

A  
Dissertation  
Presentation on  
**Stacked Ensemble Model with Heap Based Optimization for Building Energy  
Consumption Prediction**



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**2021-2023**

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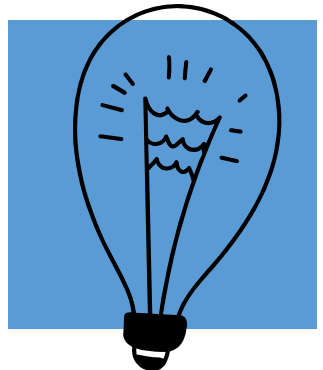
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# Introduction

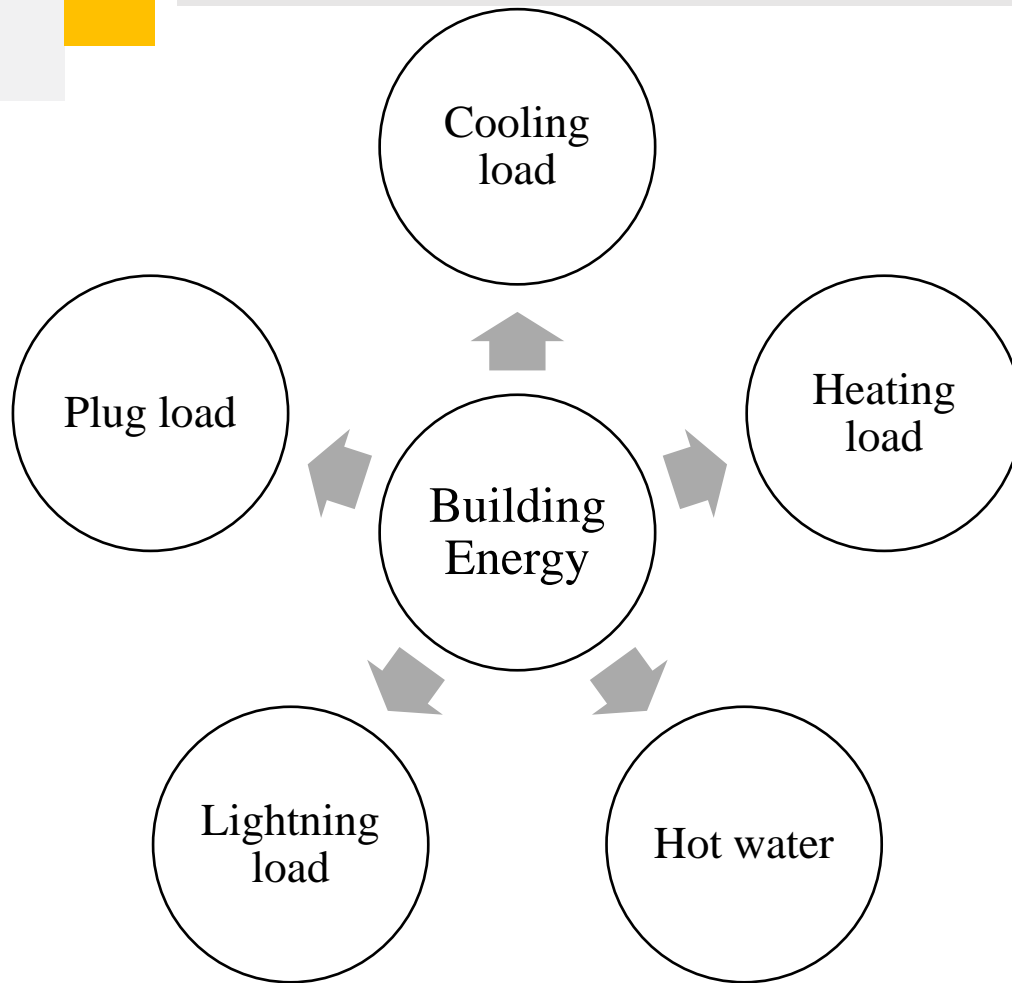


Fig.1 Different Kind of loads in Building

Source 5,6

- Building energy prediction is not only an important evaluation tool of energy-saving potential but also an **essential component of smart buildings**
- The **term** energy management usually refers to the saving of energy .
- In broad it means **improving energy efficiency** in power devices such as **electrical equipment** or vehicle and the development of **renewable energy**.

# Energy Management Includes



Planning and saving

Operation of Energy Production

Energy Consumption

Source 3

# Motivation



- Building energy consumption accounts for a significant portion of global energy consumption and carbon emissions. About 40% of worldwide energy use and 30% of the world's carbon footprint are attributable to buildings.
- Traditionally, building energy prediction relies on energy simulation software, which requires detailed building models and significant computational resources. However, energy simulation software needs accurate inputs for the building model, which can be challenging to obtain.
- The computational requirements for energy simulations can be time-consuming and costly
- In order to resolve the above constraint and to use the resources efficiently there is a need to forecast accurate energy prediction which can help building owners and managers to optimize energy usage and lower their energy costs.
- The above problem can be solved by using machine learning techniques to forecast the building energy usage

Source 13,14

# Objective



1

Obtain typical load profile  
of Building

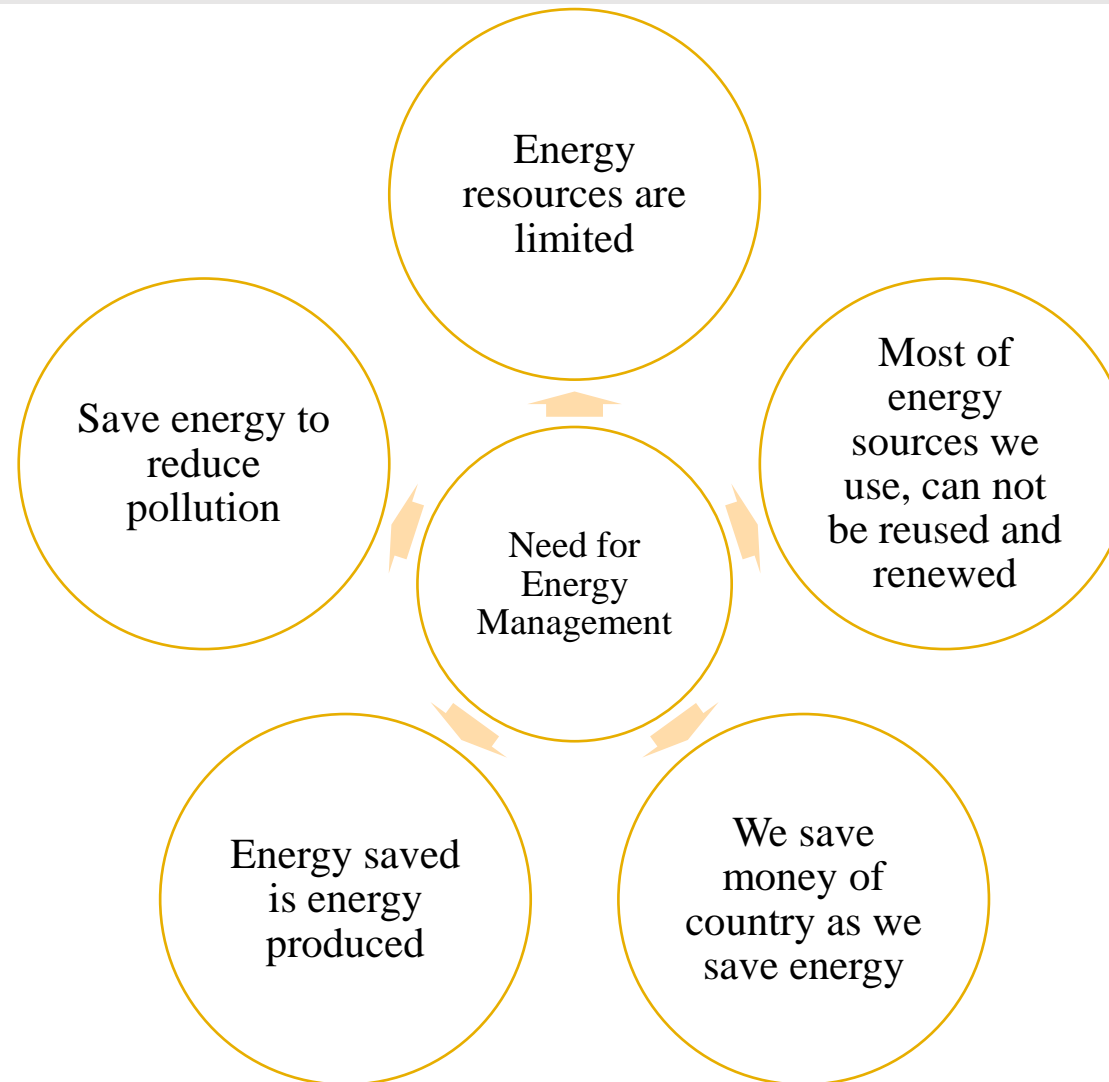
2

Predicting the  
Building Energy  
Consumption by Different  
Machine Learning Models

3

Hyperparameter tuning  
By Heap Based  
Optimization (HBO)

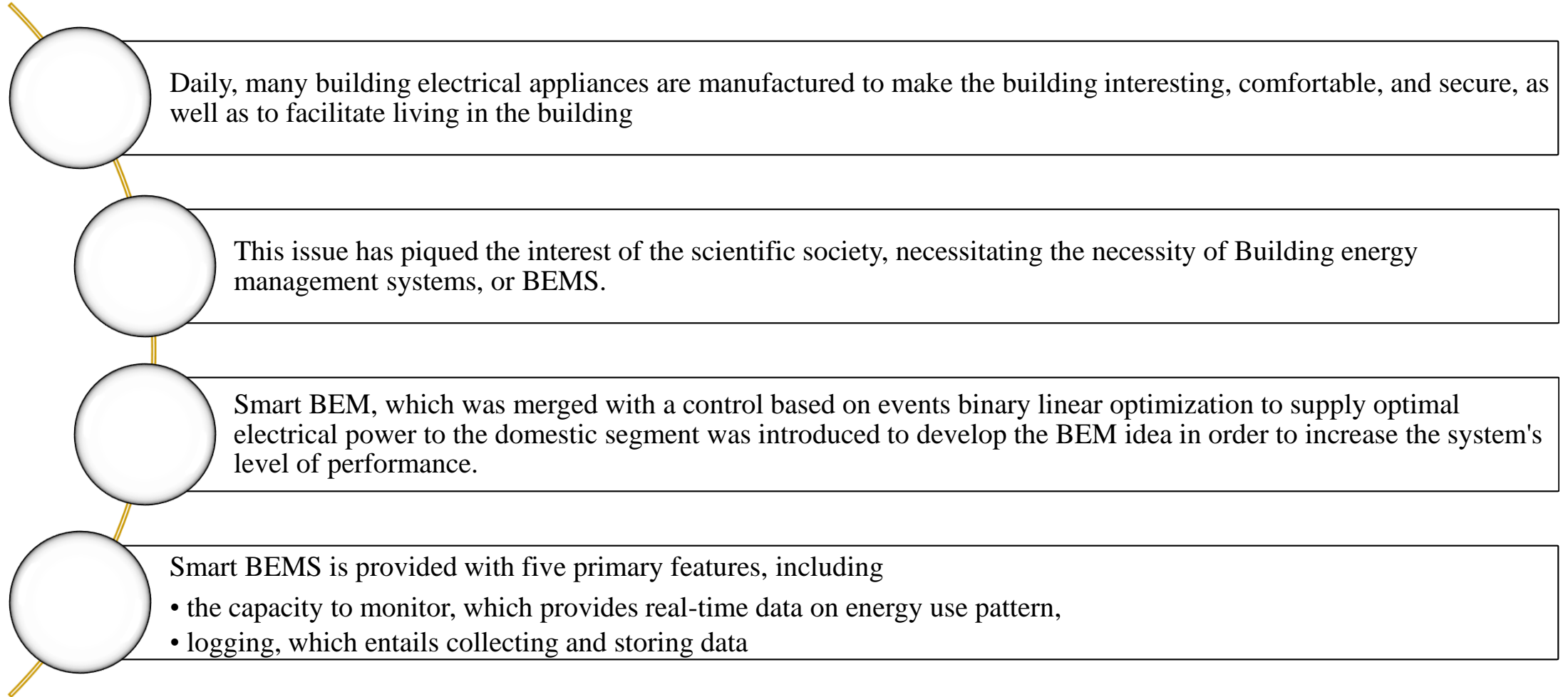
# Need of Energy Management



Source 2

Fig.2 Need for Energy Management

# Building Energy Management



Source 4,11



# ML in Energy Management Systems



Forecast Energy Bills

Identify Consumption  
Patterns

Operate Heat Ventilation  
and Illumination Systems

Analyze Big Amounts of  
Data

Help Decision Making

Obtain New Insights

Source 9

# Motivation for Machine Learning



- Although Individual Machine learning models are also performing better for prediction, but the result can further be enhanced by using combination of model which is stacked ensemble model.
- In stacked ensemble model we combine two or more than two ML models in parallel and each model is trained using a subset of the data, each model produces its result and after this we select the meta model, one which is giving us the best result now we use this meta model for forecasting purpose
- And for input of the meta model we take the prediction results from level 0
- The level 1 model is trained on multiple predictions given by several base models, and then it optimally integrates the predictions of the base models on the testing data.

Source 11,12

# Stacked Ensemble Model

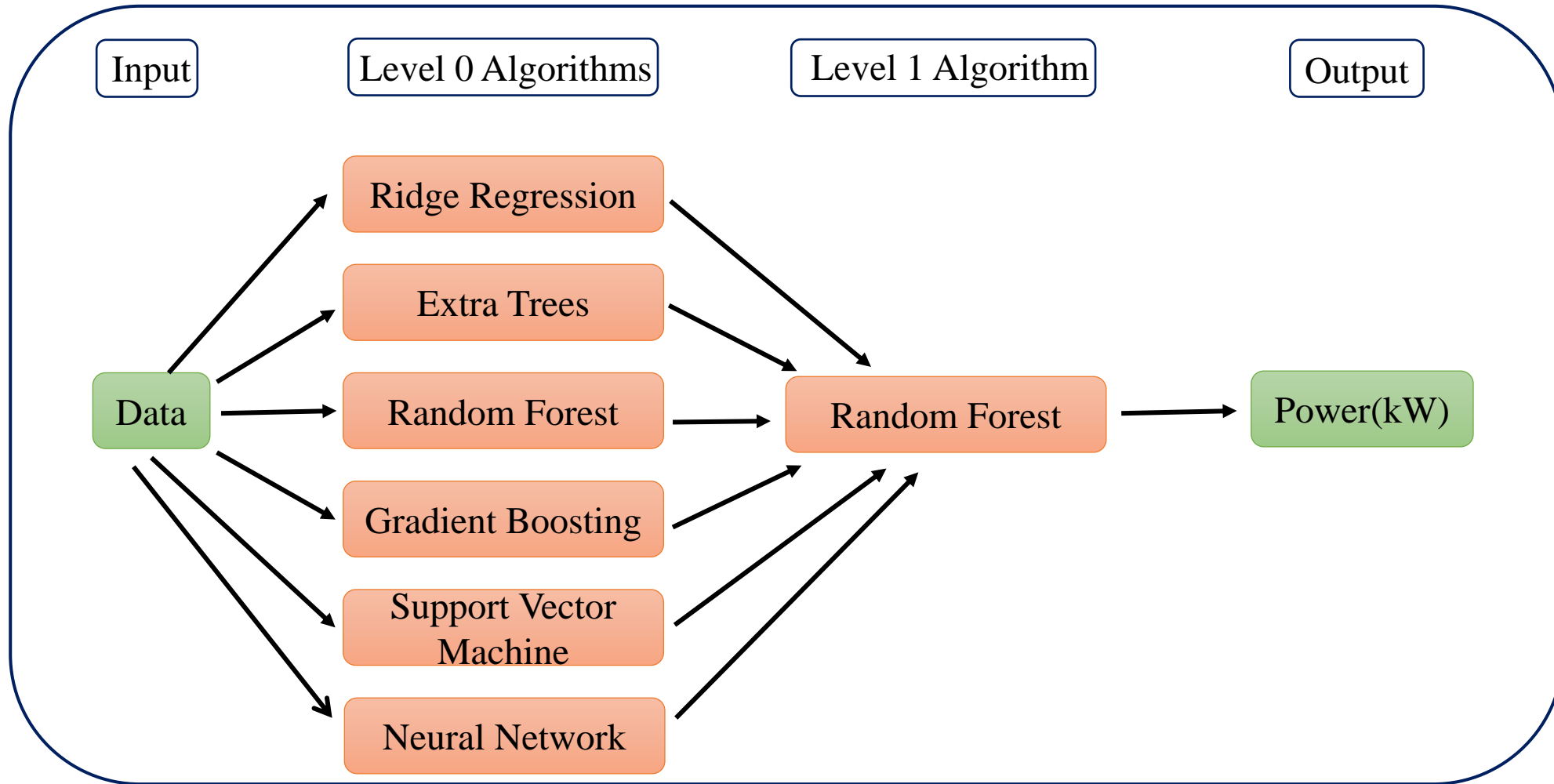


Fig.3 Stacked Ensemble Model

# Hyperparameter Tuning

- Hyperparameters are parameters whose values control the learning process of the model and determines the performance of the model
- This process of choosing the optimal parameter for the ideal model architecture is called hyperparameter tuning
- In Ensemble Model, there is a large number of hyperparameters like max\_depth, n\_estimators, max\_samples, etc.
- In order to get the best result from our existing model we perform hyperparameter tuning. So, that we can feed our model with the best parameters.

# Heap Based Optimization

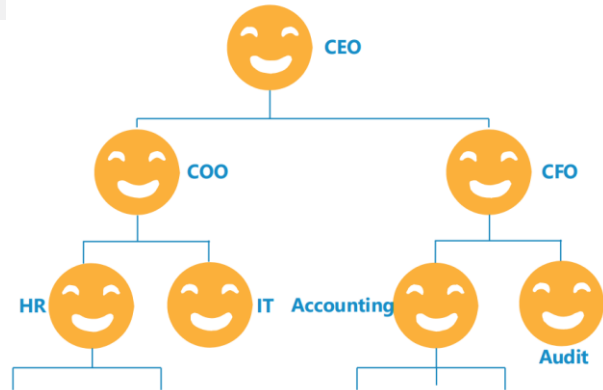


Fig.4 Corporate Rank Hierarchy

- Heap Based Optimization (HBO) is a **meta-heuristic algorithm** inspired by human social behavior..
- HBO **minimizes memory allocations and deallocations** by utilizing a heap data structure.
- It **allocates** a large **chunk of memory** at once and assigns smaller chunks as needed, reducing memory management overhead.

- In a corporation, HBO applies the heap structure to represent the Corporate Rank Hierarchy (CRH).
- CRH **organizes search agents** hierarchically based **on fitness, resembling an organizational chart**.
- The hierarchy follows a **tree-like framework**, with managers as **parent nodes** and subordinates as offspring.
- Each subordinate interacts with their direct supervisor.
- Example of a CRH is shown in Fig. 4, with the CEO as the primary node and other roles as subordinates.

# Heap Based Optimization



## ➤ Mathematical Modeling of the Interaction with Immediate Boss

$$X_i^k(t+1) = B^k + \left\lfloor \frac{\left(t \bmod \frac{T}{C}\right)}{\frac{T}{4C}} \right\rfloor (2r-1) |B^k - X_i^k(t)| \quad (1)$$

## ➤ Mathematical Modeling of the Interaction Between Colleagues

$$X_i^k(t+1) = \begin{cases} S_r^k + \gamma(2r-1)^k |S_r^k - X_i^k(t)|, & f(S_r) < f(X_i) \\ X_i^k(t) + \gamma(2r-1)^k |S_r^k - X_i^k(t)|, & f(S_r) \geq f(X_i) \end{cases} \quad (2)$$

## ➤ Mathematical Modeling of the Employee Self-Contribution

$$X_i^k(t+1) = X_i^k(t) \quad (3)$$

## Nomenclature

- $r \in [0,1] \rightarrow$  randomized value,  
 $B \rightarrow$  optimal solution  
 $t \rightarrow$  present generation  
 $k \rightarrow$  component vector number  
 $T \rightarrow$  sum of number of the generations  
 $C \rightarrow$  random integer equal to  $T$  divided by 25  
 $S_r \rightarrow$  arbitrarily generated solution  
 $X_i \rightarrow$  updates its position using  $S_r$

# Heap Based Optimization



## ➤ Overall Position Update

$$X_i^k(t+1) = \begin{cases} X_i^k, p \leq p_1 \\ B^k + y\lambda^k |B^k - X_i^k(t)|, p_1 < p \leq p_2 \\ S_r^k + \gamma\lambda^k |S_r^k - X_i^k(t)|, p_1 < p \leq p_3 \text{ \& } f(S_r) < f(X_i) \\ X_i^k(t) + \gamma\lambda^k |S_r^k - X_i^k(t)|, p_1 < p \leq p_3 \text{ \& } f(S_r) < f(X_i) \end{cases} \quad (4)$$

$$p_1 = 1 - \frac{t}{T}, p_2 = p_1 + \frac{1-p_1}{2}, p_3 = p_1 + \frac{1-p_1}{2} \quad (5)$$

$$Parent_i = \left\lceil \frac{i+1}{d} \right\rceil \quad (6)$$

$$child(i,j) = j - d + 1 + d*i \quad (7)$$

$$depth_i = \lceil \log_d (d*i - i + 1) \rceil - 1 \quad (8)$$

$$\left[ \frac{d*d^{depth_i-1}}{d-1} + 1, \frac{d*d^{depth_i-1}}{d-1} \right] \quad (9)$$

## Nomenclature

$p \rightarrow$  random number between 0 & 1

# Proposed HBO-Stacked Ensemble Model



- ML models have multiple hyperparameters that require optimization for improved performance.
- Hyperparameters can be selected through testing different values and selecting the best performer or using default values.
- HBO algorithm is implemented to tune the hyperparameters of a stacked ensemble model.
- The selected fitness function for this research is the RMSE, mathematical representation of the given expression is as follows,

$$RMSE(\hat{y}) = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y})^2}$$

- The hyperparameters targeted for optimization are:
  - maximum depth
  - number of estimators
  - maximum number of samples
  - maximum number of features.
- The search space for these hyperparameters is defined with upper and lower limits ([10,100,0.1,0.1] and [50,400,1,1] respectively)
- The application of the heap-based optimization technique improves the accuracy of predicted energy consumption values.

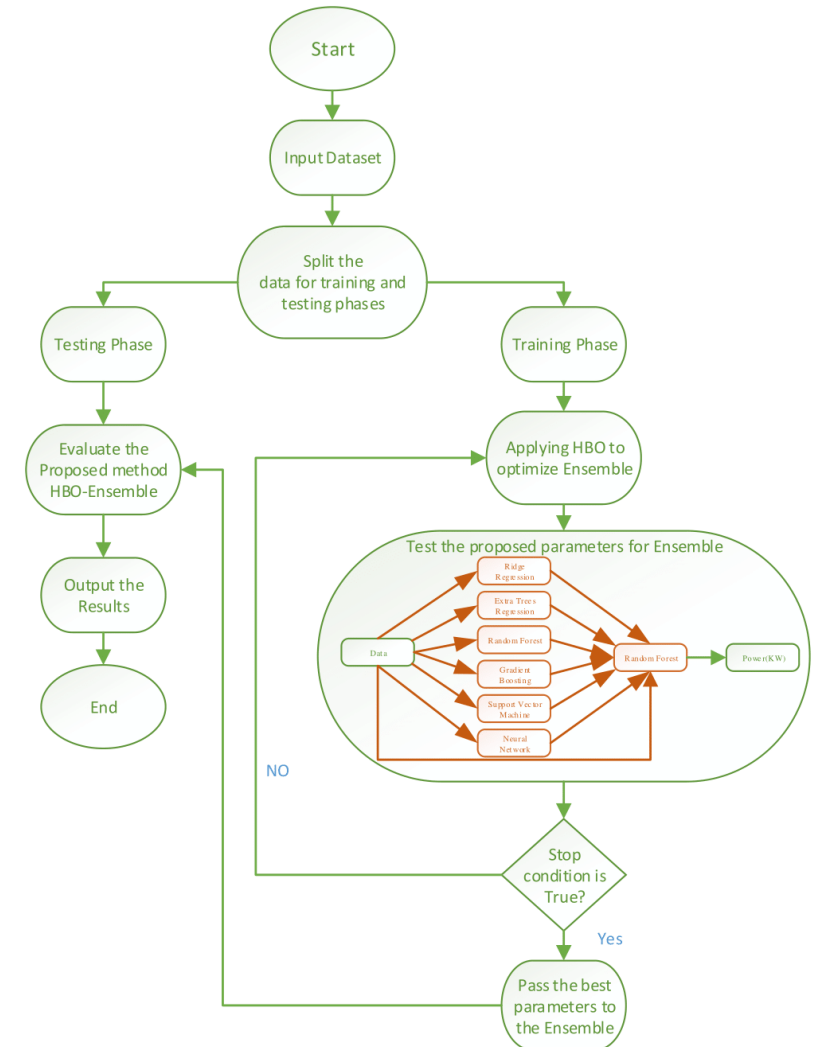
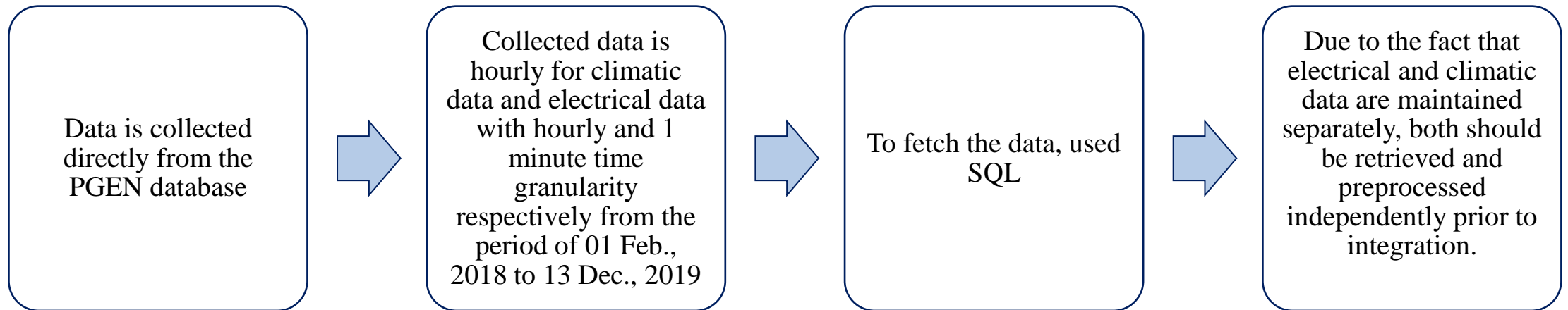


Fig.5 Flowchart Proposed HBO-Ensemble Model



# Case Study



# Features of Collected Data



## Climatic Data

Temperature ( $^{\circ}\text{C}$ )

Atmospheric pressure (hPa)

Precipitation (mm)

Windspeed (m/sec)

## Electrical Data

Total Active Power(kW)

Voltage (kV)

Power Factor (dimensionless)

# Kernel Density Estimation Plots

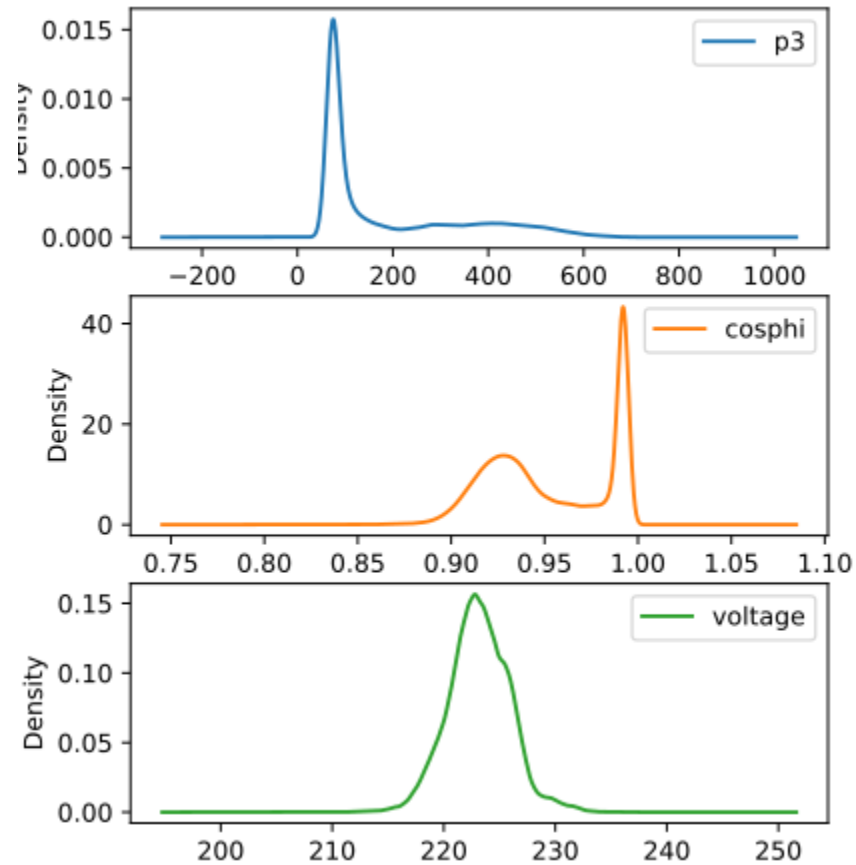


Fig. 6. KDE of electrical variables

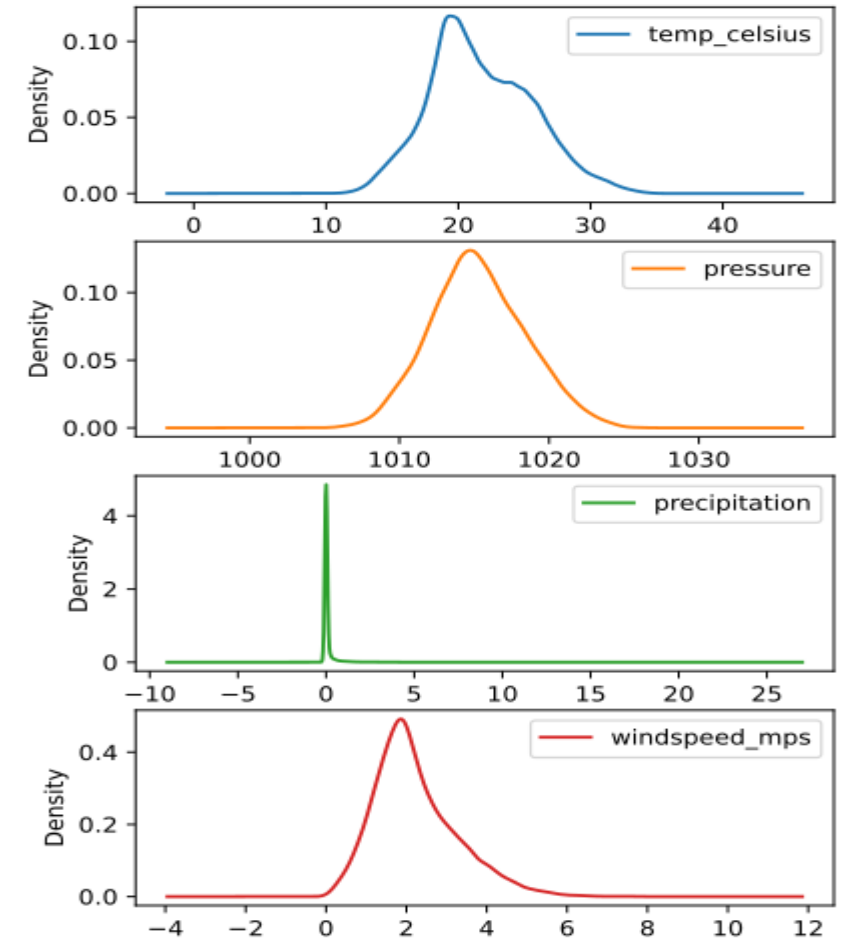
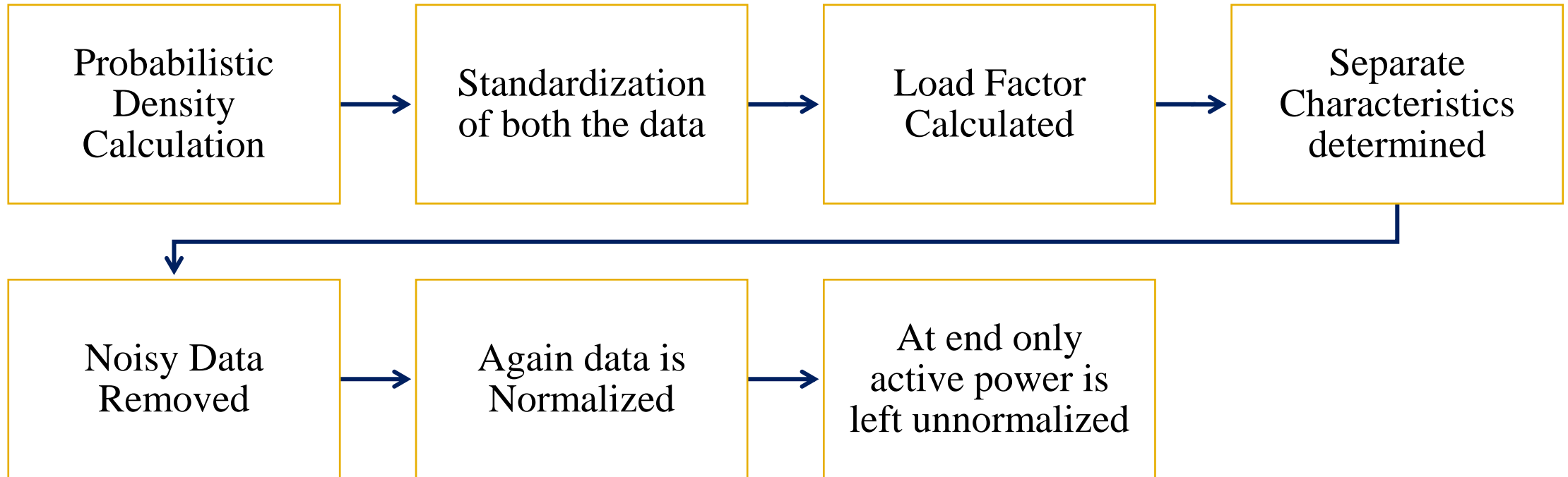


Fig. 7. KDE of climatic variables

# Pre-processing and Data Integration



$$\text{Load Factor} = \frac{\text{Maximum Active Power}(P_{max})}{\text{Mean of all Powers}(P_{mean})}$$

# Correlation Plot



	Highest	Moderate	Weak or No
Positive	<i>cos_phi</i> (0.748)	<i>temperature</i> (0.413)	<i>hour</i> (0.327)
Negative	<i>pressure</i> (-0.320)	<i>cos_phi_std</i> (-0.421)	<i>voltage,</i> <i>load_factor,</i> <i>day_of_week,</i> <i>windspeed, month,</i> <i>and precipitation</i> (-0.03 to 0.10)

- The most significant variables associated with *p3* are *cos\_phi*, *temperature*, *pressure*, and *cos\_phi\_std*. These variables can be valuable in predicting *p3* values and making informed decisions regarding power utilization and energy efficiency.

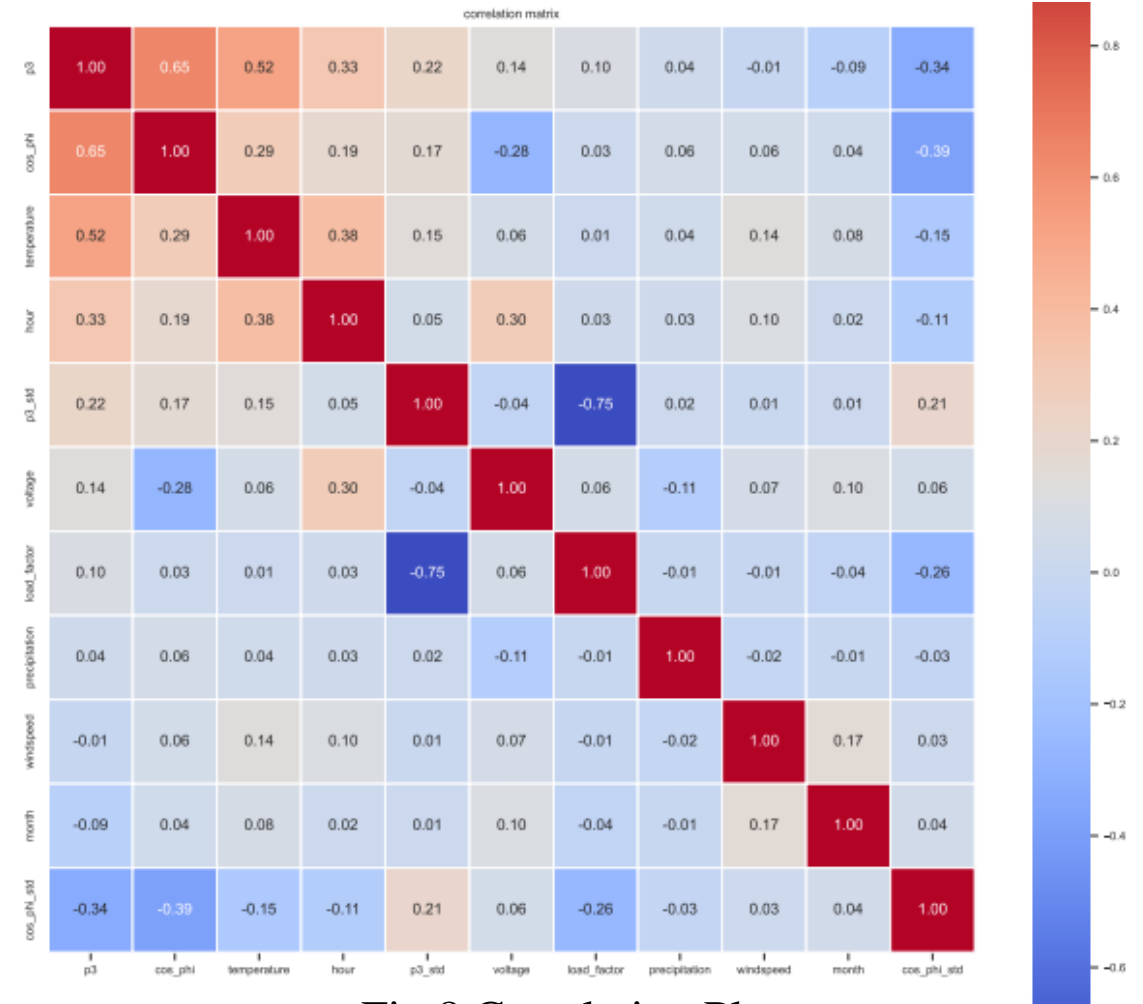


Fig.8 Correlation Plot

# Model Training



- Used cross-Validation method: 70% of the data for training, 30% for tests i.e. out of 62509 data points 43756 is used for model training and 18753 is used for evaluating the errors
- Here training group is used to model the function
- While test group is used to evaluate the predictor error
- 6 Machine Learning techniques applied, chosen to have different strengths and weaknesses: Ridge Regression, Random Forest Regression, Extremely randomized trees, Gradient Tree Boosting, and Artificial Neural Network
- Each model is trained and evaluated separately
- Evaluation Metrics

$$RMSE(\hat{y}) = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y})^2} \quad MAE(\hat{y}) = \frac{1}{n} \sum_{i=1}^n |y_i - \hat{y}_i|$$

$$Perc(\hat{y}) = \frac{MAE(\hat{y})}{Mean(\hat{y})}$$

$y_i$  → output value of  $i^{th}$  energy

$\hat{y}$  → Value predicted by algorithm

$n$  → No. of samples from test dataset

# Evaluation of Models



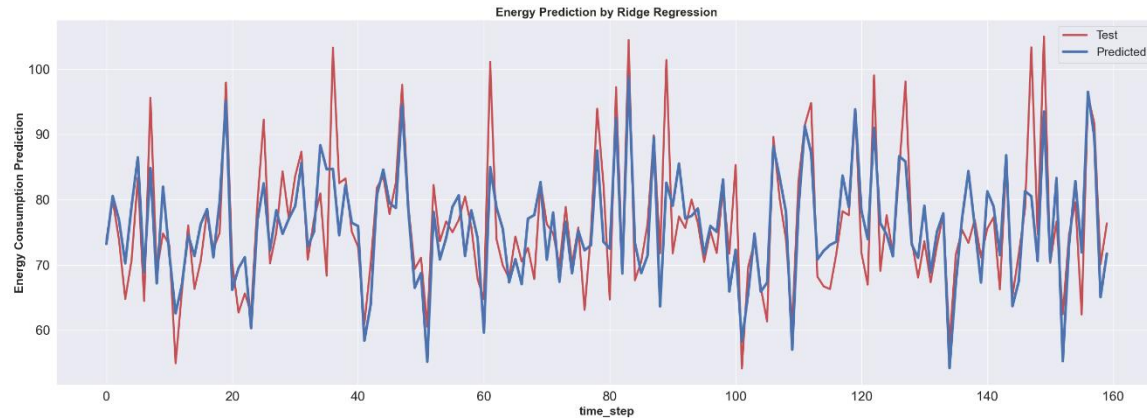
Table-I Model Evaluation and Error Comparison

Algorithm	MAPE	RMSE	Percentual
Ridge Regression	0.058	5.76	5.82
Extremely Randomized Trees	0.029	3.20	3.02
Random Forest	0.029	3.20	2.99
Gradient Tree Boosting	0.029	3.10	2.99
Artificial Neural Networks	0.034	3.75	3.52
Support Vector Machine	0.053	5.11	5.24
Stacked Ensemble	0.028	3.06	2.86
Proposed HBO+Stacked Ensemble	0.027	3.05	2.85

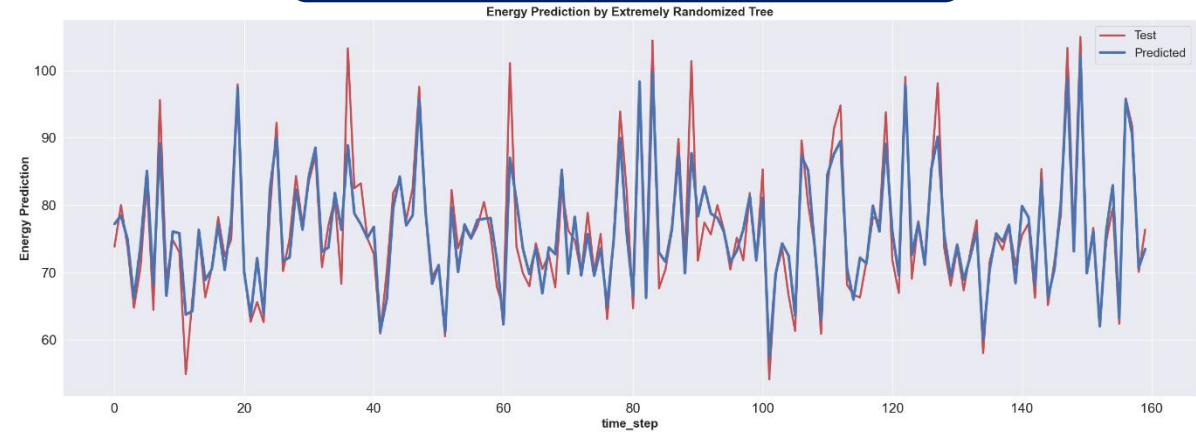
# Results



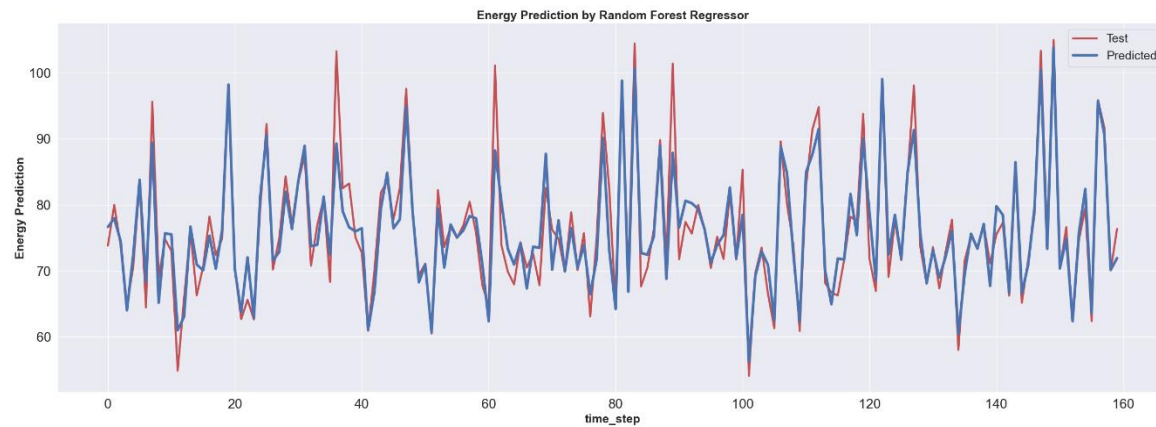
## Ridge Regression



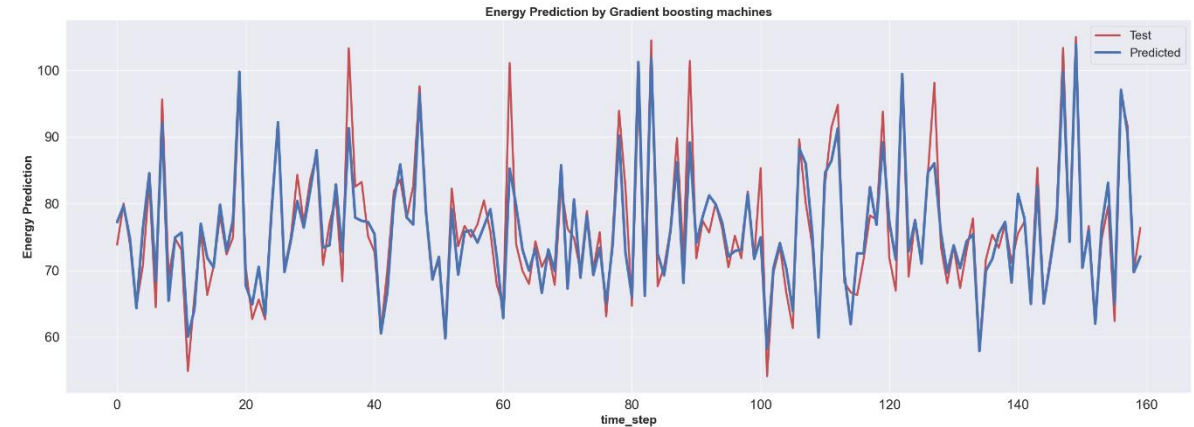
## Extremely Randomized Trees



## Random Forest



## Gradient Boosting Regressor

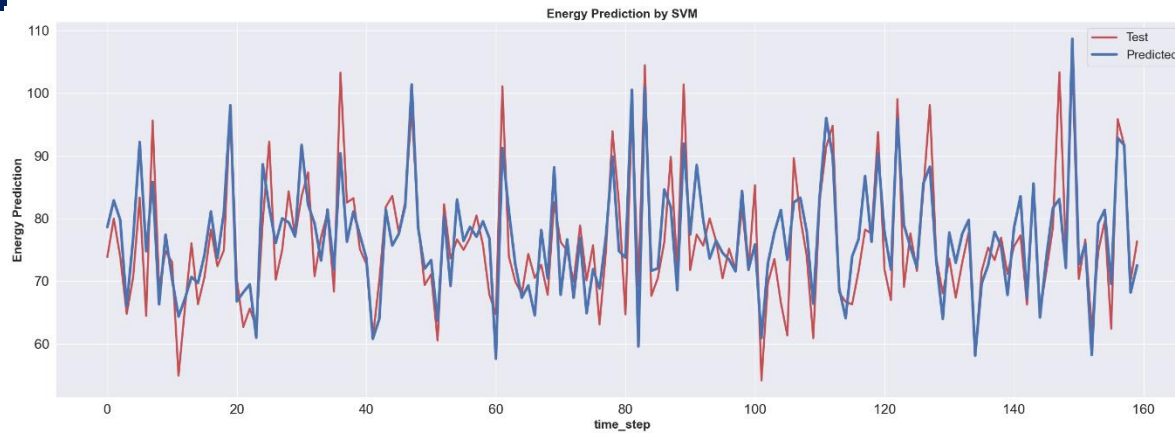




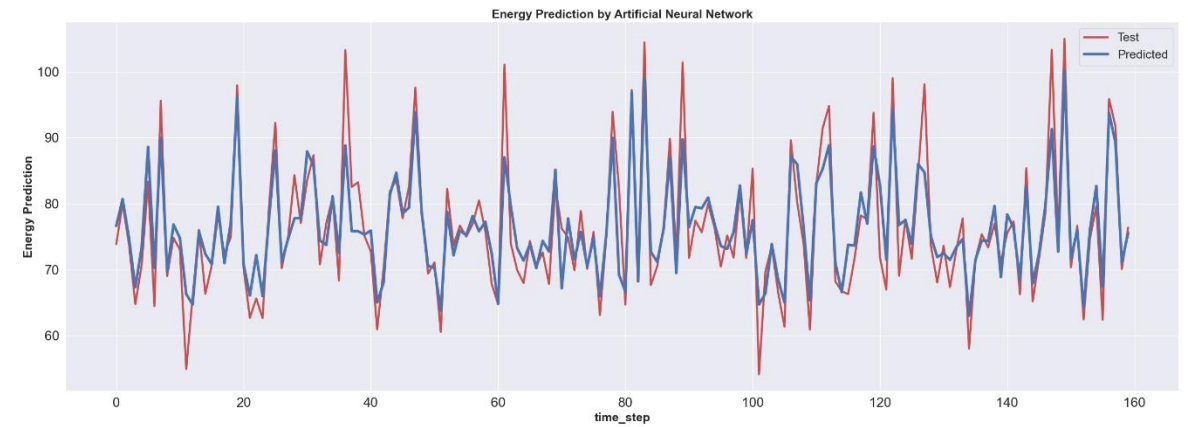
# Results



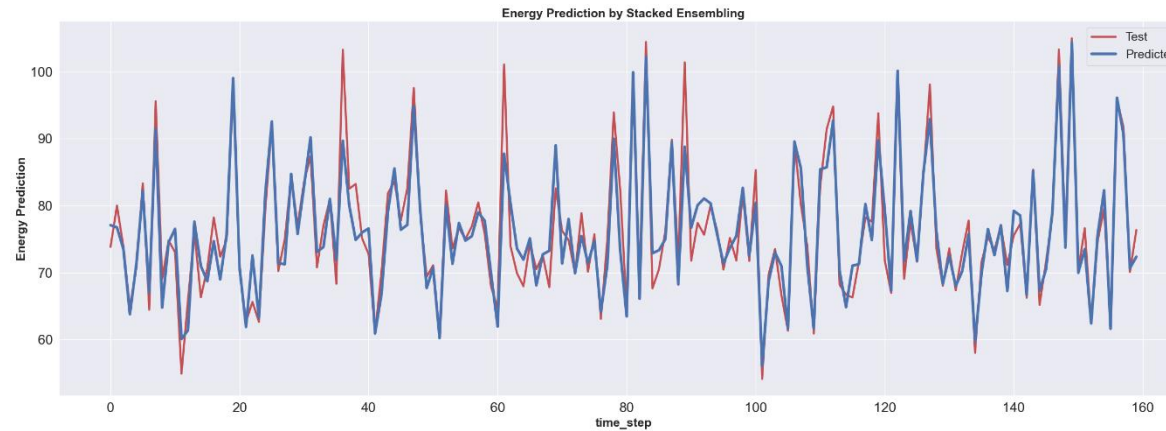
## SVM



## ANN



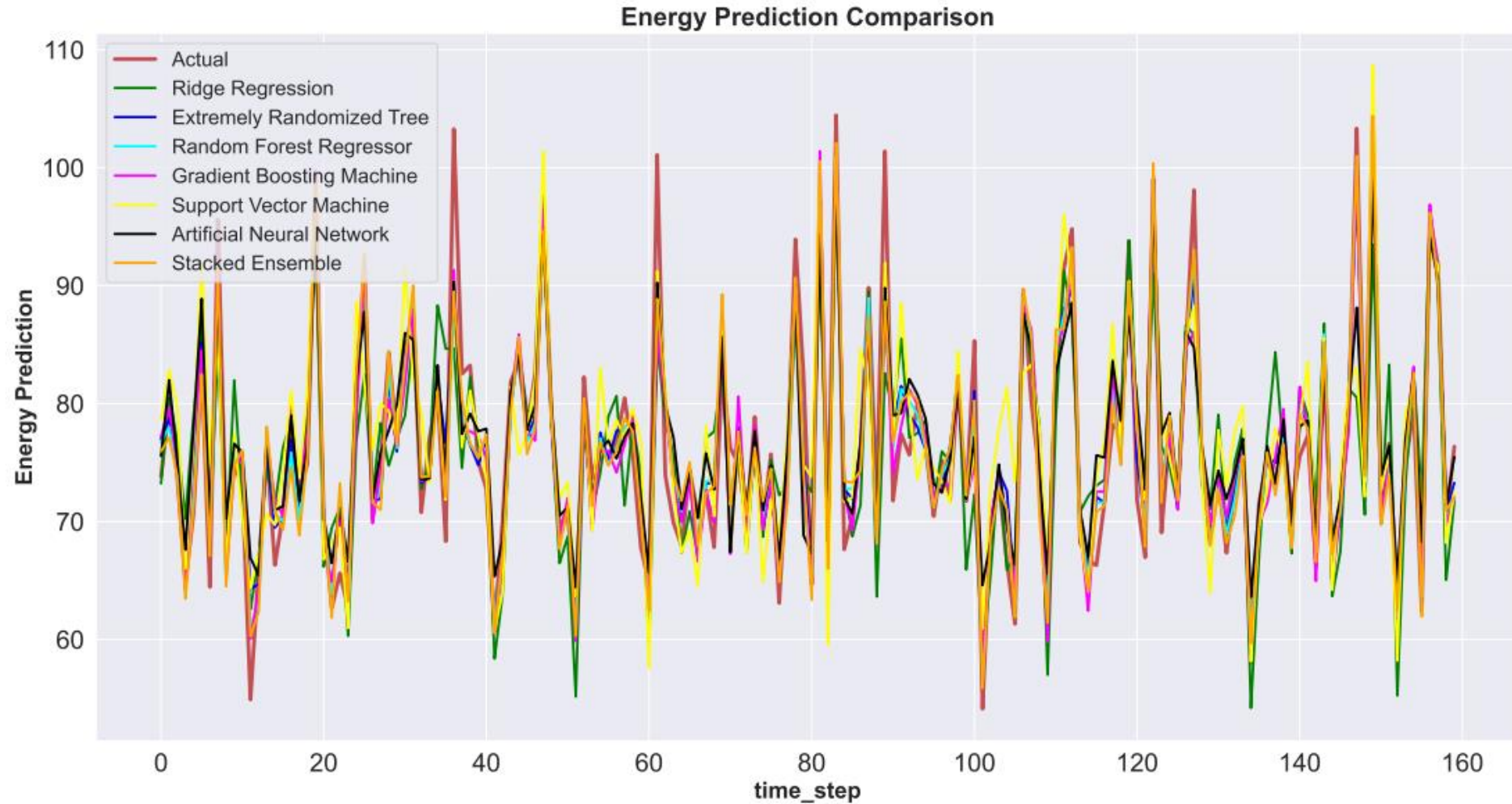
## Stacked Ensemble



# Results



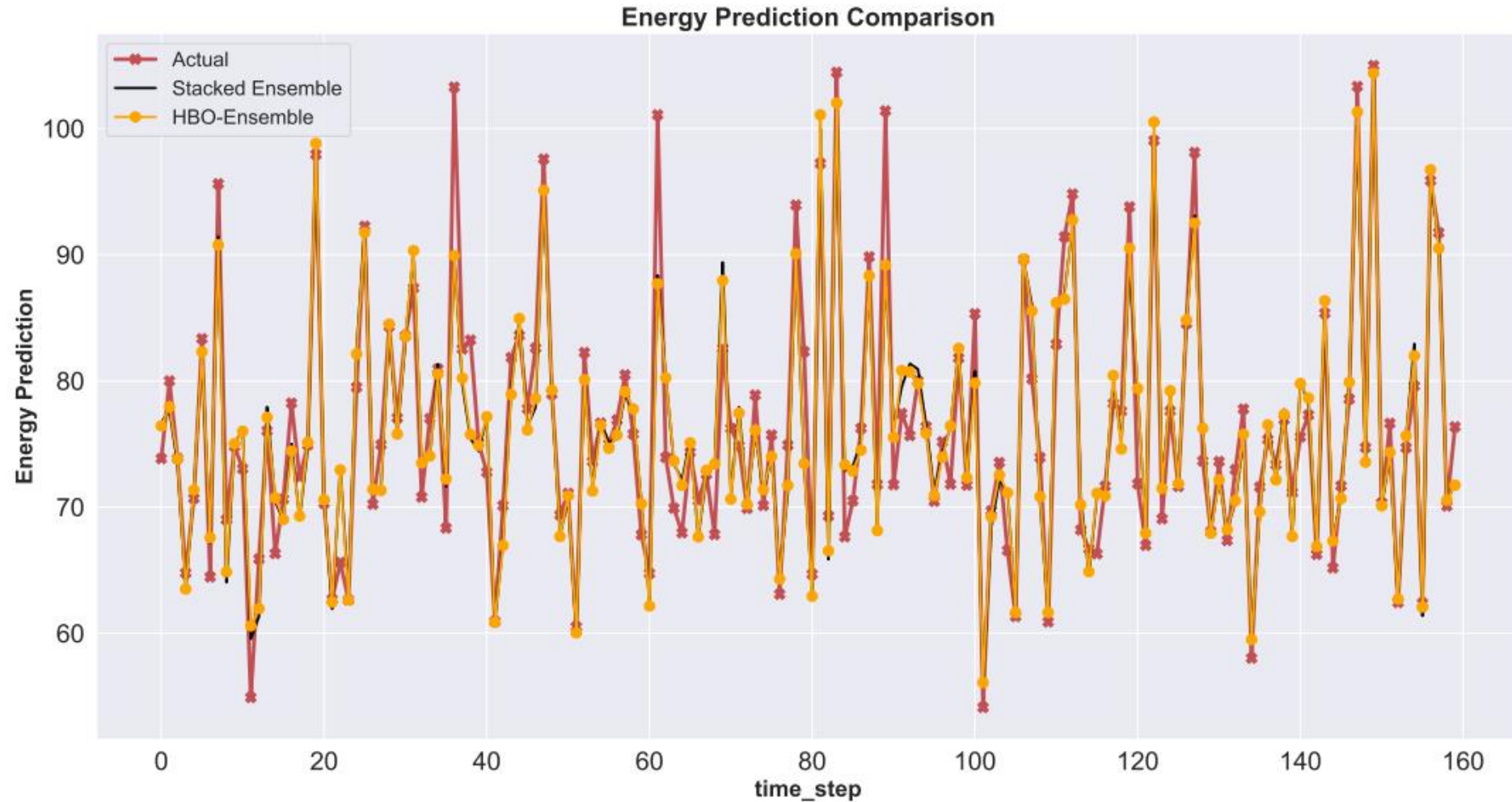
## Comparison of All Models with Ensemble Model



# Results



## Comparison of Stacked Ensemble Model with HBO-Ensemble Model



# Conclusion



- Individual algorithms such as Extremely Randomized Trees, Random Forest, and Gradient Tree Boosting showed superior predictive performance with the lowest MAPE, RMSE, and Percentual values.
- The ensemble model demonstrated improved results compared to the individual algorithms, achieving a lower MAPE, RMSE, and Percentual.
- The proposed Heap-Based Optimization-Ensemble (HBO-Ensemble) algorithm outperformed all other approaches, including the ensemble model, by yielding the lowest MAPE, RMSE, and Percentual values.
- The novel optimization technique used in the HBO-Ensemble algorithm significantly enhanced the accuracy of energy consumption predictions.
- The study provides valuable insights into the application of machine learning algorithms for building energy consumption prediction.
- Ensemble methods, including the HBO-Ensemble algorithm, have the potential to achieve improved prediction accuracy.
- The findings of this study are essential for informing decision-making processes related to energy management and facilitating the development of energy-efficient strategies for buildings.

# Future Scope



- Future research in this field could focus on expanding the dataset, incorporating additional relevant features, and exploring other optimization techniques to further improve prediction accuracy.
- Furthermore, investigating the generalizability of the proposed approach across different geographical regions and building types would provide a comprehensive understanding of its applicability



# Publication



- P.Pahadia, P.Jain, A.K.Agarwal, A. Prajesh and A.Harit, 'Stacked Ensemble Approach for Building Energy Consumption Prediction' In 2023 14th International Conference on Computing, Communication and Networking Technologies (ICCCNT) [ACCEPTED]
- P.Pahadia, P.Jain, A.K.Agarwal, A. Prajesh and A.Harit. "HBO-Ensemble: Based Building Energy Consumption Prediction." Electric Power Systems Research. [DRAFTED]

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Thank  
You

