# Trang H. Tran

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(Updated February 10, 2023)

#### FIELDS OF INTEREST

Optimization, and Machine Learning/Deep Learning

#### **EDUCATION**

#### 2020 - Present School of Operations Research and Information Engineering, Cornell University

Doctor of Philosophy, Major: Operations Research

PhD advisor: Prof. Katya Scheinberg PhD co-advisor: Dr. Lam M. Nguyen

#### 2015 – 2019 Hanoi National University of Education

Honor Class, Faculty of Mathematics Degree of Bachelor, Classification: Excellent

#### RESEARCH EXPERIENCE

#### 05/2023 – 08/2023 AI Research Intern (upcoming)

IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY

#### 05/2022 - 08/2022 AI Research Intern

IBM Research, Thomas J. Watson Research Center, Yorktown Heights, NY Supervisor: Dr. Lam M. Nguyen

#### **PUBLICATIONS**

2022 Nesterov Accelerated Shuffling Gradient Method for Convex Optimization.

Trang H. Tran, Katya Scheinberg, Lam M. Nguyen International Conference on Machine Learning (ICML 2022) (21.9% acceptance rate)

2021 SMG: A Shuffling Gradient-Based Method with Momentum

Trang H. Tran, Lam M. Nguyen, Quoc Tran-Dinh International Conference on Machine Learning (ICML 2021) (21.47% acceptance rate)

# TECHNICAL REPORTS

2022 An End-to-End Time Series Model for Simultaneous Imputation and Forecast

Trang H. Tran, Lam M. Nguyen, Kyongmin Yeo, Nam Nguyen, Dzung Phan, Roman Vaculin, Jayant Kalagnanam

Technical report, 2022 (Under Review)

2022 On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms

Lam M. Nguyen\*, Trang H. Tran\*

Technical report, arXiv preprint, 2022 (Under Review)

2022 Finding Optimal Policy for Queueing Models: New Parameterization

Trang H. Tran, Lam M. Nguyen, Katya Scheinberg Technical report, arXiv preprint, 2022

2022 New Perspective on the Global Convergence of Finite-Sum Optimization

Lam M. Nguyen\*, **Trang H. Tran**\*, Marten van Dijk Technical report, arXiv preprint, 2022 (Under Review)

#### PROFESSIONAL ACTIVITIES

# 02 – 2023 Workshop Organizer

When Machine Learning meets Dynamical Systems: Theory and Applications.

Lam M. Nguyen, Trang H. Tran, Wang Zhang, Subhro Das and Tsui-Wei Weng.

Workshop at the 37th Conference on Artificial Intelligence (AAAI 2023)

#### 2020 - Present Program Committee - Reviewer (peer-reviewed conferences)

International Conference on Machine Learning (ICML 2020 - 2023)

Conference on Neural Information Processing Systems (NeurIPS 2021 - 2022) International Conference on Learning Representations (ICLR 2021 - 2023)

Conference on Artificial Intelligence (AAAI 2022)

International Conference on Artificial Intelligence and Statistics (AISTATS 2021 - 2023)

Conference on Uncertainty in Artificial Intelligence (UAI 2022 - 2023) Conference on Computer Vision and Pattern Recognition (CVPR 2023)

#### 2021 – Present Reviewer (peer-reviewed journal)

Journal of Machine Learning Research (2022 – Present)

Journal of Optimization Theory and Applications (2022 – Present)

Machine Learning (2021 – Present) Neural Networks (2022 – Present)

IEEE Transactions on Signal Processing (2021 – Present)

IEEE Transactions on Neural Networks and Learning Systems (2022 – Present)

#### 2022 – Present Member

Editorial Board, Machine Learning Journal

#### 2021 – Present Session Chair / Organizer

INFORMS Annual Meeting 2022 – "Optimization for Machine Learning"

INFORMS Annual Meeting 2021 – "Recent Advances in Stochastic Gradient Algorithms"

#### 2021 Program Committee – Reviewer (workshops)

Optimization for Machine Learning: Beyond Worst-case Complexity (OPT 2021 – NeurIPS 2021 Workshop)

New Frontiers in Federated Learning: Privacy, Fairness, Robustness, Personalization and Data Ownership (NFFL 2021 – NeurIPS 2021 Workshop)

# PATENTS APPLICATIONS

[1] Time Series Forecasting Using Multivariate Time Series Data With Missing Values.

Filed on February 1st, 2023.

Lam M. Nguyen, **Trang H. Tran**, Kyongmin Yeo, Nam Nguyen, Dzung Phan, Roman Vaculin, Jayant Kalagnanam.

[2] Training A Neural Network Using an Accelerated Gradient with Shuffling.

Filed on July 14th, 2022.

Lam M. Nguyen, Trang H. Tran.

# HONORS & AWARDS

#### 2022 Top Reviewer

Conference on Uncertainty in Artificial Intelligence (UAI 2022)

#### 2021 Outstanding Reviewer

 ${\bf International\ Conference\ on\ Learning\ Representations\ (ICLR\ 2021)}$ 

Reviewer Award (Top 10%)

#### 2020 ORIE Field Fellowship

Eleanor and Howard Morgan PhD'68 Graduate Fellowship, Fall 2020

#### 2019 Young Talent Scholarship Programme 2019

From the Vingroup Innovation Foundation (VINIF) for outstanding students who are pursuing the domestic postgraduate study programmes

#### 2016 – 2018 Students Scholarship in National Program

for the Development of Mathematics until 2020

#### 2016 First Prize on Algebra

In Vietnam Mathematics Competition for University Students (nationwide award)

#### RESEARCH PROJECTS

## 2022 - Present Adaptive Framework for Time Series: Forecasting with Missing Data

Summer intern project at IBM - working under the supervision of Dr. Lam M. Nguyen — Propose an adaptive multi-task framework for time series data, which simultaneously imputes the missing entries and makes a multiple-step ahead prediction.

- Perform experiments with our framework and show good performance of our method over existing approaches in both tasks.

# 2022 – Present On the Convergence to a Global Solution of Shuffling-Type Gradient Algorithms

Working under the supervision of Dr. Lam M. Nguyen

- Investigate a class of non-convex function called star-M-smooth-convex, which is more general than the class of star-convex smooth functions with respect to the minimizer (in the over-parameterized settings).

- Propose a new framework for the convergence of a shuffling-type gradient algorithm to a global solution, with a relaxed set of assumptions than the PL condition on the objective function.

#### 2021 – Present Nesterov Accelerated Shuffling Gradient Method for Convex Optimization

Working under the supervision of Dr. Lam M. Nguyen and Prof. Katya Scheinberg

- Propose a new algorithm for the convex finite-sum problems, which integrates the traditional Nesterov's acceleration momentum with different shuffling sampling schemes.
- Prove an improved convergence rate in term of epochs, which is better than that of any other shuffling gradient methods in convex regime.

Published as a conference paper at ICML 2022

#### 2020 – Present Optimization for Queueing Models in Reinforcement Learning

Working under the supervision of Prof. Katya Scheinberg and Dr. Lam M. Nguyen

- Investigate the optimization aspects of the queueing model as a Reinforcement Learning environment.
- Use the intrinsic properties of queueing network systems to optimize with probabilistic zeroth-order/first-order oracles

#### 2020 - Present New Perspective on the Global Convergence of Finite-Sum Optimization

Working under the supervision of Dr. Lam M. Nguyen

- Present an alternative formulation for the finite-sum nonconvex optimization problems.
- Propose a novel framework that guarantees global convergence and exploits the structure of machine learning problems where the loss functions are convex.

#### 2020 – 2021 SMG: A Shuffling Gradient-Based Method with Momentum

Working under the supervision of Dr. Lam M. Nguyen

- Develop a new shuffling gradient algorithm with momentum for solving the finite-sum minimization problems.
- Establish the state-of-the-art convergence rate for our method under standard assumptions using different learning rates and shuffling strategies.

Published as a conference paper at ICML 2021

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01/2023 Shuffling Gradient Methods for Finite-sum Optimization.

Institute of Mathematics, Vietnam Academy of Science and Technology, Hanoi, Vietnam

 $10/2022\,$  Nesterov Accelerated Shuffling Gradient Method.

 $\operatorname{INFORMS}$  Annual Meeting 2022, Indianapolis, IN

07/2022 Nesterov Accelerated Shuffling Gradient Method for Convex Optimization.

International Conference on Machine Learning (ICML 2022), Baltimore, MD

10/2021 Shuffling Gradient-Based Methods

INFORMS Annual Meeting 2021, Anaheim, CA

07/2021 SMG: A Shuffling Gradient-Based Method with Momentum

International Conference on Machine Learning (ICML 2021), virtual conference

#### OTHER EXPERIENCES

# Teaching Assistant - Cornell University

Fall 2022 MATH 2940 Linear Algebra for Engineeers

Spring 2021 ORIE 3510 Introduction to Engineering Stochastic Processes

Holding discussion sections, grading and other duties.

Mentorship

06/2022 - 05/2023 Angelos Assos

Undergraduate student, Computer Science and Mathematics, Massachusetts Institute of

Technology. (co-advise with Dr. Lam Nguyen and Prof. Luca Daniel)

#### SKILLS

Technical Python, MATLAB, PyTorch, TensorFlow, Keras, Gurobi.

Language Vietnamese (native), English (proficient)

#### REFERENCES

#### Katya Scheinberg, Ph.D.

Professor, School of Operations Research and Information Engineering, Cornell University

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https://www.orie.cornell.edu/faculty-directory/katya-scheinberg

## Lam M. Nguyen, Ph.D.

Research Staff Member, IBM Research, Thomas J. Watson Research Center

1101 Kitchawan Rd, Yorktown Heights, NY 10598, USA

https://researcher.watson.ibm.com/researcher/view.php?person=ibm-lamnguyen.mltd

## Kyongmin Yeo, Ph.D.

Research Staff Member, IBM Research, Thomas J. Watson Research Center

1101 Kitchawan Rd, Yorktown Heights, NY 10598, USA

https://researcher.watson.ibm.com/researcher/view.php?person=us-kyeo

## Marten van Dijk, Ph.D.

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# Quoc Tran-Dinh, Ph.D.

Associate Professor, Department of Statistics and Operations Research, The University of North Carolina at Chapel Hill

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