# Trang H. Tran

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(Updated August 20, 2022)

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Optimization, and Machine Learning/Deep Learning

### **EDUCATION**

2020 - Present School of Operations Research and Information Engineering, Cornell University

Doctor of Philosophy, Major: Operations Research

PhD advisor: Prof. Katya Scheinberg PhD co-advisor: Dr. Lam M. Nguyen

2019 – 2020 Institute of Mathematics, Vietnam Academy of Science and Technology

Graduate Study in Applied Mathematics (Dropped)

2015 – 2019 Hanoi National University of Education

Honor Class, Faculty of Mathematics Degree of Bachelor, Classification: Excellent

### RESEARCH EXPERIENCE

05/2022 AI Research Intern

- 08/2022 IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY

Supervisor: Dr. Lam M. Nguyen

Research Assistant

08/2021 Cornell University

-05/2022 Supervisor: Prof. Katya Scheinberg

# - PUBLICATIONS

2022 Nesterov Accelerated Shuffling Gradient Method for Convex Optimization.

Trang H. Tran, Katya Scheinberg, Lam M. Nguyen International Conference on Machine Learning (ICML 2022) (21.9% acceptance rate)

2021 SMG: A Shuffling Gradient-Based Method with Momentum

Trang H. Tran, Lam M. Nguyen, Quoc Tran-Dinh International Conference on Machine Learning (ICML 2021) (21.47% acceptance rate)

### **PREPRINTS**

2022 On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms Lam M. Nguyen\*, **Trang H. Tran**\*

 ${\it Technical report, arXiv preprint, 2022 \ (\it Under \, Review)}$ 

2022 Finding Optimal Policy for Queueing Models: New Parameterization

Trang H. Tran, Lam M. Nguyen, Katya Scheinberg Technical report, arXiv preprint, 2022 (Under Review)

2022 New Perspective on the Global Convergence of Finite-Sum Optimization

Lam M. Nguyen\*, **Trang H. Tran**\*, Marten van Dijk Technical report, arXiv preprint, 2022 (*Under Review*)

# PROFESSIONAL ACTIVITIES

# 2020 - Present Program Committee - Reviewer (peer-reviewed conferences)

International Conference on Machine Learning (ICML 2020 - 2022)

Conference on Neural Information Processing Systems (NeurIPS 2021 - 2022)

International Conference on Learning Representations (ICLR 2021 - 2022)

Conference on Artificial Intelligence (AAAI 2022)

International Conference on Artificial Intelligence and Statistics (AISTATS 2021 - 2022)

Conference on Uncertainty in Artificial Intelligence (UAI 2022)

# 2021 – Present Reviewer (peer-reviewed journal)

Journal of Machine Learning Research (2022 – Present)

Journal of Optimization Theory and Applications (2022 – Present)

Machine Learning (2021 – Present)

Neural Networks (2022 – Present)

IEEE Transactions on Signal Processing (2021 – Present)

IEEE Transactions on Neural Networks and Learning Systems (2022 – Present)

# 2021 Session Chair / Organizer

INFORMS Annual Meeting 2021 - "Recent Advances in Stochastic Gradient Algorithms"

# 2021 Program Committee – Reviewer (workshops)

Optimization for Machine Learning: Beyond Worst-case Complexity (OPT 2021 - NeurIPS 2021 Workshop)

New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021 – NeurIPS 2021 Workshop)

# RESEARCH PROJECTS

# 2022 – Present On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms

Working under the supervision of Dr. Lam M. Nguyen

- Investigate a class of non-convex function called star-M-smooth-convex, which is more general than the class of star-convex smooth functions with respect to the minimizer (in the over-parameterized settings).
- Propose a new framework for the convergence of a shuffling-type gradient algorithm to a global solution, with a relaxed set of assumptions than the PL condition on the objective function.

# 2021 – Present Nesterov Accelerated Shuffling Gradient Method for Convex Optimization

Working under the supervision of Dr. Lam M. Nguyen and Prof. Katya Scheinberg

- Propose a new algorithm for the convex finite-sum problems, which integrates the traditional Nesterov's acceleration momentum with different shuffling sampling schemes.
- Prove an improved convergence rate in term of epochs, which is better than that of any other shuffling gradient methods in convex regime.

Published as a conference paper at ICML 2022

### 2020 – Present Optimization for Queueing Models in Reinforcement Learning

Working under the supervision of Prof. Katya Scheinberg and Dr. Lam M. Nguyen

- Investigate the optimization aspects of the queueing model as a Reinforcement Learning environment.
- Use the intrinsic properties of queueing network systems to optimize with probabilistic zeroth-order/first-order oracles

# 2020 – Present New Perspective on the Global Convergence of Finite-Sum Optimization

Working under the supervision of Dr. Lam M. Nguyen

- Present an alternative formulation for the finite-sum nonconvex optimization problems.
- Propose a novel framework that guarantees global convergence and exploits the structure of machine learning problems where the loss functions are convex.

# 2020 - 2021 SMG: A Shuffling Gradient-Based Method with Momentum

Working under the supervision of Dr. Lam M. Nguyen

- Develop a new shuffling gradient algorithm with momentum for solving the finite-sum minimization problems.
- Establish the state-of-the-art convergence rate for our method under standard assumptions using different learning rates and shuffling strategies.

Published as a conference paper at ICML 2021

# PATENTS APPLICATIONS

[1] Training A Neural Network Using an Accelerated Gradient with Shuffling.

Filed on July 14, 2022.

Lam M. Nguyen, Trang H. Tran.

# HONORS & AWARDS

2022 Top Reviewer

Conference on Uncertainty in Artificial Intelligence (UAI 2022)

2021 Outstanding Reviewer

International Conference on Learning Representations (ICLR 2021)

Reviewer Award (Top 10%)

2020 ORIE Field Fellowship

Eleanor and Howard Morgan PhD'68 Graduate Fellowship, Fall 2020

2019 Young Talent Scholarship Programme 2019

From the Vingroup Innovation Foundation (VINIF) for outstanding students who are pursuing the domestic postgraduate study programmes

2016 – 2018 Students Scholarship in National Program

for the Development of Mathematics until 2020

2016 First Prize on Algebra

In Vietnam Mathematics Competition for University Students (nationwide award)

### TALKS

10/2022 Nesterov Accelerated Shuffling Gradient Method.

INFORMS Annual Meeting 2022, Indianapolis, IN (upcoming)

07/2022 Nesterov Accelerated Shuffling Gradient Method for Convex Optimization.

International Conference on Machine Learning (ICML 2022), Baltimore, MD

10/2021 Shuffling Gradient-Based Methods

INFORMS Annual Meeting 2021, Anaheim, CA

07/2021 SMG: A Shuffling Gradient-Based Method with Momentum

International Conference on Machine Learning (ICML 2021), virtual conference

# OTHER EXPERIENCES

Teaching Assistant

Spring 2021 Cornell University

ORIE 3510 Introduction to Engineering Stochastic Processes

**SKILLS** 

Technical Python, MATLAB, PyTorch, TensorFlow, Keras, Gurobi.

# REFERENCES

Katya Scheinberg, Ph.D.

# Professor,

School of Operations Research and Information Engineering, Cornell University

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https://www.orie.cornell.edu/faculty-directory/katya-scheinberg

# Lam M. Nguyen, Ph.D.

# Research Staff Member,

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# Marten van Dijk, Ph.D.

Group Leader, Scientific Staff Member,

Computer Security, Centrum Wiskunde & Informatica

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# Quoc Tran-Dinh, Ph.D.

Associate Professor,

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