Low-Energy Polymeric Phases of Alanates

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Low-energy structures of alanates are currently known to be described by patterns of isolated, nearly ideal tetrahedral [AlH₄] anions and metal cations. We discover that the novel polymeric motif recently proposed for LiAlH₄ plays a dominant role in a series of alanates, including LiAlH₄, NaAlH₄, KAlH₄, Mg(AlH₄)₂, Ca(AlH₄)₂, and Sr(AlH₄)₂. In particular, most of the low-energy structures discovered for the whole series are characterized by networks of corner-sharing [AlH₆] octahedra, forming wires and/or planes throughout the materials. Finally, for Mg(AlH₄)₂ and Sr(AlH₄)₂, we identify two polymeric phases to be lowest in energy at low temperatures.

DOI: 10.1103/PhysRevLett.110.135502 PACS numbers: 61.66.-f, 61.05.cp, 63.20.dk

Hydrogen is a compelling alternative to fossil fuels as it can deliver clean energy and is readily available in large quantities. Solid state hydrides for hydrogen storage as intended on board vehicles need to provide high gravimetric hydrogen density (≥ 5.5 wt%), reasonable decomposition temperatures (≤ 100 °C), and full reversibility [1,2]. Tremendous research efforts have been devoted to metal alanates $M(AlH_4)_n$ (where M is a metal cation of valence n) as promising candidates. At low temperatures, alanates are known to crystallize in phases which are characterized by patterns of isolated, nearly ideal tetrahedral [AlH₄] anions and M^{n+} cations [3–17]. Recently, low-energy phases were predicted for LiAlH₄ with a novel structural motif [18]. In these phases, networks of corner-sharing [AlH₆] octahedra form wires and planes throughout the material. We will hereafter refer to such phases as "polymeric phases" while the expression "isolated phases" will be used for structures characterized by isolated [AlH₄] tetrahedra.

The discovery of energetically favorable polymeric phases in LiAlH₄ may have an impact on the controversy over the stability of this compound [4,19,20]. Furthermore, the [AlH₆] octahedra may influence the kinetics of the dehydrogenation process [21,22]

$$3MAIH_4 \rightarrow M_3AIH_6 + 2AI + 3H_2$$
 (M = Li, Na, K), (1)

since the same [AlH₆] octahedra have been reported in Li₃AlH₆ [4,23]. This behavior could be transferred to other alanates and may be helpful in, for example, improving their stability at ambient conditions, e.g., Mg(AlH₄)₂ [9,10]. Also, the [AlH₆] octahedra have been observed in the dehydrogenation products of many other alanates, such as Na₃AlH₆ [24] and K₃AlH₆ [15,22] from NaAlH₄ and KAlH₄ via reaction (1) and CaAlH₅ and SrAlH₅ from Ca(AlH₄)₂ and Sr(AlH₄)₂ via reaction (2), respectively, [11,17,25–28]

$$2M(AlH_4)_2 \rightarrow 2MAlH_5 + 2Al + 3H_2$$
 (M = Ca, Sr). (2)

These similarities strongly suggest that polymerization of [AlH₄] in alanates may influence the dehydrogenation processes of such compounds.

In this Letter, we investigate the low-energy polymeric phases of a series of six alanates, including three alkali metal alanates, LiAlH₄, NaAlH₄, KAlH₄, and three alkaline earth metal alanates, $Mg(AlH_4)_2$, $Ca(AlH_4)_2$, $Sr(AlH_4)_2$. The first-principles calculations in this work were performed at the density functional theory (DFT) [29,30] level using the ABINIT package [31–33]. We used the generalized gradient approximation, the Perdew-Burke-Ernzerhof (PBE) exchange-correlation functional [34], and the normconserving Hartwigsen-Goedecker-Hutter pseudopotentials [35] for total energy and linear response phonon calculations. The plane-wave cutoff energy was 1600 eV while a Monkhorst-Pack k-point mesh [36] was chosen for each structure, ensuring the convergence of the total energy to be better than 1 meV/atom. Atomic and cell variables were simultaneously relaxed until all the residual force and stress components were smaller than $10^{-3} \, \text{eV} \times \text{Å}^{-1}$ and $10^{-4} \text{eV} \times \text{Å}^{-3}$, respectively. Additional calculations with PBEsol [37] and local-density approximation (LDA) exchange-correlation functionals were performed to confirm the energetic orderings of the examined phases.

The recently generalized minima-hopping method (MHM) [38,39] was used to predict novel crystal structures. The DFT-energy landscape is thereby explored by short consecutive molecular dynamics steps followed by local geometry relaxations. The initial velocities for the molecular dynamics runs are chosen approximately along soft mode directions, allowing efficient escapes from local minima, and aiming toward the global minimum. The predictive power of the MHM has already been demonstrated in a wide range of applications [18,40–46].

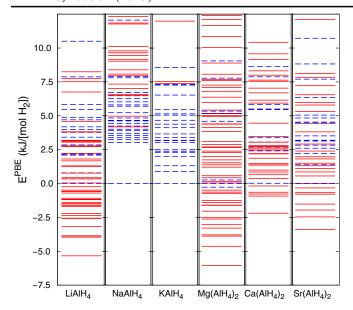


FIG. 1 (color online). Low-energy spectra of LiAlH₄, NaAlH₄, KAlH₄, Mg(AlH₄)₂, Ca(AlH₄)₂, and Sr(AlH₄)₂. Dashed-blue and solid-red lines represent the isolated and polymeric phases. Energies are given in units of kJ/[mol H₂] with respect to those of the reference phases (see the text).

We performed several MHM simulations with up to 4 f.u. per cell for LiAlH₄, NaAlH₄, and KAlH₄, and with up to 2 f.u. per cell for Mg(AlH₄)₂, Ca(AlH₄)₂, and Sr(AlH₄)₂, starting from random input structures. For a given number of f.u. per cell, our runs recovered nearly all the isolated structures reported for the alanates. Of them, $P2_1/c$ structure of LiAlH₄ [3,4], $I4_1/a$ structure of NaAlH₄ [5], Pnma structure of KAlH₄ [7], and $P\bar{3}m1$ structure of Mg(AlH₄)₂ [8,9] were experimentally observed while Cmcm structure of NaAlH₄ [6] was theoretically predicted. The Pcba structure of Ca(AlH₄)₂ [11] could obviously not be found since it has 8 f.u. per primitive cell. For Sr(AlH₄)₂,

no conclusive crystal structure is available in the literature to the best of our knowledge [17].

Furthermore, we discovered a large number of novel low-energy structures for all the compounds. The energy spectra of these phases are shown in Fig. 1 (without zero-point correction $E_{\rm ZP}$) with respect to the reference structures, chosen to be the most stable reported isolated phases. For ${\rm Sr}({\rm AlH_4})_2$, the lowest isolated phase (space group $P\bar{1}$) from our predictions in ${\rm Sr}({\rm AlH_4})_2$ was used as reference [47]. Although several polymeric phases were found for NaAlH₄ and KAlH₄, their most stable phases are isolated. On the other hand, a large number of polymeric phases were discovered for LiAlH₄, Mg(AlH₄)₂, Ca(AlH₄)₂, and ${\rm Sr}({\rm AlH_4})_2$, dominating their low-energy configurational space. The geometry of the most stable polymeric phase for each alanate is shown in Fig. 2.

A summary of the energetic and structural properties of the most stable polymeric phases is given in Table I (see also the Supplemental Material [47]). For these structures, the total energies E^{PBE} , E^{PBEsol} , and E^{LDA} were obtained with the PBE, PBEsol, and LDA exchange-correlation functionals, respectively, while $E_{\rm ZP}$ was calculated with the PBE functional and included in E_{ZP}^{PBE} . Without E_{ZP} , the energetic orderings are invariant with respect to the different employed exchange-correlation functionals. However, $E_{\rm ZP}$ was found to be important and may change the energetic orderings, i.e., with $E_{\rm ZP}$, the most stable phases of $NaAlH_4$ ($I4_1/a$), $KAlH_4$ (Pnma), and $Ca(AlH_4)_2$ (Pcba) are isolated while the most stable phases of $LiAlH_4$ $(P2_1/c)$, $Mg(AlH_4)_2$ $(P2_1)$, and $Sr(AlH_4)_2$ (Pm)are polymeric. Although the generalized gradient approximation systematically underestimates the decomposition enthalpy of many compounds by $\sim 10 \text{ kJ/mol H}_2$ [48,49], it is expected to play no role in the energetic stability of isolated versus polymeric phases. The simulated x-ray diffraction spectra were compared to experimental results and can be found in the Supplemental Material [47].

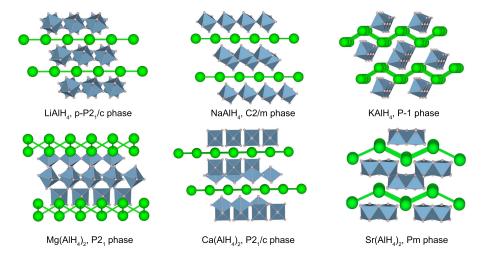


FIG. 2 (color online). Most stable polymeric phases of LiAlH₄, NaAlH₄, KAlH₄, Mg(AlH₄)₂, Ca(AlH₄)₂, and Sr(AlH₄)₂. Green spheres represent metal cations while octahedra are formed by [AlH₆] complexes. Space groups are also given.

TABLE I. Summary of the three most stable polymeric phases of each alanate. Total energies $E^{\rm PBE}$, $E^{\rm PBEsol}$ and $E^{\rm LDA}$ are obtained with PBE, PBEsol, and LDA exchange-correlation functionals. Zero-point energies $E_{\rm ZP}$ are included in $E_{\rm ZP}^{\rm PBE}$. The energies are given in units of kJ/[mol H₂] with respect to the reference phases. Space group numbers are given in parentheses.

Compound	Space group	E^{PBE}	$E_{ m ZP}^{ m PBE}$	E^{PBEsol}	E^{LDA}
LiAlH ₄	$P2_1/c$ (14)	-5.32	-3.07	-12.15	-12.38
	$P2_1$ (4)	-3.94	-1.65	-11.12	-11.33
	Pnc2 (30)	-3.92	-1.49	-11.44	-11.72
NaAlH ₄	C2/m (12)	4.07	5.28	3.31	2.67
	$P\bar{1}$ (2)	5.03	6.66	3.89	3.81
	C2(5)	6.30	7.57	5.32	0.21
$KAlH_4$	$P\bar{1}$ (2)	7.37	10.45	0.84	-0.37
	Ama2 (40)	7.56	10.00	3.70	3.60
	<i>Cmcm</i> (63)	11.99	14.01	5.56	3.69
$Mg(AlH_4)_2$	$P2_1$ (4)	-6.07	-2.53	-16.29	-18.38
	P2 (3)	-4.59	-0.79	-13.88	-16.74
	C2/m (12)	-3.89	-0.09	-12.84	-15.07
$Ca(AlH_4)_2$	$P2_1/c$ (14)	-2.17	0.48	-12.15	-14.26
	C2(5)	-0.85	1.72	-11.04	-13.19
	Pm~(6)	-0.77	1.86	-11.74	-14.54
$Sr(AlH_4)_2$	Pm~(6)	-3.49	-1.18	-8.94	-9.70
	$P2_1/c$ (14)	-2.56	-0.67	-5.85	-5.70
	P1 (2)	-2.05	0.38	-6.75	-7.30

In polymeric phases, the negatively charged [AlH₆] octahedra are linked together by sharing their corners to form networks of wires and/or planes throughout the material. The octahedra in alanates share the same geometrical structure: the Al atom at the center is surrounded by four H atoms on a plane while the other two H atoms form Al-H bonds nearly perpendicular to the plane. To estimate the charge transfer onto the [AlH₆] polymers, we performed a Bader charge analysis calculation on the $P2_1$ phase of Mg(AlH₄)₂ and on the Pm phase of Sr(AlH₄)₂ using the AIM utility of the ABINIT package. We found that a charge of $-1.65q_e$ and $-1.36q_e$ is transferred from a Mg and Sr atom to the [AlH₆] complexes, respectively, clearly indicating that the polymeric substructures themselves carry a strong electric charged.

The [AlH₆] octahedral geometry, shown [49] to be a consequence of the hybridization of the H 1s and Al 3d orbitals in Na₃AlH₆, is a common feature of the alanates. This geometry clearly distinguishes the alanates from complex borohydrides, e.g., LiBH₄, of which only [BH₄] tetrahedra were observed. In such compounds, the similar [BH₆] octahedral geometry is unfavorable because the 3d state has a much higher energy than the 2s and 2p states in B [49].

We further analyzed structural and energetic relationships between the alanates. For three lowest-energy phases of each alanate, we replaced the cation by the two other cations of the same valence, and then a small step-size local geometry relaxation was performed. The energetic ordering of the relaxed structures is shown in Fig. 3. There is no clear

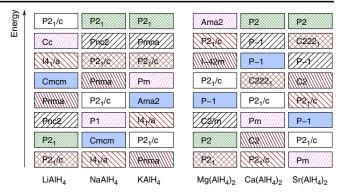


FIG. 3 (color online). Energetic ordering and space groups of the structures obtained by substituting the cations of the alanates within a group. For each of the two groups (M = Li, Na, K and M = Mg, Ca, Sr) structures with identical colors and patterns have the same origin.

rank correlation of the three alanates within a group. We obtained a geometric average of $\tau=0.47$ for the Kendall tau rank correlation for the group of monovalent metal alanates and $\tau=0.44$ for the divalent group. In particular, the ground state structures are completely unrelated to each other. Therefore, database searching methods which are often based on exchanging cations of ground state phases in another compound are bound to fail to predict the correct structure, emphasizing the need for unconstrained and systematic structure prediction algorithms.

In order to investigate the dynamical stability we performed linear response phonon calculations for all the polymeric phases shown in Table I. No imaginary phonon modes were observed in the whole Brillouin zones, indicating that all phases are dynamically stable. The zero-temperature densities of phonon states $\rho_{\rm ph}(\omega)$ of these phases are given in the Supplemental Material [47]. The partial and total densities of phonon states of the polymeric $P2_1$ phase and the isolated $P\bar{3}m1$ phase of Mg(AlH₄)₂ are

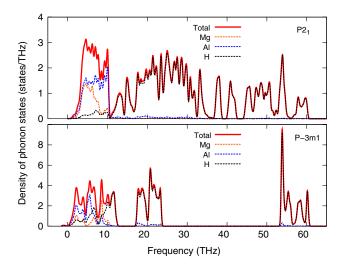


FIG. 4 (color online). Densities of phonon states (partial and total) for 2 f.u. of $Mg(AlH_4)_2$ in $P2_1$ and $P\bar{3}m1$ phases.

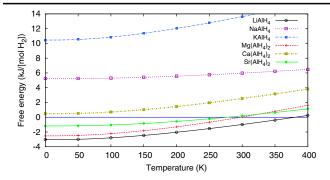


FIG. 5 (color online). Free energy of the lowest polymeric structures of the alanates, given with respect to that of the corresponding isolated reference structures.

compared in Fig. 4. In both phases, three frequency ranges (below 12 THz, 12-40 THz, and above 40 THz) correspond to the Mg/Al framework vibrations, the molecular libration and Al-H bending modes, and the Al-H stretching modes, respectively. Because the [AlH₄] tetrahedra in the $P\bar{3}m1$ phase are isolated and essentially independent, these frequency ranges are localized in narrow energy windows and are clearly distinct. On the other hand, the librational, the Al-H bending, and the Al-H stretching frequencies of the $P2_1$ phase are smeared out in a wide energy range. This behavior is a consequence of the polymeric motifs of the [AlH₆] octahedra, which are linked together by the H atoms at several corners. Therefore, the contribution of the zero-point vibrational energy at 0 K of any polymeric phase, quantified by $E_{\rm ZP} \equiv \int_0^\infty d\omega \left[\frac{\omega}{2} \rho_{\rm ph}(\omega)\right]$, is larger than in isolated phases, as shown in Table I.

At finite temperatures, the significance of the vibrational energy becomes even more apparent. Figure 5 shows the free energies of the most stable polymeric phases of the alanates, given with respect to the reference phases. All polymeric phases become monotonically less (thermodynamically) stable as the temperature increases. This behavior demonstrates that the vibrational contribution to the free energy of any polymeric phase grows faster than in the corresponding isolated reference phase. For LiAlH₄, $Mg(AlH_4)_2$, and $Sr(AlH_4)_2$, the $P2_1/c$, $P2_1$, and Pmphases become less stable than the corresponding reference phases above 380, 300, and 280 K, respectively. On the other hand, the P2₁ phase of Mg(AlH₄)₂ could enhance the stability of Mg(AlH₄)₂ which has been reported to be metastable at ambient conditions in the $P\bar{3}m1$ phase [9,10].

Furthermore, we investigated the electronic properties of two polymeric phases and performed GW calculations [50] for the $P2_1$ phase of $Mg(AlH_4)_2$ and the Pm phase of $Sr(AlH_4)_2$, and their corresponding reference phases. The polymeric $P2_1$ phase was found to be an insulator with an indirect band gap of 4.7 eV, which is significantly lower than that of the isolated $P\bar{3}m1$ phase, determined to be 6.5 eV in agreement with previous results [9,10]. For

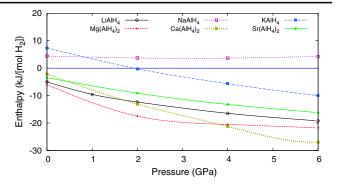


FIG. 6 (color online). Enthalpies as a function of pressure for the lowest polymeric structures with respect to the corresponding isolated reference phases.

 $Sr(AlH_4)_2$, we found that the Pm phase is also an insulator with a gap of 5.3 eV.

Figure 6 demonstrates that the profound differences in the structural motifs of the polymeric and isolated phases also have a strong influence on their compressibility and relative thermodynamical stability upon compression. It shows the pressure evolution of the enthalpies of the lowest polymeric structures with respect to the reference phases. As already indicated in Ref. [18] for the case of LiAlH₄, the stability of the polymeric phases improves drastically as the pressure increases, with the exception of NaAlH₄. In particular, the isolated phase in KAlH₄ is predicted to transform into a polymeric phase at a pressure of 2 GPa and 0 K. Therefore, cold compression of alanates could be a promising approach en route to experimental synthesis of polymeric phases. Finally, the polymeric phases may be helpful in bringing some alanates with small decomposition enthalpies, e.g., LiAlH₄ with ~ 9 kJ/mol H₂ [4,48], to approach the target range (30–45 kJ/mol H₂), especially under pressure.

In conclusion, we unveiled the complexity of the energy landscape in several alanates and the dominant role of the recently proposed polymeric phases in their low-energy polymorphs. These polymeric phases, a common feature of the alanates, are characterized by a charged network of corner-sharing [AlH₆] octahedra and a significant vibrational energy. Two insulating polymeric phases of $P2_1$ and Pm symmetries were identified to be the most stable in Mg(AlH₄)₂ and Sr(AlH₄)₂. Both free energy and enthalpy calculations show that such phases should in fact be possible to synthesize, either at low temperatures or at high pressures. Further experimental investigations are necessary for a deeper understanding of such structural properties in alanates.

The authors thank Nguyen-Manh Duc and Nicola Marzari for useful discussions. T. D. H., M. A., A. W., and S. G. acknowledge the financial support provided by the Swiss National Science Foundation. Computational resources were provided by the Swiss National Supercomputing Center in Lugano.

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