



课堂主题

Mysql组合索引、索引失效分析、表级锁介绍

课堂目标

掌握索引使用场景

理解组合索引的结构和掌握使用原则

使用explain查看sql执行计划

掌握select_type、type、extra等参数意义

理解索引失效口诀

编写使用索引的sql

掌握MySQL的锁的分类

理解表级锁

一、索引使用场景

哪些情况需要创建索引

- 1、主键自动建立唯一索引
- 2、频繁作为查询条件的字段应该创建索引 where
- 3、多表关联查询中，关联字段应该创建索引 on 两边都要创建索引
- 4、查询中排序的字段，应该创建索引 B + tree 有顺序
- 5、覆盖索引 好处是？ 不需要回表 组合索引
- 6、统计或者分组字段，应该创建索引

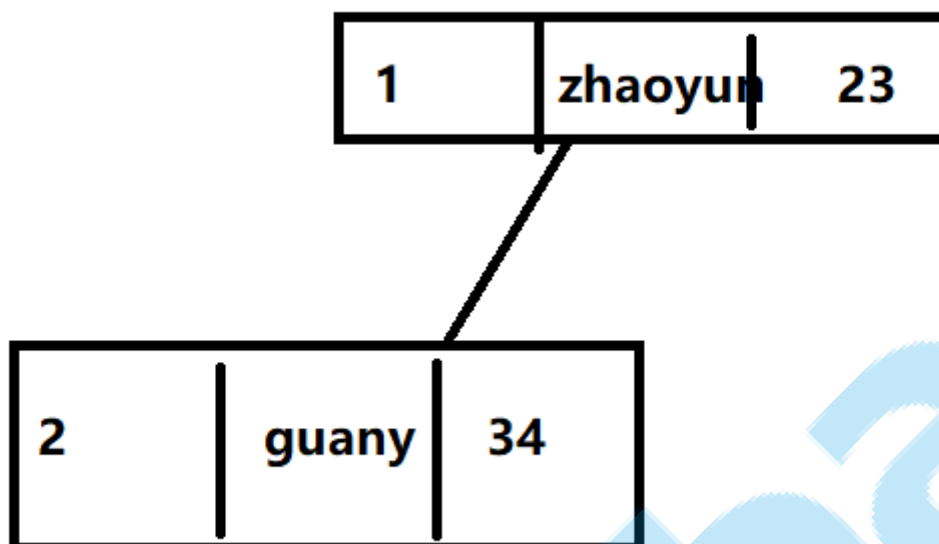
哪些情况不需要创建索引

- 1、表记录太少 索引是要有存储的开销
- 2、频繁更新 索引要维护
- 3、查询字段使用频率不高

为什么使用组合索引

由多个字段组成的索引 使用顺序就是创建的顺序

```
ALTER TABLE 'table_name' ADD INDEX index_name(col1,col2,col3)
```



在一颗索引树上由多个字段

优势：效率高、省空间、容易形成覆盖索引

使用：

遵循最左前缀原则

1、前缀索引

like 常量% 使用索引| like %常量 不使用索引

2、最左前缀

从左向右匹配直到遇到范围查询 > < between 索引失效

```
mysql> alter table t1 add index idx_a_b_c_d(a,b,c,d);
Query OK, 0 rows affected (0.56 sec)
Records: 0 Duplicates: 0 Warnings: 0
```

```
mysql> show index from t1;
```

Table	Non_unique	Key_name	Seq_in_index	Column_name	Collation	Cardinality	Sub_part	Packed	Null	Index_type	Comment	Index_comment
t1	0	PRIMARY	1	id	A	1				BTREE		
t1	1	idx_a_b_c_d	1	a	A	1				BTREE		
t1	1	idx_a_b_c_d	2	b	A	1				BTREE		
t1	1	idx_a_b_c_d	3	c	A	1				BTREE		
t1	1	idx_a_b_c_d	4	d	A	1				BTREE		

5 rows in set (0.00 sec)



```
mysql> explain select * from t1 where a=1 and b=1 and c=1 and d=1 ;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key          | key_len | ref |
| rows | Extra          |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE      | t1    | ref  | idx_a_b_c_d   | idx_a_b_c_d | 20      |    |
const,const,const,const | 1 | Using index |
+---+-----+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

```
mysql> explain select * from t1 where a=1 and b=1 and c>1 and d=1 ;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key          | key_len | ref |
| rows | Extra          |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE      | t1    | range | idx_a_b_c_d   | idx_a_b_c_d | 15      |    |
NULL | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+-----+
1 row in set (0.01 sec)
```

```
mysql> explain select * from t1 where a=1 and b=1 and d=1 and c>1 ;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key          | key_len | ref |
| rows | Extra          |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE      | t1    | ref  | idx_a_b_c_d   | idx_a_b_c_d | 15      |    |
const,const | 1 | Using where; Using index |
+---+-----+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

```
mysql> drop index idx_a_b_c_d on t1;
```

```
Query OK, 0 rows affected (0.00 sec)
```

```
Records: 0 Duplicates: 0 Warnings: 0
```

```
mysql> alter table t1 add index idx_a_b_c_d(a,b,d,c);
```

```
Query OK, 0 rows affected (0.01 sec)
```

```
Records: 0 Duplicates: 0 Warnings: 0
```

```
mysql> explain select * from t1 where a=1 and b=1 and d=1 and c>1 ;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key          | key_len | ref |
| rows | Extra          |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE      | t1    | ref  | idx_a_b_c_d   | idx_a_b_c_d | 20      |    |
const,const,const | 1 | Using where; Using index |
+---+-----+-----+-----+-----+-----+-----+-----+
```



```
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

二、索引失效

查看执行计划

参数说明

explain出来的信息有10列，分别是

id、select_type、table、type、possible_keys、key、key_len、ref、rows、Extra

案例表

```
--用户表
create table tuser(
id int primary key,
loginname varchar(100),
name varchar(100),
age int,
sex char(1),
dep int,
address varchar(100)
);
--部门表
create table tdep(
id int primary key,
name varchar(100)
);
--地址表
create table taddr(
id int primary key,
addr varchar(100)
);

--创建普通索引
mysql> alter table tuser add index idx_dep(dep);
--创建唯一索引
mysql> alter table tuser add unique index idx_loginname(loginname);
--创建组合索引
mysql> alter table tuser add index idx_name_age_sex(name,age,sex);
--创建全文索引
mysql> alter table taddr add fulltext ft_addr(addr);
```

id

- 每个 SELECT语句都会自动分配的一个唯一标识符.



- 表示查询中操作表的顺序，有三种情况：
 - id相同：执行顺序由上到下
 - id不同：如果是子查询，id号会自增，**id越大，优先级越高。**
 - id相同的不同的同时存在
- id列为null的就表示这是一个结果集，不需要使用它来进行查询。

select_type (重要)

查询类型，主要用于区别**普通查询**、**联合查询(union、union all)**、**子查询**等复杂查询。

simple

表示不需要union操作或者不包含子查询的简单select查询。有连接查询时，外层的查询为simple，且只有一个

```
mysql> explain select * from tuser;
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 1 |
| NULL |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
+----+-----+-----+-----+-----+-----+-----+-----+-----+
```

primary

一个需要union操作或者含有子查询的select，位于最外层的单位查询的select_type即为primary。且只有一个

```
mysql> explain select (select name from tuser) from tuser ;
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | PRIMARY | tuser | index | NULL | idx_dep | 5 |  | 1 |
| NULL | 1 | Using index |
| 2 | SUBQUERY | tuser | index | NULL | idx_name_age_sex | 312 |  | 1 |
| NULL | 1 | Using index |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
+----+-----+-----+-----+-----+-----+-----+-----+-----+
```

subquery

除了from字句中包含的子查询外，其他地方出现的子查询都可能是subquery



```

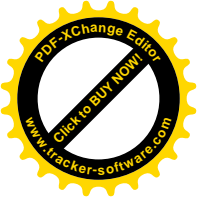
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref |
rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | PRIMARY | tuser | const | PRIMARY | PRIMARY | 4 | const |
1 | NULL |
+-----+-----+-----+-----+-----+-----+-----+-----+
| 2 | SUBQUERY | NULL | NULL | NULL | NULL | NULL | NULL |
NULL | Select tables optimized away |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+

```

与dependent union类似，表示这个subquery的查询要受到外部表查询的影响

union

union连接的两个select查询，第一个查询是PRIMARY，除了第一个表外，第二个以后的表select_type都是union



```
mysql> explain select * from tuser where sex='1' union select * from tuser  
where sex='2';
```

+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
id	select_type	table		type	possible_keys	key	key_len	ref
rows	Extra							
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
1	PRIMARY	tuser		ALL	NULL	NULL	NULL	NULL
2	Using where							
2	UNION	tuser		ALL	NULL	NULL	NULL	NULL
2	Using where							
NULL	UNION RESULT	<union1,2>		ALL	NULL	NULL	NULL	
NULL NULL Using temporary								
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								

dependent union

与union一样，出现在union 或union all语句中，但是这个查询要受到外部查询的影响

```
mysql> explain select * from tuser where sex in (select sex from tuser where  
sex='1' union select sex from tuser where sex='2');
```

+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
id	select_type	table		type	possible_keys	key		
key_len	ref	rows	Extra					
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
1	PRIMARY	tuser		ALL	NULL	NULL		
NULL	NULL	2	Using where					
2	DEPENDENT SUBQUERY	tuser		index	NULL			
idx_name_age_sex 312		NULL		2	Using where; Using index			
3	DEPENDENT UNION	tuser		index	NULL			
idx_name_age_sex 312		NULL		2	Using where; Using index			
NULL	UNION RESULT	<union2,3>		ALL	NULL			
NULL	NULL	NULL	Using temporary					
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								
+-----+-----+-----+-----+-----+-----+-----+-----+-----+								

union result

包含union的结果集，在union和union all语句中,因为它不需要参与查询，所以id字段为null

derived

from字句中出现的子查询，也叫做派生表，其他数据库中可能叫做内联视图或嵌套select



```
mysql> explain select * from (select * from tuser where sex='1') b;
+----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref |
+----+-----+-----+-----+-----+-----+-----+
| 1 | PRIMARY | <derived2> | ALL | NULL | NULL | NULL | NULL |
| 2 | NULL | | | | | | |
+----+-----+-----+-----+-----+-----+-----+
| 2 | DERIVED | tuser | ALL | NULL | NULL | NULL | NULL |
| 2 | Using where | | | | | | |
+----+-----+-----+-----+-----+-----+-----+
+-----+
+-----+
```

table

- 显示的查询表名，如果查询使用了别名，那么这里显示的是别名
- 如果不涉及对数据表的操作，那么这显示为null
- 如果显示为尖括号括起来的就表示这个是临时表，后边的N就是执行计划中的id，表示结果来自于这个查询产生。
- 如果是尖括号括起来的<union M,N>，与类似，也是一个临时表，表示这个结果来自于union查询的id为M,N的结果集。

type (重要)

- 依次从好到差：

```
system, const, eq_ref, ref, fulltext, ref_or_null, unique_subquery,
index_subquery, range, index_merge, index, ALL
```

除了all之外，其他的type都可以使用到索引，除了index_merge之外，其他的type只可以用到一个索引

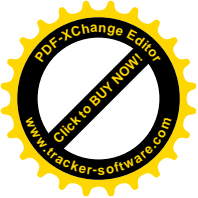
优化器会选用最优索引 一个

- 注意事项：

最少要索引使用到range级别。

system

表中只有一行数据或者是空表。



```
mysql> explain select * from (select * from tuser where id=1) a;
```

id	select_type	table	type	possible_keys	key	key_len	ref	rows	Extra
1	PRIMARY	<derived2>	system	NULL	NULL	NULL		1	NULL
2	DERIVED	tuser	const	PRIMARY	PRIMARY	4		1	NULL

const (重要)

使用**唯一索引或者主键**，返回记录一定是1行记录的等值where条件时，通常type是const。其他数据库也叫做唯一索引扫描

```
mysql> explain select * from tuser where id=1;
```

id	select_type	table	type	possible_keys	key	key_len	ref	rows	Extra
1	SIMPLE	tuser	const	PRIMARY	PRIMARY	4	const	1	NULL

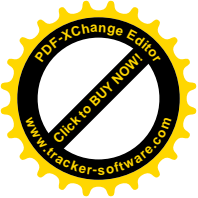
```
mysql> explain select * from tuser where loginname = 'zhy';
```

id	select_type	table	type	possible_keys	key	key_len	ref	rows	Extra
1	SIMPLE	tuser	const	idx_loginname	idx_loginname	303		1	NULL

eq_ref (重要)

关键字:连接字段**主键或者唯一性索引**。

此类型通常出现在多表的 join 查询,表示对于前表的每一个结果,都只能匹配到后表的一行结果.并且查询的比较操作通常是 '=', 查询效率较高.



```
mysql> explain select a.id from tuser a left join tdep b on a.dep=b.id;
+----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref |
|----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | a | index | NULL | idx_dep | 5 | NULL | |
| 2 | Using index | | | | | | | |
| 1 | SIMPLE | b | eq_ref | PRIMARY | PRIMARY | 4 | demo1.a.dep |
| 1 | Using index | | | | | | | |
+----+-----+-----+-----+-----+-----+-----+-----+
```

select * from a,b where a.id=b.id (等值连接)

select * from a where name='zs' (条件查询)

ref (重要)

针对非唯一性索引，使用等值 (=) 查询非主键。或者是使用了最左前缀规则索引的查询。

```
--非唯一索引
mysql> explain select * from tuser where dep=1;
+----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref |
|----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_dep | idx_dep | 5 | const |
| 1 | NULL | | | | | | |
+----+-----+-----+-----+-----+-----+-----+-----+

--等值非主键连接
mysql> explain select a.id from tuser a left join tdep b on a.name=b.name;
+----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
|----+-----+-----+-----+-----+-----+-----+-----+
| ref | rows | Extra |
+----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | a | index | NULL | idx_name_age_sex | 312 |
| NULL | 2 | Using index | | | | |
| 1 | SIMPLE | b | ref | ind_name | ind_name | 72 |
| demo1.a.name | 1 | Using where; Using index | | | | |
+----+-----+-----+-----+-----+-----+-----+-----+

--最左前缀
mysql> explain select * from tuser where name = 'zhaoyun';
+----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
|----+-----+-----+-----+-----+-----+-----+-----+
| ref | rows | Extra |
+----+-----+-----+-----+-----+-----+-----+-----+
```



```
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE      | tuser | ref  | idx_name_age_sex | idx_name_age_sex | 303
| const | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+-----+-----+-----+-----+
```

思考: explain `select * from tuser where sex = '1'`;

fulltext

全文索引检索, 要注意, 全文索引的优先级很高, 若全文索引和普通索引同时存在时, mysql 不管代价, 优先选择使用全文索引

```
mysql> explain select * from taddr where match(addr) against('bei');
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| id | select_type | table | type      | possible_keys | key      | key_len | ref |
| rows | Extra      |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE      | tuser | fulltext  | ft_addr      | ft_addr | 0       | NULL
| 1 | Using where |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+-----+-----+-----+-----+
```

ref_or_null

与ref方法类似, 只是增加了null值的比较。实际用的不多。

unique_subquery

用于where中的in形式子查询, 子查询返回不重复值唯一值

index_subquery

用于in形式子查询使用到了辅助索引或者in常数列表, 子查询可能返回重复值, 可以使用索引将子查询去重。

range (重要)

索引范围扫描, 常见于使用>, <, is null, between, in, like等运算符的查询中。

```
mysql> explain select * from tuser where id>1;
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| id | select_type | table | type      | possible_keys | key      | key_len | ref |
| rows | Extra      |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE      | tuser | range     | PRIMARY      | PRIMARY | 4       | NULL
| 1 | Using where |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+-----+-----+-----+-----+
```

--like 前缀索引

```
mysql> explain select * from tuser where name like 'a%';
```



```
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
| key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | range | idx_name_age_sex | idx_name_age_sex | 303 |
| NULL | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
```

注: `like '%a'` 不使用索引

```
mysql> explain select * from tuser where loginname like 'a%';
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
| ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | range | idx_loginname | idx_loginname | 303 |
| NULL | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

index_merge

表示查询使用了两个以上的索引, 最后取交集或者并集, 常见and, or的条件使用了不同的索引, 官方排序这个在ref_or_null之后, 但是实际上由于要读取所有索引, 性能可能大部分时间都不如range

index (重要)

关键字: 条件是出现在索引树中的节点的。可能没有完全匹配索引。

索引全表扫描, 把索引从头到尾扫一遍, 常见于使用索引列就可以处理不需要读取数据文件的查询、可以使用索引排序或者分组的查询。

```
--单索引
mysql> explain select loginname from tuser;
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
| ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | index | NULL | idx_loginname | 303 |
| NULL | 2 | Using index |
+---+-----+-----+-----+-----+-----+-----+

--组合索引
mysql> explain select age from tuser;
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
| ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
```



```
+---+-----+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
|  1 | SIMPLE      | tuser | index | NULL          | idx_name_age_sex | 312
| NULL |      2 | Using index |
+---+-----+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
```

思考: explain select loginname,age from tuser;

覆盖索引

all (重要)

这个就是全表扫描数据文件，然后再在server层进行过滤返回符合要求的记录。

```
mysql> explain select * from tuser;
+---+-----+-----+-----+-----+-----+-----+-----+
+---+-----+
| id | select_type | table | type | possible_keys | key  | key_len | ref  | rows |
| Extra |
+---+-----+-----+-----+-----+-----+-----+-----+
+---+-----+
|  1 | SIMPLE      | tuser | ALL  | NULL          | NULL | NULL    | NULL |  2   |
| NULL |
+---+-----+-----+-----+-----+-----+-----+-----+
+---+-----+
```

回表查询

思考: 如何使用索引?

主键不要uuid

why? 无序、辅助索引要存 太长

主键: 自增

分布式主键: 雪花算法 (sharding jdbc) 、 redis生成

possible_keys

此次查询中可能选用的索引，一个或多个

key

查询真正使用到的索引，select_type为index_merge时，这里可能出现两个以上的索引，其他的select_type这里只会出现一个。

key_len

- 用于处理查询的索引长度，如果是单列索引，那就整个索引长度算进去，如果是多列索引，那么查询不一定都能使用到所有的列，具体使用到了多少个列的索引，这里就会计算进去，没有使用到的列，这里不会计算进去。
- 留意下这个列的值，算一下你的多列索引总长度就知道有没有使用到所有的列了。



- 另外，`key_len`只计算where条件用到的索引长度，而排序和分组就算用到了索引，也不会计算到`key_len`中。

看组合索引的使用情况

ref

- 如果是使用的常数等值查询，这里会显示const
- 如果是连接查询，被驱动表的执行计划这里会显示驱动表的关联字段
- 如果是条件使用了表达式或者函数，或者条件列发生了内部隐式转换，这里可能显示为func

rows

这里是执行计划中估算的扫描行数，不是精确值（InnoDB不是精确的值，MyISAM是精确的值，主要原因是InnoDB里面使用了MVCC并发机制）

extra（重要）

这个列包含不适合在其他列中显示单十分重要的额外的信息，这个列可以显示的信息非常多，有几十种，常用的有

no tables used

不带from字句的查询或者From dual查询

使用not in()形式子查询或not exists运算符的连接查询，这种叫做反连接

即，一般连接查询是先查询内表，再查询外表，反连接就是先查询外表，再查询内表。

using filesort（重要）

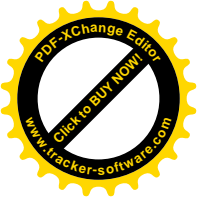
- 排序时无法使用到索引时，就会出现这个。常见于order by和group by语句中
- 说明MySQL会使用一个外部的索引排序，而不是按照索引顺序进行读取。
- MySQL中无法利用索引完成的排序操作称为“文件排序”

```
mysql> explain select * from tuser order by address;
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 |
| Using filesort |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
```

using index（重要）

查询时不需要回表查询，直接通过索引就可以获取查询的数据。

- 表示相应的SELECT查询中使用到了覆盖索引（Covering Index），避免访问表的数据行，效率不错！



- 如果同时出现Using Where，说明索引被用来执行查找索引键值
- 如果没有同时出现Using Where，表明索引用来读取数据而非执行查找动作。

```
mysql> explain select name,age,sex from tuser ;
+----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
| ref | rows | Extra |
+----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | index | NULL | NULL | 312 |
| NULL | 2 | Using index |
+----+-----+-----+-----+-----+-----+-----+
全值匹配 覆盖索引
```

using temporary

- 表示使用了临时表存储中间结果。
- MySQL在对查询结果**order by**和**group by**时使用临时表
- 临时表可以是内存临时表和磁盘临时表，执行计划中看不出来，需要查看status变量，used_tmp_table，used_tmp_disk_table才能看出来。

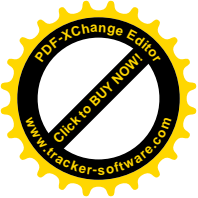
- **distinct**

在select部分使用了distinct关键字（索引字段）

- ```
mysql> explain select distinct a.id from tuser a,tdep b where a.dep=b.id;
```

```
+----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+----+-----+-----+-----+-----+-----+-----+
1	SIMPLE	a	index	PRIMARY,idx_loginname,idx_name_age_sex,idx_dep	NULL	5	NULL		
2	Using where; Using index; Using temporary								
1	SIMPLE	b	eq_ref	PRIMARY	PRIMARY	4	kkb2.a.dep	1	Using index; Distinct
+----+-----+-----+-----+-----+-----+-----+
+----+-----+-----+-----+-----+-----+-----+
+----+-----+-----+-----+-----+-----+-----+
```

## using where (重要)



- 表示存储引擎返回的记录并不是所有的都满足查询条件，需要在server层进行过滤。

--查询条件无索引

```
mysql> explain select * from tuser where address='beijing';
```

```
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 |
| Using where |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
```

--索引失效

```
mysql> explain select * from tuser where age=1;
```

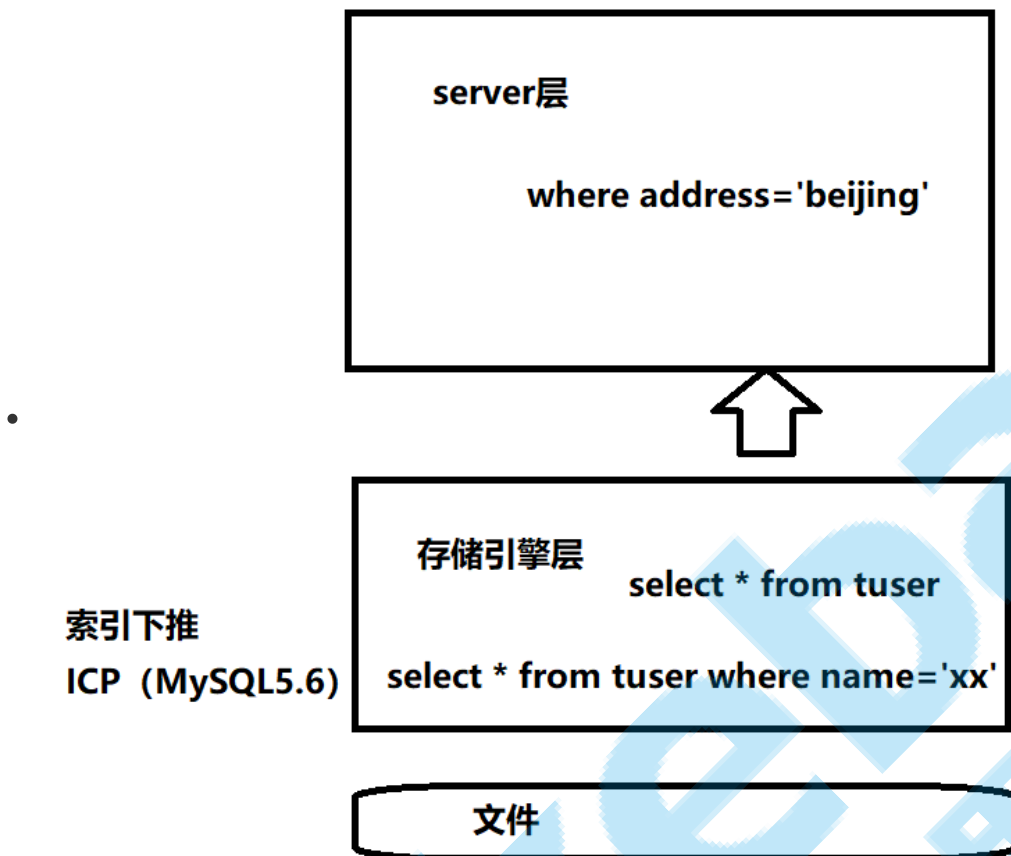
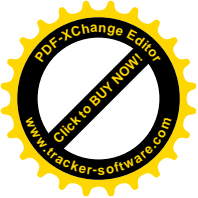
```
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 |
| Using where |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
```

```
mysql> explain select * from tuser where id in(1,2);
```

```
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | range | PRIMARY | PRIMARY | 4 | NULL | 2 |
| 2 | Using where |
+----+-----+-----+-----+-----+-----+-----+-----+-----+
```

- 查询条件中分为限制条件和检查条件，5.6之前，存储引擎只能根据限制条件扫描数据并返回，然后server层根据检查条件进行过滤再返回真正符合查询的数据。5.6.x之后支持ICP特性，可以把检查条件也下推到存储引擎层，不符合检查条件和限制条件的数据，直接不读取，这样就大大减少了存储引擎扫描的记录数量。extra列显示**using index condition**





```
mysql> explain select * from tuser where name='asd';
```

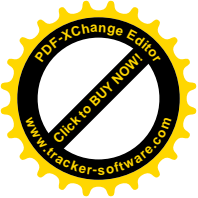
| +-----+-----+-----+-----+-----+-----+-----+-----+ |             |       |                       |                  |                  |     |  |
|---------------------------------------------------|-------------|-------|-----------------------|------------------|------------------|-----|--|
| +-----+-----+-----+-----+-----+-----+-----+-----+ |             |       |                       |                  |                  |     |  |
| id                                                | select_type | table | type                  | possible_keys    | key              |     |  |
| key_len                                           | ref         | rows  | Extra                 |                  |                  |     |  |
| +-----+-----+-----+-----+-----+-----+-----+-----+ |             |       |                       |                  |                  |     |  |
| 1                                                 | SIMPLE      | tuser | ref                   | idx_name_age_sex | idx_name_age_sex | 303 |  |
|                                                   | const       | 1     | Using index condition |                  |                  |     |  |
| +-----+-----+-----+-----+-----+-----+-----+-----+ |             |       |                       |                  |                  |     |  |

## 索引失效分析

1. 全值匹配我最爱
2. 最佳左前缀法则
3. 不在索引列上做任何操作（计算、函数、（自动或手动）类型转换），会导致索引失效而转向全表扫描
4. 存储引擎不能使用索引中范围条件右边的列
5. 尽量使用覆盖索引（只访问索引的查询（索引列和查询列一致）），减少select \*
6. mysql 在使用不等于(!= 或者<>)的时候无法使用索引会导致全表扫描
7. is null ,is not null 也无法使用索引
8. like以通配符开头('%abc...')mysql索引失效会变成全表扫描的操作
9. 字符串不加单引号索引失效
10. 少用or,用它来连接时会索引失效

<http://blog.csdn.net/wuseyukui>

### 1.全值匹配我最爱



```
mysql> explain select * from tuser where name='zhaoyun' and age=1 and sex='1';
```

```
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_name_age_sex | idx_name_age_sex | 312 | | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
```

条件与索引一一对应

## 2.最佳左前缀法则

### 组合索引

带头索引不能死，中间索引不能断

如果索引了多个列，要遵守最佳左前缀法则。指的是查询从索引的最左前列开始 并且不跳过索引中的列。 正确的示例参考上图。

### 错误的示例：

带头索引死：

```
mysql> explain select * from tuser where age=23;
```

```
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 | Using where |
+---+-----+-----+-----+-----+-----+-----+
```

中间索引断（带头索引生效，其他索引失效）：

```
mysql> explain select * from tuser where name='aa' and sex='1';
```

```
+---+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_name_age_sex | idx_name_age_sex | 303 | | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
```

比较



```

--+-+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key |
key_len | ref | rows | Extra |
+-+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_name_age_sex | idx_name_age_sex | 312
| const,const,const | 1 | Using index condition |
+-+-----+-----+-----+-----+-----+-----+-----+
--+-+-----+-----+-----+-----+-----+-----+-----+

```

```

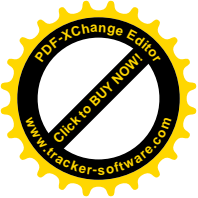
+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key |
key_len | ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_name_age_sex | idx_name_age_sex | 308
| const,const | 1 | Using index condition |
+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+-----+-----+

```

```

+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 |
| Using where |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+

```



#### 4.范围条件右边的列失效

不能继续使用索引中范围条件（between、<、>、in等）右边的列

```
mysql> explain select * from tuser where name='asd' and age>20 and sex='1';
```

```
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | range | idx_name_age_sex | idx_name_age_sex | 308 | NULL | 1 | Using index condition |
+-----+-----+-----+-----+-----+-----+-----+-----+
```

#### 5.尽量使用覆盖索引

尽量使用覆盖索引（只查询索引的列），也就是索引列和查询列一致，减少select \*

```
mysql> explain select * from tuser ;
```

```
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 | NULL |
+-----+-----+-----+-----+-----+-----+-----+-----+
```

```
1 row in set (0.00 sec)
```

```
mysql> explain select name,loginname from tuser ;
```

```
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2 | NULL |
+-----+-----+-----+-----+-----+-----+-----+-----+
```

```
1 row in set (0.01 sec)
```

```
mysql> explain select name,age,sex from tuser ;
```

```
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
```



```
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE | tuser | index | NULL | idx_name_age_sex | 312
| NULL | 2 | Using index |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
1 row in set (0.00 sec)

mysql> explain select loginname from tuser ;
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE | tuser | index | NULL | idx_loginname | 303 |
NULL | 2 | Using index |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
1 row in set (0.00 sec)
```

## 6.索引字段上不要使用不等

索引字段上使用（!= 或者 < >）判断时，会导致索引失效而转向全表扫描

注：主键索引会使用范围索引，辅助索引会失效

```
mysql> explain select * from tuser where loginname='zhy';
```

```
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len |
ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE | tuser | const | idx_loginname | idx_loginname | 303 |
const | 1 | NULL |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
1 row in set (0.00 sec)
```

```
mysql> explain select * from tuser where loginname!='zhy';
```

```
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows |
| Extra |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
| 1 | SIMPLE | tuser | ALL | idx_loginname | NULL | NULL | NULL | 1
| Using where |
+---+-----+-----+-----+-----+-----+-----+
+---+-----+-----+
```

## 7.主键索引字段上不可以判断null



主键字段上不可以使用 `null`

索引字段上使用 `is null` 判断时, 可使用索引

```
mysql> explain select * from tuser where name is null;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_name_age_sex | idx_name_age_sex | 303 | | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

```
mysql> explain select * from tuser where loginname is null;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ref | idx_loginname | idx_loginname | 303 | | 1 | Using index condition |
+---+-----+-----+-----+-----+-----+-----+
1 row in set (0.00 sec)
```

```
1 row in set (0.00 sec)
```

主键非空 不使用索引

```
mysql> explain select * from tuser where id is not null;
```

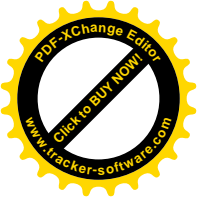
```
+---+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | PRIMARY | NULL | NULL | NULL | 2 | Using where |
+---+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
```

## 8.索引字段使用like不以通配符开头

索引字段使用like以通配符开头（‘%字符串’）时, 会导致索引失效而转向全表扫描

```
mysql> explain select * from tuser where name like 'a%';
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows | Extra |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | PRIMARY | NULL | NULL | NULL | 2 | Using where |
+---+-----+-----+-----+-----+-----+-----+
--+-----+-----+-----+-----+
```



```
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | range | idx_name_age_sex | idx_name_age_sex | 303
| NULL | 1 | Using index condition |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+

mysql> explain select * from tuser where name like '%a';
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows
| Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | NULL | NULL | NULL | NULL | 2
| Using where |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
```

由结果可知，like以通配符结束相当于范围查找，索引不会失效。与范围条件（between、<、>、in等）不同的是：不会导致右边的索引失效。

**问题：解决like '%字符串%'时，索引失效问题的方法？** 使用覆盖索引可以解决。

```
mysql> explain select name , age,sex from tuser where name like '%a%';
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows
| ref | rows | Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | index | NULL | idx_name_age_sex | 312
| NULL | 2 | Using where; Using index |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
```

## 9.索引字段字符串要加单引号

索引字段是字符串，但查询时不加单引号，会导致索引失效而转向全表扫描

```
mysql> explain select * from tuser where name=123;
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref | rows
| Extra |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | idx_name_age_sex | NULL | NULL | NULL |
2 | Using where |
+-----+-----+-----+-----+-----+-----+-----+-----+
+-----+-----+-----+-----+-----+-----+-----+-----+
```

## 10.索引字段不要使用or



索引字段使用 **or** 时，会导致索引失效而转向全表扫描

```
mysql> explain select * from tuser where name='asd' or age=23;
```

```
+---+-----+-----+-----+-----+-----+-----+-----+
| id | select_type | table | type | possible_keys | key | key_len | ref |
+---+-----+-----+-----+-----+-----+-----+-----+
| 1 | SIMPLE | tuser | ALL | idx_name_age_sex | NULL | NULL | NULL |
+---+-----+-----+-----+-----+-----+-----+-----+
| 2 | Using where | | | | | | |
+---+-----+-----+-----+-----+-----+-----+-----+
```

## 总结

假设index(a,b,c)

| Where语句                                             | 索引是否被使用                |
|-----------------------------------------------------|------------------------|
| where a = 3                                         | Y,使用到a                 |
| where a = 3 and b = 5                               | Y,使用到a, b              |
| where a = 3 and b = 5 and c = 4                     | Y,使用到a,b,c             |
| where b = 3 或者 where b = 3 and c = 4 或者 where c = 4 | N                      |
| where a = 3 and c = 5                               | 使用到a, 但是c不可以, b中间断了    |
| where a = 3 and b > 4 and c = 5                     | 使用到a和b, c不能用在范围之后, b断了 |
| where a = 3 and b like 'kk%' and c = 4              | Y,使用到a,b,c             |
| where a = 3 and b like '%kk' and c = 4              | Y,只用到a                 |
| where a = 3 and b like '%kk%' and c = 4             | Y,只用到a                 |
| where a = 3 and b like 'k%kk%' and c = 4            | Y,使用到a,b,c             |

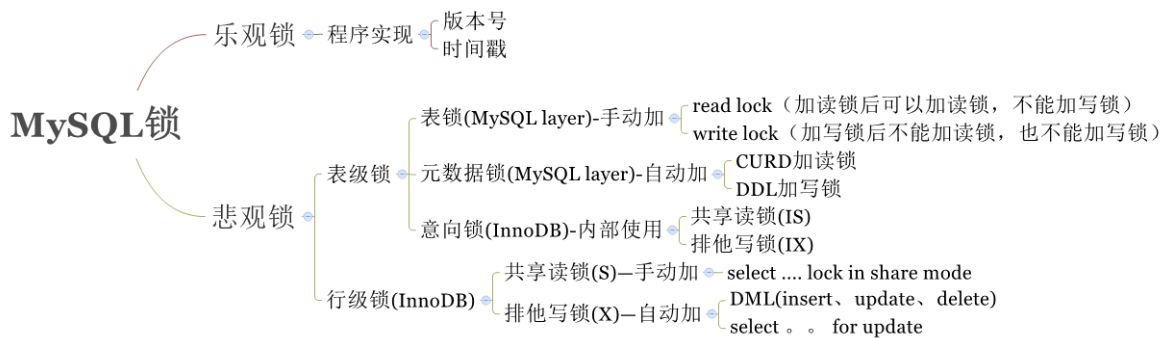
### 【优化总结口诀】

全值匹配我最爱，最左前缀要遵守；  
带头大哥不能死，中间兄弟不能断；  
索引列上少计算，范围之后全失效；  
LIKE百分写最右，覆盖索引不写星；  
不等空值还有or，索引失效要少用；

## 三、MySQL锁篇

### MySQL锁介绍





## MySQL表级锁

### 表级锁介绍

#### 由MySQL SQL layer层实现

- MySQL的表级锁有两种:

一种是表锁。  
一种是元数据锁 (meta data lock, MDL)。

- MySQL 实现的表级锁定的争用状态变量:

```
mysql> show status like 'table%';
```

```
mysql> show status like 'table%';
```

| Variable_name              | Value |
|----------------------------|-------|
| Table_locks_immediate      | 113   |
| Table_locks_waited         | 0     |
| Table_open_cache_hits      | 5     |
| Table_open_cache_misses    | 1     |
| Table_open_cache_overflows | 0     |

- table\_locks\_immediate: 产生表级锁定的次数;
- table\_locks\_waited: 出现表级锁定争用而发生等待的次数;

### 表锁介绍

- 表锁有两种表现形式:

表共享读锁 (Table Read Lock)  
表独占写锁 (Table write Lock)

- 手动增加表锁



`lock table` 表名称 `read(write)`, 表名称2 `read(write)`, 其他;

- 查看表锁情况

```
show open tables;
```

- 删除表锁

```
unlock tables;
```

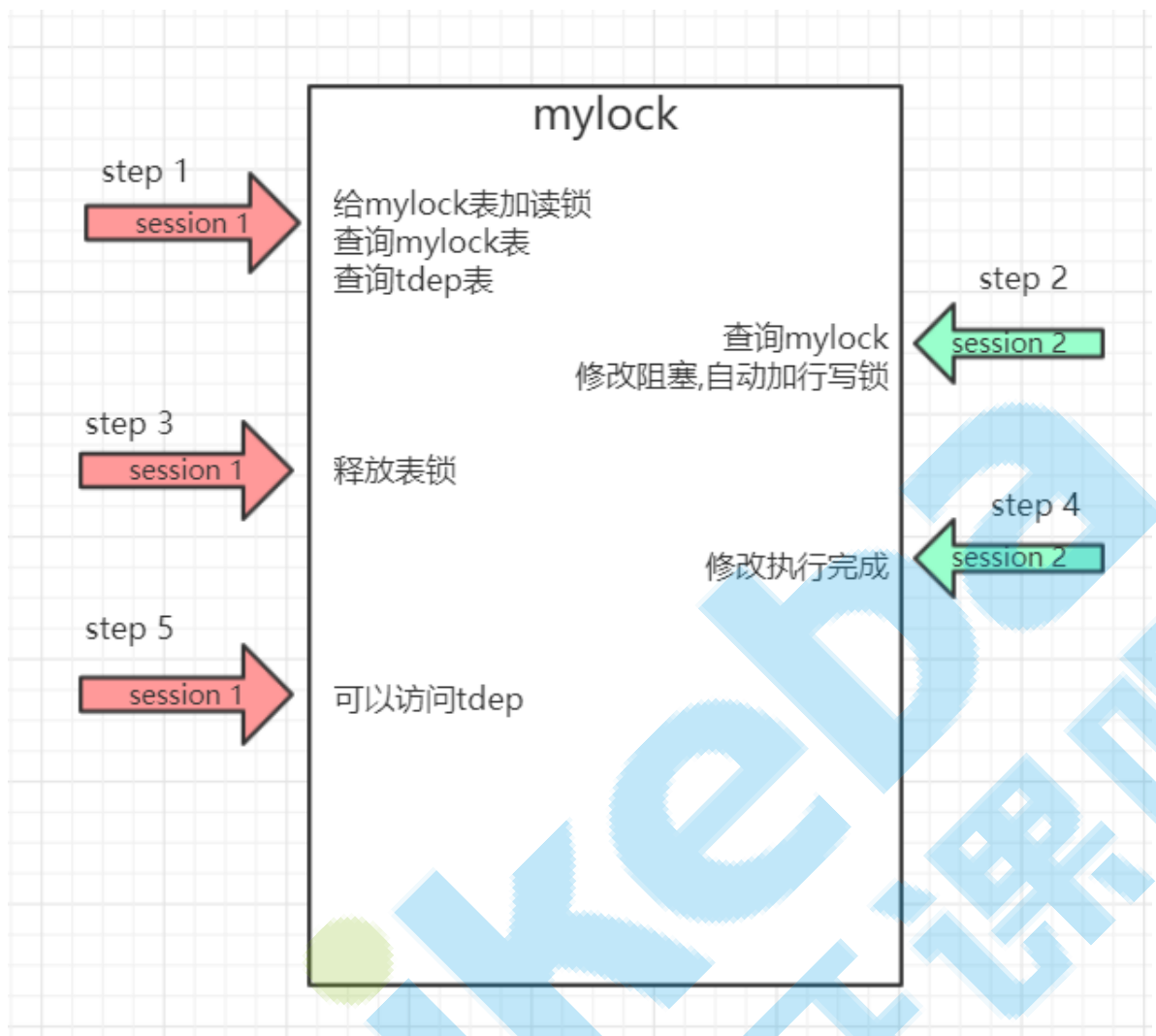
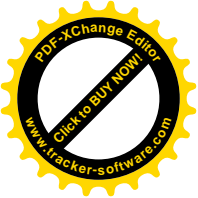
## 表锁演示

### 环境准备

```
--新建表
CREATE TABLE mylock (
 id int(11) NOT NULL AUTO_INCREMENT,
 NAME varchar(20) DEFAULT NULL,
 PRIMARY KEY (id)
);
INSERT INTO mylock (id,NAME) VALUES (1, 'a');
INSERT INTO mylock (id,NAME) VALUES (2, 'b');
INSERT INTO mylock (id,NAME) VALUES (3, 'c');
INSERT INTO mylock (id,NAME) VALUES (4, 'd');
```

### 读锁演示

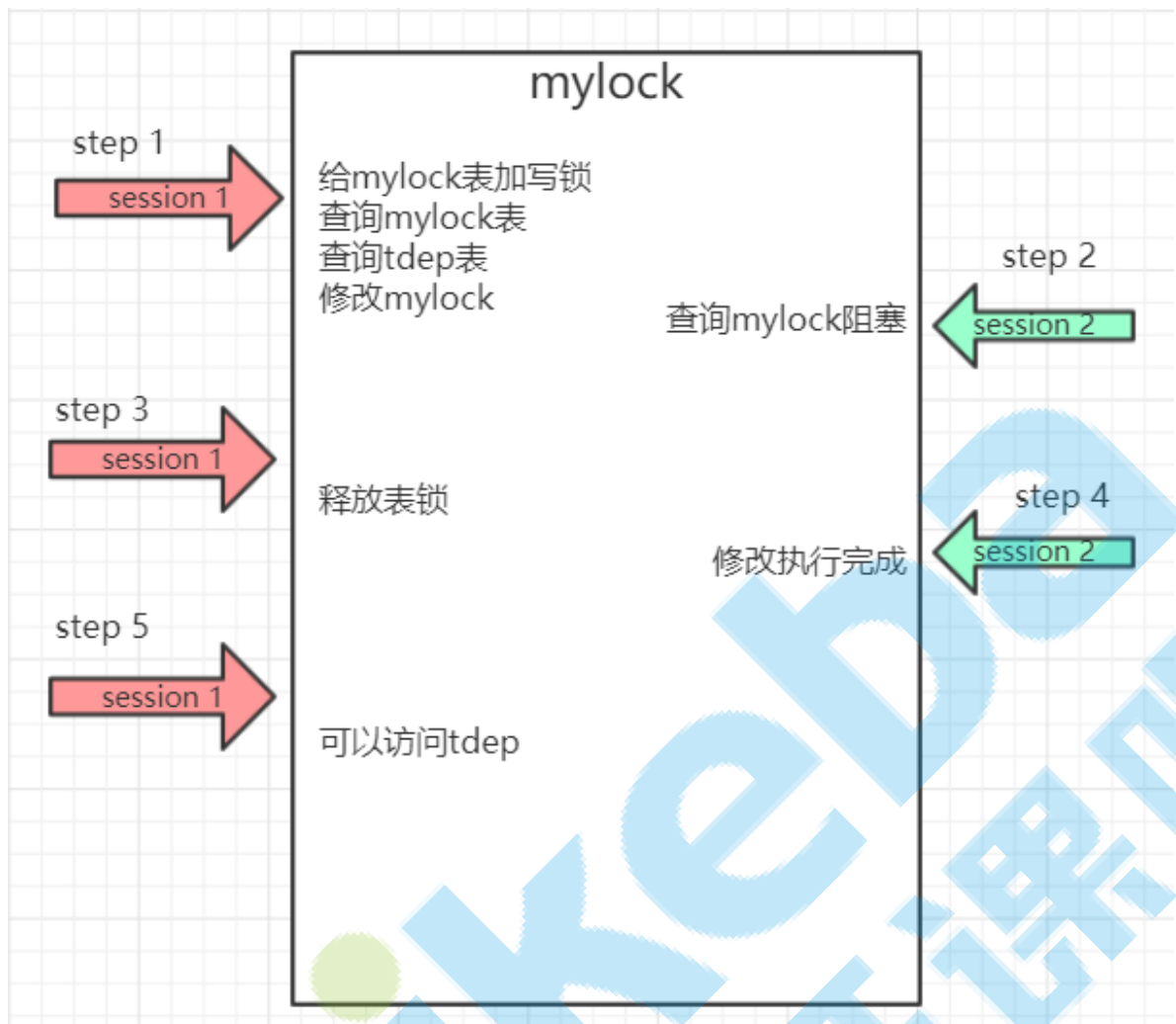
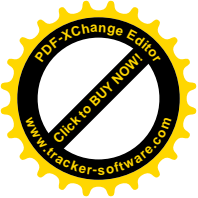
#### 1、表读锁



session1 (Navicat) 、 session2 (mysql)

- 1、session1: lock table mylock read; -- 给mylock表加读锁
- 2、session1: select \* from mylock; -- 可以查询
- 3、session1: select \* from tdep; --不能访问非锁定表
- 4、session2: select \* from mylock; -- 可以查询 没有锁
- 5、session2: update mylock set name='x' where id=2; -- 修改阻塞,自动加行写锁
- 6、session1: unlock tables; -- 释放表锁
- 7、session2: Rows matched: 1 Changed: 1 warnings: 0 -- 修改执行完成
- 8、session1: select \* from tdep; --可以访问

## 2、表写锁



session1 (Navicat) 、 session2 (mysql)

- 1、 session1: lock table mylock write; -- 给mylock表加写锁
- 2、 session1: select \* from mylock; -- 可以查询
- 3、 session1: select \* from tdep; --不能访问非锁定表
- 4、 session1: update mylock set name='y' where id=2; --可以执行
- 5、 session2: select \* from mylock; -- 查询阻塞
- 6、 session1: unlock tables; -- 释放表锁
- 7、 session2: 4 rows in set (22.57 sec) -- 查询执行完成
- 8、 session1: select \* from tdep; --可以访问