



# Entity-Relationship Model

Chapter 2

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- 1 Overview of Database Design Process
  - 2 A Sample Database Application
  - 3 What is ER Model? And Why?
  - 4 ER Model Concepts
  - 5 ER Diagram and Naming Conventions
  - 6 Alternative Diagrammatic Notations
  - 7 Problems with ER Models
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## **1 Overview of Database Design Process**

2 A Sample Database Application

3 What is ER Model? And Why?

4 ER Model Concepts

5 ER Diagram and Naming Conventions

6 Alternative Diagrammatic Notations

7 Problems with ER Models

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# Overview of Database Design Process

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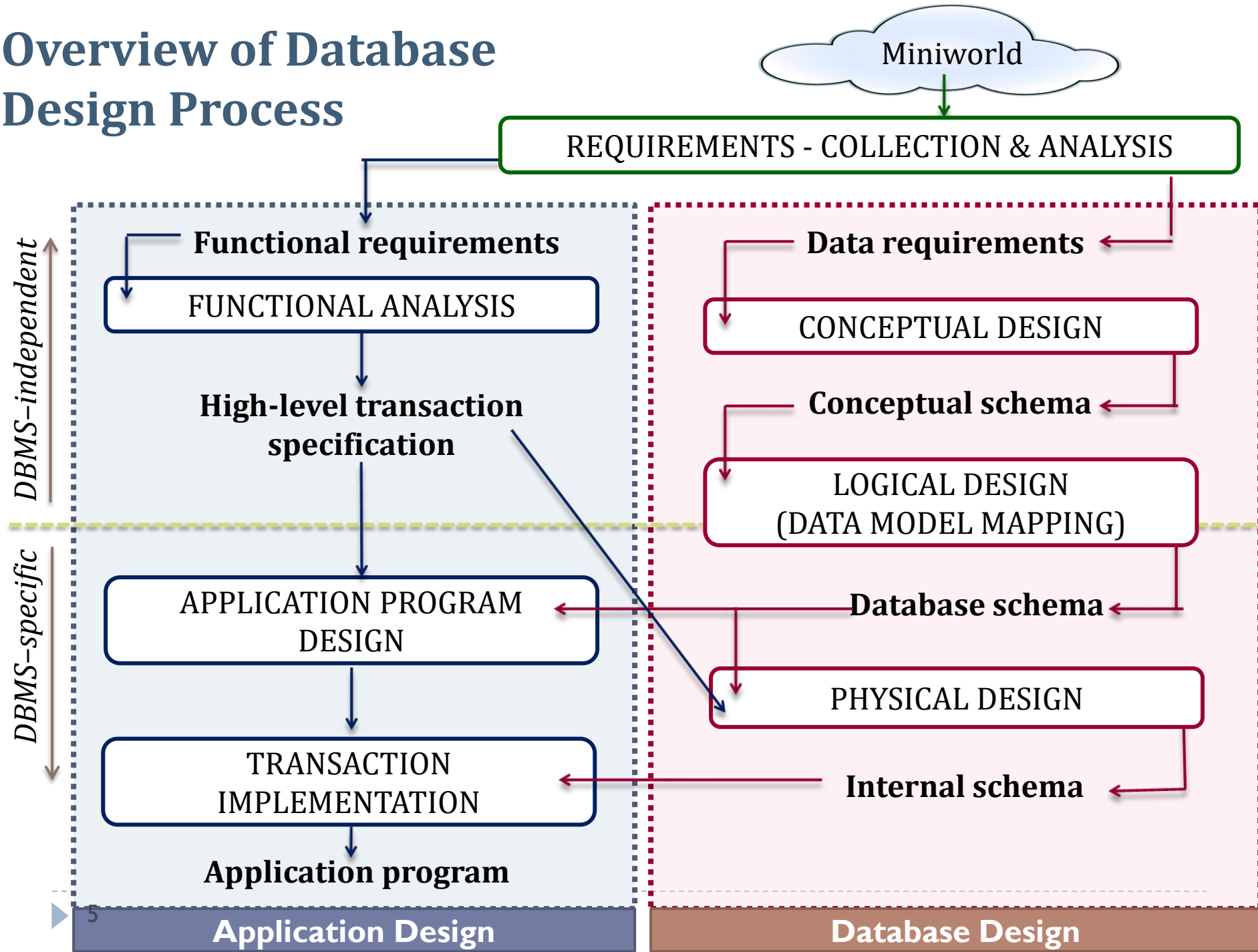
- ▶ **Database design**

- ▶ To design the conceptual schema for a database application

- ▶ **Applications design**

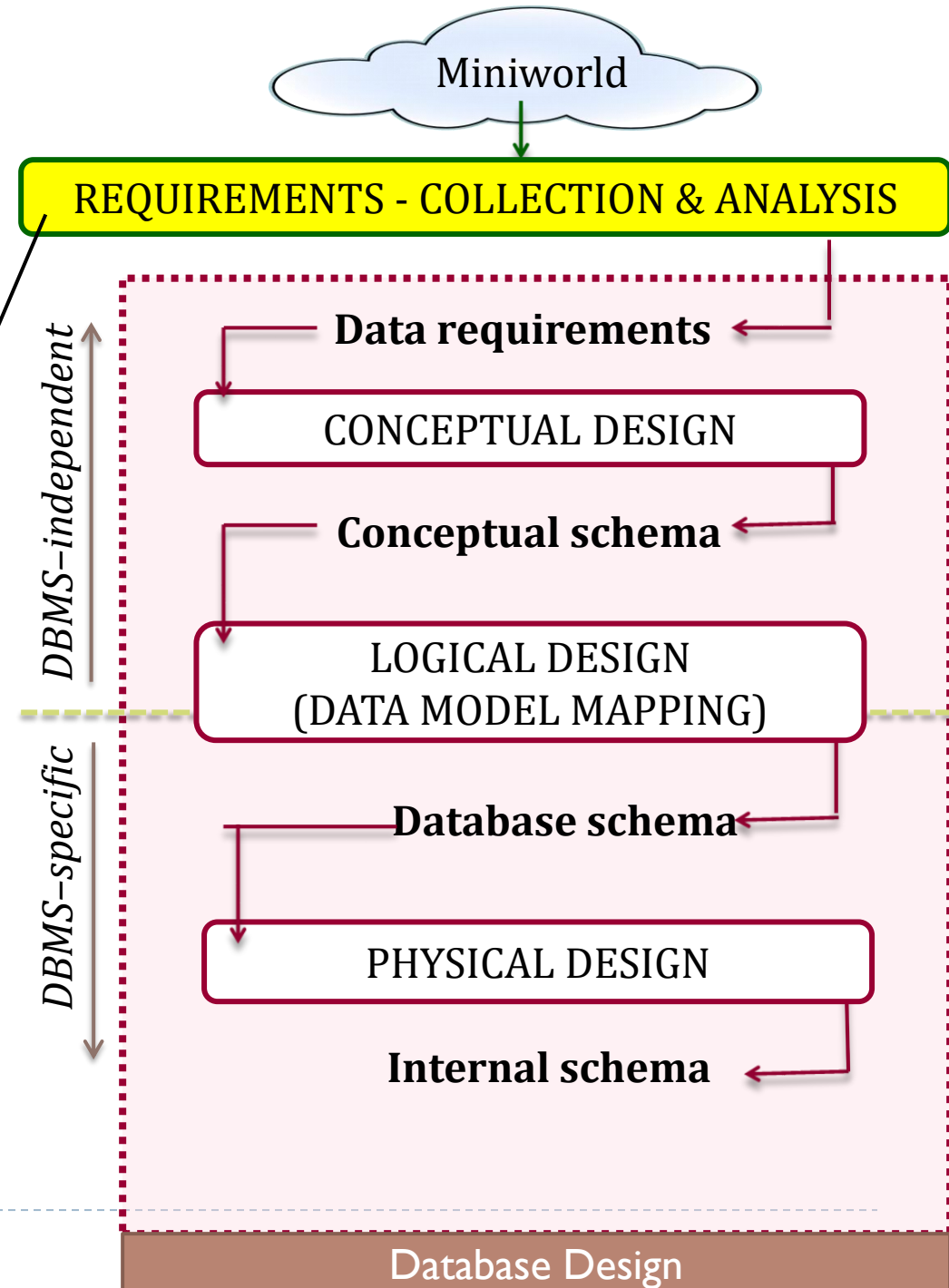
- ▶ Focus on the programs and interfaces that access the database
  - ▶ Generally considered part of software engineering

# Overview of Database Design Process



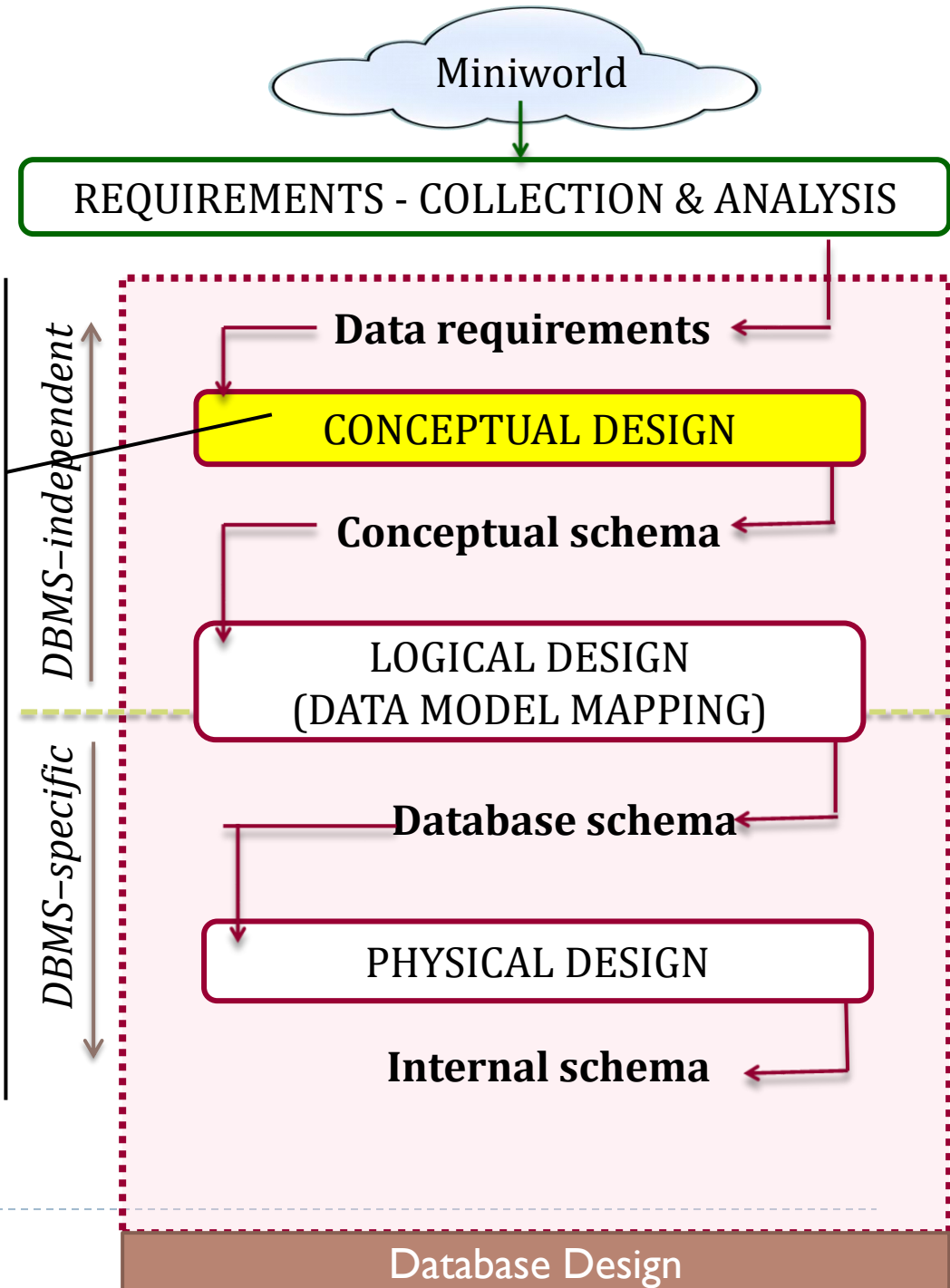
# Overview of Database Design Process

- Interview prospective database users
- Result:
  - ✓ Data requirements
  - ✓ Functional requirements



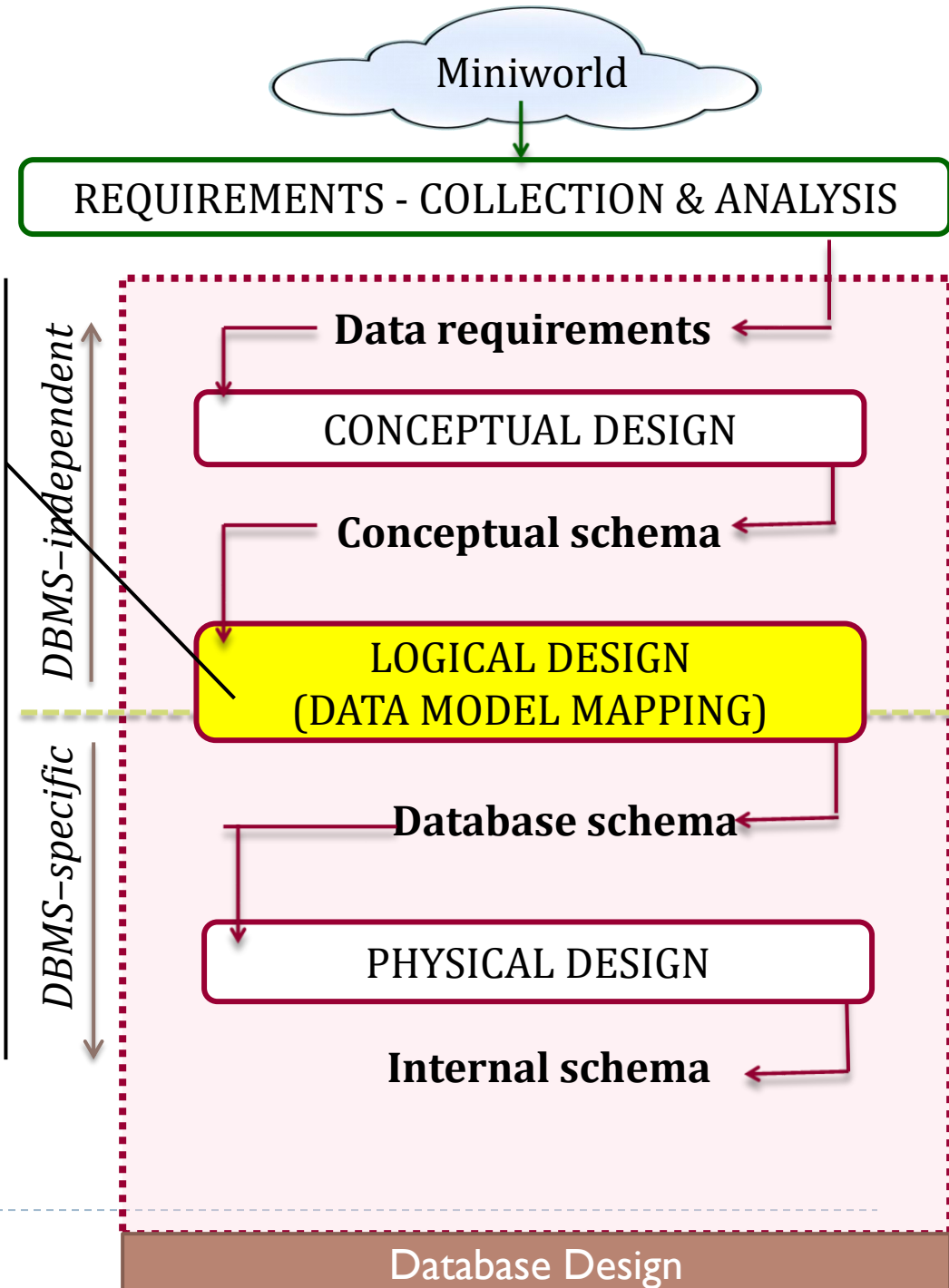
# Overview of Database Design Process

- Create a conceptual schema using a high-level conceptual data model (**Entity-Relationship model**)
- Descriptions of entity types, relationships, and constraints
- **Independent** of storage and implementation details.



# Overview of Database Design Process

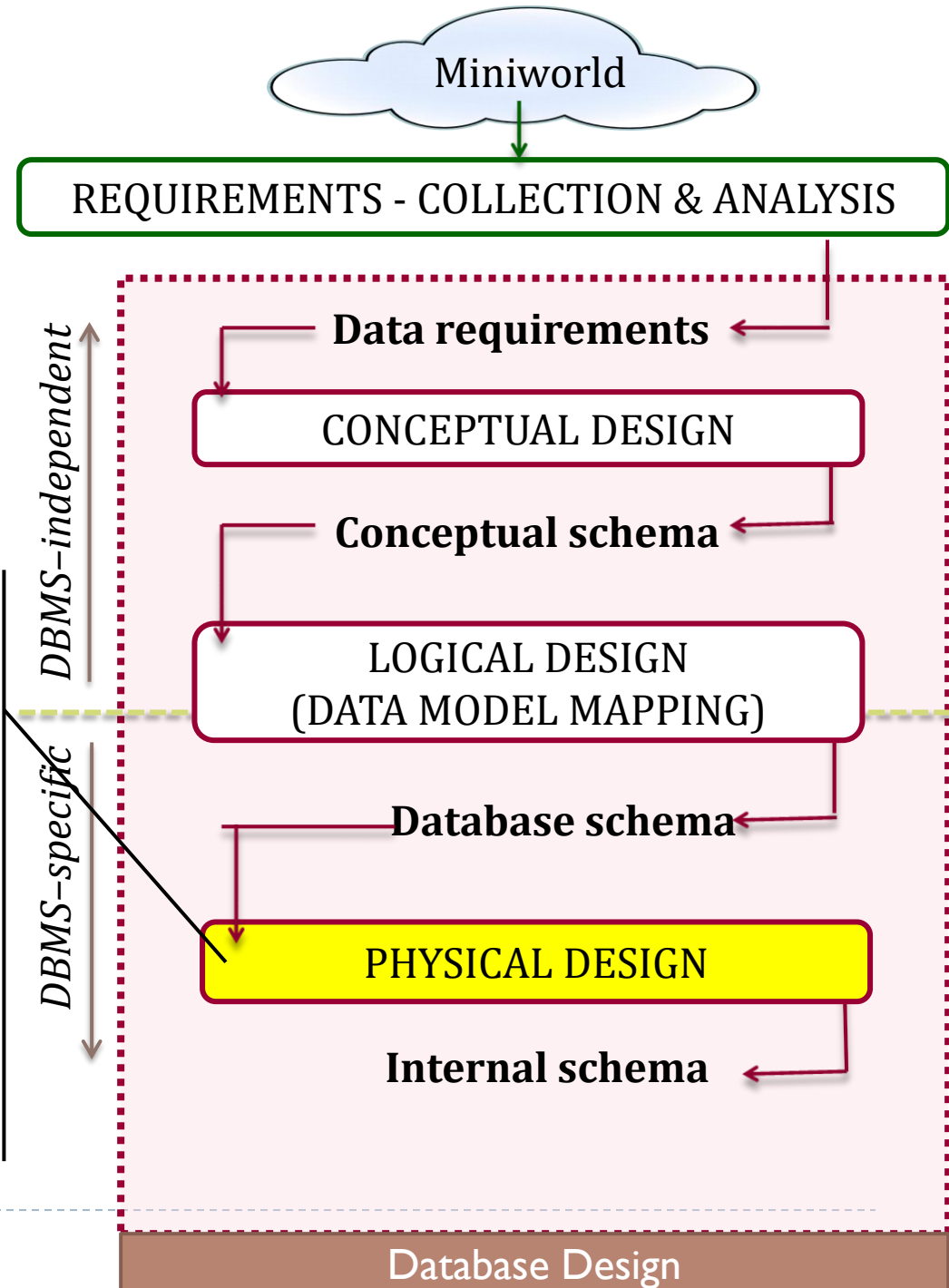
- Create a **database schema** in implementation data model of a commercial DBMS
- **Data model mapping** is often automated or semi-automated within the database design tool.





# Overview of Database Design Process

- Specify internal storage structures, file organizations, indexes, access paths, and physical design parameters for the database files.



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# A Sample Database Application

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- ▶ Design a database for a **COMPANY** that keeps track of employees, departments, and projects
  - ➔ REQUIREMENTS - COLLECTION & ANALYSIS



## Data requirements

- Entities
- Attributes
- Relationships
- Constraints

# A Sample Database Application

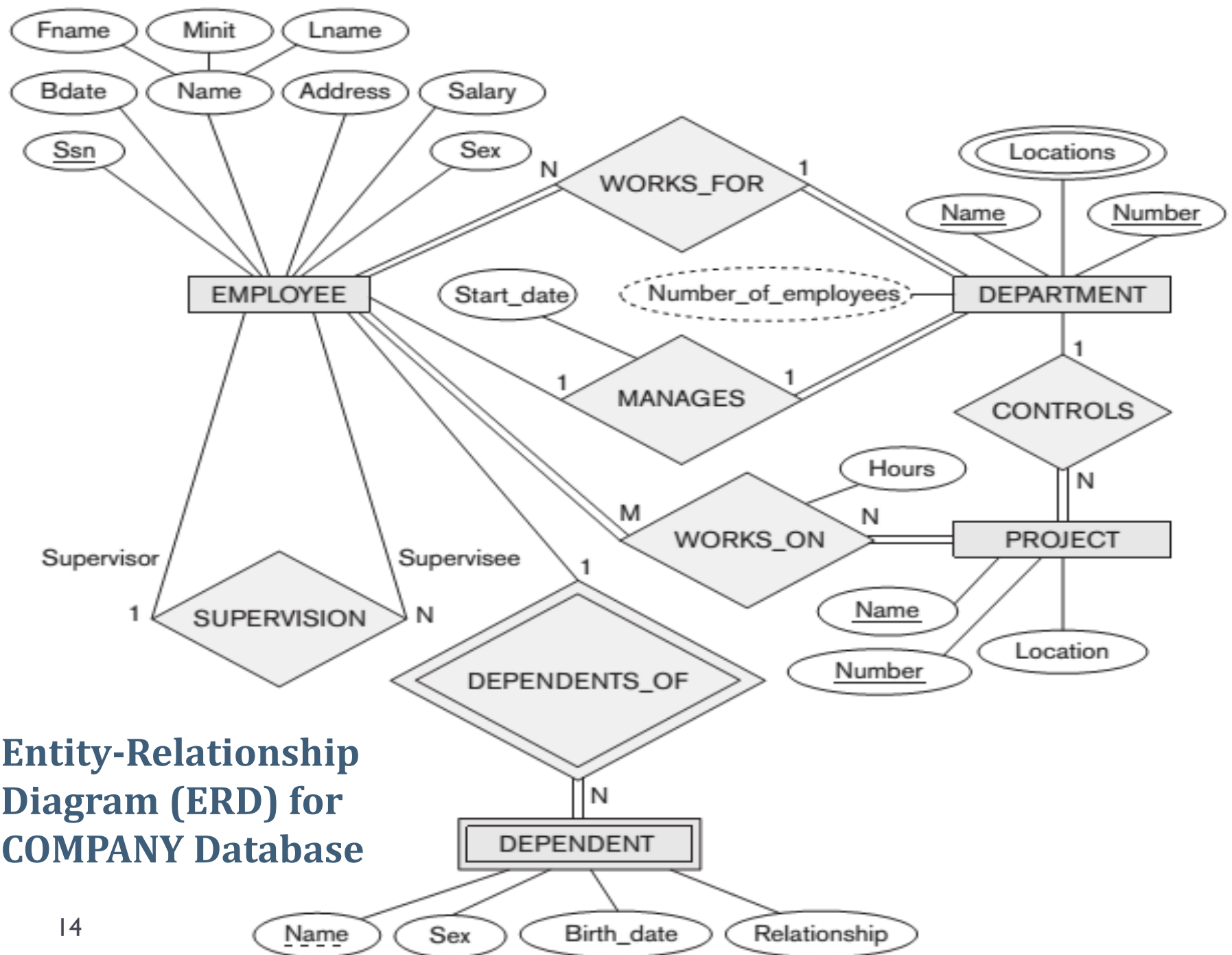
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- ▶ The **COMPANY** database: keeps track of employees, departments, and projects.
- ▶ The company is organized into **DEPARTMENTS**. Each department has a unique name, a unique number, and a particular employee who *manages* the department. We keep track of the start date when that employee began managing the department. A department may have several locations.
- ▶ A department *controls* a number of **PROJECTS**, each of which has a unique name, a unique number, and a single location.

# A Sample Database Application

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- ▶ We store **EMPLOYEE**'s name, Social Security number, address, salary, sex, and birth date. An employee is *assigned to one department*, but may *work on several projects*, which are not necessarily controlled by the same department. We keep track of the current number of hours per week that an employee works on each project. We also keep track of the direct *supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, including first name, sex, birth date, and relationship to the employee.



# Case study: Requirements - Collection & Analysis



## Data requirements

- Entities
- Attributes
- Relationships
- Constraints

### GROUP A

A system for course registration of HCMUT



### GROUP B

A system for a Library of a University



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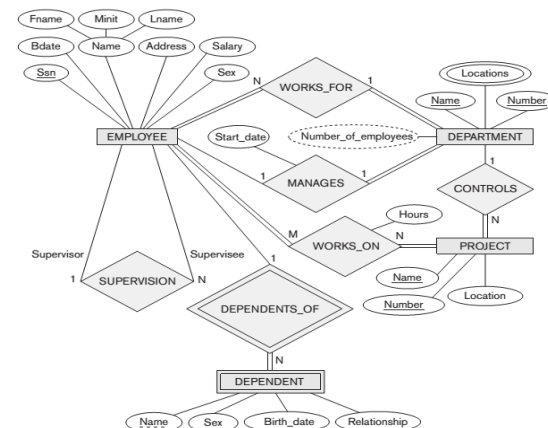
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# What is ER Model?

- ▶ Entity-Relationship (ER) model
  - ▶ Popular high-level conceptual data model
  - ▶ A logical organisation of data within a database system
- ▶ ER Diagrams (ERD):
  - ▶ Diagrammatic notation associated with the ER model
- ▶ Conceptual Design:

Data requirements → Conceptual Schema (ERD)



# Why use ER data modelling?

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- ▶ User requirements can be *specified formally & unambiguously*
- ▶ It can be *easily understood* by ordinary users.
- ▶ It provides *an effective bridge* between user requirements and logical database design and implementation
- ▶ The conceptual data model is *independent of any particular DBMS*
- ▶ It does *not involve any physical or implemental details*

# Contents

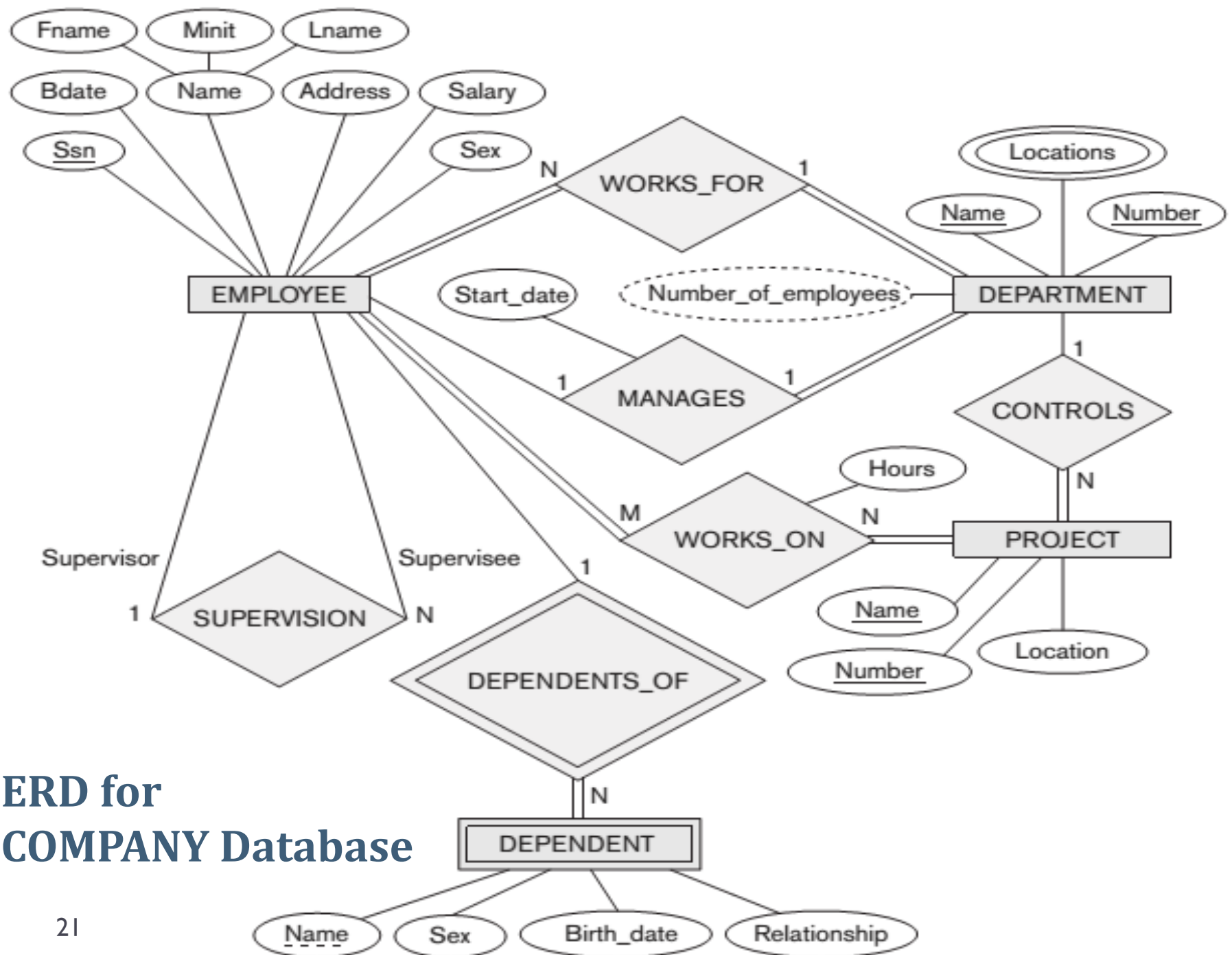
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# ER Model Concepts

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- ▶ ER model describes data as:
  - ▶ Entities
  - ▶ Attributes
  - ▶ Relationships



## ERD for COMPANY Database

# Entity

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- ▶ **Entity** is a thing in the real world with an independent existence.
- ▶ An entity may be an object with a *physical existence* (a person, a car, a house, or an employee) or an object with a *conceptual existence* (a company, a job, or a university course)
- ▶ Examples: In a COMPANY:
  - ▶ the EMPLOYEE *John Smith*
  - ▶ the *Research* DEPARTMENT
  - ▶ the *ProductX* PROJECT

# Attribute

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- ▶ **Attributes** are properties described an entity.
  - ▶ Ex: an EMPLOYEE entity may have Name, SSN, Address, Sex, BirthDate
- ▶ A specific entity will have a value for each of its attributes.
- ▶ Each attribute has **a value set (or data type)** associated with it.

# Types of Attributes

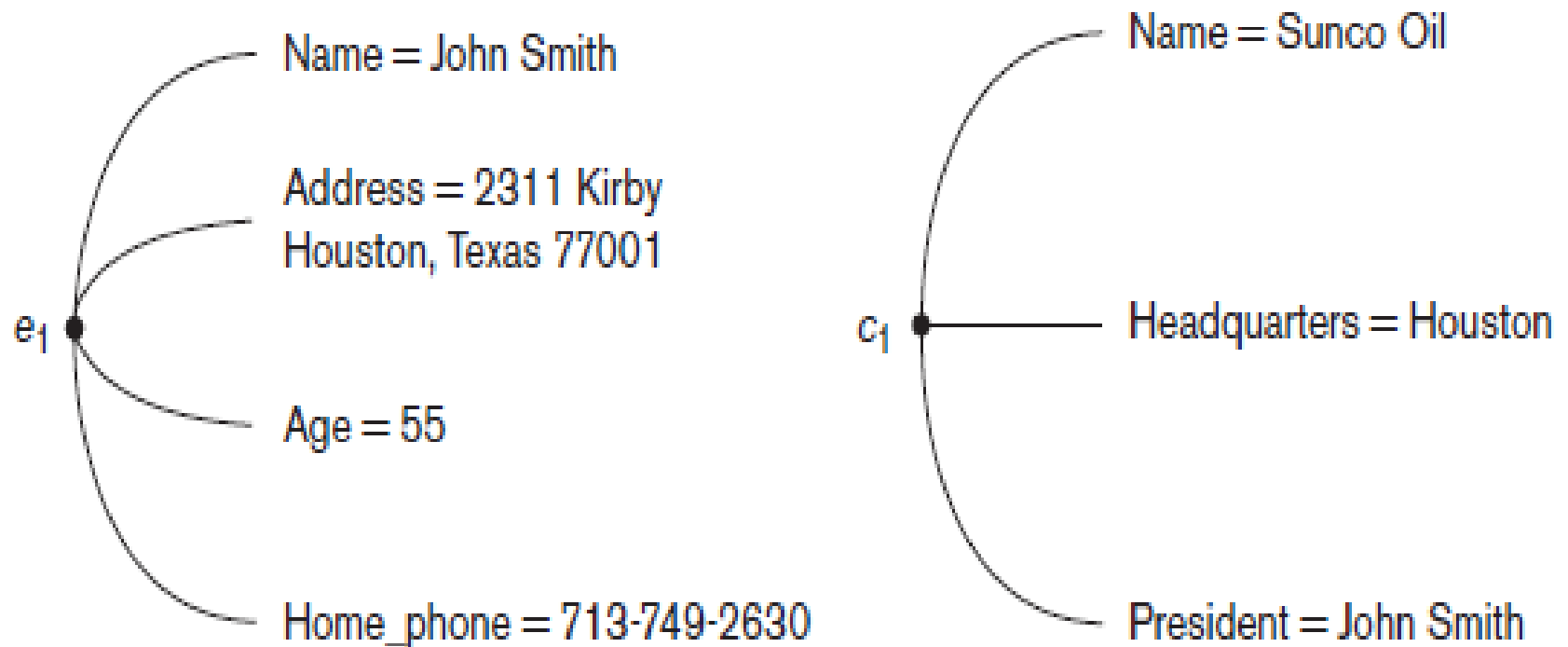
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- ▶ *Simple attribute* has a single atomic value.
  - ▶ SSN, Sex
- ▶ *Composite attribute* may be composed of several components.
  - ▶ Name (First name, Middle name, Last name)
- ▶ *Multi-valued attribute* has multiple values.
  - ▶ Colors of a Car {Color}, Phones of a Person {Phone}
- ▶ *Derived attribute* has a value that is derivable from values of related attributes.
  - ▶ Number of students in a class
- ▶ *Complex attribute* is a combination of composite and multivalued attributes.



# Entities and Attributes

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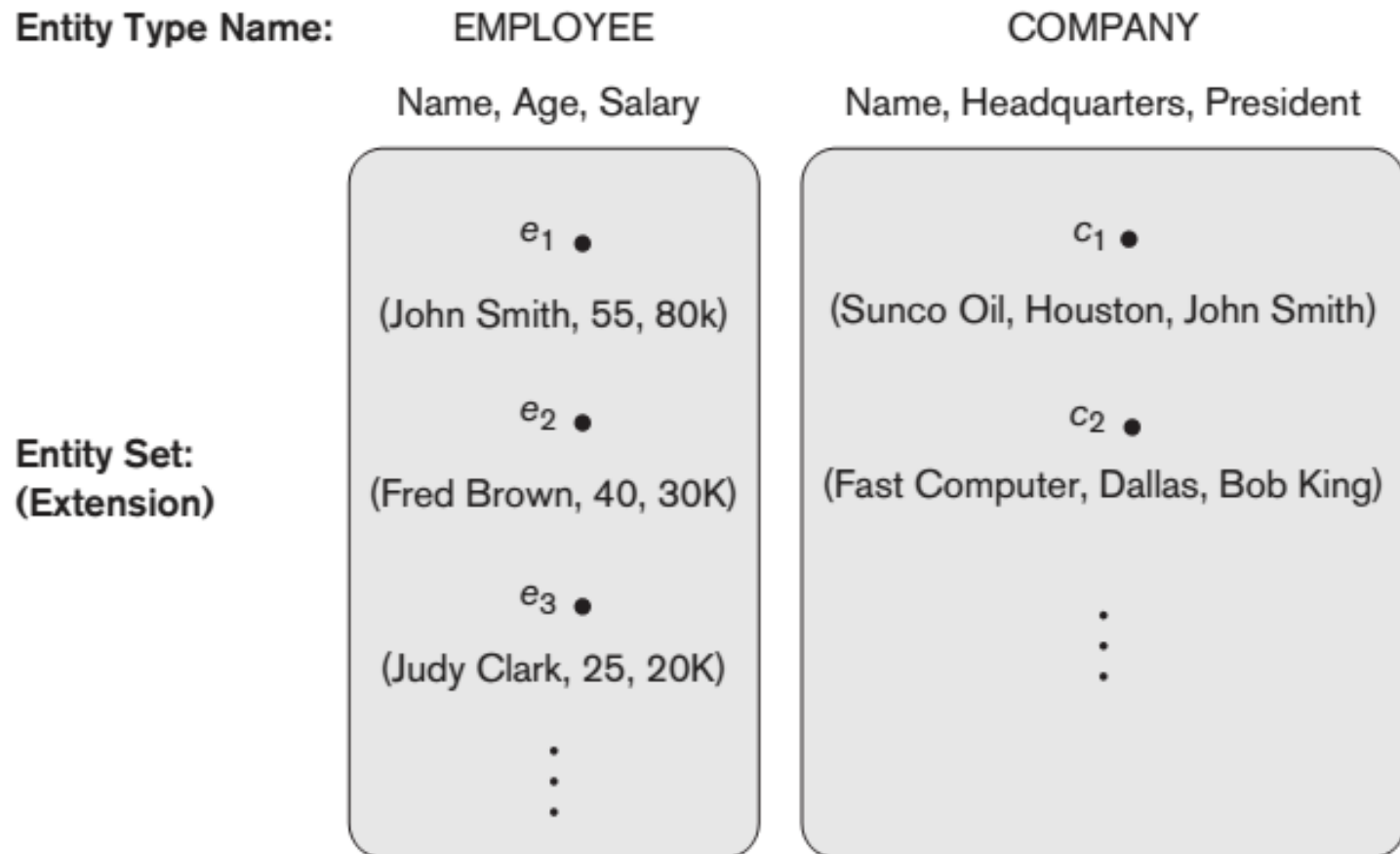


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Two entities, EMPLOYEE  $e_1$ , and COMPANY  $c_1$ , and their attributes.

# Entity Types

- ▶ Collection (or set) of entities that have the same attributes



# Keys

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- ▶ **Key or uniqueness constraint**

- ▶ Attributes whose values are **distinct** for each individual entity in entity set
- ▶ Uniqueness property must hold for every entity set of the entity type
- ▶ Ex: SSN of EMPLOYEE

- ▶ An entity type may have **more than one key**.

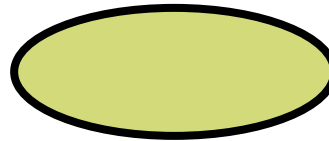
- ▶ Ex: the STUDENT entity type may have two keys (in university context):
  - ▶ Citizen ID and
  - ▶ Student ID

# Notations of Entity type, Attributes, Key

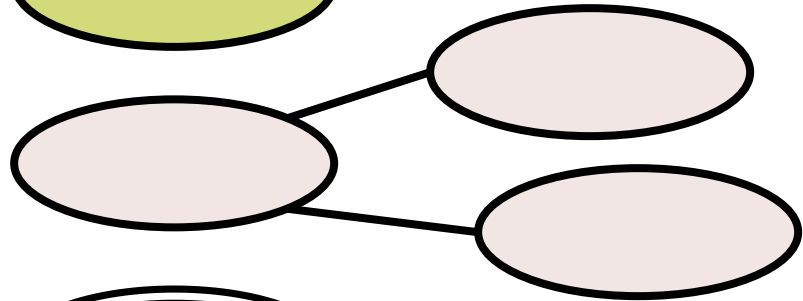
▶ Entity type



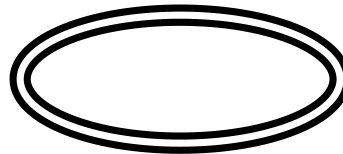
▶ Simple attribute



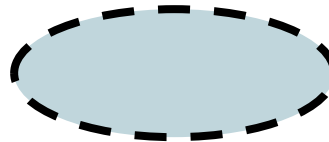
▶ Composite attribute



▶ Multi-valued attribute



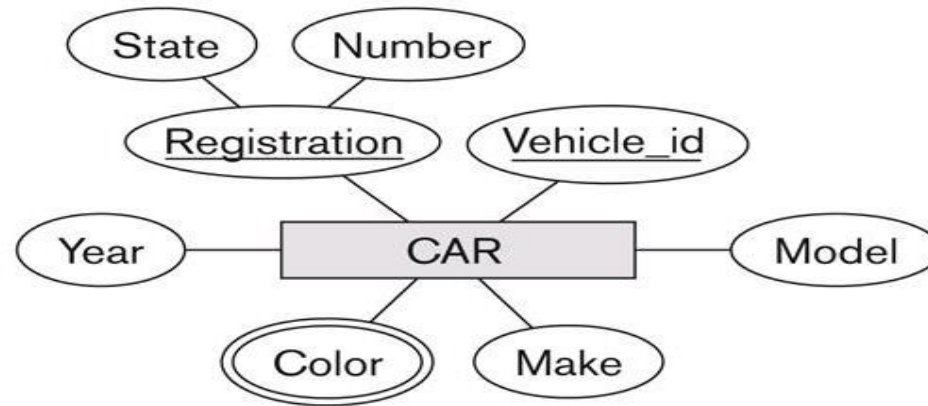
▶ Derived attribute



▶ Key



# Entity Type CAR with two keys and a corresponding Entity Set



CAR

Registration (Number, State), Vehicle\_id, Make, Model, Year, {Color}

CAR<sub>1</sub>

((ABC 123, TEXAS), TK629, Ford Mustang, convertible, 2004 {red, black})

CAR<sub>2</sub>

((ABC 123, NEW YORK), WP9872, Nissan Maxima, 4-door, 2005, {blue})

CAR<sub>3</sub>

((VSY 720, TEXAS), TD729, Chrysler LeBaron, 4-door, 2002, {white, blue})

⋮

# Identify Entity Types and Attributes

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## The COMPANY database:

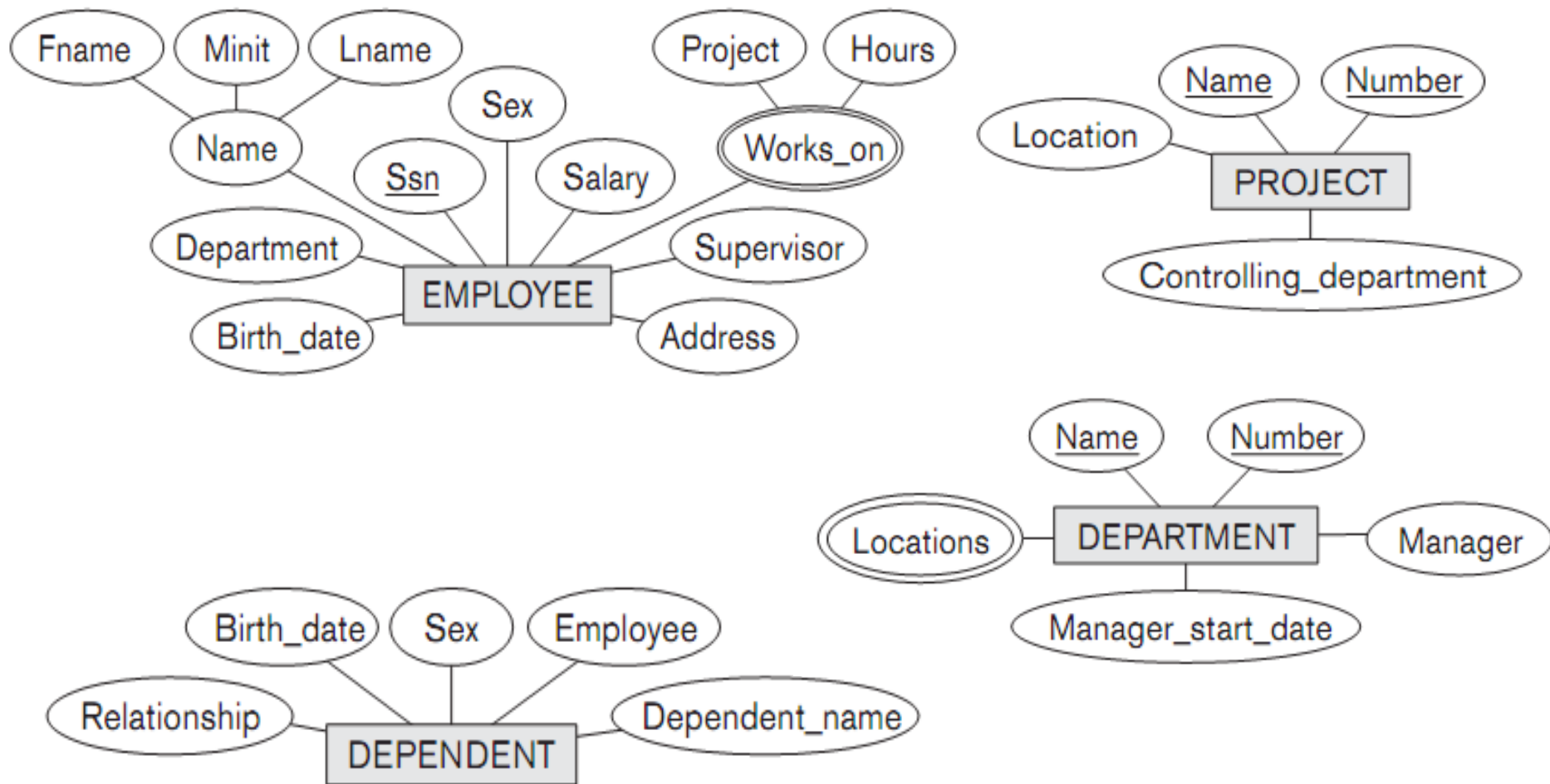
- ▶ The company is organized into **DEPARTMENTS**. Each department has *a unique name, a unique number*, and a particular *employee who manages* the department. We keep track of the *start date* when that employee began managing the department. A department may have *several locations*.
- ▶ A department controls a number of **PROJECTs**, each of which has *a unique name, a unique number*, and *a single location*.

# Identify Entity Types, Attributes

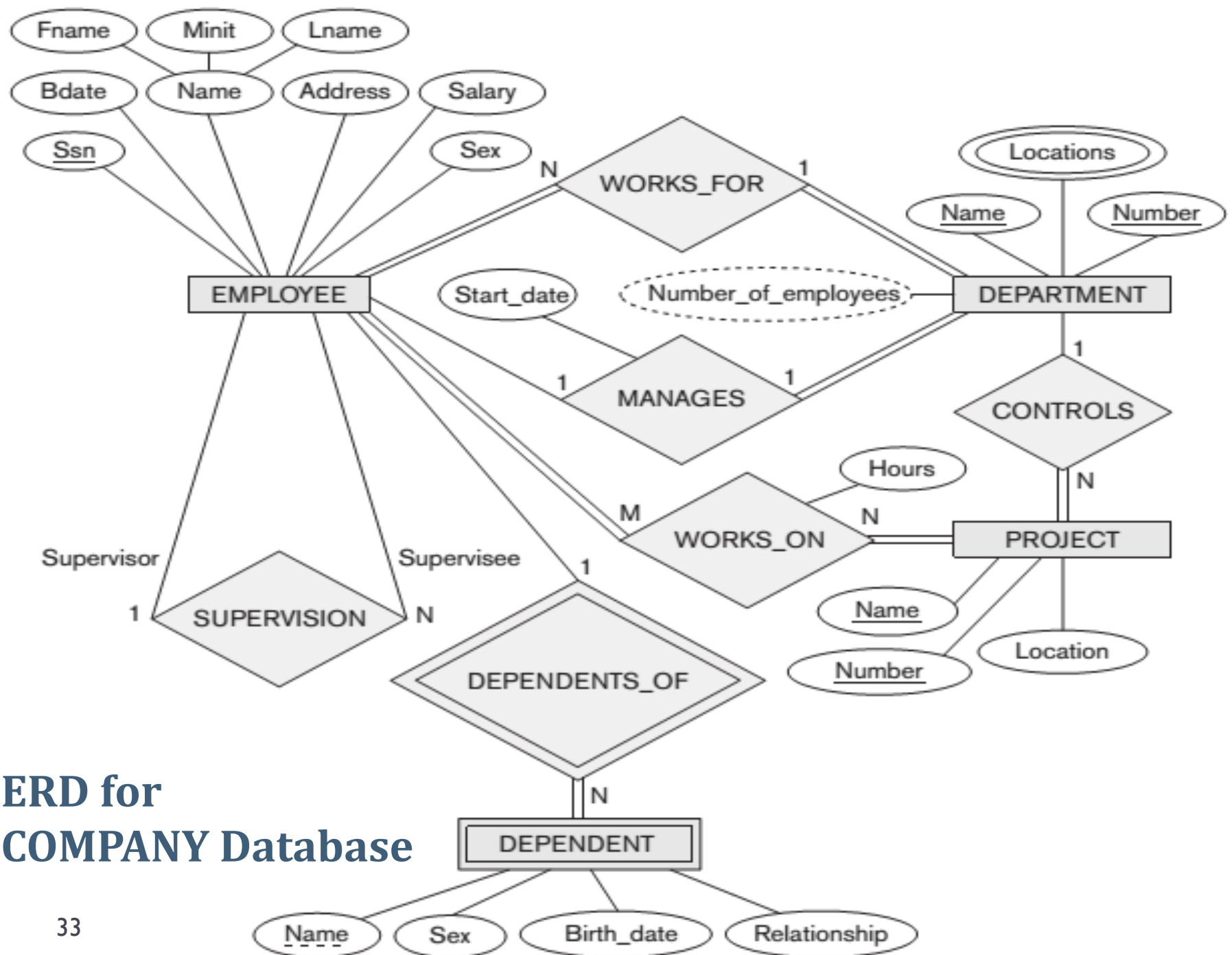
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- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned to one department*, but may *work on several projects*, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, *including first name*, *sex*, *birth date*, and *relationship* to the employee.

# Initial Conceptual Design of COMPANY Database









ERD for  
COMPANY Database

# Case study: Identify Entity Types and Attributes

GROUP A	GROUP B
<p>A system for course registration of HCMUT</p> 	<p>A system for a Library of a University</p> 

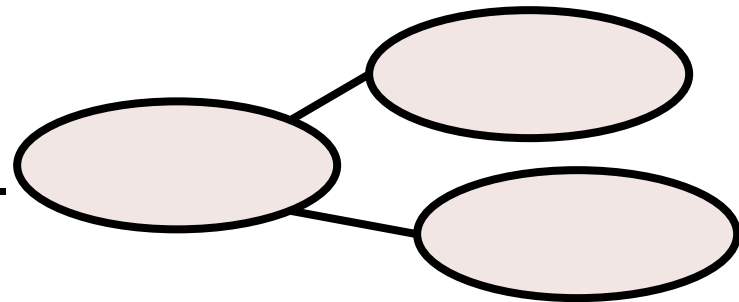
Entity type 

Simple att. 

Key 

Multi-valued attribute 

Composite att.



Derived attribute 

# Relationships and Relationship Types

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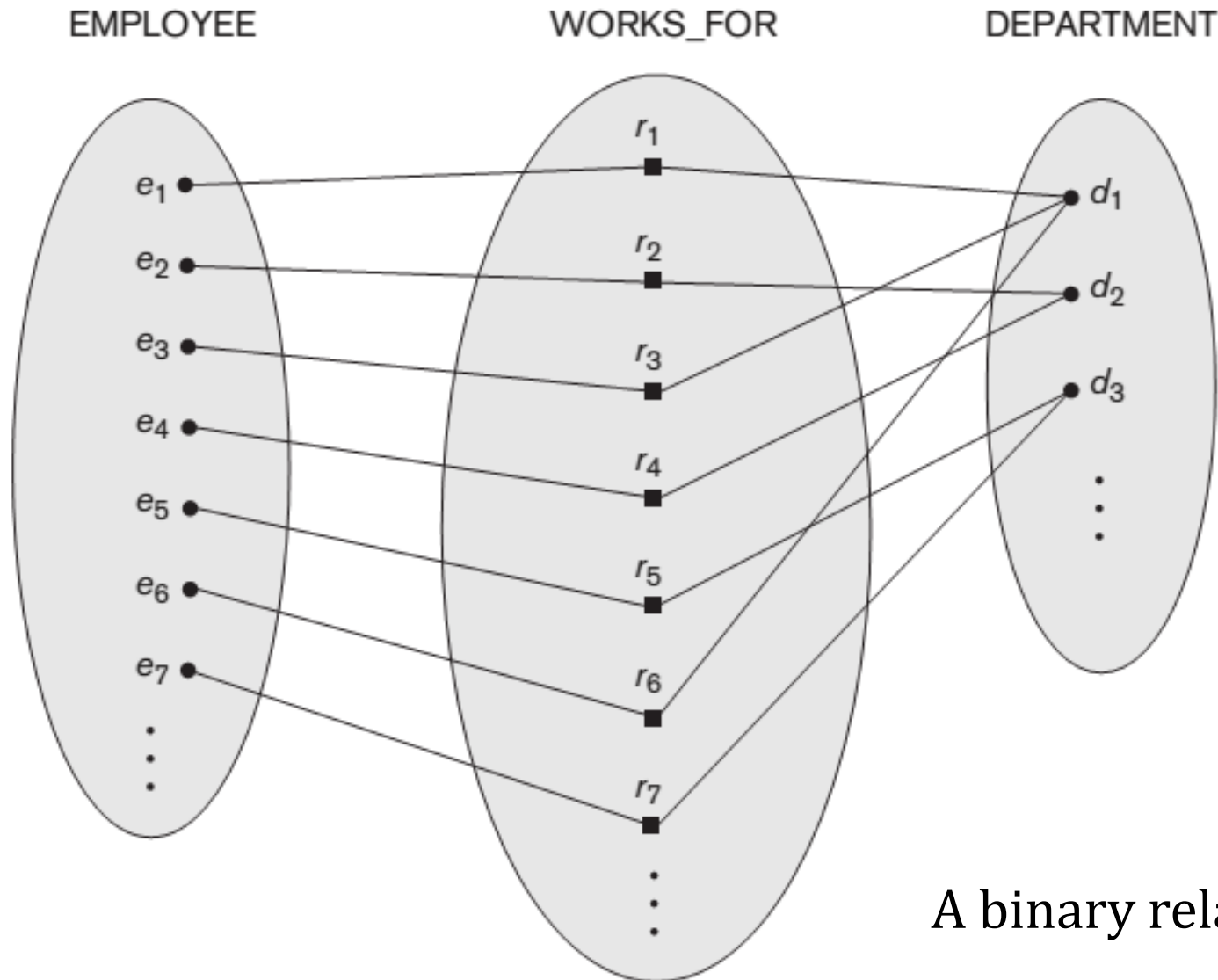
- ▶ **Relationship type  $R$**  among  $n$  entity types  $E_1, E_2, \dots, E_n$ 
  - ▶ Defines a set of associations among entities from these entity types
  - ▶ Ex: Relationship type WORKS\_FOR between EMPLOYEES and DEPARTMENTS
- ▶ **Relationship instances  $r_i$** 
  - ▶ Each  $r_i$  associates  $n$  individual entities  $(e_1, e_2, \dots, e_n)$ . Each entity  $e_j$  in  $r_i$  is a member of entity set  $E_j$
  - ▶ Ex: EMPLOYEE John Smith works on the PROJECT ProductX

# Relationships and Relationship Types

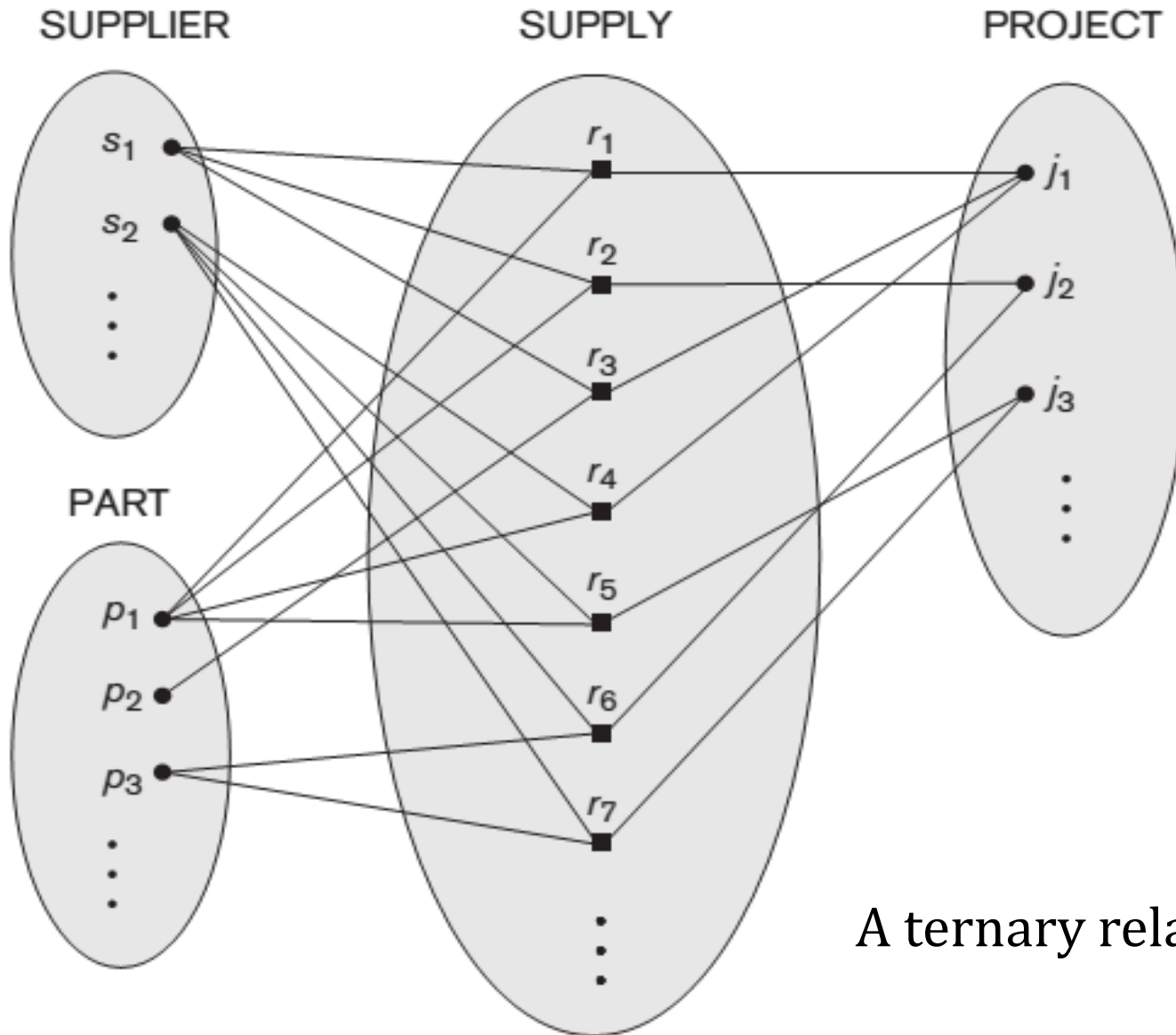
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- ▶ **Degree** of a relationship type
  - ▶ Number of participating entity types
  - ▶ Binary (degree 2), ternary (degree 3), and n-ary (degree n)
- ▶ More than one relationship type can exist with the same participating entity types.
  - ▶ EMPLOYEE – *Works-for* – DEPARTMENT
  - ▶ EMPLOYEE – *Manages* – DEPARTMENT

# Example relationship instances



# Example relationship instances



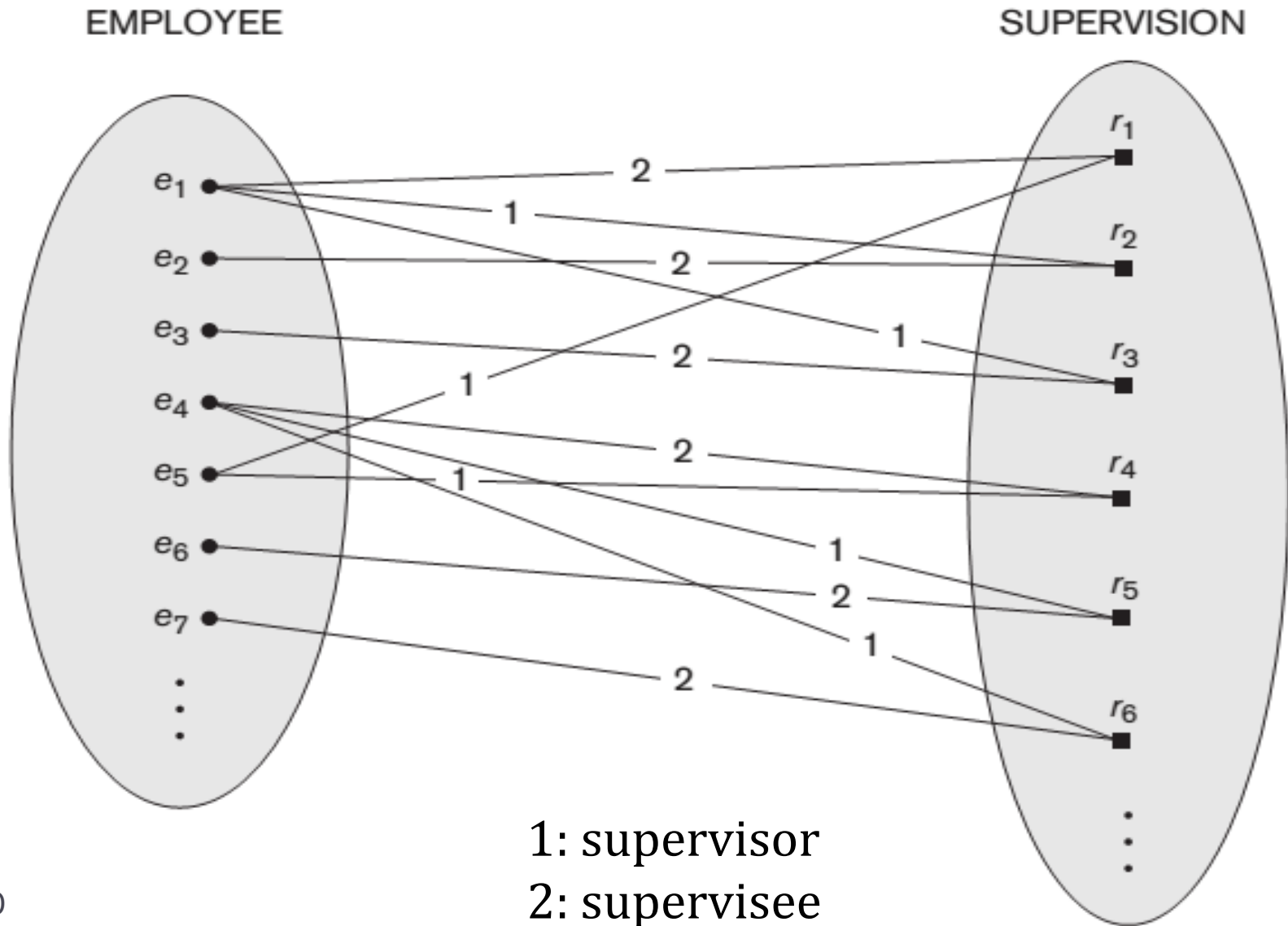
# Relationships and Relationship Types

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## ▶ **Recursive relationships**

- ▶ Same entity type participates more than once in a relationship type in different roles
- ▶ Must specify *role* that a participating entity plays in each relationship instance
- ▶ Ex: SUPERVISION relationships between EMPLOYEE (in role of supervisor or boss) and (another) EMPLOYEE (in role of subordinate or worker)

# A Recursive Relationship SUPERVISION



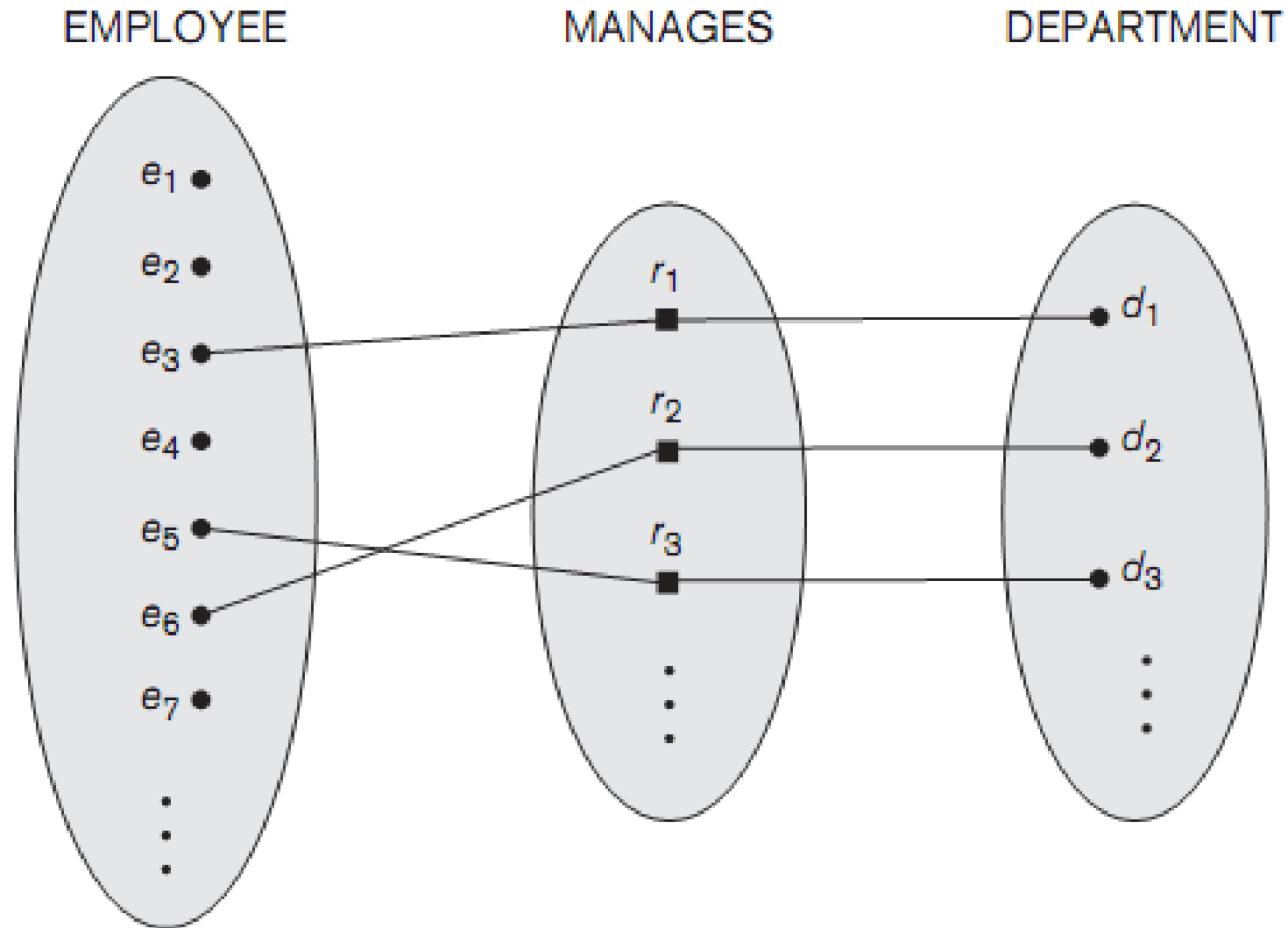


# Constraints on Binary Relationship Type

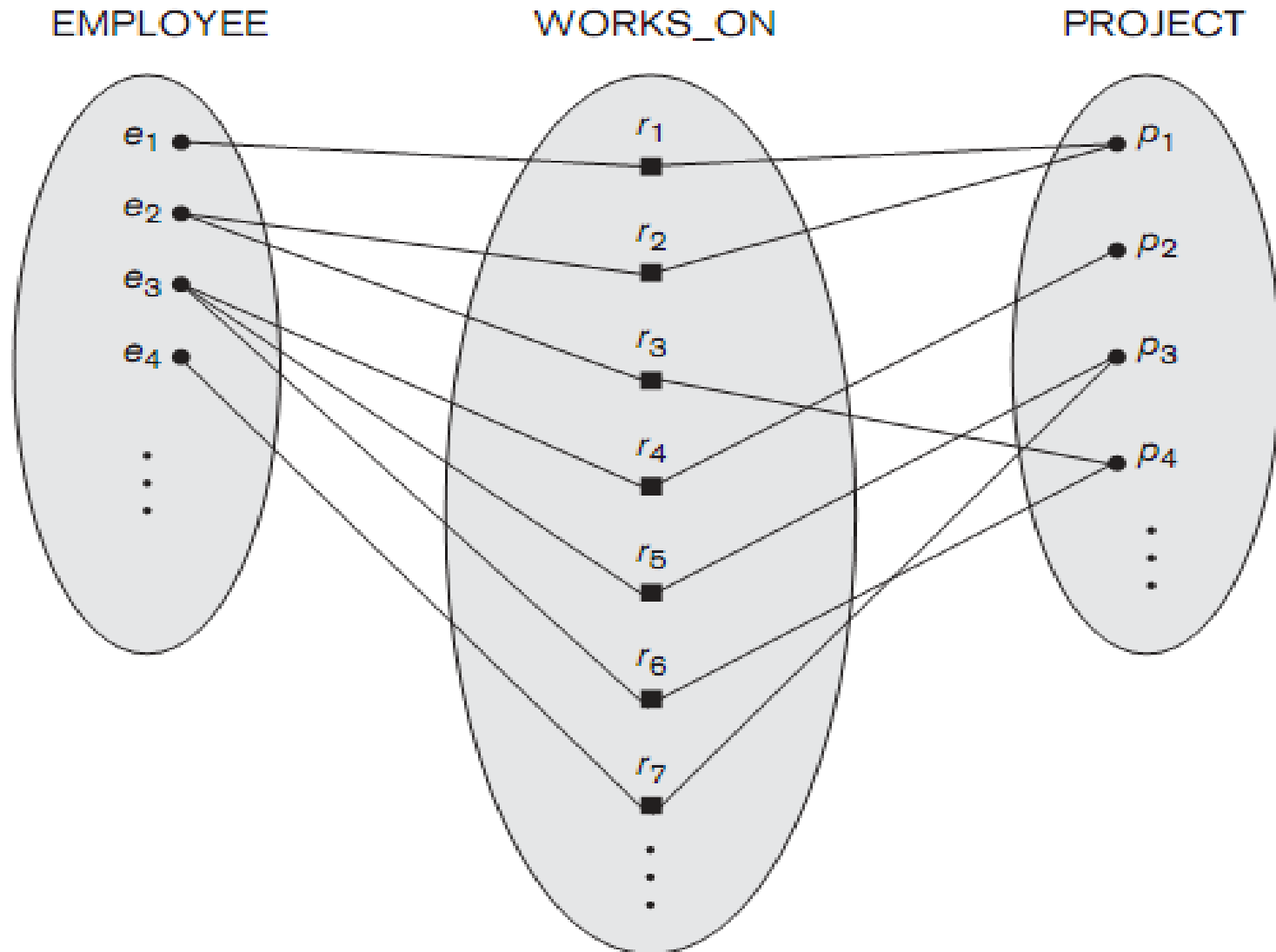
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- ▶ **Structural constraints:** one way to express semantics of relationship: *cardinality ratio* and *participation constraint*.
- ▶ *Cardinality ratio:* specifies maximum number of relationship instances that entity can participate in a binary relationship.
  - ▶ one-to-one (1:1)
  - ▶ one-to-many (1:M) or many-to-one (M:1)
  - ▶ many-to-many (M:N)

# One-to-one (1:1) RELATIONSHIP



# Many-to-many (M:N) RELATIONSHIP



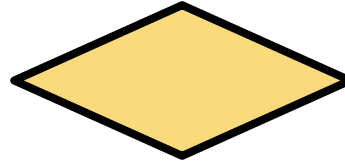
# Constraints on Binary Relationship Type

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- ▶ Participation constraint (or membership class): specifies whether existence of entity depends on its being related to another entity
  - ▶ *Mandatory* (total participation) - every instance of a participating entity type must participate in the relationship. (double line)
  - ▶ *Optional* (partial participation) - not every instance of a participating entity type must participate in the relationship. (single line)

# Notations of Relationship type

## ► Relationship type



Cardinality  
ratio

An EMPLOYEE works for **one** DEPARTMENT.  
A DEPARTMENT has **many** EMPLOYEEs.



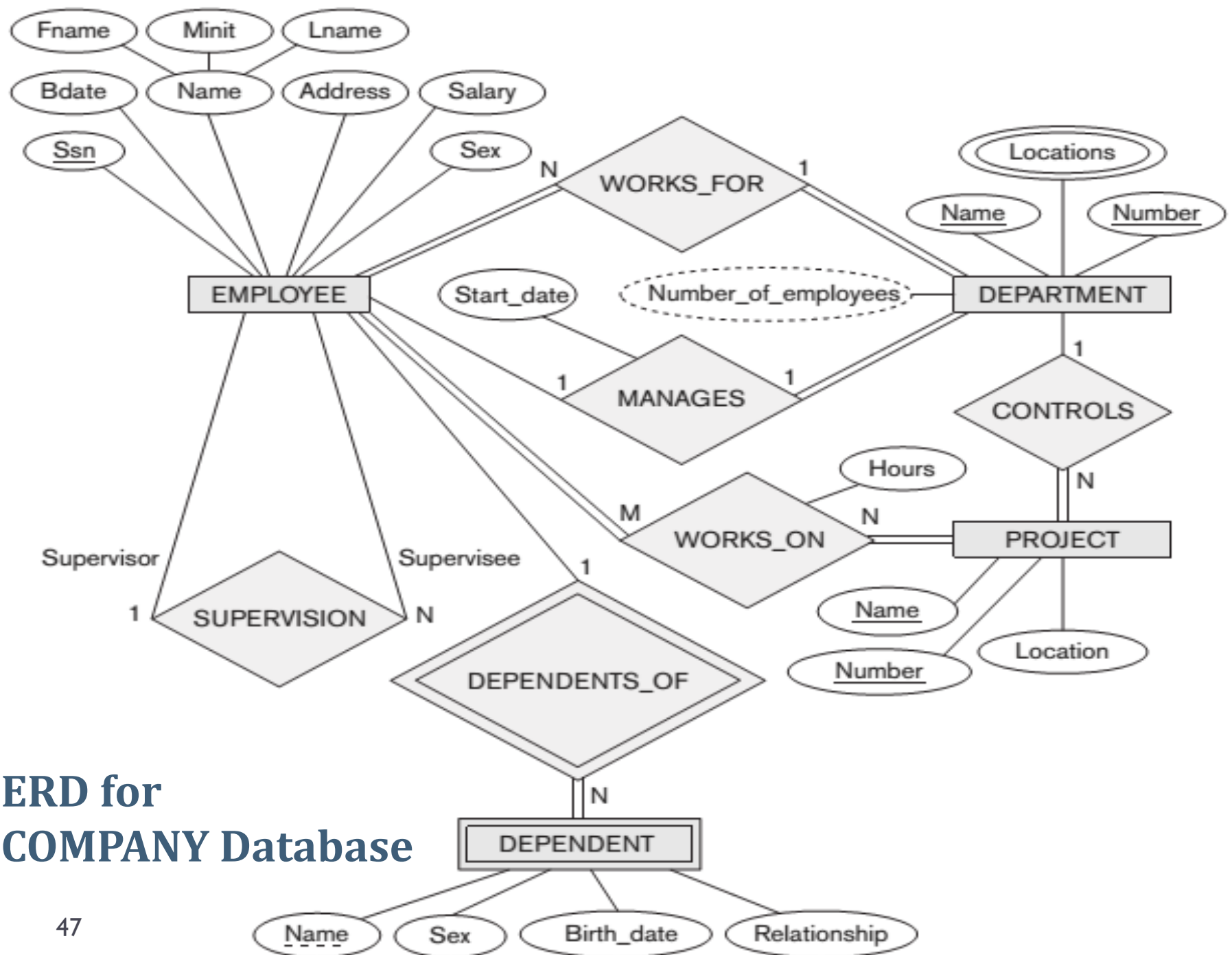
An EMPLOYEE **must** works for a DEPARTMENT.  
A DEPARTMENT **may** have **no** EMPLOYEE.

Participation  
constraint

# Attributes of Relationship Types

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- ▶ A relationship type can have attributes.
  - ▶ HoursPerWeek of WORKS\_ON
- ▶ 1:1 relationship type: relationship attributes can be migrated to any participating entity type.
- ▶ 1:N relationship type: relationship attributes can be migrated only to entity type on N-side of relationship.
- ▶ M:N relationship types: relationship attributes cannot be migrated to any entity type.



## ERD for COMPANY Database

# Weak Entity Types

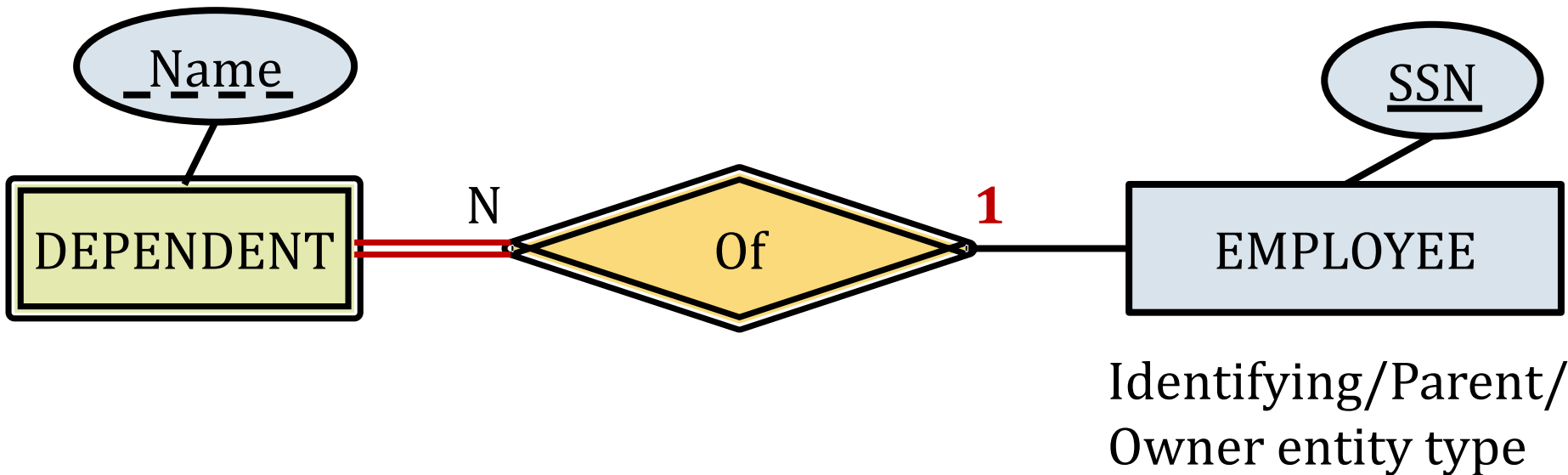
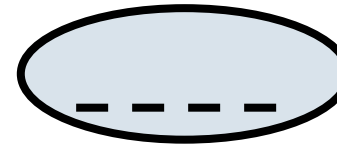
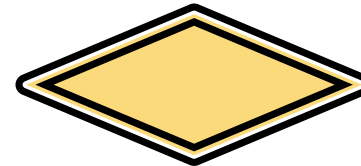
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- ▶ Do not have key attributes of their own
  - ▶ Identified by being related to specific entities from another entity type
- ▶ **Identifying relationship:** Relates a weak entity type to its owner
- ▶ Always has a total participation constraint
- ▶ Entities are identified by the combination of:
  - ▶ **A partial key** of the weak entity type
  - ▶ The particular entity they are related to in the identifying entity type



# Notations of Relationship type

- ▶ Weak entity type
- ▶ Identifying relationship type
- ▶ Partial key



# Identify Entity Types, Attributes, Relationships

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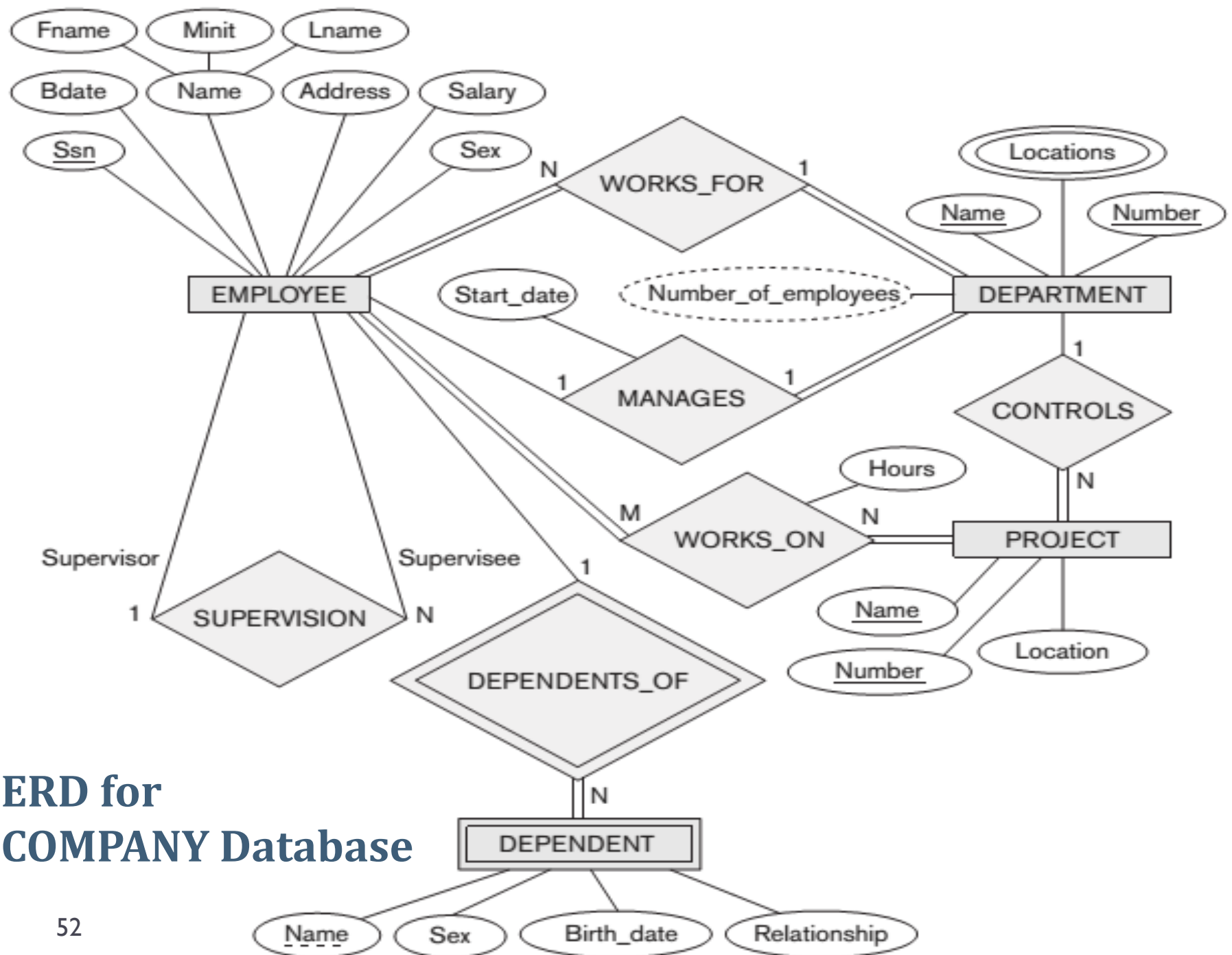
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- ▶ The company is organized into **DEPARTMENTS**. Each department has *a unique name, a unique number*, and a particular employee who *manages* the department. We keep track of the *start date* when that employee began managing the department. A department may have *several locations*.
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# Identify Entity Types, Attributes, Relationships

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- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned* to one department, but may *work on* several projects, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
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ERD for  
COMPANY Database

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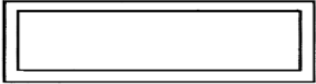
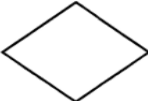
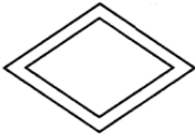




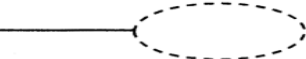


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# ER Diagram and Naming Conventions

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- ▶ An ER model can be expressed in the form of the ER diagram.
- ▶ Proper Naming of Schema Constructs:
  - ▶ Choose names that convey meanings attached to different constructs in schema
  - ▶ *Nouns* give rise to *entity type* names
  - ▶ *Verbs* indicate names of *relationship types*
  - ▶ Choose binary relationship names to make ER diagram readable from left to right and from top to bottom

# Summary of the Notation for ER Diagrams

Symbol	Meaning
	ENTITY
	WEAK ENTITY
	RELATIONSHIP
	IDENTIFYING RELATIONSHIP
	ATTRIBUTE
	KEY ATTRIBUTE
	MULTIVALUED ATTRIBUTE
	COMPOSITE ATTRIBUTE
	DERIVED ATTRIBUTE
	TOTAL PARTICIPATION OF $E_2$ IN $R$
	CARDINALITY RATIO 1: $N$ FOR $E_1:E_2$ IN $R$

# Draw Entity-Relationship Diagram

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## The COMPANY database:

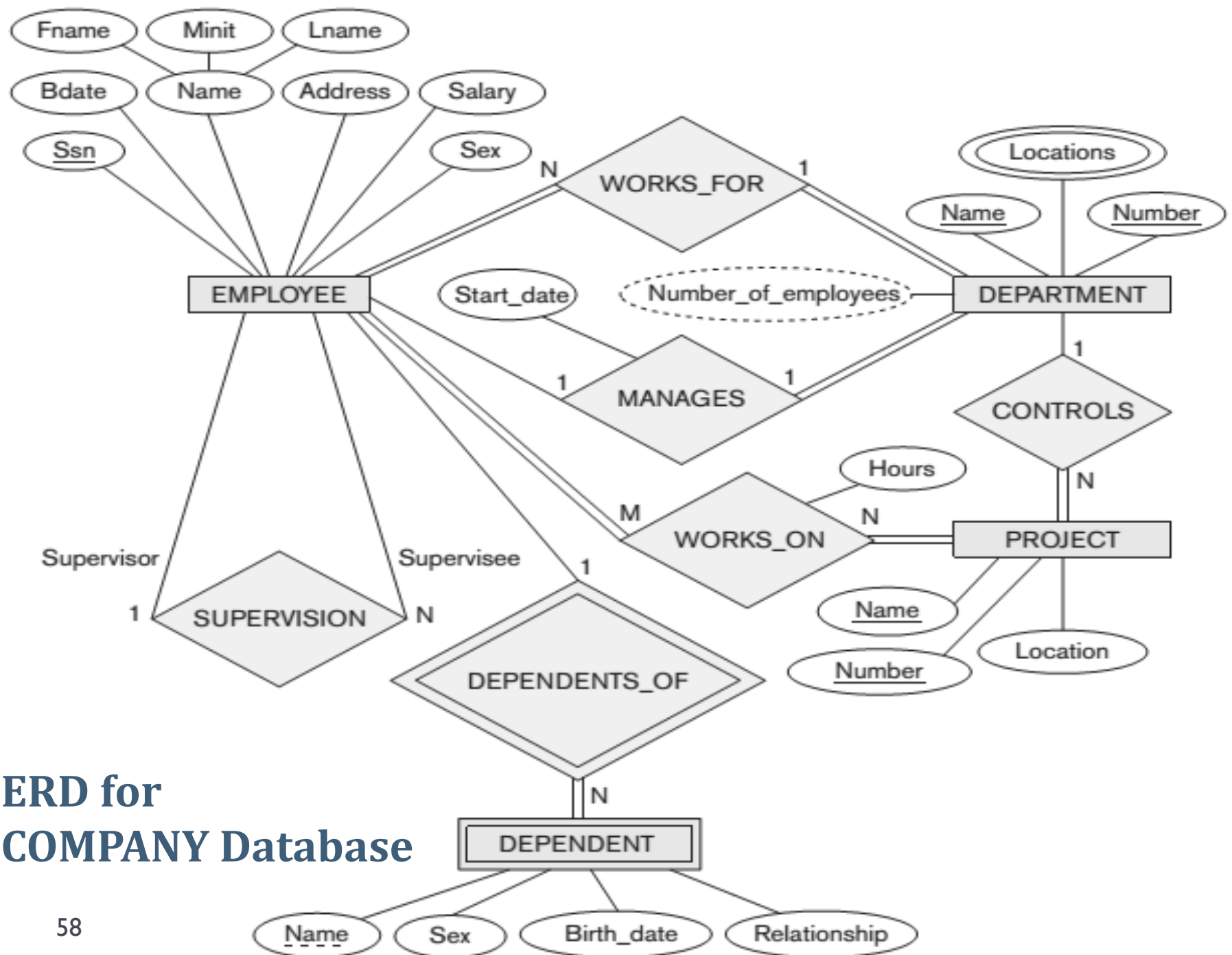
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# Draw Entity-Relationship Diagram

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- ▶ We store **EMPLOYEE**'s *name*, *Social Security number*, *address*, *salary*, *sex*, and *birth date*. An employee is *assigned* to one department, but may *work on* several projects, which are not necessarily controlled by the same department. We keep track of the current *number of hours per week* that an employee works on each project. We also keep track of the *direct supervisor* of each employee.
- ▶ We want to keep track of the **DEPENDENTS** of each employee, *including first name*, *sex*, *birth date*, and *relationship* to the employee.



ERD for  
COMPANY Database

# Case study: Draw ERD

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## GROUP A

A system for course registration of HCMUT



## GROUP B

A system for a Library of a University



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# Alternative Diagrammatic Notations

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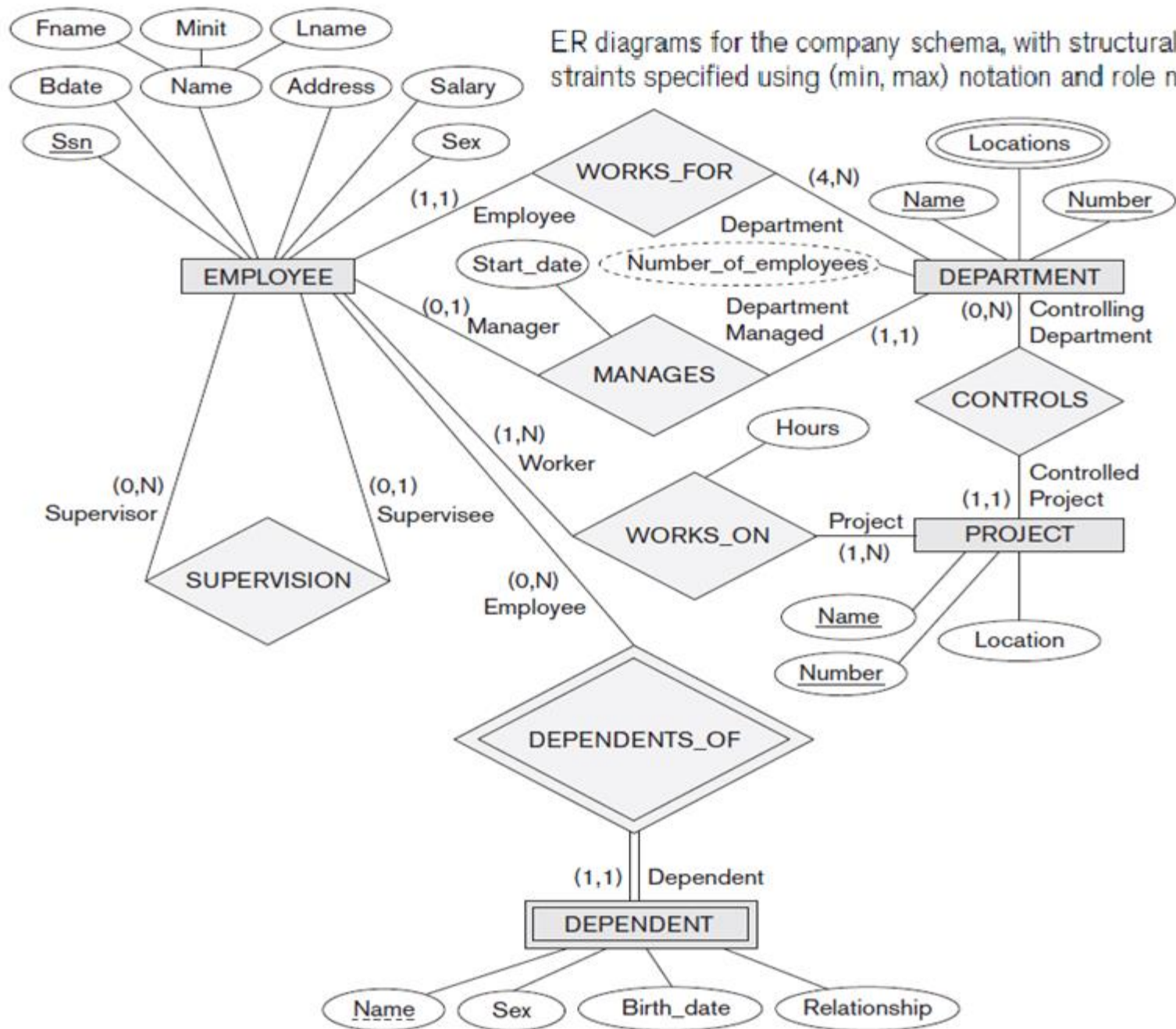
- ▶ **(Min-max) notation for relationships**
  - ▶ Specify structural constraints on relationships
  - ▶ Replaces cardinality ratio (1:1, 1:N, M:N) and single/double line notation for participation constraints
  - ▶ Associate a pair of integer numbers (min, max) with each participation of an entity type E in a relationship type R, where  $0 \leq \min \leq \max$  and  $\max \geq 1$

## (min, max) notation

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ER diagrams for the company schema, with structural constraints specified using (min, max) notation and role names.



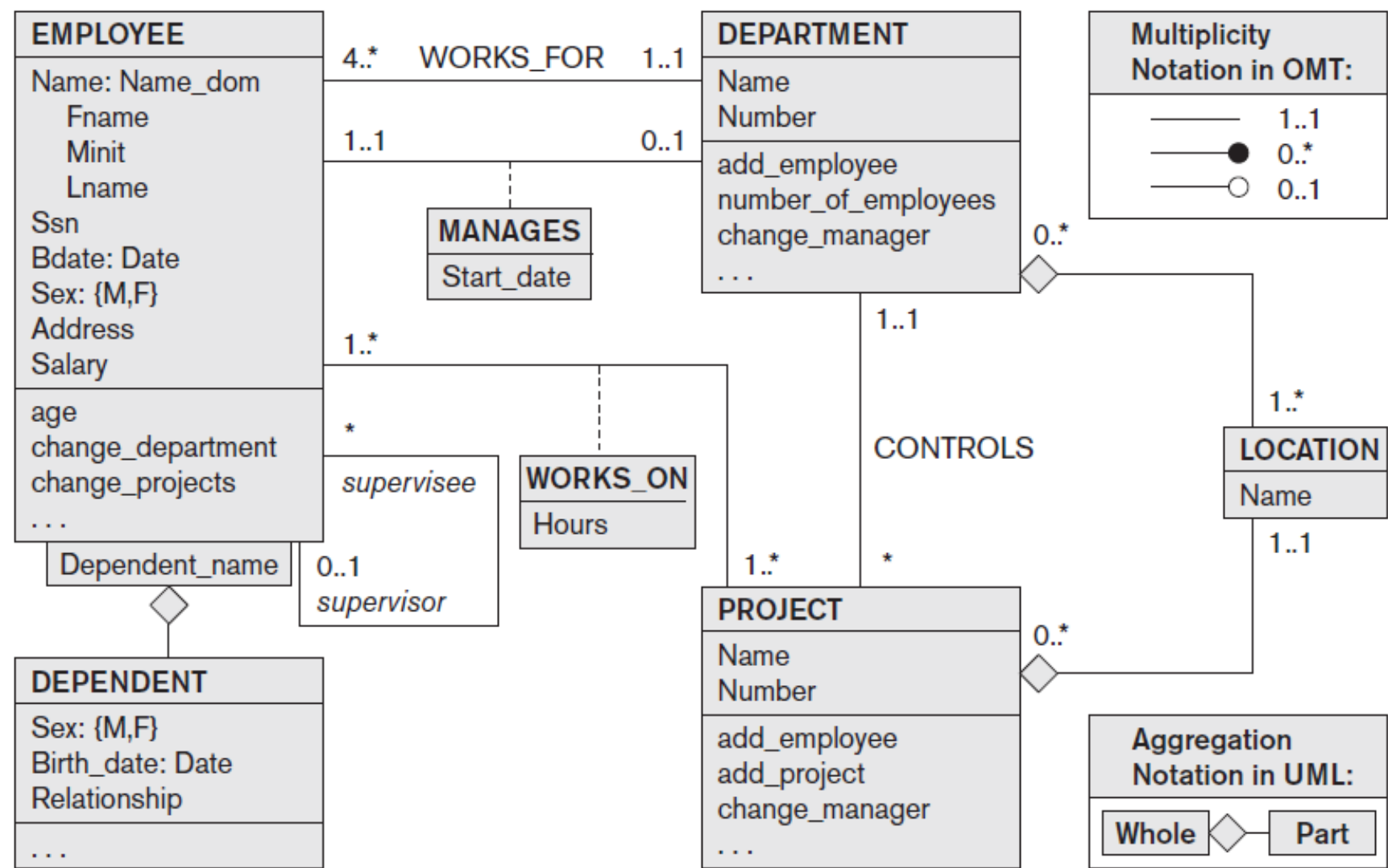
# Alternative Diagrammatic Notations

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- ▶ UML methodology
  - ▶ Used extensively in software design
  - ▶ Many types of diagrams for various software design purposes
- ▶ **UML class diagrams**
  - ▶ Entity in ER corresponds to an object in UML



The COMPANY conceptual schema  
in UML class diagram notation.



# Alternative Diagrammatic Notations

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- ▶ **UML class diagrams**

- ▶ **Class** includes three sections:

- ▶ Top section gives the class name
    - ▶ Middle section includes the attributes;
    - ▶ Last section includes operations that can be applied to individual objects

- ▶ **Associations:** relationship types

- ▶ **Relationship instances:** links

# Alternative Diagrammatic Notations

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- ▶ **UML class diagrams**

- ▶ Binary association

- ▶ Represented as a line connecting participating classes
    - ▶ May optionally have a name

- ▶ Link attribute

- ▶ Placed in a box connected to the association's line by a dashed line

# Alternative Diagrammatic Notations

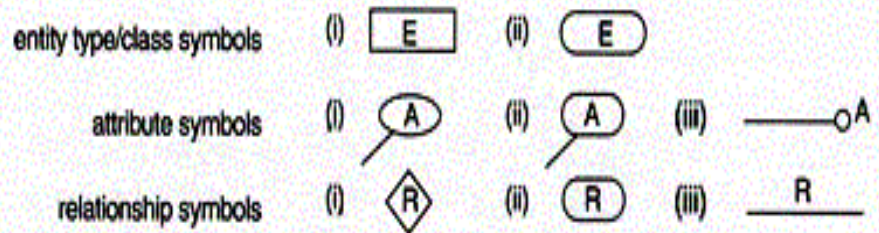
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## ▶ UML class diagrams

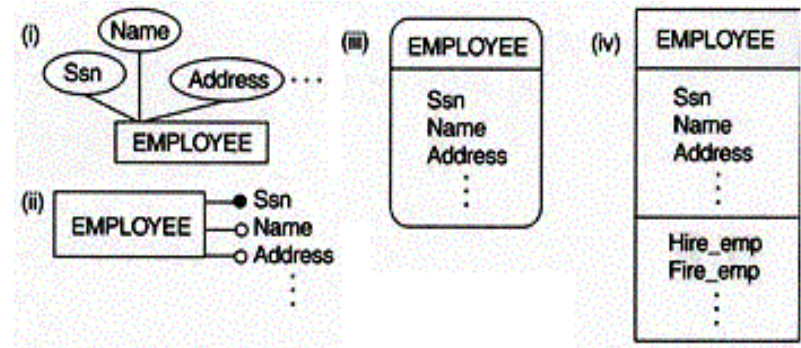
- ▶ **Multiplicities:** min..max, asterisk (\*) indicates no maximum limit on participation
- ▶ Types of relationships: **association** and **aggregation**
- ▶ Distinguish between **unidirectional** and **bidirectional** associations
- ▶ Model weak entities using **qualified association**

# Alternative Diagrammatic Notations

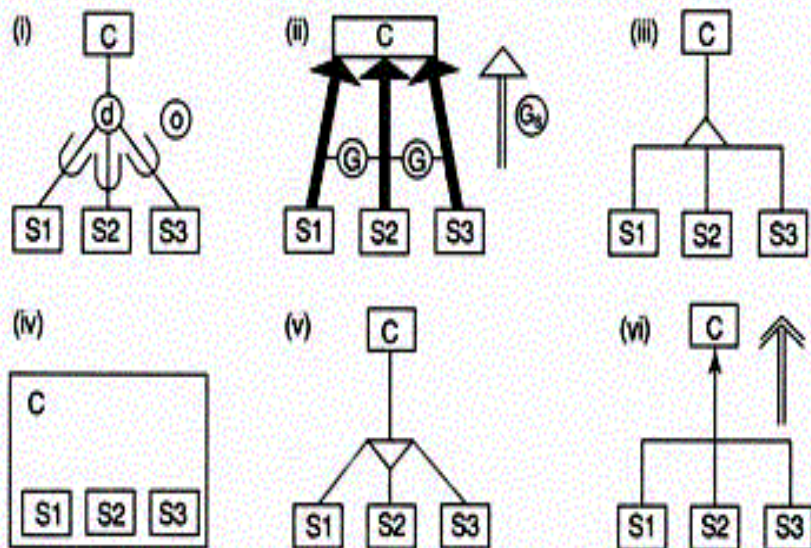
Symbols for entity type / class,  
attribute and relationship



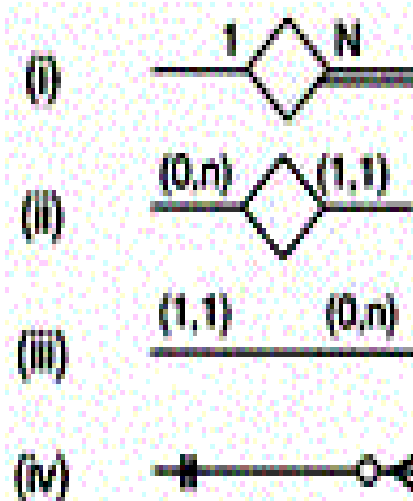
Displaying attributes



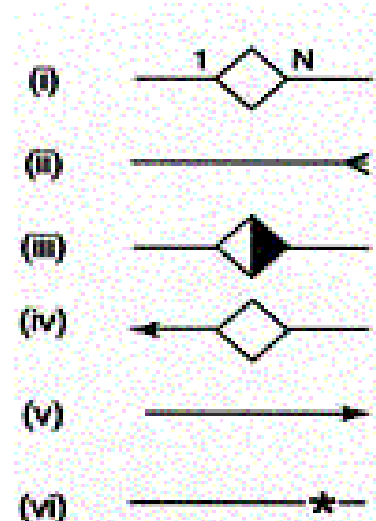
Notations for displaying  
specialization / generalization



Various (min, max)  
notations



Displaying  
cardinality ratios



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  - 6 Alternative Diagrammatic Notations
  - 7 Problems with ER Models**
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# Problems with ER Models

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- ▶ Semantic constraints
- ▶ Connection traps

# Semantic constraints

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- ▶ Constraints that cannot be directly expressed in the ER diagram
- ▶ Must be expressed and enforced by the trigger mechanism, or application programs or in some other ways.
- ▶ Examples:
  - ▶ The age of an employee must be greater than 18 years old
  - ▶ The salary of a department manager must be higher than the other employees works for that department.
  - ▶ When increasing salary of employee, the increasing amount must not more than 20% of current salary.



# Connection traps

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- ▶ Often due to a misinterpretation of the meaning of certain relationships
- ▶ Two main types of connection traps are called **fan traps** and **chasm traps**

# Connection traps

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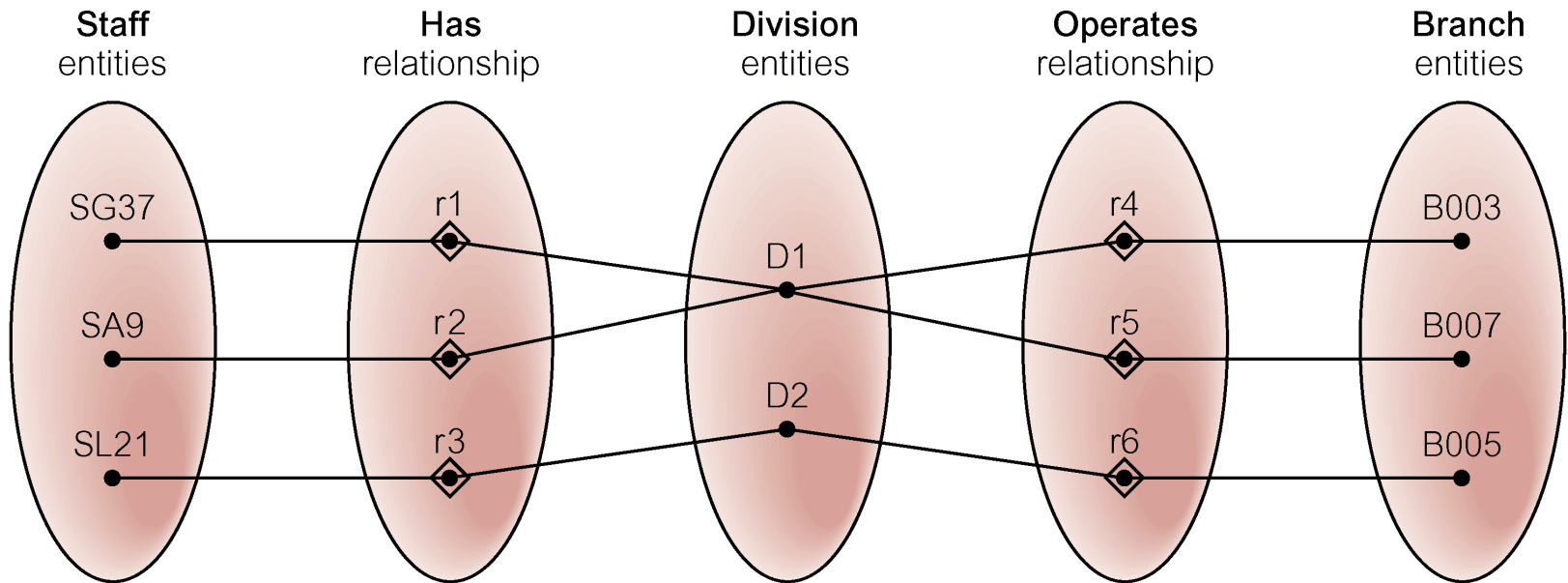
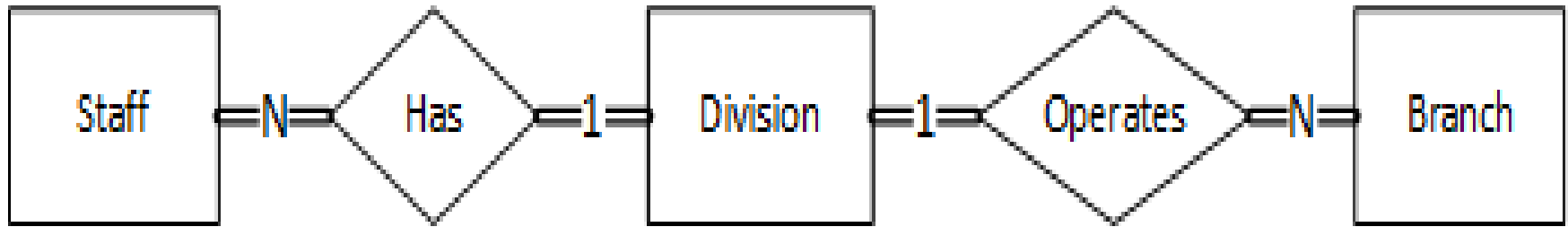
## ▶ **Fan Trap**

- ▶ Where a model represents a relationship between entity types, but pathway between certain entity occurrences is ambiguous
- ▶ Usually: two or more 1:N relationships fan out from the same entity

## ▶ **Chasm Trap**

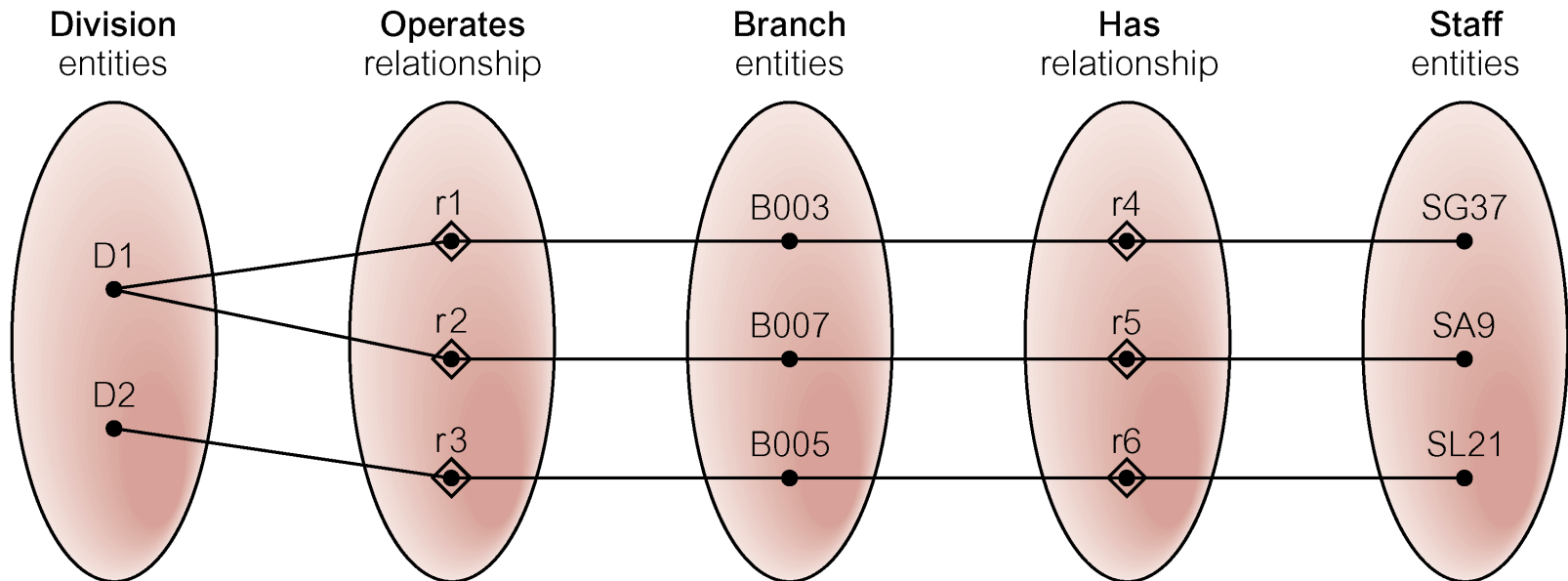
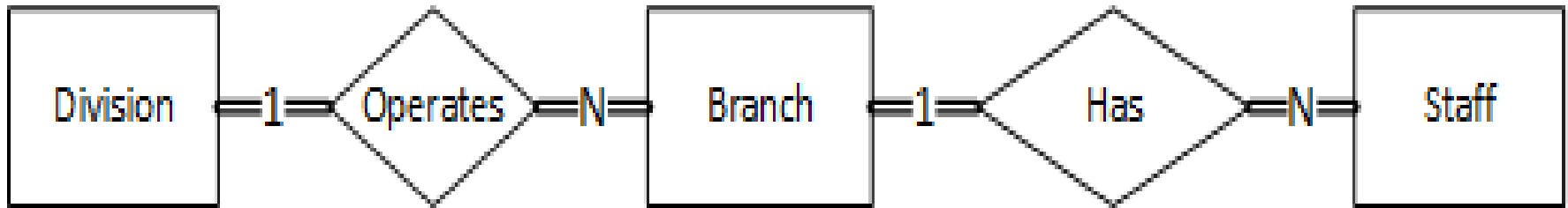
- ▶ Where a model suggests the existence of a relationship between entity types, but pathway does not exist between certain entity occurrences
- ▶ Usually: optional participation

# An Example of a Fan Trap



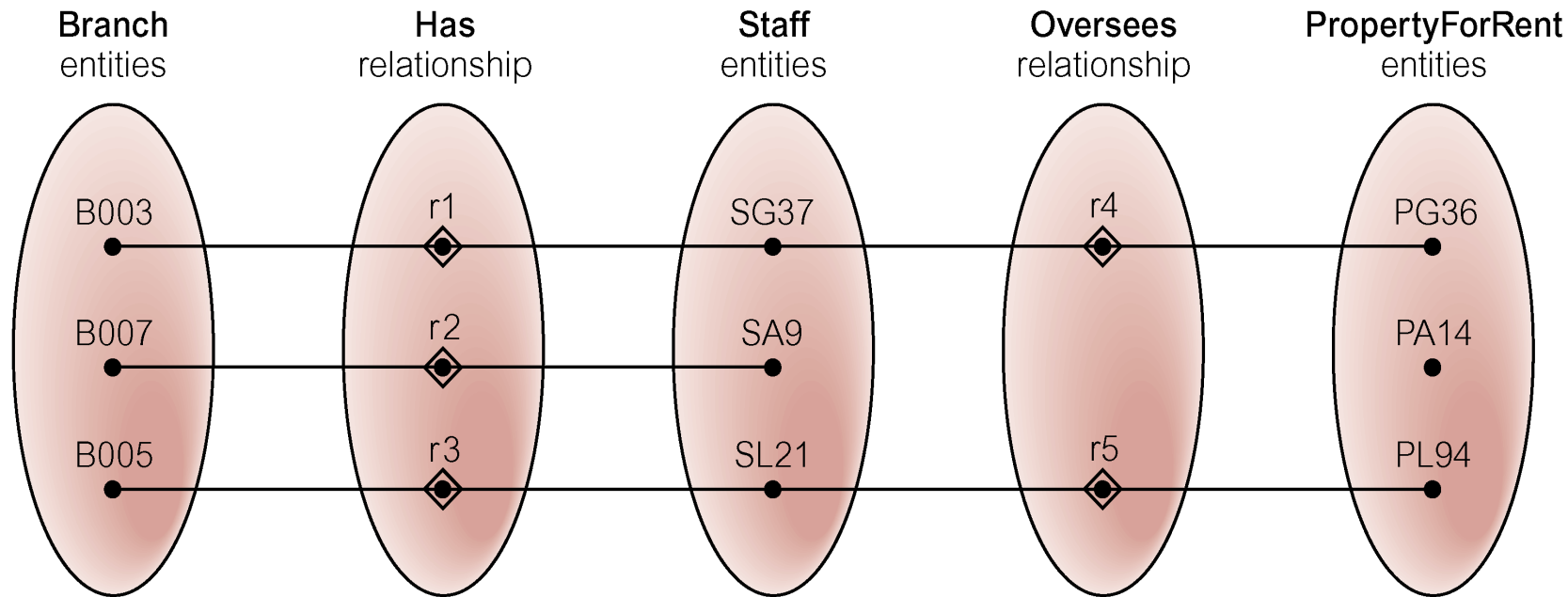
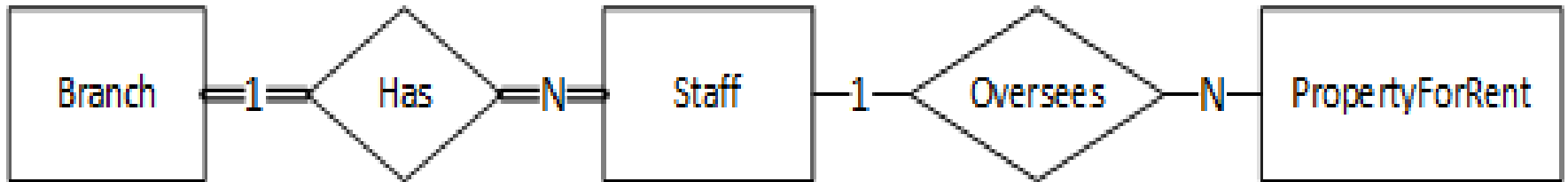
**At which branch office does staff number SG37 work?**

# Restructuring ER model to remove Fan Trap



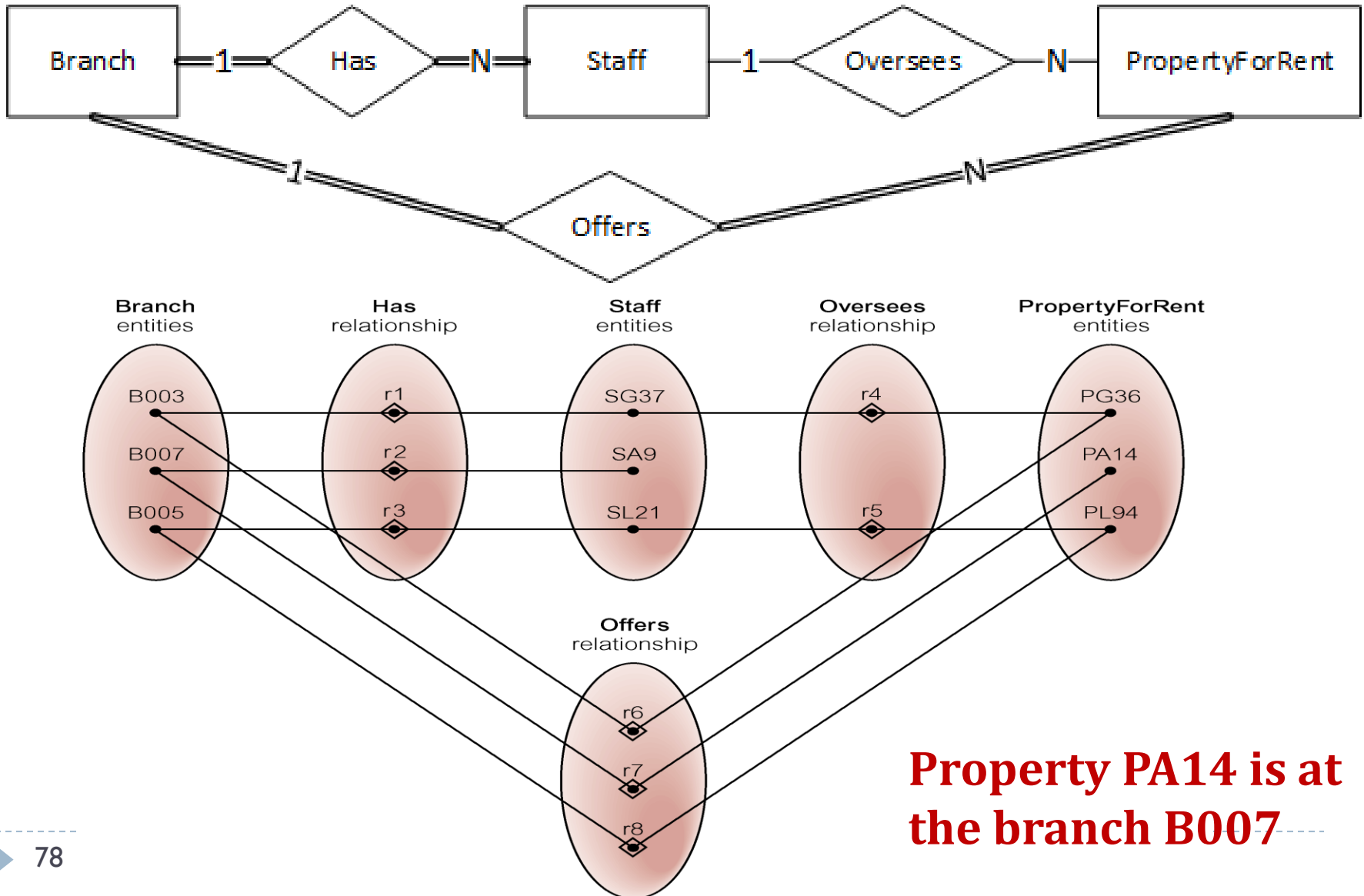
**SG37 works at branch B003**

# An Example of a Chasm Trap



**At which branch office is property PA14 available?**

# ER Model restructured to remove Chasm Trap



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# Exercise 1: University Database

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The university database maintains records of its departments, lecturers, course modules, and students. The university consists of departments. Each department has a unique name and some other descriptive attributes. A department must also have a number of lecturers, one of which is the head of department. All lecturers have different names (we assume so anyway). They must teach one or more modules. A lecturer can only belong to one department. Modules are offered by departments. A module is taught by one lecturer. They must also be attended by some students. Each module has a unique module number. Students must enrol for a number of modules. Each student is given a unique student number

## Exercise 2: Small LIB Database

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You are to design a database for a small library. The database needs to store data about various branches and about books the library holds. Each branch has an id (unique), name (unique) and an address. For each book, the database should record the book id (unique), title, publisher and the year of publication. A book may have several authors, and each author is represented by his/her name. A book typically has several copies. Each copy of a book is given a copy number. The availability of a book should be known, as well as the total number of copies