# AUTOMATED GRADING OF FREE-TEXT STUDENT SUBMISSIONS USING LARGE LANGUAGE MODELS. Subtitle

School of Computer Science & Applied Mathematics University of the Witwatersrand

> Sphamandla Mbuyazi 2618115

Supervised by Prof. Richard Klein April 13, 2025



Ethics Clearance Number: XX/XX/XX

A proposal submitted to the Faculty of Science, University of the Witwatersrand, Johannesburg, in partial fulfilment of the requirements for the degree of Bachelor of Science with Honours

Δ	bs	tra	ct
$\overline{}$	11.		

Abstract things....

#### Declaration

I, Sphamandla Mbuyazi, hereby declare the contents of this research proposal to be my own work. This proposal is submitted for the degree of Bachelor of Science with Honours in Computer Science at the University of the Witwatersrand. This work has not been submitted to any other university, or for any other degree.

. 1	1 1	
A clan Ota	<i>i</i> ledgeme	ntc
ACKIION	MEUSEINE	:1113

Thanks World.

# **Contents**

Pr	reface	
	Abstract	i
	Declaration	ii
	Acknowledgements	iii
	Table of Contents	iv
	List of Figures	V
	List of Tables	vi
1	Introduction	1
	1.1 Introduction	1
	1.1.1 Purpose of this review	1
	1.1.2 Brief overview of the key themes	2
2	Body	3
	2.1 Body	3
	2.1.1 From Black-Box to transparent graders	3
	2.1.2 Feedback Generation and Instructional Support via Large Language Models	4
	2.1.3 A Subsection about Citation Style	5
	2.1.4 Compiling	5
3	Floats	7
4	Some Referencing Tricks	9
5	IDE/Editors	10
A	Extra Stuff	11
	A.1 What is an appendix?	11
$R\epsilon$	eferences	12

# **List of Figures**

	3.1	This is an image	7
--	-----	------------------	---

# **List of Tables**

1.1	Themes and Observations in AI-based Educational Assessment	2
	Summary of Mok (2023) — Explainable AES with SHAP Analysis Summary of Studies on LLM-Based Feedback Generation	
3.1	Table Name	8

## Introduction

#### 1.1 Introduction

In education technology, auto grading of free text has long been a sought-for solution, particulary in large-scale assessments and technical subjects like Computer Science, where assessments cannot be easily reduced to multiple choice questions. As the number of students enrolled in a course increases per instructor and the curriculum becomes more demanding, educators face a mounting pressure to provide fair, consistant and fast feedback.

This posses a need to try to automate the process of marking and giving feedback. In the state of art of education right now, your instructor grades your assessments and 4 years later provides you with a feedback, as we can argue without proof that early feedback helps students perform better in their studies.

At the same time, Large Language Models(LLMs) like GPT-4, Claude, and open-source alternative such as LLaMA and DeepSeek are proving to be not just the conversational tools but they are capable evaluators, summarizers, and even tutors. Their impressive capacity for natural Language understanding and generation raises an exciting possibility:

Can these models be harnessed to grade student responses automatically and meaningfully? —and perhaps even provide personalized feedback that guides learning?

### 1.1.1 Purpose of this review

The aim of this review is to syntesize and critically examine recent research on the use of LLMs for autograding and feedback generation in educational contexts. We shall look at how these models have been applied to assess student submissions, what techniques have been used to improve reliability and fairness, and how feedback mechanisms are integrated to support and elevate student learning.

By comparing methodologies, models and findings we aim to infer what is working,

what remains challenging, and where there's space for improvement. This should ultimately inform how we design our own LLM-based grading system for technical subjects, one that minimizes educators workload while enhancing the feedback experienca

#### 1.1.2 Brief overview of the key themes

Across the literature I have examimed, several key themes and technical strategies emerge.

Theme	Approaches / Observations
Grading Accuracy	LLMs like GPT-4 and fine-tuned BERT models show strong agree-
	ment with human graders.
Feedback Generation	Models like BeGrading and GPT-4 in science writing generate for-
	mative feedback students find useful.
Explainability	SHAP explanations and linguistic feature analysis offer insight
	into deep model decisions.
Data Efficiency	Active learning (uncertainty, topology, hybrid methods) helps re-
	duce labeling needs.
Prompt Engineering Careful prompt design significantly improves LLM grading	
	tency and relevance.
Peer/Human-in-the-loop	Hybrid systems using peer grading or instructor scaffolding im-
	prove fairness and training.
Open-Source Model Poten- LLaMA 2 and Falcon perform comparably to commercial	
tial	in bioinformatics grading tasks.

Table 1.1: Themes and Observations in AI-based Educational Assessment

We shall explore the above themes in deapth next section, critically comparing the approaches, results and proposed direction of each study.

# **Body**

## 2.1 Body.

#### 2.1.1 From Black-Box to transparent graders

We trust teachers because when we ask them why, they give us a sensible responses; can we do the same for machines?

In the early days of Automated Essay Scoring(AES), the focus was primaraly on **Accuracy**: this means really we were answering the question could a machine assign the same score a human would? Systems like e-raters while wildy adopted, remained blacked boxes. What this essentially means is it can produce grades without justification. Without clear reasoning for scores, students were left with limited guidance for improvement, and the instructors struggled to verify the fairness and reliability of these systems.

Mok (2023) addressed this limitation by developing a deep learning AES model based on a Multi-Layer Perceptron (MLP) architecture, trained on the ASAP Grade 7 narrative writing dataset. The model predicted rubric-level scores across four dimensions — ideas, organization, style, and conventions. Importantly, this work introduced SHAP (SHapley Additive exPlanations) to improve interpretability. SHAP provided both global (dataset-wide) and local (individual essay) feature attributions, making it possible to understand how linguistic features (such as lexical diversity, grammatical structure, or cohesion) contributed to predicted scores.

The model extracted over 1,500 linguistic indices using the SALAT toolkit, representing textual characteristics across five linguistic domains. Through regularization (Lasso and Ridge), the most informative features were selected. The SHAP analysis revealed that longer essays with richer lexical variety, clearer cohesion, and fewer grammatical errors were consistently rated higher by both human and automated systems. This provided not just a mechanism for score prediction, but a foundation for pedagogically meaningful feedback.

Despite these advances, a challenge remained: although SHAP offered detailed attribution scores, the model lacked the ability to transform those insights into actionable, human-readable feedback for students. Mok (2023) acknowledges that while these ex-

planations are useful to researchers and educators, additional work is needed to make them accessible and educationally impactful for learners.

This work forms a foundation for more recent research that aims to not only predict scores with transparency, but to deliver feedback that supports learning. In doing so, it repositions the role of the AES system — from a passive grader to an active participant in the learning process. The table below summarizes this study.

Aspect	Details	
Study Objective	Develop an explainable deep learning model to score es-	
	says and highlight linguistic features affecting grades	
Dataset Used	ASAP Grade 7 Narrative Essays (1,567 essays, scored on	
	0–3 scale across four rubrics)	
Model Type	Multi-Layer Perceptron (MLP) with 2–6 hidden layers	
Input Features	1,592 linguistic features extracted via SALAT toolkit (e.g.,	
	cohesion, syntax, grammar)	
Feature Selection	Lasso & Ridge Regression; low-variance filtering	
Explainability Technique	SHAP (KernelSHAP, DeepSHAP, GradientSHAP)	
Top Features for High	Essay length	
Scores	Lexical diversity	
	Sentence complexity	
	Cohesive ties	
	Correct grammar usage	
Key Strength	SHAP provides global and local explanations; reveals	
	what textual features matter most	
Main Limitation	Explanations not yet in student-readable, feedback-ready	
	form	

Table 2.1: Summary of Mok (2023) — Explainable AES with SHAP Analysis

# 2.1.2 Feedback Generation and Instructional Support via Large Language Models

Recent research has moved beyond simply using LLMs to assign grades, exploring their potential to deliver personalized feedback — a critical element in promoting student learning. Feedback not only clarifies why a response was graded a certain way but also guides students on how to improve. Studies now show that, with appropriate guidance, LLMs can simulate this instructional role.

In one study, Poličar et al. (2025) applied LLMs in a bioinformatics course to grade written assignments and provide feedback. Six models were tested, including commercial options (GPT-4, GPT-3.5, Claude) and open-source alternatives (LLaMA 2, Falcon 40B). Using a blind evaluation, students rated feedback without knowing its source. Notably, feedback from LLMs was often rated as helpful as that from human teaching assistants. Open-source models performed comparably to commercial ones, suggesting viable, privacy-conscious pathways for educational deployment.

This success relied on prompt engineering: feeding the model structured inputs like the correct answer, grading rubrics, and example responses. This enabled models to produce relevant, contextualized feedback without the need for fine-tuning.

Similarly, Impey et al. (2024) investigated GPT-4's grading capabilities in science writing. Given a rubric and model answer, GPT-4 reliably scored and explained its decisions, demonstrating instructional potential across domains.

Both studies emphasize that when guided properly, LLMs can offer explanations and suggestions — not just evaluations. However, limitations remain. LLMs may overlook subtle domain-specific issues, and the quality of their feedback heavily depends on prompt clarity and structure.

Aspect	Poličar et al. (2025)	Impey et al. (2024)
Educational Con-	Bioinformatics course (university-	MOOCs in astronomy and astrobi-
text	level, ¿100 students)	ology (Coursera platform)
Task Type	Written explanations of data analy-	Short essay-style answers to open-
	ses in assignments	ended science questions
Models Evaluated	GPT-4, GPT-3.5, Claude, LLaMA 2	GPT-4
	(13B, 70B), Falcon 40B	
Grading Setup	Blind evaluation comparing LLM	Comparison to instructor grades;
	feedback to human TA feedback	GPT-4 guided with rubric and
		model answer
Feedback Ap-	Prompt engineering using rubrics,	Rubric-based grading and rubric
proach	sample answers, and solutions	generation by the model
Key Findings	LLM feedback rated equal to hu-	GPT-4 grading reliable and more
	man TAs; open-source models per-	consistent than peer grading
	formed well	
Limitations	Occasional domain-specific misses;	Performance tied closely to rubric
	dependent on prompt quality	design; limited subject scope

Table 2.2: Summary of Studies on LLM-Based Feedback Generation

### 2.1.3 A Subsection about Citation Style

Citations are important. Citation style for Computer Science is:

- When used in the text, use the authors with the date in brackets: Klein and Celik [2017] say very important things.
- When used as a reference after a face, put everything in brackets: Import things are true [Klein and Celik 2017].

## 2.1.4 Compiling

Remember to compile multiple times to resolve references. Usually: pdflatex file.tex

bibtex file
pdflatex file.tex
pdflatex file.tex

## **Floats**

MEX decides how to place images. It also does the referencing for you as seen in Figure 3.1. If you have subimages, they should have their own captions and labels – look into the subfig or subfigure packages.



Figure 3.1: This is an image

Figure captions are at the bottom. Table title are at the top of the table as seen in Table 3.1 on the following page. There is a package called BookTabs which is *way* better for tables and you should learn how to use that instead.

Usually let MTEX handle the placement of floats unless you *really* need to force it to do something else. The float package used above allows you to use H as the placement which means *here and only here*. When using the float package, the placement options are:

- 1. h a gentle nudge to place it here if possible
- 2. t top of a page
- 3. b bottom of a page
- 4. H here and only here, do not move it at all
- 5. p on its own page

Table 3.1: Table Name
Col1 Col2
R0,C0 R0,C1
R1,C0 R1,C1

# **Some Referencing Tricks**

CleverRef and VarioRef are helpful:

- Normal Ref: See Figure 3.1
- CleverRef: See Figure 3.1 and Table 3.1
- CleverRef+VarioRef: See Figure 3.1 on page 7 and Table 3.1 on the facing page

# **IDE/Editors**

Overleaf has a great online editor for latex. Use it.

# Appendix A

## **Extra Stuff**

## A.1 What is an appendix?

An appendix is useful when there is information that you need to include, but breaks the flow of your document, e.g. a large number of figures/tables may need to be shown, but maybe only one needs to be in the text and the rest are just included for completeness.

# References

[Klein and Celik 2017] R. Klein and T. Celik. The Wits Intelligent Teaching System: Detecting student engagement during lectures using Convolutional Neural Networks. In *2017 IEEE International Conference on Image Processing (ICIP)*, pages 2856–2860, Sep. 2017.