

# HUA SHEN (she/her)

Ph.D Candidate in Informatics - The PennState University - PA, USA

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## EDUCATION

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- Ph.D. in Informatics (GPA: 3.93/4.0)** *2019.08 - Present*  
Penn State University, PA, USA  
Advisor: Dr. Ting-Hao (Kenneth) Huang
- Ph.D. in Computer Science (Graduate Fellowship)(Transferred)** *2018.08 - 2019.08*  
Lehigh University, PA, USA  
Co-advisors: Dr. Ting Wang, Dr. Brian D. Davison.
- M.S. in Management Science and Engineering** *2013.09 - 2016.06*  
Renmin University of China, Beijing, China  
Advisor: Dr. Xun Liang
- B.S. in Information Security (Ranking: 2/35)** *2009.09 - 2013.06*  
University of Science and Technology Beijing, Beijing, China

## RESEARCH INTERESTS

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I am broadly interested in the area of **human interaction** with **AI machine**. Particularly, my research interests lie in improving **human-centered AI interpretability** and **fairness** in **speech and natural language processing**, **conversational AI** and other **language, speech and dialogue** fields.

## PUBLICATIONS

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### Peer-Reviewed Conference & Workshop Papers

- [P.13] **Hua Shen**, Chieh-Yang Huang, Tongshuang Wu, Ting-Hao (Kenneth) Huang. “Hey ConvXAI, how should I improve?” : Towards Conversational Explainable AI Support for Scientific Writing. The ACM CHI Conference on Human Factors in Computing Systems (CHI '23) (Under Review).
- [P.12] Tongshuang Wu, **Hua Shen**, Daniel S Weld, Jeffrey Heer, Marco Tulio Ribeiro. ScatterShot: Interactive In-context Example Curation for Text Transformation. The ACM Conference on Intelligent User Interfaces (IUI '23) (Under Review).
- [P.11] Kai-Wei Chang, Yu-Kai Wang, **Hua Shen**, Iu-thing Kang, Wei-Cheng Tseng, Shang-Wen Li, Hung-yi Lee. SpeechPrompt V2: Prompt Tuning for Speech Classification Tasks. 2023 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP '23) (Under Review).
- [P.10] **Hua Shen**, Tongshuang (Sherry) Wu, Wenbo Guo, Ting-Hao (Kenneth) Huang. Are Shortest Rationales the Best Explanations For Human Understanding? 60th Annual Meeting of the Association for Computational Linguistics (ACL '22).
- [P.9] **Hua Shen\***, Yuguang Yang\*, Guoli Sun, Ryan Langman, Eunjung Han, Jasha Droppo, Andreas Stolcke. Improving Fairness in Speaker Verification via Group-adapted Fusion Network. 2022 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP '22).
- [P.8] **Hua Shen**, Ting-hao (Kenneth) Huang. Explaining the Road Not Taken. The 2021 ACM CHI Workshop on Operationalizing Human-Centered Perspectives in Explainable AI (CHI '21 HCXAI Workshop).
- [P.7] Jiaqi Wang, **Hua Shen**, Chacha Chen, Frank E. Ritter. Are Learners Satisfied with Their MOOC Experiences? Assessing and Improving Online Learners' Interactions. Asian CHI Symposium 2021 (AsianCHI '21).
- [P.6] **Hua Shen**, Ting-hao (Kenneth) Huang. How Useful Are the Machine-Generated Interpretations? A Human Evaluation on Guessing the Wrongly Predicted Labels. The 8th AAAI Conference on Human Computation and Crowdsourcing (HCOMP '20).
- [P.5] Xinyang Zhang, Ningfei Wang, **Hua Shen**, Shouling Ji, Ting Wang. Interpretable Deep Learning under Fire. Proceedings of the 29th USENIX Security Symposium (USENIX'20).

- [P.4] Ren Pang, **Hua Shen**, Xinyang Zhang, Shouling Ji, Yevgeniy Vorobeychik, Xiapu Luo, Alex X. Liu, Ting Wang. The Tale of Evil Twins: Adversarial Inputs versus Poisoned Models. The 27th ACM Conference on Computer and Communications Security (ACM CCS'20).
- [P.3] **Hua Shen**, Xun Liang. A Quantitative Analysis Decision System Based on Deep Learning and NSGA-II for FX Portfolio Prediction". The 31st International Conference on Industrial, Engineering and Other Applications of Applied Intelligent Systems (IEA-AIE'18).
- [P.2] **Hua Shen**, Xun Liang. A Time Series Forecasting Model Based on Deep Learning Integrated Algorithm with Stacked Autoencoders and SVR for FX Prediction. The 25th International Conference on Artificial Neural Networks (ICANN'16).
- [P.1] **Hua Shen**, Xun Liang, Mingming Wang. Emergency Decision Support Architectures for Bus Hijacking Based on Massive Image Anomaly Detection in Social Networks. The 2015 IEEE International Conference on Systems, Man, and Cybernetics (IEEE SMC'15).

#### Book

- [B.1] Xun Liang, Xiaoping Yang, **Hua Shen**. Social Commerce Theory and Practice[M], Tsinghua University Press, 2014, ISBN No. 9787302381129.

#### PATENTS

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- Xun Liang, **Hua Shen**, Run Cao. An innovative Emergency Discovery Method for Micro-blogging, Archived by State Intellectual Property Office of The P.R.C (SIPO), Patent Publication No. CN103577404A.
- Xiaofei Li, Xun Liang, Xiaoping Zhou, Xiaojing Shi, **Hua Shen**, Haiyan Zhang. A Cross-Platform Microblog Community Account Matching Method, Archived by State Intellectual Property Office of The P.R.C (SIPO), Patent Publication No. CN104765729A.

#### SELECTED RESEARCH PROJECTS

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##### Google AI, Research Intern, Speech Intelligence Team, WA, USA

Sep 2022 - Dec 2022

Advisors: Dr.Vicky Zayats, Dr.Dan Walker, Dr. Johann Rocholl, Dr.Dirk Padfield

Research Topics: *Multi-turn Conversations, Disfluency, Data collection*

- **Multi-turn Spoken Conversation Transcript Cleanup**

We aim to clean up the multi-turn spoken transcripts of human to human conversations. Given a lack of multi-turn transcript cleanup datasets for training the ML/NLP detection models, in this project, we concentrate on collecting a new dataset based on Switchboard Corpus for multi-turn spoken transcript cleanup. Furthermore, we will develop and train a BERT-based cleanup prediction model to detect the multi-turn transcripts and design the evaluation metrics as the benchmark.

##### Amazon Alexa AI, Applied Scientist Intern, WA, USA

May 2022 - August 2022

Mentor: Dr.Jari Kolehmainen, Dr.Yi Gu, Dr.Qi Luo, Dr.Aditya Gourav, Dr.Ivan Bulyko.

Advisors: Dr.Ankur Gandhe, Dr.Denis Filimonov, Dr.Ariya Rastrow, Dr.Andreas Stolcke

Research Topics: *Auto-Regressive, Language Modeling, Distillation*

- **Auto-Regressive Language Model Distillation**

We distill large pre-trained auto-regressive language models (i.e., teacher models such as GPT-2, OPT) into smaller recurrent neural networks models (i.e., student models such as LSTM), which have different architectures and different vocabulary sizes in tokenizers.

##### Amazon Alexa AI, Applied Scientist Intern, CA, USA

May 2021 - August 2021

Mentor: Dr.Yuguang Yang. Co-mentors: Dr.Guoli Sun, Dr.Ryan Langman.

Advisors: Dr.Andreas Stolcke, Dr. Jasha Droppo, Dr. Eunjung (Christine) Han

Research Topics: *Speaker Verification Systems, Fairness*

- **Improving Gender Fairness in Speaker Recognition Systems**

We investigate the fairness of speaker verification models for different genders. We propose Group-adapted Fusion Network, which can alleviate model unfairness by improving speaker verification both overall and for individual groups. This work is accepted by ICASSP '22.

Advisor: Dr.Ting-Hao (Kenneth) Huang

Research Topics: *Interpretability, Human-AI Collaboration, Crowdsourcing*

- **Investigating Gaps between NLP Explanations and End-User Demands**

We surveyed 218 NLP explanation papers collected from top-tier NLP venues from 2015-2020. We summarized 12 common forms of NLP explanations. We find out users are commonly interested in counterfactual explanations but exiting NLP algorithms do not cover well. This work is published in CHI'21 HCXAI workshop.

- **Usefulness Evaluation for AI Interpretation by General Users**

We investigate whether or not showing machine-generated visual interpretations helps users understand the incorrectly predicted labels by image classifiers. We generate a set of saliency maps (e.g., SmoothGrad, ExtremalPerturb, GradCAM) for ResNet model on ImageNet dataset. The human evaluation is finished by Amazon MTurk crowdsourcing method. The work is published at HCOMP'20.

- **Crowd-Powered Conversational AI System Explanation**

We are developing a dialogue system with frontend as Facebook Messenger and backend powered by Amazon Mechanical Turk. We plan to conduct interpretation related analysis on the dialogue system. I use full-stack techniques including *Python*, *Pytorch* for deep learning models, *SQLAlchemy* for database, *HTML*, *CSS*, *JavaScript*, *ReactJS* for website development.

- **Learning Self-Explaining Models with Sparsity-Controllable Rationales**

We propose a self-explaining model that can manage the trade-off between rationale sparsity and predictive performance by controlling how many percent of input tokens to explain. Experiments on five datasets show our method can extract token-level explanations for prediction without sacrificing predictive performance.

- **Towards Effective and Efficient Evaluation of Interpretation Fidelity**

It is challenging to evaluate whether an interpretation of model behavior is faithful. Current evaluation approaches suffer from high time consumption or low generalization issues. We propose a novel fidelity evaluation framework which is accurate but more efficient and general.

Mentor: Dr.Ting Wang

Research Topics: *Interpretability, Robustness, Adversarial ML*

- **i-Algebra: Towards Interactive Interpretability of Neural Networks**

Existing interpretable methods mostly provide interpretability in a static manner, without accounting for the end-users' response, resulting in their poor usability in practice. We propose interactive framework, i-Algebra, for interpreting deep neural networks. It can explain model behavior at vary input granularity, during different inference stages and from distinct perspectives. This paper is accepted by AAAI'21.

- **Assessing Interpretation Robustness by Attacking both Interpretation and Models**

We propose a new class of attacks that generate adversarial inputs misleading both interpretations and classifiers. We attacked different types of saliency maps, including GradSaliency, CAM, etc. The evaluation is tested on real applications like skin cancer and ImageNet datasets. The work is accepted by USENIX'20.

- **A Tale of Evil Twins: Adversarial Inputs versus Poisoned Models**

ML models are inherently susceptible to two manipulations: adversarial inputs and poisoned models. We conduct systematic study of two attack vectors within a unified framework by developing a new attack model that jointly optimizes adversarial inputs and poisoned models. We further discuss potential countermeasures against such attacks. This work is accepted by ACM CCS'20.

Mentor: Dr.Frank Ritter

Research Topics: *Human-Computer Interaction, MOOC*

- **Assessing and Improving MOOC Online Learners' Interactions**

Existing MOOC platforms suffer from interactions and collaborated learning problems. In this project, we investigated MOOC learners' experience with current popular MOOC platforms starting with a survey. We also conducted a task analysis on three popular MOOC platforms to analyze how they include key interaction functions. We propose a set of comprehensive guidelines, called IN-MOOC, to facilitate interpersonal interactions on MOOC platforms. This work is accepted by AsianCHI'21.

Advisors: Dr. Brian D. Davison, Dr.Ting Wang  
Research Topics: *Interpretability, Robustness, NLP*.

- **Analyzing QA Model Robustness by Interpreting Adversarial Attacks**

We aim to analyze robustness of Question Answering (QA) model by interpreting its adversarial attack. I designed an interpretation method for QA model to interpret layer-wise and token-wise attention-based importance scores. I analyzed SQuAD datasets (with adversaries as ADDSent, ADDAny, etc.) on QA models (BiDAF, Match-LSTM) using Pytorch. This work was presented at the CRA-W Grad Cohort Workshop'19.

## MEDIA COVERAGE

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- **PSU News.** Jessica Hallman. October 26, 2020  
**Users don't understand computer explanations for image labeling errors.**  
[\[PSU News Link\]](#) [\[TechXplore Link\]](#) [\[ClickTech News Link\]](#)

## SERVICE

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**Committee Member:** EMNLP'22 (Interpretability Track), ACL-IJCNLP' 21 (Interpretability Track).

**Conference Reviewer:** ACL RollingReview(ARR)' 22,21, CHI 2022 LBW, HEGM workshop @NeurIPS, KDD' 20,19, ICDM' 19, ASONAM' 19, CIKM' 18, BigData' 18

**Journal Reviewer:** Transactions on Intelligent Systems and Technology (TIST)' 19, Transactions on Information Systems (TIS)' 19

## TEACHING ASSISTANT EXPERIENCE

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### The Pennsylvania State University

- **Graduate Student Online Teaching Certificate** Fall 2021
- **Data Integration (DS320)** (undergraduate, 50 students) Spring 2022
- **Visual Analytics for Data Sciences (DS330)** (undergraduate, 70 students) Fall 2021
- **Algorithmic Methods (DS397)** (undergraduate, 25 students) Fall 2020 and Spring 2021
- **Application Development Studio I (IST261)** (undergraduate, 50 students) Fall 2020

### Renmin University of China

- **Calculus I and Calculus II** (undergraduate, 50 students) Spring 2015 and Fall 2015
- **Linear Algebra** (undergraduate, 30 students) Fall 2014
- **Probability Theory and Mathematical Statistics** (undergrad, 40 students) Fall 2013 and Spring 2014

## TECHNICAL SKILLS

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<b>DL/ML Programming</b>	PyTorch, TensorFlow, PyTorch-Transformers, S3PRL Speech, Scikit-Learn
<b>Operational Systems</b>	Ubuntu, Linux/Unix, Shell Script, MacOS, Windows
<b>Web and Visualization</b>	Matplotlib, HTML/CSS, JavaScript, D3.js, Bootstrap, React.js
<b>HCI/UX Research</b>	Controlled User Study, Empirical Methods and Statistical Analysis
<b>Database</b>	Microsoft SQL Server, MySQL, PostgreSQL
<b>Miscellaneous</b>	Amazon MTurk Crowdsourcing, Adobe Illustrator, LaTeX

## AWARDS AND HONORS

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<b>Graduate Student Travel Grant</b> , IST, PennState.	2022
<b>Microsoft Research PhD Fellowship Nomination</b> , By Department Chair, PennState.	2021
<b>Graduate Student Travel Grant</b> , IST, PennState.	2020
<b>Travel Grant Award</b> , CRA-W Grad Cohort Workshop.	2019

<b>Graduate Fellowship</b> , Lehigh University.	2018-2019
<b>Outstanding Master Degree Candidate</b> , RenMin University of China	2015
<b>Outstanding Merit Student</b> , Beijing Municipal.	2013
<b>Excellent Graduate</b> , Beijing Municipal.	2013
<b>Outstanding-Dissertation Award</b> , USTB.	2013
<b>National Endeavor Fellowship</b> , Nationwide(twice).	2011 and 2012
<b>Alumni Funding Scholarship</b> , USTB.	2012
<b>Vice Chairman</b> , The 22nd Student Union of USTB.	2011-2012