometimes sufficiently analyze the given data. The data used in this study is imbalanced, with diatoms being the dominant algae in most cases. As such, it is most desirable to select the optimal algorithm based on the G mean, which appropriately combines the harmonic average of weighted sensitivity and weighted specificity rather than accuracy.

Accordingly, the best algorithms for classifying the dominant algae are as follows: decision tree for the Juam Lake dam front (J1) site, random forest for the Juam Lake Shinpyeong Bridge (J2) site, support vector machine for the Tamjin Lake dam front (T1) site, and gradient boosting for the Tamjin Lake-Yuchi River confluence (T2) site. The fact that the best algorithm differs for each survey site suggests that the environmental characteristics of each survey site also vary.

**Table 9.** Result of dominant algae classification by 11 statistical machine learning algorithms.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Site** | **Criterion** | **Algorithm** | | | | | | | | | | |
| DT | Bag | Ada | GB | RF | XGB | LDA | FDA | RDA | SVM | DNN |
| J1 | Accuracy | 0.7000 | 0.6200 | 0.6000 | 0.5400 | 0.6200 | 0.6200 | 0.4000 | 0.4000 | 0.4200 | 0.6600 | 0.5800 |
| Weighted Sensitivity | 0.7000 | 0.6200 | 0.6000 | 0.5400 | 0.6200 | 0.6200 | 0.4000 | 0.4000 | 0.4200 | 0.6600 | 0.5800 |
| Weighted Specificity | 0.6239 | 0.6431 | 0.6949 | 0.7010 | 0.6699 | 0.6948 | 0.8791 | 0.8791 | 0.9046 | 0.6257 | 0.4200 |
| G mean | 0.6609 | 0.6314 | 0.6462 | 0.6153 | 0.6445 | 0.6563 | 0.5930 | 0.5930 | 0.6164 | 0.6426 | 0.4936 |
| J2 | Accuracy | 0.5800 | 0.5400 | 0.5400 | 0.5200 | 0.6600 | 0.5600 | 0.5800 | 0.5800 | 0.5400 | 0.6200 | 0.5400 |
| Weighted Sensitivity | 0.5800 | 0.5400 | 0.5400 | 0.5200 | 0.6600 | 0.5600 | 0.5800 | 0.5800 | 0.5400 | 0.6200 | 0.5400 |
| Weighted Specificity | 0.7620 | 0.7385 | 0.7046 | 0.7087 | 0.7179 | 0.8067 | 0.7131 | 0.7131 | 0.4600 | 0.6583 | 0.4600 |
| G mean | 0.6648 | 0.6315 | 0.6168 | 0.6071 | 0.6883 | 0.6721 | 0.6431 | 0.6431 | 0.4984 | 0.6389 | 0.4984 |
| T1 | Accuracy | 0.7551 | 0.8163 | 0.8367 | 0.8776 | 0.9184 | 0.7959 | 0.5918 | 0.5918 | 0.8367 | 0.8980 | 0.8367 |
| Weighted Sensitivity | 0.7551 | 0.8164 | 0.8368 | 0.8775 | 0.9184 | 0.7960 | 0.5919 | 0.5919 | 0.8367 | 0.8980 | 0.8367 |
| Weighted Specificity | 0.8641 | 0.7709 | 0.7762 | 0.7843 | 0.6834 | 0.8698 | 0.8801 | 0.8801 | 0.1633 | 0.7823 | 0.1633 |
| G mean | 0.8078 | 0.7933 | 0.8059 | 0.8296 | 0.7922 | 0.8321 | 0.7218 | 0.7218 | 0.3696 | 0.8382 | 0.3696 |
| T2 | Accuracy | 0.7551 | 0.7551 | 0.7551 | 0.7755 | 0.7551 | 0.7551 | 0.7143 | 0.7143 | 0.7551 | 0.7551 | 0.7551 |
| Weighted Sensitivity | 0.7552 | 0.7552 | 0.7552 | 0.7756 | 0.7552 | 0.7552 | 0.7143 | 0.7143 | 0.7552 | 0.7552 | 0.7552 |
| Weighted Specificity | 0.2448 | 0.2448 | 0.3043 | 0.3673 | 0.2448 | 0.3698 | 0.2439 | 0.2439 | 0.2448 | 0.2448 | 0.2448 |
| G mean | 0.4300 | 0.4300 | 0.4794 | 0.5337 | 0.4300 | 0.5285 | 0.4174 | 0.4174 | 0.4300 | 0.4300 | 0.4300 |

**Table 10.** The best algorithm based on four criteria.

|  |  |  |
| --- | --- | --- |
| **Site** | **Criterion** | **Best Algorithm** |
| J1 | Accuracy | Decision Tree |
| Weighted Sensitivity | Decision Tree |
| Weighted Specificity | Regularized Discriminant Analysis |
| G mean | Decision Tree |
| J2 | Accuracy | Random Forest |
| Weighted Sensitivity | Random Forest |
| Weighted Specificity | Extreme Gradient Boosting |
| G mean | Random Forest |
| T1 | Accuracy | Random Forest |
| Weighted Sensitivity | Random Forest |
| Weighted Specificity | Linear Discriminant Analysis, Flexible Discriminant Analysis |
| G mean | Support Vector Machine |
| T2 | Accuracy | Gradient Boosting |
| Weighted Sensitivity | Gradient Boosting |
| Weighted Specificity | Extreme Gradient Boosting |
| G mean | Gradient Boosting |

4. Discussion

This study analyzed the dominant algae from 2017 to 2022 at various sites of Juam Lake and Tamjin Lake, representative water supply sources in the Yeongsan River and Seomjin River systems in South Korea, and briefly examined their seasonal characteristics. Additionally, water quality and hydraulic/hydrological parameters related to algae occurrence were collected based on water quality monitoring network data, algae alert system data, and hydraulic/hydrological data to construct the data needed for analysis. We then performed an exploratory data analysis, including correlation analysis and pattern analysis of the SOM for each measurement variable according to the four survey sites, to investigate the overall relationships between the variables and their distributional characteristics. Based on four algorithm evaluation criteria, we also examined the dominant algae classification accuracy of 11 statistical machine learning algorithms for each survey site.

According to the algorithm examination, we found that the best one differs for each survey site, indicating that the environmental characteristics of each survey site also differ. Unlike previous studies [48-49], which mainly used traditional multivariate statistical analysis techniques like principal component analysis (PCA) or clustering analysis (CA) to evaluate the environmental characteristics of the survey site, our study is meaningful as it attempted to evaluate the environmental characteristics of the survey site using the latest version of statistical machine learning algorithms. The main results of this study are as follows. According to the line graphs showing the monthly average number of cells for each algae type measured during the survey period from 2017 to 2022 at the Juam Lake and Tamjin Lake sites, chlorophytes or diatoms tended to dominate in spring, cyanophytes in early summer and summer, and chlorophytes and diatoms in autumn and early winter.

Through an exploratory data analysis, using correlation analysis and pattern analysis of the SOM of the monitoring data, we analyzed the water quality parameters and hydraulic/hydrological variables measured at the Juam Lake and Tamjin Lake sites from 2017 to 2022. According to the results, overall, the mutually related water quality parameters (BOD, COD, TN, TP, etc.) showed positive correlations, while the water quality item variables and hydraulic/hydrological variables showed negative correlations.

Using the data measured from 2017 to 2022 at the Juam Lake and Tamjin Lake monitoring sites of this study, we identified the best algorithms for classifying dominant algae. Based on G mean, the following algorithms yielded the best performance and were selected: decision tree for the Juam Lake dam front (J1) site, random forest for the Juam Lake Shinpyeong Bridge (J2) site, support vector machine for the Tamjin Lake dam front (T1) site, and gradient boosting for the Tamjin Lake-Yuchi River confluence(T2) site.

This study presents rigorous analyses of water quality data from four survey sites to predict the dominant algae using machine learning algorithms. However, the limited number of survey sites may limit the generalizability of the findings to other water sources worldwide. Future research should explore the prediction of dominant algae in a larger number of investigation sites to obtain more universal results. This would facilitate the development of a way to evaluate the generalized environmental characteristics of water quality. Overall, this study provides valuable insights into the use of statistical machine learning algorithms for water quality management, highlighting the need for further research in this area.

5. Conclusion

The results presented in Section 4 were based solely on data collected from the Juam Lake and Tamjin Lake sites. It is important to note that incorporating additional measurement variables, such as precipitation, extending the survey period, or analyzing data from water supply sources outside of the Yeongsan River and Seomjin River system, may result in different outcomes. As the amount of data increases, so does the prior knowledge obtained, which can then be used to train algorithms further, potentially improving their performance. Additionally, different water systems have unique water quality and hydraulic/hydrological characteristics, meaning that even the same algorithms may produce varying results when applied to different water systems. Therefore, continuous research on various water source points and result comparisons are necessary. This research approach can aid in the efficient management of water supply source quality by accurately classifying dominant algae occurrences.

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