Chapter 2: Process Management

2.1 Processes



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Objectives

- Identify the separate components of a process and illustrate how they are represented and scheduled in an operating system.
- Describe how processes are created and terminated in an operating system, including developing programs using the appropriate system calls that perform these operations. mo ta tien trinh duoc tao ra va ket thuc nhu the nao
- Describe and contrast interprocess communication using shared memory and message passing.
- Design programs that uses pipes and POSIX shared memory to perform interprocess communication.
- Describe client-server communication using sockets and remote procedure calls.
- Design kernel modules that interact with the Linux operating system.



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Outlines

- Process Concept
- Process Scheduling
- Operations on Processes
- Interprocess Communication
- IPC in Shared-Memory Systems
- IPC in Message-Passing Systems
- Examples of IPC Systems
- Communication in Client-Server Systems



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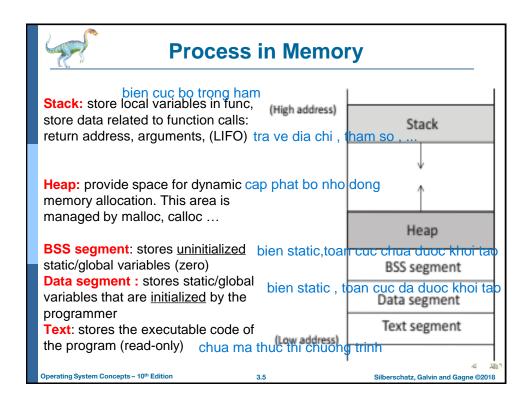
Process Concept

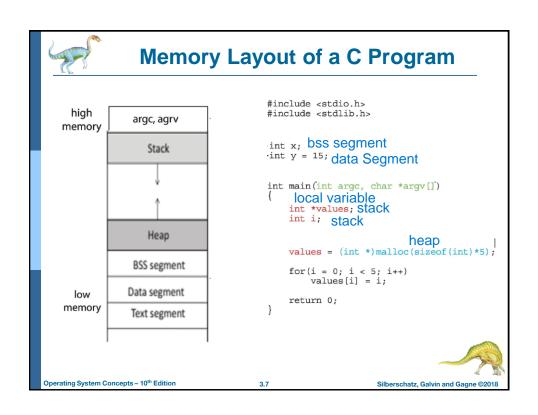
- An OS executes a variety of programs that run as a process.
- Process
 - a program in execution must progress in sequential fashion.
 - No parallel execution of instructions of a single process
- One program can be several processes
 - Consider multiple users executing the same program
 - 4 Compiler, Text editor
- The memory layout of a process is typically divided into multiple parts
 - The program code, also called text section
 - Current activity including program counter, processor registers
 - Stack containing temporary data
 - 4 Function parameters,
 - 4 return addresses,
 - 4 local variables
 - Data section containing global variables
 - Heap containing memory dynamically allocated during run time



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Process

- A process includes:
 - Text chua phan code chuong trinh
 - Data
 - Heap bo dem chuong trinh (thanh ghi) quan I bo
 Stack nho cua thuong trinh tiep theo se thuc hien
 - PC Program counter: a register that manages the memory address of the instruction to be executed next
 - PSW Program status word: a register that performs the function of a status register and program counter thanh ghi (trang thai) luu gia tri
 - SP Stack poiter
 - Registers nhung thanh ghi
- Four principal events cause processes to be created:
 - System initialization.
 - Execution of a process-creation system call by a running process.
 - A user request to create a new process. yeu cau ng dung tao tien trinh moi
 - Initiation of a batch job. khoi tao cong viec theo dang low



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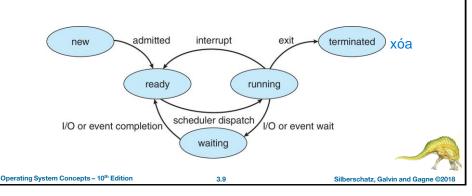
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Process State

- As a process executes, it changes state
 - New: The process is being created
 - · Running: Instructions are being executed
 - Waiting: The process is waiting for some event to occur
 - Ready: The process is waiting to be assigned to a processor da chuan bi de thuc thi chi
 - Terminated: The process has finished execution

can he thong goi





Process Control Block (PCB) khoi dieu khien process

- PCB: a data structure used by computer OS to store all the information luu tru thong tin ve tien about a process.
- Each process is represented in OS by PCB, also called task control block
- It contains many pieces of information associated with a specific process:

Process state - running, waiting, etc.

Program counter – location of instruction to next execute vi tri cua lenh tiep

- cpu registers contents of all process-centric registers
- CPU scheduling information- priorities, scheduling queue pointers
- Memory-management information memory allocated to the process
- Accounting information CPU used, clock time elapsed since start, time limits
- I/O status information I/O devices allocated to process, list of open files

trang thai cua tien trinh process state process number program counter registers gioi han ve bo nho memory limits list of open files



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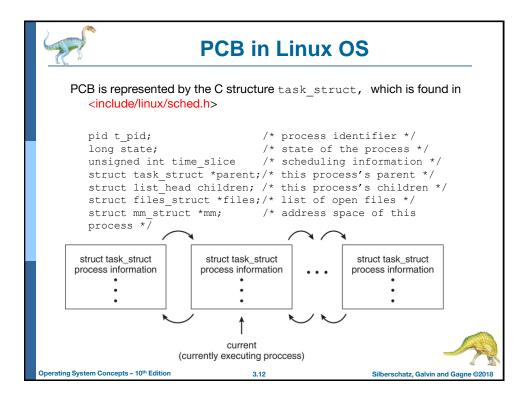


Threads

- ngay truoc tien trinh thuc hien don luong So far, process has a single thread of execution
- Most modern OSs have extended the process concept to allow a process cac OS hien dai cho phep chay da luong to have multiple threads of execution
 - thus to perform more than one task at a time
 - Multiple threads can run in parallel
- The PCB is expanded to include information for each thread.
 - Must then have storage for thread details,
- Explore in detail later next chapter



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Process Scheduling

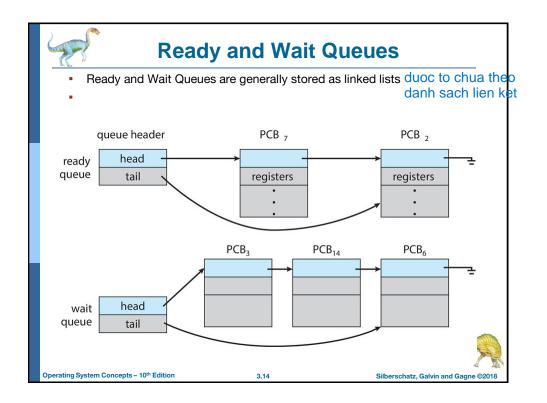
- Goals of:
 - Multiprogramming: some process running at all times so as to maximize CPU utilization
 - Time sharing: switch a CPU core among processes so frequently that users can interact with each program while it is running

lap lich tien trinh

- => Process scheduler selects among available processes for next execution on CPU core
- The number of processes currently in memory is known as the degree of multiprogramming
- Maintains scheduling queues of processes quan li hai hang doi
 - Ready queue set of all processes residing in main memory,
 ready and waiting to execute nhung tien trinh chi can dc cap cpu la dc thuc thi lien
 - Wait queues set of processes waiting for an event (i.e., I/O)
 - Processes migrate among the various queues tien trinh cho mot cai gi do moi dc thuc thi

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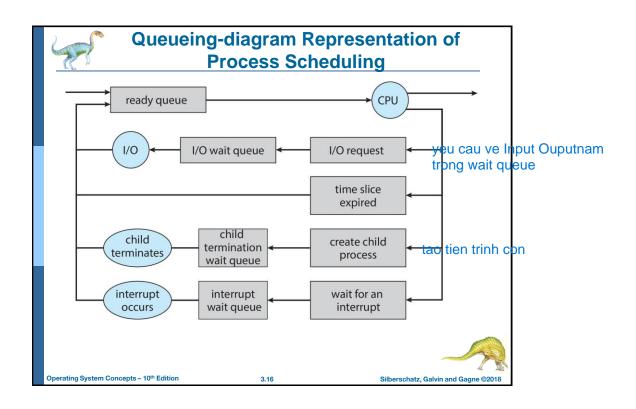
CPU Scheduling

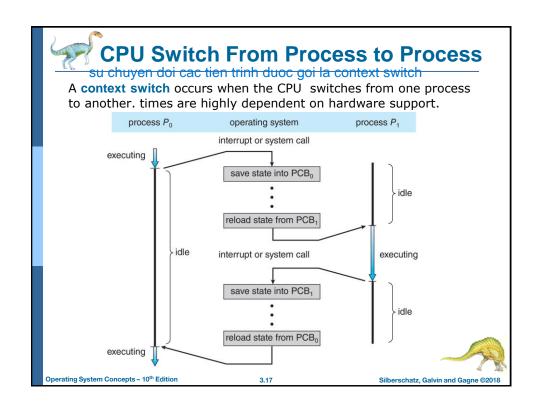
- A process migrates among the ready queue and various wait queues throughout its lifetime.
- CPU scheduler:
 - select from among the processes that are in the ready queue and allocate a CPU core to one of them
 - select a new process for the CPU frequently.
- Queueing-diagram Representation of Process Scheduling: Once the process is allocated the CPU and is executing, one of several events could occur:
 - The process could issue an I/O request and then be placed in an I/O queue.
 - The process could create a new child process and wait for the child's termination.
 - The process could be removed forcibly from the CPU, as a result of an interrupt, and be put back in the ready queue.



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Context Switching

- When CPU switches to another process, the system must save the state of the old process and load the saved state for the new process via a context switch
- Context of a process represented in the PCB
- Context-switch time is pure overhead; the system does no useful work while switching
 - The more complex the OS and the PCB, the longer the context switch
- Time dependent on hardware support
 - Some hardware provides multiple sets of registers per CPU, multiple contexts loaded at once



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Multitasking in Mobile Systems

- Some mobile systems (e.g., early version of iOS) allow only one process to run, others suspended
- Due to screen real estate, user interface limits iOS provides for a
 - Single foreground process- controlled via user interface tien trinh mat truoc
 - Multiple background processes—in memory, running, but not on the display, and with limits
 - Limits include single, short task, receiving notification of events, specific long-running tasks like audio playback
- Android runs foreground and background, with fewer limits
 - Background process uses a service to perform tasks
 - Service can keep running even if background process is suspended
 - · Service has no user interface, small memory use



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Operations on Processes

- System must provide mechanisms for he thong phai cung cap co che de
 - Process creation tao tien trinh
 - Process termination ngat tien trinh



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Process Creation

tien trinh con tao ra cac tien trinh con khac

child

de ho tro cho cac tien

trinh theo quan he parent

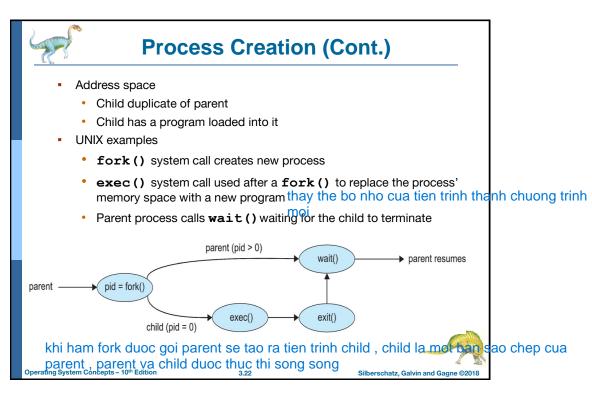
tien trinh cha tao ra cac tien trinh con tao thanh 1 cay

- Parent process create children processes, which, in turn create other processes, forming a tree of processes mot cay cac tien trinh
- Generally, process identified and managed via a process identifier (pid) dinh danh cac tien trinh
- Resource sharing options
 - · Parent and children share all resources
 - · Children share subset of parent's resources
 - · Parent and child share no resources
- Execution options
 - · Parent and children execute concurrently
 - Parent waits until children terminate

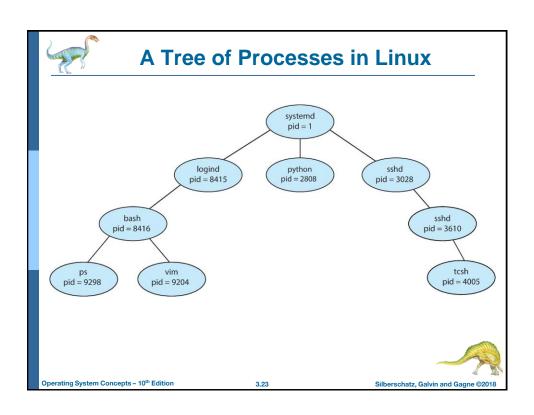


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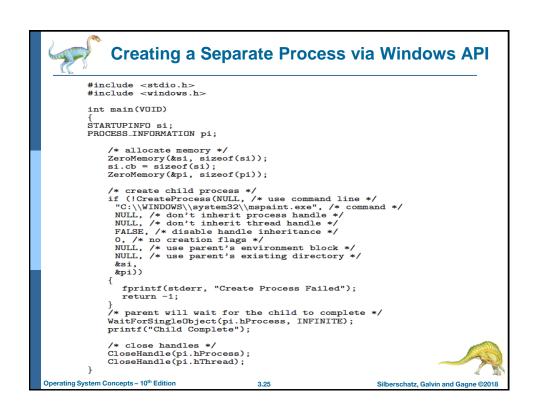
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so tien trinh = 2^n voi n la so ham fork() duoc goi



C Program Forking Separate Process #include <sys/types.h> #include <stdio.h> #include <unistd.h> int main() pid_t pid; /* fork a child process */ pid = fork(); if (pid < 0) $\{$ /* error occurred */ fprintf(stderr, "Fork Failed"); return 1: else if (pid == 0) { /* child process */ execlp("/bin/ls","ls",NULL); else { /* parent process */ /* parent will wait for the child to complete */ wait(NULL); printf("Child Complete"); return 0: Operating System Concepts - 10th Edition Silberschatz, Galvin and Gagne ©2018





Process Termination

- Process executes last statement and then asks the operating system to delete it using the exit() system call. yeu cau he dieu hanh xoa tien trinh
 - Returns status data from child to parent (via wait ()) tai nguyen cua cac tien trinh se
 - Process' resources are deallocated by operating system duoc thu hoi va cap phat cho
- Parent may terminate the execution of children processes using the abort () system call. Some reasons for doing so: tien trinh cha co the ket thuc tien trinh con
 - · Child has exceeded allocated resources
 - Task assigned to child is no longer required
 - The parent is exiting, and the operating systems does not allow a child to continue if its parent terminates



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Process Termination

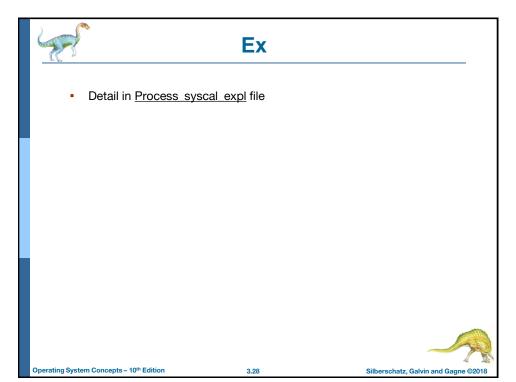
- Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
 - cascading termination. All children, grandchildren, etc., are terminated.
 - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the wait() system call. The call returns status information and the pid of the terminated process

- If no parent waiting (did not invoke wait ()) process is a zombie
- If parent terminated without invoking wait (), process is an orphan



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Android Process Importance Hierarchy

tat tien trinh

lay lai

Mobile operating systems often have to terminate processes to reclaim system resources such as memory. From **most** to **least** important:

- Foreground process
- Visible process
- · Service process
- Background process
- · Empty process
- Android will begin terminating processes that are least important.

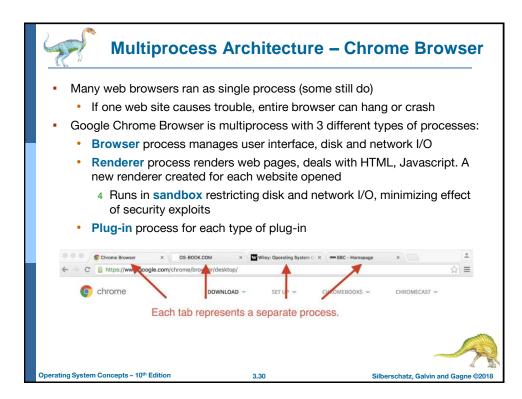
tat tien trinh

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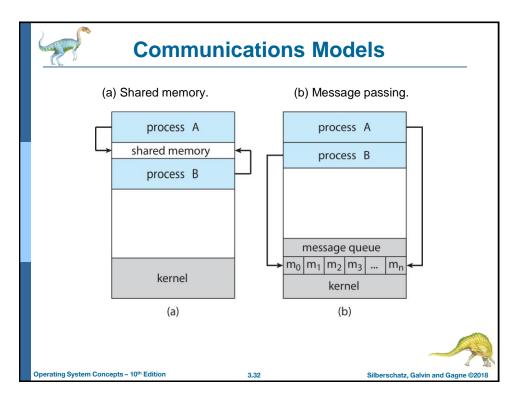


Interprocess Communication giao tiep giua cac tien trinh

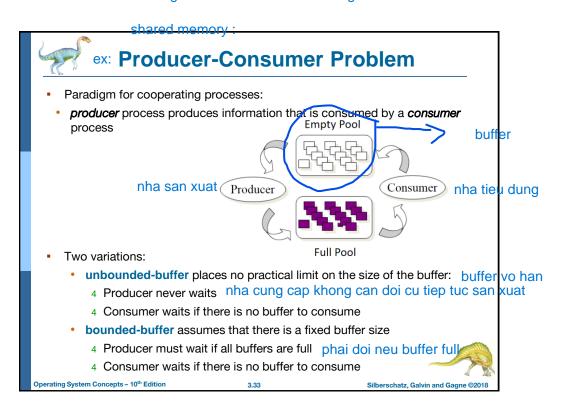
- Processes within a system may be independent or cooperating
- Cooperating process can affect or be affected by other processes, including sharing data
- Reasons for cooperating processes:
 - Information sharing
 - Computation speedup
 - Modularity
 - Convenience
- Cooperating processes need interprocess communication (IPC)
- Two models of IPC
 - Shared memory (under the control of users) duoi su guan li cua use
 - Message passing (under the control of OS) duoi su quan li cua he dieu hanh



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buffer: vung luu tru du lieu tam thoi trong RAM

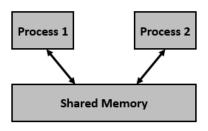


ki nang chinh la cung cap co che cho phep hanh dong tien trinh cua nguoi dung thuc thi dong bo khi no truy cap vao shared memory



Shared Memory Solution

- An area of memory shared among the processes that wish to communicate
- The communication is under the control of the <u>users processes</u> not the operating system.
- Major issues is to provide mechanism that will allow the user processes to synchronize their actions when they access shared memory.
- Synchronization is discussed in great details in Chapters 6 & 7. dong bo duoc thao luan o chap



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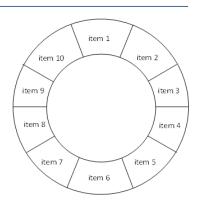


Bounded-Buffer – Shared-Memory Solution

Shared data

```
#define BUFFER_SIZE 10
typedef struct {
    . . .
} item;

item buffer[BUFFER_SIZE];
int in = 0;
int out = 0;
```



Solution presented in next slides is correct, but can only use
 BUFFER SIZE-1 items; that is: 9 items



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Producer

Producer/ Consumer Process – Shared Memory

```
item next_produced;
while (true) {
    /* produce an item in next produced */
    while (((in + 1) % BUFFER_SIZE) == out)
    ; /* do nothing */
    buffer[in] = next_produced;
    in = (in + 1) % BUFFER_SIZE;
}
```

Customer

```
while (true) {
    while (in == out)
    ; /* do nothing */
    next_consumed = buffer[out];
    out = (out + 1) % BUFFER_SIZE;
/* consume the item in next consumed */
}
```

item next consumed;



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What about Filling all the Buffers?

- Suppose that we wanted to provide a solution to the consumerproducer problem that fills all the buffers.
- We can do so by having an integer counter that keeps track of the number of full buffers.
- Initially, counter is set to 0.
- The integer counter is incremented by the producer after it produces a new buffer.
- The integer counter is and is decremented by the consumer after it consumes a buffer.



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2 2

```
Producer/ Consumer Process
             while (true) {
                     /* produce an item in next produced
                while (counter == BUFFER SIZE)
                     ; /* do nothing */
                buffer[in] = next produced;
 Producer
                in = (in + 1) % BUFFER SIZE;
                counter++;
             while (true) {
                     while (counter == 0)
                            ; /* do nothing */
                     next consumed = buffer[out];
 Customer
                     out = (out + 1) % BUFFER SIZE;
                      counter--;
                consume the item in next consumed */
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```



Race Condition

```
    counter++ could be implemented as
```

```
register1 = counter
register1 = register1 + 1
counter = register1
```

counter-- could be implemented as

```
register2 = counter
register2 = register2 - 1
counter = register2
```

Consider this execution interleaving with "count = 5" initially:

```
S0: producer execute register1 = counter {register1 = 5}

S1: producer execute register1 = register1 + 1 {register1 = 6}

S2: consumer execute register2 = counter {register2 = 5}

S3: consumer execute register2 = register2 - 1 {register2 = 4}

S4: producer execute counter = register1 {counter = 6}

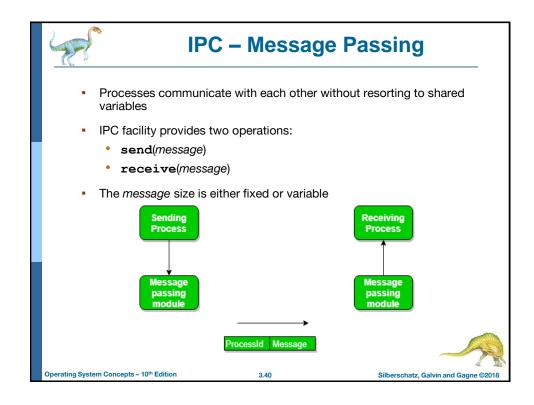
S5: consumer execute counter = register2 {counter = 4}
```

 Question – why was there no race condition in the first solution (where at most N – 1) buffers can be filled?



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Message Passing (Cont.)

- If processes P and Q wish to communicate, they need to:
 - Establish a **communication link** between them can phai thiet lap link giao tiep giua cac tien trinh
 - Exchange messages via send/receive
- Implementation issues:
 - How are links established? cac duong link duoc thiet lap nhu the nao
 - Can a link be associated with more than two processes?mot duong link co the co 2 tien trinh tro len
 - How many links can there be between every pair of communicating processes?
 - What is the capacity of a link? kha nang cua duong link
 - Is the size of a message that the link can accommodate fixed or variable?
 co the chua bao nhieu thong diep tren duong link
 - Is a link unidirectional or bi-directional?



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Implementation of Communication Link

- Physical:
 - Shared memory
 - Hardware bus bang cac duong bus
 - Network
- Logical:
 - Direct or indirect truc tiep hoac gian tiep
 - Synchronous or asynchronous
 - · Automatic or explicit buffering

u dong

thuc hien ro rang tren bo dem



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Direct Communication

- Processes must name each other explicitly:
 - send (P, message) send a message to process P
 - receive(Q, message) receive a message from process Q
- Properties of communication link
 - · Links are established automatically
 - A link is associated with exactly one pair of communicating processes giua hai tien trinh cho co 1 duong link
 - · Between each pair there exists exactly one link
 - The link may be unidirectional, but is usually bi-directional

1 chieu

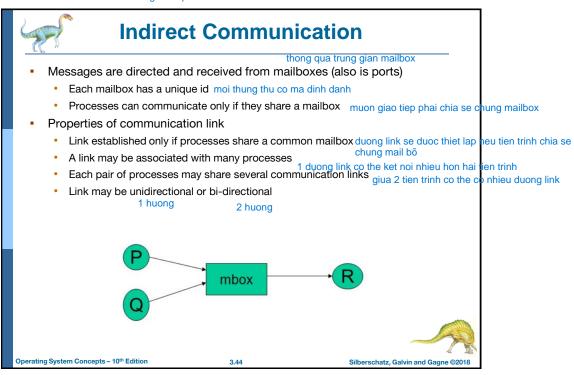
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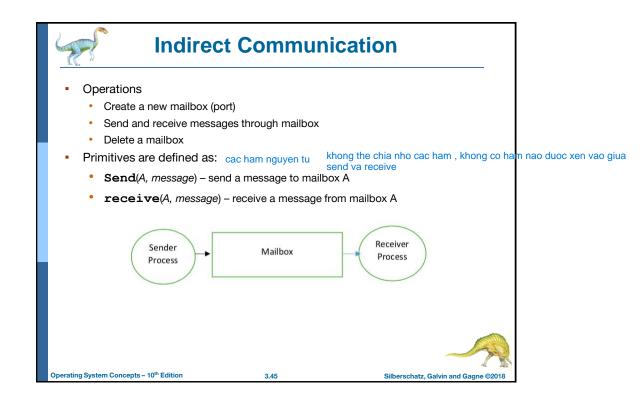


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gian tiep







Indirect Communication (Cont.)

- Mailbox sharing
 - P_1 , P_2 , and P_3 share mailbox A
 - P_1 , sends; P_2 and P_3 receive
 - · Who gets the message?

Solutions

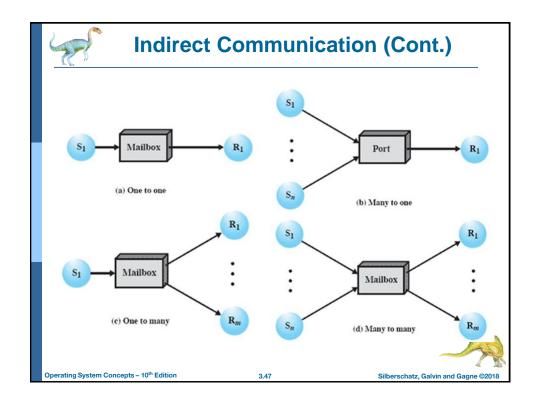
- Allow a link to be associated with at most two processes
- Allow only one process at a time to execute a receive operation 1 thoi diem chi co 1 tien trinh duoc
- Allow the system to select arbitrarily the receiver. Sender is notified who the receiver was.

nhan



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receive san sang nhan



Synchronization

Message passing may be either blocking or non-blocking

- Blocking is considered synchronous dong bo
 - Blocking send -- the sender is blocked until the message is received biblock den khi nao tin nhan gui da duoc nhan
 - Blocking receive -- the receiver is blocked until a message is available den khi co 1 mess gui,
- Non-blocking is considered asynchronous bat dong bo
 - Non-blocking send -- the sender sends the message and continue
 - Non-blocking receive -- the receiver receives:
 - 4 A valid message, or
 - 4 Null message
- Different combinations possible
 - · If both send and receive are blocking, we have a rendezvous



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Producer-Consumer: Message Passing

Producer

```
message next_produced;
while (true) {
   /* produce an item in next_produced */
   send(next_produced);
}
```

Consumer

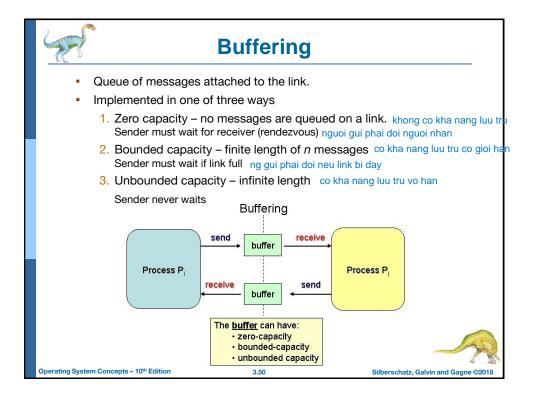
```
message next_consumed;
while (true) {
  receive(next_consumed)

  /* consume the item in next_consumed */
}
```

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Examples of IPC Systems - POSIX

- POSIX Shared Memory
 - Process first creates shared memory segment
 shm_fd = shm_open(name, O CREAT | O RDWR, 0666);
 - · Also used to open an existing segment
 - · Set the size of the object

ftruncate(shm fd, 4096);

- Use mmap() to memory-map a file pointer to the shared memory object
- Reading and writing to shared memory is done by using the pointer returned by mmap ().



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IPC POSIX Producer

```
#include <stdio.h>
#include <string.h>
#include <string.h>
#include <sys/shm.h>
#include <sys/shm.h>
#include <sys/stat.h>

int main()
{
    /* the size (in bytes) of shared memory object */
    const int SIZE = 4096;
    /* name of the shared memory object */
    const char *name = "OS";
    /* strings written to shared memory */
    const char *message.0 = "Hello";
    const char *message.1 = "World!";

    /* shared memory file descriptor */
    int shm fd;
    /* pointer to shared memory object */
    void *ptr;

    /* create the shared memory object */
    shm fd = shm.open(name, O.CREAT | O.RDWR, O666);

    /* configure the size of the shared memory object */
    ftruncate(shm.fd, SIZE);

    /* memory map the shared memory object */
    ptr = mmap(0, SIZE, PROT_WRITE, MAP_SHARED, shm.fd, 0);

    /* write to the shared memory object */
    sprintf(ptr, "%s", message.0);
    sprintf(ptr, "%s", message.1);
    ptr += strlen(message.1);
    return 0;

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```



IPC POSIX Consumer

```
#include <stdio.h>
                      #include <stdlib.h>
                      #include <fcntl.h>
                      #include <sys/shm.h>
                      #include <sys/stat.h>
                      int main()
                      /* the size (in bytes) of shared memory object */
                      const int SIZE = 4096;
                      /* name of the shared memory object */
                      const char *name = "OS";
                      /* shared memory file descriptor */
                      int shm_fd;
                      /* pointer to shared memory obect */
                      void *ptr;
                         /* open the shared memory object */
                         shm_fd = shm_open(name, O_RDONLY, 0666);
                         /* memory map the shared memory object */
                         ptr = mmap(0, SIZE, PROT_READ, MAP_SHARED, shm_fd, 0);
                         /* read from the shared memory object */
                         printf("%s",(char *)ptr);
                         /* remove the shared memory object */
                         shm_unlink(name);
                         return 0;
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```



Examples of IPC Systems - Mach

- Mach communication is message based
 - · Even system calls are messages
 - · Each task gets two ports at creation- Kernel and Notify
 - Messages are sent and received using the mach msg() function
 - Ports needed for communication, created via mach_port_allocate()
 - Send and receive are flexible, for example four options if mailbox full:
 - 4 Wait indefinitely
 - 4 Wait at most n milliseconds
 - 4 Return immediately
 - 4 Temporarily cache a message



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Mach Messages

```
#include<mach/mach.h>
struct message {
         mach_msg_header_t header;
         int data;
};
mach port t client;
mach port t server;
```



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/* Client Code */

Mach Message Passing - Client/Server

```
struct message message;
          // construct the header
          message.header.msgh_size = sizeof(message);
          message.header.msgh_remote_port = server;
          message.header.msgh_local_port = client;
          // send the message
          mach msg(&message.header, // message header
             MACH_SEND_MSG, // sending a message sizeof(message), // size of message sent
             O, // maximum size of received message - unnecessary MACH_PORT_NULL, // name of receive port - unnecessary
             MACH_MSG_TIMEOUT_NONE, // no time outs
             MACH PORT NULL // no notify port
                    /* Server Code */
           struct message message;
            // receive the message
           mach.msg(&message.header, // message header
MACH.RCV_MSG, // sending a message
0, // size of message sent
               sizeof(message), // maximum size of received message
server, // name of receive port
               MACH_MSG_TIMEOUT_NONE, // no time outs
               MACH_PORT_NULL // no notify port
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```



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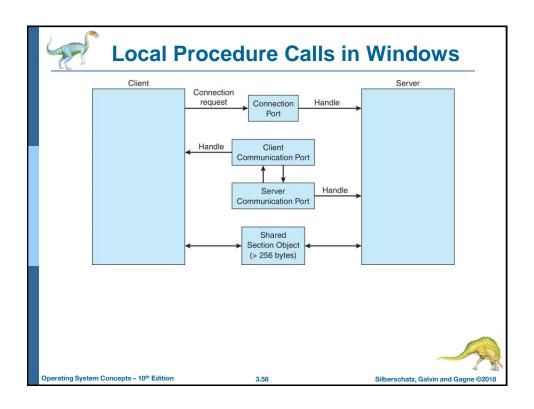


Examples of IPC Systems – Windows

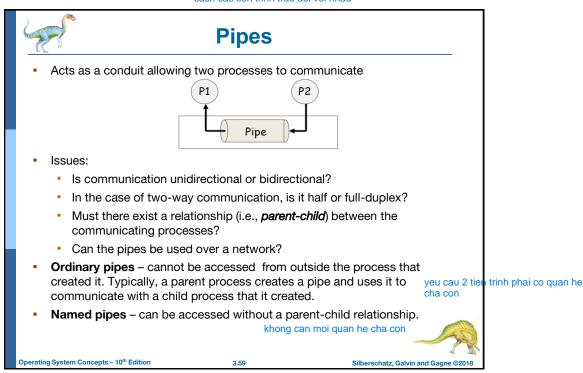
- Message-passing centric via advanced local procedure call (LPC) facility
 - Only works between processes on the same system
 - Uses ports (like mailboxes) to establish and maintain communication channels
 - Communication works as follows:
 - 4 The client opens a handle to the subsystem's connection port object.
 - 4 The client sends a connection request.
 - 4 The server creates two private communication ports and returns the handle to one of them to the client.
 - 4 The client and server use the corresponding port handle to send messages or callbacks and to listen for replies.



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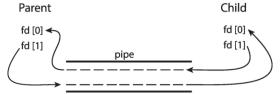
cach cac tien trinh trao doi voi nhau





Ordinary Pipes

- Ordinary Pipes allow communication in standard producer-consumer style
- Producer writes to one end (the write-end of the pipe)
- Consumer reads from the other end (the read-end of the pipe)
- Ordinary pipes are therefore unidirectional 1 chieu
- Require parent-child relationship between communicating processes



Windows calls these anonymous pipes con co the duoc goi la anonymous pipes



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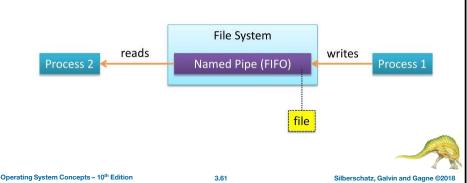
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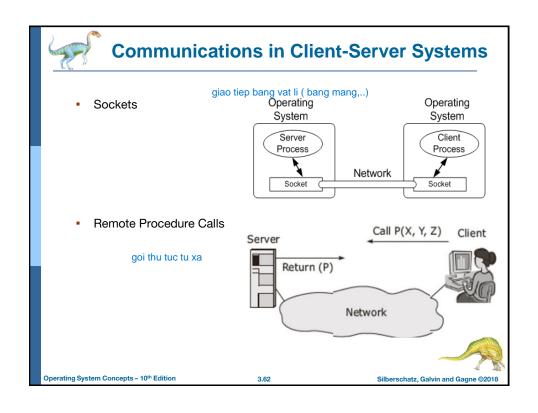


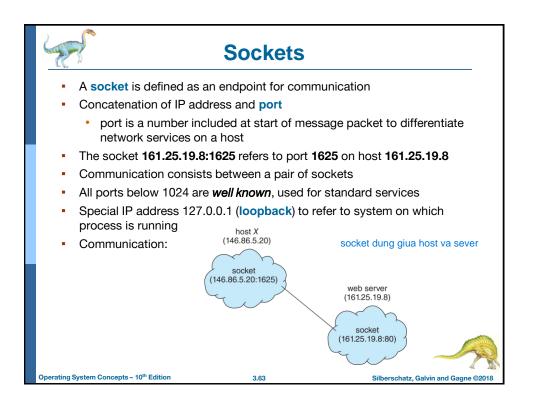
Named Pipes

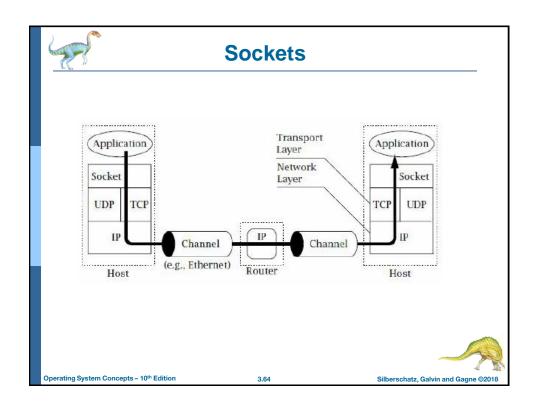
- Named Pipes are more powerful than ordinary pipes
- Communication is bidirectional
- No parent-child relationship is necessary between the communicating processes
- Several processes can use the named pipe for communication
- Provided on both UNIX and Windows systems

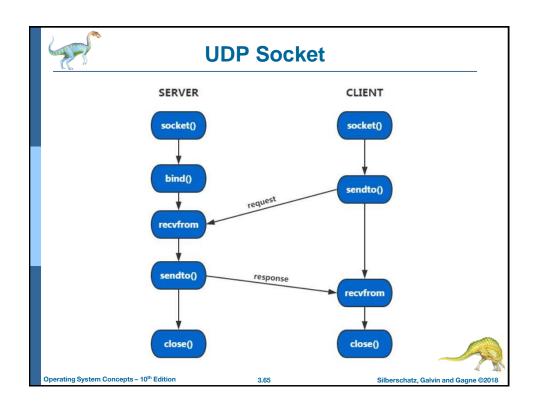


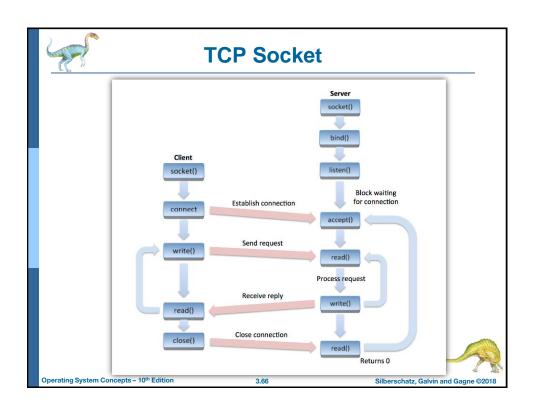
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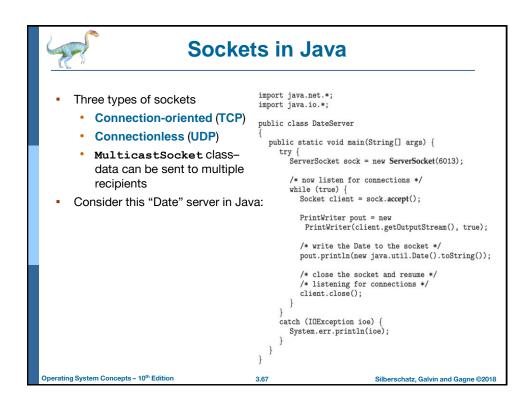


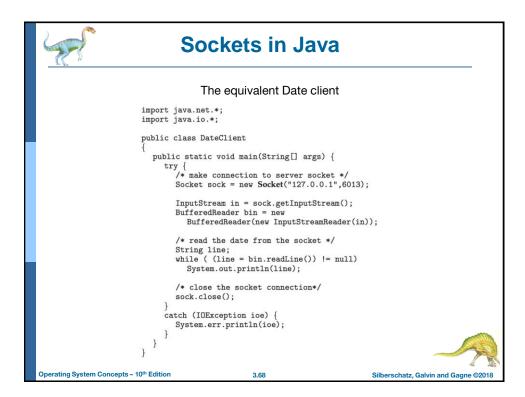








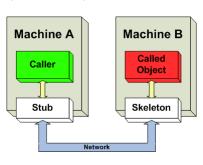




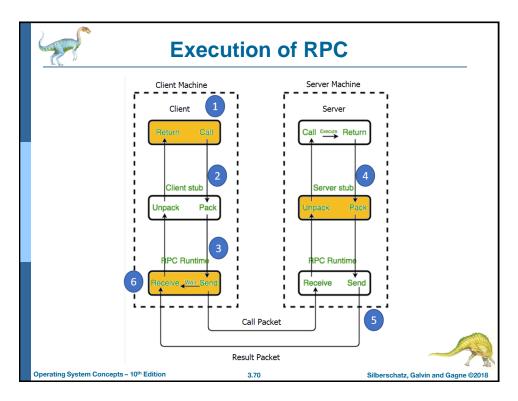


Remote Procedure Calls goi thu tuc tu xa

- RPC abstracts procedure calls between processes on networked systems
 - · Again, uses ports for service differentiation
- Stubs client-side proxy for the actual procedure on the server
- The client-side stub locates the server and marshalls the parameters
- The server-side stub receives this message, unpacks the marshalled parameters, and performs the procedure on the server



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co 2 mo hinh mo ta giao tiep giua hai tien trinh +sharedmemory : duoc quan li boi nguoi dung +mess pass : kernel

