

# Administrivia

Email me (and/or inform your lab CA) if you are interested in being a CA for CS 135 in Fall 2023 and/or Spring 2024.

- Fall class is small, so require at most 2-3 CAs.
- Spring '24 classes will require at least 12 CAs.

Course Evaluations begin today – take a few minutes to respond!

# Trees

A connected, acyclic graph is called a tree.

1. Every connected subgraph of a tree  $T$  is also a tree.

If the subgraph has a cycle, then  $T$  must have a cycle. But  $T$  is acyclic!

2. There is a unique path between every pair of vertices.

There must be one because the tree is a connected graph.

Why can't there be two different paths between a pair of vertices?

## More simple observations

3. Adding an edge between any two nonadjacent vertices in a tree creates a cycle.

The new edge and the unique path connecting the vertices in the tree creates a cycle.

4. Removing any tree edge disconnects some pair of vertices.

The edge that is removed was the unique path between the two end points.  
Removing it disconnects the end points.

## Still more simple observations

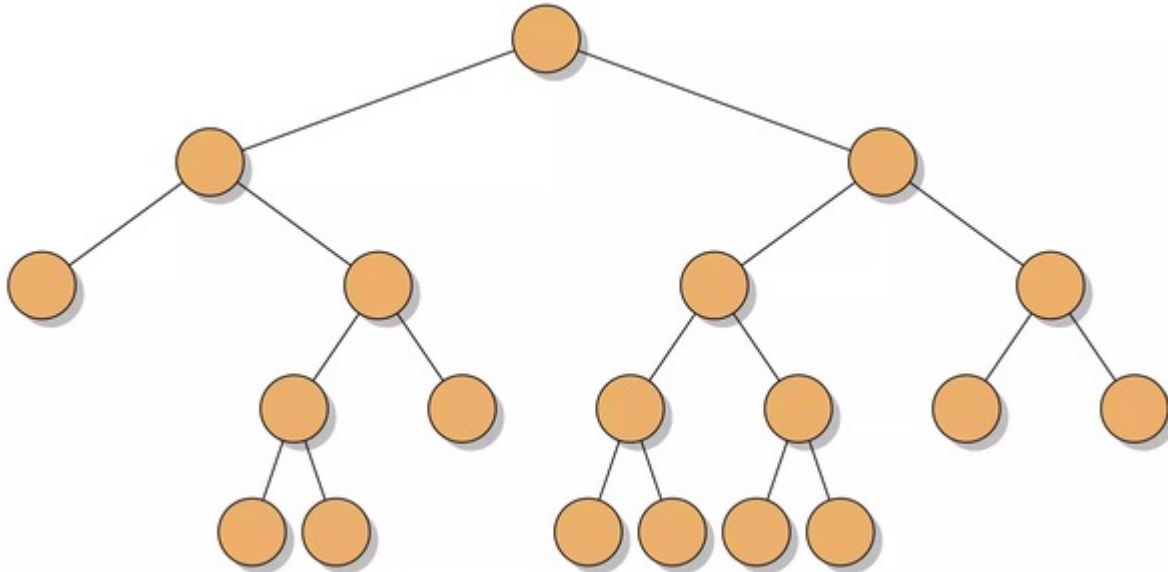
5. Every tree with at least two vertices contains at least two leaves.

The end points of a longest path in the tree are both leaves!

6. Every tree with  $n$  vertices has  $n-1$  edges.

Proof by induction on number of vertices.

# Full binary trees



Every vertex is either a leaf or has exactly 2 children.

Theorem:

If  $n = \text{\#leaves}$  then  $\text{\# non-leaves} = n-1$

Lemma: Some two siblings are both leaves.

Prove theorem using lemma and induct on  $n$ .

Every tournament tree is a full binary tree.

So, a tournament with 73 players will take ..... games!

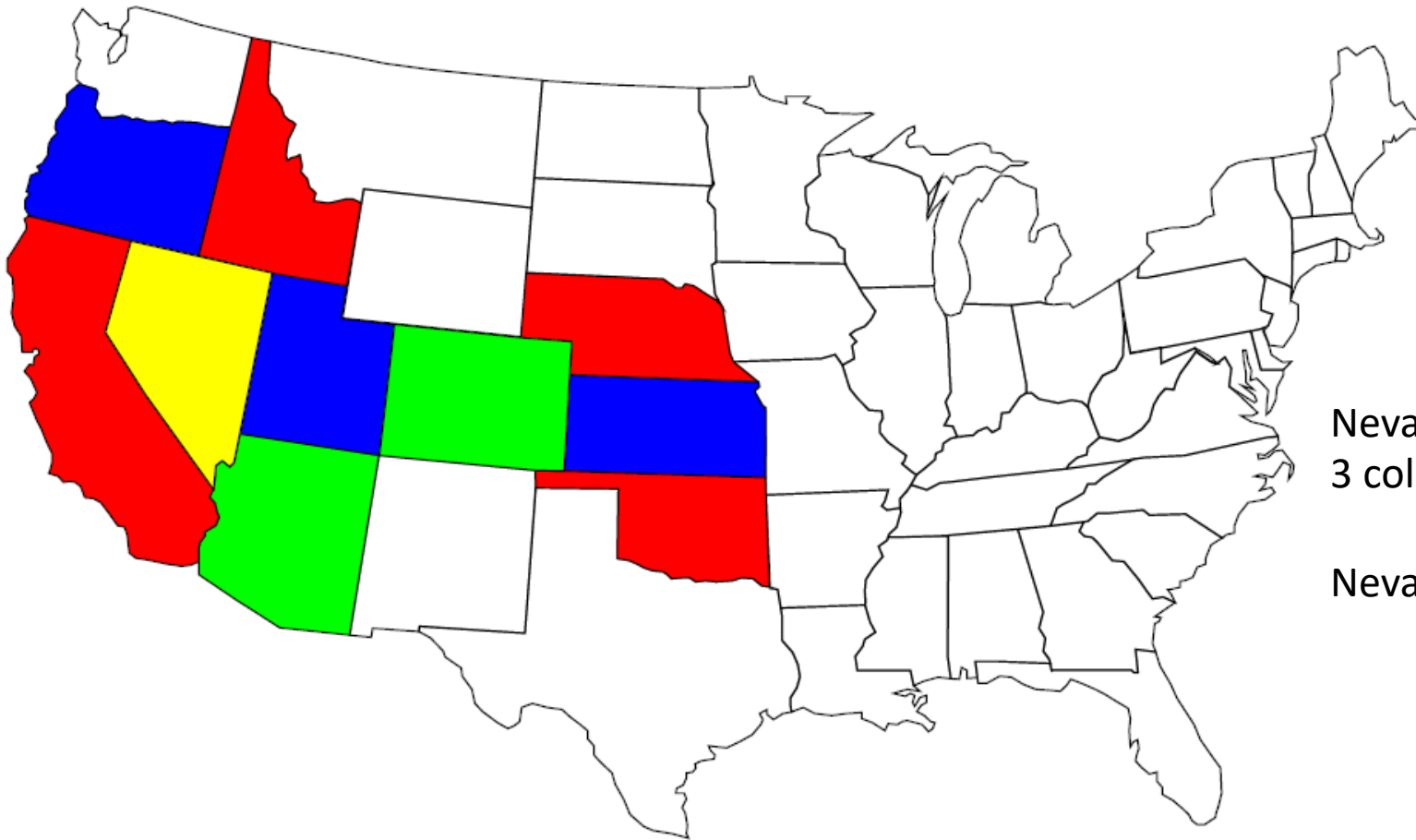
# Map Coloring



Any two states that share a border must be colored differently.

How many colors suffice?

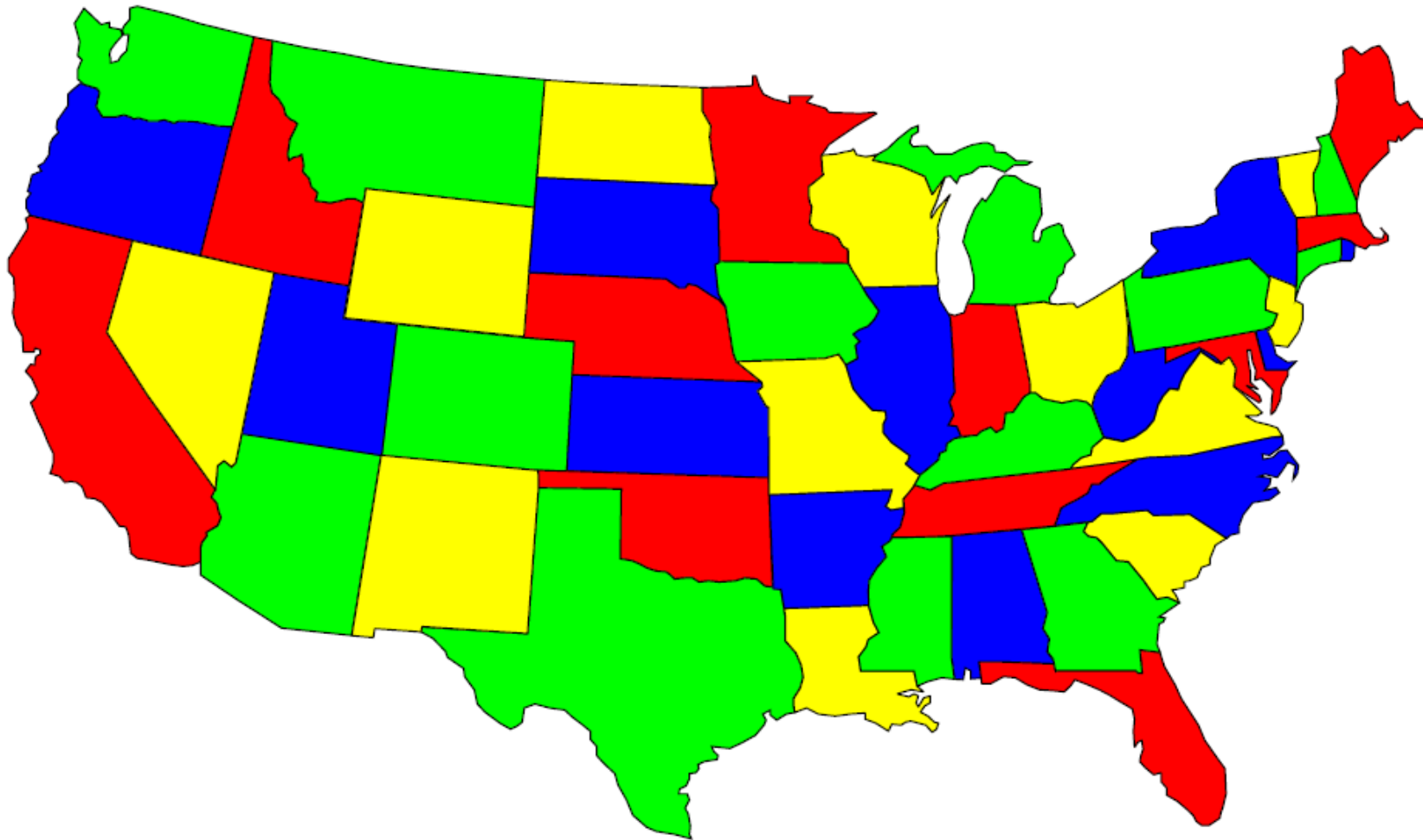
4 colors are necessary



Nevada has 5 neighbors that require 3 colors.

Nevada needs a fourth color.

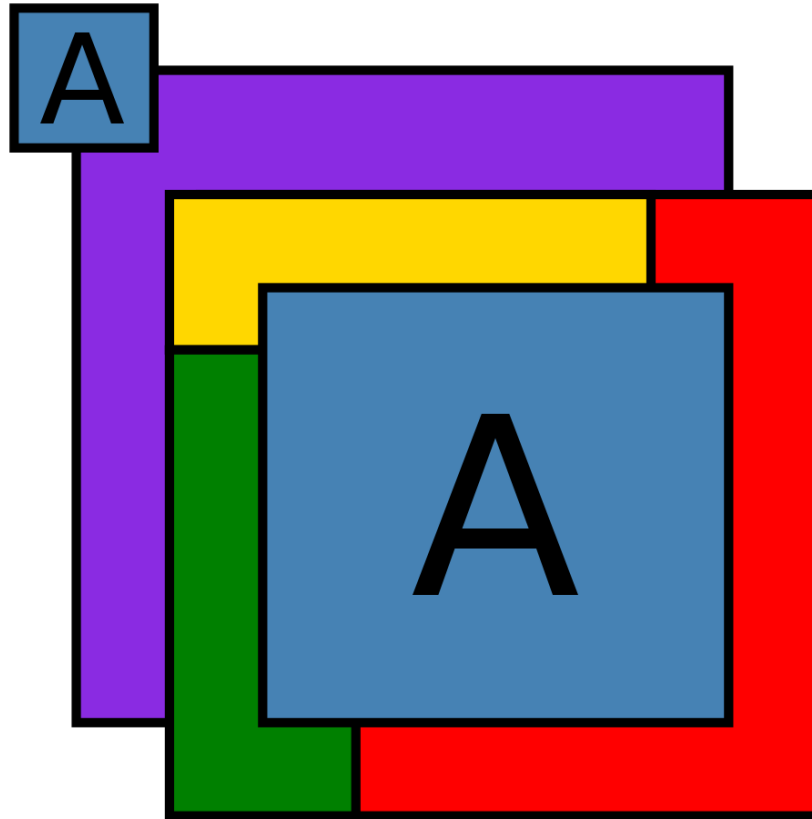
4 colors are sufficient



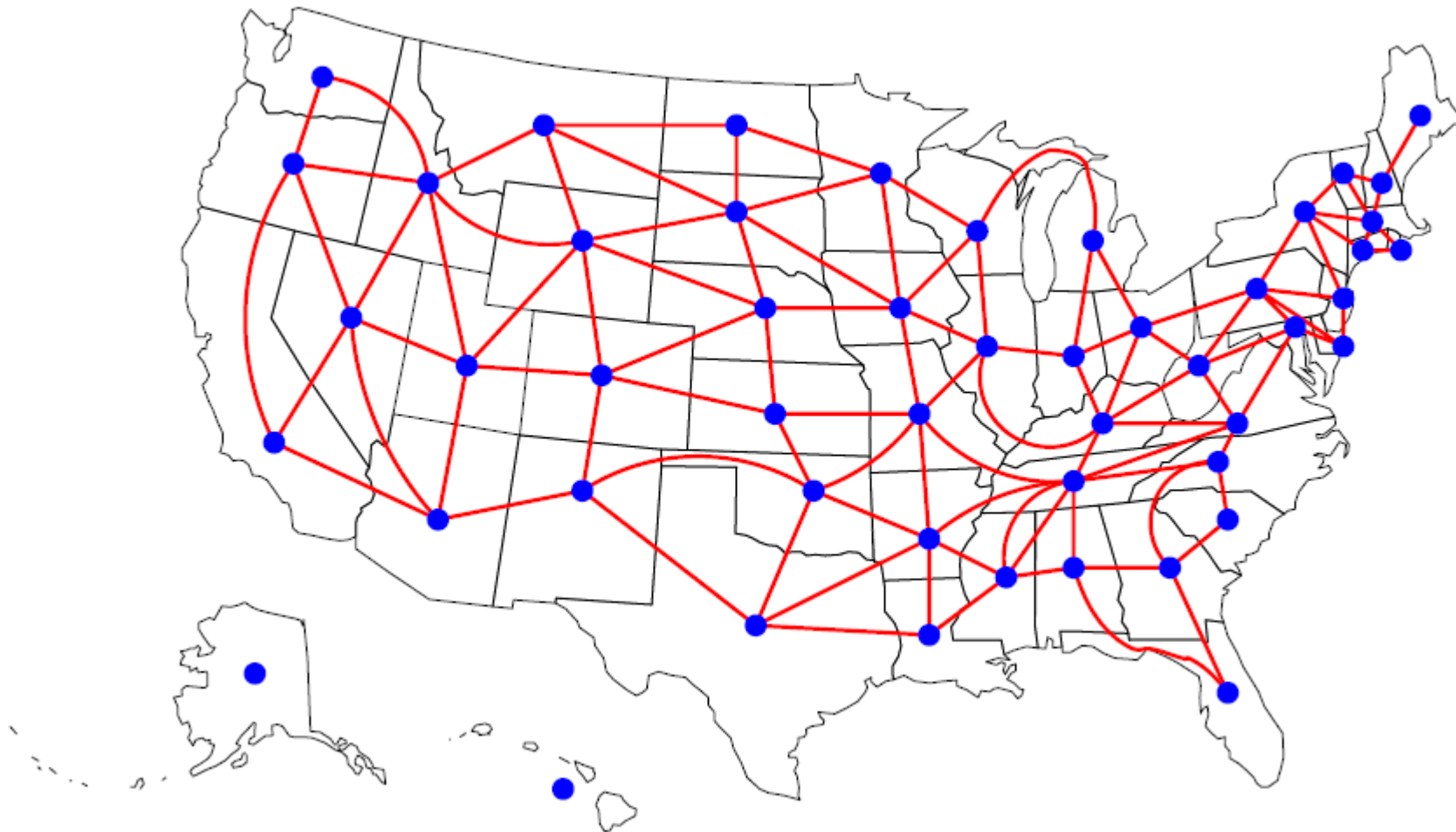


## Non-Contiguous States

This map requires 5 colors!



# Planar Graph Representation



Vertex for each state

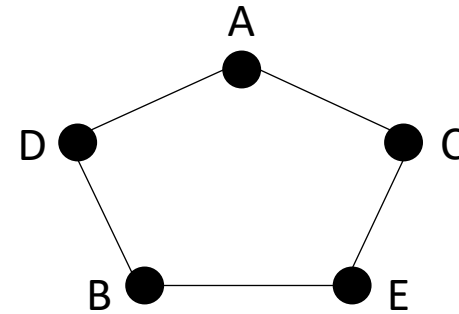
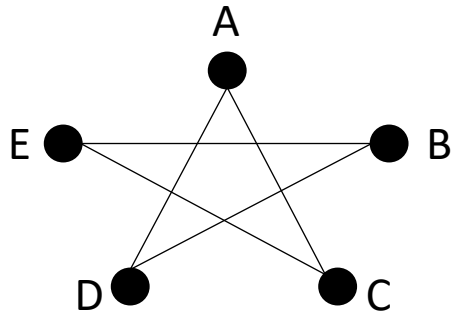
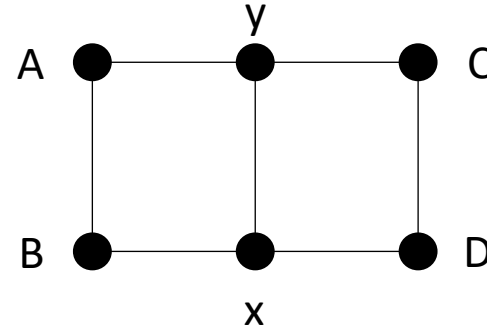
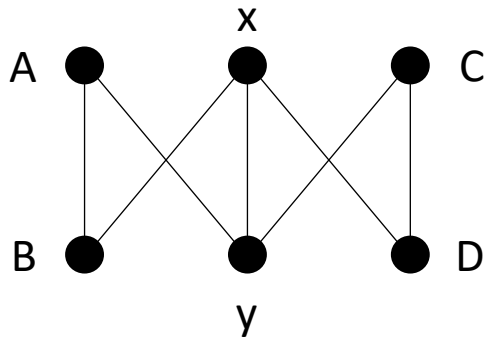
Edge between states that  
share a boundary

# The Four-Color Theorem

- Conjectured in 1852
- Many mathematicians thought they had a proof, only to find a fatal flaw
- Finally proved in 1976. The proof required examining numerous cases by a computer, sparking debate on what a proof really is.
- Recognizing planar graphs that can be colored using 3 colors:
  - no efficient algorithm known
  - Harder than factoring!

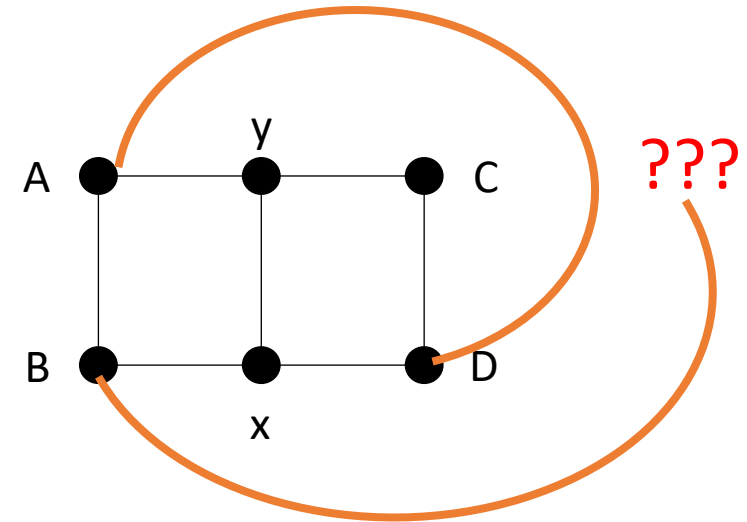
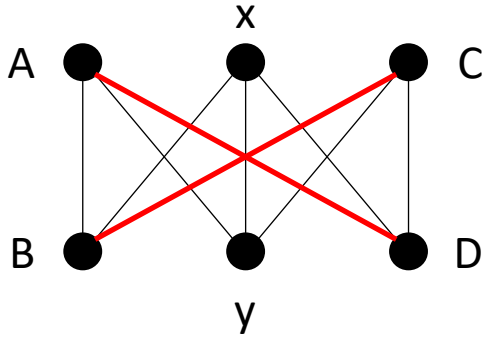
# Planar graphs

A graph is planar if it can be drawn on the plane without crossing edges.  
We will focus exclusively on connected planar graphs.

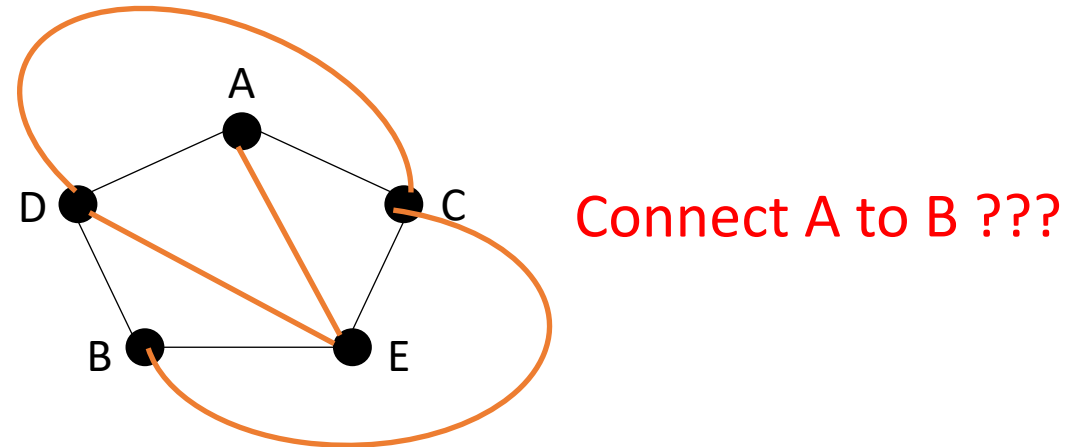
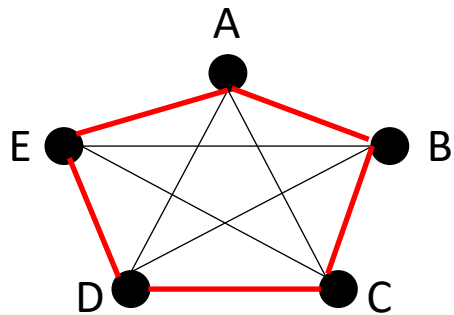


# Planar graphs?

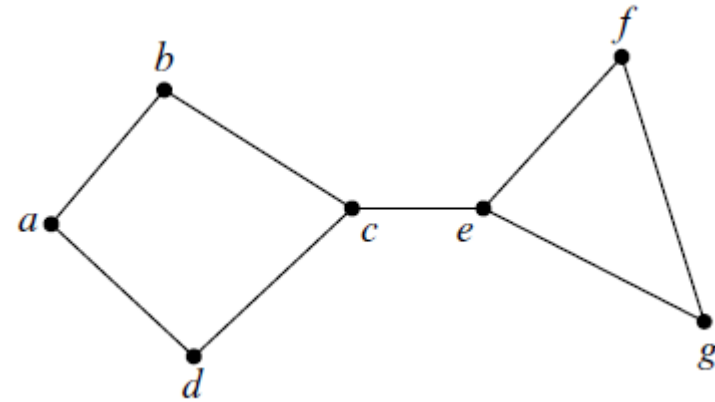
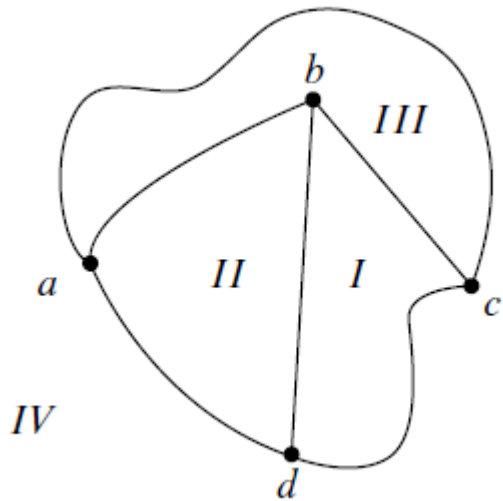
$K_{3,3}$



$K_5$



# Planar drawings



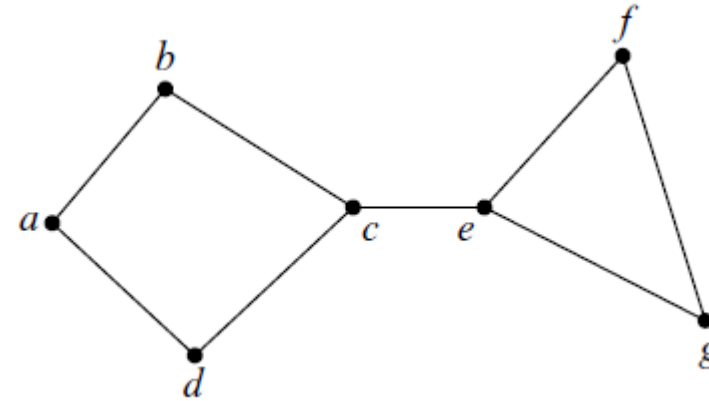
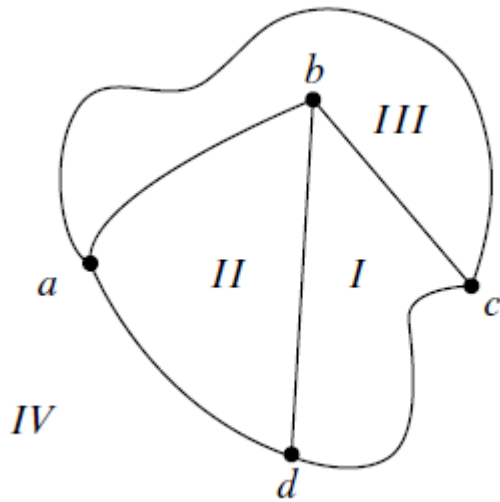
The areas labeled I, II, III, IV are called *regions* or *faces*.

Not every edge divides a region.

Each region is enclosed within edges of the graph.

What is the relationship between the numbers of vertices, edges and regions?

# The boundary of a region



We define the boundary of a region as a closed walk in clockwise order of all edges that lie within the region.

This is well-defined when the graph is connected.

Region I :  $\{c, d\}, \{d, b\}, \{b, c\}$

Region II :  $\{d, a\}, \{a, b\}, \{b, d\}$

Region III :  $\{a, c\}, \{c, b\}, \{b, a\}$

Region IV :  $\{c, d\}, \{d, a\}, \{a, c\}$

Boundary of outer region :

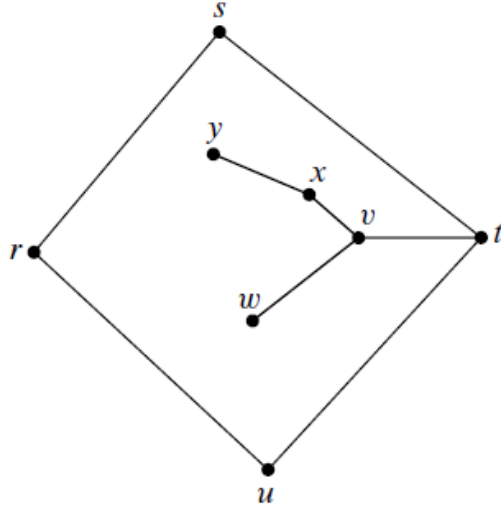
$\{f, g\}, \{g, e\}, \{e, c\}, \{c, d\}, \{d, a\}, \{a, b\}, \{b, c\}, \{c, e\}, \{e, f\}$

Note that the edge  $\{e, c\}$  occurs twice on the boundary of the outer region.

Each edge lies once on the boundary of 2 regions, or twice on the boundary of one region.

Each region has 3 or more bounding edges

# What about dongles?



Boundary of outer region:  $\{t, u\}, \{u, r\}, \{r, s\}, \{s, t\}$

Boundary of inner region:  $\{t, u\}, \{u, r\}, \{r, s\}, \{s, t\}, \{t, v\}, \{v, x\}, \{x, y\}, \{y, x\}, \{x, v\}, \{v, w\}, \{w, v\}, \{v, t\}$

Each edge lies once on the boundary of 2 regions, or twice on the boundary of one region.

Therefore,  $X = \text{Sum of the number of edges of every region boundary} = 2m$

Also, if the number of vertices is at least 3, and since each region has 3 or more bounding edges,  $X \geq 3r$ .

Therefore,  $2m \geq 3r$  for every connected planar graph with at least 3 vertices.

In general, if every cycle has length  $c$  or greater, then  $2m \geq cr$ .



# Euler's Formula

Theorem: For every connected planar graph with  $n$  vertices,  $m$  edges, and  $r$  regions:  $n - m + r = 2$

Corollary: The number of regions in all drawings of a planar graph is invariant.

Proof: Induction on the structure of the graph  $G$ .

Idea: Start with a single node, and form a sequence of connected subgraphs  $G_0 G_1 \dots G_m$

such that  $G_0$  is a single node,

$G_i$  is formed by adding one edge to  $G_{i-1}$ ,

$G_m = G$

and at each step  $G_i$  satisfies the formula.

Base Case:  $n = 1, e = 0, r = 1$ .  $1 - 0 + 1 = 2$

Inductive Hypothesis: The formula is true for connected subgraph  $G_k$

Inductive Step: Insert an edge incident to at least one vertex in  $G_k$ .

Case 1: Only one end point is in  $G_k$ , so the edge is a dangle.

This adds one new vertex, one new edge, but the number of regions stays the same.

So the value of the LHS remains 2.

Case 2: Both end-points are in  $G_k$ . This creates a new region but the number of nodes stays the same.

So the value of the LHS remains 2.

# Planar graphs have few edges

Theorem. For every connected planar graph  $G$  with  $n \geq 3$  vertices:  $m \leq 3n - 6$ .

Proof: We showed that  $2m \geq 3r$

From Euler's Theorem,  $n - m + r = 2 \Rightarrow r = 2 + m - n$

Therefore,  $2m \geq 3(2 + m - n)$

which implies  $2m \geq 6 + 3m - 3n$

which yields  $m \leq 3n - 6$

Corollary 1:  $K_5$  is not planar.

Proof:  $n = 5, m = 10$ .

But  $10 > 3 \cdot 5 - 6 = 9$ , violating Euler's formula so the graph is not planar.

Corollary 2:  $K_{3,3}$  is not planar.

Proof:  $K_{3,3}$  has only even length cycles, so if it were planar  $2m \geq 4r$ , implying  $2m \geq 4(2 + m - n)$ ,

But  $2m = 18$ ,  $4(2 + m - n) = 4(2 + 9 - 6) = 20$ !

# The Five-Color Theorem

Theorem: Every planar graph can be colored with 5 or fewer colors.

Proof: By induction on the number  $n$  of vertices.

Base Case:  $n \leq 5$  Use a different color for each vertex.

Inductive Hypothesis: Every planar graph with  $k$  or fewer vertices has a 5-coloring.

Inductive Step: Let  $G$  be a graph with  $k + 1$  vertices.

- a. If there is a vertex with degree 4 or less, remove it
  1. By the inductive hypothesis, the remaining planar graph is 5-colorable
  2. Reinsert the vertex removed in Step 1 and use a color different from its (at most) 4 neighbors.
- b. Every vertex has degree at least 5.

## But First ...

Claim: Every connected planar graph has a vertex with degree 5 or less.

Proof: (By contradiction)

Suppose each of the  $n$  vertices has degree 6 or more.

$$\text{Number of edges } m = \frac{1}{2} \sum_v \text{degree}(v)$$

$$\geq \frac{1}{2} 6n$$

$$= 3n > 3n - 6$$

This contradicts:

Theorem. For every connected planar graph  $G$  with  $n \geq 3$  vertices:  $m \leq 3n - 6$ .

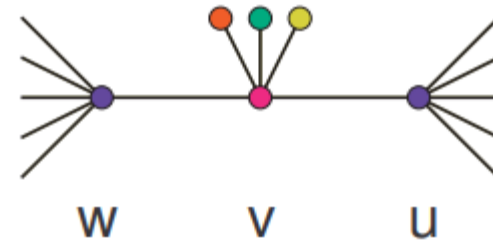
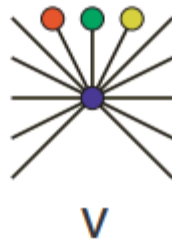
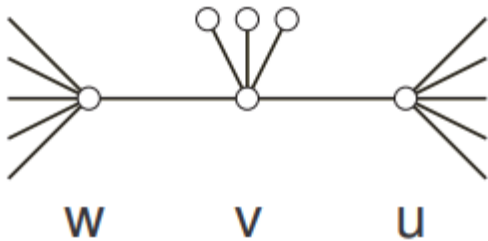
# The Five-Color Theorem

b. Every vertex has degree at least 5.

Pick a vertex  $v$  of degree 5.

At least one pair of its neighbors  $u, w$  don't have an edge between them. Why?

Merge  $u, v, w$  into one vertex. The graph remains planar; color it recursively.



Separate  $u, v, w$  and color  $u, w$  with one color!