

# Underwater Channel and Energy Models

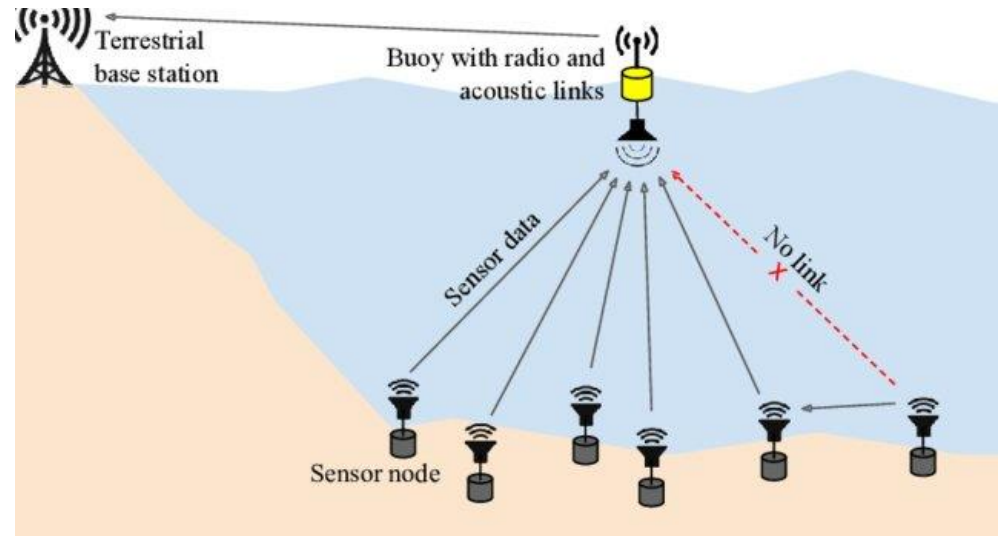
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# Outline

- 1) Underwater Sensor Networks
- 2) Attenuation
- 3) Concept of Decibel
- 4) Popular Underwater Modems
- 5) A Simple Energy Model
- 6) A Simple Network Flow Programming Model for Lifetime Maximization
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# Underwater Wireless Sensor Networks

- Underwater Wireless Sensor Networks (UWSNs): numerous battery limited nodes + single (multiple) sink node(s).
- Use cases: pollution monitoring, tactical surveillance, assisted navigating, *etc.*
- UWSNs generally use acoustic waves for communications.



Source: Morozs, N., Mitchell, P., & Zakharov, Y. (2018, August). Unsynchronized dual-hop scheduling for practical data gathering in underwater sensor networks. In *2018 Fourth Underwater Communications and Networking Conference (UComms)* (pp. 1-5). IEEE.

# Attenuation [1]


- Attenuation (linear scale):

$$A(l, f) = l^k \times \alpha(f)^{l \times 10^{-3}}$$

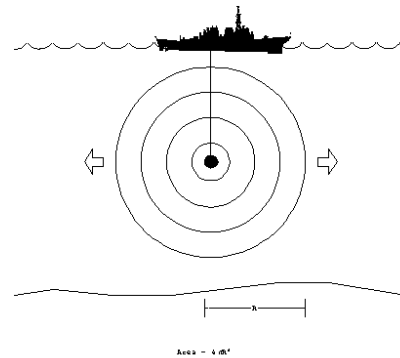
- Attenuation (dB):

$$10 \log_{10} A(l, f) = 10k \log_{10} l + l \times 10^{-3} \times 10 \log_{10} \alpha(f)$$

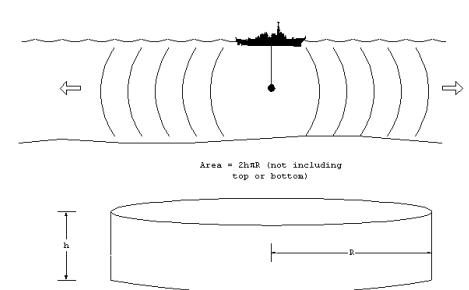
- $k$ : Spreading factor

$k = 2$	Spherical spreading
$k = 1.5$	Practical spreading 
$k = 1$	Cylindrical spreading

Spherical spreading



Cylindrical spreading

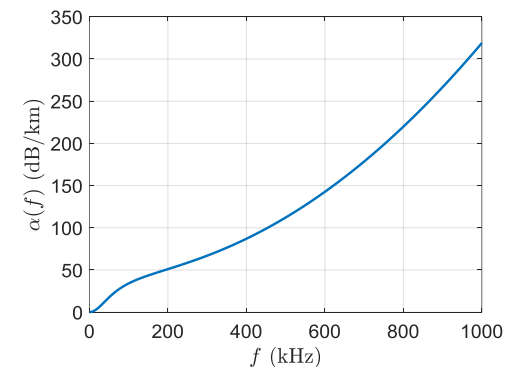


- $f$ : Underwater modem's carrier frequency (kHz)
- $\alpha(f)$ : Absorption coefficient (dB/km)

Ref: [https://fas.org/man/dod-101/navy/docs/es310/SNR\\_PROP/snr\\_prop.htm](https://fas.org/man/dod-101/navy/docs/es310/SNR_PROP/snr_prop.htm)

$$10 \log_{10} \alpha(f) = \frac{0.11f^2}{1+f^2} + \frac{44f^2}{4100+f^2} + 2.75 \times 10^{-4}f^2 + 0.003$$

Thorp's Empirical Formula



# Concept of Decibel

- dB: decibel, W: Watts (Power), mW: milliWatts
- dBW: decibel-Watt
- dBm: decibel-milliwatts

$$1 \text{ W} = 1000 \text{ mW}$$

- dBW  $\leftrightarrow$  W

$$P [\text{dBW}] = 10 \log_{10}(P [\text{W}])$$

$$P [\text{W}] = 10^{\left(\frac{P [\text{dBW}]}{10}\right)}$$

- dBm  $\leftrightarrow$  mW

$$P [\text{dBm}] = 10 \log_{10}(P [\text{mW}])$$

$$P [\text{mW}] = 10^{\left(\frac{P [\text{dBm}]}{10}\right)}$$

- dBm  $\leftrightarrow$  dBW

$$P [\text{dBm}] = 10 \log_{10}(P [\text{W}] 10^3)$$

$$= \underbrace{10 \log_{10}(P [\text{W}])}_{P [\text{dBW}]} + \underbrace{10 \log_{10}(10^3)}_{30}$$

$$P [\text{dBm}] = P [\text{dBW}] + 30$$

dBm to Watt, mW, dBW conversion table

Power (dBm)	Power (dBW)	Power (watt)	Power (mW)
-100 dBm	-130 dBW	0.1 pW	0.0000000001 mW
-90 dBm	-120 dBW	1 pW	0.000000001 mW
-80 dBm	-110 dBW	10 pW	0.00000001 mW
-70 dBm	-100 dBW	100 pW	0.0000001 mW
-60 dBm	-90 dBW	1 nW	0.000001 mW
-50 dBm	-80 dBW	10 nW	0.00001 mW
-40 dBm	-70 dBW	100 nW	0.0001 mW
-30 dBm	-60 dBW	1 $\mu$ W	0.001 mW
-20 dBm	-50 dBW	10 $\mu$ W	0.01 mW
-10 dBm	-40 dBW	100 $\mu$ W	0.1 mW
-1 dBm	-31 dBW	794 $\mu$ W	0.794 mW
0 dBm	-30 dBW	1.000 mW	1.000 mW
1 dBm	-29 dBW	1.259 mW	1.259 mW
10 dBm	-20 dBW	10 mW	10 mW
20 dBm	-10 dBW	100 mW	100 mW
30 dBm	0 dBW	1 W	1000 mW
40 dBm	10 dBW	10 W	10000 mW
50 dBm	20 dBW	100 W	100000 mW
60 dBm	30 dBW	1 kW	1000000 mW
70 dBm	40 dBW	10 kW	10000000 mW
80 dBm	50 dBW	100 kW	100000000 mW
90 dBm	60 dBW	1 MW	1000000000 mW
100 dBm	70 dBW	10 MW	10000000000 mW

Ref: <https://www.rapidtables.com/electric/dBW.html>

$\bar{P} \rightarrow \text{dBW (or dBm)}$

$P \rightarrow \text{W (or mW)}$

We prefer to use «overbars» to represent a power quantity in dB

# Popular Underwater Modems [2]

Underwater Acoustic Modem	Modulation	Carrier Frequency	Bandwidth	Data rate	TX Power consumption	RX Power consumption	Idle Power consumption	Max. distance
DEVICES DEVELOPED BY RESEARCH GROUPS								
[5]	FSK	320 Hz and 10 kHz	1 kHz	96 bps and 2400 bps	12 mW	24 mW	3 µW	100 m
[19]	n/a	n/a	n/a	1-10 Mbps	n/a	n/a	n/a	100 m
[25]	FSK	35 kHz	6 kHz	200 bps	750 mW	35 mW	35 mW	350 m
[27]	KSK	85 kHz	n/a	1 kbps	108 mW	24 mW	8.1 µW	240 m
[28]	n/a	9-14 kHz	75 kHz	1.2 kbps	n/a	n/a	n/a	2000 m
[21]	BPSK	80 kHz	n/a	80 kbps	n/a	n/a	n/a	50 m
[30]	FSK	30 kHz	n/a	300 bps	n/a	n/a	n/a	400 m
[32]	FSK	9 kHz	n/a	1900 bps	n/a	n/a	n/a	200 m
COMMERCIAL DEVICES								
Aquatec AQUAModem 1000 [36]	n/a	9.75 kHz	4.5 kHz	2000 bps	20 W	0.6 W	1 mW	5000 m
DSPComm AquaComm Marlin [37]	n/a	23 kHz	14 kHz	480 bps	1.8 W	0.252 W	1.8 mW	1000 m
DSPComm AquaComm Mako [38]	n/a	23 kHz	14 kHz	240 bps	1.8 W	0.252 W	1.8 mW	100 m
DSPComm AquaComm Orca [37]	n/a	14 kHz	100 kHz	0.007 bps	0.252 W	1.8 W	25.2 mW	3000 m
Desert Star Systems SAM-1[38]	n/a	37.5 kHz	9 kHz	154 bps	32 W	0.168 W	n/a	1000 m
EvoLogics S2CR 48/78USBL [39]	n/a	48-78 kHz	30 kHz	31200 bps	18 W	1.1 W	2.5 mW	1000 m
EvoLogics S2CR 40/80 USBL [39]	n/a	38-64 kHz	26 kHz	27700 bps	40 W	1.1 W	2.5 mW	1000 m
EvoLogics S2CR 18/34wise [39]	n/a	18-34 kHz	16 kHz	13900 bps	35 W	1.3 W	2.5 mW	3500 m
EvoLogics S2CR 12/24 USBL [39]	n/a	13-24 kHz	11 kHz	9200 bps	15 W	1.1 W	2.5 mW	6000 m
EvoLogics S2CR 7/17 USBL [39]	n/a	7-17 kHz	10 kHz	6900 bps	40 W	1.1 W	2.5 mW	8000 m
LinkQuest UWM1000 [40]	n/a	35695 kHz	17.85 kHz	17800 bps	1 W	0.75 W	8 mW	3500 m
LinkQuest UWM2000 [40]	n/a	35695 kHz	17.85 kHz	17800 bps	2 W	0.8 W	8 mW	1500 m
LinkQuest UWM2000H [40]	n/a	35695 kHz	17.85 kHz	17800 bps	2 W	0.8 W	8 mW	1500 m
LinkQuest UWM2200 [40]	n/a	71.4 kHz	35.7 kHz	35700 bps	6 W	1 W	12 mW	1000 m
LinkQuest UWM3000 [40]	n/a	10 kHz	5 kHz	5000 bps	12 W	0.8 W	8 mW	3000 m
LinkQuest UWM3000H [40]	n/a	10 kHz	5 kHz	5000 bps	12 W	0.8 W	8 mW	3000 m
LinkQuest UWM4000 [40]	n/a	17 kHz	8.5 kHz	8500 bps	7 W	0.8 W	8 mW	4000 m
LinkQuest UWM10000 [40]	n/a	10 kHz	5 kHz	5000 bps	40 W	0.8 W	9 mW	1000 m
Teledyne Benthos Atm9xx [41]	PSK	11.5 kHz	5 kHz	15360 bps	20 W	0.768 W	16.8 mW	6000 m
		18.5 kHz						
Teledyne Benthos Atm9xx [41]	MFSK	11.5 kHz	5 kHz	2400 bps	20 W	0.768 W	16.8 mW	6000 m
		24.5 kHz						
Teledyne Benthos Atm88x [41]	PSK	11.5 kHz	5 kHz	15360 bps	84 W	0.756 W	16.8 mW	6000 m
		18.5 kHz						
Teledyne Benthos Atm88x [41]	FSK	11.5 kHz	5 kHz	2400 bps	84 W	0.756 W	16.8 mW	6000 m
		18.5 kHz						
TriTech MicronModem [42]	n/a	22 kHz	4 kHz	40 bps	7.92 W	0.72 W	n/a	500 m
uComm Underwater Acoustic Modem [43]	n/a	26 kHz	n/a	9000 bps	40 W	60 mW	3 mW	3000 m
AM-OFDM-S [44]	OFDM	21-27 kHz	n/a	1600 bps	5-20 W	0.7W	0.13 mW	4000 m
MATS 3 G 12 KHz [45]	n/a	10-15 kHz	n/a	Up to 7400 bps	75 W	0.6 W	40 mW	15 Km
GPM 3000 Acoustic Modem [46]	DSSS	n/a	n/a	Up to 1200b/s	300W	1.8 W	0.08 W	25 Km



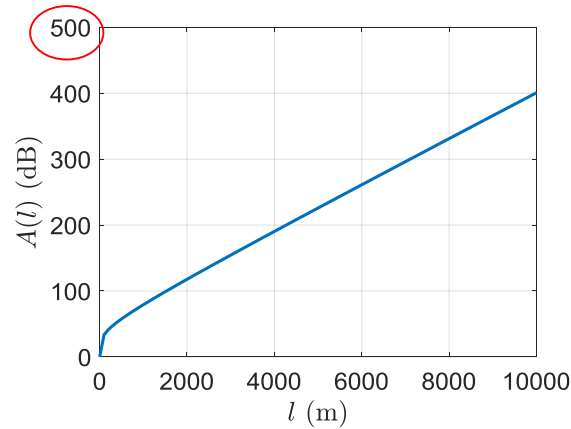
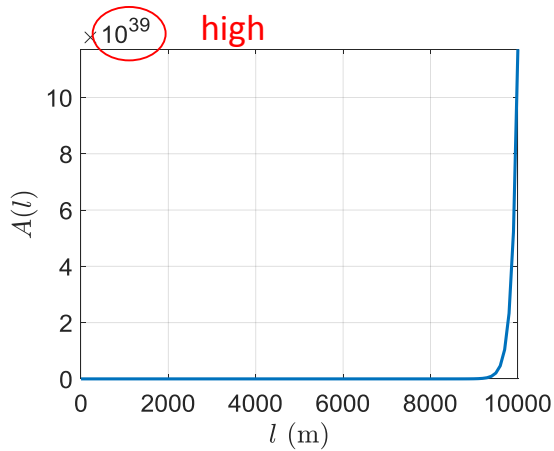
<https://evologics.de/>

Source: Sendra, S., Lloret, J., Jimenez, J. M., & Parra, L. (2015). Underwater acoustic modems. *IEEE Sensors Journal*, 16(11), 4063-4071.

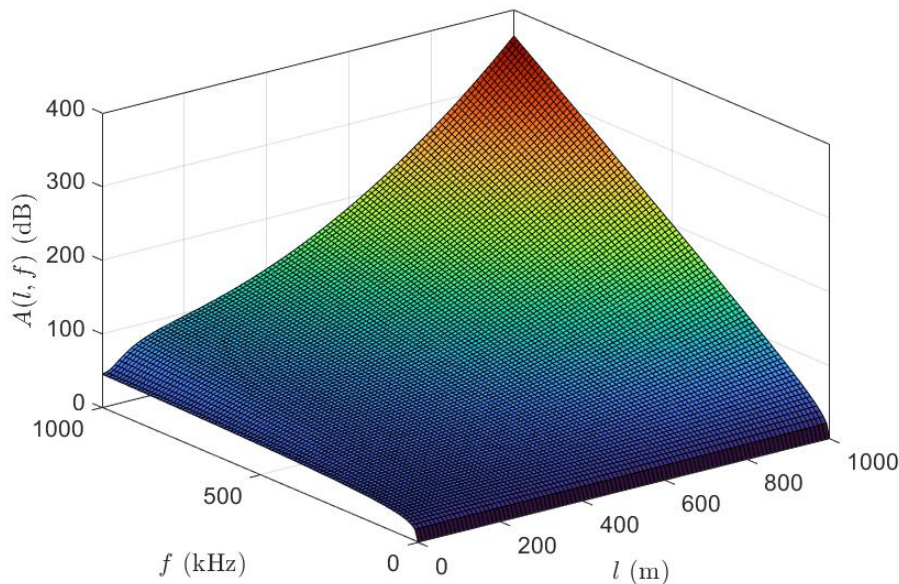


<https://www.link-quest.com/>

# Attenuation (Cont'd) [1]



Fixed Parameters:  
 $k = 1.5, f = 100 \text{ kHz}$



## Observations:

- As  $l$  (or  $f$ ) increases  $A(l, f)$  increases.
- Increment in  $l$  dominates the increment in  $f$ .

# A Simple Energy Model [3, 4]

$$\overline{\alpha(f)} = 10 \log_{10} \alpha(f) = \frac{0.11f^2}{1+f^2} + \frac{44f^2}{4100+f^2} + 2.75 \times 10^{-4}f^2 + 0.003 \quad \text{Absorption coeff.}$$

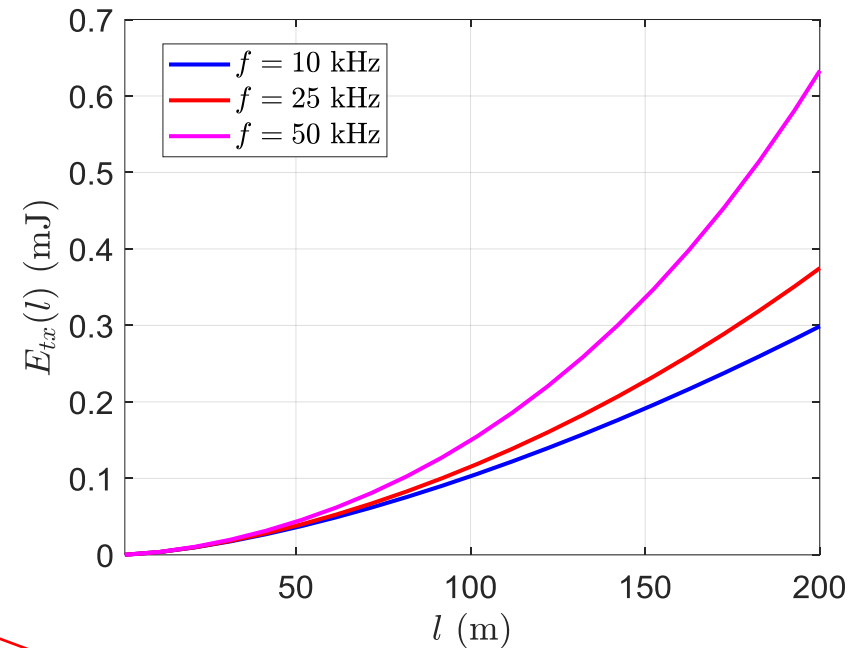
$$\alpha(f) = 10^{(\overline{\alpha(f)}/10)} \quad \text{dB to W conversion}$$

$$A(l, f) = l^m \times \alpha(f)^{l \times 10^{-3}} \quad \text{Attenuation}$$

$$E_{tx}(l) = A(l, f)P_0 \quad \text{Transmission energy consumption per bit}$$

$$E_{rx} = P_r \quad \text{Reception energy consumption per bit}$$

$P_0$	Power required at the input to the receiver (J/bit)	$10^{-7}$
$P_r$	Reception Constant (J/bit)	$0.2 \times 10^{-7}$



5 times greater

- Simple to implement but unrealistic!



# A Simple Network Flow Programming Model for Lifetime Maximization

Maximize  $t$

subject to:

$$\sum_{j \in \mathcal{V}} g_{ij} - \sum_{j \in \mathcal{W}} g_{ji} = \begin{cases} s_i \times t, & \forall i \in \mathcal{W} \\ -\sum_{j \in \mathcal{W}} s_j \times t, & i = 1 \end{cases}$$

Flow Balance

$$g_{ij} \geq 0, \forall (i, j) \in \mathcal{A}$$

Bounds

$$g_{ii} = 0, \forall i \in \mathcal{W}$$

Loop elimination

$$\sum_{j \in \mathcal{V}} g_{1j} = 0$$

Sink node cannot generate data

$$g_{ij} = 0 \text{ if } d_{ij} > d_{\max}, \forall (i, j) \in \mathcal{A}$$

Communication range constraint

$$\sum_{j \in \mathcal{V}} E_{tx}(d_{ij}) \times g_{ij} + E_{rx} \sum_{j \in \mathcal{W}} g_{ji} = \varepsilon_i, \forall i \in \mathcal{W}$$

Energy balance constraint

$$\varepsilon_i \leq \varepsilon_{\text{bat}}, \forall i \in \mathcal{W}$$

Battery constraint

Underwater Energy Model

# Ambient Noise [1]

- The ambient noise in the ocean can be modeled using four sources: turbulence, shipping, winds, and thermal noise.

Turbulence  $10 \log N_t(f) = 17 - 30 \log f$

Shipping  $10 \log N_s(f) = 40 + 20(s - 0.5) + 26 \log f - 60 \log(f + 0.03)$   $0 \leq s \leq 1$ : Shipping activity

Winds  $10 \log N_w(f) = 50 + 7.5w^{1/2} + 20 \log f - 40 \log(f + 0.4)$   $w = 10$  m/s: wind speed

Thermal  $10 \log N_{th}(f) = -15 + 20 \log f$

$\text{dB re } 1 \mu\text{Pa (or simply dB)}$

reference pressure for  
underwater sound.

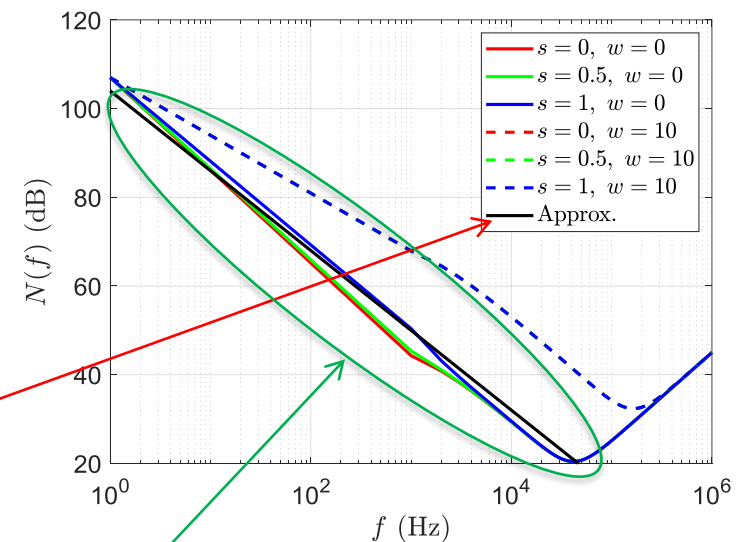
- Ambient Noise (linear):

$$N(f) = N_t(f) + N_s(f) + N_w(f) + N_{th}(f)$$

- Ambient Noise (dB):  $\overline{N(f)} = 10 \log_{10} N(f)$

- Approximation to the Ambient Noise:

- $\overline{N(f)} \approx 50 - 18 \log_{10} f$  (in dB where  $f$  in kHz)



Have a good performance when  $w = 0$  and  $f < 50$  kHz

# Passive Sonar Equation [5-8]

- Remark:  $\log_{10} \left( \frac{a}{b} \right) = \log_{10} a - \log_{10} b$

- Passive Sonar Equation (dB) [5]:

$$\overline{SNR} = \overline{SL} - \overline{A(l, f)} - \overline{N(f)}$$

?
✓
✓

- Attenuation (dB):

$$\begin{aligned} \overline{A(l, f)} &= 10 \log_{10}(A(l, f)) \\ &= 10 \log_{10}(l^k \times \alpha(f)^{l \times 10^{-3}}) \\ &= 10k \log_{10} l + l \times 10^{-3} \times \underbrace{\log_{10} \alpha(f)}_{\overline{\alpha(f)}} \\ &= 10k \log_{10} l + l \times 10^{-3} \times \overline{\alpha(f)} \end{aligned}$$

- Passive Sonar Equation (linear) [6]:

$$SNR = \frac{SL}{A(l, f)N(f)}$$

← Signal Power  
← Noise Power

- Source Level – Signal Power (dB) [7]:
- Known as acoustic transmission power*

$$\overline{SL} = 10 \log_{10} SL$$

$$\overline{SL} = 10 \log_{10} \left( \frac{I_t}{I_0} \right)$$

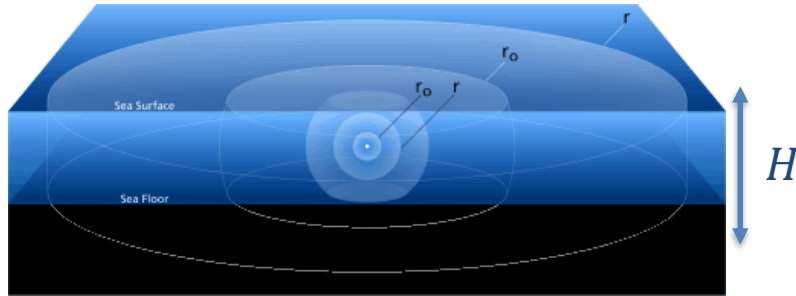
Sound Intensity  
(W/m<sup>2</sup>)

Reference Sound  
Intensity  
(W/m<sup>2</sup>)

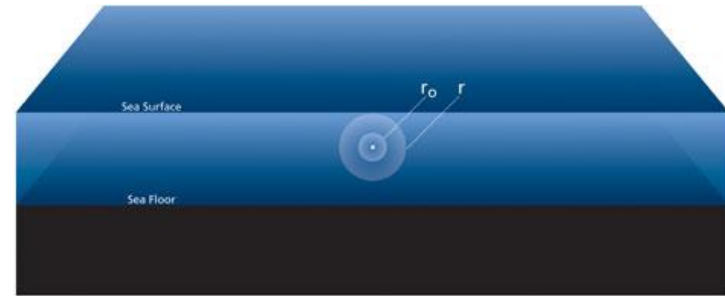
$$[8] I_0 = 0.67 \times 10^{-18} \text{ (W/m}^2\text{)}$$

# Sound Intensity [7,8]

Ref: <https://dosits.org/science/advanced-topics/cylindrical-vs-spherical-spreading/>



Cylindrical spreading in shallow water acoustic networks ( $H < 150 \text{ m}$ ).



Spherical spreading in deep water acoustic networks ( $150 \text{ m} < H < 1000 \text{ m}$ ).

- Intensity: power per unit area carried by a wave ( $\text{W}/\text{m}^2$ ).

$$I_t = \frac{P}{A} = \frac{P_{tx}}{2\pi r H} = \frac{P_{tx}}{2\pi \times (1\text{m}) \times (H \text{ m})}$$

$\text{m}^2$

$H$ : depth of the water (m)

$P_{tx}$ : electrical transmission power (W)

$$I_t = \frac{P}{A} = \frac{P_{tx}}{4\pi r^2} = \frac{P_{tx}}{4\pi \times (1\text{m})^2}$$

Spherical

$$\overline{SL} = 10 \log_{10} \left( \frac{I_t}{I_0} \right) = 10 \log_{10} \left( \frac{P_{tx}}{4\pi(0.67 \times 10^{-18})} \right)$$

- Acoustic Transmission Power (dB): Cylindrical



$$\overline{SL} = 10 \log_{10} \left( \frac{I_t}{I_0} \right) = 10 \log_{10} \left( \frac{P_{tx}}{2\pi H (0.67 \times 10^{-18})} \right)$$

$$P_{tx} = 2\pi H I_0 10^{0.1\overline{SL}}$$

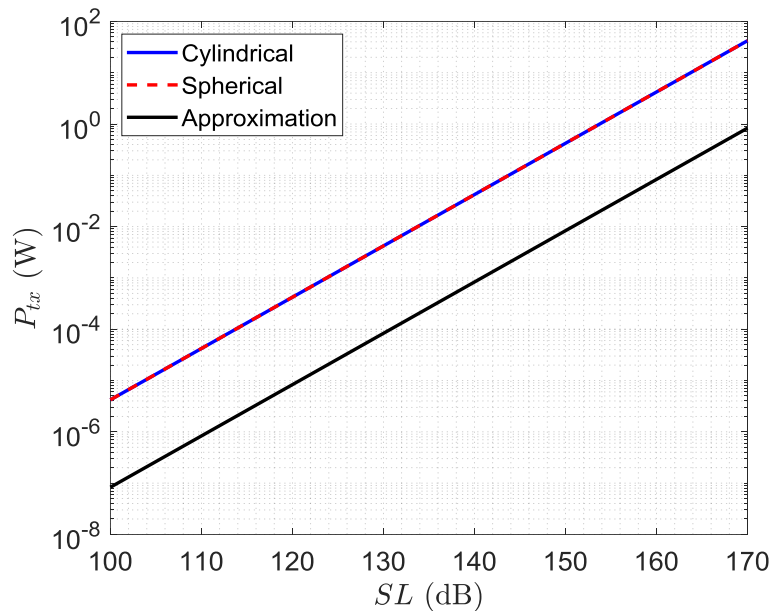
Cylindrical

$$P_{tx} = 4\pi I_0 10^{0.1\overline{SL}}$$

Spherical

# SNR and Source Level

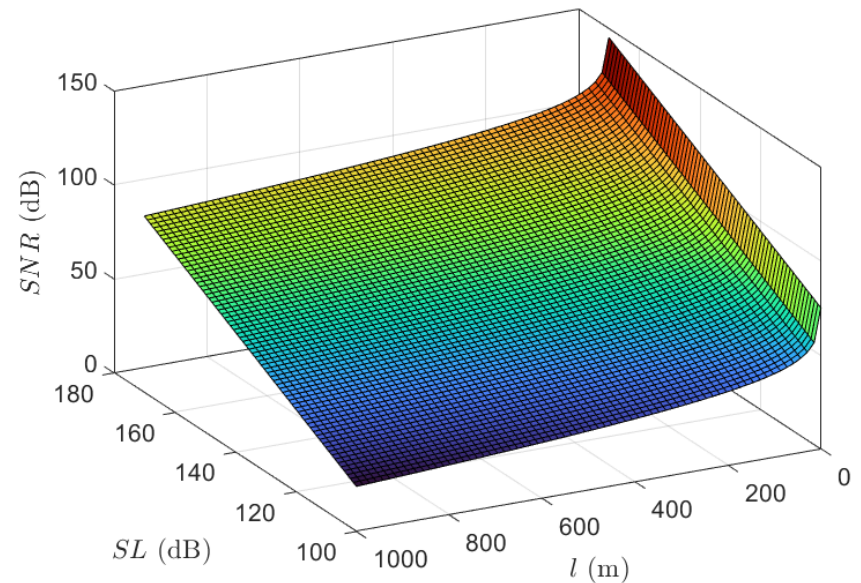
- Acoustic Transmission Power vs. Electrical Transmission Power ( $H = 100\text{ m}$ )



- Approximation [9]:

$$\overline{SL} = 170.8 + 10 \log_{10} P_{tx}$$

- SNR vs  $\overline{SL}$  and  $l$  ( $f = 25\text{ kHz}, k = 1.5$ )



$$\overline{SNR} = \overline{SL} - \overline{A(l, f)} - \overline{N(f)}$$

$$= \overline{SL} - 10k \log_{10} l + l \times 10^{-3} \times \overline{\alpha(f)} - (50 - 18 \log_{10} f)$$

# SNR vs Bit Error Rate

- If SNR is known, calculating Bit Error Rate (BER) is easy.
- BER: the percentage of bits that have errors relative to the total number of bits received in a transmission.
- Calculation of BER depends on the modulation technique that is used.

As an example, assume this transmitted bit sequence:

0 1 1 0 0 0 1 0 1 1

and the following received bit sequence:

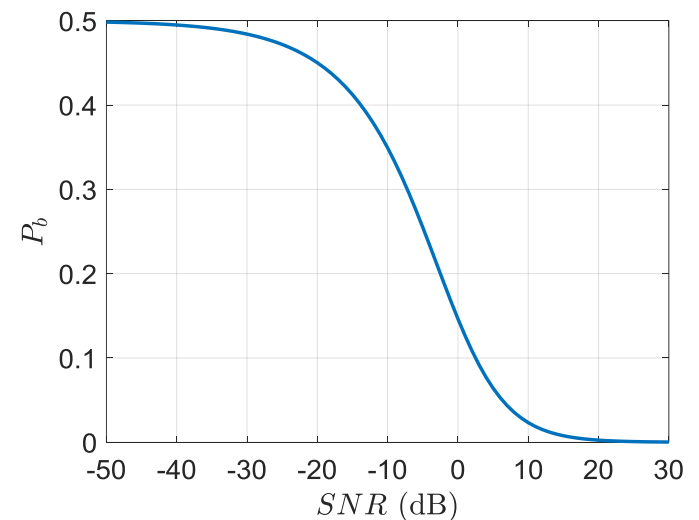
0 0 1 0 1 0 1 0 0 1,

The number of bit errors (the underlined bits) is, in this case, 3. The BER is 3 incorrect bits divided by 10 transferred bits, resulting in a BER of 0.3 or 30%.

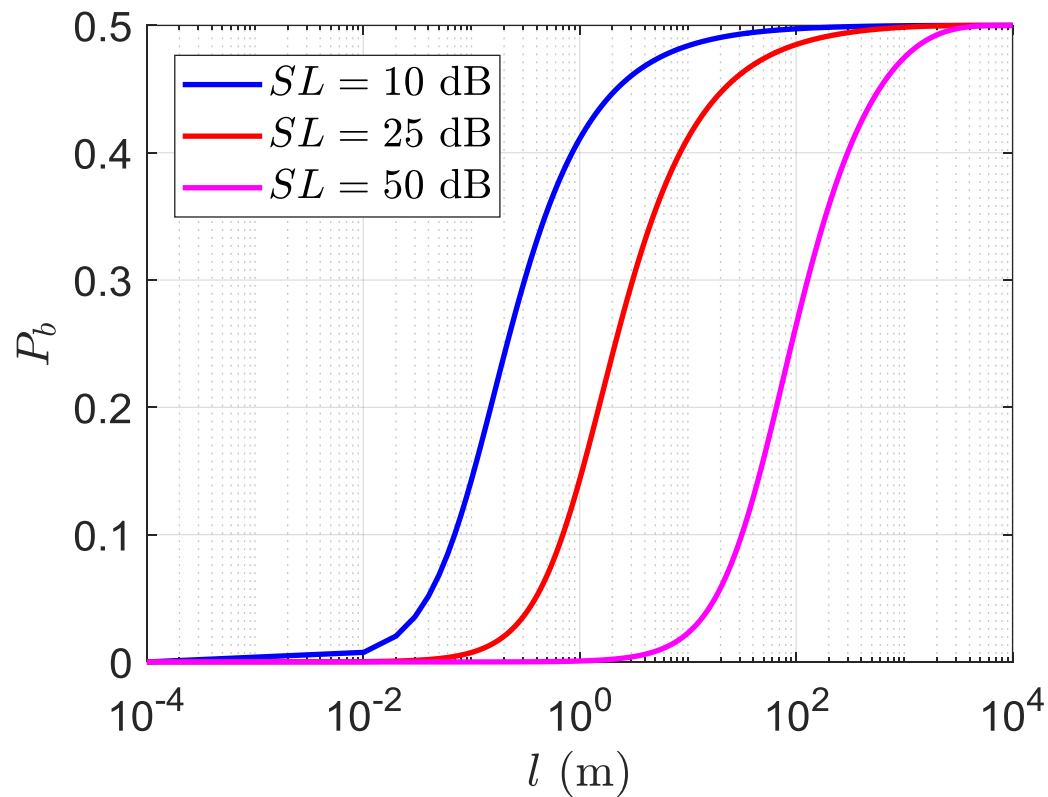
- BER ( $P_b$ ) for **BPSK modulation with Rayleigh fading** [5]:

$$P_b = 0.5 - 0.5 \sqrt{\frac{10^{0.1\overline{SNR}}}{1 + 10^{0.1\overline{SNR}}}}$$

- **Reasons for the bit errors:** channel noise, interference, distortion, bit synchronization problems, attenuation, wireless multipath fading, etc.



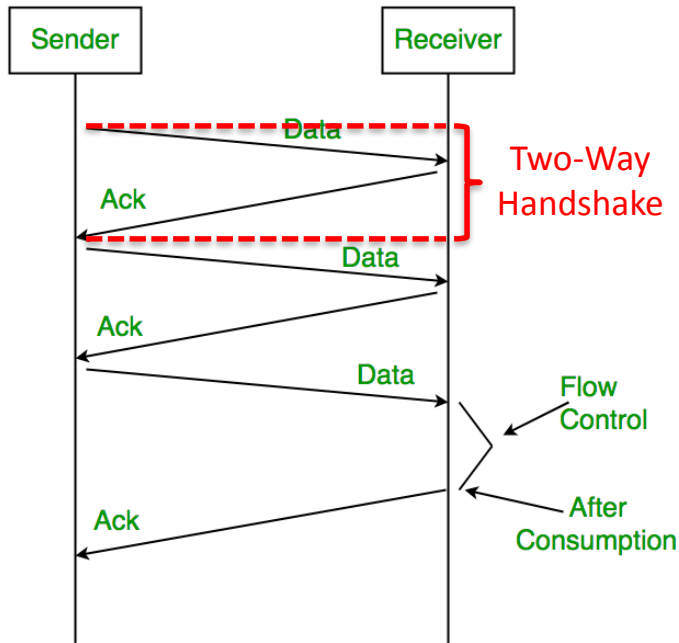
# SNR vs Bit Error Rate



■  $P_b$  vs  $l$  ( $f = 25$  kHz,  $k = 1.5$ )

# Two-Way Handshake

- **Stop-and-Wait Automatic Repeat Request (ARQ):** It ensures that information is not lost due to dropped packets and that packets are received in the correct order.

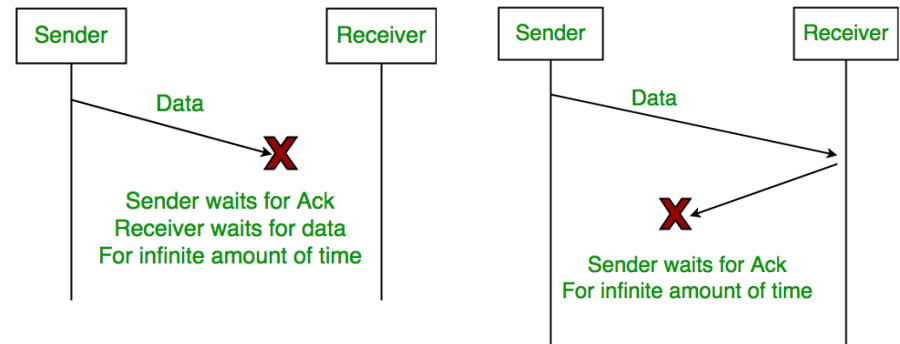


Stop-and-Wait ARQ - Timing Diagram

Ref: <https://www.geeksforgeeks.org/stop-and-wait-arq/>

- Data packet length:  $L_D$  bits
- ACK (Acknowledgment) packet length:  $L_A$  bits
- Typically,  $L_D \gg L_A$ .
- **Two-Way Handshake:** Both Data and ACK packets needed to be successfully transmitted.

Problems:

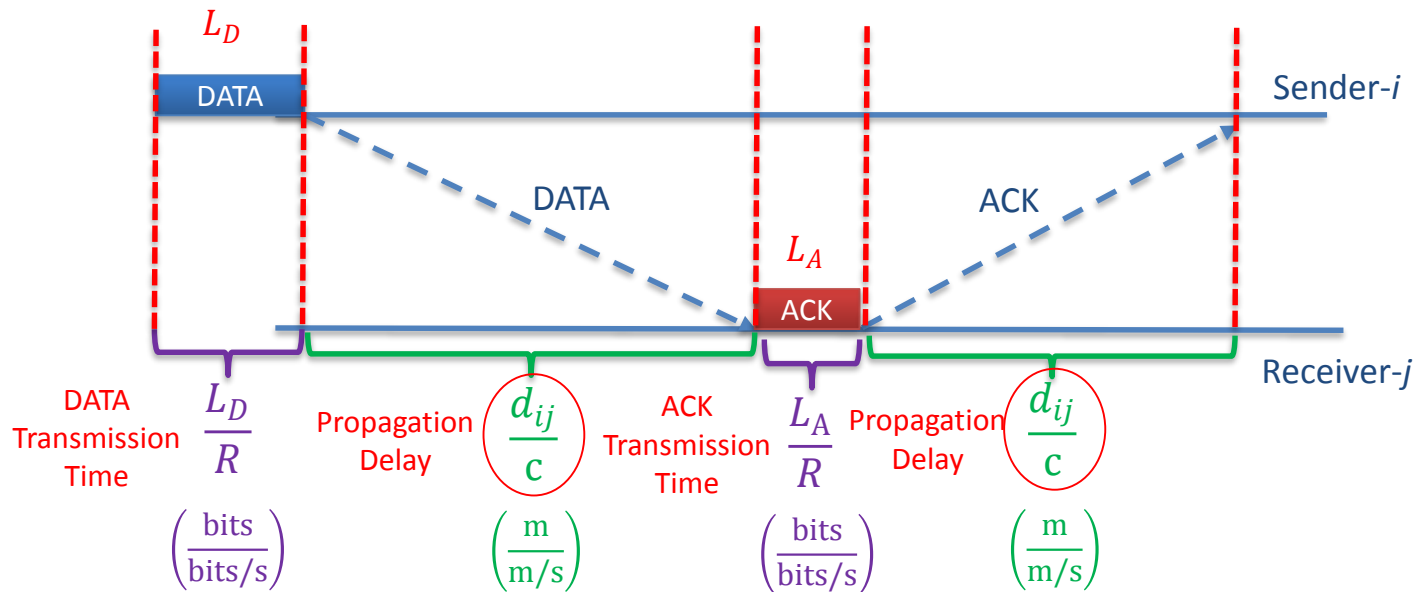


- $p_D^S = P(\text{Data packet is successfully received})$
- $p_A^S = P(\text{ACK packet is successfully received})$
- $p_D^S = (1 - P_b)^{L_D}$
- $p_A^S = (1 - P_b)^{L_A}$



# Timing Analysis

- If the two-way handshake is unsuccessful, then packets needed to be retransmitted.
- Hence, the two-way handshake must be repeated until it successfully occurs.
- $X$ : the number of handshake attempts until the handshake is successful.
- $X \sim \text{Geo}(p)$  where  $p = p_D^s \times p_A^s$  is the probability that the handshake is successful.
- Since  $X$  is a geometric random variable,  $E[X] = \frac{1}{p} = \frac{1}{p_D^s \times p_A^s}$ , which is the average number of transmissions required to have a successful handshake.



In underwater, propagation delay dominates the transmission times as  $d_{ij}$  increases.

$$\frac{d_{ij}}{c} \gg \frac{L_D}{R} \text{ (or } \frac{L_A}{R} \text{)}$$

It is important to minimize the propagation delay for the routing.



- $R$ : data rate of the underwater modem (bits/s),  $c$ : speed of sound in water ( $\sim 1500$  m/s)

# Energy and Delay Costs [10]

- Average energy consumption for a successful handshake:

$$E_{tx} = \underbrace{\left(\frac{L_D}{R} P_{tx}\right)}_{\text{DATA packet transmission energy cost}} + \underbrace{\left(\frac{L_A}{R} P_{rx}\right)}_{\text{ACK packet reception energy cost}} \times \underbrace{\frac{1}{p_D^s \times p_A^s}}_{\text{Scaling Factor (for retransmissions)}}$$

Reception Power

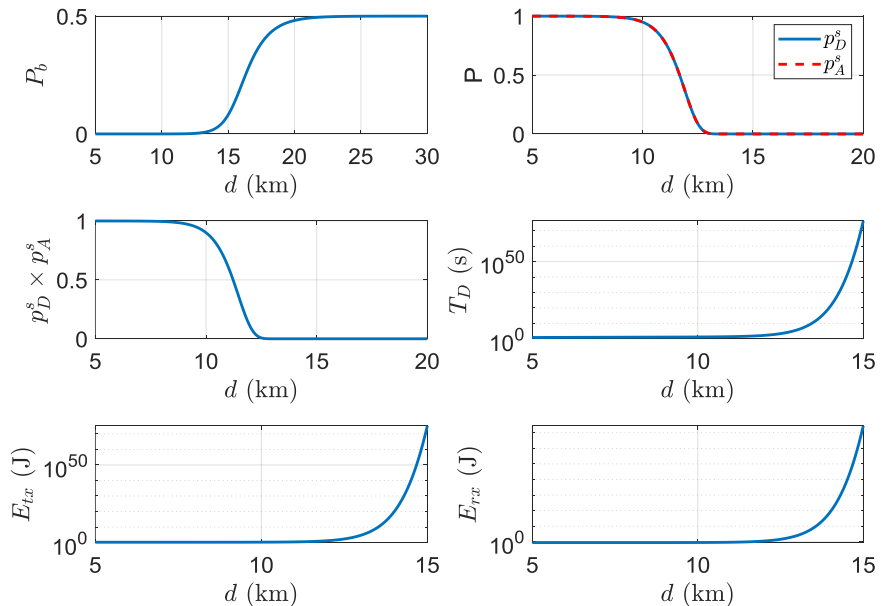
Energy = Power × Time

$$E_{rx} = \underbrace{\left(\frac{L_D}{R} P_{rx}\right)}_{\text{DATA packet reception energy cost}} + \underbrace{\left(\frac{L_A}{R} P_{tx}\right)}_{\text{ACK packet transmission energy cost}} \times \underbrace{\frac{1}{p_D^s \times p_A^s}}_{\text{Scaling Factor (for retransmissions)}}$$

- Average delay experienced over link-(i,j):

$$T_{ij}^D = \left(\frac{L_D}{R} + \frac{L_A}{R} + 2\frac{d_{ij}}{c}\right) \times \frac{1}{p_D^s \times p_A^s}$$

- $P_{tx} = 8 \text{ W}$ ,  $P_{rx} = 1 \text{ W}$ ,  $L_D = 1024 \text{ bits}$ ,  $L_A = 64 \text{ bits}$ ,  $R = 5000 \text{ bits/s}$ ,  $H = 1 \text{ km}$ ,  $k = 1.5$ ,  $f = 25 \text{ kHz}$ ,  $c = 1500 \text{ m/s}$



Severe Channel Conditions

# A Middle-Level Network Flow Programming Model for Lifetime Maximization

$$\max N_R \times T_R$$

$$\sum_j f_{ij} - \sum_j f_{ji} = \begin{cases} s_i \times N_R, \forall i \neq 1 \\ -\left(\sum_j s_j\right) \times N_R, \text{ if } i = 1 \end{cases}$$

$$\sum_j f_{ij} T_{ij}^D + \sum_j f_{ji} T_{ji}^D \leq N_R \times T_R, \forall i$$

$$\sum_j f_{ij} E_{tx} + \sum_j f_{ji} E_{rx} \leq E_{bat}, \forall i \neq 1$$

$$f_{ij} \geq 0, \forall i, j$$

Total delay experienced  
cannot be greater than the  
network lifetime.

## ■ Decision Variables:

➤  $f_{ij}$ : the number of packets transmitted from node- $i$  to node- $j$ .

## ■ Free Variable:

➤  $N_R$ : network lifetime (the number of rounds).

## ■ Parameters:

➤  $T_R$ : round duration (in seconds),

➤  $s_i$ : number of packets generated by node- $i$  per round.

➤  $E_{bat}$ : initial battery energy of nodes,

➤  $E_{tx}$  and  $E_{rx}$ : TX and RX average energy costs,

➤  $T_{ij}^D$ : average delay observed over link- $(i,j)$ .

- Good model which accounts the bit errors and considers the large propagation delay.

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Thank you  
Questions?