

# SPECTRAL GRAPH ANALYSIS

## With Applications of Graph Theory in Biological Networks

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# Abstract

Spectral graph theory can be used to analyze the topological properties (e.g., connectivity) of graphs. Each graph has a Laplacian matrix whose eigenvalues and eigenvectors reveal many properties of the graph. We look at discrete mathematical (graph theoretical) models for biological networks, then study some mathematics of spectral graph theory and in particular, properties of the Laplacian.

# Objectives

- 1 Take a glimpse at some graph theory models in biology.

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- 1 Take a glimpse at some graph theory models in biology.
- 2 Discuss some spectral graph theory and apply it to two biological networks.

# Contents

## 1 Preliminaries: Graph Theory

[2 min]

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- ① Preliminaries: Graph Theory [2 min]
- ② Graph Theory Models in Biological Networks [4 min]

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# Contents

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| ② | Graph Theory Models in Biological Networks      | [4 min] |
| ③ | Spectral Graph Theory                           | [8 min] |
| ④ | Two Biological Networks                         | [4 min] |
|   | ▶ Tissue-specific protein-function associations |         |
|   | ▶ Drug-target interaction network               |         |

# PRELIMINARIES: GRAPH THEORY

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## Degree of a Vertex, a Simple Graph

A Graph  $G = (V, E)$  has set  $V$  of vertices and set  $E$  of edges connecting the vertices.

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The *degree* of a vertex is the number of edges connected to it.

A *simple graph* is one where there is at most an edge between any two pair of vertices and there are no self-loops (edges that join the same vertex).

# Preliminaries: Graph Theory

## Types of Graphs

- 1 Undirected graph
- 2 Directed graph (digraph)
- 3 Weighted graph

# Preliminaries: Graph Theory

## Distance

The *distance*  $\delta(i, j)$  from  $i$  to  $j$  is the length of the *shortest path* from  $i$  to  $j$  in  $G$ .



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The most common algorithms for calculating the shortest paths are *Dijkstra's* greedy algorithm and *Floyd's* dynamic algorithm.

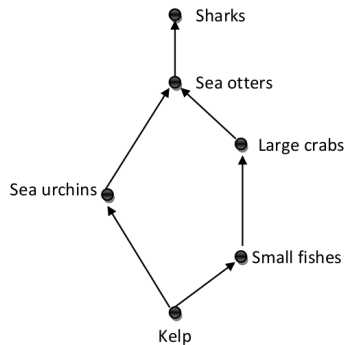
# GRAPH THEORY MODELS IN BIOLOGICAL NETWORKS

# Food Web

## Directed Graph

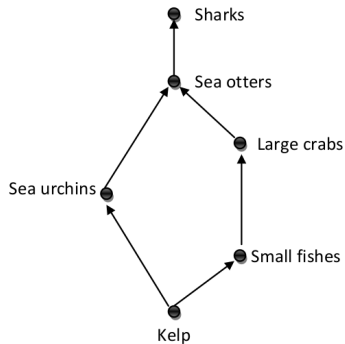
# Food Web

## Directed Graph



# Food Web

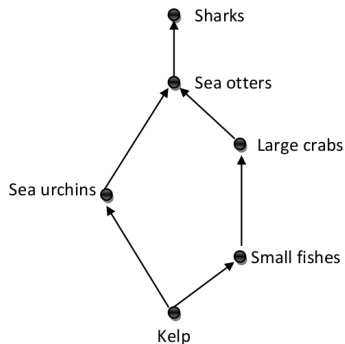
## Directed Graph



- 1 Arrows represent energy flow

# Food Web

## Directed Graph



- 1 Arrows represent energy flow
- 2 Directed graphs represent predator-prey interactions

# Food Web

## Directed Graph

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## Directed Graph

We can ask:

- 1 What are the trophic levels?
- 2 What is a dominant species in a food web?
- 3 Can we assign weights to the edges?

# Food Web

## Trophic status

- 1 Two ways to assigning trophic levels:
  - ▶ Shortest path
  - ▶ Longest path

# Food Web

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- ① Two ways to assigning trophic levels:
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- ② Both of the above methods have shortcomings.

# Food Web

## Trophic status

- 1 Two ways to assigning trophic levels:
  - ▶ Shortest path
  - ▶ Longest path
- 2 Both of the above methods have shortcomings.
- 3 We can define **trophic status** of a species  $u$  by:

$$T(u) = \sum_k kn_k,$$

where  $n_k$  is the number of species whose longest path to  $u$  has length  $k$ .

# Food Web

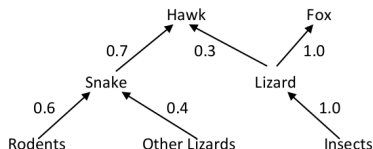
## Dominant Species and Weighted Food Webs

- 1 A species is *dominant* in a food web if its *trophic status* is greater than the number of species in the food web above level 0.

# Food Web

## Dominant Species and Weighted Food Webs

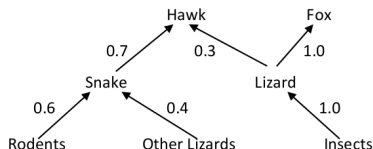
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# Food Web

## Dominant Species and Weighted Food Webs

- 1 A species is *dominant* in a food web if its *trophic status* is greater than the number of species in the food web above level 0.



- 2 We can also define **flow-based trophic level (TL)** in a weighted food web:

$$TL(i) = 1 + \sum_j w_{ij} + TL(\text{food source } j)$$



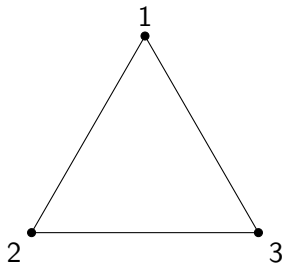
# Other models

- 1 Protein-protein interactions (PPI)
- 2 Gene-protein interactions
- 3 Regulatory networks
- 4 Signal transduction networks
- 5 Metabolic and biochemical networks

# SPECTRAL GRAPH THEORY

# From Graphs to Matrices

## Adjacency Matrix



$$\begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}$$

The graph and the matrix has the same information.

# From Graphs to Matrices

## Adjacency Matrix

### Definition (Adjacency matrix)

The adjacency matrix  $A \in \{0, 1\}^{n \times n}$  is defined by

$$A_{ij} = \begin{cases} 1 & \text{if } \{i, j\} \in E, \\ 0 & \text{otherwise.} \end{cases}$$

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- 2  $A$  has diagonal elements zero if there are no self-loops.

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- 2  $A$  has diagonal elements zero if there are no self-loops.

### Definition (Degree matrix)

The degree matrix  $D \in \mathbb{R}^{n \times n}$  is defined as the diagonal matrix with diagonal entries  $(d_1, \dots, d_n)$ .

# From Graphs to Matrices

## Normalized adjacency matrix

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The normalized adjacency matrix is defined by  $\bar{A} = AD^{-1}$ .

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The normalized adjacency matrix is defined by  $\bar{A} = AD^{-1}$ .

- 1  $\bar{A}$  is not necessarily symmetric. But it is column-stochastic, that is, each column has nonnegative entries that sum to 1.
- 2 Suppose  $\rho \in \mathbb{R}^n$  is a probability distribution,  $\bar{A}\rho$  is another probability distribution. Therefore, we have a *random walk* on the graph!

# From Graphs to Matrices

## Laplacian matrix

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The Laplacian matrix is defined as  $L = D - A$ .

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### Definition (Normalized Laplacian matrix)

The normalized Laplacian matrix is defined as

$$\bar{L} = D^{-1/2} L D^{-1/2} = \mathbb{I} - D^{-1/2} A D^{-1/2}.$$

# From Graphs to Matrices

## Laplacian matrix

- 1  $L$  and  $\bar{L}$  are always symmetric.

# From Graphs to Matrices

## Laplacian matrix

- ①  $L$  and  $\bar{L}$  are always symmetric.
- ② They are best thought of as quadratic forms: for any  $x \in \mathbb{R}^n$ ,

$$x^T L x = \sum_i d_i x_i^2 - \sum_{(i,j) \in E} x_i x_j = \sum_{\{i,j\} \in E} (x_i - x_j)^2.$$

# From Graphs to Matrices

## Normalized Laplacian matrix

### Proposition

$\forall x \in \mathbb{R}^n$ , we have

$$x^T \bar{L} x = \sum_{\{i,j\} \in E} \left( \frac{x_i}{\sqrt{d_i}} - \frac{x_j}{\sqrt{d_j}} \right)^2.$$

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If  $G$  is  $d$ -regular, then this simplifies to

$$x^T \bar{L} x = \frac{1}{d} \sum_{\{i,j\} \in E} (x_i - x_j)^2.$$

# Eigenvalues and Eigenvectors of the Laplacian

A single edge



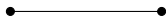
The adjacency matrix and degree matrix are

$$A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \text{ and } D = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$



# Eigenvalues and Eigenvectors of the Laplacian

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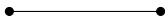
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The Laplacians are

$$L = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} = \bar{L}.$$

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The Laplacians are

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The eigenvalues of  $\bar{L}$  are given by  $\lambda_1 = 0, \lambda_2 = 2$  whose corresponding eigenvectors are

$$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \text{ and } \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}.$$

# Eigenvalues and Eigenvectors of the Laplacian

A triangle graph

$$A = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}, \text{ and } D = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix}.$$

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The Laplacian matrix is

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The eigenvalues of  $\bar{L}$  are  $\{0, 3/2, 3/2\}$  with corresponding eigenvectors

$$\frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{6}} \begin{pmatrix} 2 \\ -1 \\ -1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}.$$

# Eigenvalues and Eigenvectors of the Laplacian

The path of length 2

$$A = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \text{ and } D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 1 \\ 0 & 2 & 1 \end{pmatrix}.$$

# Eigenvalues and Eigenvectors of the Laplacian

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The Laplacian matrix is

$$L = \begin{pmatrix} 1 & -1/\sqrt{2} & 0 \\ -1/\sqrt{2} & 1 & -1/\sqrt{2} \\ 0 & -1/\sqrt{2} & 1 \end{pmatrix}.$$

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The eigenvalues of  $\bar{L}$  are  $\{0, 1, 2\}$  with corresponding eigenvectors

$$\frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ 0 \\ 1 \end{pmatrix}, \frac{1}{2} \begin{pmatrix} -1 \\ \sqrt{2} \\ -1 \end{pmatrix}.$$



# Eigenvalues of the Laplacian

## Proposition

*For any graph  $G$  with normalized Laplacian  $\bar{L}$ ,  $0 \leq \bar{L} \leq 2\mathbb{I}$ . Moreover, if  $\lambda_1$  is the smallest eigenvalue of  $\bar{L}$  then  $\lambda_1 = 0$  with multiplicity equal to the number of connected components of  $G$ .*

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## Corollary

*For any graph  $G$ , the second smallest eigenvalue  $\lambda_2(\bar{L}) > 0$  if and only if  $G$  is connected.*

# The Laplacian

## Properties

For an undirected graph  $G$  and its Laplacian matrix  $\bar{L}$  with eigenvalues

$$0 = \lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_{n-1} :$$

- $\bar{L}$  is positive-semidefinite (that is,  $\lambda_i \geq 0$ ).

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- $\bar{L}$  is positive-semidefinite (that is,  $\lambda_i \geq 0$ ).
- Every row sum and column sum of  $\bar{L}$  is zero.
- The second smallest nonzero eigenvalue of  $\bar{L}$  (could be zero) is the algebraic connectivity (or Fiedler value) of  $G$  and approximates the sparsest cut of a graph.

# The Laplacian

## Properties

- The trace of the Laplacian matrix  $\bar{L}$  is equal to  $2m$  where  $m$  is the number of edges of the considered graph.

# Graph Connectivity

## Using Eigenvalues of the Laplacian

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- 1 If  $G$  has two disconnected components,  $\lambda_2 = 0$ .
- 2 If  $\lambda_2$  is small, this suggests the graph is nearly disconnected.
- 3 In short,  $\lambda_2$  gives us a sort of continuous measure of how well a graph is connected.

## TWO BIOLOGICAL SYSTEMS

# Protein-function associations

## Tissue-specific protein-function associations

This is a collection of protein-function association networks for a large number of human tissues. Nodes represent human proteins, tissues, and biological functions. Edges represent associations that indicate different biological roles of proteins in various tissues and cell types.

# Protein-function associations

## Tissue-specific protein-function associations

Dataset statistics	
Nodes	2564
Edges	18104
Nodes in largest SCC	2564
Fraction of nodes in largest SCC	1.000000
Edges in largest SCC	18104
Fraction of edges in largest SCC	1.000000
Average clustering coefficient	0.000000
Number of triangles	943127
Fraction of closed triangles	0.000000
Diameter (longest shortest path)	6
90-percentile effective diameter	3.925416
Average tissues per edge	1.138920
Median tissues per edge	1
Standard deviation of tissues per edge	0.370247

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Code on GitHub

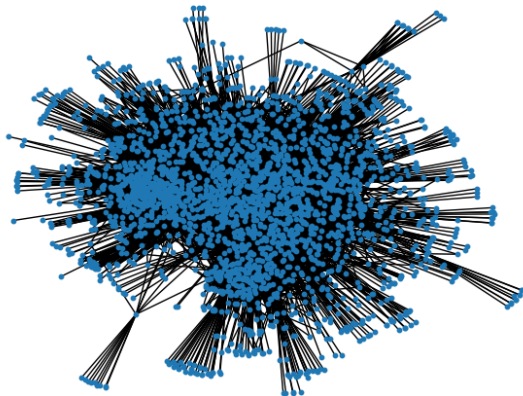
```
37 lines (30 sloc) | 890 Bytes

1 import numpy as np
2 import pandas as pd
3 import networkx as nx
4 import matplotlib.pyplot as plt
5
6 data = pd.read_csv("TFG-Ghennet_tissue-function-gene.tsv")
7 data = list(np.array(data))
8
9 for i in range(len(data)):
10     data[i] = data[i][0].split("\t")[1:]
11
12 V1 = [data[i][0] for i in range(len(data))]
13 V2 = [data[i][1] for i in range(len(data))]
14 V3 = []
15
16 for i in V1:
17     if i not in V3:
18         V3.append(i)
19
20 for i in V2:
21     if i not in V3:
22         V3.append(i)
23
24 G = nx.Graph()
25 G.add_nodes_from(V3)
26 G.add_edges_from(data)
27 L = nx.normalized_laplacian_matrix(G)
28 e = np.linalg.eigvals(L.toarray())
29 nx.draw_networkx(G, node_size=10, with_labels=False)
30 plt.savefig("TFG_Graph.png")
31 plt.show()
32
33 plt.hist(e, bins = 100)
34 plt.title("Distribution of Eigenvalues (Tissue-specific protein-function associations)")
35 plt.xlabel("Eigenvalue")
36 plt.savefig("TFG.png")
37 plt.show()
```

<https://github.com/huidr/spectral-graph-analysis/tfg.py>

# Protein-function associations

## Plotting the Graph

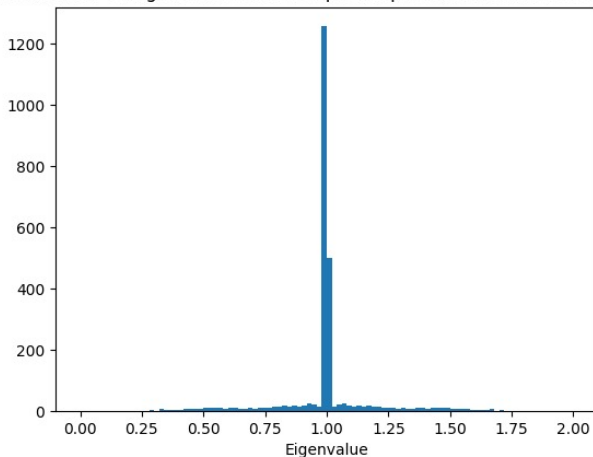




# Protein-function associations

## Plotting the Histogram of the Eigenvalues

Distribution of Eigenvalues (Tissue-specific protein-function associations)



# Drug-target interaction network

This is a drug-target interaction network that contains information on which genes (i.e., proteins encoded by genes) are targeted by drugs that are on the U.S. market. Drug targets are molecules that play a critical role in the transport, delivery or activation of the drug.

# Drug-target interaction network

Dataset statistics	
Nodes	7341
Drug nodes	5017
Gene nodes	2324
Edges	15138
Nodes in largest SCC	6621
Fraction of nodes in largest SCC	1.000000
Edges in largest SCC	14581
Fraction of edges in largest SCC	0.963205
Diameter (longest shortest path)	15
90-percentile effective diameter	7.884931

# Drug-target interaction network

Code on GitHub

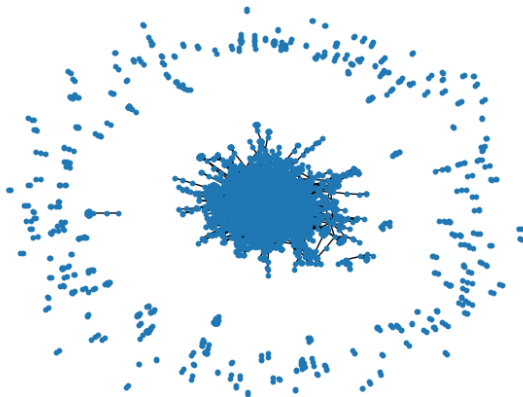
```
37 lines (30 sloc) | 868 Bytes

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2 import pandas as pd
3 import networkx as nx
4 import matplotlib.pyplot as plt
5
6 data = pd.read_csv("ChG-Miner_miner-chem-gene.tsv")
7 data = list(np.array(data))
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9 for i in range(len(data)):
10     data[i] = data[i][0].split("\t")
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12 V1 = [data[i][0] for i in range(len(data))]
13 V2 = [data[i][1] for i in range(len(data))]
14 V3 = []
15
16 for i in V1:
17     if i not in V3:
18         V3.append(i)
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20 for i in V2:
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22         V3.append(i)
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24 G = nx.Graph()
25 G.add_nodes_from(V3)
26 G.add_edges_from(data)
27 L = nx.normalized_laplacian_matrix(G)
28 e = np.linalg.eigvals(L.toarray())
29 nx.draw_networkx(G, node_size=10, with_labels=False)
30 plt.savefig("ChG_Graph.png")
31 plt.show()
32
33 plt.hist(e, bins = 100)
34 plt.title("Distribution of Eigenvalues (Drug Target Interaction Network)")
35 plt.xlabel("Eigenvalue")
36 plt.savefig("ChG.png")
37 plt.show()
```

<https://github.com/huidr/spectral-graph-analysis/chg.py>

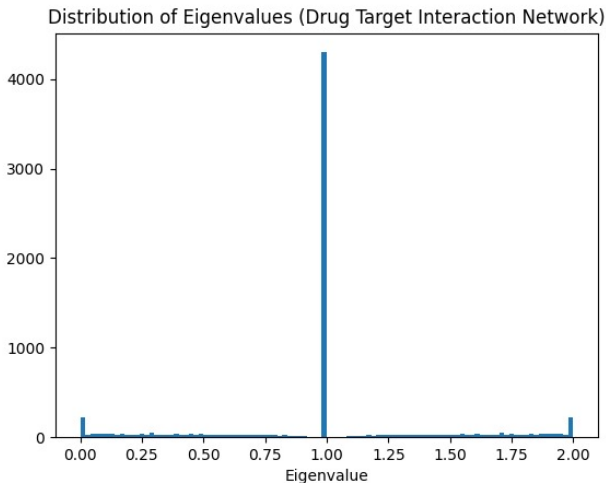
# Drug-target interaction network

## Plotting the Graph



# Drug-target interaction network

## Plotting the Histogram of the Eigenvalues



The dataset of the two biological networks we used are available at:

<https://snap.stanford.edu/biodata/>

All of our work is on GitHub.

<https://github.com/huidr/spectral-graph-analysis>



# References



M. Cozzens. *Food Webs, Competition Graphs, and Habitat Formation*. Math. Model. Nat. Phenom., Vol. 6, No. 6, 2011, pp. 22-38.



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<http://users.cms.caltech.edu/~vidick/notes/CMS139/spectral.pdf>