

CMPE258

Fall 2023

✓

August 22 (Tues)

Organizational meeting.

1. Class material on github  
github/huawili

alv100	Add files via upload
deep-learning-2020S	Add files via upload
deep-learning-2022s	Add files via upload
deep-learning-2023s	Add files via upload
facial-detect	Add files via upload
lec1Capture/CMakeFiles	Delete CMakeDirectoryInformation
lecOpenCV_GL	openGL and openCV sample commi
riscv	Create readme.txt
20-2021S-0-7-1convnets-NumericalD...	Add files via upload

### Course and Contact Information

Instructor(s): Harry Li

Office Location: Engineering Building, Room 267A

Telephone: (650) 400-1116 for text messaging only

Email: hua.li@sjsu.edu

Office Hours: M.W. 3:00-4:00 pm

In-Person.

Class Days/Time: Tuesdays and Thursdays 4:30-5:45 pm.

Classroom: Engineering Building Room 337

Prerequisites: CMPE 255 or CMPE 257 or instructor consent. Computer Engineering majors only.

### Course Description

2. Prerequisites Requirements

Bring your Proof to the next Class.

3. Emphasis on "Deep Neural Networks",  
Semantic Segmentation

Course Description



Deep neural networks and their applications to various problems, e.g., speech recognition, image segmentation, detection and recognition of temporal and spatial patterns, and natural language processing. Covers underlying theory, the range of applications to which it has been applied, and learning from very large data sets.

Note: Definition (n.b.): (†) Human Intelligence  
is Symbolic Representation of  
Learn + Experience.

## 4. Projects. 2

Plks | team project  
(Semester Long) } 30% 25%  
30 pts

## 5. In-Person Class; CANVAS is

utilized to Post Homework/Project Requirements, and to Collect the Submission.  
of the Homework,  
as well as for the Exams.

No

This course is an online course. The students must have Internet connectivity and Zoo his/her machine. The students must participate in the class activities and submit all assignments to SJSU CANVAS. The syllabus, faculty contact information on the syllabus, projects, and exam papers are all available on CANVAS. See [University Policy F13-2](#)

## 6.

Grading Information

Quiz, Homework, Projects	30%
Midterm Examination	30%
Final Examination	40%

## 7. Textbooks &amp; References

## Textbook

- Deep Learning with Python, 1st or 2nd Edition, by François Chollet, ISBN-10: 9781617294433, <https://github.com/fchollet/keras/blob/master/2018F-6-DeepLearningCh02.pdf>
- Robot Vision by B.K. P. Horn, the MIT press, ISBN 0-262-08159-8, (Hill).
- Reference textbook Learning OpenCV, Computer Vision with the OpenCV Library, O'Reilly Publisher, ISBN 978-0-596-51613-0, 2011.

## 8. Software Tools &amp; Dev. Environment

Python.  
Anaconda.  
TensorFlow.

Rcharm.

Note: Rm268 Available per ON  
Approved Basis.

August 24 (Thursday)

## Note:

- CANVAS To Be up by the end of the day, Friday;
- Tools & Software To be installed (will provide "Readme" as Ref)

OpenCV

Python.

T.F. Version 2.0 or higher

Today's Topic:  
Intro. to Deep Convolutional Neural Networks.

Ref: github.

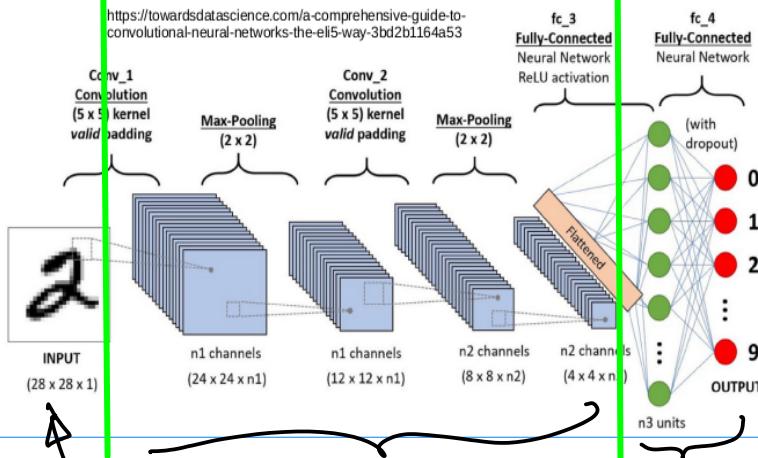
2022F-103-NN-Intro-Python-v5-2022-8-25

Note: Lab Space for the class  
Rm268.

## Example: Architecture Overview.

Note 1.

## Illustration of A CNN for Digits Recognition



Preprocessing  
Computer  
Vision.

Convolution  
Layers

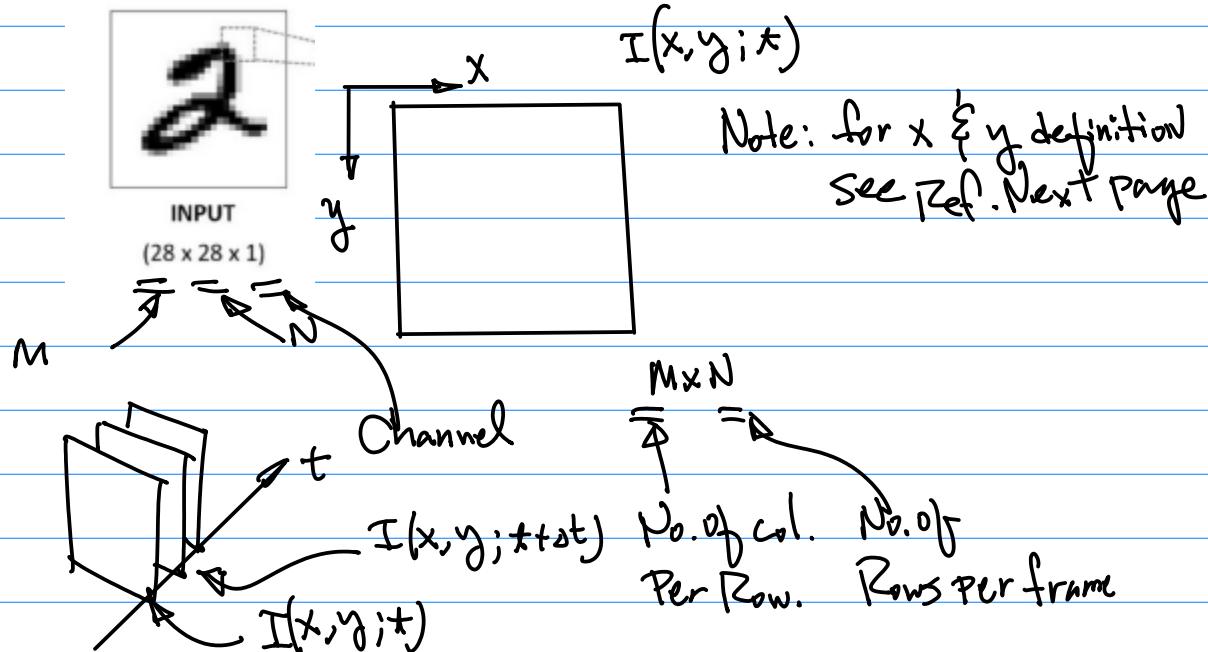
Feature Extraction  
To produce Feature Vectors.

Feed Forward  
Neural Networks.

Decision  
Making

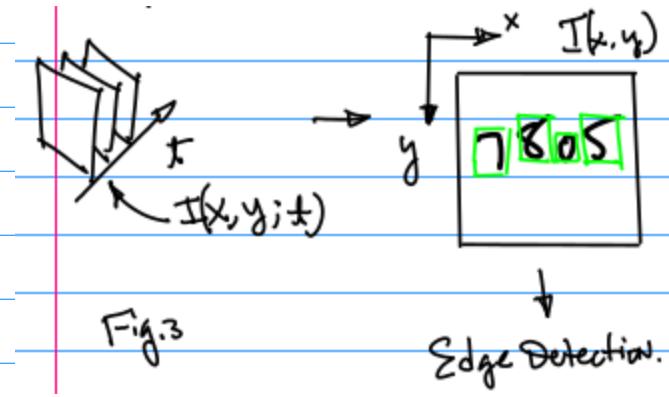
$$\vec{u} = (u_1, u_2, \dots, u_N)$$

## 2. Image Definition



Ref: on the github.

[2023S-101-Notes-cmpe258-2023-03-16.pdf](#)



Comparison to Web Cam.

Web Resolution: 1920x1080

$$\begin{aligned} & \downarrow \\ & \sim 2^{11} = 2 \cdot 2^9 \\ & = 2K \\ & \sim 2^{11} \\ \\ & 2^{22} = 2 \cdot 2^{20} \\ & = 4 \text{ Meg} \\ & \simeq 4 \text{ million.} \end{aligned}$$

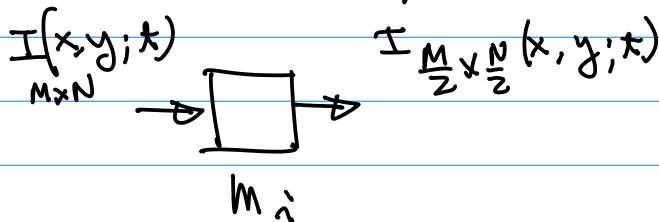
3. For the Convolutional Layer, we denote it as  $C_i$ , where  $i=1, 2, \dots, N$

for Max Pooling Layer, we denote it as  $M_j$ , where  $j=1, 2, \dots, K$

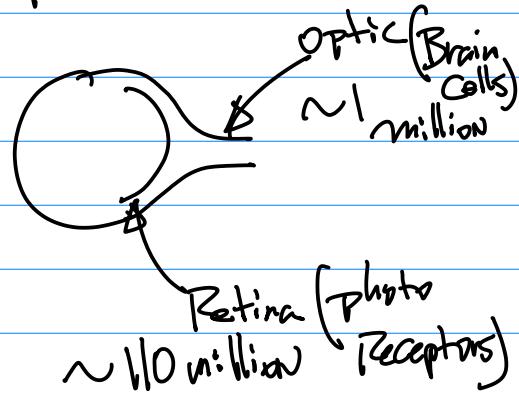
So, for the example, we have

$$C_1 M_1 \rightarrow C_2 M_2$$

Maxpooling for Resolution Reduction/Feature Reduction.



Note: Biologic Inspiration,  
Human Visual Perception System,  
Retina,  $\sim 1/0$  million  
photo Receptors



4. At the 3rd Segment (Blocks) of the Architecture, we denote Feed Forward Neural Networks as  $F_M$

$$F_M$$

No. of Neurons / Nodes

for example,  $F_{10}$  (10 Nodes) for the output layer.

August 29 (Tue)

Note: 1<sup>o</sup> CANVAS is up.2<sup>o</sup> Homework Assignment

} a. Honesty Pledge to Be  
Signed/Signed Copy  
has to be uploaded  
to CANVAS.  
b.

Software Tools  
Installation. (0 pt)

(1) OpenCV. By Friday

Next Tuesday. Bring Your  
Laptop w/ OpenCV installed.

↓  
Please use Smartphone  
to take a photo, And upload  
the photo to your laptop to  
display.

Note: Sample code (Python)

was posted on the github.

(2) Anaconda Installed on  
your Laptop.Note: Readme for Anaconda  
installation was posted on  
the github.

(3) Create ChatGPT Account.

Python Interface to ChatGPT  
API (3.5 Version) is to  
be utilized in your Team Project.3<sup>o</sup> Form Team for the  
Semester Long Project.Example: Consider 2D Convolution  
Technique.Ref: [github/valihi/OpenCV](https://github.com/valihi/OpenCV)

2022

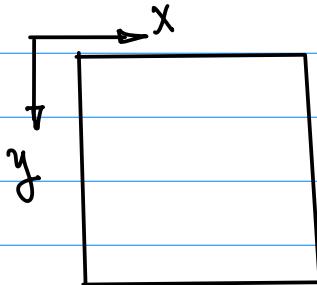
Note 1:

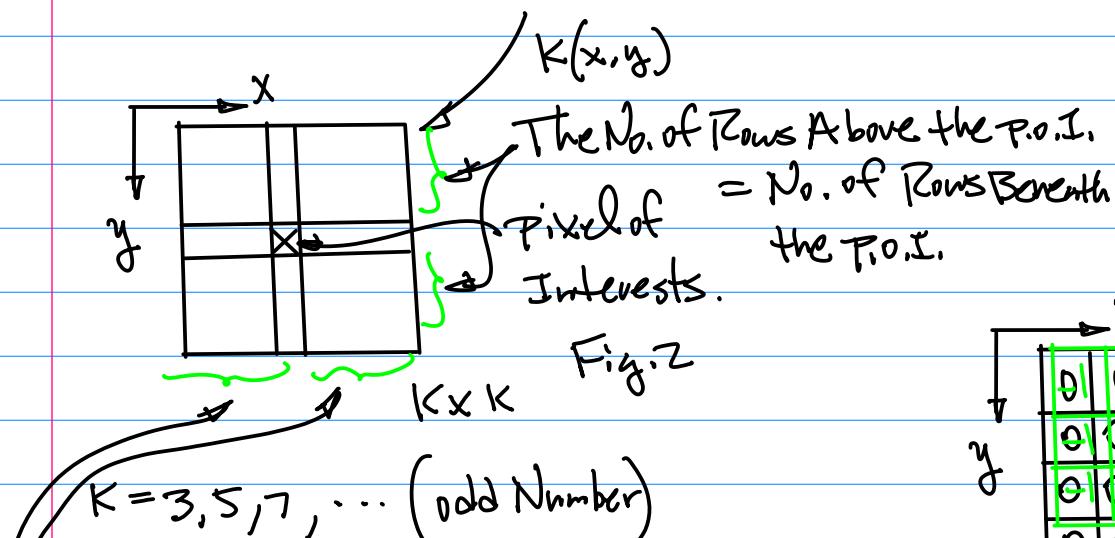
$$c(n_1, n_2) = \sum_{k_1=-\infty}^{\infty} \sum_{k_2=-\infty}^{\infty} a(k_1, k_2) b(n_1 - k_1, n_2 - k_2)$$

Image      Kernel

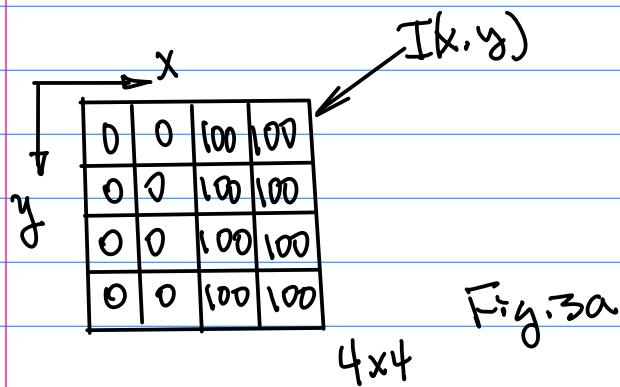
$n_1 = x, n_2 = y$

... (1)

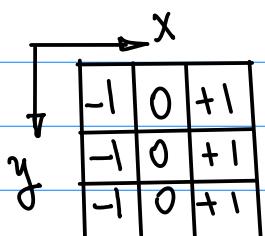
Summation  
Index: $k_1, k_2$  are  
for  $x$  and  $y$ .Note 2:  $a(x, y)$  or  $a(k_1, k_2)$  as  
an Image.  $I(x, y)$  $b(x, y)$ : a Kernel for 2D  
Convolution,  $b(k_1, k_2)$ Note 3: Kernel  $b(x, y)$  can be rewritten  
 $K(x, y)$ Size of A kernel is denoted as  
 $K \times K$



The No. of Col. Right to the P.O.I. = The No. of Col. Left to the P.O.I.

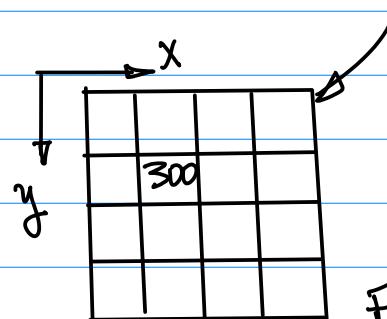


8 bit Gray scale:  $2^8 - 1 = 255$

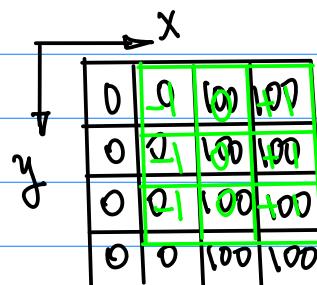


Step 1. Take the Kernel, and place it at the initial position.

$$\begin{aligned}
 & -1 \times 0 + 0 \times 0 + 1 \times 100 + \\
 & -1 \times 0 + 0 \times 0 + 1 \times 100 + \\
 & -1 \times 0 + 0 \times 0 + 1 \times 100 \\
 \hline
 & = 300
 \end{aligned}$$



Step 2. Shift  $K(x, y)$  to the Right by 1 pixel (on the same Row).



$$\begin{aligned}
 -1 \times 0 + 0 \times 100 + 1 \times 100 &+ \\
 -1 \times 0 + 0 \times 100 + 1 \times 100 &+ \\
 -1 \times 0 + 0 \times 100 + 1 \times 100 &= 300
 \end{aligned}$$

$$I(x,y) * K(x,y)$$

August 31 (Th).

Note: 1° Honesty Pledge on CANVAS,  
Signed pdf due this Friday.  
(ON CANVAS). Homework ON CANVAS

2° Will post Anaconda Installation  
& OpenCV Installation, display  
an image.

(1) Screen Capture of the activated  
CONDA Environment, with personal  
identifier;

(2) Screen Capture of the OpenCV  
Display with P.I.D.

Sample Code for the display to  
Be Re-posted on github, 2023F

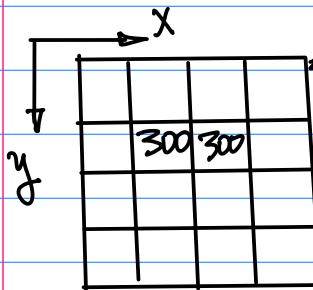


Fig. 3 F

Step 3.

$$I(x,y) * K(x,y)$$

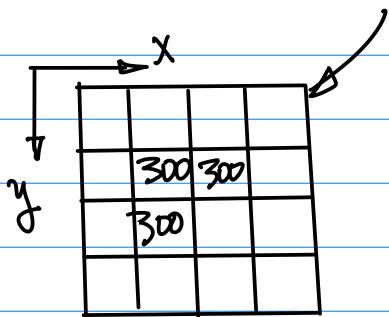


Fig. 3 G

Step 3.

$$I(x,y) * K(x,y)$$

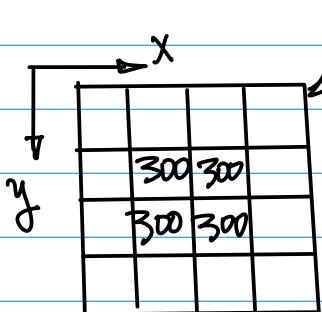


Fig. 3 H

Note: 2D Convolution (Continuous Case)

given Image  $f(x,y)$   
a Kernel  $K(x,y)$

$$\iint f(u,v) K(x-u, y-v) du dv \dots [2]$$

Example: Theoretical Aspects  
for 2D Convolution.

Ref: 1° PPT on the github.



1-2020S-#2019S-23-  
2DConvolution-2019-2-4.  
pdf

2° 2023S 1D-note-...

2023-03-21.pdf

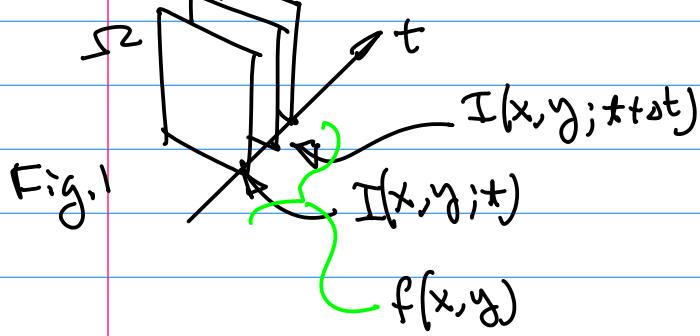
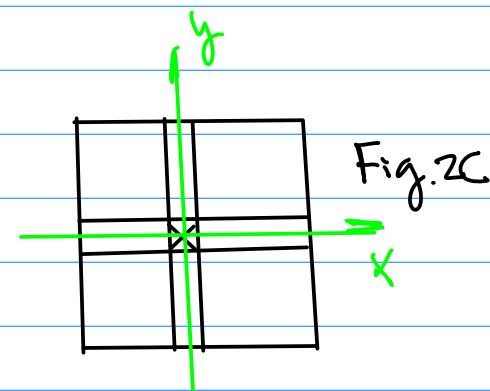
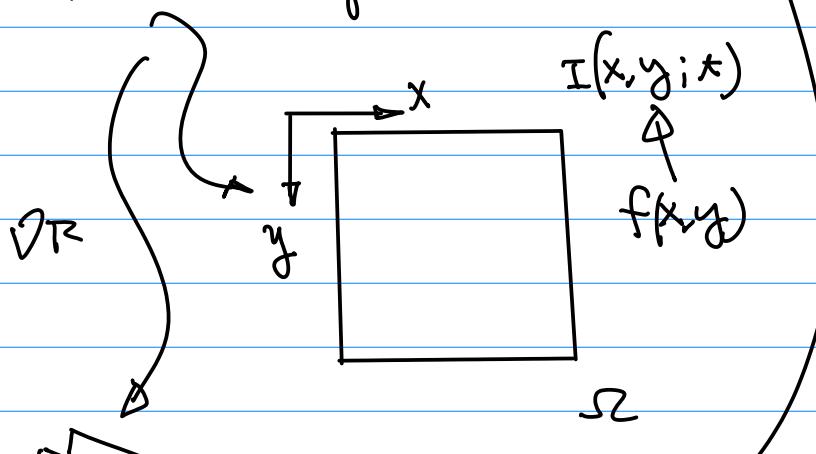
$$\sum_{\Sigma} f(u,v) h(x-u, y-v) du dv \quad \dots (1)$$

~~$\sum$~~  = 

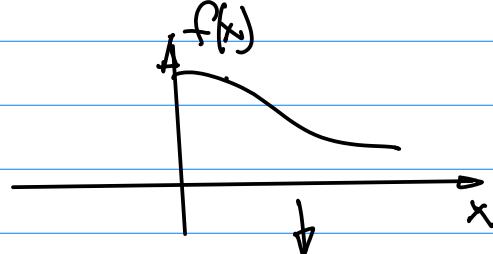
Image      Kernel

Note 1.  $\Sigma$ : Image Planes

→ (2) Flip the kernel w.r.t. X-axis.  
(since  $k(-x, y)$  changed to  $k(-x, -y)$ )



Note: for 1D Case



Note 2: Kernel

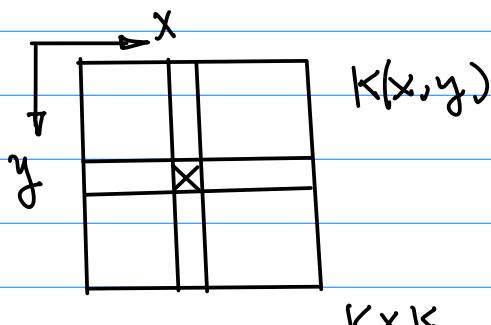
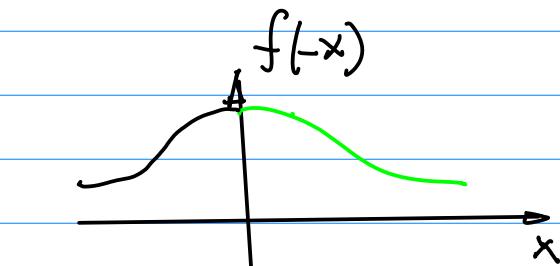


Fig. 2a

↓ (1)

$K(-x, y)$

Flip the Kernel  
w.r.t y-axis



Next, make  $k(-x, -y)$  to  
 $k(x-y, y)$

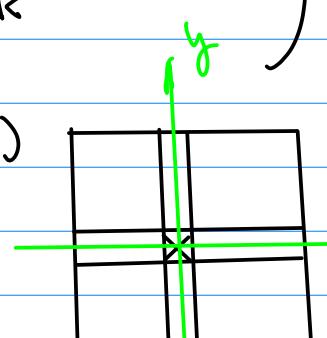
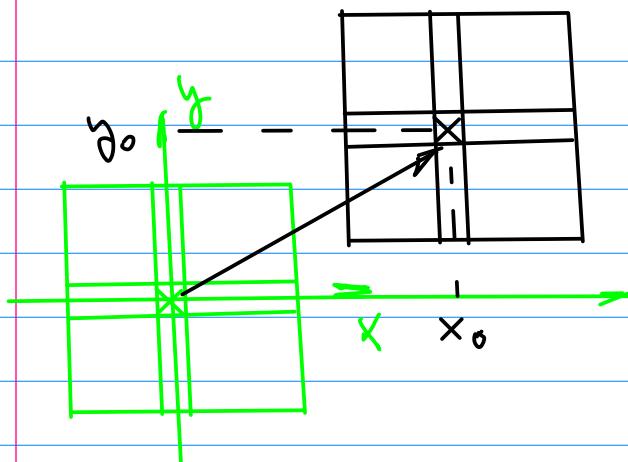


Fig. 2b



Note:  $\frac{k-1}{2}$  for the top Rows  
 $\frac{k-1}{2}$  for the Bottom Rows  
 Lost  
 $2 \times \frac{k-1}{2} = k-1$

Similarly for the Col.s.

Fig.2d

Now, for Discrete Image (OR  
Digital  
Feature plane)

Replace  $\int \int$  by  $\sum \sum$

$$c(n_1, n_2) = \sum_{k_1=-\infty}^{\infty} \sum_{k_2=-\infty}^{\infty} a(k_1, k_2) b(n_1 - k_1, n_2 - k_2)$$

Image      Kernel      ... (z)

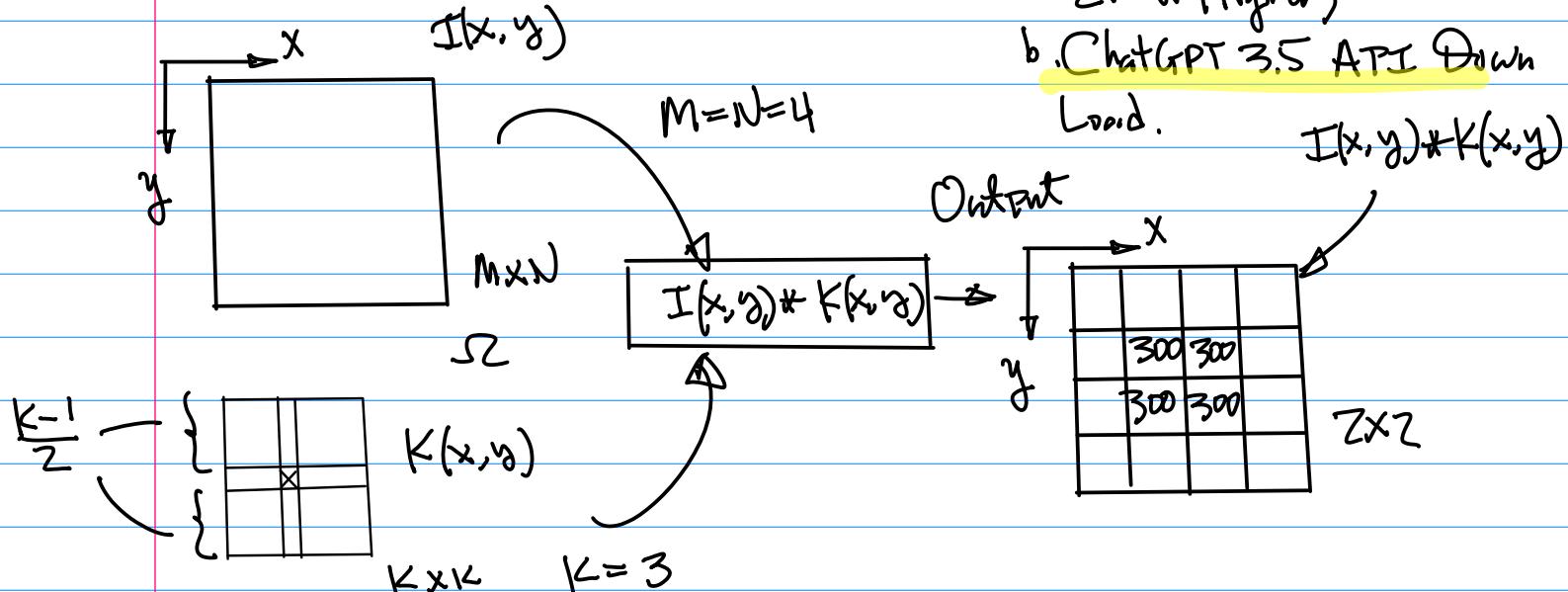
Dimension Change Due to the Convolution.

Therefore,  
 Input Dimension  $M \times N$   $\rightarrow$  Output After Convolution  
 $m - (k-1) \times N - (k-1)$   
 ... (3)

Sept. 5 (Tue)

Note: 1<sup>o</sup> CANVAS OpenCV, Anaconda Installation;  
 2<sup>o</sup> Homework Coming On Thursday.

- a. Installation of T.F. Version 2.0 or Higher;
- b. ChatGPT 3.5 API Down Load.



Example: Continuation on  
Convolutional Layers and  
Architecture for Handwritten  
Digits Recognition.  
Based on Eq(3), PP.9.

$M \times N$  Image  $\rightarrow M - (K-1) \times N - (K-1)$  System.

$K \times K$   
Convolution

From MNIST Architecture,  
the Input Image  $I(x, y)$  with  
 $M \times N$  ( $M = N = 28$ ) + the  
Output feature layers Resolution  
 $24 \times 24$ .  $\rightarrow K = (28-24) + 1$   
 $\underbrace{\phantom{0}}_{\text{Resolution difference.}}$

Now, the total No. of feature  
layers =  $n$

Since one convolution (e.g.  
using one  $K \times K$  Kernel  
to perform Convolution)  
produces 1 feature layer,

Therefore  
 $n$  Convolutional feature  
Layers is the  
result of  $n$  Convolutions,  
e.g.  $n$  different  
Kernels.

Note: Multiple feature layers  
are due to the inspiration  
of Human Visual Perception  
System, e.g. "Early" Vision

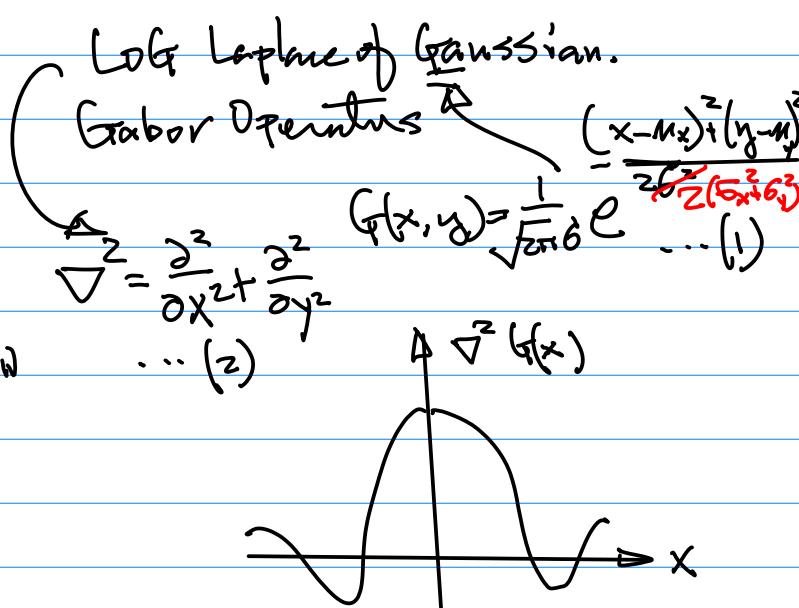


Fig.1.

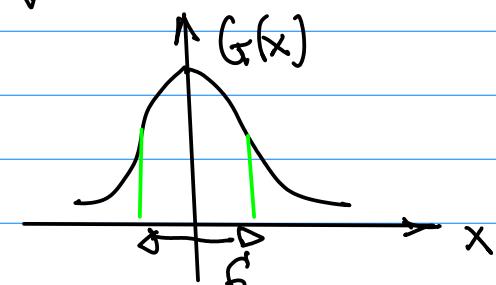


Fig.2

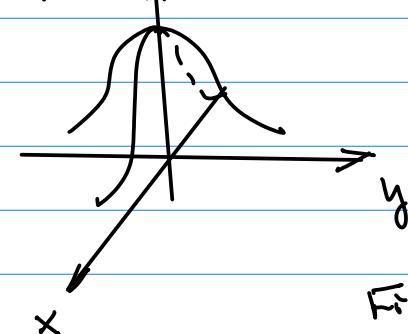
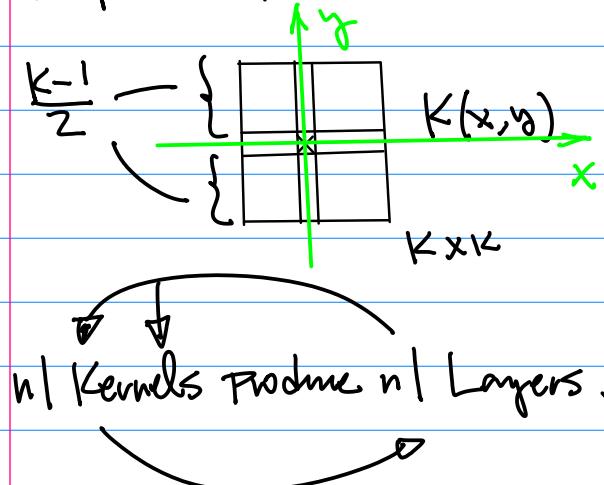


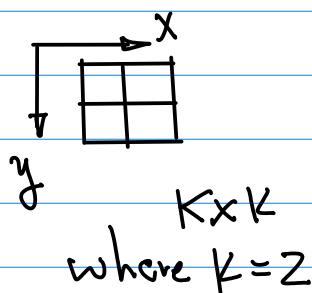
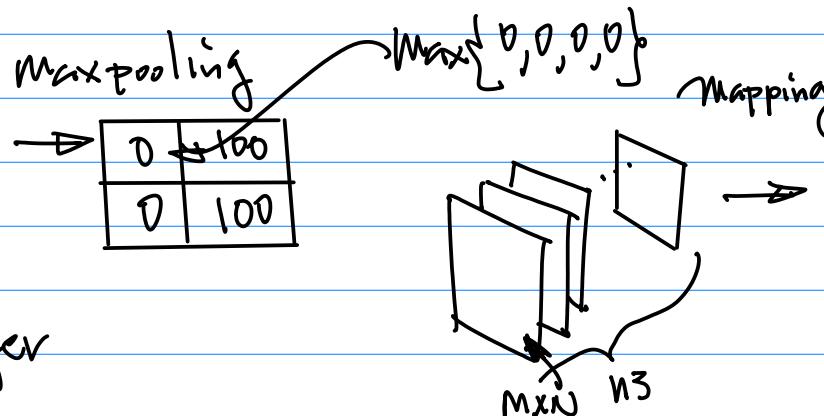
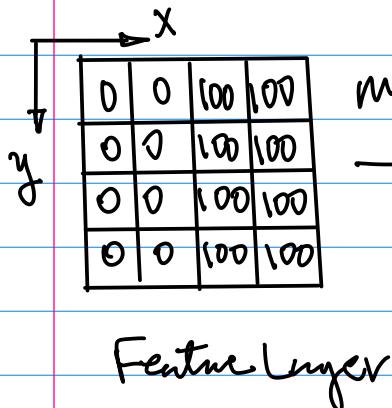
Fig.3

Take  $G(x, y)$  in Fig. 3,  
Map it to  $K \times K$ .



Now, consider a technique  
for feature Reduction,

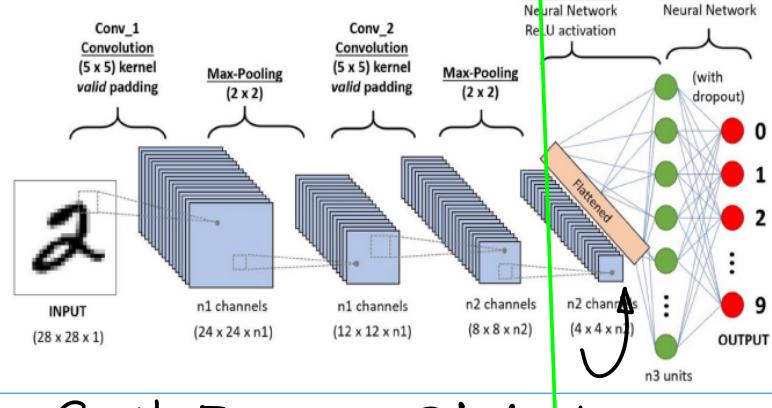
Given an image  $I(x, y)$



Now, consider the Architecture of MNIST

### Illustration of A CNN for Digits Recognition

<https://towardsdatascience.com/a-comprehensive-guide-to-convolutional-neural-networks-the-eli5-way-3bd2b1164a53>



Consider Re-arrange 2D feature layers  
into 1D  
Each  
Neurons.

Example: Sample code  
OpenCV for Displaying  
an image

cv2.imread(imageName, cv2.IMREAD\_COLOR)  
cv2.imshow(window\_name, src)

Sept. 7 (Th).

Note: 1<sup>o</sup> About OpenCV  
Reference/Sample  
Code.

a) On github. for Video Capture

[opencv / deep-learning-2022s / 2022S-109c-v2-localization-contours.py](#)

hualili Add files via upload

to get A Single

Frame.

b) Sample for Single frame, e.g.  
.jpg, .png etc Image Input.

2022S-104d ~ Python Code

c) Script for Conda Environment

On github :

2022S-104b ~

" - 104c ~

d) Readme for Creating Conda  
Environment.

Note 2. Homework Due A week

from today (Sept. 17, Sun)

Requirements :

a) Installation of T.F. Version 2.0  
or higher

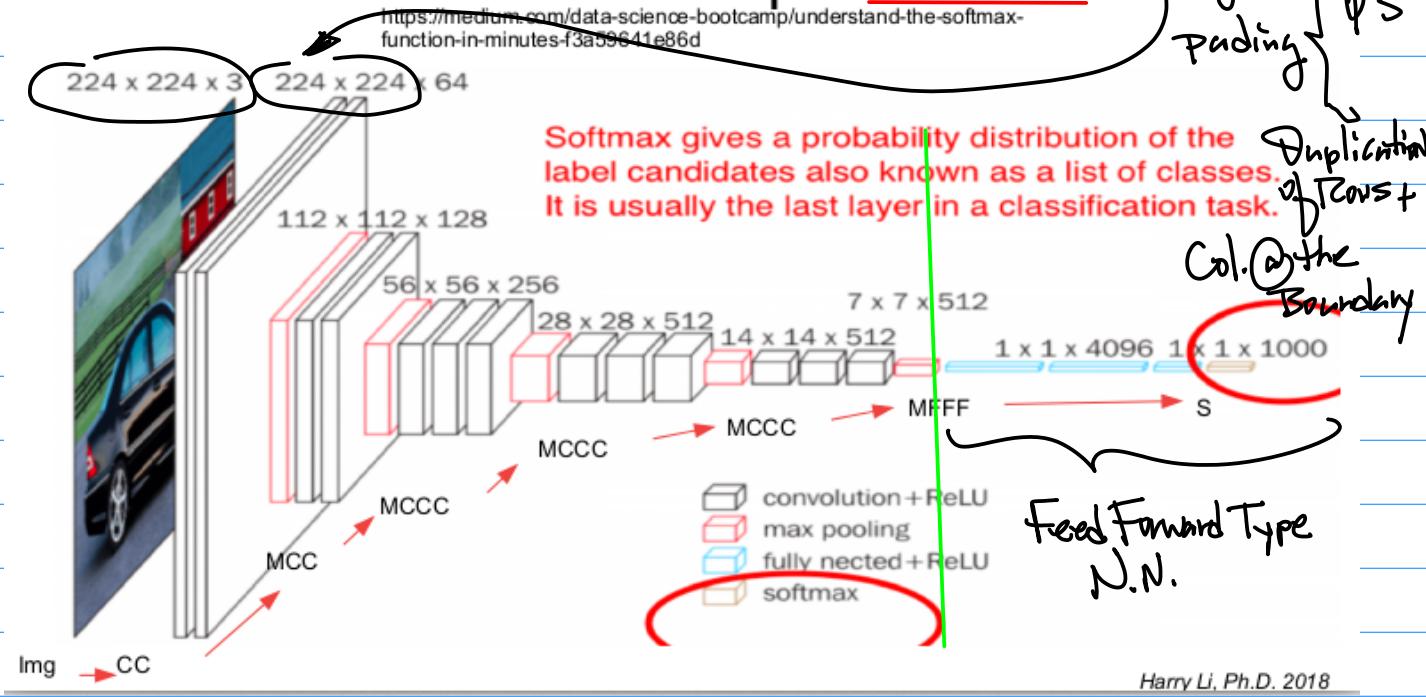
b) Screen Capture that Shows  
T.F. installed Successfully.  
(with Personal Identifier)

Submission on CANVAS.

Example: Convolutional Layer,  
Architecture Analysis.

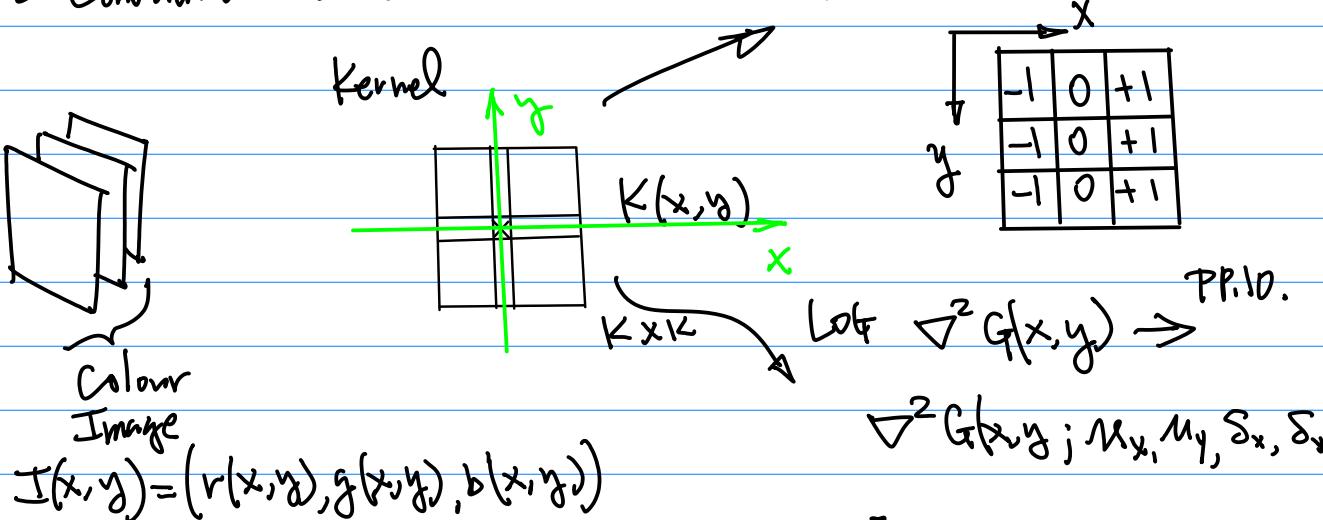
Note 1. Architecture; Note 2:  
Padding v.s. No  
Padding.  
"ψ's  
Duplication  
of Prev +  
Col. @ the  
Boundary

## Architecture Example VGG16



Note 3: Convolution Discussion.

One Example



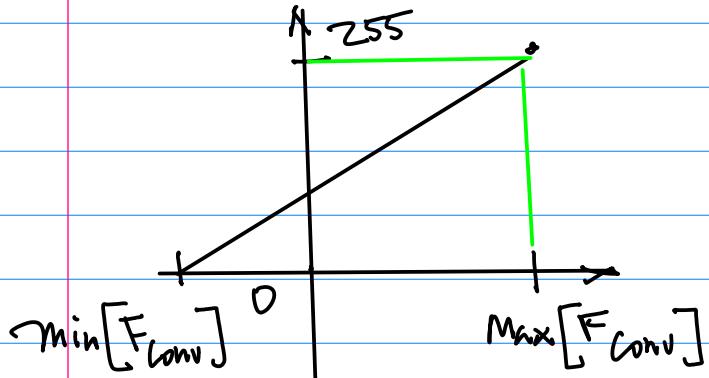
To Perform Convolution.  $\rightarrow K(x, y)$

First, kernel is one channel in this case;

Then, convolutions.  $r(x, y) * K(x, y)$ ,  $g(x, y) * K(x, y)$ ,  $b(x, y) * K(x, y)$

$$\rightarrow \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) G(x, y; \mu_x, \mu_y, \delta_x, \delta_y)$$

$$F_{\text{Conv}}(x, y) = \frac{1}{3} [r(x, y) * k(x, y) + g(x, y) * k(x, y) + b(x, y) * k(x, y)]$$



Consider A Design of S.I.D  
Recognition System Using T.F &  
MNIST CNN as a processing  
Engine.

Requirements:

1° Live CAM Input,

JPG or JPEGP

$(1280 \times 720 \text{ (MxN)})$

Col.

Row.

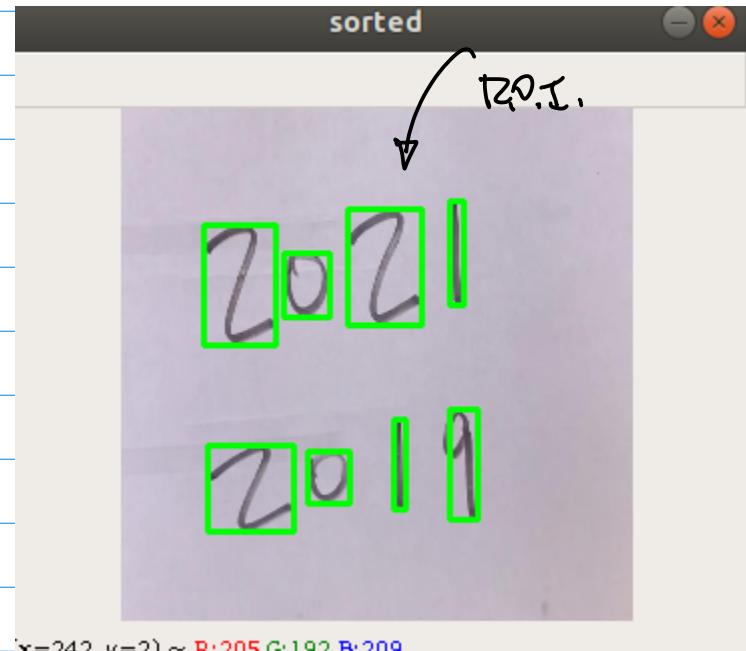
$1920 \times 1080$   
 $(M \times N)$

Col.

Row.

2° Printer Paper (Blank/White)  
Black Mark to Write  
4 Digits

3° Localize R.O.I. On Each  
Digit



Region of Interest.

Sept. 12 (Tue).

Note 1. For Homework 1 Extended to  
the Saturday, Requires the  
Submission of Python Code.

Note 2. Team Project.

Ref:

→ 2023F-103-project-team-2023-9-12.pdf

ON CANVAS as well.

Due on Nov. 27 (Monday) 11:59 pm

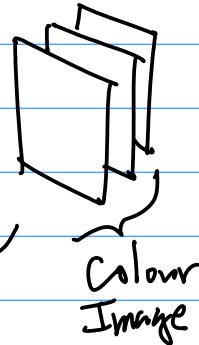
Example: Suppose a color image  
 $I(x, y)$  is given below, and  
a convolution Kernel  $K(x, y)$

is given as follows

$$\begin{array}{c} \downarrow y \\ \frac{1}{5} \end{array} \quad \begin{array}{c} \rightarrow x \\ \hline -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{array}$$

$3 \times 3$ .

A Color Image  $I(x, y)$



Find Convolution Result.

$$\begin{array}{c} \downarrow y \\ \frac{1}{5} \end{array} \quad \begin{array}{c} \rightarrow x \\ \hline 0 & 0 & 0 & 255 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{array}$$

$I_r(x, y)$

$$\begin{array}{c} \downarrow y \\ \frac{1}{5} \end{array} \quad \begin{array}{c} \rightarrow x \\ \hline 0 & 0 & 100 & 0 \\ 0 & 0 & 0 & 100 \\ 0 & 0 & 5 & 100 \\ 0 & 0 & 2 & 0 \end{array}$$

$I_g(x, y)$

$$\begin{array}{c} \downarrow y \\ \frac{1}{5} \end{array} \quad \begin{array}{c} \rightarrow x \\ \hline 0 & 0 & 255 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 255 & 0 \end{array}$$

$I_b(x, y)$

$$\begin{array}{c} \downarrow y \\ \frac{1}{5} \end{array} \quad \begin{array}{c} \rightarrow x \\ \hline -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{array}$$

$$\frac{1}{5} \quad \begin{array}{c} \rightarrow x \\ \hline -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{array}$$

$$\frac{1}{5} \quad \begin{array}{c} \rightarrow x \\ \hline -1 & -1 & -1 \\ 0 & 0 & 0 \\ +1 & +1 & +1 \end{array}$$

$$\begin{array}{c} 0 & -255 \\ \hline 0 & 1 \end{array}$$

$$\begin{array}{c} -95 & 5 \\ \hline 2 & -98 \end{array}$$

$$\begin{array}{c} 255 & 255 \\ \hline 255 & 255 \end{array}$$

Note: In the future, we have need to reduce the Number of feature layers

By introducing Newer Kernel(s) for Convolution.

Homework: Due 1 week from Today.  
(Sept. 19th).

1<sup>o</sup> Installation of T.F., Screen  
Capture the installation Result.  
(W/Personal ID).

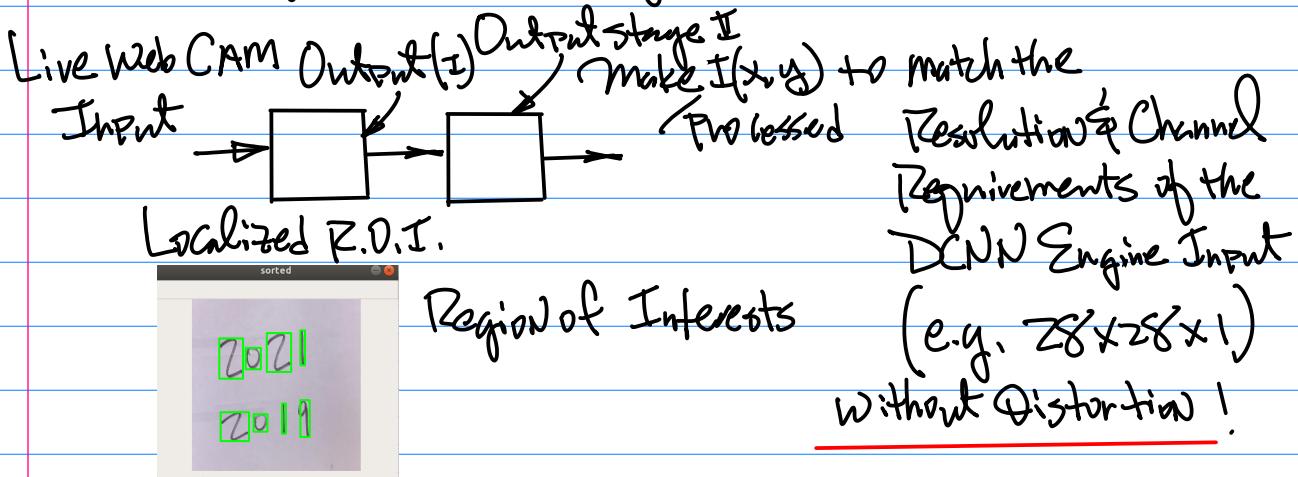
2<sup>o</sup> OpenCV Code to Handle

- a. Live CAM Input Video,  
Web  
and Display it;
- b. file input, MP4G4 format  
Video and display it.

Note: Please use A4 printer  
paper, Write with a Black  
marker, 4 Digits of your  
SID.

Submission: the code &  
Video Clips.

Preprocessing for Hand Written  
SID Recognition System Design.



Ref:

**Homework:** The 1 week from today.  
Use your OpenCV for the Sept 21<sup>st</sup>.  
following Preprocessing functions.

1° Convert the color Image  $I(x,y)$   
to a gray Scale Image.  $I_g(x,y)$

2° Binarize the gray scale image  
to Obtain a Binary Image  $I_b(x,y)$ .

3° Perform Canny Edge Detection  
on  $I_g(x,y)$ , and display the  
edge map.

4° Perform Gaussian Blur on  
the Gray Scale Image.

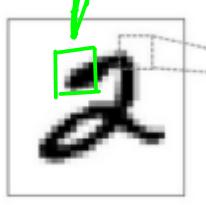
Submission:

5° Screen Capture of 1° to 4°.  
with Personal Identifier.

Example: Continuation of project  
Discussion. Preprocessing.

The First Objective: To get all  
the Bounding Boxes

Color Video  $\rightarrow$  Gray Scale  
Image.



INPUT  
(28 x 28 x 1)

C. Filtering Operation, conducted  
by Convolution w/ predefined  
Kernel Coefficient.

→ B. To Be Continued.

Edge Detection Canny, Log,  
Gabor

Note: Input Should be a  
grayScale(8 bits), Output  
Should be 8bit (1 Channel)

A.

→ Binarization. To Obtain A

Representation of A Digit.

Note: Binarization May lead to the  
Loss of Useful information.

Example: Image Binarization.

Given  $I_g(x, y)$  or Simply  $I(x, y)$

Binarization is defined as

$$B(x, y) = \begin{cases} 255 & \text{if } I(x, y) \geq T \\ 0 & \text{D/W} \end{cases} \quad \dots (1)$$

$$A = \sum_{y=0}^{N-1} \sum_{x=0}^{M-1} B(x, y) \quad \dots (3)$$

$$\sum_{y=0}^{N-1} \sum_{x=0}^{M-1} (x - \bar{x})^m (y - \bar{y})^n B(x, y) \quad \dots (4)$$

Note:  $T$  is set for the entire image for now.

Example from PP. 18, Lecture

Notes on GitHub, 2023S.

Note: Treat the top left corner

as (1,1) for the Binarized

Image Descriptors

Calculation (to Void

Skewed Result).

Most Important Descriptors  
are those Built Based on  
Moments.

Where

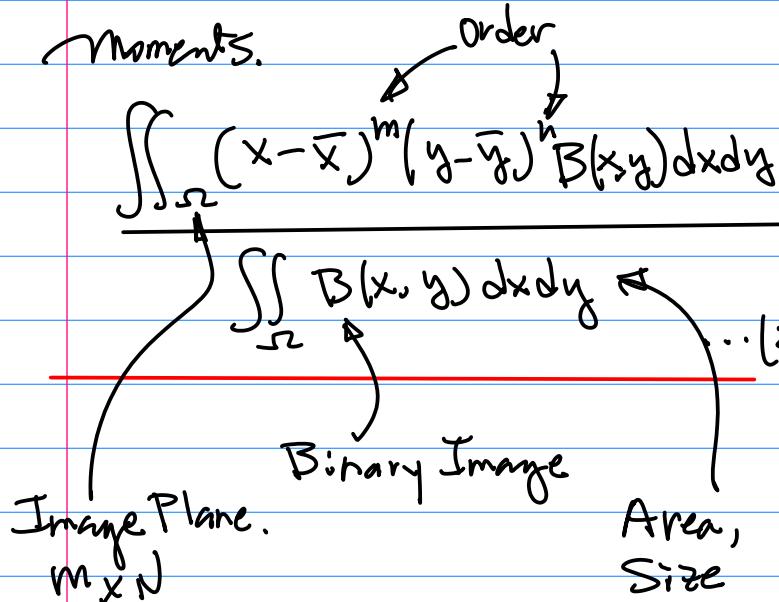
$$\bar{x} = \frac{\sum_{y=0}^{N-1} \sum_{x=0}^{M-1} x B(x, y)}{A} \quad \dots (4-a)$$

$$\bar{y} = \frac{\sum_{y=0}^{N-1} \sum_{x=0}^{M-1} y B(x, y)}{A} \quad \dots (4-b)$$

Sept 19 (Tue).

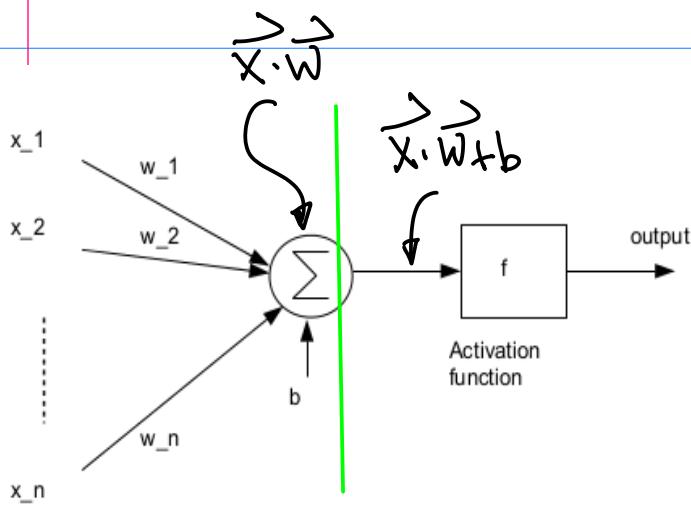
Example: Consider the Architecture  
of MNIST CNN.

Roadmap:



MNIST  $\rightarrow$  Yolo.  $\rightarrow$  Yolact  
CNN with  
Preprocessing. To generate  
Bounding Boxes  
Semantic Segmentation  
Creation  
R.D.I.

Ref: On the github, 2022S-103C ~



$$\sum_{i=1}^n x_i w_i \text{ or } \sum_{i=1}^n w_i x_i$$

$$= \vec{x} \cdot \vec{w} \quad \dots (3)$$

Together with  $b$  (Bias), we have

$$\sum_{i=1}^n w_i x_i + b = \vec{x} \cdot \vec{w} + b \quad \dots (4)$$

Note 1. Feature vector, or Input, Excitation

$$\vec{x} = (x_1, x_2, \dots, x_n) \quad \dots (1)$$

$$\vec{w} = (w_1, w_2, \dots, w_n) \quad \dots (2)$$

Weights.  $w_i \in [0, 1]$

where  $i = 1, 2, \dots, n$ ;

$b$ : Bias, e.g., offset

Note 2.  $x_i w_i \rightarrow$  the Neuron  
input 1 to

$x_2 w_2$  : input 2 to  
the Neuron.

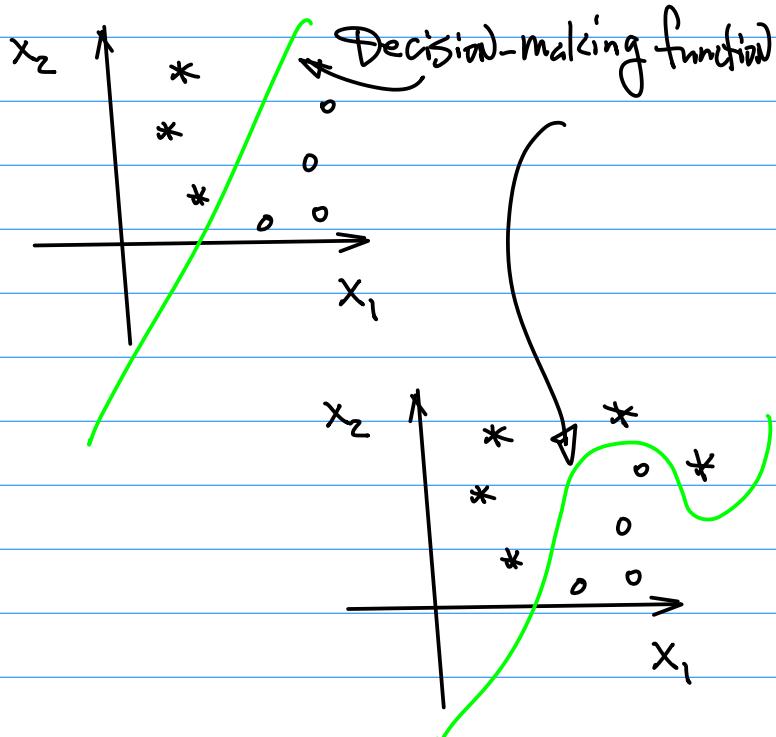
:

$x_i w_i$  : .. i ..

$$x_1 w_1 + x_2 w_2 + \dots + x_i w_i + \dots + x_n w_n$$

Note 2: The "Bigger Picture" of this Part of the Architecture.

Feed forward NN.

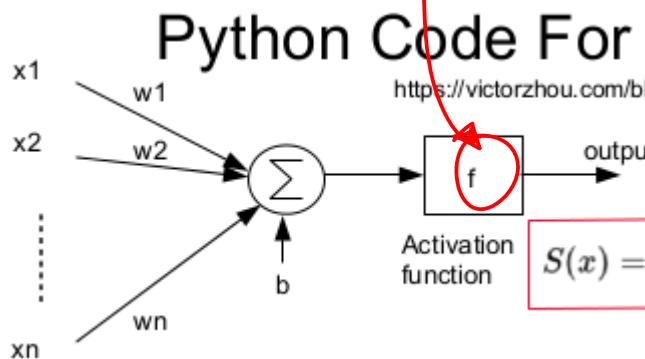


Note 3. Activation Function.

$$f\left(\sum_{i=1}^n w_i x_i + b\right)$$

... (5)

Note: RELU



$$O = f\left(\sum_{i=1}^n w_i x_i + b\right), \text{ OR}$$

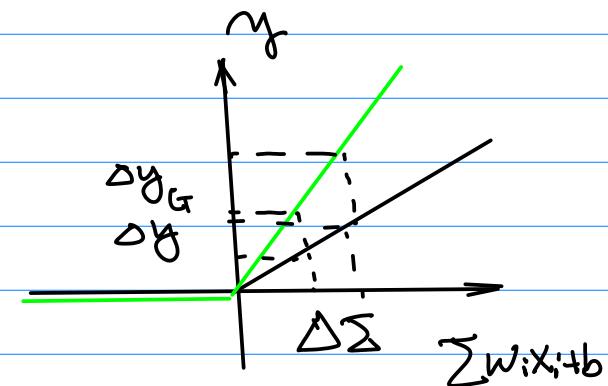
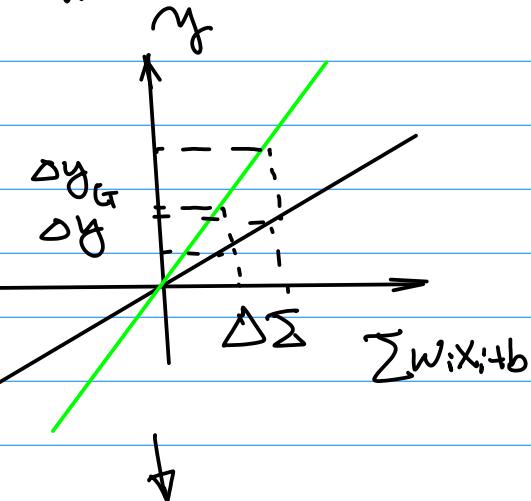
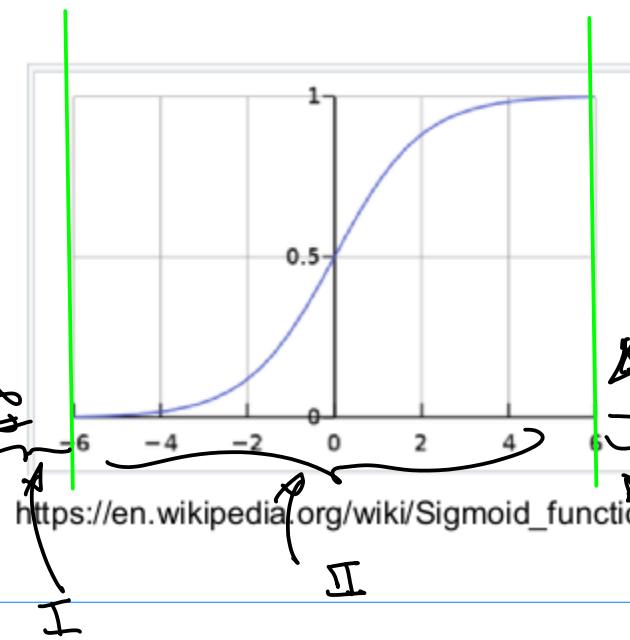
$$y = f\left(\sum_{i=1}^n w_i x_i + b\right)$$

Output of the Neuron.

Note 4. Sigmoid function  
<https://victorzhou.com/blog/intro-to-neural-networks/>

$$S(x) = \frac{1}{1 + e^{-x}} = \frac{e^x}{e^x + 1} \quad (1)$$

A sigmoid function is a mathematical function having a characteristic "S"-shaped curve



$$\sum_{i=1}^n w_i x_i + b$$

III

CMPE258

F2023

21/

Sept. 21 (Th),

Continuation on the Formulation  
of Feedforward NN.

Ref: github.

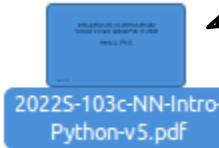
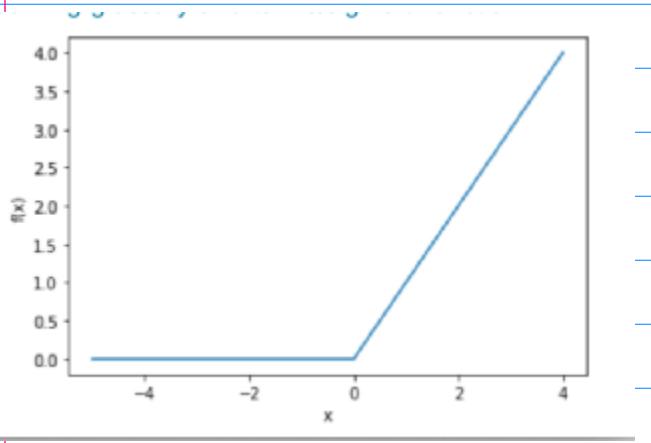


Fig. 1.



$$f(x) = \begin{cases} x & x \geq 0 \\ 0 & \text{o/w} \end{cases} \dots (1)$$

Note: Comparison to Sigmoid  
in terms of its Computation

$$S(x) = \frac{1}{1 + e^{-x}} \dots (2)$$

from Taylor Expansion.

$$f(x) = f(x_0) + \left. \frac{df}{dx} \right|_{x=x_0} (x-x_0) + \left. \frac{d^2f}{dx^2} \right|_{x=x_0} \frac{(x-x_0)^2}{2!} + \dots + \left. \frac{d^k f}{dx^k} \right|_{x=x_0} \frac{(x-x_0)^k}{k!} + \dots + R_n(x) \dots (3)$$

Sample plain python code on github.  
Example.

Note: 1. define activation function

```
def sigmoid(x):  
    # Our activation function: f(x) = 1 / (1 + e^(-x))  
    return 1 / (1 + np.exp(-x))
```

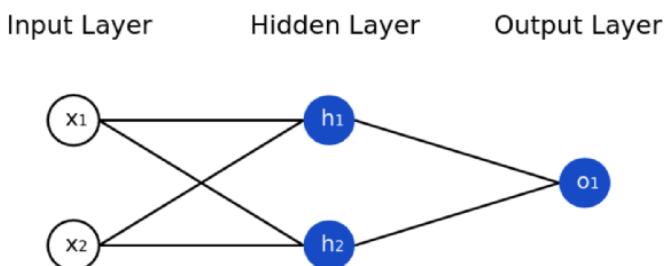
2. define a single neuron

```
class Neuron:  
    def __init__(self, weights, bias):  
        self.weights = weights  
        self.bias = bias
```

```
def feedforward(self, inputs):  
    # Weight inputs, add bias, then use the activation function  
    total = np.dot(self.weights, inputs) + self.bias  
    return sigmoid(total)
```

$$\text{Note: } y = f\left(\sum_{i=1}^n w_i x_i + b\right)$$

Can be applied to the Neurons  
in the following illustration



A sigmoid  
mathemat  
characteris

Note 1. If output of a Neuron. {  $y_{pred}$  Compare the two, to  
 $y_{true}$ , evaluate the

## Define Loss Function

<https://victorzhou.com/blog/intro-to-neural-networks/>

Performance of

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (y_{true} - y_{pred})^2$$

$$y_{true} - y_{pred}$$

$$\downarrow$$

$$(y_{true} - y_{pred})^2$$

$$\downarrow$$

$$\sum_{i=1}^n (y_{true} - y_{pred})^2$$



The Mean Square Error Function as a loss function very often it is also defined as objective function, e.g., minimize the loss function becomes objective function, as shown below step by step.

$$\text{Exp} \left[ \sum_{i=1}^n (y_{true} - y_{pred})^2 \right]$$

Note 2.  $y_{pred}$  vs  $y_{true}$  ?

$$\begin{cases} y_{pred} - y_{true} = 0 & \text{the same} \\ y_{pred} - y_{true} > 0 & y_{pred} > y_{true} \\ y_{pred} - y_{true} < 0 & y_{pred} < y_{true} \end{cases}$$

Note 3. Computation for a large dataset

$$\tilde{y}_1 - y_1, \tilde{y}_2 - y_2, \tilde{y}_3 - y_3, \dots$$

$$\tilde{y}_n - y_n.$$

Where  $\tilde{y}_i$ : Experiment Output.  
 Actual Output.

$y_i$  ground Truth.

$$\sum_{i=1}^n \tilde{y}_i - y_i$$

↓ To Avoid Cancellation  
 of errors. Let's  
 square them.

$$\sum_{i=1}^n (\tilde{y}_i - y_i)^2 \dots (4)$$

$$\overline{\text{MSE}} = \frac{1}{n} \sum_{i=1}^n (\tilde{y}_i - y_i)^2 \dots (4-b)$$

Average of the Accumulative  
 Computation for the entire Data  
 Set.

M.S.E (mean square error).

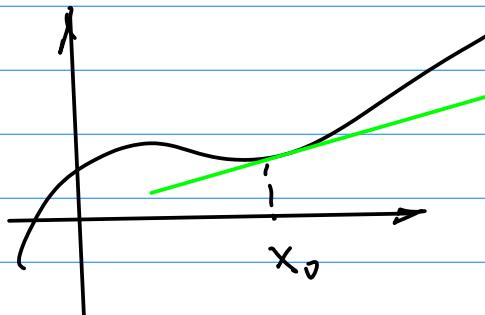
Example: Gradient Descent

Given  $f(x_1, x_2, \dots, x_n)$ , such as  
 the objective function in  
 Eqn.(4-b).

To Evaluate its performance  
 for training purpose, we use  
 derivative.

Consider One dimensional  
Case first.

$$\frac{df(x)}{dx} = \lim_{\Delta x \rightarrow 0} \frac{f(x+\Delta x) - f(x)}{\Delta x} \dots (5)$$



if Eqn(5) > 0

$$f(x+\Delta x) > f(x)$$

if Eqn(5) < 0, then

$$f(x+\Delta x) < f(x).$$

The goal is to make the objective function, e.g., loss function reduced to its minimum through the training.

For  $f(x_1, x_2, \dots, x_n)$ , we have partial derivatives.

$$\frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_1},$$

$$\frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_2}$$

⋮

$$\frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_n}$$

Now, to put each partial derivative together to get a whole view of the loss (e.g. Objective function), we define a gradient.

$$\left[ \begin{array}{c} \frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_1} \\ \frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_2} \\ \vdots \\ \frac{\partial f(x_1, x_2, \dots, x_n)}{\partial x_n} \end{array} \right]$$

... (b)

Sept. 26 (Tue).

Note 1. New Homework Posted  
on the CANVAS.

k+1

$$w_1 = w_1^k + (-\eta) \frac{\partial f}{\partial w_1} \quad (1-c)$$

$$w_2 = w_2^k + (-\eta) \frac{\partial f}{\partial w_2}$$

⋮

$$w_i = w_i^k + (-\eta) \frac{\partial f}{\partial w_i}$$

⋮

$$w_n = w_n^k + (-\eta) \frac{\partial f}{\partial w_n}$$

Example: On the gradient descent  
Technique.

Ref:

2022S-105c-#20-2021S-4gradie

$$(x_1^{k+1}, x_2^{k+1}) = (x_1^k, x_2^k) + [-\eta(\nabla f)^t] \quad (5)$$

Note 1. Weights,  $\vec{w} = (w_1, w_2, \dots, w_n)$   
where  $\vec{w}$  matches to  
the feature vector  $\vec{x} =$   
 $(x_1, x_2, \dots, x_n)$

Superscript "k+1", index for  
the training at Step k+1

$$\nabla f = \begin{pmatrix} \frac{\partial f}{\partial w_1} \\ \frac{\partial f}{\partial w_2} \\ \vdots \\ \frac{\partial f}{\partial w_n} \end{pmatrix} \quad \text{... (1)}$$

" " transpose  
→

$$\nabla f^t = \left( \frac{\partial f}{\partial w_1}, \frac{\partial f}{\partial w_2}, \dots, \frac{\partial f}{\partial w_n} \right) \quad \text{... (1b)}$$

Now, verify the equation.

From Eqn (b).

$$f(x_1, x_2) \approx f(a, b) + \frac{\partial f}{\partial x_1}(x_1 - a) + \frac{\partial f}{\partial x_2}(x_2 - b) \quad (6)$$

Consider 1D Case

$$f(x) = f(x_0) + \frac{f'(x)}{1!}(x-x_0) + \frac{f''(x)}{2!}(x-x_0)^2 + \frac{d^3 f(x)}{dx^3}(x-x_0)^3 + \dots + R_n(x). \quad \text{... (2)}$$

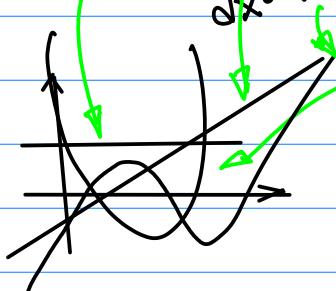


Fig. 1

The multidimensional Taylor  
Expansion can be realized based  
on the Similar Principle.

Simplify it, so just use the constant and the linear term  $\rightarrow$  Linear Term.

$$y = ax + b \rightarrow y' = a x + \underbrace{b + c}_{b'}$$

$$f(x_1, x_2) \approx f(a, b) + \frac{\partial f}{\partial x_1}(x_1 - a) + \frac{\partial f}{\partial x_2}(x_2 - b) \quad (6)$$

Start from here

Note: Denote  $\frac{\partial f}{\partial x_1}$  as  $f_{x_1}(a, b)$

$\frac{\partial f}{\partial x_2}$  as  $f_{x_2}(a, b)$

$$f(x_1, x_2) - f(a, b) \approx f_{x_1}(a, b) * (x_1 - a) + f_{x_2}(a, b) * (x_2 - b) \quad (8)$$

Or,

$$f(x_1, x_2) - f(a, b) = (x_1 - a, x_2 - b) \begin{pmatrix} f_{x_1}(a, b) \\ f_{x_2}(a, b) \end{pmatrix} \quad (9)$$

Hence,

$$f(x_1, x_2) - f(a, b) = (x_1 - a, x_2 - b) \nabla f \quad (10)$$

$$f(x_1, x_2) - f(a, b) = (\Delta x_1, \Delta x_2) \nabla f = -(f_{x_1}^2 + f_{x_2}^2) \quad (12)$$

The error becomes smaller

Each iteration as long as

$\Delta x_1, \Delta x_2$  defined by Eqn (5)

$$f(x_1, x_2) - f(a, b) = -(f_{x_1}^2 + f_{x_2}^2) < 0 \quad (13)$$

Now, Review the Python Sample Code

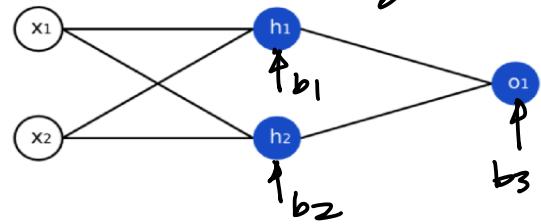
Ref



2022S-103c-#nn\_sample\_2022.py

Sample code for this NN.

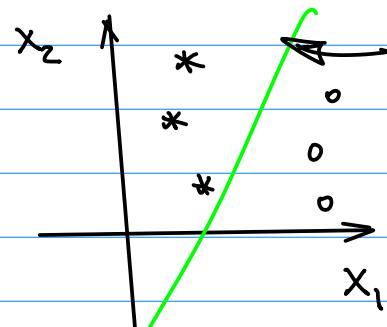
Input Layer      Hidden Layer      Output Layer



```

159 # -----
160 data = np.array([
161     [1, 2.5],      # person A
162     [1, 3],        # person A
163     [2.1, 3.4],   # Person A
164     [2.1, 1],     # person B
165     [3.3, 1],     # person B
166     [3, 2.3],     # person B
167     # HL 2020-9-7 Part E
168     # for the testing of adaptive learning
169     # (1) create a new program based on this o
170 ])

```



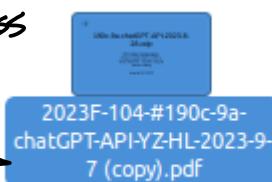
Sept. 28 (Th)

Note 1. LLM (Large Language Model) Based on ChatGPT.  
Focus API Package,

Note: the 1st of the 3 PPT.

Deploy API with Python.

Ref: On the class  
github.



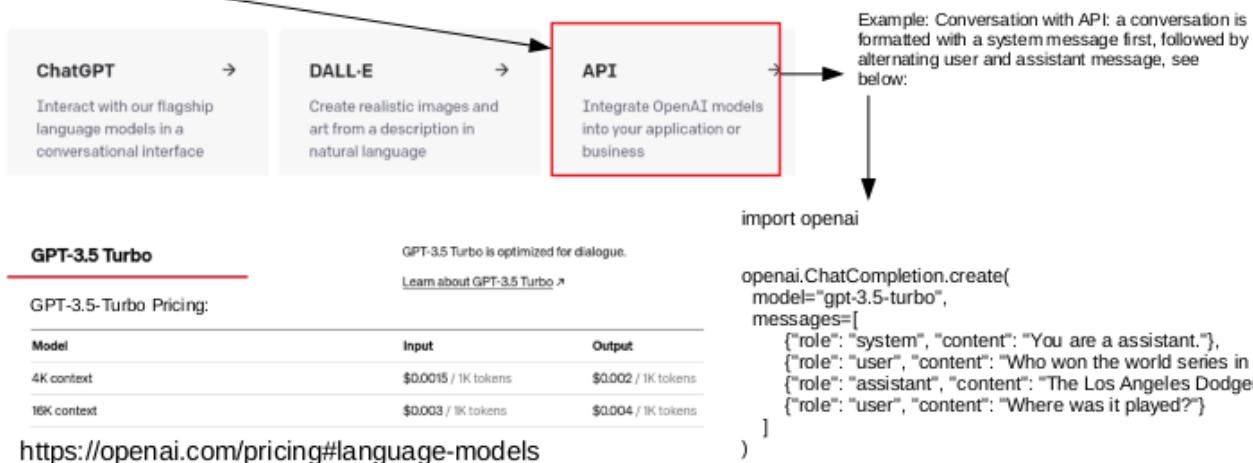
## ChatGPT API from OpenAI

<https://community.openai.com/t/is-chat-gpt-provided-for-free/86249>

Step 1. Log in ChatGPT, then click on API



Step 2. Design your prompt to program your model



```
harry@harrys-gpu-laptop: ~/PycharmProjects/chatGPT
File Edit View Search Terminal Help
(base) harry@harrys-gpu-laptop:~/PycharmProjects/chatGPT$ tree -L 2
.
└── API_Key.json
    └── ChatHistory.json
        └── fine-tuning
            ├── fine-tuning.jsonl
            └── finetuning.py
            └── sample
        └── fine-tuning-10QA-2023-9-14
            └── GPT_Fine_Tuning.zip
            └── GPTWithHistorySaved.py

3 directories, 6 files
(base) harry@harrys-gpu-laptop:~/PycharmProjects/chatGPT$
```

Note 1. Be Sure to Save it on a Separate file from the ChatGPT(OpenAI) Page.

harry@harrys-gpu-laptop: ~/PycharmProjects/chatGPT

```

File Edit View Search Terminal Help
fine-tuning
  fine-tuning.jsonl
  finetuning.py
  sample
fine-tuning-10QA-2023-9-14
  GPT_Fine_Tuning.zip
GPTWithHistorySaved.py

3 directories, 6 files
(base) harry@harrys-gpu-laptop:~/PycharmProjects/chatGPT$ tree -L 3
.
└── API_Key.json
    └── ChatHistory.json
        └── fine-tuning
            ├── fine-tuning.jsonl
            ├── finetuning.py
            └── sample
                └── train_data.jsonl
        └── fine-tuning-10QA-2023-9-14
            └── GPT_Fine_Tuning.zip
    └── GPTWithHistorySaved.py

3 directories, 7 files
(base) harry@harrys-gpu-laptop:~/PycharmProjects/chatGPT$ 
```

Notez: ".jsonl" file that user created by developer to provide training data for fine-tuning purpose

Note: Create ".jsonl" file to provide Training Data for fine-tuning.

harry@harrys-gpu-laptop: ~/PycharmProjects/chatGPT/fine-tuning

```

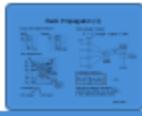
File Edit View Search Terminal Help
{
  "messages": [
    {
      "role": "system",
      "content": "CTI One Test: W100 is a smart walker to listen to your instruction and drive up to you when you call it"
    },
    {
      "role": "user",
      "content": "Tell me how can I use W100 walker."
    },
    {
      "role": "assistant",
      "content": "Make sure you have powered-up W100 and initialized it already. Then you can use your smartphone to call for its attention."
    }
  ]
} 
```

Homework, Due 1 week,  
Oct. 5th.

- 1° Install openAI to  
use ChatGPT API 3.5;
- 2° Create and Run "Hello,  
theWorld" Python code;
- 3° Screen Captures. for 1°  
and 2° with Personal ID.
- 4° Submission: On CANVAS.  
as zip file. Use the  
following Naming convention:  
firstName\_LastName\_4SID\_-  
ChatGPT1.zip.

Consider Back propagation, or,  
"Back Prop" Technique.

Ref: on the github



20225-106a-#20195-38-  
lec13-BackProp-activation.  
pdf

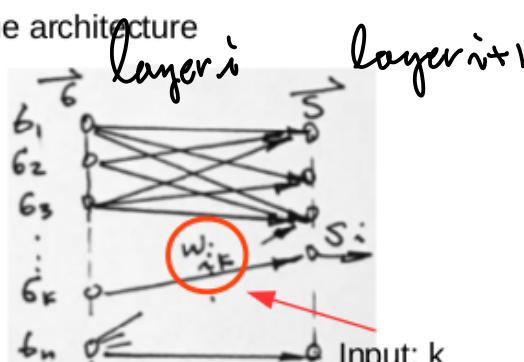
# Note 1. Generalize the Architecture from a Single Neuron. Back Propagation (1)

## 1. input and output neurons

$$\vec{g} = \begin{bmatrix} g_1 \\ g_2 \\ \vdots \\ g_n \end{bmatrix}_{n \times 1}$$

$$\vec{s} = \begin{bmatrix} s_1 \\ s_2 \\ \vdots \\ s_m \end{bmatrix}_{m \times 1}$$

The architecture



2. weights  $w_{ik}$   
i for output      k for input

Note 2: for the Weights Notation

We adopted 2 subscripts

$$\vec{w} = (w_1, w_2, \dots, w_n)$$

$$(\dots, w_{ik}, \dots)$$

## 4. Transfer function $h_i$

let

$$h_i = \sum_k w_{ik} g_k \quad \dots (2)$$

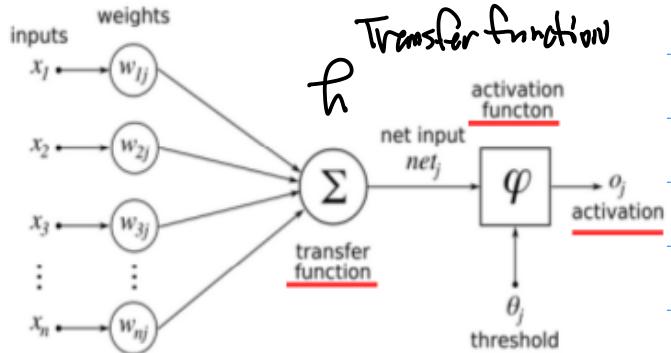
Index i for the output neuron

Neuron output function  $s_i$

$$s_i = f(h_i) = f[h_i(w_{ik})] \quad \dots (2-1)$$

## Other popular notation

$$Y = \sum (\text{weight} * \text{input}) + \text{bias}$$



## 3. Activation function

$$s_i = f\left(\sum_k w_{ik} g_k\right) \quad \dots (1)$$

Note the activation function  $f(\cdot)$  and the bias (offset can be written in the unified summation term)

## Note 3.

Harry Li, Ph.D.

$$s_i = f\left(\sum_k w_{ik} g_k\right)$$

"i" for  
the output  
layer.

Input,  
feature vector,  
excitation.

Note: The New Notation By Substitute Egn(2) into Egn(1).

5. Error at each neuron output (the difference between the true output  $\zeta$  (desired true output) and the current output  $S_i^M$ ) at the experiment  $M$

$$S_i^M - S_i^D$$

... (2-2)

Harry Li, Ph.D.

6. total error for all output neuron  $i$  and all experiments  $M$

$$D = \frac{1}{2} \sum_M \sum_i (S_i^M - S_i^D)^2 \quad \dots (3)$$

MSE? (mean Square Error)

$N$  : No. of Neurons

$M$  : No. of Experiments / Epochs.

$\frac{1}{NM}$  Scaling factor

Note: Rewrite the output  $S_i$  by its functional form.

7. minimize the error wrt to  $w_{ik}$

$$\begin{aligned} \frac{\partial D}{\partial w_{ik}} &= \frac{\partial}{\partial w_{ik}} \cdot \frac{1}{2} \sum_M \sum_i [S_i^M - f(h_i^M)]^2 \\ &= \sum_M [S_i^M - f(h_i^M)] f'(h_i^M) \frac{\partial h_i}{\partial w_{ik}} \end{aligned}$$

8. Training the NN by updating the weights

$$w_{ik}(t+1) = w_{ik}(t) + \delta w_{ik}(t)$$

... (4)

where

$$\delta w_{ik}(t) = -\epsilon \frac{\partial D}{\partial w_{ik}}$$

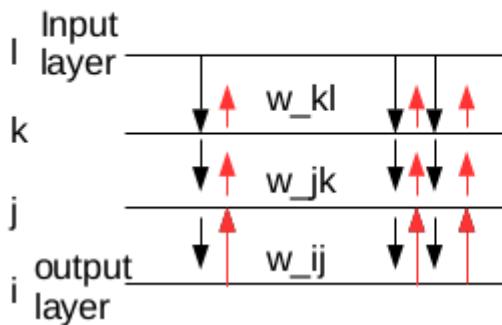
... (5)

Activation function (Transfer function)

Derivative Calculation is Based on "Chain Rule"

Note: Egn(5) on TP. 24.

10. feed forward NN (4 layers)



Blank: for Experiments.

Red: Training Update

Black for the input; red for the back prop training direction

Math. Description.

## Back Propagation (4)

11. chain rule, updating the weights (training)

For layer i

$$\frac{\partial D}{\partial w_{ij}} = \sum_m [S_i^m - f(h_i^m)] f'(h_i^m) \frac{\partial h_i^m}{\partial w_{ij}}$$

Note: the training described here all related to the derivative to the activation function,  $f'(\cdot)$ . So selection of the activation function is important and will be discussed in details next.

For layer j

$$\frac{\partial D}{\partial w_{jk}} = \sum_n \sum_i [S_i^n - f(h_i^n)] f'(h_i^n) \frac{\partial h_i^n}{\partial S_j} \cdot \frac{\partial S_j}{\partial w_{jk}}$$

For layer k

$$\frac{\partial D}{\partial w_{kl}} = \sum_n \sum_i \sum_j [S_i^n - f(h_i^n)] f'(h_i^n) \frac{\partial h_i^n}{\partial S_j} \cdot \frac{\partial S_j}{\partial S_k} \cdot \frac{\partial S_k}{\partial w_{kl}}$$

Project 1 Due 2 weeks  
from Next Monday.