

INN: A Method Identifying Clean-annotated Samples via Consistency Effect in Deep Neural Networks

D.Kim ¹ and Y.Choi ² and K.Kim ² and Y.Kim ³

Speaker : Dongha Kim

¹Department of Statistics, Sungshin Women's University, South Korea

²Department of Statistics, Seoul National University, South Korea

³College of Data Science, Seoul National University, South Korea

May 27-28, 2021

Outline

- 1 Introduction
- 2 Our proposed method
- 3 Empirical analysis
- 4 References

Outline

1 Introduction

2 Our proposed method

3 Empirical analysis

4 References

Noisy label problem

- A lot of training data is needed to train classification deep networks.
 - In many domains related to the AI,
 - **labeling** procedure can be done only **manually**→**high cost**.
 - Alternative plans: internet search engines or hashtags.
 - Easy to collect massive labels but relatively **inaccurate**.
- ✓ **Noisy label problem**: classification tasks with **noisy labels**.

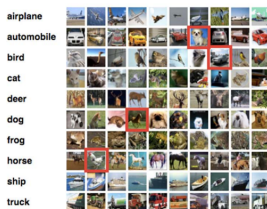


Figure: Data with noisy labels.

Noisy label problem

Bottleneck

- Deep learning models have large model complexity.
- Easy to over-fit training data, even data with noisy labels.

Goal

- To identify clean labeled data from training data
- To train deep networks robust to noisy labeled data.

Conventional solving strategy

Memorization effect (ME) [2, 3]

- 1 Deep networks memorize data **clean-labeled data first**,
- 2 and memorize noisy-labeled ones after.

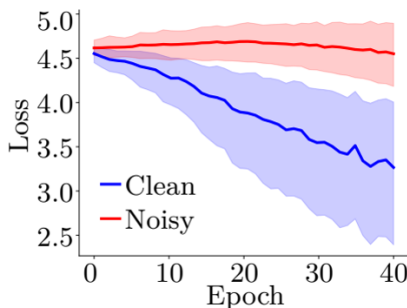


Figure: Memorization effect

Conventional solving strategy

- To train deep networks of high-performance, we need to measure the score of cleanness for each training sample in advance.
- Many existing learning methods dealing with noisy labels rely on the **small-loss strategy** to identify clean-labeled data, utilizing the ME ([7] and references therein).
- Small-loss strategy:
 - 1 Train a deep network for a few training epochs.
 - 2 Calculate per-sample loss values.
 - 3 Choose small-loss-valued samples and treat them as clean-labeled data.

Limitations of the small-loss strategy

- The However, the small-loss strategy has several weaknesses.
 - 1 The performance of the small-loss strategy heavily depends on the selection of the training epoch.
 - 2 When the labels are imbalanced or heavily polluted, the small-loss strategy may fail to separate clean-labeled data.

Our contributions

- We find a new observation, called the **consistency effect** (CE), which helps to identify clean-labeled data well.
- Based on the finding, we propose a new algorithm, called the integration with the nearest neighborhoods (INN), to identify clean-labeled samples.
- We demonstrate the INN overcomes the limitations of the small-loss method successfully.
- Combining ours with other learning frameworks handling noisy labels, we success to enhance the performance of prediction networks.

Outline

1 Introduction

2 Our proposed method

3 Empirical analysis

4 References

Notations

- $f(\mathbf{x}; \theta) : \mathcal{X} \rightarrow \mathcal{S}^K$: K -class prediction model
 - $f_k(\mathbf{x}; \theta) = p(Y = k | \mathbf{x}; \theta)$, $k = 1, \dots, K$
 - \mathcal{S}^K : K -dimensional simplex
 - θ : parameter
- $\mathcal{D}^{tr} = \{(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_n, y_n)\}$: training data
 - $\mathbf{x} \in \mathcal{X}$: input
 - $y \in \{1, \dots, K\}$: observed label
 - $y^* \in \{1, \dots, K\}$: ground-truth label (not observed)
- $\mathcal{C}^{tr} = \{(\mathbf{x}, y) \in \mathcal{D}^{tr} : y = y^*\}$
- $\mathcal{N}^{tr} = \{(\mathbf{x}, y) \in \mathcal{D}^{tr} : y \neq y^*\}$
- Our goal is to estimate the clean labeled set \mathcal{C}^{tr} accurately.

Motivation: Consistency effect (CE)

- For a given sample (\mathbf{x}, y) , let $\mathbf{x}^m = \frac{\mathbf{x} + \tilde{\mathbf{x}}}{2}$, where $\tilde{\mathbf{x}}$ is the nearest neighbor training input of \mathbf{x} with a certain dissimilarity.
- We analyze how the values $f_y(\mathbf{x}; \theta)$ and $f_y(\mathbf{x}^m; \theta)$ behave with respect to the label cleanness of \mathbf{x} .
- At each training epoch, we calculate the followings and compare them.

$$E_{\text{cor}} = \mathbb{E}_{(\mathbf{x}, y) \sim \mathcal{C}^{\text{tr}}} [f_y(\mathbf{x})],$$

$$E_{\text{inc}} = \mathbb{E}_{(\mathbf{x}, y) \sim \mathcal{N}^{\text{tr}}} [f_y(\mathbf{x})],$$

$$E_{\text{cor}}^m = \mathbb{E}_{(\mathbf{x}, y) \sim \mathcal{C}^{\text{tr}}} [f_y(\mathbf{x}^m)],$$

$$E_{\text{inc}}^m = \mathbb{E}_{(\mathbf{x}, y) \sim \mathcal{N}^{\text{tr}}} [f_y(\mathbf{x}^m)],$$

- E_{cor} and E_{inc} : average of prediction values on the training data.
- E_{cor}^m and E_{inc}^m : average of prediction values on the **neighbor region** of the training data.

Motivation: Consistency effect (CE)

- $E_{\text{cor}} - E_{\text{inc}}$ decreases as the training epoch increases (**ME**).
- However, $E_{\text{cor}}^m - E_{\text{inc}}^m$ does not vanish (**New observation**).
- The prediction values at a **neighbor region** of each sample are informative to identify clean labeled data.

→ **Consistency effect (CE)**

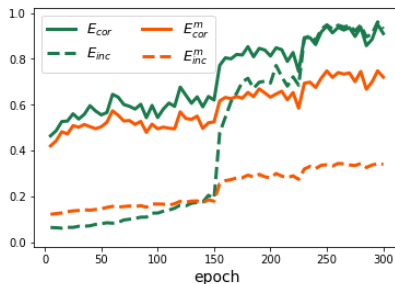


Figure: The ME and CE

INN

- $f(\cdot; \hat{\theta})$: an estimated model.
 - We found that using the MixUp loss function is the best.
- The INN score of $\mathbf{z} = (\mathbf{x}, y) \in \mathcal{D}^{tr}$ is

$$I(\mathbf{z}) = f_y \left(\frac{\mathbf{x} + \tilde{\mathbf{x}}}{2}; \hat{\theta} \right),$$

where $\tilde{\mathbf{x}}$ is the nearest training input of \mathbf{x} with a certain dissimilarity.

- The dissimilarity measure we choose is the Euclidean distance on the feature space of the function.
- The larger the score $I(\mathbf{x})$, the more we could regard \mathbf{z} as being cleanly labeled.

INN

✓ Modification 1.

- We found that the CE occurs on a wide range between \mathbf{x} and $\tilde{\mathbf{x}}$.
- To exploit the CE to the best, we modify the INN score by integrating the prediction function along from \mathbf{x} to $\tilde{\mathbf{x}}$:

$$I(\mathbf{z}) = \int_0^1 f_y \left(\alpha \mathbf{x} + (1 - \alpha) \tilde{\mathbf{x}}; \hat{\theta} \right) d\alpha,$$

INN

✓ Modification 2.

- Using multiple neighbors helps identify clean labeled data more accurately:

$$I(\mathbf{z}) = \frac{1}{L} \sum_{l=1}^L \int_0^1 f_y \left(\alpha \mathbf{x} + (1 - \alpha) \tilde{\mathbf{x}}_l; \hat{\theta} \right),$$

where $\tilde{\mathbf{x}}_1, \dots, \tilde{\mathbf{x}}_L$ are the L nearest neighbors of \mathbf{x} .

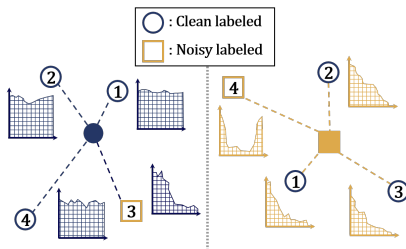


Figure: An illustration of calculating the INN score

Application of INN

- The INN scores can improve the performance of existing deep network learning frameworks solving the noisy label problem.
- In this study, we construct deep networks of high-performance by combining ours to the DivideMix method [4], one of the state-of-the-art methods.
- We make this by simply replacing the small-loss strategy part in the DivideMix with the INN method.

Outline

- 1 Introduction
- 2 Our proposed method
- 3 Empirical analysis**
- 4 References

Performance test of INN

- Data sets:
 - CIFAR10&100.
- Architectures:
 - PreActResNet18.
- Optimizers:
 - SGD

Stability and superiority of INN

- We use CIFAR10&100, and we artificially impose label noise to the data sets with various noise rates from 10% to 30%.
- At each 50 training epochs, We measure the clean/noisy classification AUC value on the training data set.
- Baselines are the small-loss methods with two loss functions (CE and CE+NE).

Stability and superiority of INN

- For all cases, the INN method constantly outperforms other baselines for all considering training epochs.
- That means, the INN identifies clean-labeled data stably and accurately.

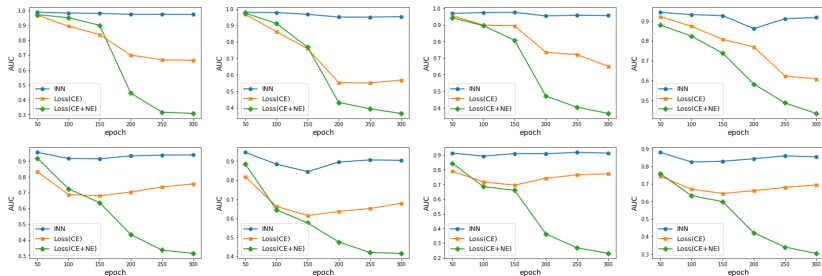


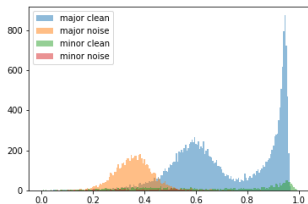
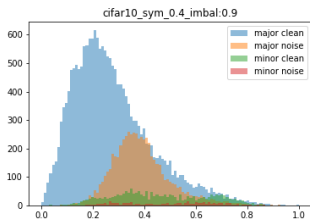
Figure: Comparison of AUC values for clean/noisy sample classification between the *INN* and other methods.

Imbalanced data analysis

- From CIFAR10, we randomly split ten classes into two groups so that each group has five.
- The training data set is composed of all images in the first group and 10% randomly sampled images from the second group.
- For each sample, we give noise to its label with a probability of 0.4.
- We compare the AUC of clean/noisy classification of the INN with that of the small-loss method.

Imbalanced data analysis

- The small-loss method fails to identify the minor samples, while the INN does successfully.



Heavily contaminated data analysis

- We train deep prediction models by applying the INN to the DivideMix.
- Then, we calculate test accuracies and compare them with those of other baselines learning frameworks.
- Our method enhances the state-of-the-art performances with large margins.

Data set	CIFAR10	CIFAR100	
Noise type	Symm.	Symm.	Asym.
Methods / Noise rate	90%	80%	40%
Cross-Entropy	42.7	19.9	42.7
Forward T [6]	42.9	19.19	-
M-correction [1]	69.1	48.2	-
MLNT [5]	58.7	42.4	-
DivideMix [4]	76.0	60.2	59.26
INN-DivideMix	81.51	61.81	63.02

Summary

- We proposed a new approach, called INN, to identify clean labeled samples from training data with noisy labels.
- The INN is based on the new finding called the consistency effect that discrepancies of predictions at neighbor regions are helpful to identify clean-labeled data well.
- We empirically demonstrated that the INN is stable and powerful even when the labels are imbalanced or heavily contaminated.



Outline

- 1 Introduction
- 2 Our proposed method
- 3 Empirical analysis
- 4 References**

References I

- [1] E. Arazo, D. Ortego, P. Albert, N. E. O'Connor, and K. McGuinness. Unsupervised label noise modeling and loss correction. In *36th International Conference on Machine Learning*, pages 312–321, 2019.
- [2] D. Arpit, S. Jastrzebski, N. Ballas, D. Krueger, E. Bengio, M. S. Kanwal, T. Maharaj, A. Fischer, A. Courville, Y. Bengio, et al. A closer look at memorization in deep networks. In *International Conference on Machine Learning*, pages 233–242. PMLR, 2017.
- [3] L. Jiang, Z. Zhou, T. Leung, L.-J. Li, and L. Fei-Fei. Mentornet: Learning data-driven curriculum for very deep neural networks on corrupted labels. In *International Conference on Machine Learning*, pages 2304–2313. PMLR, 2018.
- [4] J. Li, R. Socher, and S. C. Hoi. Dividemix: Learning with noisy labels as semi-supervised learning. In *8th International Conference on Learning Representations*, 2020.
- [5] J. Li, Y. Wong, Q. Zhao, and M. S. Kankanhalli. Learning to learn from noisy labeled data. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, pages 5051–5059, 2019.

References II

- [6] G. Patrini, A. Rozza, A. Krishna Menon, R. Nock, and L. Qu. Making deep neural networks robust to label noise: A loss correction approach. In *2017 IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, pages 2233–2241, 2017.
- [7] H. Song, M. Kim, D. Park, and J.-G. Lee. Learning from noisy labels with deep neural networks: A survey. *arXiv preprint arXiv:2007.08199*, 2020.