

Name: **Huan Q. Bui**
 Course: **8.321 - Quantum Theory I**
 Problem set: **#3**

1.

$$A = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{pmatrix} \quad B = \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix}.$$

(a) To show that AB commute, we simply compute their commutator:

$$[A, B] = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix} - \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix} \begin{pmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 3 & 0 & 3 \\ 0 & 0 & 0 \\ 3 & 0 & 3 \end{pmatrix} - \begin{pmatrix} 3 & 0 & 3 \\ 0 & 0 & 0 \\ 3 & 0 & 3 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

So, A and B commute.

(b) Notice that $\text{rank}(A) = 1$. So A must have eigenvalue of zero with multiplicity of two. The other eigenvalue is 2 by inspection, where the corresponding eigenvector is $(1, 0, 1)^\top$. The other two 0-eigenvectors must span the subspace orthogonal to $(1, 0, 1)^\top$. We may choose $(0, 1, 0)^\top$ and $(-1, 0, 1)^\top$.

To find the eigenvalues of B we may use the traditional method of characteristic polynomials.

$$0 = \det(B - \lambda \mathbb{I}) = -6 - \lambda + 4\lambda^2 - \lambda^3 \implies 0 = (\lambda - 3)(\lambda - 2)(\lambda + 1).$$

The corresponding eigenvectors are

$$\begin{aligned} \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix} \vec{x}_1 &= 3\vec{x}_1 \implies \vec{x}_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \\ \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix} \vec{x}_2 &= 2\vec{x}_2 \implies \vec{x}_2 = \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix} \\ \begin{pmatrix} 2 & 1 & 1 \\ 1 & 0 & -1 \\ 1 & -1 & 2 \end{pmatrix} \vec{x}_3 &= -1\vec{x}_3 \implies \vec{x}_3 = \begin{pmatrix} -1 \\ 2 \\ 1 \end{pmatrix} \end{aligned}$$

(c) It is clear that $(1, 0, 1)^\top$ is a simultaneous eigenvector of A and B . Also notice that the eigenvectors \vec{x}_2 and \vec{x}_3 of B are orthogonal to each other and to $(1, 0, 1)^\top$. This means \vec{x}_2 and \vec{x}_3 span the subspace associated with the eigenvalue zero for A . Thus, \vec{x}_2, \vec{x}_3 are eigenvectors of A and it suffices to normalize $\vec{x}_1, \vec{x}_2, \vec{x}_3$ to form a unitary matrix:

$$U = \begin{pmatrix} 1/\sqrt{2} & -1/\sqrt{3} & -1/\sqrt{6} \\ 0 & -1/\sqrt{3} & 2/\sqrt{6} \\ 1/\sqrt{2} & 1/\sqrt{3} & 1/\sqrt{6} \end{pmatrix}$$

Simultaneous diagonalization of A and B :

$$\begin{aligned} U^\dagger A U &= \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ U^\dagger B U &= \begin{pmatrix} 3 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -1 \end{pmatrix} \end{aligned}$$

as desired.

2. N spin-1/2 particles in

$$\mathcal{H} = \mathcal{H}_2^{(1)} \otimes \mathcal{H}_2^{(2)} \otimes \cdots \otimes \mathcal{H}_2^{(n)}.$$

where each $\mathcal{H}_2^{(i)}$ is two-dimensional.

(a) The dimension of \mathcal{H} is 2^n .

(b) $S_z = S_z^{(1)} + S_z^{(2)} + \cdots + S_z^{(n)}$. There are $\binom{n}{i}$ product (eigen)states with i particles in $|\uparrow\rangle$ and $(n-i)$ particles in $|\downarrow\rangle$. For the product state with i particles in $|\uparrow\rangle$, the corresponding eigenvalue is

$$\lambda = \frac{\hbar}{2}i - \frac{\hbar}{2}(n-i) = \frac{\hbar}{2}(2i-n), \quad i = 0, 1, 2, \dots, n$$

So, the spectrum of S_z is

$$\sigma(S_z) = \left\{ \frac{n\hbar}{2}, \frac{(n-2)\hbar}{2}, \dots, \frac{-(n-2)\hbar}{2}, \frac{-n\hbar}{2} \right\}$$

There are $n+1$ distinct eigenvalues. The multiplicity of each λ_i is $\binom{n}{i}$ where λ_i is the eigenvalue associated with the product state with i spins in $|\uparrow\rangle$.

As a sanity check, the sum of the multiplicities must be 2^n . This is true due to a well-known combinatorial relation:

$$\sum_{i=0}^n \binom{n}{i} = (1+1)^n = 2^n.$$

(c) $I = \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} + \mathbf{S}^{(2)} \cdot \mathbf{S}^{(3)} + \cdots + \mathbf{S}^{(N-1)} \cdot \mathbf{S}^{(N)} + \mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}$. We claim that $[I, S_z] = 0$ and shall prove this by induction. Consider the base case where $N = 2$. We may prove it directly by calculating the Kronecker product of the Pauli matrices (working in the z -basis).

$$I = \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} + \mathbf{S}^{(2)} \cdot \mathbf{S}^{(1)} = 2 \left[S_x^{(1)} \otimes S_x^{(2)} + S_y^{(1)} \otimes S_y^{(2)} + S_z^{(1)} \otimes S_z^{(2)} \right]$$

$$S_z = S_z^{(1)} \otimes \mathbb{I} + \mathbb{I} \otimes S_z^{(2)}.$$

In Mathematica:

```
In[2]:= X = PauliMatrix[1];
In[3]:= Y = PauliMatrix[2];
In[4]:= Z = PauliMatrix[3];
In[6]:= Id = IdentityMatrix[2];
In[8]:= II =
2*(KroneckerProduct[X, X] + KroneckerProduct[Y, Y] +
KroneckerProduct[Z, Z]);
In[9]:= SZ = KroneckerProduct[Z, Id] + KroneckerProduct[Id, Z];
In[12]:= Commutator = II . SZ - SZ . II;
In[13]:= Commutator
Out[13]= {{0, 0, 0, 0}, {0, 0, 0, 0}, {0, 0, 0, 0}, {0, 0, 0, 0}}
```

Thus

$$[I^{(2)}, S_z] = 0.$$

Now let us assume that $[I, S_z]$ is true up to N . We will show that $[I, S_z]$ also holds for $N + 1$. This is a straightforward computation. Let us call $I = I_N + I'$ where

$$I_N = \mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)} + \mathbf{S}^{(2)} \cdot \mathbf{S}^{(3)} + \dots + \mathbf{S}^{(N-1)} \cdot \mathbf{S}^{(N)} + \mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}$$

and

$$I' = \mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)} - \mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}$$

Moreover, let us write

$$S_z = S_z^{(N+1)} + \sum_{i=1}^N S_z^{(i)}.$$

Since I_N commute with both S_z^{N+1} (by the fact that S_z^{N+1} does not act on the spins $i = 1, \dots, N$) and $\sum_{i=1}^N S_z^{(i)}$ (by inductive hypothesis), we have

$$\begin{aligned} [I, S_z] &= \left[I_N + I', S_z^{(N+1)} + \sum_{i=1}^N S_z^{(i)} \right] \\ &= \left[I', S_z^{(N+1)} \right] + \left[I', \sum_{i=1}^N S_z^{(i)} \right] \\ &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(N+1)} \right] + \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, \sum_{i=1}^N S_z^{(i)} \right] \end{aligned}$$

where we have used the following facts:

$$\begin{aligned} \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}, S_z^{(N+1)} \right] &= 0 \\ \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}, \sum_{i=1}^N S_z^{(i)} \right] &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(1)}, S_z^{(1)} + S_z^{(N)} \right] = 0 \end{aligned}$$

where the second fact comes from the $N = 2$ result. We may simplify $[I, S_z]$ even further:

$$\begin{aligned} [I, S_z] &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(N+1)} \right] + \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(1)} + S_z^{(N)} \right] \\ &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)} + \mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(1)} + S_z^{(N)} + S_z^{(N+1)} \right] \\ &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)}, S_z^{(1)} + S_z^{(N)} + S_z^{(N+1)} \right] + \left[\mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(1)} + S_z^{(N)} + S_z^{(N+1)} \right] \\ &= \left[\mathbf{S}^{(N)} \cdot \mathbf{S}^{(N+1)}, S_z^{(N)} + S_z^{(N+1)} \right] + \left[\mathbf{S}^{(N+1)} \cdot \mathbf{S}^{(1)}, S_z^{(1)} + S_z^{(N+1)} \right] \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

in view of the $N = 2$ base case. We thus conclude that I and S_z are compatible observables.

(d) Spectrum and degeneracies of I for $N = 2, 3, 4$.

- $N = 2$:

$$\sigma(I_2) = \frac{\hbar^2}{4} \times \{-6, \underbrace{2}_{\text{deg.}=3}\}$$

- $N = 3$:

$$\sigma(I_3) = \frac{\hbar^2}{4} \times \underbrace{\{-3\}}_{\text{deg.}=4}, \underbrace{3}_{\text{deg.}=4}$$

- $N = 4$:

$$\sigma(I_4) = \frac{\hbar^2}{4} \times \{-8, \underbrace{-4}_{\text{deg.}=3}, \underbrace{4}_{\text{deg.}=5}, \underbrace{0}_{\text{deg.}=7}\}$$

For this problem I have worked in units of $\hbar/2 \equiv 1$ and used a brute force via a simple routine in MATLAB which allows me compute the spectrum for large N 's. Below is the code.

```
N = 10;
state0 = zeros(2^N,1);
eigv = 0;

Sz = [1 0 ; 0 -1];
Sx = [0 1 ; 1 0];
Sy = [0 -complex(0,1); complex(0,1) 0];
Id = [1 0 ; 0 1];

% ZZ, YY, XX
cell_ZZ = cell(N,1);
cell_YY = cell(N,1);
cell_XX = cell(N,1);
termZ = zeros(2,2);
termY = zeros(2,2);
termX = zeros(2,2);
operatorsZ = cell(N,1);
operatorsY = cell(N,1);
operatorsX = cell(N,1);

for n = 0:N-2
operatorsZ = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sz}, {Sz})), repmat({Id}, 1 , N-2-n));
operatorsY = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sy}, {Sy})), repmat({Id}, 1 , N-2-n));
operatorsX = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sx}, {Sx})), repmat({Id}, 1 , N-2-n));
termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N
termZ = kron(termZ, operatorsZ{o});
termY = kron(termY, operatorsY{o});
termX = kron(termX, operatorsX{o});
end
cell_ZZ{n+1} = termZ;
cell_YY{n+1} = termY;
cell_XX{n+1} = termX;
end

% deals with the periodic term
operatorsZ = horzcat(horzcat( {Sz}, repmat({Id}, 1, N-2) ), {Sz} );
operatorsY = horzcat(horzcat( {Sy}, repmat({Id}, 1, N-2) ), {Sy} );
operatorsX = horzcat(horzcat( {Sx}, repmat({Id}, 1, N-2) ), {Sx} );
termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N
termZ = kron(termZ, operatorsZ{o});
termY = kron(termY, operatorsY{o});
termX = kron(termX, operatorsX{o});
end
cell_ZZ{N} = termZ;
cell_YY{N} = termY;
cell_XX{N} = termX;

% generates Hamiltonian
Hamiltonian = zeros(2^N,2^N);
for i = 1:N
Hamiltonian = Hamiltonian + cell_ZZ{i} + cell_XX{i} + cell_YY{i};
end
```

```
[state0, eigv] = eig(Hamiltonian);
disp(transpose(diag(eigv)));
disp(state0);
```

(e) By running the MATLAB program for a range of N 's, we find that

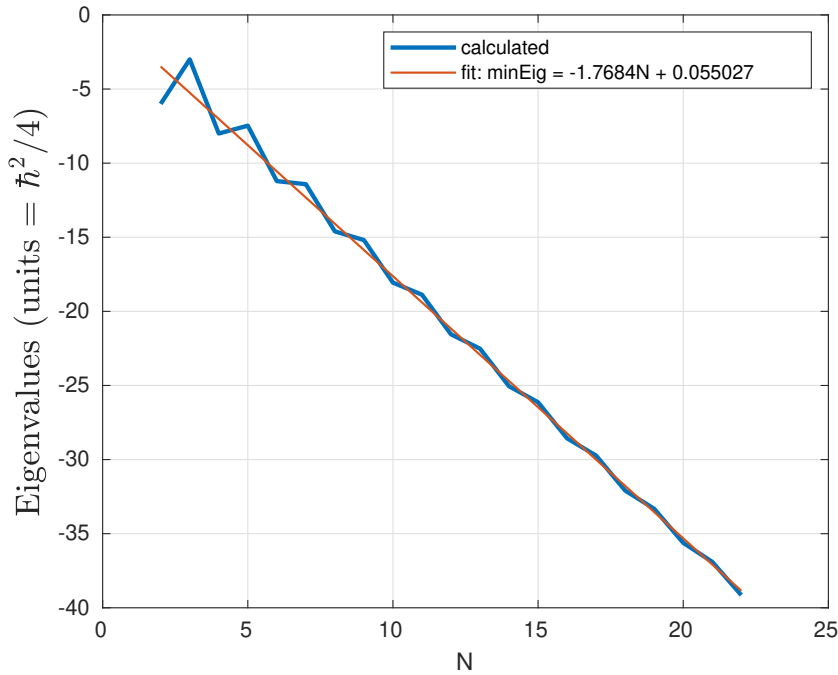
$$\lambda_{\max}^{(N)} = N \quad \text{restoring } \hbar^2/4 \implies \lambda_{\max}^{(N)} = N\hbar^2/4$$

with degeneracy $N+1$. Since the degeneracy grows linearly in N , we have multiple choices for an eigenvector associated with each of these eigenvalues. However, using MATLAB we may find that the eigenvectors

$$|\psi\rangle = (0, 0, \dots, 1)^\top \quad \text{and} \quad |\phi\rangle = (1, 0, \dots, 0)^\top$$

are always associated with the largest positive eigenvalue.

(f) The largest N I could compute in a reasonable amount of time (**48 seconds**) is $N = 22$



We see that $\lambda_{\min}^{(N)}$ also decreases without bounds and appears to scale linearly in N for small N , similar to $\lambda_{\max}^{(N)}$. I did a linear fit to the data and found that

$$\lambda_{\min}^{(N)} \approx \frac{\hbar^2}{4} (-1.7684N + 0.055027).$$

A few associated eigenvectors can also be found using the previous MATLAB routine. These are the (degenerate) ground states of the Hamiltonian $\mathcal{H} \propto I$.

MATLAB code (optimized for the minimum eigenvalue problem):

```

clear
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% clock starts
tic
% clock starts
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

N = 22;
parfor j=1:N-1
data(j) = MinEig(j+1);
end
plot(2:1:N, data, 'LineWidth',2)
ylabel('Eigenvalues (units =  $\hbar^2/4$ )', 'Interpreter','latex', 'FontSize', 16)
xlabel('N')
grid on

% linear fit
p = polyfit(2:1:N, data, 1);
fit = polyval(p,2:1:N);
hold on
plot(2:1:N,fit, 'LineWidth', 1)
hold off

% display fit eqn in legend
a = p(1);
b = p(2);
legend('calculated', ['fit: minEig = ' num2str(a) 'N + ' num2str(b)])

%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% clock ends
Duration = seconds(round(toc));
Duration.Format = 'hh:mm:ss';
disp(['Time taken : ' char(Duration)]);
% disp(['Time in sec: ' num2str(toc)]);
disp(' ')
% clock ends
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

% returns the smallest eigenvalue
function minEig = MinEig(N)

Sz = sparse([1 0 ; 0 -1]);
Sx = sparse([0 1 ; 1 0]);
Sy = sparse([0 -complex(0,1); complex(0,1) 0]);
Id = sparse([1 0 ; 0 1]);

% ZZ, YY, XX
cell_ZZ = cell(N,1);
cell_YY = cell(N,1);
cell_XX = cell(N,1);
termZ = zeros(2,2);
termY = zeros(2,2);
termX = zeros(2,2);
operatorsZ = cell(N,1);
operatorsY = cell(N,1);
operatorsX = cell(N,1);

parfor n = 0:N-2
operatorsZ = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sz}, {Sz})), repmat({Id}, 1 , N-2-n));
operatorsY = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sy}, {Sy})), repmat({Id}, 1 , N-2-n));
operatorsX = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sx}, {Sx})), repmat({Id}, 1 , N-2-n));
termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N
termZ = sparse(kron(termZ, operatorsZ{o}));
termY = sparse(kron(termY, operatorsY{o}));
termX = sparse(kron(termX, operatorsX{o}));
end
cell_ZZ{n+1} = termZ;
cell_YY{n+1} = termY;
cell_XX{n+1} = termX;
end

% periodic term
operatorsZ = horzcat(horzcat( {Sz}, repmat({Id}, 1, N-2) ), {Sz} );
operatorsY = horzcat(horzcat( {Sy}, repmat({Id}, 1, N-2) ), {Sy} );
operatorsX = horzcat(horzcat( {Sx}, repmat({Id}, 1, N-2) ), {Sx} );

```

```

termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N
termZ = sparse(kron(termZ, operatorsZ{o}));
termY = sparse(kron(termY, operatorsY{o}));
termX = sparse(kron(termX, operatorsX{o}));
end
cell_ZZ{N} = termZ;
cell_YY{N} = termY;
cell_XX{N} = termX;

% generates Hamiltonian
Hamiltonian = sparse(2^N,2^N);
parfor i = 1:N
Hamiltonian = Hamiltonian + cell_ZZ{i} + cell_XX{i} + cell_YY{i};
end
% exact diagonalization
eigv = eigs(Hamiltonian,1,'smallestreal');

% returns smallest eigenvalue
minEig = eigv;

end

```

(g) We have

$$\mathcal{H} = bxS_z - a(1 - x)I.$$

With $b = a\hbar$, we may set $a = 1$ and $\hbar/2 = 1$ (as before), so that

$$\mathcal{H} = 2xS_z - (1 - x)I.$$

The figures generated using the MATLAB code below show good agreement with what we found in the previous parts (setting $x = 0$ and flipping the signs of the spectrum gives the results of Part (d)). When $x = 1$, the spectra of H are twice what we found in Part (b), which can be explained by the extra leading factor of 2 on S_z in the Hamiltonian. For example, at $x = 1$ and $N = 3$ we find $4 = 3 + 1$ distinct eigenvalues. To count degeneracies requires looking at the spectra numerically, since some of the splittings in the figures overlap. But in any case, the degeneracies are also consistent with what we found in Part (b). When $x = 0$, we are reduced to Part (d) but with a sign flip.

```

clear crc
clear all

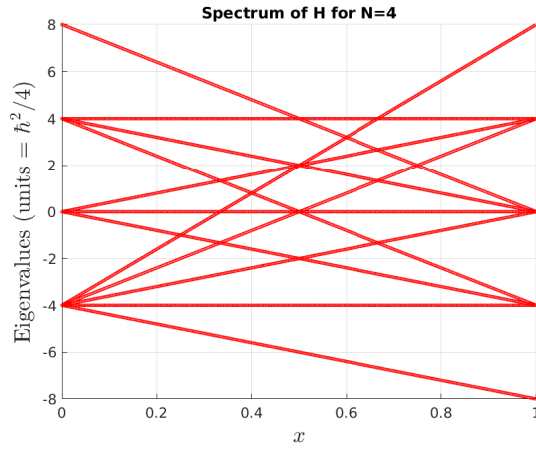
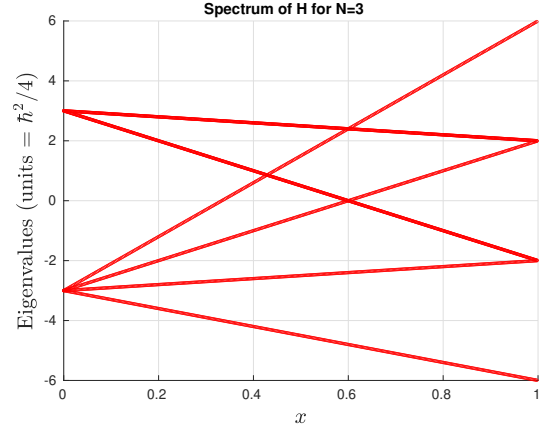
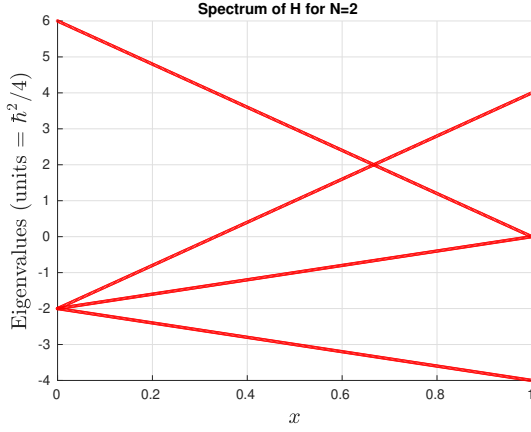
N = 4;
res = 400;
x = 0:1/res:1-1/res;
figure(1)
for j=0:1:res-1
eigv = Bfield(N,j/res);
hold on
X = (j/res)*ones(2^N,1);
plot(X,eigv,'Marker','o', 'MarkerSize', 2, 'Color', 'r', 'LineStyle', 'None')
hold off
end
hold off
grid on

xlabel('$x$', 'Interpreter', 'latex', 'FontSize', 16)
ylabel('Eigenvalues (units = $\hbar^2/4$)', 'Interpreter','latex', 'FontSize', 16)
title(['Spectrum of H for N=' num2str(N)])

function eigv = Bfield(N,x)
Sz = [1 0 ; 0 -1];
Sx = [0 1 ; 1 0];
Sy = [0 -complex(0,1); complex(0,1) 0];
Id = [1 0 ; 0 1];

% ZZ, YY, XX
cell_ZZ = cell(N,1);

```



```

cell_YY = cell(N,1);
cell_XX = cell(N,1);
termZ = zeros(2,2);
termY = zeros(2,2);
termX = zeros(2,2);
operatorsZ = cell(N,1);
operatorsY = cell(N,1);
operatorsX = cell(N,1);

for n = 0:N-2
operatorsZ = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sz}, {Sz})), repmat({Id}, 1 , N-2-n));
operatorsY = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sy}, {Sy})), repmat({Id}, 1 , N-2-n));
operatorsX = horzcat( horzcat( repmat({Id},1,n) ,horzcat({Sx}, {Sx})), repmat({Id}, 1 , N-2-n));
termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N
termZ = kron(termZ, operatorsZ{o});
termY = kron(termY, operatorsY{o});
termX = kron(termX, operatorsX{o});
end
cell_ZZ{n+1} = termZ;
cell_YY{n+1} = termY;
cell_XX{n+1} = termX;
end

% deals with the periodic term
operatorsZ = horzcat(horzcat( {Sz}, repmat({Id}, 1, N-2) ), {Sz} );
operatorsY = horzcat(horzcat( {Sy}, repmat({Id}, 1, N-2) ), {Sy} );
operatorsX = horzcat(horzcat( {Sx}, repmat({Id}, 1, N-2) ), {Sx} );
termZ = operatorsZ{1};
termY = operatorsY{1};
termX = operatorsX{1};
for o = 2:N

```



```

termZ = kron(termZ, operatorsZ{o});
termY = kron(termY, operatorsY{o});
termX = kron(termX, operatorsX{o});
end
cell_ZZ{N} = termZ;
cell_YY{N} = termY;
cell_XX{N} = termX;

% generates Sz
% generate the fZ cell array of the Hamiltonian:
term = sparse(2,2);
cell_fZ = cell(N,1);
operators = cell(N,1);
for n = 0:N-1
operators = horzcat( horzcat( repmat({Id},1,n), {Sz}), repmat({Id}, 1 , N-1-n));
term = operators{1};
for o = 2:N
term = sparse(kron(term, operators{o}));
end
cell_fZ{n+1} = term;
end

% generates Hamiltonian
Hamiltonian = zeros(2^N,2^N);
for i = 1:N
Hamiltonian = Hamiltonian + 2*x*cell_fZ{i} - (1-x)*(cell_ZZ{i} + cell_XX{i} + cell_YY{i});
end

eigv = eig(Hamiltonian);

end

```

3. Qubits. We have a system of 4 spins in $|+, x\rangle$. We shall proceed with this problem by brute force, at least for the first two parts.

(a) In Mathematica, we may work in the z-basis and explicitly compute

$$\mathbb{I} \otimes \mathbb{I} \otimes (\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)})$$

as well as its spectrum $\{-3\hbar^2/4, \hbar^2/4\}$ and eigenvectors, using Mathematica. Using the formula

$$\Pr(A = a) = \sum_{j: a_j = a} |\langle a_j | \alpha \rangle|^2,$$

for the input state $|\alpha\rangle = |+++\rangle$, we find

$$\Pr(-3\hbar^2/4) = 0$$

$$\Pr(\hbar^2/4) = 1$$

```

In[1]:= X = PauliMatrix[1];
In[2]:= Y = PauliMatrix[2];
In[3]:= Z = PauliMatrix[3];
In[4]:= Id = IdentityMatrix[2];
In[5]:= T[x_, y_] := KroneckerProduct[x, y];
In[60]:= S34 = (h^2/4)*(T[Id, T[Id, T[X, X] + T[Y, Y] + T[Z, Z]]]);
In[61]:= Eigenvalues[S34]
Out[61]= {-((3 h^2)/4), -((3 h^2)/4), -((3 h^2)/4), -((3 h^2)/4), h^2/4, h^2/4, h^2/4, h^2/4, h^2/4, h^2/4, h^2/4, h^2/4}
In[89]:= E34 = Eigenvectors[S34];
In[64]:= XPlus = (1/Sqrt[2])*{{1}, {1}};

```

```

In[65]:= XMinus = (1/Sqrt[2])*{{1}, {-1}};

In[68]:= PPPP = T[XPlus, T[XPlus, T[XPlus, XPlus]]];

In[80]:= (*Part (a)*)

In[74]:= (*Eigv -3h^2/4*)

In[77]:= Dot[Conjugate[E34[[1]]/Norm[E34[[1]]]], PPPP]^2 +
Dot[Conjugate[E34[[2]]/Norm[E34[[2]]]], PPPP]^2 +
Dot[Conjugate[E34[[3]]/Norm[E34[[3]]]], PPPP]^2 +
Dot[Conjugate[E34[[4]]/Norm[E34[[4]]]], PPPP]^2

Out[77]= {0}

In[79]:= (*Eigv h^2/4*)

In[78]:= Sum[
Dot[Conjugate[E34[[i]]/Norm[E34[[i]]]], PPPP]^2, {i, 5, 16}]

Out[78]= {1}

```

- (b) Measuring $S_z^{(3)} |++\rangle$ gives $\hbar/2$ and $-\hbar/2$ with equal probability (1/2). The state after this measurement is $|++\uparrow\rangle$ or $|++\downarrow\rangle$. Now we measure $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$. From the previous part we know that there are only two eigenspaces associated with the distinct eigenvalues $-3\hbar^2/4$ and $\hbar^2/4$. So, we construct two projection operators P_1 and P_2 :

$$P_1 = \sum_{i=1}^4 \left| \epsilon_i^{(34)} \right\rangle \left\langle \epsilon_i^{(34)} \right| \quad \text{and} \quad P_2 = \sum_{i=5}^{16} \left| \epsilon_i^{(34)} \right\rangle \left\langle \epsilon_i^{(34)} \right|$$

where $\left| \epsilon_i^{(34)} \right\rangle$ for $i = 1, \dots, 4$ are the $-3\hbar^2/4$ -eigenstates and $\left| \epsilon_i^{(34)} \right\rangle$ for $i = 5, \dots, 16$ are the $\hbar^2/4$ -eigenstates. With this, we can now compute the probabilities for each possible outcome:

$$\begin{aligned}
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle ++\uparrow | P_1 | ++\uparrow \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle ++\uparrow | P_2 | ++\uparrow \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle ++\downarrow | P_1 | ++\downarrow \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle ++\downarrow | P_2 | ++\downarrow \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8}
\end{aligned}$$

Thus, the total probabilities after the $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$ measurement are

$$\boxed{\Pr\left(\frac{-3\hbar^2}{4}\right) = \frac{1}{8} + \frac{1}{8} = \frac{1}{4}} \quad \boxed{\Pr\left(\frac{\hbar^2}{4}\right) = \frac{3}{4}}$$

Mathematica code:

```

In[18]:= (*Part (b)*)

In[66]:= PPUP = Flatten[T[XPlus, T[XPlus, T[{1, 0}, XPlus]]]];

In[65]:= PPDP = Flatten[T[XPlus, T[XPlus, T[{0, 1}, XPlus]]]];

In[67]:= P1 =
Sum[Outer[Times, E34[[i]]/Norm[E34[[i]]],
E34[[i]]/Norm[E34[[i]]]], {i, 1, 4}];

In[68]:= P2 =
Sum[Outer[Times, E34[[i]]/Norm[E34[[i]]],
E34[[i]]/Norm[E34[[i]]]], {i, 5, 16}];

```

```

In[73]:= Dot[PPDP/Norm[PPDP], P2 . PPDP/Norm[PPDP]]
Out[73]= 3/4

In[70]:= Dot[PPDP/Norm[PPDP], P1 . PPDP/Norm[PPDP]] (* -3h^2/4 *)
Out[70]= 1/4

In[71]:= Dot[PPUP/Norm[PPUP], P2 . PPUP/Norm[PPUP]]
Out[71]= 3/4

In[72]:= Dot[PPUP/Norm[PPUP], P1 . PPUP/Norm[PPUP]] (* -3h^2/4 *)
Out[72]= 1/4

```

- (c) This is very similar to Part (b), except that we have to take an extra step after measuring $\mathbf{S}^{(2)} \cdot \mathbf{S}^{(3)}$. In view of Part (b), we already have

$$\begin{aligned}
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle ++ \uparrow + | P_1 | ++ \uparrow + \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle ++ \uparrow + | P_2 | ++ \uparrow + \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle ++ \downarrow + | P_1 | ++ \downarrow + \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle ++ \downarrow + | P_2 | ++ \downarrow + \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8}
\end{aligned}$$

After the first two measurements. In order to find what the probabilities are when we measure $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$, we must find out what the state is after the first two measurements. We know that after measuring $S_z^{(2)}$, we will end up with $|+ \uparrow ++\rangle$ or $|+ \downarrow ++\rangle$. Let the projection operators P_{1c} and P_{2c} be defined similar to P_1 and P_2 in Part (b) but for $\mathbf{S}^{(2)} \cdot \mathbf{S}^{(3)}$, we find four possible outcomes after the first two measurements:

$$\begin{aligned}
\frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} : \quad |\psi_{1c}\rangle &= \frac{P_{1c} | + \uparrow ++ \rangle}{\|P_{1c} | + \uparrow ++ \rangle\|} \\
\frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4} : \quad |\psi_{2c}\rangle &= \frac{P_{2c} | + \uparrow ++ \rangle}{\|P_{2c} | + \uparrow ++ \rangle\|} \\
\frac{-\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} : \quad |\psi_{3c}\rangle &= \frac{P_{1c} | + \downarrow ++ \rangle}{\|P_{1c} | + \downarrow ++ \rangle\|} \\
\frac{-\hbar}{2} \rightarrow \frac{\hbar^2}{4} : \quad |\psi_{4c}\rangle &= \frac{P_{2c} | + \downarrow ++ \rangle}{\|P_{2c} | + \downarrow ++ \rangle\|}
\end{aligned}$$

Now it remains to compute $\langle \psi_i | P_1 | \psi_i \rangle$ to find the probabilities of measuring $-3\hbar^2/4$ and $\hbar^2/4$ after the third measurement $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$. It turns out that there are symmetries to the outcome, so we will write the outcomes compactly as

$$\begin{aligned}
\Pr\left(\pm \frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{1}{4} = \frac{1}{32} \\
\Pr\left(\pm \frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{3}{4} = \frac{3}{32} \\
\Pr\left(\pm \frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{1}{4} = \frac{1}{32} \\
\Pr\left(\pm \frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{3}{4} = \frac{11}{32}
\end{aligned}$$

Here, \pm is used in the sense of “XOR.” Thus, the sum of the probabilities above is $1/2$ rather than 1. The missing factor of 2 can be put back by adding the contributions from both branches $\hbar/2$ and $-\hbar/2$ from the first measurement.

The total probabilities after the $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$ measurement are

$$\Pr\left(\frac{-3\hbar^2}{4}\right) = 2\left(\frac{1}{32} + \frac{1}{32}\right) = \frac{1}{8} \quad \Pr\left(\frac{\hbar^2}{4}\right) = \frac{7}{8}$$

Mathematica code:

```
In[27]:= (*Part (c)*)
In[28]:= S23 = (h^2/4)*(T[Id, T[T[X, X] + T[Y, Y] + T[Z, Z], Id]]);
In[29]:= PUPP = Flatten[T[XPlus, T[{1, 0}, T[XPlus, XPlus]]]];
In[30]:= PDPP = Flatten[T[XPlus, T[{0, 1}, T[XPlus, XPlus]]]];
In[74]:= Eigenvalues[S23];
In[32]:= E23 = Eigenvectors[S23];
In[33]:= P1c =
Sum[Outer[Times, E23[[i]]/Norm[E23[[i]]],
E23[[i]]/Norm[E23[[i]]]], {i, 1, 4}];
In[34]:= P2c =
Sum[Outer[Times, E23[[i]]/Norm[E23[[i]]],
E23[[i]]/Norm[E23[[i]]]], {i, 5, 16}];
In[36]:= Dot[PDPP/Norm[PDPP], P2c . PDPP/Norm[PDPP]] (*h^2/4*);
In[38]:= v1c = P2c . PDPP/Norm[P2c . PDPP];
In[41]:= Dot[PDPP/Norm[PDPP], P1c . PDPP/Norm[PDPP]] (*-3h^2/4*);
In[43]:= v2c = P1c . PDPP/Norm[P1c . PDPP];
In[46]:= Dot[PUPP/Norm[PUPP], P2c . PUPP/Norm[PUPP]] (*h^2/4*);
In[48]:= v3c = P2c . PUPP/Norm[P2c . PUPP];
In[51]:= Dot[PUPP/Norm[PUPP], P1c . PUPP/Norm[PUPP]] (*-3h^2/4*);
In[53]:= v4c = P1c . PUPP/Norm[P1c . PUPP];
In[56]:= Dot[v1c, P1 . v1c]
Out[56]= 1/12
In[57]:= Dot[v2c, P1 . v2c]
Out[57]= 1/4
In[58]:= Dot[v3c, P1 . v3c]
Out[58]= 1/12
In[59]:= Dot[v4c, P1 . v4c]
Out[59]= 1/4
```

- (d) This is similar to Part (c), except that we need to take an extra step for another $\mathbf{S} \cdot \mathbf{S}$ measurement. To do this, let us find the probability of measuring $-3\hbar^2/4$ in the end. All the possible paths to get to this value are

$$\pm \frac{\hbar}{2} \rightarrow \left(\frac{-3\hbar^2}{4} \text{ or } \frac{\hbar^2}{4}\right) \rightarrow \left(\frac{-3\hbar^2}{4} \text{ or } \frac{\hbar^2}{4}\right) \rightarrow \frac{-3\hbar^2}{4}.$$

We need to compute four values in Mathematica (where \pm is once again used in the sense of XOR):

$$\begin{aligned}\Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{11}{12} \frac{1}{44} = \frac{1}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{1}{12} \frac{1}{4} = \frac{1}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{3}{4} \frac{1}{12} = \frac{1}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{1}{4} \frac{1}{4} = \frac{1}{128}\end{aligned}$$

Corresponding to each sequence above is one which ends in $\hbar^2/4$, with the following probabilities:

$$\begin{aligned}\Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{11}{12} \frac{43}{44} = \frac{43}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{3}{4} \frac{1}{12} \frac{3}{4} = \frac{3}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{3}{4} \frac{11}{12} = \frac{11}{128} \\ \Pr\left(\frac{\pm\hbar}{2} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{-3\hbar^2}{4} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \frac{1}{4} \frac{1}{4} \frac{3}{4} = \frac{3}{128}\end{aligned}$$

With these, we can find

$$\Pr\left(\frac{-3\hbar^2}{4}\right) = 2 \times \frac{1+1+1+1}{128} = \frac{1}{16} \quad \Pr\left(\frac{\hbar^2}{4}\right) = \frac{15}{16}$$

Mathematica code:

```
In[61]:= (*Part (d)*)

In[75]:= S12 = (h^2/4)*(T[T[X, X] + T[Y, Y] + T[Z, Z], Id], Id];

In[77]:= UPPP = Flatten[T[{1, 0}, T[XPlus, T[XPlus, XPlus]]]];

In[78]:= DPPP = Flatten[T[{0, 1}, T[XPlus, T[XPlus, XPlus]]]];

In[80]:= E12 = Eigenvectors[S12];

In[81]:= P1d =
Sum[Outer[Times, E12[[i]]/Norm[E12[[i]]],
E12[[i]]/Norm[E12[[i]]]], {i, 1, 4}];

In[82]:= P2d =
Sum[Outer[Times, E12[[i]]/Norm[E12[[i]]],
E12[[i]]/Norm[E12[[i]]]], {i, 5, 16}];

(*h/2 --> -3h^2/4*)

In[86]:= v1 = P1d . UPPP/Norm[P1d . UPPP];

(*h/2 --> -3h^2/4 --> -3h^2/4*)

In[87]:= v2 = P1c . v1/Norm[P1c . v1];

(*h/2 --> -3h^2/4 --> -3h^2/4 --> -3h^2/4*)

In[92]:= Dot[v2, P1 . v2]

Out[92]= 1/4

(*h/2 --> -3h^2/4 --> h^2/4*)

In[100]:= v3 = P2c . v1/Norm[P2c . v1];
```

```

(*h/2 --> -3h^2/4 --> h^2/4 --> -3h^2/4*)
In[101]:= Dot[v3, P1 . v3]
Out[101]= 1/12
(*h/2 --> h^2/4*)
In[104]:= v4 = P2d . UPPP/Norm[P2d . UPPP];
(*h/2 --> h^2/4 --> -3h^2/4*)
In[106]:= v5 = P1c . v4/Norm[P1c . v4];
(*h/2 --> h^2/4 --> -3h^2/4 --> -3h^2/4*)
In[108]:= Dot[v5, P1 . v5]
Out[108]= 1/4
(*h/2 --> h^2/4 --> h^2/4*)
In[111]:= v6 = P2c . v4/Norm[P2c . v4];
In[112]:= Dot[v6, P1 . v6]
Out[112]= 1/44

```

- (e) We notice that the previous two measurements $S_z^{(1)}$ and $\mathbf{S}^{(1)} \cdot \mathbf{S}^{(2)}$ do not affect the states of spins 3 and 4. This means that after the first two measurements, we have the same result as Part (b) but for spins 1 and 2.

$$\begin{aligned}
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle \uparrow + + + | P_{1e} | \uparrow + + + \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle \uparrow + + + | P_{2e} | \uparrow + + + \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{\hbar^2}{4}\right) &= \frac{1}{2} \langle \downarrow + + + | P_{1e} | \downarrow + + + \rangle = \frac{1}{2} \frac{1}{4} = \frac{1}{8} \\
\Pr\left(\frac{-\hbar}{2} \rightarrow \frac{-3\hbar^2}{4}\right) &= \frac{1}{2} \langle \downarrow + + + | P_{2e} | \downarrow + + + \rangle = \frac{1}{2} \frac{3}{4} = \frac{3}{8}
\end{aligned}$$

Since the $\mathbf{S}^{(3)} \cdot \mathbf{S}^{(4)}$ measurement has nothing to do with spins 1 and 2, the outcomes and probabilities of this measurement is the same as that for Part (a):

$$\Pr\left(\frac{-3\hbar^2}{4}\right) = 0 \qquad \Pr\left(\frac{\hbar^2}{4}\right) = 1$$

To verify, we can also calculate in Mathematica:

```

(*Part e*)
(*measuring (+)-eigenvector and find -3h^2/4 for S1S2*)
In[115]:= v1e = P1d . UPPP/Norm[P1d . UPPP];
(*measuring -3h^2/4 for S3S4?*)
In[116]:= Dot[v1e, P1 . v1e]
Out[116]= 0
In[129]:= (*measuring h^2/4 for S3S4?*)
In[119]:= Dot[v1e, P2 . v1e]
Out[119]= 1

```

```

In[134]:= (*measuring (+)-eigenvector and find h^2/4 for S1S2*)
In[117]:= v2e = P2d . UPPP/Norm[P2d . UPPP];
In[132]:= (*measuring -3h^2/4 for S3S4?*)
In[118]:= Dot[v2e, P1 . v2e]
Out[118]= 0
In[133]:= (*measuring h^2/4 for S3S4?*)
In[120]:= Dot[v2e, P2 . v2e]
Out[120]= 1
(*measuring (-)-eigenvector and find -3h^2/4 for S1S2*)
In[135]:= v3e = P1d . DPPP/Norm[P1d . DPPP];
In[138]:= (*measuring -3h^2/4 for S3S4?*)
In[136]:= Dot[v3e, P1 . v3e]
Out[136]= 0
In[139]:= (*measuring h^2/4 for S3S4?*)
In[137]:= Dot[v3e, P2 . v3e]
Out[137]= 1
In[140]:= (*measuring (-)-eigenvector and find h^2/4 for S1S2*)
In[122]:= v4e = P2d . DPPP/Norm[P2d . DPPP];
In[141]:= (*measuring -3h^2/4 for S3S4?*)
In[125]:= Dot[v4e, P1 . v4e]
Out[125]= 0
In[142]:= (*measuring h^2/4 for S3S4?*)
In[127]:= Dot[v4e, P2 . v4e]
Out[127]= 1

```

- (f) From Parts (b), (c), (d) we see that the probability that the final measurement gives $-3\hbar^2/4$ is precisely $1/2^n$ where n is the total number of particles measured in a similar pattern.