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ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

shall indicates a mandatory requirement to do somethingshall not indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

should indicates a recommendation to do something

should not indicates a recommendation not to do something

may indicates permission to do something

need not indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

can indicates that something is possiblecannot indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

will indicates that something is certain or expected to happen as a result of action taken by an agency

the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an

agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the

behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency

the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document specifies the procedures and the SBc Application Part (SBc-AP) messages used on the SBc-AP interface between the Mobility Management Entity (MME) and the Cell Broadcast Centre (CBC), and between the Public Warning System – Inter Working Function (PWS-IWF) and the CBC.

The present document supports the following functions:

- Warning Message Transmission function in the EPS,
- Warning Message Transmission function in the 5GS via the PWS-IWF (see 3GPP TS 23.041 [14]).

NOTE: The SBc-AP interface is a logical interface between the MME and the CBC and also between the PWS-IWF and the CBC, when connected to 5GC. The PWS-IWF may not be always explicitly mentioned in the remainder of the present document.

2 References

[15]

Void

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

	•
[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	IETF RFC 8200 (July 2017): "Internet Protocol, Version 6 (IPv6) Specification".
[3]	IETF RFC 791 (September 1981): "Internet Protocol".
[4]	IETF RFC 4960 (September 2007): "Stream Control Transmission Protocol".
[5]	Void
[6]	Void
[7]	3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)"
[8]	$ITU-T\ Recommendation\ X.680\ (07/2002):\ "Information\ Technology\ -\ Abstract\ Syntax\ Notation\ One\ (ASN.1):\ Specification\ of\ basic\ notation".$
[9]	$ITU-T\ Recommendation\ X.681\ (07/2002): "Information\ Technology\ -\ Abstract\ Syntax\ Notation\ One\ (ASN.1): Information\ object\ specification".$
[10]	$ITU-T\ Recommendation\ X.691\ (07/2002):\ "Information\ Technology\ -\ ASN.1\ encoding\ rules\ -\ Specification\ of\ Packed\ Encoding\ Rules\ (PER)".$
[11]	3GPP TS 29.002: "Mobile Application Part (MAP) specification".
[12]	Void
[13]	3GPP TS 22.268: "Public Warning System (PWS) requirements".
[14]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[16] 3GPP TS 23.007: "Restoration procedures".
 [17] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".
 [18] 3GPP TS 23.527: "5G System; Restoration procedures".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

Elementary Procedure: SBc-AP consists of Elementary Procedures (EPs). An Elementary Procedure is a unit of interaction between MME and CBC. These Elementary Procedures are defined separately and are intended to be used to build up complete sequences in a flexible manner. If the independence between some EPs is restricted, it is described under the relevant EP description. Unless otherwise stated by the restrictions, the EPs may be invoked independently of each other as stand alone procedures, which can be active in parallel. Examples on using several SBc-APs together with each other and EPs from other interfaces can be found in reference [FFS].

An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- Class 1: Elementary Procedures with response (success and/or failure).
- Class 2: Elementary Procedures without response.

For Class 1 EPs, the types of responses can be as follows:

Successful:

- A signalling message explicitly indicates that the elementary procedure successfully completed with the receipt of the response.

Unsuccessful:

- A signalling message explicitly indicates that the EP failed.
- On time supervision expiry (i.e. absence of expected response).

Successful and Unsuccessful:

- One signalling message reports both successful and unsuccessful outcome for the different included requests. The response message used is the one defined for successful outcome.

Class 2 EPs are considered always successful.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

5GC	5G Core
CMAS	Commercial Mobile Alert System
CBC	Cell Broadcast Center
CBS	Cell Broadcast Service
EPC	Evolved Packet Core
EPS	Evolved Packet System
ETWS	Earthquake and Tsunami Warning System
MME	Mobility Management Entity

PWS Public Warning System
PWS-IWF PWS – Inter Working Function
SCTP Stream Control Transmission Protocol

4 SBc description

4.1 Transport

4.1.1 General

This clause specifies the standards for signalling transport to be used across SBc-AP interface. SBc-AP interface is a logical interface between the MME and the CBC. All the SBc-AP messages described in the present document require an SCTP association between the MME and the CBC.

4.1.2 Network layer

The MME and the CBC shall support IPv6 (see IETF RFC 8200 [2]) and/or IPv4 (see IETF RFC 791 [3]).

The IP layer of SBc-AP only supports point-to-point transmission for delivering SBc-AP messages.

4.1.3 Transport layer

SCTP (see IETF RFC 4960 [4]) shall be supported as the transport layer of SBc-AP messages.

Semi-permanent SCTP associations shall be established between MME and CBC, i.e. the SCTP associations shall remain up under normal circumstances.

Local multi-homing should be supported. Remote multi-homing shall be supported.

Multiple local SCTP endpoints may be supported. Multiple remote SCTP endpoints shall be supported. When multiple local or remote SCTP endpoints are configured, several simultaneous SCTP associations shall be supported between MME and CBC.

Checksum calculation for SCTP shall be supported as specified in RFC 4960 [4].

The CBC shall establish the SCTP association.

The registered port number for SBc-AP is 29168.

The registered payload protocol identifier for SBc-AP is 24.

4.1.4 Services expected from signalling transport

The signalling connection shall provide in-sequence delivery of SBc-AP messages. SBc-AP shall be notified if the signalling connection breaks.

4.2. SBc-AP functions

4.2.1 Function of SBc-AP

SBc-AP has the following function:

Warning Message Transmission function:
 This functionality provides the means to start, overwrite and stop the broadcasting of warning message in support of the Public Warning System (PWS) messages as defined in 3GPP TS 22.268 [13] which include Commercial Mobile Warning System (CMAS) and Earthquake and Tsunami (ETWS) messages.

4.3 SBc-AP procedure

4.3.1 General

This clause describes the parameters and detailed behaviors of different procedures.

4.3.2 List of SBc-AP elementary procedure

Table 4.3.2-1 lists the SBc-AP Elementary Procedures defined as class 1 procedures.

Table 4.3.2-1: SBc-AP class 1 elementary procedures

Elementary	Initiating Message	Successful Outcome	Unsuccessful Outcome
Procedure		Response message	Response message
Write-Replace Warning procedure	WRITE-REPLACE WARNING REQUEST	WRITE-REPLACE WARNING RESPONSE	•
Stop Warning Procedure	STOP WARNING REQUEST	STOP WARNING RESPONSE	

Table 4.3.2-2 lists the SBc-AP Elementary Procedures defined as class 2 procedures.

Table 4.3.2-2: SBc-AP class 2 elementary procedures

Elementary Procedure	Initiating Message
Error Indication procedure	ERROR INDICATION
Write Replace	WRITE REPLACE
Warning	WARNING
Indication	INDICATION
procedure	
Stop Warning	STOP WARNING
Indication	INDICATION
procedure	
PWS Restart	PWS RESTART
Indication	INDICATION
PWS Failure	PWS FAILURE
Indication	INDICATION

4.3.3 Write Replace Warning Procedure

4.3.3.1 General

The purpose of Write-Replace Warning procedure is to start, overwrite the broadcasting of warning message, as defined in 3GPP TS 23.041 [14].

4.3.3.2 Successful Operation

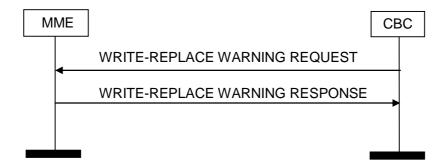


Figure 4.3.3.2-1: Write-Replace Warning procedure. Successful operation.

The CBC initiates the procedure by sending a WRITE-REPLACE WARNING REQUEST message to the MME.

Upon reception of WRITE-REPLACE WARNING REQUEST message, the MME shall forward the message towards the eNBs which belong to the tracking area indicated in List of TAIs IE, if this list is present.

If a Global eNB ID IE is present in the WRITE-REPLACE WARNING REQUEST message, the MME shall forward the message only towards the eNB identified by the Global eNB ID if this IE is supported by the MME. If the Global eNB ID IE is not supported by the MME, the MME shall forward the WRITE-REPLACE WARNING REQUEST message using the List of TAIs IE, if this list is present, otherwise the MME shall send the message towards all connected (H)eNBs. An MME and a CBC which support the PWS Restoration procedures as specified in 3GPP TS 23.007 [16] clause 15A.1 shall support the Global eNB ID IE.

If neither the List of TAIs IE, nor the Global eNB ID IE (if this is supported) are present in WRITE-REPLACE WARNING REQUEST message, the MME shall forward the message towards all connected eNBs.

The MME shall return a WRITE-REPLACE WARNING RESPONSE to the CBC immediately after the reception of the WRITE-REPLACE WARNING REQUEST message without waiting responses from eNBs.

The MME shall set the cause IE to 'Message accepted' in the WRITE-REPLACE WARNING RESPONSE message.

4.3.3.3 Unsuccessful Operation

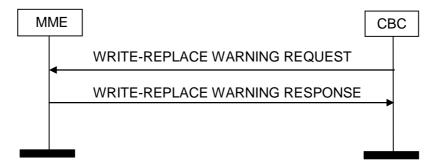


Figure 4.3.3.3-1: Write-Replace Warning procedure. Unsuccessful operation.

The CBC initiates the procedure by sending a WRITE-REPLACE WARNING REQUEST message to the MME.

If MME cannot process the received WRITE-REPLACE WARNING REQUEST message, the MME shall return a WRITE-REPLACE WARNING RESPONSE message towards the CBC and the MME shall not forward the message towards the eNBs belonged to the tracking area as indicated in List of TAIs IE.

The MME shall indicate a reason of failure in the cause IE.

NOTE: An Unsuccessful Operation is reported as a Successful Outcome in the Response message. See clause 3.1.

4.3.3A Stop Warning Procedure

4.3.3A.1 General

The purpose of Stop Warning Procedure is to stop the broadcasting of warning message.

4.3.3A.2 Successful Operation



Figure 4.3.3A.2-1: Stop Warning Procedure, Successful Operation.

The CBC initiates the Stop Warning Procedure by sending a STOP WARNING REQUEST message to the MME.

Upon reception of STOP WARNING REQUEST message, the MME shall forward the message towards the eNBs belonged to the tracking area as indicated in List of TAIs IE.

If none of List of TAIs IE is present in STOP WARNING REQUEST message, the MME shall forward the message towards all connected eNBs.

The MME shall return a STOP WARNING RESPONSE to the CBC immediately after the reception of the STOP WARNING REQUEST message without waiting responses from eNBs.

The MME shall set the cause IE to 'Message accepted' in the STOP WARNING RESPONSE message.

4.3.3A.3 Unsuccessful Operation

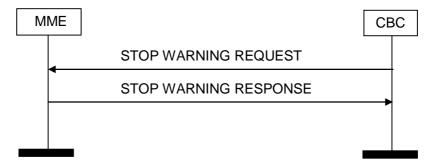


Figure 4.3.3A.3-1: Stop Warning procedure, Unsuccessful operation.

The CBC initiates the Stop Warning Procedure by sending a STOP WARNING REQUEST message to the MME.

If MME cannot process the received STOP WARNING REQUEST message, the MME shall return a STOP WARNING RESPONSE message towards the CBC and the MME shall not forward the message towards the eNBs belonged to the tracking area as indicated in List of TAIs IE.

The MME shall indicate a reason of failure in the cause IE.

NOTE: An Unsuccessful Operation is reported as a Successful Outcome in the Response message. See clause 3.1.

4.3.3B Error Indication

4.3.3B.1 General

The Error Indication procedure is initiated by a node to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

4.3.3B.2 Successful Operation



Figure 4.3.3B.2-1: Error Indication procedure, CBC originated. Successful operation.



Figure 4.3.3B.2-2: Error Indication procedure, MME originated. Successful operation.

When the conditions defined in clause 4.5 are fulfilled, the Error Indication procedure is initiated by an ERROR INDICATION message sent from the receiving node.

The ERROR INDICATION message shall contain at least either the *Cause* IE or the *Criticality Diagnostics* as indicated in clause 4.5.

4.3.3B.3 Abnormal Conditions

Not applicable.

4.3.3C Write Replace Warning Indication

4.3.3C.1 General

If supported by the MME, the Write-Replace-Warning-Indication message(s) shall be sent by the MME to report to the CBC with the Broadcast Scheduled Area List IE if the Send Write-Replace-Warning-Indication parameter was present in the corresponding Write-Replace-Warning-Request. The Broadcast Scheduled Area List IE shall contain the Broadcast Completed Area List the MME has received from the eNodeB(s) (see 3GPP TS 36.413 [7]). If the MME has received a response from an eNodeB without a Broadcast Completed Area List, then the eNodeB ID shall be included in the Broadcast Empty Area List instead.

4.3.3C.2 Successful Operation



Figure 4.3.3C.2-1: Write Replace Warning Indication procedure, MME originated. Successful operation.

The MME initiates the Write Replace Warning Indication procedure by sending a WRITE REPLACE WARNING INDICATION message(s) to the CBC after it has previously received a Broadcast Completed Area List from eNodeB(s) in a WRITE-REPLACE WARNING MESSAGE (see 3GPP TS 36.413 [7]). The MME may aggregate Broadcast Completed Area Lists it receives from the eNodeBs.

4.3.3C.3 Abnormal Conditions

Not applicable.

4.3.3D Stop Warning Indication

4.3.3D.1 General

If supported by the MME, the Stop Warning Indication message(s) shall be sent by the MME to report to the CBC with the Broadcast Cancelled Area List IE if the Send Stop Warning Indication parameter was present in the corresponding Stop Warning Request. The Broadcast Cancelled Area List IE shall contain the Broadcast Cancelled Area List the MME has received from the eNodeB(s) (see 3GPP TS 36.413 [7]). If the MME has received a response from an eNodeB without a Broadcast Cancelled Area List, then the eNodeB ID shall be included in the Broadcast Empty Area List instead.

4.3.3D.2 Successful Operation



Figure 4.3.3D.2-1: Stop Warning Indication procedure, MME originated. Successful operation.

The MME initiates the Stop Warning Indication procedure by sending a STOP WARNING INDICATION message to the CBC after it has previously received a Broadcast Cancelled Area List from an eNodeB. The MME may aggregate Broadcast Cancelled Area Lists it receives from the eNodeBs.

4.3.3D.3 Abnormal Conditions

Not applicable.

4.3.3E PWS Restart Indication

4.3.3E.1 General

The PWS Restart Indication is sent by the MME to the CBC upon receipt of a PWS Restart Indication from an (H)eNB, to indicate that the PWS service is restarted in one or more or all cells served by an (H)eNB, i.e. the service has become operational and no warning message data is available for these cell(s). The CBC shall reload the cells if required.

4.3.3E.2 Successful Operation



Figure 4.3.3E.2-1: PWS Restart Indication, Successful Operation.

The MME initiates the PWS Restart Indication procedure by sending a PWS RESTART INDICATION message to the CBC upon receiving a PWS Restart Indication message from an (H)eNB (see 3GPP TS 36.413 [7]).

The MME shall copy the following parameters from the PWS Restart Indication received from the (H)eNB into the corresponding parameters in the PWS-RESTART-INDICATION towards the CBC:

- Global eNB ID of the (H)eNB;
- E-CGI List for Restart into the Restarted-Cell-List;
- TAI List for Restart into List of TAIs for Restart;
- Emergency Area ID List for Restart (if received from the (H)eNB, i.e. if the restarted cell(s) are configured with Emergency Area ID(s)) into List of EAIs for Restart.

Upon receipt of a PWS Restart Indication message, the CBC shall consider that the PWS service is restarted in the reported cell(s), i.e. the service is operational and no warning messages are being broadcast in these cell(s). The CBC shall then reload the warning message data to the (H)eNB for these cells, if any.

The CBC shall consider a PWS Restart Indication message received shortly after a preceding one for the same cell identity as a duplicate restart indication for that cell which it shall ignore.

NOTE: The CBC can receive the same PWS Restart Indication message via two MMEs of the MME pool for redundancy reasons (see clause 15A.1 of 3GPP TS 23.007 [16]), or via two AMFs of the AMF Region for redundancy reasons (see clause 7.3 of 3GPP TS 23.527 [18]).

The CBC shall reload the warning message data (with the same Message Identifier and Serial Number) to the (H)eNB by initiating Write Replace Warning procedure(s) as specified in clause 4.3.3.2 with the following additions:

- the CBC should set the Warning Area List IE in the Write-Replace Warning Request message to the identities of the cell(s) received in the Restarted-Cell-List which are relevant to the warning message data being reloaded;
- the CBC shall copy the Global eNB ID into the Write-Replace Warning Request message; and
- the CBC may update the Number of Broadcast Requested, if necessary.

4.3.3E.3 Abnormal Conditions

Not applicable.

4.3.3F PWS Failure Indication

4.3.3F.1 General

The PWS Failure Indication is sent by the MME to the CBC upon receipt of a PWS Failure Indication from an (H)eNB, to indicate that ongoing PWS operation in one or more or all cells served by an (H)eNB has failed.

4.3.3F.2 Successful Operation



Figure 4.3.3F.2-1: PWS Failure Indication, Successful Operation.

The MME initiates the PWS Failure Indication procedure by sending a PWS FAILURE INDICATION message to the CBC upon receiving a PWS Failure Indication message from an (H)eNB (see 3GPP TS 36.413 [7]).

The MME shall copy the following parameters from the PWS Failure Indication received from the (H)eNB into the corresponding parameters in the PWS-FAILURE-INDICATION towards the CBC:

- Global eNB ID of the (H)eNB;
- E-CGI List Failed for PWS into the Failed-Cell-List;
- PWS Failed E-CGI List into Failed-Cell-List.

4.3.3F.3 Abnormal Conditions

Not applicable.

4.3.4 Message functional definition and content

4.3.4.1 Message contents

4.3.4.1.1 Presence

All information elements in the message descriptions below are marked mandatory, optional or conditional according to table 4.3.4.1.1-1.

Table 4.3.4.1.1-1: Meaning of abbreviations used in SBc-AP messages

Abbreviation	Meaning
M	IEs marked as Mandatory (M) shall always be included in the
	message.
0	IEs marked as Optional (O) may or may not be included in the
	message.
С	IEs marked as Conditional (C) shall be included in a message only if the condition is satisfied. Otherwise the IE shall not be included.

4.3.4.1.2 Criticality

Each Information Element or Group of Information Elements may have criticality information applied to it. Following cases are possible:

Table 4.3.4.1.2-1: Meaning of content within "Criticality" column

Abbreviation	Meaning		
_	No criticality information is applied explicitly.		
YES	Criticality information is applied. This is usable only for non- repeatable IEs		
GLOBAL	The IE and all its repetitions together have one common criticality information. This is usable only for repeatable IEs.		
EACH	Each repetition of the IE has its own criticality information. It is not allowed to assign different criticality values to the repetitions. This is usable only for repeatable IEs.		

4.3.4.1.3 Range

The Range column indicates the allowed number of copies of repetitive IEs/IE groups.

4.3.4.1.4 Assigned Criticality

This column provides the actual criticality information as defined in clause 4.5.3.2, if applicable.

4.3.4.2 Warning Message Transmission Messages

4.3.4.2.1 WRITE-REPLACE WARNING REQUEST

This message is sent by the CBC to request start or overwrite of a warning message broadcast.

If the message is sent to the MME, then:

- the List of TAIs IE, the Warning Area List IE and the Global eNB ID IE may be used; and
- the List of 5GS TAIs IE, the Warning Area List 5GS IE, the Global RAN Node ID IE and the RAT Selector 5GS IE shall not be used.

If the message is sent to the PWS-IWF, then:

- the List of 5GS TAIs IE, the Warning Area List 5GS IE, the Global RAN Node ID IE may be used;
- the List of TAIs IE, the Warning Area List IE and the Global eNB ID IE shall not be used; and-the message shall address a Warning Area in E-UTRA or in NR, but not both simultaneously; the RAT Selector 5GS IE shall be used if the message is for the NR RAT.

Direction: CBC \rightarrow MME, PWS-IWF

Table 4.3.4.2.1-1: WRITE-REPLACE WARNING REQUEST message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1	•	YES	reject
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
List of TAIs	0				YES	reject
>TAI List Item		1 to <maxnooftai></maxnooftai>				
>>TAI	M		[7]			
Warning Area List	0		[7]		YES	ignore
Repetition Period	M		[7]		YES	reject
Extended Repetition Period	0		[7]		YES	reject
Number of Broadcast Requested	М		[7]		YES	reject
Warning Type	0		[7]		YES	ignore
Warning Security Information	0		[7]		YES	ignore
Data Coding Scheme	0		[7]		YES	ignore
Warning Message Contents	0		[7]		YES	ignore
OMC ID	0		4.3.4.3.4		YES	ignore
Concurrent Warning Message Indicator	0		[7]		YES	reject
Send Write-Replace- Warning-Indication	0		4.3.4.3.5		YES	ignore
Global eNB ID	0		[7]		YES	ignore
List of 5GS TAIs	0				YES	ignore
>5GS TAI List Item		1 to <maxnoof5gstai s></maxnoof5gstai 				
>>5GS TAI	M		[17]			
Warning Area List 5GS	0		[17]		YES	ignore
Global RAN Node ID	0		[17]		YES	Ignore
RAT Selector 5GS	С		4.3.4.3.10		YES	ignore
Warning Area Coordinates	0		[7]		YES	Ignore
Test Flag 5GS	0		4.3.4.3.x		YES	reject

Table 4.3.4.2.1-2: RANGE explanation

Range bound	Explanation		
maxnoofTAI	Maximum no. of TAI subject for warning message broadcast in E-UTRAN. Value is 65535.		
Maxnoof5GSTAIs	Maximum no. of TAI subject for warning message broadcast in 5GS. Value is 65535.		

4.3.4.2.2 WRITE-REPLACE WARNING RESPONSE

This message is sent by the MME or PWS-IWF to acknowledge the CBC on the start or overwrite request of a warning message.

If the message is received from an MME then the Unknown Tracking Area IE may be present. If the message is received from a PWS-IWF then the Unknown 5GS Tracking Area List may be present.

Table 4.3.4.2.2-1: WRITE-REPLACE WARNING RESPONSE message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1		YES	reject
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
Cause	M		4.3.4.3.2		YES	reject
Criticality Diagnostics	0		4.3.4.3.3		YES	ignore
Unknown Tracking Area List	0		4.3.4.3.6		YES	ignore
Unknown 5GS Tracking Area List	0		4.3.4.3.11		YES	ignore

4.3.4.2.3 STOP WARNING REQUEST

This message is sent by the CBC to stop a warning message broadcast.

If the message is sent to an MME, then:

- the List of TAIs IE and the Warning Area List IE may be used; and
- the List of 5GS TAIs IE, the Warning Area List 5GS IE and the RAT Selector 5GS IE shall not be used.

If the message is sent to the PWS-IWF, then:

- the List of 5GS TAIs IE, the Warning Area List 5GS IE may be used;
- the List of TAIs IE and the Warning Area List IE shall not be used; and
- the message shall address a Warning Area in E-UTRA or in NR, but not both simultaneously; the RAT Selector 5GS IE shall be used if the message is for the NR RAT.

Direction: CBC \rightarrow MME, PWS-IWF

Table 4.3.4.2.3-1: STOP WARNING REQUEST message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1		YES	reject
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
List of TAIs	0				YES	reject
>TAI List Item		1 to <maxnooftai></maxnooftai>				
>>TAI	M		[7]			
Warning Area List	0		[7]		YES	Ignore
OMC ID	0		4.3.4.3.4		YES	ignore
Send Stop Warning Indication	0		4.3.4.3.7		YES	ignore
Stop-All Indicator	0		4.3.4.3.8		YES	reject
List of 5GS TAIs	0				YES	ignore
>5GS TAI List Item		1 to <maxnoof5gs TAIs></maxnoof5gs 				-
>>5GS TAI	M		[17]			
Warning Area List 5GS	0		[17]		YES	ignore
RAT Selector 5GS	С		4.3.4.3.10		YES	ignore

Table 4.3.4.2.3-2: RANGE explanation

Range bound	Explanation
maxnoofTAI	Maximum no. of TAI subject for warning message broadcast in E-UTRAN. Value is 65535.
Maxnoof5GSTAIs	Maximum no. of TAI subject for warning message broadcast in 5GS. Value is 65535.

4.3.4.2.4 STOP WARNING RESPONSE

This message is sent by the MME or PWS-IWF to acknowledge the CBC on the stop request of a warning message.

If the message is received from an MME then the Unknown Tracking Area IE may be present, but if the message is received from a PWS-IWF then the Unknown 5GS Tracking Area List may be present. Direction: MME, PWS-IWF \rightarrow CBC

Table 4.3.4.2.2-1: STOP WARNING RESPONSE message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1		YES	reject
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
Cause	M		4.3.4.3.2		YES	reject
Criticality Diagnostics	0		4.3.4.3.3		YES	ignore
Unknown Tracking Area List	0		4.3.4.3.6		YES	ignore
Unknown 5GS Tracking Area List	0		4.3.4.3.11		YES	ignore

4.3.4.2.5 WRITE REPLACE WARNING INDICATION

This message is sent by the MME to report to the CBC the Broadcast Scheduled Area List(s) the MME has received from the eNodeB(s) as Broadcast Completed Area List in a WRITE-REPLACE WARNING RESPONSE [7]. Multiple responses from eNodeBs may be combined in a Broadcast Scheduled Area List.

The Broadcast Scheduled Area List IE is only included in the WRITE-REPLACE WARNING INDICATION when the broadcast is successful in at least one cell within the eNodeBs.

If the message is received from an MME then the Broadcast Scheduled Area List IE may be present, but if the message is received from a PWS-IWF then the Broadcast Scheduled Area List 5GS IE may be present.

If the message is received from an MME then the Broadcast Empty Area List IE may be present, but if the message is received from a PWS-IWF then the Broadcast Empty Area List 5GS IE may be present.

Table 4.3.4.2.5-1: WRITE REPLACE WARNING INDICATION message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1		YES	ignore
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
Broadcast Scheduled Area List	0		[7]		YES	reject
Broadcast Scheduled Area List 5GS	0		4.3.4.3.12		YES	ignore
Broadcast Empty Area List	0		4.3.4.3.9		YES	ignore
Broadcast Empty Area List 5GS	0		4.3.4.3.14		YES	ignore

4.3.4.2.6 STOP WARNING INDICATION

This message is sent by the MME to report to the CBC the Broadcast Cancelled Area List the MME has received from the eNodeB in a KILL RESPONSE [7]. If the MME has received a KILL RESPONSE without a Broadcast Cancelled Area List IE, then the eNodeB ID shall be included in the Broadcast Empty Area List instead. Multiple responses from eNodeBs may be aggregated into a combined Broadcast Cancelled Area List.

NOTE: The CBC is able to derive the list of cell IDs that are served by the eNodeB, because the eNodeB ID is contained in the cell ID. The Broadcast Cancelled Area List IE is only included in the STOP WARNING INDICATION when the broadcast is successfully stopped in at least one cell within the eNodeBs.

The Broadcast Empty Area List IE shall be included in the STOP-WARNING-INDICATION when the MME has received at least one KILL RESPONSE without Broadcast Cancelled Area List IE.

If the message is received from an MME then the Broadcast Cancelled Area List IE may be present, but if the message is received from a PWS-IWF then the Broadscast Cancelled Area List 5GS IE may be present.

If the message is received from an MME then the Broadcast Empty Area List IE may be present, but if the message is received from a PWS-IWF then the Broadcast Empty Area List 5GS IE may be present.

Direction: MME, PWS-IWF \rightarrow CBC

Table 4.3.4.2.6-1: STOP WARNING INDICATION message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1	•	YES	ignore
Message Identifier	M		[7]		YES	reject
Serial Number	M		[7]		YES	reject
Broadcast Cancelled Area List	0		[7]		YES	reject
Broadcast Empty Area List	0		4.3.4.3.9		YES	ignore
Broadcast Cancelled Area List 5GS	0		4.3.4.3.13		YES	ignore
Broadcast Empty Area List 5GS	0		4.3.4.3.14		YES	ignore

4.3.4.2.7 PWS RESTART INDICATION

This Indication is sent by the MME, or the PWS-IWF to report to the CBC the List of cells that have become available for PWS and have no warning message data.

If the indication is received from a PWS-IWF and the restarted cells are NR cells then:

- the Restarted-Cell-List IE, the Global eNB ID IE and List of TAIs for Restart IE shall be populated with a dummy value (all zeros); and
- the Restarted-Cell-List-NR IE, Global gNB ID IE and List of 5GS TAIs for Restart IE shall be populated.

If the indication is received from a PWS-IWF and the restarted cells are E-UTRA cells then:

- the Restarted-Cell-List-NR IE and the Global gNB ID IE shall not be present;
- the List of TAIs for Restart IE shall be populated with a dummy value (all zeros); and
- the List of 5GS TAI for Restart IE shall be populated.

Table 4.3.4.2.7-1: PWS RESTART INDICATION message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		4.3.4.3.1		YES	ignore
Restarted-Cell-List	M		[7]		YES	reject
>Restarted Cell List Item		1 to <maxnoofrestartedcells></maxnoofrestartedcells>				
>>E-CGI	M		[7]			
Global eNB ID	M		[7]		YES	reject
List of TAIs for Restart	M				YES	reject
>TAI for Restart List Item		1 to <maxnooftrestarttals></maxnooftrestarttals>				
>>TAI	М		[7]			
List of EAIs for Restart	0		[·]		YES	reject
>EAI for Restart List Item		1 to <maxnoofrestarteals></maxnoofrestarteals>				,
>>Emergency Area	М		[7]			
Restarted-Cell-List-NR	0				YES	ignore
>Restarted Cell List NR Item		1 to < maxnoofCellsforRestartN R >				
>>NR CGI	0		[17]			
Global gNB ID	0		[17]		YES	ignore
List of 5GS TAI for Restart	0				YES	ignore
>5GS TAI for Restart List Item		1 to <maxnooftrestart5gst Als></maxnooftrestart5gst 				
>>5GS TAI	0		[17]			

Table 4.3.4.2.7-2: RANGE explanation

Range bound	Explanation
maxnoofRestartedCells	Maximum no. of restarted E-UTRA cells. Value is 256.
maxnoofRestartTAIs	Maximum no. of Tracking Area IDs configured in the restarted cells. Value is 2048.
maxnoofRestartEAIs	Maximum no. of Emergency Area ID configured in the restarted cells. Value is 256.
maxnoofCellsforRestartNR	Maximum no. of NR cells subject for reloading warning message broadcast. Value is 16384.
maxnoofTRestart5GSTAIs	Maximum no. of 5GS TAIs subject for reloading warning message broadcast. Value is 2048.

4.3.4.2.8 PWS FAILURE INDICATION

This Indication is sent by the MME or PWS-IWF to report to the CBC the List of cells that are no longer available for PWS.

If the indication is received from a PWS-IWF and the failed cells are NR cells then the Failed-Cell-List IE and the Global eNB ID IE shall be populated with a dummy value (all zeros).

Table 4.3.4.2.8-1: PWS FAILURE INDICATION message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		4.3.4.3.1		YES	ignore
Failed-Cell-List	М		[7]		YES	reject
>Failed Cell List Item		1 to <maxnooffailedcells></maxnooffailedcells>				
>>E-CGI	M		[7]			
Global eNB ID	M		[7]		YES	reject
Failed Cell List NR	0				YES	ignore
>Failed Cell List NR Item		1 to <maxnoofcellsingnb></maxnoofcellsingnb>				
>>NR CGI	0	_	[17]			
Global gNB ID	0		[17]		YES	ignore

Table 4.3.4.2.8-2: RANGE explanation

Range bound	Explanation
maxnoofFailedCells	Maximum number of failed cells. Value is 256.
maxnoofCellsingNB	Maximum no. of cells that can be served by a gNB. Value is 16384.

4.3.4.2A Management Messages

4.3.4.2A.1 ERROR INDICATION

This message is sent by both the MME and the CBC and is used to indicate that some error has been detected in the node.

Direction : MME, PWS-IWF \rightarrow CBC and CBC \rightarrow MME, PWS-IWF

Table 4.3.4.2A.1-1: ERROR INDICATION message contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		4.3.4.3.1		YES	ignore
Cause	0		4.3.4.3.2		YES	ignore
Criticality Diagnostics	0	_	4.3.4.3.3		YES	ignore

4.3.4.3 Information element definition

4.3.4.3.1 Message Type

The Message Type IE uniquely identifies the message being sent. It is mandatory for all messages

Table 4.3.4.3.1-1: Message Type information element

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Message Type				Assumed max no of messages is 256.
>Procedure Code	M		(Write-Replace Warning, Stop Warning, Write-Replace Warning Indication, Stop Warning Indication, PWS Restart Indication,)	
>Type of Message	M		CHOICE (Initiating Message, Successful Outcome, Unsuccessful Outcome, PWS Failure Indication,)	

4.3.4.3.2 Cause

The purpose of the Cause IE is to indicate the reason for a particular event for the SBc-AP protocol.

Table 4.3.4.3.2-1: Cause information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Cause	M		INTEGER (Message accepted, Parameter not recognised, Parameter value invalid, Valid message not identified, Tracking area not valid, Unrecognised message, Missing mandatory element, MME capacity exceeded, MME memory exceeded, Warning broadcast not supported, Warning broadcast not operational, Message reference already used, Unspecified error, Transfer syntax error, Semantic error, Message not compatible with receiver state, Abstract syntax error reject, Abstract syntax error ignore and notify, Abstract syntax error falsely constructed message,)	

4.3.4.3.3 Criticality Diagnostics

The Criticality Diagnostics IE is sent by the MME when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs were not comprehended or were missing.

Table 4.3.4.3.3-1: Criticality Diagnostics information element

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
Triggering Message	0		ENUMERATED(initiating message, successful outcome, unsuccessful outcome, outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
Procedure Criticality	0		ENUMERATED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).
Information Element Criticality Diagnostics		0 to <maxnoof errors=""></maxnoof>		
>IE Criticality	M		ENUMERATED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Type of Error	M		ENUMERATED(not understood, missing,)	

Table 4.3.4.3.3-2: RANGE explanation

Range bound	Explanation
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

4.3.4.3.4 OMC ID

The OMC ID IE indicates the identity of an Operation and Maintenance Centre to which Trace records shall be sent.

Table 4.3.4.3.4-1: OMC ID information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
OMC ID	0		OCTET STRING (SIZE (120)) Octets are coded according to 3GPP TS 29.002 [11].	

4.3.4.3.5 Send Write-Replace-Warning-Indication

The Send Write-Replace-Warning-Indication IE indicates to the MME that the MME shall send the WRITE-REPLACE WARNING INDICATION to the CBC for the warning message.

Table 4.3.4.3.5-1: Send Write-Replace-Warning-Indication information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Send Write-Replace-	0		ENUMERATED(true)	
Warning-Indication			·	

4.3.4.3.6 Unknown Tracking Area List

The Unknown Tracking Area List IE identifies the Tracking Areas that are unknown to the MME and where the Request cannot be delivered.

This IE shall only be included if the Cause IE indicates Message accepted, which means the MME will proceed with the request for Tracking Areas that are known to the MME. The Cause IE indicating Tracking area not valid is used when all Tracking Areas in the Request are invalid.

Table 4.3.4.3.5-1: Failure List information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Unknown Tracking Area List	0		The Unknown Tracking Area List is of type <i>List of TAIs</i> .	

4.3.4.3.7 Send Stop Warning Indication

The Send Stop Warning Indication IE indicates to the MME that the MME shall send the STOP WARNING INDICATION to the CBC for the warning message.

Table 4.3.4.3.7-1: Send Stop-Warning-Indication information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Send Stop Warning	0		ENUMERATED(true)	
Indication				

4.3.4.3.8 Stop-All Indicator

The Stop-All Indicator IE indicates that the Message Identifier IE and the Serial Number IE do not refer to a specific message that needs to be stopped, but that all messages in the area are referred to and to force the cells in the area into their Warning Message Delivery initial state.

Table 4.3.4.3.8-1: Stop-All Indicator information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Stop-All Indicator	0		ENUMERATED(true)	

4.3.4.3.9 Broadcast Empty Area List

The Broadcast Empty Area List IE contains the eNodeB IDs of the eNodeBs which have responded with a WRITE-REPLACE-WARNING RESPONSE message which did not contain a Broadcast Completed Area List or have responded with a KILL RESPONSE message which did not contain a Broadcast Cancelled Area List IE [7].

Table 4.3.4.3.9-1: Broadcast Empty Area List information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Broadcast Empty Area List	0		The Broadcast Empty Area	
·			List is a list of Global eNB	
			IDs [7]	

4.3.4.3.10 RAT Selector 5GS

The RAT Selector 5GS IE shall be present in a WRITE-REPLACE WARNING REQUEST and a STOP WARNING REQUEST message sent to a PWS-IWF, to indicate to the AMF that the request shall be distributed to gNodeBs (i.e. NR RAT). If the IE is not present, the AMF shall distribute the request to ng-eNodeBs (i.e. E-UTRA RAT).

Table 4.3.4.3.10-1: RAT Selector 5GS contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
RAT Selector 5GS	0		Enumerated(true)		YES	ignore

4.3.4.3.11 Unknown 5GS Tracking Area List

The Unknown 5GS Tracking Area List IE identifies the Tracking Areas that are unknown to the AMF and where the Request cannot be delivered.

This IE shall only be included if the Cause IE indicates Message accepted, which means the AMF will proceed with the request for Tracking Areas that are known to the AMF. The Cause IE indicating Tracking area not valid is used when all Tracking Areas in the Request are invalid.

Table 4.3.4.3.11-1: Failure List information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Unknown 5GS Tracking Area List				
>Unknown 5GS TAI List Item		1 to <maxnoof5gstals></maxnoof5gstals>		
>>5GS TAI	М		[17]	

Table 4.3.4.3.11-2: RANGE explanation

Range bound	Explanation
Maxnoof5GSTAIs	Maximum no. of TAI subject for warning message broadcast in 5GS.
	Value is 65535.

4.3.4.3.12 Broadcast Scheduled Area List 5GS

This IE is used to identify a Broadcast Scheduled Area List in 5GS.

Table 4.3.4.3.12-1: Broadcast Scheduled Area List 5GS contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Broadcast Completed Area List 5GS	M		[17]		YES	reject

The Broadcast Scheduled Area List 5GS shall contain the Broadcast Completed Area List IE as specified in clause 9.3.1.43 in 3GPP TS 38.413 [17].

4.3.4.3.13 Broadcast Cancelled Area List 5GS

This IE is used to identify a Broadcast Cancelled Area List in 5GS.

Table 4.3.4.3.13-1: Broadcast Cancelled Area List 5GS contents

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Broadcast Cancelled Area List 5GS	M		[17]		YES	reject

The Broadcast Cancelled Area List 5GS shall contain the Broadcast Cancelled Area List IE as specified in clause 9.3.1.44 in 3GPP TS 38.413 [17].

4.3.4.3.14 Broadcast Empty Area List 5GS

The Broadcast Empty Area List 5GS IE contains the RAN Node IDs of the RAN nodes which have responded with a WRITE-REPLACE-WARNING RESPONSE message which did not contain a Broadcast Completed Area List or have responded with a PWS CANCEL RESPONSE message which did not contain a Broadcast Cancelled Area List IE.

Table 4.3.4.3.14-1: Broadcast Empty Area List 5GS information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Broadcast Empty Area List 5GS				
>Broadcast Empty Area List 5GS List Item		1 to <maxnoofrannode s></maxnoofrannode 		
>>RAN Node ID	M		[17]	

Table 4.3.4.3.14-2: RANGE explanation

Range bound	Explanation	
MaxnoofRANNodes	Maximum no. of RAN Nodes. Value is 65535.	

4.3.4.3.15 Test Flag 5GS

The *Test Flag 5GS* IE may be included to indicate to the NG-RAN node that the request is to be processed and responded to normally, but shall not result in broadcast over the air and shall not allocate any resources (i.e. the request cannot be cancelled).

This IE may only be included in the WRITE-REPLACE WARNING-REQUEST if the CBC sends the request to a PWS-IWF.

Table 4.3.4.3.x-1: Test Flag 5GS information element

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Test Flag 5GS	0		ENUMERATED(true)	

4.4 Message and information element abstract syntax

4.4.1 General

SBC-AP ASN.1 definition conforms with [8] and [9].

The ASN.1 definition specifies the structure and content of SBC-AP messages. SBC-AP messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct a SBC-AP message according to the PDU definitions module and with the following additional rules (Note that in the following IE means an IE in the object set with an explicit id. If one IE needed to appear more than once in one object set, then the different occurrences have different IE ids):

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.
- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list where the list elements reside. The second part defines list elements. The IE container list

appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

If a SBC-AP message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax error in clause 4.5.3.6.

4.4.2 Usage of protocol extension mechanism for non-standard use

The protocol extension mechanism for non-standard use may be used:

- for special operator- (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor interoperability.
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The extension mechanism shall not be used for basic functionality. Such functionality shall be standardised.

4.4.3 Elementary procedure definitions

```
-- Elementary Procedure definitions
__ **********************
SBC-AP-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) sbc-AP (3) version1 (1) sbc-AP-PDU-Descriptions (0)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ *******************
-- IE parameter types from other modules.
__ **********************************
IMPORTS
   Criticality,
   ProcedureCode
FROM SBC-AP-CommonDataTypes
   Write-Replace-Warning-Request,
   Write-Replace-Warning-Response,
   Stop-Warning-Request,
   Stop-Warning-Response,
   Error-Indication,
   Write-Replace-Warning-Indication,
   Stop-Warning-Indication,
   PWS-Restart-Indication,
   PWS-Failure-Indication
FROM SBC-AP-PDU-Contents
   id-Write-Replace-Warning,
   id-Stop-Warning,
   id-Error-Indication,
   id-Write-Replace-Warning-Indication,
   id-Stop-Warning-Indication,
   id-PWS-Restart-Indication,
   id-PWS-Failure-Indication
FROM SBC-AP-Constants;
__ *******************
-- Interface Elementary Procedure Class
__ *********************
SBC-AP-ELEMENTARY-PROCEDURE ::= CLASS {
```

```
&InitiatingMessage ,
   &SuccessfulOutcome OPTIONAL,
   &UnsuccessfulOutcome OPTIONAL,
   &procedureCode ProcedureCode UNIQUE,
   &criticality Criticality
                                 DEFAULT ignore
WITH SYNTAX {
   INITIATING MESSAGE &InitiatingMessage
   [SUCCESSFUL OUTCOME &SuccessfulOutcome]
   [UNSUCCESSFUL OUTCOME &UnsuccessfulOutcome]
   PROCEDURE CODE &procedureCode
   [CRITICALITY
                &criticality]
}
-- Interface PDU Definition
__ *********************
SBC-AP-PDU ::= CHOICE {
   initiatingMessage successfulOutcome SuccessfulOutcome,
   unsuccessfulOutcome UnsuccessfulOutcome,
}
InitiatingMessage ::= SEQUENCE {
   procedureCode SBC-AP-ELEMENTARY-PROCEDURE.&procedureCode ({SBC-AP-ELEMENTARY-PROCEDURES}),
   criticality SBC-AP-ELEMENTARY-PROCEDURE.&criticality ({SBC-AP-ELEMENTARY-
PROCEDURES \ {@procedureCode \} ) ,
           SBC-AP-ELEMENTARY-PROCEDURE.&InitiatingMessage ({SBC-AP-ELEMENTARY-
   value
PROCEDURES \ {@procedureCode \} )
}
SuccessfulOutcome ::= SEQUENCE {
   procedureCode SBC-AP-ELEMENTARY-PROCEDURE.&procedureCode ({SBC-AP-ELEMENTARY-PROCEDURES}),
   criticality SBC-AP-ELEMENTARY-PROCEDURE.&criticality ({SBC-AP-ELEMENTARY-
PROCEDURES \ { @procedureCode \} ) ,
           SBC-AP-ELEMENTARY-PROCEDURE. & Successful Outcome ({SBC-AP-ELEMENTARY-
PROCEDURES \ {@procedureCode \} )
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode SBC-AP-ELEMENTARY-PROCEDURE.&procedureCode ({SBC-AP-ELEMENTARY-PROCEDURES}),
   criticality SBC-AP-ELEMENTARY-PROCEDURE.&criticality ({SBC-AP-ELEMENTARY-
{\tt PROCEDURES} \\ \{ @ procedure Code \} \, ) \; \text{,}
           SBC-AP-ELEMENTARY-PROCEDURE.&UnsuccessfulOutcome ({SBC-AP-ELEMENTARY-
   value
PROCEDURES \ {@procedureCode \} )
}
__ *********************
-- Interface Elementary Procedure List
__ **********************
SBC-AP-ELEMENTARY-PROCEDURES SBC-AP-ELEMENTARY-PROCEDURE ::= {
   SBC-AP-ELEMENTARY-PROCEDURES-CLASS-1
   SBC-AP-ELEMENTARY-PROCEDURES-CLASS-2
}
SBC-AP-ELEMENTARY-PROCEDURES-CLASS-1 SBC-AP-ELEMENTARY-PROCEDURE ::= {
   write-Replace-Warning |
   stop-Warning
}
SBC-AP-ELEMENTARY-PROCEDURES-CLASS-2 SBC-AP-ELEMENTARY-PROCEDURE ::= {
   error-Indication
                      write-Replace-Warning-Indication
   stop-Warning-Indication |
   pws-Restart-Indication |
   pws-Failure-Indication,
   }
```

```
write-Replace-Warning SBC-AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Write-Replace-Warning-Request SUCCESSFUL OUTCOME Write-Replace-Warning-Response
    PROCEDURE CODE id-Write-Replace-Warning
    CRITICALITY reject
}
\verb|stop-Warning| SBC-AP-ELEMENTARY-PROCEDURE| ::= \{ \\
    INITIATING MESSAGE Stop-Warning-Request
    SUCCESSFUL OUTCOME Stop-Warning-Response
    PROCEDURE CODE id-Stop-Warning
    CRITICALITY reject
}
error-Indication SBC-AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Error-Indication
    PROCEDURE CODE id-Error-Indication
   CRITICALITY ignore
}
write-Replace-Warning-Indication SBC-AP-ELEMENTARY-PROCEDURE
    INITIATING MESSAGE Write-Replace-Warning-Indication
    PROCEDURE CODE id-Write-Replace-Warning-Indication
    CRITICALITY ignore
stop-Warning-Indication SBC-AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Stop-Warning-Indication
    PROCEDURE CODE id-Stop-Warning-Indication
    CRITICALITY ignore
}
{\tt pws-Restart-Indication~SBC-AP-ELEMENTARY-PROCEDURE~::=~\{}
    INITIATING MESSAGE PWS-Restart-Indication
    PROCEDURE CODE id-PWS-Restart-Indication
    CRITICALITY ignore
pws-Failure-Indication SBC-AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE PWS-Failure-Indication
    PROCEDURE CODE id-PWS-Failure-Indication
    CRITICALITY ignore
}
END
```

4.4.4 PDU definitions

```
__ ********************
-- PDU definitions for SBC-AP.
__ *********************
SBC-AP-PDU-Contents {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) sbc-AP (3) version1 (1) sbc-AP-PDU-Contents (1)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ ********************************
-- IE parameter types from other modules.
__ ********************************
TMPORTS
   Cause,
   Concurrent-Warning-Message-Indicator,
   Criticality-Diagnostics,
   Data-Coding-Scheme,
   Message-Identifier,
```

```
Serial-Number,
    List-of-TAIs,
    Warning-Area-List,
    Omc-Id,
    Repetition-Period,
    Extended-Repetition-Period,
    Number-of-Broadcasts-Requested,
    Warning-Type,
    Warning-Security-Information,
    Warning-Message-Content,
    Warning-Area-Coordinates,
    Send-Write-Replace-Warning-Indication,
    Broadcast-Scheduled-Area-List,
    Unknown-Tracking-Area-List,
    Send-Stop-Warning-Indication,
    Broadcast-Cancelled-Area-List,
    Stop-All-Indicator,
    Broadcast-Empty-Area-List,
    Restarted-Cell-List,
    Global-ENB-ID,
    List-of-TAIs-Restart,
    List-of-EAIs-Restart,
    Failed-Cell-List,
    List-of-5GS-TAIs,
    Warning-Area-List-5GS.
    Global-RAN-Node-ID,
    Global-GNB-ID,
    RAT-Selector-5GS,
    Unknown-5GS-Tracking-Area-List,
    Broadcast-Scheduled-Area-List-5GS.
    Broadcast-Cancelled-Area-List-5GS,
    Broadcast-Empty-Area-List-5GS,
    Restarted-Cell-List-NR,
    Failed-Cell-List-NR.
    List-of-5GS-TAI-for-Restart,
    Test-Flag-5GS
FROM SBC-AP-IEs
    ProtocolExtensionContainer{},
    ProtocolIE-Container{},
    SBC-AP-PROTOCOL-EXTENSION,
    SBC-AP-PROTOCOL-IES
FROM SBC-AP-Containers
    id-Concurrent-Warning-Message-Indicator,
    id-Criticality-Diagnostics,
    id-Cause,
    id-Data-Coding-Scheme,
    id-List-of-TAIs,
    id-Message-Identifier,
    id-Serial-Number,
    id-Number-of-Broadcasts-Requested,
    id-Omc-Id,
    id-Radio-Resource-Loading-List,
    id-Recovery-Indication,
    id-Repetition-Period,
    id-Extended-Repetition-Period,
    id-Warning-Area-List,
    id-Warning-Message-Content,
    id-Warning-Area-Coordinates,
    id-Warning-Security-Information,
    id-Warning-Type,
    id-Send-Write-Replace-Warning-Indication,
    id-Broadcast-Scheduled-Area-List.
    id-Unknown-Tracking-Area-List,
    id-Send-Stop-Warning-Indication,
    id-Broadcast-Cancelled-Area-List,
    id-Stop-All-Indicator,
    id-Broadcast-Empty-Area-List,
    id-Global-ENB-ID,
    id-Restarted-Cell-List,
    id-List-of-TAIs-Restart,
    id-List-of-EAIs-Restart,
    id-Failed-Cell-List,
    id-List-of-5GS-TAIs,
    id-Warning-Area-List-5GS,
    id-Global-RAN-Node-ID,
```

```
id-Global-GNB-ID,
   id-RAT-Selector-5GS,
   id-Unknown-5GS-Tracking-Area-List,
   id-Broadcast-Scheduled-Area-List-5GS,
   id-Broadcast-Cancelled-Area-List-5GS,
   id-Broadcast-Empty-Area-List-5GS,
   id-Restarted-Cell-List-NR,
   id-Failed-Cell-List-NR,
   id-List-of-5GS-TAI-for-Restart,
   id-Test-Flag-5GS
FROM SBC-AP-Constants;
__ *********************
-- Write-Replace-Warning-Request
Write-Replace-Warning-Request ::= SEQUENCE {
   protocolIEs ProtocolIE-Container { {Write-Replace-Warning-Request-IEs} },
   protocolExtensions ProtocolExtensionContainer { {Write-Replace-Warning-Request-Extensions} }
OPTIONAL,
}
Write-Replace-Warning-Request-IEs SBC-AP-PROTOCOL-IES ::= {
   { ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
    { ID id-Extended-Repetition-Period CRITICALITY reject TYPE Extended-Repetition-Period PRESENCE
optional } |
   { ID id-Number-of-Broadcasts-Requested
   CRITICALITY reject TYPE Number-of-Broadcasts-Requested PRESENCE mandatory }
   ID id-Warning-Security-Information CRITICALITY ignore TYPE Warning-Security-Information
   PRESENCE optional } |
   { ID id-Data-Coding-Scheme CRITICALITY ignore TYPE Data-Coding-Scheme PRESENCE optional } |
   ID id-Warning-Message-Content
   CRITICALITY ignore TYPE Warning-Message-Content
                                          PRESENCE optional } |
   { ID id-Omc-Id CRITICALITY ignore TYPE Omc-Id PRESENCE optional } |
   { ID id-Concurrent-Warning-Message-Indicator CRITICALITY reject TYPE Concurrent-Warning-
Message-Indicator PRESENCE optional }
   { ID id-Send-Write-Replace-Warning-Indication CRITICALITY ignore TYPE Send-Write-Replace-
Warning-Indication PRESENCE optional } |
   {ID id-Warning-Area-Coordinates CRITICALITY ignore TYPE Warning-Area-Coordinates PRESENCE
optional}
Write-Replace-Warning-Request-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
   { ID id-Warning-Area-List-5GS CRITICALITY ignore EXTENSION Warning-Area-List-5GS PRESENCE
optional }|
   { ID id-Global-RAN-Node-ID CRITICALITY ignore EXTENSION Global-RAN-Node-ID
optional }|
   }
__ *********************************
-- Write-Replace-Warning-Response
__ *********************
Write-Replace-Warning-Response ::= SEQUENCE {
  protocolIEs ProtocolIE-Container { {Write-Replace-Warning-Response-IEs} },
   protocolExtensions ProtocolExtensionContainer { {Write-Replace-Warning-Response-Extensions} }
OPTIONAL,
  . . .
}
```

```
Write-Replace-Warning-Response-IEs SBC-AP-PROTOCOL-IES ::= {
    ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
    ID id-Cause CRITICALITY reject TYPE Cause PRESENCE mandatory }
                                                        { ID id-Criticality-Diagnostics CRITICALITY ignore TYPE Criticality-Diagnostics
optional } |
   { ID id-Unknown-Tracking-Area-List CRITICALITY ignore TYPE List-of-TAIS PRESENCE optional },
}
Write-Replace-Warning-Response-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
   { ID id-Unknown-5GS-Tracking-Area-List CRITICALITY ignore EXTENSION Unknown-5GS-Tracking-Area-
List PRESENCE optional },
}
-- Stop-Warning-Reguest
__ **********************
Stop-Warning-Request ::= SEQUENCE {
  protocolIEs ProtocolIE-Container { {Stop-Warning-Request-IEs} },
   protocolExtensions ProtocolExtensionContainer { {Stop-Warning-Request-Extensions} } OPTIONAL,
}
Stop-Warning-Request-IES SBC-AP-PROTOCOL-IES ::= {
   { ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
   { ID id-Warning-Area-List CRITICALITY ignore TYPE Warning-Area-List PRESENCE optional }|
   { ID id-Omc-Id CRITICALITY ignore TYPE Omc-Id PRESENCE optional } |
   \{ 	ext{ ID id-Send-Stop-Warning-Indication} 	ext{ CRITICALITY ignore TYPE Send-Stop-Warning-Indication} \}
   PRESENCE optional }
   { ID id-Stop-All-Indicator CRITICALITY reject TYPE Stop-All-Indicator PRESENCE optional},
   . . .
}
Stop-Warning-Request-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
   { ID id-Warning-Area-List-5GS CRITICALITY ignore EXTENSION Warning-Area-List-5GS PRESENCE
optional } |
           optional },
__ *********************
-- Stop-Warning-Response
__ **********************************
Stop-Warning-Response ::= SEQUENCE {
   protocolIEs ProtocolIE-Container { {Stop-Warning-Response-IEs} },
   protocolExtensions ProtocolExtensionContainer { {Stop-Warning-Response-Extensions} } OPTIONAL,
{\tt Stop-Warning-Response-IEs} \ {\tt SBC-AP-PROTOCOL-IES} \ ::= \ \big\{
   { ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
   ID id-Cause CRITICALITY reject TYPE Cause PRESENCE mandatory }
   ID id-Criticality-Diagnostics CRITICALITY ignore TYPE Criticality-Diagnostics
optional } |
   }
{\tt Stop-Warning-Response-Extensions} \  \  {\tt SBC-AP-PROTOCOL-EXTENSION} \  \  {\tt ::=} \  \  \{
  { ID id-Unknown-5GS-Tracking-Area-List CRITICALITY ignore EXTENSION Unknown-5GS-Tracking-Area-
List PRESENCE optional },
}
 ******************
```

```
-- Write-Replace-Warning-Indication
__ ********************
Write-Replace-Warning-Indication ::= SEQUENCE {
  protocolIEs ProtocolIE-Container { {Write-Replace-Warning-Indication-IEs} },
  protocolExtensions ProtocolExtensionContainer { {Write-Replace-Warning-Indication-Extensions} }
OPTIONAL,
Write-Replace-Warning-Indication-IEs SBC-AP-PROTOCOL-IES ::= {
   { ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
   . ID id-Broadcast-Scheduled-Area-List CRITICALITY reject TYPE Broadcast-Scheduled-Area-List
   PRESENCE optional },
}
Write-Replace-Warning-Indication-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
   { ID id-Broadcast-Scheduled-Area-List-5GS CRITICALITY ignore EXTENSION Broadcast-Scheduled-
Area-List-5GS PRESENCE optional }
   { ID id-Broadcast-Empty-Area-List CRITICALITY ignore EXTENSION Broadcast-Empty-Area-List
   PRESENCE optional }
   5GS PRESENCE optional },
   . . .
}
__ *********************
-- Stop-Warning-Indication
__ *********************
Stop-Warning-Indication ::= SEQUENCE {

Stop-Warning-Indication-IEs} },
  protocolExtensions ProtocolExtensionContainer { {Stop-Warning-Indication-Extensions} }
OPTIONAL,
Stop-Warning-Indication-IEs SBC-AP-PROTOCOL-IES ::= {
   { ID id-Message-Identifier CRITICALITY reject TYPE Message-Identifier PRESENCE mandatory } |
   ID id-Broadcast-Cancelled-Area-List CRITICALITY reject TYPE Broadcast-Cancelled-Area-List
   PRESENCE optional } |
   optional },
Stop-Warning-Indication-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
  { ID id-Broadcast-Cancelled-Area-List-5GS CRITICALITY ignore EXTENSION Broadcast-Cancelled-
Area-List-5GS PRESENCE optional } |
   { ID id-Broadcast-Empty-Area-List-5GS CRITICALITY ignore EXTENSION Broadcast-Empty-Area-List-
5GS PRESENCE optional },
}
__ **********************
-- PWS-Restart-Indication
__ *******************
protocolExtensions ProtocolExtensionContainer { {PWS-Restart-Indication-Extensions} } OPTIONAL,
}
{\tt PWS-Restart-Indication-IEs~SBC-AP-PROTOCOL-IES~::=~\{}
   { ID id-Restarted-Cell-List CRITICALITY reject TYPE Restarted-Cell-List PRESENCE mandatory
```

```
{ ID id-List-of-TAIs-Restart CRITICALITY reject TYPE List-of-TAIs-Restart PRESENCE
mandatory } |
 optional },
PWS-Restart-Indication-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
  optional }|
  { ID id-List-of-5GS-TAI-for-Restart CRITICALITY ignore EXTENSION List-of-5GS-TAI-for-Restart
  PRESENCE optional }|
__ ********************
-- PWS-Failure-Indication
PWS-Failure-Indication ::= SEQUENCE {

{ PWS-Failure-Indication-IEs} },
  protocolExtensions ProtocolExtensionContainer { {PWS-Failure-Indication-Extensions} } OPTIONAL,
{\tt PWS-Failure-Indication-IEs~SBC-AP-PROTOCOL-IES~::=~\{}
  }
PWS-Failure-Indication-Extensions SBC-AP-PROTOCOL-EXTENSION ::= {
  { ID id-Failed-Cell-List-NR CRITICALITY ignore EXTENSION Failed-Cell-List-NR
optional }|
  __ **********************************
-- ERROR INDICATION ELEMENTARY PROCEDURE
__ **********************
__ *********************
-- Error Indication
Error-Indication ::= SEQUENCE {
 protocolIEs ProtocolIE-Container {{ErrorIndicationIEs}},
ErrorIndicationIEs SBC-AP-PROTOCOL-IES ::= {
  { ID id-Criticality-Diagnostics CRITICALITY ignore TYPE Criticality-Diagnostics PRESENCE
optional } ,
}
END
```

4.4.5 Information element definitions

```
SBC-AP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) sbc-AP (3) version1 (1) sbc-AP-IEs (2)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   maxNrOfErrors,
   maxNrOfTAIs.
   maxnoofTAIforWarning,
   maxnoofCellID,
   maxnoofEmergencyAreaID,
   id-TypeOfError,
   maxnoofCellinEAI,
   maxnoofCellinTAI,
   maxnoofeNBIds,
   maxnoofRestartTAIs,
   maxnoofRestartEAIs,
   maxnoofRestartedCells,
   maxnoofFailedCells,
   maxnoof5GSTAIs.
   maxnoofCellsingNB,
   maxnoofCellsin5GS,
   maxnoofCellsforRestartNR,
   maxnoofRANNodes,
    maxnoofRestart5GSTAIs,
    maxnoofCellsin5GSTAI
FROM SBC-AP-Constants
    Criticality,
    ProcedureCode,
    TriggeringMessage,
    ProtocolIE-ID
FROM SBC-AP-CommonDataTypes
    ProtocolExtensionContainer{},
    SBC-AP-PROTOCOL-EXTENSION
FROM SBC-AP-Containers;
-- A
Broadcast-Scheduled-Area-List ::= SEQUENCE {
                                                                     OPTIONAL.
    cellId-Broadcast-List CellId-Broadcast-List
    tAI-Broadcast-List TAI-Broadcast-List OPTIONAL, emergencyAreaID-Broadcast-List EmergencyAreaID-Broadcast-List OPTIONAL,
    iE-Extensions ProtocolExtensionContainer {{Broadcast-Scheduled-Area-List-ExtIEs}} OPTIONAL,
}
Broadcast-Scheduled-Area-List-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
Broadcast-Scheduled-Area-List-5GS ::= SEQUENCE {
   cellId-Broadcast-List-5GS CellId-Broadcast-List-5GS TAI-Broadcast-List-5GS
                                                                     OPTIONAL,
                                                                    OPTIONAL,
    emergencyAreaID-Broadcast-List EmergencyAreaID-Broadcast-List OPTIONAL,
    iE-Extensions ProtocolExtensionContainer {{Broadcast-Scheduled-Area-List-5GS-ExtIEs}}
OPTIONAL,
}
Broadcast-Scheduled-Area-List-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
Broadcast-Cancelled-Area-List ::= SEQUENCE {
   cellID-Cancelled-List CellID-Cancelled-List
                                                    OPTIONAL,
    tAI-Cancelled-List TAI-Cancelled-List OPTIONAL,
```

```
emergencyAreaID-Cancelled-List EmergencyAreaID-Cancelled-List OPTIONAL,
    iE-Extensions ProtocolExtensionContainer {{Broadcast-Cancelled-Area-List-ExtIEs}} OPTIONAL,
}
Broadcast-Cancelled-Area-List-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
Broadcast-Cancelled-Area-List-5GS ::= SEQUENCE {
   cellID-Cancelled-List-5GS CellID-Cancelled-List-5GS
    tAI-Cancelled-List-5GS TAI-Cancelled-List-5GS OPTIONAL,
    \verb|emergencyAreaID-Cancelled-List| EmergencyAreaID-Cancelled-List| OPTIONAL,
    iE-Extensions ProtocolExtensionContainer {{Broadcast-Cancelled-Area-List-5GS-ExtIEs}}
OPTIONAL,
    . . .
Broadcast-Cancelled-Area-List-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
Broadcast-Empty-Area-List ::= SEQUENCE (SIZE (1.. maxnoofeNBIds)) OF Global-ENB-ID
Broadcast-Empty-Area-List-5GS := SEQUENCE (SIZE (1.. maxnoofRANNodes)) OF Global-RAN-Node-ID
-- C
CancelledCellinEAI ::= SEQUENCE (SIZE(1..maxnoofCellinEAI)) OF CancelledCellinEAI-Item
CancelledCellinEAI-Item ::= SEQUENCE {
           EUTRAN-CGI,
    numberOfBroadcasts NumberOfBroadcasts,
    iE-Extensions ProtocolExtensionContainer { {CancelledCellinEAI-Item-ExtIEs} } OPTIONAL,
}
CancelledCellinEAI-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
CancelledCellinTAI ::= SEQUENCE (SIZE(1..maxnoofCellinTAI)) OF CancelledCellinTAI-Item
CancelledCellinTAI-Item ::= SEQUENCE{
           EUTRAN-CGI,
   eCGI
   numberOfBroadcasts NumberOfBroadcasts,
    iE-Extensions ProtocolExtensionContainer { {CancelledCellinTAI-Item-ExtIEs} } OPTIONAL,
}
CancelledCellinTAI-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
CancelledCellinTAI-5GS ::= SEQUENCE (SIZE(1..maxnoofCellsin5GSTAI)) OF
    SEQUENCE {
    nR-CGI NR-CGI,
    numberOfBroadcasts NumberOfBroadcasts,
   iE-Extensions ProtocolExtensionContainer { {CancelledCellinTAI-5GS-ExtIEs} } OPTIONAL,
CancelledCellinTAI-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
Cause ::= INTEGER {
   message-accepted
    parameter-not-recognised (1),
   parameter-value-invalid (2),
    valid-message-not-identified
                                   (3),
                               (4),
    tracking-area-not-valid
    unrecognised-message (5),
   missing-mandatory-element
                               (6),
   mME-capacity-exceeded (7),
   mME-memory-exceeded
                           (8),
                                      (9),
    warning-broadcast-not-supported
```

```
warning-broadcast-not-operational
    message-reference-already-used (11),
   unspecifed-error
                         (12),
    transfer-syntax-error
                            (13)
    semantic-error
                        (14),
   message-not-compatible-with-receiver-state (15),
    abstract-syntax-error-reject
                                    (16),
    abstract-syntax-error-ignore-and-notify (17),
    \verb|abstract-syntax-error-falsely-constructed-message|\\
} (0..255)
CellId-Broadcast-List ::= SEQUENCE (SIZE(1..maxnoofCellID)) OF CellId-Broadcast-List-Item
CellId-Broadcast-List-Item ::= SEQUENCE {
    eCGI EUTRAN-CGI,
    iE-Extensions ProtocolExtensionContainer { {CellId-Broadcast-List-Item-ExtIEs} } OPTIONAL,
CellId-Broadcast-List-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
CellId-Broadcast-List-5GS ::= SEQUENCE (SIZE(1..maxnoofCellsin5GS)) OF
    SEQUENCE {
   nR-CGI NR-CGI,
    iE-Extensions ProtocolExtensionContainer { {CellId-Broadcast-List-5GS-ExtIEs} } OPTIONAL,
}
Cellid-Broadcast-List-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
\texttt{CellID-Cancelled-List} \; ::= \; \texttt{SEQUENCE} \; \; (\texttt{SIZE(1..maxnoofCellID)}) \; \; \texttt{OF} \; \; \texttt{CellID-Cancelled-Item} \; \\
CellID-Cancelled-Item ::= SEQUENCE {
           EUTRAN-CGI,
    eCGI
    numberOfBroadcasts NumberOfBroadcasts,
    iE-Extensions ProtocolExtensionContainer { {CellID-Cancelled-Item-ExtIEs} } OPTIONAL,
}
CellID-Cancelled-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
CellID-Cancelled-List-5GS ::= SEQUENCE (SIZE(1..maxnoofCellsin5GS)) OF
    SEQUENCE {
   nR-CGI NR-CGI,
   numberOfBroadcasts NumberOfBroadcasts,
    \verb|ie-Extensions| & \verb|ProtocolExtensionContainer| \{ | \{ CellID-Cancelled-5GS-ExtIEs \} | \} | OPTIONAL, \\
CellID-Cancelled-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
CellIdentity ::= BIT STRING (SIZE (28))
Concurrent-Warning-Message-Indicator ::= ENUMERATED {true}
Criticality-Diagnostics ::= SEQUENCE {
   procedureCode ProcedureCode
                                    OPTIONAL,
    triggeringMessage TriggeringMessage OPTIONAL,
                            Criticality OPTIONAL,
    procedureCriticality
    \verb|iE-Critical| ity \verb|Diagnostics-IE-List-OPTIONAL|,
    iE-Extensions ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL,
}
CriticalityDiagnostics-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
```

```
CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
   iECriticality Criticality,
   iE-ID ProtocolIE-ID,
    typeOfError
                   TypeOfError,
    iE-Extensions ProtocolExtensionContainer {{CriticalityDiagnostics-IE-Item-ExtIEs}} OPTIONAL,
}
CriticalityDiagnostics-IE-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
-- D
Data-Coding-Scheme ::= BIT STRING (SIZE (8))
-- E
ECGIList ::= SEQUENCE (SIZE(1..maxnoofCellID)) OF EUTRAN-CGI
Emergency-Area-ID-List ::= SEQUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF Emergency-Area-ID
Emergency-Area-ID ::= OCTET STRING (SIZE (3))
EmergencyAreaID-Broadcast-List ::= SEQUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF EmergencyAreaID-
Broadcast-List-Item
EmergencyAreaID-Broadcast-List-Item ::= SEQUENCE {
   emergencyAreaID Emergency-Area-ID,
    scheduledCellinEAI ScheduledCellinEAI,
    iE-Extensions ProtocolExtensionContainer { {EmergencyAreaID-Broadcast-List-Item-ExtIEs} }
OPTIONAL,
}
EmergencyAreaID-Broadcast-List-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
EmergencyAreaID-Cancelled-List ::= SEQUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF EmergencyAreaID-
Cancelled-Item
EmergencyAreaID-Cancelled-Item ::= SEQUENCE {
    emergencyAreaID Emergency-Area-ID,
    cancelledCellinEAI CancelledCellinEAI,
    iE-Extensions ProtocolExtensionContainer { {EmergencyAreaID-Cancelled-Item-ExtIEs} } OPTIONAL,
    . . .
}
EmergencyAreaID-Cancelled-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
EUTRAN-CGI ::= SEQUENCE {
   pLMNidentity
                 PLMNidentity,
    cell-ID CellIdentity,
   iE-Extensions ProtocolExtensionContainer { {EUTRAN-CGI-ExtIEs} } OPTIONAL,
EUTRAN-CGI-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
Extended-Repetition-Period ::= INTEGER (4096..131071)
ENB-ID ::= CHOICE {
    macroENB-ID BIT STRING (SIZE(20)),
    homeENB-ID BIT STRING (SIZE(28)),
    \verb|short-macroENB-ID| & \verb|BIT| STRING| (SIZE(18)),\\
    long-macroENB-ID
BIT STRING (SIZE(21))
}
```

```
-- F
Failed-Cell-List ::= SEQUENCE (SIZE(1..maxnoofFailedCells)) OF EUTRAN-CGI
Failed-Cell-List-NR ::= SEQUENCE (SIZE(1..maxnoofCellsingNB)) OF NR-CGI
Global-ENB-ID ::= SEQUENCE {
   pLMNidentity PLMNidentity,
    eNB-ID ENB-ID,
    iE-Extensions ProtocolExtensionContainer { {GlobalENB-ID-ExtIEs} } OPTIONAL,
}
GlobalENB-ID-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
Global-RAN-Node-ID ::= CHOICE {
    global-GNB-ID Global-GNB-ID,
    global-NgENB-ID Global-NgENB-ID,
}
Global-GNB-ID ::= SEQUENCE {
   pLMNidentity PLMNidentity,
    gNB-ID GNB-ID,
   iE-Extensions ProtocolExtensionContainer { {Global-GNB-ID-ExtIEs} } OPTIONAL,
}
Global-GNB-ID-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
GNB-ID ::= CHOICE {
  gNB-ID BIT STRING (SIZE(22..32)),
Global-NgENB-ID ::= SEQUENCE {
   pLMNidentity PLMNidentity, ngENB-ID ENB-ID,
   iE-Extensions ProtocolExtensionContainer { {Global-NgENB-ID-ExtIEs} } OPTIONAL,
}
Global-NgENB-ID-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
-- H
-- I
-- J
-- K
List-of-TAIs
                ::= SEQUENCE (SIZE (1..maxNrOfTAIs)) OF
   SEQUENCE {
   tai TAI
List-of-TAIs-Restart := SEQUENCE (SIZE (1..maxnoofRestartTAIs)) OF
   SEQUENCE {
    tai TAI
}
List-of-EAIs-Restart ::= SEQUENCE (SIZE(1..maxnoofRestartEAIs)) OF Emergency-Area-ID
List-of-5GS-TAIS ::= SEQUENCE (SIZE (1..maxnoof5GSTAIs)) OF TAI-5GS
```

```
List-of-5GS-Cells-for-Failure ::= SEQUENCE ( SIZE(1..maxnoofCellsingNB)) OF NR-CGI
List-of-5GS-TAI-for-Restart ::= SEQUENCE (SIZE (1..maxnoofRestart5GSTAIs)) OF TAI-5GS
-- M
Message-Identifier ::= BIT STRING (SIZE (16))
Number-of-Broadcasts-Requested ::= INTEGER (0..65535)
-- For Number-of-Broadcasts-Requested = 0 and Repetition-Period = 0, then eNB action is no broadcast
-- for ETWS Secondary and CMAS.
-- For Number-of-Broadcasts-Requested = 1 and Repetition-Period = 0, then eNB action is broadcast
-- only once for ETWS and CMAS.
-- For Number-of-Broadcasts-Requested = 0 and Repetition-Period > 0, then eNB action is no broadcast
-- for the ETWS Secondary, and broadcast until further notice for the CMAS.
-- For Number-of-Broadcasts-Requested > 0 and Repetition-Period > 0, then eNB action is normal
-- broadcast.
-- All other combinations of Number-of-Broadcasts-Requested and Repetition-Period are considered
-- invalid.
NumberOfBroadcasts ::= INTEGER (0..65535)
NR-CGIList ::= SEQUENCE (SIZE(1..maxnoofCellsingNB)) OF NR-CGI
NR-CGI ::= SEQUENCE {
   pLMNidentity PLMNidentity,
   nRCellIdentity NRCellIdentity,
    iE-Extensions ProtocolExtensionContainer { {NR-CGI-ExtIEs} } OPTIONAL,
}
NR-CGI-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
NRCellIdentity ::= BIT STRING (SIZE(36))
NqENB-ID
          ::= CHOICE {
   macroNgENB-ID BIT STRING (SIZE(20)),
    shortMacroNgENB-ID BIT STRING (SIZE(18)),
    longMacroNgENB-ID BIT STRING (SIZE(21)),
}
-- O
Omc-Id ::= OCTET STRING (SIZE (1..20))
-- P
PLMNidentity ::= TBCD-STRING
-- R
Repetition-Period ::= INTEGER (0..4096)
-- 1 to 4096: Each unit represents a repetition of one second to a maximum of
-- once per 4096 seconds (~1 hour).
-- 0: no repetition
-- A CBC compliant to this version or later of this specification shall not send a repetition period
-- greater than 4095.
-- For backwards compatibility with a CBC compliant to an earlier version of this specification the
-- maximum value of the repetition period defined in ASN.1 remains at 4096.
-- If the value of the Repetition Period IE received in the WRITE-REPLACE WARNING REQUEST message is
-- set to 4096, the MME shall set the Repetition Period IE to the maximum value 4095 supported on
-- the S1-MME interface as defined in [7] before forwarding to the selected eNBs.
Restarted-Cell-List ::= SEQUENCE (SIZE(1.. maxnoofRestartedCells)) OF EUTRAN-CGI
RAT-Selector-5GS ::= ENUMERATED {true}
```

```
Restarted-Cell-List-NR ::= SEQUENCE (SIZE(1.. maxnoofCellsforRestartNR)) OF NR-CGI
ScheduledCellinEAI ::= SEQUENCE (SIZE(1..maxnoofCellinEAI)) OF ScheduledCellinEAI-Item
ScheduledCellinEAI-Item ::= SEQUENCE {
          EUTRAN-CGI,
    iE-Extensions ProtocolExtensionContainer { {ScheduledCellinEAI-Item-ExtIEs} } OPTIONAL,
}
ScheduledCellinEAI-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
ScheduledCellinTAI ::= SEQUENCE (SIZE(1..maxnoofCellinTAI)) OF ScheduledCellinTAI-Item
ScheduledCellinTAI-Item ::= SEQUENCE{
    eCGI
           EUTRAN-CGI,
    iE-Extensions ProtocolExtensionContainer { {ScheduledCellinTAI-Item-ExtIEs} } OPTIONAL,
}
ScheduledCellinTAI-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
ScheduledCellinTAI-5GS ::= SEQUENCE (SIZE(1..maxnoofCellsin5GSTAI)) OF
   SEQUENCE {
    nR-CGI NR-CGI,
   iE-Extensions ProtocolExtensionContainer { {ScheduledCellinTAI-5GS-ExtIEs} } OPTIONAL,
}
ScheduledCellinTAI-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
Send-Write-Replace-Warning-Indication ::= ENUMERATED {true}
Send-Stop-Warning-Indication ::= ENUMERATED {true}
Serial-Number
               ::= BIT STRING (SIZE (16))
{\tt Stop-All-Indicator} \; ::= \; {\tt ENUMERATED} \; \{ {\tt true} \}
-- T
TAC ::= OCTET STRING (SIZE (2))
TAC-5GS ::= OCTET STRING (SIZE (3))
TAI-Broadcast-List ::= SEQUENCE (SIZE(1..maxnoofTAIforWarning)) OF TAI-Broadcast-List-Item
TAI-Broadcast-List-Item ::= SEQUENCE {
    scheduledCellinTAI ScheduledCellinTAI,
    \verb|iE-Extensions| & ProtocolExtensionContainer { \{TAI-Broadcast-List-Item-ExtIEs} } ) & OPTIONAL, \\
}
TAI-Broadcast-List-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
TAI-Broadcast-List-5GS ::= SEQUENCE (SIZE(1..maxnoof5GSTAIs)) OF
    SEOUENCE {
    tAI-5GS TAI-5GS,
    scheduledCellinTAI-5GS ScheduledCellinTAI-5GS,
    iE-Extensions ProtocolExtensionContainer { {TAI-Broadcast-List-5GS-ExtIEs} } OPTIONAL,
TAI-Broadcast-List-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
```

```
}
TAI-Cancelled-List ::= SEQUENCE (SIZE(1..maxnoofTAIforWarning)) OF TAI-Cancelled-List-Item
TAI-Cancelled-List-Item ::= SEQUENCE {
         tAI TAI,
         cancelledCellinTAI CancelledCellinTAI,
         iE-Extensions ProtocolExtensionContainer { {TAI-Cancelled-List-Item-ExtIEs} } OPTIONAL,
}
TAI-Cancelled-List-Item-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
TAI-Cancelled-List-5GS ::= SEQUENCE (SIZE(1..maxnoof5GSTAIs)) OF
         SEQUENCE {
         tAI-5GS TAI-5GS,
         cancelledCellinTAI-5GS CancelledCellinTAI-5GS,
         \verb|iE-Extensions| & ProtocolExtensionContainer { $ \{TAI-Cancelled-List-5GS-ExtIEs \} } OPTIONAL, \\
}
TAI-Cancelled-List-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
TAI-List-for-Warning ::= SEQUENCE (SIZE(1.. maxnoofTAIforWarning)) OF TAI
TAI ::= SEQUENCE {
        pLMNidentity
                                              PLMNidentity,
         tAC TAC,
         iE-Extensions ProtocolExtensionContainer { {TAI-ExtIEs} } OPTIONAL
}
TAI-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
TBCD-STRING ::= OCTET STRING (SIZE (3))
TypeOfError ::= ENUMERATED {
         not-understood,
         missing,
TAI-5GS ::= SEQUENCE {
         pLMNidentity PLMNidentity,
         tAC-5GS TAC-5GS,
         \verb|iE-Extensions| & ProtocolExtensionContainer { {TAI-5GS-ExtIEs} } \\ | OPTIONAL \\
}
TAI-5GS-ExtIEs SBC-AP-PROTOCOL-EXTENSION ::= {
}
Test-Flag-5GS ::= ENUMERATED {true}
-- U
Unknown-Tracking-Area-List ::= SEQUENCE (SIZE(1.. maxNrOfTAIs)) OF TAI
Unknown-5GS-Tracking-Area-List ::= SEQUENCE (SIZE(1.. maxnoof5GSTAIs)) OF TAI-5GS
-- V
-- W
Warning-Area-List ::= CHOICE {
         cell-ID-List
                                           ECGIList,
         tracking-Area-List-for-Warning TAI-List-for-Warning,
         emergency-Area-ID-List Emergency-Area-ID-List,
}
```

4.4.6 Common definitions

4.4.7 Constant definitions

END

```
id-Stop-Warning INTEGER ::= 1
id-Error-Indication INTEGER ::= 2
id-Write-Replace-Warning-Indication INTEGER ::= 3
\verb|id-Stop-Warning-Indication| | \verb|INTEGER| ::= 4|
__ *********************
___
-- IEs
__ *********************************
id-Broadcast-Message-Content INTEGER ::= 0
id-Cause INTEGER ::= 1
id-Criticality-Diagnostics INTEGER ::=2
id-Data-Coding-Scheme INTEGER ::= 3
id-Failure-List INTEGER ::= 4
id-Message-Identifier INTEGER ::= 5
id-Number-of-Broadcasts-Completed-List INTEGER ::= 6
\verb|id-Number-of-Broadcasts-Requested| INTEGER ::= 7
\verb|id-Radio-Resource-Loading-List INTEGER ::= 8|
id-Recovery-Indication INTEGER ::= 9 id-Repetition-Period INTEGER ::= 10
id-Serial-Number INTEGER ::= 11
id-Service-Areas-List INTEGER ::= 12
id-TypeOfError INTEGER ::= 13
id-List-of-TAIs INTEGER ::= 14
id-Warning-Area-List INTEGER ::= 15
id-Warning-Message-Content INTEGER ::= 16
id-Warning-Security-Information INTEGER ::= 17
id-Warning-Type INTEGER ::= 18
id-Omc-Id INTEGER ::= 19
\verb|id-Concurrent-Warning-Message-Indicator INTEGER ::= 20|\\
id-Extended-Repetition-Period INTEGER ::= 21
id-Unknown-Tracking-Area-List INTEGER ::= 22
id-Unknown-Tracking-Area-List
id-Broadcast-Scheduled-Area-List INTEGER ::= 23
id-Send-Write-Replace-Warning-Indication INTEGER ::= 24
id-Broadcast-Cancelled-Area-List INTEGER ::= 25
id-Send-Stop-Warning-Indication INTEGER ::= 26
id-Stop-All-Indicator INTEGER ::= 27
id-Global-ENB-ID INTEGER ::= 28
id-Broadcast-Empty-Area-List INTEGER ::= 29
id-Restarted-Cell-List INTEGER ::= 30
id-List-of-TAIs-Restart INTEGER ::= 31
id-List-of-EAIs-Restart INTEGER ::= 32
id-Failed-Cell-List INTEGER ::= 33
id-List-of-5GS-TAIs INTEGER ::= 34
id-Warning-Area-List-5GS INTEGER ::= 35
id-Global-RAN-Node-ID INTEGER ::= 36
id-Global-GNB-ID INTEGER ::= 37
id-RAT-Selector-5GS INTEGER ::= 38
id-Unknown-5GS-Tracking-Area-List INTEGER ::= 39
id-Broadcast-Scheduled-Area-List-5GS INTEGER ::= 40 id-Broadcast-Cancelled-Area-List-5GS INTEGER ::= 41
id-Restarted-Cell-List-NR INTEGER ::= 43
id-Failed-Cell-List-NR INTEGER ::= 44
id-List-of-5GS-TAI-for-Restart INTEGER ::= 45
id-Warning-Area-Coordinates INTEGER ::= 46
id-Test-Flag-5GS INTEGER ::= 47
-- Extension constants
__ ********************
-- Lists
**************
maxNrOfErrors INTEGER ::= 256
maxnoofCellID INTEGER ::= 65535
```

END

4.4.8 Container Definitions

```
__ ********************************
-- Container definitions
__ ********************
SBC-AP-Containers {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) sbc-AP (3) version1 (1) sbc-AP-Containers (5)}
DEFINITIONS AUTOMATIC TAGS ::=
__ *********************
-- IE parameter types from other modules.
__ *****************
IMPORTS
  Criticality,
  Presence,
  ProtocolExtensionID,
   ProtocolIE-ID
FROM SBC-AP-CommonDataTypes
  maxProtocolExtensions,
  maxProtocolIEs
FROM SBC-AP-Constants;
__ *****************
-- Class Definition for Protocol IEs
__ *****************
SBC-AP-PROTOCOL-IES ::= CLASS {
  &id ProtocolIE-ID UNIQUE,
   &criticality Criticality DEFAULT ignore,
   &Value.
  &presence Presence
WITH SYNTAX {
   ID &id
   CRITICALITY &criticality
  TYPE &Value
  PRESENCE &presence
}
__ ********************************
```

END

```
-- Class Definition for Protocol Extensions
__ ********************
SBC-AP-PROTOCOL-EXTENSION ::= CLASS {
       &id ProtocolExtensionID UNIQUE,
         &criticality Criticality DEFAULT ignore,
         &Extension,
         &presence Presence
WITH SYNTAX {
         ID &id
         CRITICALITY &criticality
         EXTENSION &Extension
        PRESENCE &presence
}
__ ***********************************
-- Container for Protocol IEs
__ ********************
ProtocolIE-Container {SBC-AP-PROTOCOL-IES : IEsSetParam} ::=
         SEQUENCE (SIZE (0..maxProtocolIEs)) OF ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Field {SBC-AP-PROTOCOL-IES : IEsSetParam} ::= SEQUENCE {
         id SBC-AP-PROTOCOL-IES.&id ({IEsSetParam}),
                                                                                                                         ({IEsSetParam}{@id}),
         criticality SBC-AP-PROTOCOL-IES.&criticality
         value SBC-AP-PROTOCOL-IES.&Value
                                                                                                                         ({IEsSetParam}{@id})
__ *********************************
-- Container Lists for Protocol IE Containers
__ *******************************
ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, SBC-AP-PROTOCOL-IES :
IEsSetParam} ::=
         SEQUENCE (SIZE (lowerBound..upperBound)) OF
         ProtocolIE-Container {{IEsSetParam}}
__ **********************
-- Container for Protocol Extensions
__ ********************
ProtocolExtensionContainer {SBC-AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
         SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
         ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField {SBC-AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE {
         id SBC-AP-PROTOCOL-EXTENSION.&id ({ExtensionSetParam}),
          \texttt{criticality} \ \ \texttt{SBC-AP-PROTOCOL-EXTENSION}. \\ \texttt{\&criticality} \quad (\{\texttt{ExtensionSetParam}\} \{\texttt{@id}\}) \ , \\ \texttt{(aid)} \ ) \ 
         extensionValue SBC-AP-PROTOCOL-EXTENSION. & Extension ({ExtensionSetParam}{@id})
```

4.4.9 Message transfer syntax

SBC-AP shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax as specified in ref. [10].

4.5 Handling of unknown, unforeseen or erroneous protocol data

4.5.1 General

Protocol Error cases can be divided into three classes:

- Transfer Syntax Error;
- Abstract Syntax Error;
- Logical Error.

Protocol errors can occur in the following functions within a receiving node:

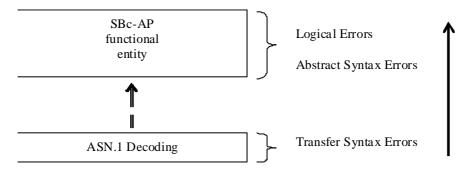


Figure 4.5.1-1: Protocol Errors in SBc-AP

The information stated in clauses 4.5.2, 4.5.3 and 4.5.4, to be included in the message used when reporting an error, is what at minimum shall be included. Other optional information elements within the message may also be included, if available. This is also valid for the case when the reporting is done with a response message.

4.5.2 Transfer Syntax Error

A Transfer Syntax Error occurs when the receiver is not able to decode the received physical message Transfer syntax errors are always detected in the process of ASN.1 decoding. If a Transfer Syntax Error occurs, the receiver should initiate Error Indication procedure with appropriate cause value for the Transfer Syntax protocol error.

4.5.3 Abstract Syntax Error

4.5.3.1 General

An Abstract Syntax Error occurs when the receiving functional SBc-AP entity:

- 1. receives IEs or IE groups that cannot be understood (unknown IE id);
- 2. receives IEs for which the logical range is violated (e.g.: ASN.1 definition: 0 to 15, the logical range is 0 to 10 (values 11 to 15 are undefined), and 12 will be received; this case will be handled as an abstract syntax error using criticality information sent by the originator of the message);
- 3. does not receive IEs or IE groups but according to the specified presence of the concerning object, the IEs or IE groups should have been present in the received message;

- 4. receives IEs or IE groups that are defined to be part of that message in wrong order or with too many occurrences of the same IE or IE group;
- 5. receives IEs or IE groups but according to the conditional presence of the concerning object and the specified condition, the IEs or IE groups should not have been present in the received message.

Cases 1 and 2 (not comprehended IE/IE group) are handled based on received Criticality information. Case 3 (missing IE/IE group) is handled based on Criticality information and Presence information for the missing IE/IE group specified in the version of the specification used by the receiver. Case 4 (IEs or IE groups in wrong order or with too many occurrences) and Case 5 (erroneously present conditional IEs or IE groups) result in rejecting the procedure.

If an Abstract Syntax Error occurs, the receiver shall read the remaining message and shall then for each detected Abstract Syntax Error act according to the Criticality Information and Presence Information for the IE/IE group due to which Abstract Syntax Error occurred in accordance with clauses 4.5.3.4 and 4.5.3.5. The handling of cases 4 and 5 is specified in clause 4.5.3.6.

4.5.3.2 Criticality information

In the SBc-AP messages there is criticality information set for individual IEs and/or IE groups. This criticality information instructs the receiver how to act when receiving an IE or an IE group that is not comprehended i.e. the entire item (IE or IE group) which is not (fully or partially) comprehended shall be treated in accordance with its own criticality information as specified in clause 4.5.3.4.

In addition, the criticality information is used in case of the missing IE/IE group abstract syntax error (see clause 4.5.3.5).

The receiving node shall take different actions depending on the value of the Criticality Information. The three possible values of the Criticality Information for an IE/IE group are:

- Reject IE;
- Ignore IE and Notify Sender;
- Ignore IE.

The following rules restrict when a receiving entity may consider an IE, an IE group or an EP not comprehended (not implemented), and when action based on criticality information is applicable:

- 1. IE or IE group: When one new or modified IE or IE group is implemented for one EP from a standard version, then other new or modified IEs or IE groups specified for that EP in that standard version shall be considered comprehended by the receiving entity (some may still remain unsupported).
- 2. EP: The comprehension of different EPs within a standard version or between different standard versions is not mandated. Any EP that is not supported may be considered not comprehended, even if another EP from that standard version is comprehended, and action based on criticality shall be applied.

4.5.3.3 Presence information

For many IEs/IE groups which are optional according to the ASN.1 transfer syntax, SBc-AP specifies separately if the presence of these IEs/IE groups is optional or mandatory with respect to MME/CBC application.

The presence field of the indicated classes supports three values:

- 1. Optional;
- 2. Conditional;
- 3. Mandatory.

If an IE/IE group is not included in a received message and the presence of the IE/IE group is mandatory or the presence is conditional and the condition is true according to the version of the specification used by the receiver, an abstract syntax error occurs due to a missing IE/IE group.

4.5.3.4 Not comprehended IE/IE group

4.5.3.4.1 Procedure code

The receiving node shall treat the different types of received criticality information of the Procedure Code according to the following:

Reject IE:

- If a message is received with a Procedure Code marked with "Reject IE" which the receiving node does not comprehend, the receiving node shall reject the procedure using the Error Indication procedure.

Ignore IE and Notify Sender:

- If a message is received with a Procedure Code marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the procedure and initiate the Error Indication procedure.

Ignore IE:

- If a message is received with a Procedure Code marked with "Ignore IE" which the receiving node does not comprehend, the receiving node shall ignore the procedure.

When using the Error Indication procedure to reject a procedure or to report an ignored procedure it shall include the Procedure Code IE, the Triggering Message IE, and the Procedure Criticality IE in the Criticality Diagnostics IE.

4.5.3.4.2 Type of Message

When the receiving node cannot decode the Type of Message IE, the Error Indication procedure shall be initiated with an appropriate cause value.

4.5.3.4.3 IEs other than the Procedure Code and Type of Message

The receiving node shall treat the different types of received criticality information of an IE/IE group other than the Procedure Code IE and Type of Message IE according to the following:

Reject IE:

- If a message initiating a procedure is received containing one or more IEs/IE groups marked with "Reject IE" which the receiving node does not comprehend; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the rejection of one or more IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message initiating a procedure that does not have a message to report unsuccessful outcome is received containing one or more IEs/IE groups marked with "Reject IE" which the receiving node does not comprehend, the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- If a response message is received containing one or more IEs marked with "Reject IE" which the receiving node
 does no comprehend, the receiving node shall consider the procedure as unsuccessfully terminated and initiate
 local error handling.

Ignore IE and Notify Sender:

- If a message initiating a procedure is received containing one or more Ies/IE groups marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and report in the response message of the procedure that one or more IEs/IE groups have been ignored. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a message initiating a procedure that does not have a message to report the outcome of the procedure is received containing one or more IEs/IE groups marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and initiate the Error Indication procedure to report that one or more IEs/IE groups have been ignored.
- If a response message is received containing one or more IEs/IE groups marked with "Ignore IE and Notify Sender" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IE/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups and initiate the Error Indication procedure.

Ignore IE:

- If a message initiating a procedure is received containing one or more IEs/IE groups marked with "Ignore IE" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using only the understood IEs/IE groups.
- If a response message is received containing one or more IEs/IE groups marked with "Ignore IE" which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using the understood IEs/IE groups.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting not comprehended IEs/IE groups marked with "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

4.5.3.5 Missing IE or IE group

The receiving node shall treat the missing IE/IE group according to the criticality information for the missing IE/IE group in the received message specified in the version of the present document used by the receiver:

Reject IE:

- if a received message initiating a procedure is missing one or more IEs/IE groups with specified criticality "Reject IE"; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the missing IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message initiating a procedure that does not have a message to report unsuccessful outcome is missing one or more IEs/IE groups with specified criticality "Reject IE", the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- if a received response message is missing one or more IEs/IE groups with specified criticality "Reject IE, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

Ignore IE and Notify Sender:

- if a received message initiating a procedure is missing one or more IEs/IE groups with specified criticality "Ignore IE and Notify Sender", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and report in the response message of the procedure that one or more IEs/IE groups were missing. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message initiating a procedure that does not have a message to report the outcome of the procedure is missing one or more IEs/IE groups with specified criticality "Ignore IE and Notify Sender", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.
- if a received response message is missing one or more IEs/IE groups with specified criticality "Ignore IE and Notify Sender", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.

Ignore IE:

- if a received message initiating a procedure is missing one or more IEs/IE groups with specified criticality "Ignore IE", the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message.
- if a received response message is missing one or more IEs/IE groups with specified criticality "Ignore IE", the receiving node shall ignore that those IEs/IE groups are missing and continue with the procedure based on the other IEs/IE groups present in the message.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting missing IEs/IE groups with specified criticality "Reject IE" or "Ignore IE and Notify Sender" using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

4.5.3.6 IEs or IE groups received in wrong order or with too many occurrences or erroneously present

If a message with IEs or IE groups in wrong order or with too many occurrences is received or if IEs or IE groups with a conditional presence are present when the condition is not met (i.e. erroneously present), the receiving node shall behave according to the following:

- If a message initiating a procedure is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message initiating a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".
- If a response message is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

4.5.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IE's/IE groups containing the erroneous values.

Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a message to report this unsuccessful outcome, this message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error;
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a message to report this unsuccessful outcome, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The Procedure Code IE and the Triggering Message IE within the Criticality Diagnostics IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.

Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The Procedure Code IE and the Triggering Message IE within the Criticality Diagnostics IE shall then be included in order to identify the message containing the logical error.

4.5.5 Exceptions

The error handling for all the cases described hereafter shall take precedence over any other error handling described in the other clauses of clause 4.5.

- If any type of error (Transfer Syntax Error, Abstract Syntax Error or Logical Error) is detected in the ERROR INDICATION message, it shall not trigger the Error Indication procedure in the receiving Node but local error handling.
- In case a response message or Error Indication message needs to be returned, but the information necessary to determine the receiver of that message is missing, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.
- If an error that terminates a procedure occurs, the returned cause value shall reflect the error that caused the termination of the procedure even if one or more abstract syntax errors with criticality "ignore and notify" have earlier occurred within the same procedure.

Annex A (informative): Change history:

Date	TSG #	TSG Doc.	CR	Rev	Cat	Subject/Comment	New
2008-12	CT#42	CP-080972				V1.0.2 approved in CT#42	8.0.0
2009-03	CT#43	CP-090101	0001	3		General clean-up to make an alignment with RAN specifications	8.1.0
		CP-090022	0002	-		General clean-up to make an alignment with RAN specifications	
2009-09	CT#45	CP-090543	0003	1		Correct ASN.1 misalignment between S1AP and SB-AP	8.2.0
			0004	1		Fix the ASN.1 Object Identifiers (OID) descriptions for SBc-AP	
			0005	1		Update port number and payload protocol identifier for SBc-AP	
			0006	-		Fix incorrect IETF reference	
2009-12	CT#46	CP-090780	0008	1		Missing OMC-ID and other corrections	8.3.0
			0009	-		Correction of Warning Message Transmission procedure	=
2009-12	CT#46	CP-090799	0007	4		Enhancements to Warning Notifications to support PWS/CMAS Requirements	9.0.0
2010-06	CT#48	CP-100288	0011	2		Number of Broadcasts	9.1.0
2010-09	CT#49	CP-100446	0013	-		Correction of the SCTP Payload Protocol value for the SBc-AP	9.2.0
2010-12	CT#50	CP-100708	0014	3		Correct WRITE-REPLACE-WARNING REQUEST misalignment between S1AP and SBc-AP	9.3.0
2011-03	CT#51	CP-110080	0015	2		SBc-AP Error Indication	10.0.0
2011-09	CT#53	CP-110579	0016	-		Error Indication procedure	11.0.0
2011-12	CT#54	CP-110797	0018	-		Error Indication	11.1.0
		CP-110783	0020	-		Extended Repetition Period	
2012-03	CT#55	CP-120021	0024	-		Correction to Assigned Criticality	11.2.0
2012-06	CT#56	CP-120246	0025	-		Corrections to ASN.1 code	11.3.0
2012-09	CT#57	CP-120460	0026	-		Correction to ASN1 syntax	11.4.0
		CP-120460	0027	-		Editorial updates of references	
		CP-120463	0031	-		ETWS Secondary Notification	
2012-12	CT#58	CP-120886	0029	4		Report to CBC on Warning Message Delivery	12.0.0
		CP-120886	0030	4		Failure List in WRITE-REPLACE RESPONSE and STOP WARNING RESONSE	
2013-03	CT#59	CP-130031	0034	-		Corrections to ASN.1 encoding	12.1.0
2013-06	CT#60	CP-130308	0036	-		Editorial Corrections	12.2.0

2013-09	CT#61	CP-130455	0033	1		Report to CBC on Stop Warning Message Delivery	12.3.0
			0038	1		Stop-all Warning Messages	
2013-09						The header 4.3.4.3.8 style corrected	12.3.1
2013-12	CT#62	CP-130622	0044	2		eNodeB ID List	12.4.0
			0045	1		Correction of references to clauses	
			0048	1		Unsuccessful Outcome	
2014-03	CT#63	CP-140154	0042	7		PWS Restart Indication	12.5.0
2014-06	CT#64	CP-140255	0050	2		Routing of PWS messages to HeNBs	12.6.0
2014-12	CT#66	CP-140782	0051	-		Warning Area List in Write-Replace Warning Request during PWS restoration	12.7.0
			0052	1		Serial Number in Write-Replace Warning Request during PWS restoration	
			0054	-		Message Type for PWS Restart Indication	
2015-03	CT#67	CP-150029	0055	1		Incorrect reference in STOP WARNING REQUEST	12.8.0
2015-06	CT#68	CP-150262	0056	1		Criticality of the Cause IE in Write-Replace Warning Response and Stop Warning Response	13.0.0
2015-09	CT#69	CP-150438	0057	1		Inconsistent Criticality information	13.1.0
2015-12	CT#70	CP-150741	0065	-		ASN.1 Corrections	13.2.0
			0058	2		Failure Indication	13.2.0
			0059	3		Style fixes and resolution of editor's note	13.2.0
2017-03	CT#75	-	-	-		Update to Rel-14 version (MCC)	14.0.0
2017-09	CT#77	CP-172012	0068	1		Restarted Cell List in PWS RESTART INDICATION	14.1.0
2017-12	CT#78	CP-1730	0069	-		Introduction of New types of eNB ID	14.2.0
2018-06	CT#80	CP-181132	0070	2		Modifications needed to address 5GS over SBc	15.0.0
2018-09	CT#81	CP-182077	0071	1		New field Warning Area Coordinates in WRITE- REPLACE WARNING REQUEST	15.1.0
2020-06	CT#88e	CP-201065	0074	1		Essential Corrections on PWS Procedures for 5GC	16.0.0
2021-09	CT#93e	CP-212043	0075	1	С	Broadcast Empty Area List for Write-Replace-Warning Request	17.0.0
2021-12	CT#94e	CP-213126	0076	1	F	Resolving Editor's Note	17.1.0
2021-12	CT#94e	CP-213126	0077	1	В	Addition of Test Flag	17.1.0
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History

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V17.1.0	May 2022	Publication					