



Adversarial Search

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Readings: AIMA Chapter 5 with 5.6 sketched and 5.7 skipped

Outline

- 1 Types of Games
 - Formulation of games
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 - Pruning more
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 - Multi-armed bandit
- 5 Partially Observable Games
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Types of Games

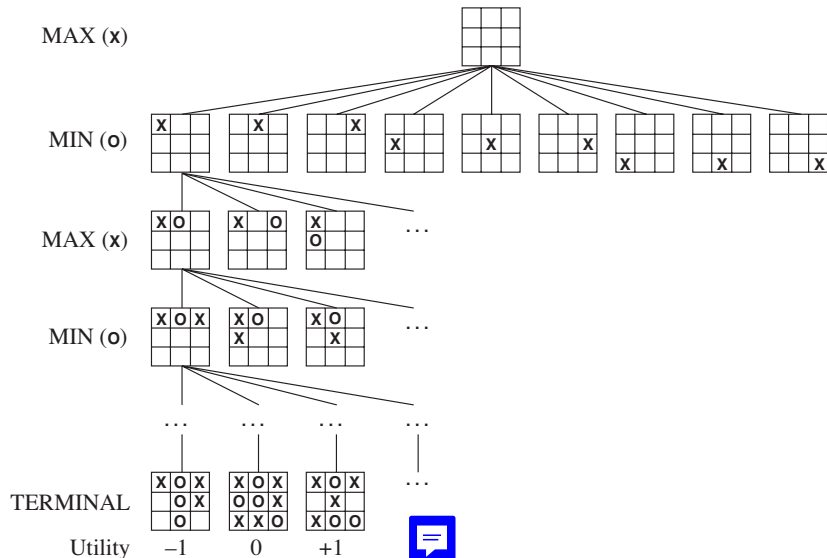
- **Adversarial search** considers **multi-agent** and **competitive** environments.
- **Game theory** consider both **competitive** and **cooperative** environments.
- Most common games are **deterministic**, **turn-taking**, **two-player**, **zero-sum** games with **perfect information**.
 - Let's focus on this type of games for a while until told otherwise.

	Deterministic	Stochastic
Perfect Information	Chess, Checkers, Go, Othello	Backgammon, Monopoly
Imperfect Information	Battleships, Bingo	Bridge, Poker

Symbols

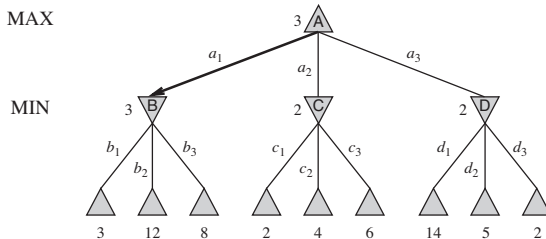
- s_0 : Initial state.
- $\text{PLAYER}(s)$: The player in state s .
- $\text{ACTION}(s)$: Returns the set of legal moves in state s .
- $\text{RESULT}(s, a)$: The transition model, which returns the resulting state of a move a in state s .
- $\text{TERMINAL-TEST}(s)$: TRUE/FALSE. States where the game has terminated are called terminal states.
- $\text{UTILITY}(s, p)$: A utility function (also called objective or payoff).
 - $\text{UTILITY}(s)$ for 2-player, zero-sum games.
 - Reason: $\text{UTILITY}(s, p_1) = -\text{UTILITY}(s, p_2)$

Game Tree (of Tic-Tac-Toe)



Optimal Decision

$$\text{MINIMAX}(s) = \begin{cases} \text{UTILITY}(s) & \text{if } \text{TERMINAL-TEST}(s) \\ \max_{a \in \text{Actions}(s)} \text{MINIMAX}(\text{RESULT}(s, a)) & \text{if } \text{PLAYER}(s) = \text{MAX} \\ \min_{a \in \text{Actions}(s)} \text{MINIMAX}(\text{RESULT}(s, a)) & \text{if } \text{PLAYER}(s) = \text{MIN} \end{cases}$$



Minimax Search

Negamax Search

- $\min\{a_0, \dots, a_n\} = -\max\{-a_0, \dots, -a_n\}$
- Such simplified implementation of MINIMAX is called NEGAMAX.
- Copying the whole state (line 5) is memory consuming. Practical implementation usually adopts $s = \text{BACKTRACK}(s', a)$.

NEGAMAX(s)

```
1  if TERMINAL-TEST( $s$ )
2      return UTILITY( $s, p$ )
3   $result = -\infty$ 
4  for each  $a \in \text{ACTION}(s)$ 
5       $s' = \text{RESULT}(s, a)$ 
6       $result = \max(result, -\text{NEGAMAX}(s'))$ 
7  return  $result$ 
```

Properties of Minimax (Negamax) Search

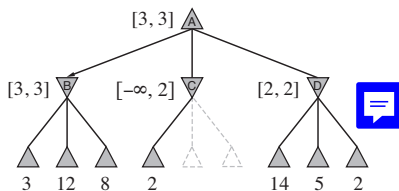
- **Completeness:** Yes, if tree is finite.
- **Optimality:** Yes, against an optimal opponent. **Otherwise?**
 - Risky moves that leads to complicated variations might be better to revert unfavored situations.
- **Time Complexity:** $O(b^m)$.
- **Space Complexity:** $O(bm)$ (DFS); or $O(m)$ if the algorithm generates actions one at a time.

For chess, $b \simeq 35$, $m \simeq 100 \Rightarrow$ optimal decision is practically intractable.
Do we need to explore every path?



$\alpha - \beta$ Pruning

- Not every node needs to be evaluated.

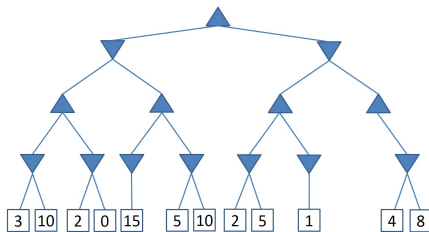


The value of *root* is independent of two unknown nodes x and y

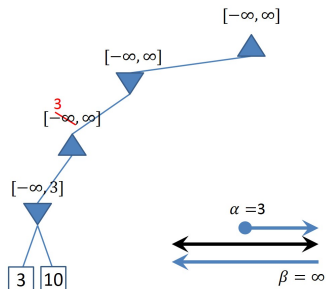
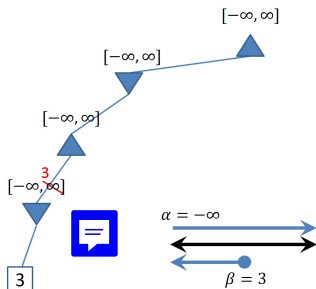
$$\begin{aligned}
 \text{MINIMAX}(\text{root}) &= \max(\min(3, 12, 8), \min(2, x, y), \min(14, 5, 2)) \\
 &= \max(3, \min(2, x, y), 2) \\
 &= 3
 \end{aligned}$$

$\alpha - \beta$ Pruning

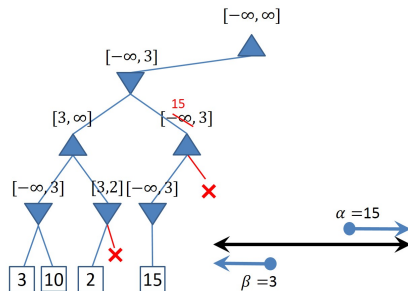
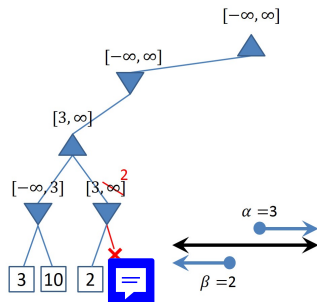
- Keeping α (maximum lower bound) for the maximum utility for player MAX, initialized to $-\infty$.
- Keeping β (minimum upper bound) for the minimum utility for player MIN, initialized to ∞ .
- Only the moves within the $[\alpha, \beta]$ window are expanded; otherwise its branches are pruned.
- The pruning does **NOT** compromise solution quality.



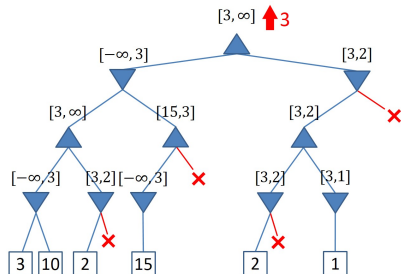
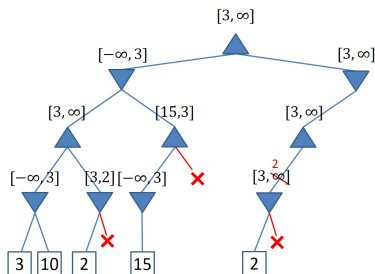
$\alpha - \beta$ Pruning Example



$\alpha - \beta$ Pruning Example (contd.)



$\alpha - \beta$ Pruning Example (contd.)



Implementation of $\alpha - \beta$ Pruning

ALPHABETA(s, α, β)

```
1  if TERMINAL-TEST( $s$ )
2      return UTILITY( $s$ )
3  if PLAYER( $s$ ) == MAX
4       $v = -\infty$ 
5      for each  $a \in \text{ACTION}(s)$ 
6           $v = \max(v, \text{ALPHABETA}(\text{RESULT}(s, a), \alpha, \beta))$ 
7          if  $v \geq \beta$  return  $v$ 
8           $\alpha = \max(\alpha, v)$ 
9      return  $v$ 
10 else
11      $v = \infty$ 
12     for each  $a \in \text{ACTION}(s)$ 
13          $v = \min(v, \text{ALPHABETA}(\text{RESULT}(s, a), \alpha, \beta))$ 
14         if  $\alpha \geq v$  return  $v$ 
15          $\beta = \min(\beta, v)$ 
16     return  $v$ 
```

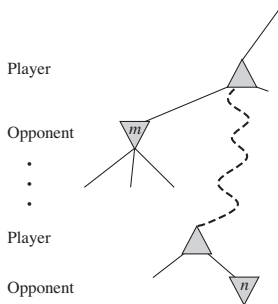
Implementation of $\alpha - \beta$ Pruning

- $\text{NEGAMAX} + \text{ALPHABETA} = \text{AB-NEGAMAX}$.

AB-NEGAMAX(s, α, β)

```
1  if TERMINAL-TEST( $s$ )
2      return UTILITY( $s, p$ )
3   $v = -\infty$ 
4  for each  $a \in \text{ACTION}(s)$ 
5       $s' = \text{RESULT}(s, a)$ 
6       $v = \max(v, -\text{AB-NEGAMAX}(s', -\beta, -\alpha))$ 
7      if  $v \geq \beta$  return  $v$ 
8       $\alpha = \max(\alpha, v)$ 
9  return  $v$ 
```

Main Idea of $\alpha - \beta$ Pruning

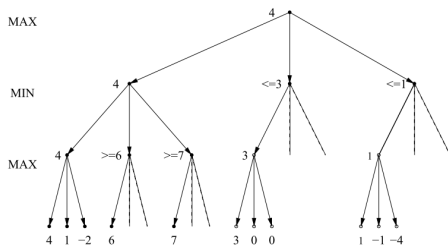


- If m is better than n for Player, n will never be reached in actual play.
- Once we have found enough about n to reach this conclusion, we can **prune** it.

Efficiency of $\alpha - \beta$ Pruning

- Highly depends on the order of moves.
- Worst case: no pruning $\rightarrow O(b^m)$.

- Best case: Always check the best move first.
 - Need to check every child for the first move.
 - Only need to check first child for other moves.
 - $O(b \cdot 1 \cdot b \cdot 1 \dots) = O(b^{m/2})$




- Average case: $O(b^{3m/4})$
- Very simple ordering usually achieves $O(b^{m/2})$
 - Another good reason to adopt **iterative deepening**.
 - Reduce the effective branch factor to \sqrt{b} .
 - Make the search **twice** as deep as before.



Aspiration Windows

- Assume `UTILITY` is quite consistent.
- Then the old score means something.
- Original `ALPHABETA` searches at $[-\infty, \infty]$.
- We can try something like $[\text{OLD_SCORE} - 30, \text{OLD_SCORE} + 30]$.
- If a move lies outside the window, we need to **re-search** with a wider window.
- Some fine tuning is needed to ensure speed-up.

NegaScout

- Assume `UTILITY` is integral.
- Aspiration search can be taken to extreme.
- If our ordering is quite good, it's highly possible that the first move is the best move.
- We then can make the search window from $[\alpha, \beta]$ to $[\alpha, \alpha + 1]$ (a null window).
- If our assumption is correct, the search speeds up. 
- If our assumption is incorrect, **hopefully** the cutoff occurs very soon (α can actually be improved).
- We then search it properly (using the original $[\alpha, \beta]$ window).

NegaScout

NEGAScOUT(s, α, β)

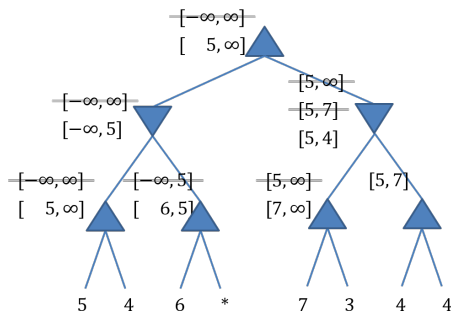
```

1  if TERMINAL-TEST( $s$ )
2      return UTILITY( $s, p$ )
3   $b = \beta$            //initial window is  $[-\beta, -\alpha]$ 
4   $v = -\infty$ 
5  for each  $a \in \text{ACTION}(s)$ 
6       $s' = \text{RESULT}(s, a)$ 
7       $result = -\text{NEGAScOUT}(s', -b, -\alpha)$ 
8      if  $\alpha < result < \beta$  and  $a$  is not first action
9           $result = -\text{NEGAScOUT}(s', -\beta, -\alpha)$            //full re-search
10      $v = \max(v, result)$ 
11     if  $v \geq \beta$  return  $v$ 
12      $\alpha = \max(\alpha, v)$ 
13      $b = \alpha + 1$            //set new null window
14 return  $v$ 

```

Performance of NegaScout

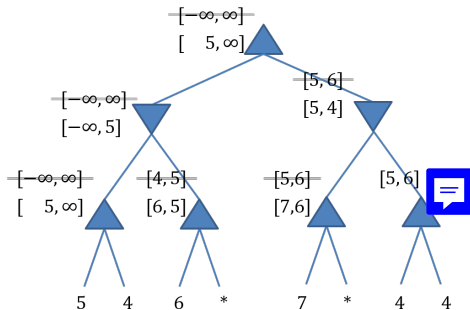
- Performance of NegaScout highly depends on the search order.
- For random order, NegaScout is usually worse than original alpha-beta.
- NegaScout outperforms original alpha-beta for good move ordering.



Example of α - β pruning.

Performance of NegaScout

- NegaScout tentatively tries $[5, 6]$ which prunes the node 3 (wasn't pruned in α - β).
- The returned value 4, which is outside the true window $[5, \infty]$, validates the pruning.



Example of NegaScout.

MTD-f



- If we memorize the results from the previous search, not much harm to do more searches.
- Iteratively guessing the correct value.



```
function MTDf(root, f, d)
  g := f
  upperBound :=  $+\infty$ 
  lowerBound :=  $-\infty$ 
  while lowerBound < upperBound
     $\beta$  := max(g, lowerBound+1)
    g := AlphaBetaWithMemory(root,  $\beta-1$ ,  $\beta$ , d)
    if g <  $\beta$  then
      upperBound := g
    else
      lowerBound := g
  return g
```

Imperfect Real-Time Decisions

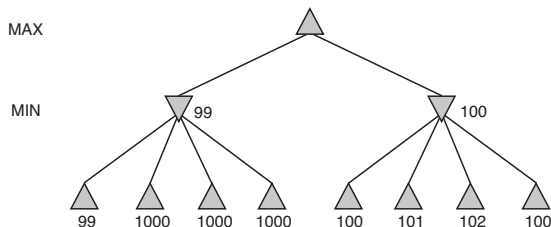
Use CUTOFF-TEST instead of TERMINAL-TEST

- Can be as simple as **depth limit**.
- Can adopt **quiescence search** to conquer the **horizon effect**. 
- Yet another good reason to adopt **iterative deepening**. Return the current best move when time's up. 

Use EVAL instead of UTILITY

- Usually maps **state** s into **feature space** f_i
- Typically use **linear combination** of features: $\text{EVAL}(s) = \sum_i w_i f_i(s)$
- Need to fine tune weights for strong play.

Heuristic Where Minimax May Go Wrong



- MINIMAX chooses the right branch.
- EVAL with an error with zero mean and standard deviation σ .
- $\sigma = 2$, the left branch is better 58% of the time.
- $\sigma = 5$, the left branch is better 71% of the time.

Forward Pruning



- Forward pruning does compromise solution quality (so is using EVAL)
 - Some moves are pruned immediately without further consideration.
- Beam search is one way to forward pruning. Dangerous since the best move might be pruned.
- PROBCUT uses the scores from previous searches to estimate the probability that a node is outside the $[\alpha, \beta]$ window.

Search vs. Table Lookup

- For many games, deep search usually helps little at the beginning.
- Instead, fast table looking up, huge databases, and statistical analysis help more.
- Table lookup also helps a lot toward the end of games.

- Moscow state university solves all 7-piece endgames in 2012.
- Total number of 5×10^{12} positions.
- Longest mate takes 549 moves!



- Finally, early exchange favors computers than humans → deeper search and more probable falls in lookup.

Handling Repeated States

- We desire some BACKTRACK implementation instead of copying the whole board state.
- We desire to be able to identify repeated state very fast.
- We desire to store the scores of some recently-calculated states ([transposition table](#)).

Zobrist Hashing

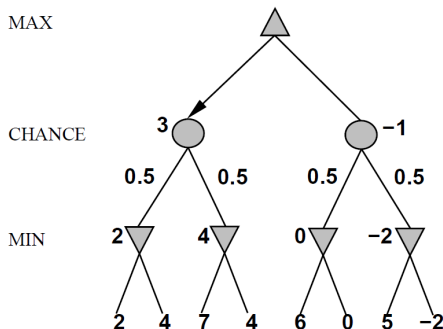
Randomly generate [Zobrist's keys](#) (fixed) for each piece at each position. The hash key for a board state is then the [XOR](#) of these keys.

Zobrist Hashing

- The use of XOR makes BACKTRACK as easy as making a move (RESULT).
- $h(s)$ are stored in a large hash table to make future search faster.
- For the same state, the heuristic estimation from a deeper search replace that from a shallower one. Similar idea (also like LRTA*) can be used to improve h .
- Length of keys
 - Shorter keys are faster.
 - Longer keys reduce the chance of collision.
 - For chess, roughly $12 \times 64 \leq 800$ keys are needed.
 - For Go, roughly $2 \times 361 \leq 800$ keys are needed.
 - Nowadays, 64-bit key implementation is standard. Collision is not severe, but still needs to be handled properly.

Stochastic Games

- Introduce **CHANCE nodes** into the minimax tree.
- Instead of searching for maximum/minimum values, we now search for **expected** maximum/minimum values.



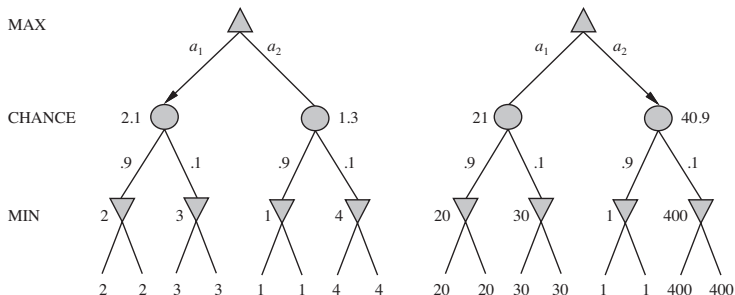
EXPECTIMINIMAX

- EXPECTIMINIMAX gives **perfect** play. (in what sense?)
- Similar to MINIMAX, except we must also handle chance nodes.

$$\text{EXPECTIMINIMAX}(s) = \begin{cases} \text{UTILITY}(s) & \text{if } \text{TERMINAL-TEST}(s) \\ \max_a \text{EXPECTIMINIMAX}(\text{RESULT}(s, a)) & \text{if } \text{PLAYER}(s) == \text{MAX} \\ \min_a \text{EXPECTIMINIMAX}(\text{RESULT}(s, a)) & \text{if } \text{PLAYER}(s) == \text{MIN} \\ \sum_a P(a) \text{EXPECTIMINIMAX}(\text{RESULT}(s, a)) & \text{if } \text{PLAYER}(s) == \text{CHANCE} \end{cases}$$

Sensitivity to Heuristic

- As mentioned before, we rarely can actually use UTILITY in EXPECTIMINIMAX.
- Instead, we use **heuristic**.
- However, unlike in MINIMAX, actual values of heuristic matter now. (In MINIMAX, only relative order matters.)



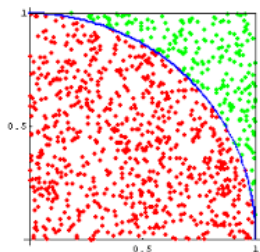
$\{1, 2, 3, 4\} \rightarrow \{1, 20, 30, 400\}$

Performance of EXPECTIMINIMAX

- $\alpha - \beta$ pruning now does not apply to MAX/MIN nodes (why?).
- $\alpha - \beta$ pruning now still applies to CHANCE nodes (why?).
- **Time Complexity:** $O(b^m) \rightarrow O(b^m n^m)$, where n is the number of distinct dice rolls.
- Causes EXPECTIMINIMAX impractical in many cases.
- Solution: Instead of checking every MAX/MIN node, adopts **Monte Carlo simulation** at CHANCE nodes.
- Using random dice rolls to check only a certain number (decided by quality/time limit) of paths.

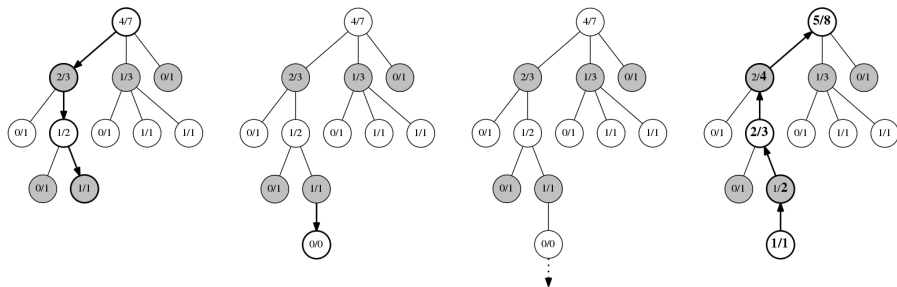
Monte-Carlo Simulation

- Calculating π :
 - Uniformly random:
 $x \sim [0, 1], y \sim [0, 1]$.
 - Check whether $x^2 + y^2 \leq 1$.
 - The probability is $\pi/4$.
- Opinion polls.
- Mark and recapture.

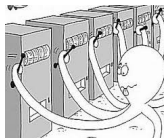


Monte-Carlo Tree Search

- Selection: select the **most promising** leaf L .
- Expansion: create a node C from L .
- Simulation: random **playout** (or called **rollout**) from C .
- Backpropagation: back propagate the result from C to the root.



Multi-Armed Bandit Problem



- K arms (random variables) with distributions: $B = \{A_1, A_2, \dots, A_K\}$, where A_i are of unknown independent distributions (not necessarily identical).
- Unknown means: $\mu_i = E[A_i]$.
- Regret ρ_T after T rounds: $T \cdot \mu^* - \sum_{t=1}^T \hat{r}_t$, where $\mu^* = \max_i \mu_i$ and \hat{r}_t is the reward at round t .
- The goal is to minimize the regret.
- Zero-regret strategy: $\lim_{T \rightarrow \infty} \frac{\rho_T}{T} = 0$.

Zero-Regret Conditions

- Unbounded number of trials for each arm, given unbounded time.
- As time goes to infinity, the probability of choosing the current best arm tends to 1.

MAB Strategies

- ϵ -greedy (simple, not zero-regret):

With probability of ϵ , pull an arm at random; otherwise, pull the current best arm.

- ϵ -decreasing (may be zero-regret):

Same as ϵ -greedy, but ϵ decreases with time.

- UCB (upper confidence bound, zero-regret)

Pull the arm with the highest UCB score.

- UCB1: $\bar{x}_i + \sqrt{\frac{2 \ln n}{n_i}}$

- UCB1-tuned: $\bar{x}_i + \sqrt{\frac{\ln n}{n_i} \min\left(\frac{1}{4}, \sigma_i^2 + \sqrt{\frac{2 \ln n}{n_i}}\right)}$

Partially Observable Games

- Different from stochastic games, **unobservable** parts are usually controlled by **opponents**, not probability.
- Examples: Cards held by other player in bridge, folded cards in poker, fogs in star craft.
- Different **strategies** may be applied and may all considered optimum against different opponents.
- If **equilibrium** exists, it's usually considered as optimum strategy.

Nash Equilibrium

- By John Nash — check out “A Beautiful Mind (2001)” if you want an informal introduction to him.

Information Definition

A set of strategies is a Nash equilibrium if no player can do better by unilaterally changing his or her strategy.

- Prisoners' dilemma

	B stays silent	B confesses
A stays silent	Each serves 1 yr	A: 5 yrs; B: free
A confesses	A: free; B: 5 yrs	Each serves 3 yrs

- Read Chapter 17 if you want to know more.

Summary

- **MINIMAX search** for zero-sum two-player games.
- **Pruning** techniques enable to search deeper.
- Due to time limit, **heuristics** are used to evaluate the “goodness” for a player.
- For **stochastic games**, we need to introduce **chance nodes** and search for expected maximum/minimum values.
- For stochastic games, $\alpha - \beta$ pruning is much less efficient, **Monte Carlo simulations** are often adopted to speed up the search.
- With **limited observation**, optimality is usually not well defined. If **equilibrium** exists, strategies in equilibrium are often considered optimum.