

MIDTERM

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MA434: Algebraic Geometry
March 9-?, 2020

Problem	Earned	Total
1		20
5		20
6		20
8		20
10		20
11		20
Total	/100	120

References

For this exam, I only referenced Gallian's *Contemporary Abstract Algebra*, 8th edition and my MA434 notes. I used Mathematica to perform some of the calculations.

Problem 1 (20 pts)

Suppose $f(X, Y, Z)$ is a homogeneous polynomial of degree n with coefficients in \mathbb{R} , so that we have $f(tX, tY, tZ) = t^n f(X, Y, Z)$. Show that

$$X \frac{\partial f}{\partial X} + Y \frac{\partial f}{\partial Y} + Z \frac{\partial f}{\partial Z} = n f.$$

(Hint: this is true for any differentiable function that satisfies the equation $f(tX, tY, tZ) = t^n f(X, Y, Z)$, not just for polynomials; use calculus.)

It's worth point out that this shows that if a point P satisfies

$$\left. \frac{\partial f}{\partial X} \right|_P = \left. \frac{\partial f}{\partial Y} \right|_P = \left. \frac{\partial f}{\partial Z} \right|_P = 0, \quad (1)$$

then P is automatically on the curve defined by $f(X, Y, Z) = 0$.

Solution: Let such a function f be given. Since f is a polynomial in X, Y, Z , it is an everywhere-differentiable function. This allows us to use calculus without “worries.” Consider the change of variables $(X, Y, Z) \xrightarrow{t} (X', Y', Z')$ given by $X' = tX, Y' = tY, Z' = tZ$. We look at the following chain of implications

$$\begin{aligned} f(X', Y', Z') &= t^n f(X, Y, Z), \quad (\text{hypothesis}) \\ \frac{\partial}{\partial t} f(X', Y', Z') &= \frac{\partial}{\partial t} [t^n f(X, Y, Z)] \\ \frac{\partial X'}{\partial t} \frac{\partial f}{\partial X'} + \frac{\partial Y'}{\partial t} \frac{\partial f}{\partial Y'} + \frac{\partial Z'}{\partial t} \frac{\partial f}{\partial Z'} &= n t^{n-1} f(X, Y, Z), \quad (\text{chain rule}) \\ X \frac{\partial f}{\partial X'} + Y \frac{\partial f}{\partial Y'} + Z \frac{\partial f}{\partial Z'} &= n t^{n-1} f(X, Y, Z) \end{aligned}$$

This last equality holds for all t . Setting $t = 1$, we have $X' = tX = X, Y' = Y, Z' = Z$, and thus it follows that

$$X \frac{\partial f}{\partial X} + Y \frac{\partial f}{\partial Y} + Z \frac{\partial f}{\partial Z} = n f(X, Y, Z).$$

For any point $P = (\bar{X}, \bar{Y}, \bar{Z})$ such that Eq. (1) is satisfied, $n f(P) = 0$ automatically and thus $f(P) = 0$, i.e., P is on the curve defined by $f(X, Y, Z) = 0$.

□

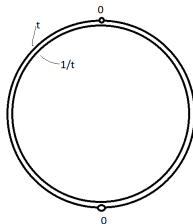
Problem 5 (20 pts)

This problem describes another way of thinking about the projective line $\mathbb{P}^1(k)$. Remember that the affine line $\mathbb{A}^1(k)$ is just another name for the field k . Any point in $\mathbb{P}^1(k)$ looks like $[u : v]$ with $u, v \in k$. Define the subsets $U = \{[u : v] \in \mathbb{P}^1(k) \mid v \neq 0\}$ and $V = \{[u : v] \in \mathbb{P}^1(k) \mid u \neq 0\}$.

- If $[u : v] \in U$, define $f([u : v]) = u/v$. Show: f is a bijection between U and $\mathbb{A}^1(k)$.
- If $[u : v] \in V$, define $g([u : v]) = v/u$. Show: g is a bijection between V and $\mathbb{A}^1(k)$.
- Suppose $t \in \mathbb{A}^1(k)$, $t \neq 0$. What is $f(g^{-1}(t))$?
- Explain how this means we can think of $\mathbb{P}^1(k)$ as the result of gluing two copies of $\mathbb{A}^1(k)$ along the subsets $\mathbb{A}^1(k) \setminus \{0\}$ via the function $t \rightarrow 1/t$.

Solution:

- 1-to-1: Let $u/v = u'/v' \in \mathbb{A}^1(k)$ be given ($v, v' \neq 0$), then clearly $[u : v] = [u' : v'] \in U$, by definition. So f is injective.
 - Onto: Any element of $\mathbb{A}^1(k)$ can be written as u/v for some $u, v \in \mathbb{A}^1(k)$ where $v \neq 0$. Then $[u : v] \in U$ is an element such that $f([u : v]) = u/v$.
- 1-to-1: Let $v/u = v'/u' \in \mathbb{A}^1(k)$ be given ($u, u' \neq 0$), then clearly $[u : v] = [u' : v'] \in V$, by definition. So g is injective.
 - Onto: Any element of $\mathbb{A}^1(k)$ can be written as v/u for some $v, u \in \mathbb{A}^1(k)$ where $u \neq 0$. Then $[u : v] \in V$ is an element such that $g([u : v]) = v/u$.
- Let $t \in \mathbb{A}^1(k)$ be given. Then $g^{-1}(t) = [u : v] \in V$, where $u \neq 0$ and $v/u = t$. It follows that $f(g^{-1}(t)) = f([u : v]) = u/v = 1/t$.
- We can think of $\mathbb{P}^1(k)$ as the result of gluing two copies of $\mathbb{A}^1(k)$ along the subsets $\mathbb{A}^1(k) \setminus \{0\}$ via $t \rightarrow 1/t$ given by $f \circ g^{-1} : \mathbb{A}^1(k) \setminus \{0\} \rightarrow \mathbb{A}^1(k) \setminus \{0\}$ (which is bijective). Pictorially, the “gluing” action looks like this:



With f , we can identify almost all points (except for those with $v = 0$) in $\mathbb{P}^1(k)$ with points in $\mathbb{A}^1(k)$. With g , we can identify almost all points (except for those with $u = 0$) in $\mathbb{P}^1(k)$ with points in $\mathbb{A}^1(k)$. To identify *every* point in $\mathbb{P}^1(k)$ using $\mathbb{A}^1(k)$ we can “glue” (parts of) the images of f and g together. We do this using $f \circ g^{-1}$ to identify (i.e. defining an equivalence relation between) $t \in \mathbb{A}^1(k)$ with $1/t \in \mathbb{A}^1(k)$, $t \neq 0$. This way, we can “cover” the entire $\mathbb{P}^1(k)$ with two copies of $\mathbb{A}^1(k) \setminus \{0\}$. \square

Problem 6 (20 pts)

Let E be the cubic in $\mathbb{P}^2(\mathbb{Q})$ defined by the affine equation in Weierstrass form

$$y^2 = x^3 + x + 1.$$

The point $P = (0, 1)$ is on E . Use the group law to compute $2P$, $3P$, and $4P$. (The numbers will get ugly, so use software. It's ok to use *Sage's* built-in functions if you can figure out how to do it.)

Solution:

2P To find $2P$, we want to find the inverse of the third intersection of the tangent line to E through $P = (0, 1)$. Let $f(x, y) = y^2 - x^3 - x - 1$. This tangent line is given by

$$\begin{aligned} \frac{\partial f}{\partial x}(P)(x-0) + \frac{\partial f}{\partial y}(P)(y-1) &= 0 \\ (-3 \cdot 0^2 - 1)x + 2(y-1) &= 0 \implies y = \frac{1}{2}x + 1 \end{aligned}$$

The third intersection (since P is a double intersection) of the tangent line and E :

$$\left(\frac{1}{2}x + 1\right)^2 = x^3 + x + 1, \quad \text{with } x \neq 0 \iff x = \frac{1}{4} \implies y = \frac{1}{2} \cdot \frac{1}{4} + 1 = \frac{9}{8}.$$

$2P$ is the inverse of this point (obtained by flipping the sign of the y -coordinate):

$$2P = \left(\frac{1}{4}, -\frac{9}{8}\right)$$

Mathematica code:

```
Solve[((1/2) x + 1)^2 == x^3 + x + 1, x]
{{x -> 0}, {x -> 0}, {x -> 1/4}}
```

3P We repeat this process for $3P$. The line through P and $2P$ is given by

$$y = -\frac{17}{2}x + 1.$$

We rely on Mathematica to find the third intersection of this line with E . Taking the inverse of this third point, we get $3P$:

$$3P = (72, +611)$$

Mathematic code:

```
Solve[(-(17/2) x + 1)^2 == x^3 + x + 1, x]
{{x -> 0}, {x -> 1/4}, {x -> 72}}

-(17/2) 72 + 1
-611
```

$4P$ We do this once again to find $4P$. The line through $3P$ and P is given by

$$y = \frac{610}{72}x + 1.$$

(where I'm leaving the fraction unsimplified to make checking easier). Using Mathematica, we find the third intersection of this line with E . Taking the inverse of this third point, we get $4P$:

$$4P = \left(\frac{-287}{1296}, \frac{40879}{46656} \right)$$

Mathematica code:

```
Solve[((610/72) x + 1)^2 == x^3 + x + 1, x]
{{x -> -(287/1296)}, {x -> 0}, {x -> 72}}
```

```
(610/72) (-(287/1296)) + 1
-(40879/46656)
```

$4P$, bis As a check, we can find $4P$ via $2P + 2P$ as well. In this case, we consider the line through $2P$ tangent to E . This line is given by

$$\left(-3 \cdot \left[\frac{1}{4} \right]^2 - 1 \right) \left(x - \frac{1}{4} \right) + 2 \left(\frac{-9}{8} \right) \left(y + \frac{9}{8} \right) = 0 \implies y = -\frac{19}{36}x - \frac{143}{144}.$$

We find the third intersection of this line and E and invert it to get the same $4P$, as expected.

Mathematica code:

```
Solve[(-(143/144) - (19 x)/36)^2 == x^3 + x + 1, x]
{{x -> -(287/1296)}, {x -> 1/4}, {x -> 1/4}}
```

```
-(143/144) - (19 (-(287/1296)))/36
-(40879/46656)
```

Problem 8 (20 pts)

(Gauss's Lemma) Suppose R is a UFD and K is its field of fractions. We want to compare factorizations in $R[x]$ and in $K[x]$. Let $f(x) \in R[x]$ and suppose we have $g(x), h(x) \in K[x]$ such that $f(x) = g(x)h(x)$. Show that there exists $a \in K$ such that $\tilde{g}(x) = ag(x) \in R[x]$, and $\tilde{h}(x) = \frac{1}{a}h(x) \in R[x]$, and so $f(x) = \tilde{g}(x)\tilde{h}(x)$ is a factorization in $R[x]$. (It's useful to remember that in a UFD every irreducible element is prime and that if D is a domain so is $D[x]$.)

Solution: (inspired by the proofs of Gauss's Lemma & reducibility over $\mathbb{Q}[x] \implies$ reducibility over $\mathbb{Z}[x]$ by Gallian) Let any $f(x) \in R[x]$ be given. We can factor out the content $c \in R$ of $f(x)$ so that $f(x) = cf_1(x)$ where f_1 is *primitive* (i.e., the coefficients of $f_1(x)$ have no irreducible factors in common). We first want to show that the product of two primitive polynomials is primitive.

To prove: The product of two primitive polynomials is primitive.

Let $\tilde{f}(x), \tilde{g}(x) \in R[x]$ be primitive polynomials. Suppose (to get a contradiction) that $\tilde{f}(x)\tilde{g}(x)$ is not primitive. Let p be an irreducible element of R (hence prime because R is a UFD) such that p divides the "gcd" of the coefficients of $\tilde{f}(x)\tilde{g}(x)$. Let $\bar{\tilde{f}}(x), \bar{\tilde{g}}(x)$, and $\overline{\tilde{f}(x)\tilde{g}(x)}$ be the polynomials obtained from $\tilde{f}(x), \tilde{g}(x)$, and $\tilde{f}(x)\tilde{g}(x)$ by reducing the coefficients "mod" p .

We consider the function $\phi : R[x] \rightarrow R_p[x]$ defined by

$$\phi\left(\sum_{i=1}^n a_i x^i\right) = \sum_{i=1}^n \bar{a}_i x^i$$

where $\bar{a} = a \pmod{p}$. This is a ring homomorphism:

- $\phi(\tilde{f} + \tilde{g}) = \phi(\tilde{f}) + \phi(\tilde{g})$:

$$\phi\left(\sum_{i=1}^n a_i x^i + \sum_{i=1}^m b_i x^i\right) = \sum_{i=1}^n \bar{a}_i x^i + \sum_{i=1}^m \bar{b}_i x^i = \phi\left(\sum_{i=1}^n a_i x^i\right) + \phi\left(\sum_{i=1}^m b_i x^i\right).$$

- $\phi(\tilde{f}\tilde{g}) = \phi(\tilde{f})\phi(\tilde{g})$:

$$\phi\left(\sum_{i=1}^n a_i x^i \cdot \sum_{j=1}^m b_j x^j\right) = \sum_{i=1}^n \sum_{j=1}^m \bar{a}_i \bar{b}_j x^{i+j} = \phi\left(\sum_{i=1}^n a_i x^i\right) \phi\left(\sum_{j=1}^m b_j x^j\right).$$

So, $\bar{\tilde{f}}(x)$ and $\bar{\tilde{g}}(x)$ belong to $R_p[x]$, which we can see is an integral domain. Further, because the coefficients of $\tilde{f}(x)\tilde{g}(x)$ have p as a common factor (assumption), $\bar{\tilde{f}(x)\tilde{g}(x)} = \overline{\tilde{f}(x)\tilde{g}(x)} = 0$, the zero element of $R_p[x]$. Therefore, $\bar{\tilde{f}}(x) = 0$ or $\bar{\tilde{g}}(x) = 0$, and so p divides every coefficient of $\tilde{f}(x)$ or p divides every coefficient of $\tilde{g}(x)$. This implies that either $\tilde{f}(x)$ is not primitive or $\tilde{g}(x)$ is not primitive. This contradicts our initial assumption. So $\tilde{f}(x)\tilde{g}(x)$ must be primitive. \triangle

Back to our proof. Suppose we have $g(x), h(x) \in K[x]$ such that

$$f_1(x) = g(x)h(x) \in R[x]$$

(remember that $f_1(x)$ is the primitive polynomial constructed from $f(x)$). Let γ be the “lcm” of the denominators of the coefficients of $g(x)$, and η the “lcm” of the denominators of the coefficients of $h(x)$. Then we have $\gamma\eta f_1(x) = \gamma g(x) \cdot \eta h(x)$, where $\gamma g(x), \eta h(x) \in R[x]$. Let c_1 be the content of $\gamma g(x)$ and c_2 the content of $\eta h(x)$. Then,

$$\begin{aligned}\gamma g(x) &= c_1 \tilde{g}(x) \\ \eta h(x) &= c_2 \tilde{h}(x)\end{aligned}$$

where both \tilde{g}, \tilde{h} are primitive polynomials in $R[x]$. With this, we have

$$\gamma\eta f_1(x) = c_1 c_2 \tilde{g}(x) \tilde{h}(x). \quad (2)$$

Now, $f_1(x)$ is primitive, so the content of $\gamma\eta f_1(x)$ is $\gamma\eta$. $\tilde{g}(x)\tilde{h}(x)$ is primitive (because $\tilde{g}(x), \tilde{h}(x)$ are primitive), so the content of $\gamma\eta \tilde{g}(x)\tilde{h}(x)$ is $\gamma\eta$. From here, we see that $\gamma\eta = c_1 c_2$, and thus $f_1(x) = \tilde{g}(x)\tilde{h}(x) \in R[x]$. In particular, because $\gamma\eta = c_1 c_2$, we can call

$$a = \frac{\gamma}{c_1} = \frac{c_2}{\eta} \in K,$$

so that we can write, from Eq. (2),

$$f_1(x) = \tilde{g}(x)\tilde{h}(x) = \frac{\gamma}{c_1} \tilde{g}(x) \frac{c_2}{\eta} \tilde{h}(x) = a g(x) \frac{1}{a} h(x).$$

Obviously,

$$\begin{aligned}a g(x) &= \frac{\gamma}{c_1} g(x) = \tilde{g}(x) \in R[x] \\ \frac{1}{a} h(x) &= \frac{\eta}{c_2} h(x) = \tilde{h}(x) \in R[x].\end{aligned}$$

So, we have shown that there exists $a \in K$ such that $\tilde{g}(x) = a g(x) \in R[x]$, $\tilde{h}(x) = \frac{1}{a} h(x) \in R[x]$, and thus $f_1(x) = \tilde{g}(x)\tilde{h}(x)$ is a factorization in $R[x]$. To recover $f(x)$ from $f_1(x)$ we can just let $\tilde{g}(x)$ absorb the content c of $f(x)$. Because $\tilde{g} \rightarrow c\tilde{g}$ must still be in $R[x]$, we get the factorization $f(x) = \tilde{g}(x)\tilde{h}(x)$ in $R[x]$.

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Problem 10 (20 pts)

Let \mathcal{C} be the curve in \mathbb{P}^2 whose affine equation is $y^2 = x^3 + x^2$. This is the nodal cubic we studied in section 2.1. Show that the line $y = tx$ has a double intersection with \mathcal{C} at $(0, 0)$ and find the third point of intersection. Check that this gives the parameterization in 2.1. What happens when $t = \pm 1$?

Solution: The x -coordinate of any intersection of the line $y = tx$ and the nodal cubic $y^2 = x^3 + x^2$ satisfies the equation:

$$\begin{aligned} (tx)^2 = x^3 + x^2 &\iff x^3 + (1 - t^2)x^2 = 0 \\ &\iff x^2(x + 1 - t^2) = 0. \end{aligned} \tag{3}$$

Clearly, there is a double root at $x = 0$. Thus, the point $(x, tx) = (0, 0)$ is a double intersection.

The x -coordinate of the third point of intersection solves the equation $x + 1 - t^2 = 0 \iff x = t^2 - 1$. Plugging this into the equation for the line, we get the third point of intersection:

$$(x, y) = (t^2 - 1, t^3 - t).$$

This is exactly the parameterization in 2.1. of Reid's.

When $t = \pm 1$, the third point of intersection is once again $(0, 0)$, making $(0, 0)$ a triple intersection (since Eq. (3) now becomes $x^3 = 0$). Both the lines $y = x$ and $y = -x$ are tangents to \mathcal{C} at $(0, 0)$. Intuitively, we can think about the triple intersection as three intersections, one of which due to one "branch" of the cubic and the other two is a double root on the other "branch." If we associate each line $y = \pm x$ to the correct "branch" of the cubic, we see that they are both tangent lines.

To see this more explicitly, we can consider the "branch" given by the parameterization:

$$t \rightarrow \begin{cases} (t, \sqrt{t^3 + t^2}), & t \geq 0 \\ (t, -\sqrt{t^3 + t^2}), & t < 0 \end{cases}$$

The line $y = x$ is tangent to this branch of \mathcal{C} at $(0, 0)$. We can see that

$$\lim_{h \downarrow 0} \frac{\sqrt{h^3 + h^2} - 0}{h} = 1 = \lim_{h \uparrow 0} \frac{-\sqrt{h^3 + h^2} - 0}{h},$$

which implies the slope of this branch at $(0, 0)$ is 1, and so we see that $y = x$ is tangent to \mathcal{C} here. Following a similar argument, we can see that $y = -x$ is tangent to the other branch of this cubic, again at $(0, 0)$.

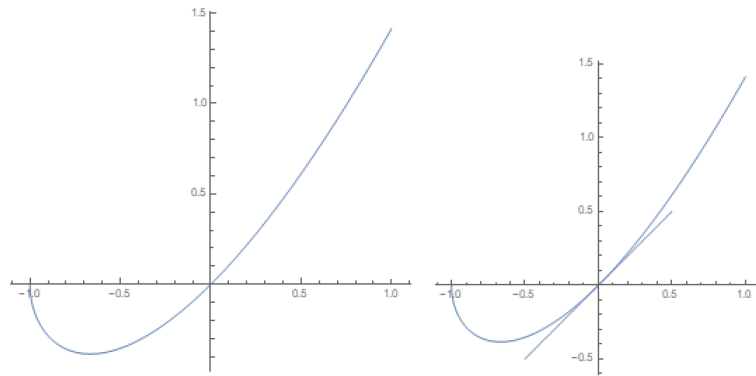


Figure 1: A “branch” of the nodal cubic and the tangent line $y = x$

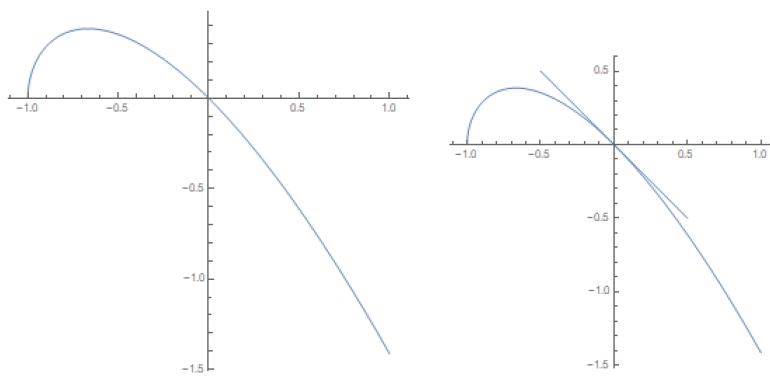


Figure 2: Another “branch” of the nodal cubic and the tangent line $y = -x$

Putting these pictures together we get two distinct tangents at $(0,0)$:

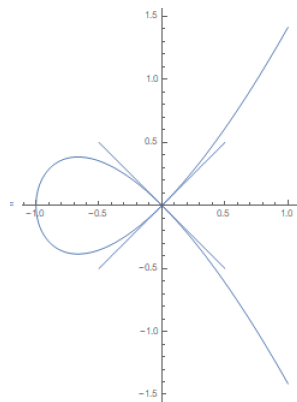


Figure 3: Another “branch” of the nodal cubic and the tangent line $y = -x$

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Problem 11 (20 pts)

With \mathcal{C} as in the previous problem, let $\mathcal{C}(k)$ be the set of points on \mathcal{C} with coefficients in k (including the point at infinity), and let $\mathcal{C}'(k) = \mathcal{C}(k) \setminus \{(0,0)\}$. (So $\mathcal{C}'(k)$ is the set of points on \mathcal{C} where there is a unique tangent.) We want to try to define a group structure using the same method as for nonsingular cubics.

- Let A be a point in $\mathcal{C}(k)$ and let $P = (0,0)$. Let \mathcal{L} be the line through A and P . What is the third intersection of \mathcal{L} and \mathcal{C} ?
- Explain why the point P is problematic if we want a group structure.
- Suppose $A, B \in \mathcal{C}'(k)$, and let \mathcal{L} be the line through A and B . Show that the third intersection of \mathcal{L} with \mathcal{C} is in $\mathcal{C}'(k)$.
- Explain why this gives a group law on $\mathcal{C}'(k)$.

(It turns out that this group law $\mathcal{C}'(k) \cong k^\times$, but this is a little hard to prove.)

Solution: Here, we remove the “bad” point $(0,0)$ at which there exist distinct tangent lines. We hope to (and we do) get a group law on $\mathcal{C}'(k) = \mathcal{C}(k) \setminus \{(0,0)\}$ by doing this.

- The line L through P is a line through the origin $P = (0,0)$, so it must have the form $y = tx$. If $A \neq P = (0,0)$ then the third point of intersection is once again P , since (by Problem 10) the line $y = tx$ has a double intersection with \mathcal{C} . If $A = P$ then the third point of intersection has the coordinates $(t^2 - 1, t^3 - t)$. If $t = \pm 1$ then this third point is once again P (triple intersection).
- Essentially, the point P is problematic because there isn't a unique tangent line to \mathcal{C} at P . When $P = (0,0)$ is included, addition in the group law is no longer well-defined—exactly because (as we have seen in Problem 10) there are two distinct tangent lines to \mathcal{C} through P .
- Let $A, B \in \mathcal{C}'(k)$ be given. If $A \neq B$, we can write down the equation for the line \mathcal{L} going through A and B . This equation has some form $y = \alpha x + \beta$ where $\alpha, \beta \in k$. After plugging this into $y^2 = x^3 + x^2$, we can simplify and have the factorization $(x - x_A)(x - x_B)(x - x_G) = 0$ for some x_G since we know x_A and x_B solve this equation. Expanding this equation, we have

$$0 = (x - x_A)(x - x_B)(x - x_G) = x^3 - x^2(x_A + x_B + x_G) + \dots \quad (4)$$

We know that $x_A + x_B + x_G \in k$ necessarily. Further, because $A, B \in \mathcal{C}'(k)$, $x_A + x_B \in k$. Thus, $x_G \in k$. With this, we see that $y_G = \alpha x_G + \beta \in k$ as well. So, the coordinates of the third intersection G of \mathcal{L} with \mathcal{C} are elements of k , i.e., $G \in \mathcal{C}'(k)$.

If $A = B$, then because $A, B \neq (0,0)$, there exists a unique tangent line which contains a third unique intersection with \mathcal{C} . Following a similar argument, but with $(x - x_A)^2(x - x_G) = 0$ (double intersection at $A = B$), we once again see that $G \in \mathcal{C}'(k)$.

- (d) If we let the identity element be the point at infinity and construct a similar group operation to what we did with nonsingular cubics, we get a group law on $\mathcal{C}'(k)$. Here's why: in the previous items we have shown that the group operation is well-defined by disregarding $(0,0)$. The zero element is once again the point at infinity, which allows us to find, for each point in $\mathcal{C}'(k)$, an additive inverse by flipping the sign of the y -coordinate. Commutativity and associativity follows in the same manner as in the (simplified) group law. Clearly, if we have two points A, B , then $A + B$ is defined as the inverse of the (unique) intersection of the line through A, B and \mathcal{C} . So $A + B = B + A$. Associativity is harder to show, but it is just a special case of showing associativity in the general group law.

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