- The imbricated foreshock and aftershock activities of
- the Balsorano (Italy)  $M_w$  4.4 normal fault earthquake
- and implications for earthquake initiation
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#### 9 Key words:

- earthquake initiation process
- earthquake sequence
- spatio-temporal evolution

#### 13 Key points:

- The analysis of the 2019 Balsorano earthquake sequence reveals that imbricated complex processes occur before and after the main earthquake
- Clear differences between foreshocks and aftershocks are highlighted by the distinct spatio-temporal patterns unraveled by our analysis
- These results deThe results of the spatio-temporal evolution for the identified clusters 18 suggest complex evolution of the seismicity. Two fault planes are activated during 19 the sequence, with foreshocks primarily occurring on the antithetic fault plane (Fig. 20 5a, cross-section), similarly to part of the foreshock activity that was observed for 21 the L'Aquila normal fault earthquake (Chiaraluce et al., 2011). Relying only on our 22 observations, it is hard to unravel which mechanism(s) might be responsible for the 23 occurrence of the foreshocks, and thus the driving of the main event. For example, 24 there are no exponential or power-law increments of events seen while approaching 25 the main event (Papazachos, 1975; Kagan and Knopoff, 1978), which might suggest 26 accelerating aseismic slip (Dodge et al., 1996; Bouchon et al., 2011; Tape et al., 2018). 27 Neither are any spatial patterns seen (e.g., migrations) that might suggest the same 28 mechanism, or might alternatively indicate triggering by stress transfer (Dodge et al., 29 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). However, we clearly outline the 30 differences between the foreshocks and aftershocks. In particular, the foreshocks occur 31

in a more temporal clustered manner, and they are closer to the hypocenter of the main event (Fig. 4a). The compact and highly temporal clustered seismicity indicates strong event interactions, and favors stress transfer as the mechanism for foreshock occurrence (COV, Schoenball and Ellsworth, 2017). monstrate that simple earthquake preparation models are not suitable enough to correctly mimic the observed complex reality

38 Abstract

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Foreshocks in the form of microseismicity are among the most powerful tools to study the physical processes that occur before main earthquakes. However, their detection and precise characterization is still sparse, especially for relatively small earthquakes ( $M_w < 5$ ). We present here a detailed foreshock analysis for the November 7, 2019, Balsorano (Italy) normal fault earthquake ( $M_w$  4.4). To improve the detection of the microseismicity before and after the mainshock, we use six threecomponent broadband receivers at distances of less than 75 km from the targeted seismicity, through template matching. To improve the understanding of the physical mechanism(s) behind the earthquake initiation process, as well as other accompanying phenomena, we also detail the spatio-temporal evolution of the sequence associated to this medium-sized earthquake, using waveform clustering and hypocenter relocation. Clear differences between foreshocks and aftershocks are revealed by this analysis. Moreover, five distinct spatio-temporal patterns associated to the different seismic activities are revealed. The observed spatio-temporal behavior shown by the foreshocks highlights a complex initiation process, which apparently starts on an adjacent unmapped antithetic fault. Finally, the aftershock activity comprises four different clusters with distinct spatio-temporal patterns, which suggests that each cluster in this sequence has a distinct triggering mechanism.

### 57 Introduction

The detection of signals that can inform us about a forthcoming earthquake is fundamental to build physical models that mimic the processes behind the triggering and nucleation of earthquakes. These physical models are important because they provide us the basis to characterize earthquakes. Therefore, the study and analysis of precursory signals are of great importance. Over the last 25 years, numerous studies have reported a wide range of observations that appear to be connected with the physics that precedes large seismic events

(e.g. Rikitake, 1975; Jones and Molnar, 1979; Molchanov et al., 1998; Eftaxias et al., 2000; Virk and Walia, 2001; Singh et al., 2010; De Santis et al., 2019; Jones, 1985; Abercrombie and Mori, 1996; Felzer et al., 2004; Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019; Reasenberg, 1999; Ruiz et al., 2017, 2014a). Among these, some of the most 67 compelling are the ones based on seismological characterization of foreshock sequences, 68 as well as other seismological observations and their relationships with mainshocks (e.g.Jones, 1985; Abercrombie and Mori, 1996; Reasenberg, 1999; Felzer et al., 2004; Dodge 70 et al., 1996; Bouchon et al., 2011; Ruiz et al., 2014b, 2017; Ellsworth and Bulut, 2018; Yoon et al., 2019). Foreshocks are thus one of the most useful tools to understand the physics of earthquake initiation in real faults (Brune, 1979; Abercrombie and Mori, 1996; Malin et al., 2018). Therefore, it is important to improve foreshock observations and characterization, particularly for more frequent medium-sized events (i.e.  $M_w < 6$ ), as these might share 75 similar physical processes with larger events. These improved observations may shed light on the physical processes that occur during the triggering and nucleation of earthquakes and will drive future research that focuses on theoretical and numerical models to better characterize earthquake occurrence in real and complex faults.

Earthquake initiation (e.g. Kato et al., 2012; Schurr et al., 2014; Tramutoli et al., 2015) 80 and earthquake nucleation/triggering (e.q. Dieterich, 1992; Ellsworth and Beroza, 1995; 81 Rubin and Ampuero, 2005) are two different, and perhaps overlapping, phases of the seis-82 mic cycle. While the first is understood to occur over the longer term preceding a large 83 event (i.e., days or months, to years), the second occurs some minutes to The results of the spatio-temporal evolution for the identified clusters suggest complex evolution of the seismicity. Two fault planes are activated during the sequence, with foreshocks primarily occurring on the antithetic fault plane (Fig. 5a, cross-section), similarly to part of the 87 foreshock activity that was observed for the L'Aquila normal fault earthquake (Chiaraluce et al., 2011). Relying only on our observations, it is hard to unravel which mechanism(s) might be responsible for the occurrence of the foreshocks, and thus the driving of the

main event. For example, there are no exponential or power-law increments of events seen while approaching the main event (Papazachos, 1975; Kagan and Knopoff, 1978), which might suggest accelerating aseismic slip (Dodge et al., 1996; Bouchon et al., 2011; Tape 93 et al., 2018). Neither are any spatial patterns seen (e.g., migrations) that might suggest 94 the same mechanism, or might alternatively indicate triggering by stress transfer (Dodge 95 et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). However, we clearly outline the differences between the foreshocks and aftershocks. In particular, the foreshocks occur in a more temporal clustered manner, and they are closer to the hypocenter of the main event (Fig. 4a). The compact and highly temporal clustered seismicity indicates strong event 99 interactions, and favors stress transfer as the mechanism for foreshock occurrence (COV, 100 Schoenball and Ellsworth, 2017). seconds before the main event. Both phases, however, 101 can be explained under the Dieterich model (1994), which relates the seismicity rate to the 102 stressing history through a rate-and-state constitutive law. For earthquake initiation in 103 particular for real faults, two main hypotheses are currently used to explain this process. 104 Some authors argue that a mainshock is a consequence of a cascade process, with stress 105 transfer in-between events, which eventually trigger the large event (e.g., Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). Alternatively, the initiation of an earthquake 107 can be understood as an aseismic process that weakens the pre-existing asperities, until a 108 larger rupture is promoted (Dodge et al., 1996; Bouchon et al., 2011; Tape et al., 2018). In 109 the latter case, foreshocks result from the activation of brittle asperities by the surrounding 110 slip processes. However, intermediate models that involve both triggering and aseismic slip 111 are likely for complex faults (e.g. McLaskey, 2019). This complexity might result from fault 112 heterogeneity (e.g., variable stress, frictional properties) and promote imbricated sequences 113 of foreshocks and aseismic slip (e.g., Dublanchet, 2018). 114

The monitoring of foreshocks is today routine in laboratory experiments (Zang et al., 1998; Goebel et al., 2012; Renard et al., 2019, and references therein), while studies that focus on large earthquakes remain relatively sparse (i.e.,  $M_w > 6$ ) (e.g., Mogi, 1963; Aber-

crombie and Mori, 1996; Kato et al., 2012; Chen and Shearer, 2013; Bouchon et al., 2013; Ruiz et al., 2014b). However, the recent improvements to seismological monitoring systems 119 around active faults have now provided detailed analysis of foreshocks that precede the 120 more frequent small-magnitude earthquakes ( $M_w < 6$ ) (e.g., Savage et al., 2017; McMahon 121 et al., 2017; Malin et al., 2018). One intriguing feature that has emerged from these more 122 recent studies is the increased complexity (i.e., fault interactions, volumetric processes) 123 that have been revealed through the availability of better data (e.q., near-fault receivers) 124 and more advanced detection methods (e.g., template matching) to study foreshocks. This 125 complexity might challenge the actual laboratory scale and theoretical models, which treat 126 earthquake initiation as simple physical processes that occur in smooth fault planes (Di-127 eterich, 1992; Marone, 1998; Rubin and Ampuero, 2005; Liu and Rice, 2005). The necessity 128 for high-resolution characterization of foreshocks based on good data and advanced data 129 processing techniques was also suggested by a meta-analysis carried out by Mignan (2014), 130 which indicated resolution-dependent bias for earthquake initiation models that were re-131 solved using seismological data. 132

To shed new light on the physical processes that occur before relatively small earth-133 quakes, we study here the medium-sized  $(M_w 4.4)$  Balsorano normal fault earthquake and 134 its foreshock-aftershock sequence (Fig. 1). The Italian National Institute of Geophysics and 135 Volcanology (Istituto Nazionale di Geofisica e Vulcanologia; INGV; online catalog) reported 136 that the main event of this sequence occurred on November 7, 2019 (17:35:21.18 UTC), ap-137 proximately 4 km southeast of Balsorano city in central Italy (Fig. 1). The hypocenter 138 of this main event was located relatively deep in the crust (14 km), close to the transi-139 tion zone between the upper and lower crust (10-20 km in depth), where the brittle locked 140 fault transitions into the ductile regime zone (Doglioni et al., 2011). Below this depth, the 141 lower crust is relatively seismically silent (Doglioni et al., 2011). According to a geological 142 study of the location of the main event and its focal mechanism (Supplementary Material 143 Table S1), this event ruptured the Liri fault (Roberts and Michetti, 2004), which is one of 144

the major active normal faults mapped in this region. This structure accommodates the low extension rate observed in this region (*i.e.*, a few millimeters per year) (Hunstad and England, 1999; Westaway, 1992; D'agostino et al., 2001; Roberts and Michetti, 2004).

148 [Figure 1]

In addition to the mainshock of November 7, 2019, 135 events occurred close to the 149 epicenter of the main event from October 22 to November 15, 2019 (which included 25 150 foreshocks). Starting from these cataloged events, we study here the 'anatomy' of the 151 foreshocks and aftershocks, and their relationships with the main event. With this aim, 152 continuous data from six three-component stations at less than 75 km from the mainshock 153 epicenter are used (Fig. 1; Supplementary Materials Table S2). The continuous waveforms 154 recorded are analyzed using template matching techniques (Gibbons and Ringdal, 2006; 155 Shelly et al., 2007) to detect smaller events and thus to expand upon the available seismic 156 catalog. The detected events are then relocated using the double-difference method (Wald-157 hauser, 2001), to reveal the geometry of the main fault and to obtain new insights into 158 the fault-slip behavior(s) before and after the main seismic event. Furthermore, through 159 waveform clustering, we isolate families of earthquakes that are representative of different 160 physical processes that occur in the pre- and post-mainshock period. This combination of 161 detection, relocation, and waveform clustering reveals an imbricated seismic sequence where 162 several faults were activated, and with clear differences in the spatio-temporal properties 163 of the foreshocks and aftershocks.

### 55 Methods

Template matching: The analysis starts by extending the INGV seismic catalog using the template matching approach (Gibbons and Ringdal, 2006). From the 135 events reported by the INGV online catalog, where 25 events are identified as foreshocks, we retain only

the events with available P-wave and S-wave picks for all of the six stations used. We then
extract 4 s of signal, starting 1 s before the phase arrival time from the band-pass filtered
data (5-20 Hz). Using the pre-picked signals, we estimate the signal-to-noise ratio and
retain as templates only those events with a signal-to-noise ratio >3 at all of the stations.
With this data selection, 23 events are obtained (including three foreshocks) that are the
templates used for scanning the continuous data (Supplementary Materials Table S4). We
use three-component data with P waves extracted from the vertical component, and S waves
extracted from the East and North components.

In all, 28 days of continuous data are processed, from October 22 (*i.e.*, 16 days before the mainshock) to November 15, 2019, using the fast matched filter algorithm from Beaucé et al. (2017). The detection thresholds are set to 12 times the daily median absolute deviation of the summed correlation coefficients over the array of stations. Finally, consecutive detections with differential times of <3 s are removed (*i.e.*, the time difference between two estimated origin times).

The final catalog contains 714 events (166 foreshocks, 547 aftershocks), which represents 183  $\sim$  6-fold the number of events in the initial catalog. To estimate the magnitudes of the 184 newly detected events, we use the average root mean square in the time window containing 185 the S waves over all of the stations and components. Least-square fitting is then used 186 to obtain a linear model that relates the logarithmic of the root mean square of the 23 187 templates and their local magnitudes from the INGV catalog. This model is then used to 188 estimate the magnitude of the newly detected events. A summary of the event occurrences 189 in time together with their magnitudes is shown in Figure 2. 190

[Figure 2]

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Waveform-based clustering: Clustering is widely used in seismology to recognize patterns in spatio-temporal events, which include the identification of foreshock-aftershock sequences and stress evolution in time (e.g., Kagan and Jackson, 1991; Wehling-Benatelli

et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018). Here, we apply waveform similarly analysis (Cattaneo et al., 1999) to define groups of events that share similar locations and/or a common rupture mechanism (Kagan and Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018; Cattaneo et al., 1999). For this, the full normalized waveforms are used, with a 4.5-s time window (starting 0.5 s before the P-wave arrival) that contains both the P phase and the S phase.

The waveforms of the 714 detected events recorded at the closest station to the epicenter 201 (Fig. 1, VVLD) are then correlated with each other. The correlation matrix obtained (Fig. 202 3a) is used to estimate the distance (dissimilarity) metric to perform hierarchical clustering. 203 The Ward minimum variance method is used (Ward Jr, 1963) with a distance threshold 204 of 5.5 defined (Supplementary Materials Fig. S1: the largest separation observed from the 205 dendrogram). This waveform similarity analysis highlights five different clusters, as shown 206 in Figure 3b, c. As both the P waves and S waves are used for clustering, the resulting 207 family members should share similarities in position and rupture mechanism (Kagan and 208 Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth and Bulut, 2018; 209 Cattaneo et al., 1999). 210

Relocation: We finally estimate the relative location between the detected events using the double-difference algorithm (HypoDD software; Waldhauser (2001)). The differential times of the P phases and S phases between events from the cross-correlation are estimated, with the retention of only the delays that are associated to correlation coefficients >0.6. We further limit the delays to 0.2 s. After discarding the event pairs that relate less than 3 P-wave and 3 S-wave highly correlated differential times (correlation coefficient,  $\geq 0.6$ ), the final number of 29859 pairs are kept and used in the relocation process.

For each newly detected event, we assume its initial location as the coordinates of the template that reports the highest correlation coefficient related to that event. In addition, we assume the estimated P-wave and S-wave picks obtained from our template matching analysis as the initial catalog information for the relocation. A velocity model for this region

proposed by Bagh et al. (2007) is used in the relocation process (Supplementary Materials
Table S3). Following previous studies (Shelly and Hardebeck, 2019), the inversion is performed with stronger weights to the initial information related to the P-wave and S-wave
picks from the catalog (i.e., from the template matching analysis), while the differential
times from the waveform correlations control the final iterations. In the end, 689 of the
714 newly detected events are successfully relocated. The temporal and geometric patterns
observed in this earthquake sequence are illustrated in Figures 4 and 5, and are further
described in the following section.

[Figure 3]

#### Results and discussion

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The time evolution of the detected events is shown in Figure 2. Of the 714 events, 166 are foreshocks (23%). Together with the temporal evolution, Figure 2a shows the spectrogram 233 and the average spectral energy in a frequency band from 5 Hz to 20 Hz. The oscillation 234 of this energy suggests variable noise levels in the study area, with lower noise during the 235 night (Figure 2, shaded areas, for periods from 18:00 to 06:00). This noise variation is 236 related to anthropogenic activity (Poli et al., 2020b), and it is also observed for the other 237 five receivers. This noise evolution will probably affect our detection performance. For 238 example, it is not clear if the reduced number of events observed prior to the mainshock is 239 real or is a consequence of the higher noise level (Fig. 2b). We thus avoid discussing any 240 issue related to pre-seismic quiescience here. However, with the geometric and clustering 241 information derived above, we can still characterize some of the properties of the newly 242 detected foreshocks and aftershocks, and gain insight into the physical processes that occur 243 at the different stages of the sequence. 244

The results from the combination of waveform clustering and relocation strategies are summarized in Figures 4 and 5. For each cluster, the coefficient of variation (COV) is also

estimated from the recurrence time of the events (Kagan and Jackson, 1991; Schoenball and Ellsworth, 2017). The COV indicates the level of the temporal clustering within each group (*i.e.*, how much the occurrence of future earthquakes depends on the occurrence of the past earthquakes): with COV=1 for random seismicity, and COV>1 for strong temporal clustering. The larger the COV, the more the earthquakes are interacting. Thus, it is important to note that events that happen together with a high COV mean that there is an intrinsically related interaction between them.

The temporal and spatial densities of the different clusters identified in this sequence are illustrated in Figure 4, where cluster 1 (green solid lines and dots) is mainly composed of foreshocks (161 of 209 events occurred before the mainshock). The events that form this family show the highest waveform similarity (Fig. 3a). In agreement with this waveform property, cluster 1 has high spatial density, with approximately 90% of its activity (193 of the 208 events) located within 0.5 km of the mainshock hypocenter (Figs. 4a and 5a). Cluster 1 also shows the highest temporal clustering (COV=4.8; Fig. 4a).

The next two families, as cluster 2 (COV=3.0; Figure 4b, blue solid lines and dots) 261 and cluster 3 (COV=2.9; Figure 4c, magenta solid lines and dots), share similar temporal 262 clustering values, but show differences with respect to their spatial densities. While ap-263 proximately 90% of the events of cluster 2 are within 0.8 km of the hypocenter (136 of 151 264 events; Fig. 4b), cluster 3 has almost 90% of its activity (187 of 211 events) located over 265 a larger volume, as approximately 1.2 km from the mainshock location (Fig. 4c). Cluster 266 4 (Figure 4d, brown solid lines and dots) is characterized by 90% of its activity within 0.6 267 km of the mainshock hypocenter (53 of 59 shocks; Fig. 4d). The seismicity in this cluster 268 is also characterized by high temporal clustering (COV=4.2). Cluster 5 (COV=2.2; Figure 269 4e, red solid lines and dots) is the least temporally clustered, but with the second highest 270 spatial density (after cluster 1), with 90% of its activity in a region 0.5 km from the main-271 shock hypocenter (66 of 73 events; Fig. 4e). A general spatial pattern of this sequence is 272 the concentration of events close to the mainshock that occurred prior to it (110 foreshocks 273

within 0.3 km) and the subsequent spread over a region >0.3 km during the aftershocks.

Figure 5 illustrates the geometric patterns related to each of the clusters, as defined 275 by the relocation process. A remarkable pattern can be seen in Figure 5a: cluster 1 (i.e., 276 foreshocks) shows an antithetical orientation with respect to the assumed fault plane of 277 the main event (Fig. 5a, map view and cross sections). In contrast, clusters 4 and 5 show 278 nearly parallel orientations with respect to the assumed main fault plane (Fig. 5d, e, cross-279 sections, respectively). We also observe particular behavior for cluster 5, which is the only 280 cluster where the activity is exclusively to the northeast of the mainshock hypocenter and 281 on the footwall (Fig. 5e, map view and cross-sections). The events in cluster 5 follow an 282 orientation that is parallel to the assumed main fault plane dipping angle (Fig. 5e, cross-283 section). In turn, cluster 3 has an activity that follows the orientation of the fault plane, but 284 that spreads across the whole volume surrounding the fault plane (Fig. 5c, cross-sections). 285

[Figure 4]

[Figure 5]

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The results of the spatio-temporal evolution for the identified clusters suggest complex 288 evolution of the seismicity. Two fault planes are activated during the sequence, with fore-289 shocks primarily occurring on the antithetic fault plane (Fig. 5a, cross-section), similarly to 290 part of the foreshock activity that was observed for the L'Aquila normal fault earthquake 291 (Chiaraluce et al., 2011). Relying only on our observations, it is hard to unravel which 292 mechanism(s) might be responsible for the occurrence of the foreshocks, and thus the driv-293 ing of the main event. For example, there are no exponential or power-law increments 294 of events seen while approaching the main event (Papazachos, 1975; Kagan and Knopoff, 295 1978), which might suggest accelerating aseismic slip (Dodge et al., 1996; Bouchon et al., 296 2011; Tape et al., 2018). Neither are any spatial patterns seen (e.q., migrations) that might 297 suggest the same mechanism, or might alternatively indicate triggering by stress transfer 298

(Dodge et al., 1996; Ellsworth and Bulut, 2018; Yoon et al., 2019). However, we clearly outline the differences between the foreshocks and aftershocks. In particular, the foreshocks occur in a more temporal clustered manner, and they are closer to the hypocenter of the main event (Fig. 4a). The compact and highly temporal clustered seismicity indicates strong event interactions, and favors stress transfer as the mechanism for foreshock occurrence (COV, Schoenball and Ellsworth, 2017).

Interestingly, the aftershock clusters also show different spatio-temporal behaviors be-305 tween each other (Figs. 5b-e, 4b-e). The observed differences might be explained by different 306 physical processes driving the aftershock occurrence. For example, the events in clusters 307 2 and 3 (Fig. 5b,c) spread in a volume around the fault. This spatial pattern is likely 308 to result from stress redistribution, volumetric damage, and relaxation processes after the 309 mainshock (Trugman et al., 2020). In contrast, clusters 4 and 5 follow the orientation of 310 the main fault in a more compact volume around it (Fig. 5d,e), and their activity decays 311 in a rapid manner (Fig. 4). This behavior might suggest that these latter clusters result 312 from stress increments induced by the mainshock afterslip that occurs near the fault plane 313 region in the few hours or days after the main event (Stein and Lisowski, 1983; Shen et al., 314 1994). 315

As in previous studies (McMahon et al., 2017; Savage et al., 2017; McMahon et al., 2019), 316 we can see that this detailed analysis of seismic data reveals a complex and imbricated 317 earthquake sequence, for which the mainshock initiation is unlikely to result from only the 318 evolution of physical properties (e.g., stress, friction) on the main fault plane. Indeed the 319 sequence begins through an interaction between the antithetic and main faults during the 320 foreshock-mainshock sequences, similar to that observed for other events (Chiaraluce et al., 321 2011; McMahon et al., 2019). In normal faults, this behavior can be related to preseismic 322 processes in the dilation wedge located in the hanging wall (Doglioni et al., 2011). The 323 complexity of the sequence might also emerge from fluid involvement, which is known to 324 have a significant role in the control of seismicity and its 'style' in the central Apennines 325

(Antonioli et al., 2005; Poli et al., 2020a). The stress perturbations in the antithetic fault might have modified the local pore pressures, with fluid migration into the main fault, which would favor the occurrence of the main event (Doglioni et al., 2011).

### 29 Conclusion

By using a combination of high-resolution detection methods, precise relocation (e.g., Gib-330 bons and Ringdal, 2006; Waldhauser, 2001) and waveform clustering, we have unveiled 331 the complexity of the sequences associated with the 2019 ( $M_w$  4.4) Balsorano earthquake. 332 We detect 714 events that comprise this sequence. These events are classified into five 333 different seismic clusters. The differences between these clusters are highlighted by their 334 distinct spatio-temporal properties that are unveiled by the waveform-based clustering anal-335 ysis (Kagan and Jackson, 1991; Wehling-Benatelli et al., 2013; Cesca et al., 2014; Ellsworth 336 and Bulut, 2018), and by their relative source locations (Waldhauser, 2001). 337

Our results highlight different behaviors between foreshocks and aftershocks. For exam-338 ple, foreshocks occur in a compact region near the mainshock hypocenter, and show high 339 temporal clustering (Fig. 4a). The lack of repeating events (i.e., highly correlated events 340 with correlation coefficient >0.9), strong temporal clustering, and inter-event proximity 341 might indicate that stress transfer triggering has the main role in driving the occurrence 342 of the foreshocks (Dodge et al., 1996). Nevertheless, there are no observations that can 343 exclude aseismic slip. The foreshock activity mainly take place in an antithetic fault (Fig. 5a), which suggests that the initiation processes do not only occur on one fault plane, but involve larger volumes (Savage et al., 2017). This precursory antithetic activation has been 346 observed in other normal fault events (Chiaraluce et al., 2011) and it can be expected in 347 some gravity-driven normal fault models (Doglioni et al., 2011). 348

Furthermore, our analysis shows diversity for the aftershocks behavior. Indeed, four different clusters comprise the aftershock sequences. Two of these four are spread in a

volume around the main fault (Fig. 5b,c), and might result from stress redistribution after the mainshock (e.q., caused by volumetric damage and the relaxation processes; Trugman 352 et al. (2020)). Given the rapid temporal decay of their activity and their compactness 353 and spatial orientation, the remaining two clusters appear to be driven by rapid stress 354 increments induced by the mainshock and afterslip that occur near the fault plane in the 355 few days after the mainshock (Stein and Lisowski, 1983; Shen et al., 1994). 356 In summary, this study of foreshocks and aftershocks highlights that simple preparation 357 models with evolution of stress and friction on a single fault plane are not suited to precisely 358 explain the evolution of the seismicity we observe here for a real fault. A relatively large 359 volume appears to be involved in the earthquake initiation, over a short time scale ( $\sim 1$  day). 360 We further highlight how the full range of aftershocks is likely to be an ensemble average 361 view of different processes, which will include afterslip, volumetric damage, and relaxation. 362 Continuing to provide detailed information about foreshocks and their relationships to the 363 mainshock and aftershocks also for relatively small events can help us to develop new and 364 more realistic models that can provide better fitting of seismological observations and shed 365 new light on the initiation of earthquakes in real faults.

#### $_{ ext{\tiny 367}}$ Data and resources

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The continuous seismic data used in this study are available at the Istituto Nazionale di Ge-
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   ofisica e Vulcanologia (INGV) seismological data center (http://cnt.rm.ingv.it/webservices_and_software/;
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   last accessed, March 2020) and were downloaded using obspyDMT (https://github.com/kasra-
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   hosseini/obspyDMT, Hosseini and Sigloch (2017)). The fast matched filter (Beaucé et al.,
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   2017) used in this study can be found at https://github.com/beridel/fast_matched_filter.
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   Some plots were made using the Generic Mapping Tools version 4.5.14 (www.soest.hawaii.edu/gmt;
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    Wessel and Smith (1998)). The event clustering was performed using Scikit-learn (https://scikit-
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   learn.org/stable/; Pedregosa et al. (2011))
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# Figure Captions

Figure 1. Regional map of the study area. The yellow square inside the small map inset on the left corresponds to the central region of Italy represented in the larger topographic map. The small map inset on the right represents magnification of the black dashed area around the epicentral location (red star). The color code used in the map view on the right represents the estimated depth of the foreshock and aftershock activity (estimated in this study: 714 events). The yellow circle represents Balsorano city, and the white triangles represent the stations used in this study. The dashed lines in the right inset map represent the directions A-A' (along strike) and B-B' (normal to the strike) illustrated in the cross sections of Figure 5.

Figure 2. (a) Spectrogram on VVLD.HHZ. The white line is the median of the energy in the frequency band between 5 Hz and 20 Hz calculated within a 1-h sliding window. (b) Blue, cumulative events for the same time period of the experiment; orange, recurrence time for the newly detected events. (c) Estimated magnitudes for the newly detected events. A gap in the continuous data at this receiver location is seen for the night of November 8 to 9, 2019. In all pannels, day and night periods are represented by shaded (18:00 to 6:00) and unshaded (6:00 to 18:00) regions.

Figure 3. Illustration of the waveform-based hierarchical clustering output. (a) Pairwise correlation coefficients between the waveforms for the vertical component of station VVLD (Fig. 1) of the 714 detected events. This matrix is used to perform the hierarchical clustering. (b) Cumulative events combined with the results from the hierarchical clustering, according to the color code in the legend. (c) Characteristic normalized waveforms (vertical component) of the five different clusters revealed in the earthquake sequence. These traces

are obtained after stacking all of the individually normalized waveforms belonging to each cluster.

Figure 4. Spatio-temporal evolution of the earthquake sequences with respect to the main-shock origin time and hypocenter. Left column: Temporal density (number of events per hour). The coefficients of variation (COV) from the recurrence times are indicated for each cluster. Center column: Distance in time and space from each event of the sequence with respect to the mainshock location and origin time. The dashed grey line on the left and center column represents the mainshock origin time. Right column: Spatial density (concentration of events per 0.1 km). Dashed black line, where 90% of the seismic activity is concentrated. (a)-(e) Each of the five clusters progresively ordered. The same color code from Figure 3 is used.

Figure 5. Map view (left column), and cross-sections along the strike (middle column) and normal-strike (right column) directions for each of the five clusters identified in the sequence (as indicated). All of the locations are relative to the mainshock hypocenter (41.7746°N 13.6066°E; 13.94 km depth, black star). In all of the panels, the same color code is used as in Figures 3 and 4 to represent each different cluster. The solid black line represents a fault plane of 1 km² with the geometry of the second nodal plane (Supplementary Materials Table S1). The directions A-A' (along strike) and B-B' (normal to the strike) are the same as in Figure 1. Each cluster is represented by a correponding label a) Cluster 1, b) Cluster 2, c) Cluster 3, d) Cluster 4 and e) Cluster 5.

# Figures 577

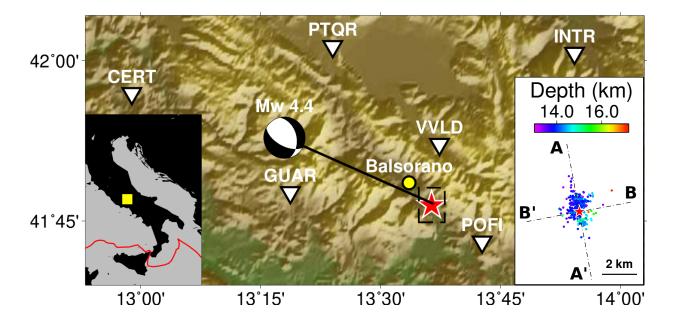


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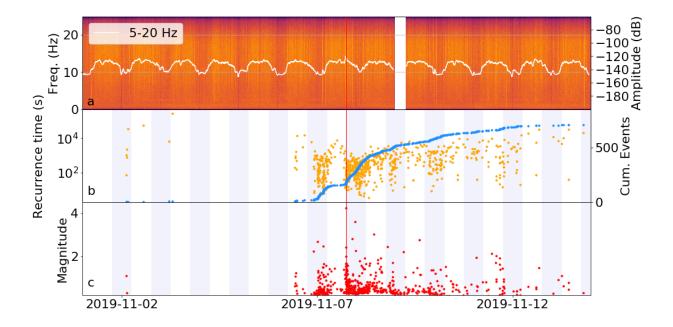


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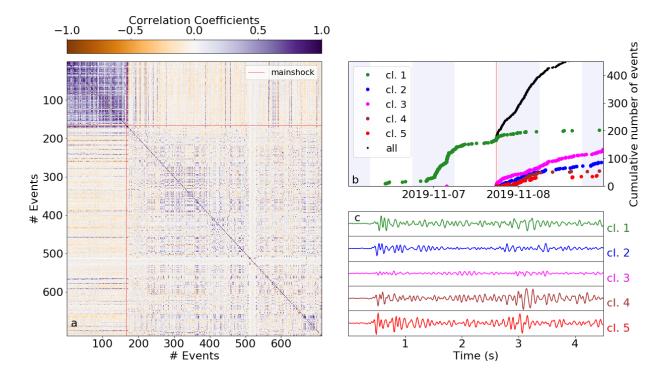


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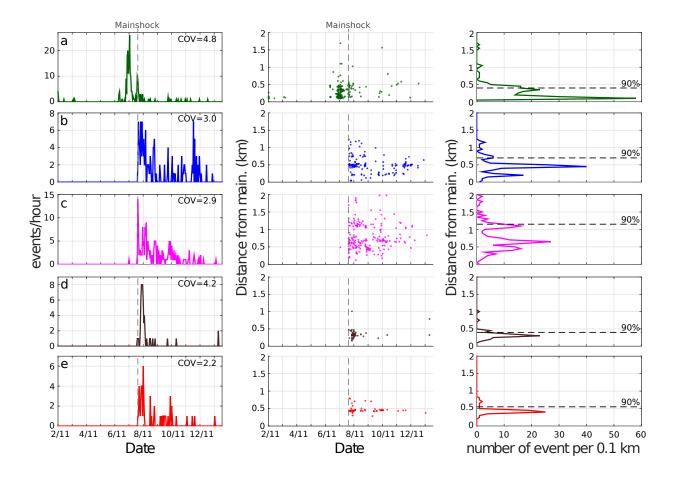


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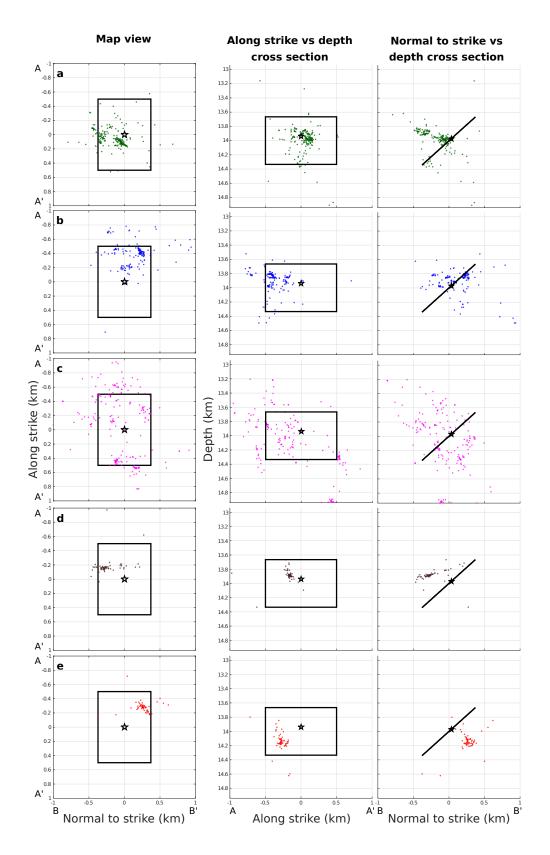


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